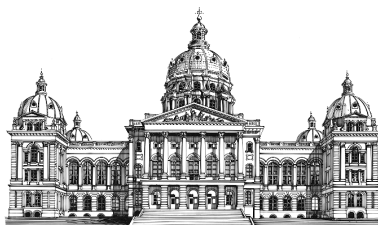


# Iowa Legislative Fiscal Bureau



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Des Moines, IA 50319  
August 24, 1993

## Update on Court's Automation Initiatives

### ISSUE

The Judicial Department has begun implementing a number of technological initiatives to enhance the Court's system of operation for office automation, cash management, recordkeeping, case processing and administration, and sharing data with other State agencies.

### AFFECTED AGENCIES

Judicial Department

### CODE AUTHORITY

Chapter 602, Code of Iowa

### BACKGROUND

The Department has relied heavily on paper-based manual procedures for recording and tracking court information and for administration of the judicial process. Services provided for the public were organized, managed, and funded at the county level. To improve the overall efficiency of the Department's operation, a more unified system of operation was established in 1983, which changed the organization and funding patterns of the Court System. Many of the manual procedures were no longer effective because the demand for specialization of performing numerous administrative functions, coordinating and sharing information between Courts, and implementing administrative procedures greatly increased.

Once the unified system was implemented, the Department recognized the need to automate the functions within the unified system. The purpose for automating the System was to enhance the Department's ability to:

- Retrieve and manage court information
- Share information with other State agencies
- Collect outstanding fines, fees, and court costs

Facilitate administration of the Judicial System, caseloads, and resources required for daily operation.

**CURRENT SITUATION**

The Judicial Automation Committee has been in existence since 1983. The Committee's mission is to identify and explore new technologies, examine the extent to which the Court may benefit from new technologies, and prepare cost/benefit analyses. The Committee submits recommendations to the Supreme Court on an annual basis. The goals of the Committee include:

- Developing a 1 to 5 year Master Plan for the completion of the Iowa Court Information System (ICIS).
- Developing a 2 to 7 year plan for the use of fiber optics in the Judicial Department.
- Developing a 2 to 7 year plan for optical disk imaging and micrographics for court records in conjunction with ICIS.
- Exploring, planning, and making recommendations for office products to deliver to judges, court reporters, and court attendants.
- Exploring, planning, and recommending other technologies for the Judicial Department.

According to the Department's Master Plan, all county data is to be on-line and transmitted to the District level; data at the District level will only be transferable to counties by district and the State Court Administrator offices; and the State Court Administrator offices is to manage the transfer of court data to

the District level and other State agencies. However, the Department has concerns that the System will not be fully functional in all counties as it was originally perceived in the Master Plan.

In addition, the General Assembly, in the FY 1994 Justice System Appropriations Bill (SF 267), required the Department of Corrections (DOC), Community-Based Corrections (CBC) District Departments, Judicial Department, and Parole Board to develop an automated data system to share information. The DOC, in consultation and cooperation with the CBC District Departments, Judicial Department, and Parole Board, is to report on the progress in developing an automated data system to the Co-Chairpersons and Ranking Members of the Justice System Appropriations Subcommittee and Legislative Fiscal Bureau by January 15, 1994.

The Judicial Department, DOC, and CBC District Departments will begin testing the transfer of data in October 1993. The type of information that will be transferred includes criminal and civil case processing data, case scheduling, victims restitution payments, and outstanding fines, fees, and other court costs. By linking ICIS with the DOC - Adult Corrections Data System (ACDS) and the CBC District Departments - Iowa Community-Based Corrections System (ICBC), the 3 agencies expect to enhance their communication efforts by knowing where convicted felons are in the judicial and correctional processes at all times. The DOC and CBC District Departments will be able to verify the status of court costs and victims restitution payments before inmates are released from prison or correctional facilities.

Other State agencies which are meeting regularly with the Judicial Department to develop system linkages include the Department of Public Safety (DPS) for easier access of criminal history data, Department of Human Services (DHS) for child support information, Department of Transportation (DOT) for criminal/civil case processing information, Attorney General (AG) for victims restitution and criminal/civil processing information, and Department of Revenue and Finance (DRF) for accounting and personnel information.

### **ALTERNATIVES**

The Legislature may wish to conduct a study. The purpose of the study would be to review where the System now stands in relation to where the Master Plan proposed it to be and whether adjustments in the Master Plan, or the types of applications and usage envisioned for the System are still appropriate.

Alternatively, the Legislature may wish to require the Department to develop a revised strategic plan outlining in detail total estimated costs to fully automate ICIS in all counties and when the development process is expected to be complete. An increase in the use of fiber optics could reduce the cost of installing a computer system in every courthouse as specified in the original Master Plan.

The Department should continue working jointly with other State agencies in pursuing funding sources other than the General Fund to fund new and existing automation projects. In 1990, 4 agencies (DPS, DOC, Judicial Department, and Department of Human Rights, Criminal Juvenile Justice Planning Division) were successful in securing a federal grant through the U.S. Department of Justice to design and implement system changes to interchange criminal history data. The total grant award was \$415,922, authorized from 1990 through 1992. A second grant award in the amount of \$361,525 was received in 1992 as a continuation of the original grant authorized from 1990 through 1994. (See Attachment A - Detail description of each grant award.)

### **BUDGET IMPACT**

The primary automation initiative being implemented by the Department is ICIS. The ICIS is a "distributed system" which provides for processing and storage of data at the local level close to actual users. It is composed of 3 application systems - Finance and Personnel, Case Processing, and Case Administration.

The Department's Master Plan, approved by the Supreme Court in 1987, called for installation of the ICIS in each county courthouse throughout the State. The estimated cost to implement the 5-year plan was \$13.6 million. However, between FY 1988 and FY 1994, a total of \$19.7 million has been appropriated to the Department for development of ICIS. (See Attachment B - Summary of Actual Expenditures of ICIS.)

Of the total 99 counties included in the original Plan, only 28 are actually benefiting from ICIS functions to date. It appears the Department has made minimal progress in developing a long range strategic plan to phase the remaining 71 counties into the ICIS automation process. (See Attachment C - Counties Implemented by District.)

STAFF CONTACT: Leroy McGarity (Ext. 17942)

# 1  
90-00-ex-K007  
Expired 12/31/92

## BUDGET NARRATIVE

Four separate state agencies have worked jointly to develop this Grant application. Each of these agencies will complete a portion of the work outlined herein with funding provided for each as a part of this Grant. The four agencies are the Iowa Department of Public Safety, the Iowa Department of Corrections, the Iowa State Court Administrator, and the Department of Human Rights Division of Criminal & Juvenile Justice Planning.

The Iowa Department of Public Safety is the Applicant Agency and is the agency responsible for directing and overseeing the repository of state-wide criminal history files. The Department will provide funds to each of the agencies as provided below.

## IOWA STATE COURT ADMINISTRATOR:

The State Court Administrator will design and implement System changes that will extract from their data base the information necessary to record disposition data to the Criminal History File and pass that data electronically to the State Central Computer System which houses the Department of Public Safety's Criminal History System. The Court Administrator anticipates contracting with the consultant that currently is under contract for the development of the Iowa Court Information System.

## Contractual Services

1806 hours @ ave. hourly rate of \$75.00 \$135,450.00

## IOWA DEPARTMENT OF CORRECTIONS:

The Department of Corrections will design and implement System changes to extract CCH data from their existing data base and write the data to a file available to the Department of Public Safety. System Design and Programming Services are provided to the Department of Corrections by the Departments of Human Services and General Services. The Department anticipates contracting with one or both of these agencies to perform the work required to complete their task

## Contractual Services

1000 hours @ ave. hourly rate of \$75.00 \$ 75,000.00

## IOWA DEPARTMENT OF HUMAN RIGHTS, DIVISION OF CRIMINAL AND JUVENILE JUSTICE PLANNING:

Project staff consisting of one full time Statistical Research Analyst and two part time Statistical Assistants will be employed to carry out all project activities under the direct supervision of the CJJP Administrator. Both the Administrator and the CJJP Justice System Analyst will be involved in the planning, monitoring and implementation of project activities throughout the project. The project funded Statistical Research Analyst

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will be primarily responsible for all project activities, and the two part time staff will be devoted to on-site data collection, data entry, maintenance of research files and basic analysis of the aggregate data.

**Salaries:**

|   |               |
|---|---------------|
| 1 Statistical Research Analyst @ \$12.00/ hr.   | \$24,000      |
| 2 part time Statistical Assistants @ \$9.00/hr. | <u>18,000</u> |
|   | \$42,000      |

**Fringe Benefits:**

|                       |           |
|-----------------------|-----------|
| FICA @ 7.65%          | \$ 3,213  |
| IPERS @ 5.75%         | 2,415     |
| Disability @ .88%     | 370       |
| Health @\$269 / mo.   | 6,456     |
| Dental @ \$10.5 / mo. | 252       |
| Life @ \$2.00 / mo.   | <u>48</u> |
| Total Fringe Benefits | \$ 12,754 |

**Travel:**

On-site visit mileage and overnight expenses:  
4,000 mi. @ \$ .21, meals and 12 overnight stays  
for two staff. \$ 2,000

**Supplies:**

General Office Supplies. \$ 1,500

**Equipment:**

One personal computer with mainframe access and software for project staff to store and analyze research files @ \$6,000, office equip, desks and chairs \$ 8,000

**Other:**

Telephone & misc. data mgmt. related expenses (e.g. mainframe processing time, data entry, storage, secure terminal for CCH access) \$ 7,500

Total for CJJP \$ 73,754

**IOWA DEPARTMENT OF PUBLIC SAFETY:**

The Department is requesting funding for 4 data entry operators for the Records and Identification Bureau. These individuals will enter disposition reports into the criminal history system to eliminate the current backlog of dispositions. Once the disposition backlog is eliminated they will file fingerprint cards and enter master name index records until the backlog within the bureau is eliminated.

DCI personnel access the MNI/CCH system through an NCR Tower model 32/650 minicomputer. This system provides complete office automation and information processing capabilities, and serves as a gateway to the Department of General Services mainframe and other systems. A single 19,200 bits per second SNA/SDLC line connects the Tower and ISD computer.

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This Tower system is at (or even beyond) capacity. Processor idle time is regularly zero percent. Response time is often poor, sometimes taking several seconds to echo characters. The Tower system also has no unused terminal or printer ports. We cannot add additional users to the system. Finally, the single SNA line to the General Services host is used for Remote Job Processing (RJP) submission and printed output, direct host addressable printer output, and 3270 terminal emulation. This mix of heavy traffic results in contention for line bandwidth, further slowing response time for DCI personnel accessing the Mainframe computer.

This load cannot be reduced by moving users or applications to other systems within Public Safety. All of the Department's other systems are comparably loaded. However, the Tower can be upgraded to offer substantially better performance and additional peripheral ports. An additional SNA/SDLC data line can be installed to isolate the 3270 terminal emulation users from the high volume print traffic.

This upgrade consists of a faster processor board, faster memory, an improved mass storage controller, and a special multiport communications controller. This upgrade should improve performance by about 50%, and supports the connection of up to 16 additional peripheral devices. Cost for this upgrade is about \$46,000 including installation and wiring. This upgrade will also increase annual maintenance costs by about \$800.

The Department will also need five asynchronous terminals and a printer to be dedicated to this application. Total cost of terminals and printer is about \$3,000 plus \$400 per year maintenance. There will be no additional software costs associated with the Tower upgrade; existing licenses cover the operating system communications software used.

Adding an additional SNA/SDLC line will cost \$2,400 per year. This service is provided by the Department of General Services and billed to the user agency monthly.

## Salaries:

|                                    |          |
|------------------------------------|----------|
| 4 Data Entry Operators @ \$ 12,352 | \$57,408 |
|------------------------------------|----------|

## Fringe Benefits:

|                       |                  |
|-----------------------|------------------|
| FICA @ 7.65%          | \$ 4,392         |
| IPERS @ 5.75%         | 3,301            |
| Disability @ .88%     | 505              |
| Health @\$269 / mo.   | 12,912           |
| Dental @ \$10.5 / mo. | 504              |
| Life @ \$2.00 / mo.   | 96               |
| Total Fringe Benefits | <u>\$ 21,710</u> |

## Equipment:

|                           |              |
|---------------------------|--------------|
| Data processing Equipment | \$ 49,000.00 |
|---------------------------|--------------|

user/does.admin/cshbus

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Other

equipment maintenance

\$ 1,200

SNA/SDLC line to General Services Computer

2,400

Total Other

\$ 3,600

Total Public Safety

131,718

TOTAL REQUEST

\$415,922

# 2  
92-00-CX-K04,  
Current  
Expires 3/94

IMPROVEMENT OF CRIMINAL HISTORY RECORD  
AND  
IDENTIFICATION OF CONVICTED FELONS

BUDGET NARRATIVE

July 2, 1992 REVISION

The Iowa Department of Public Safety is the state agency in Iowa responsible for the state central repository for Criminal History Records. The Department is working closely with other State and Local Criminal Justice Agencies to develop an integrated data collection system that will meet the needs of all users of Criminal History Record Information.

On September 30, 1990, the U. S. Department of Justice, Bureau of Justice Statistics approved Iowa's grant No. 90-DD-CX-K007 in the amount of \$415,922.00. This grant was subdivided between four State Agencies, The Iowa State Court Administrator, The Iowa Department of Corrections, The Iowa Department of Human Rights Division of Criminal & Juvenile Justice Planning, and The Iowa Department of Public Safety. This original grant was divided among the four sub-recipients as follows:

|                                      |              |
|--------------------------------------|--------------|
| State Court Administrator            | \$135,450.00 |
| Department of Corrections            | 75,000.00    |
| Criminal & Juvenile Justice Planning | 73,754.00    |
| Department of Public Safety          | 131,718.00   |

This original grant was for a period of one year, however was subsequently extended for an additional 9 months to cover the period of October 1, 1990 through June 30, 1992. An additional Grant extension is pending to extend the termination date of this grant to September 30, 1992.

This application is for continued funding for each of the original four sub-recipients as identified below:

IOWA STATE COURT ADMINISTRATOR:

A final document of Design has been approved by the Judicial Department. It is estimated that the programming and implementation phase will cost approximately \$140,000.00 of which about \$43,000.00 is still available in the original Grant.

|                         |             |
|-------------------------|-------------|
| Total Judicial Request: |             |
| Contractual             | \$97,000.00 |

IOWA DEPARTMENT OF CORRECTIONS:

A contract programmer was hired to start the process of writing the necessary Code to allow the courts, Public Safety and Corrections to start sharing data. It is estimated that the programming and implementation phase will cost approximately



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\$123,000.00 of which about \$44,000.00 is still available in the original Grant.

Total Corrections request:  
Contractual \$79,000.00  
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IOWA DEPARTMENT OF HUMAN RIGHTS, DIVISION OF CRIMINAL AND JUVENILE JUSTICE PLANNING:

The work plan proposed by the CJJPD has been agreed to by the Department of Public Safety and is spelled out elsewhere in this document.

Total Criminal & Juvenile Justice Planning Division request:

|                  |             |
|------------------|-------------|
| Salaries         | \$33,845.00 |
| Fringe Benefits  | 8,546.00    |
| Travel           | 1,000.00    |
| Supplies         | 900.00      |
| Equipment        | 2,000.00    |
| Other            | 2,100.00    |
| Indirect Charges | 9,326.00    |
| Total            | \$57,717.00 |

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IOWA DEPARTMENT OF PUBLIC SAFETY:

Two major functions need to be completed to facilitate the interchange of data between the Judicial Department, Corrections and the Department of Public Safety. First the Department must modify the CCH data base to add the unique charge tracking number and the felony flag to the data base. Second the computer interface between the Department of Public Safety, The Judicial Department and the Department of Corrections must be coded and tested.

The Department is requesting funding for contractual programmers to complete these two major improvements to the criminal history system. The first of these is to add the unique charge tracking number and felony flag to the CCH data base. We estimate that the programming effort to accomplish this task will be 1272 hours.

The second major improvement is the computer interface between the State Court Administrator and the Department of Corrections. Because of the way the data base is structured it will be necessary to complete charge tracking number and felony flag project before this improvement can be completed. The estimated programming time to complete these tasks is 1816 hours.

Contract programming:  
3088 hours @ an average hourly rate of \$41.00 = \$126,608.00

Iowa would like to send two people to the SEARCH audit conference scheduled for this summer. The state has a freeze on all out of state travel with state funds. \$ 1,200.00

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|                              |                     |
|------------------------------|---------------------|
| Total Public Safety Request: |                     |
| Travel                       | 1,200.00            |
| Contractual                  | <u>126,608.00</u>   |
| Total                        | <u>\$127,808.00</u> |

|                             |                     |
|-----------------------------|---------------------|
| Grand Total Requested:      |                     |
| Judicial Department         | \$ 97,000.00        |
| Department of Corrections   | 79,000.00           |
| CJJD                        | 57,717.00           |
| Department of Public Safety | <u>127,808.00</u>   |
| Total                       | <u>\$361,525.00</u> |

JUDICIAL DEPARTMENT  
SUMMARY OF ACTUAL EXPENDITURES  
IOWA COURT INFORMATION SYSTEM

|                       | TOTAL        | FY 88       | FY 89       | FY 90       | FY 91       | FY 92       | BUDGET<br>FY 93 |
|-----------------------|--------------|-------------|-------------|-------------|-------------|-------------|-----------------|
| NO. OF POSITIONS      |              | 6           | 9           | 21          | 21          | 21          | 21              |
| OPERATIONS COST       |              |             |             |             |             |             |                 |
| SALARIES              | \$3,356,211  | \$66,781    | \$318,194   | \$625,328   | \$781,870   | \$744,067   | \$819,971       |
| TRAVEL                | \$354,232    | \$23,954    | \$77,905    | \$84,808    | \$59,280    | \$40,285    | \$68,000        |
| OFFICE SUPPLIES       | \$395,867    | \$60,884    | \$106,082   | \$104,946   | \$31,572    | \$34,933    | \$57,450        |
| EQUIP. MAINT.         | \$1,056,038  |             | \$26,400    | \$63,931    | \$312,117   | \$328,968   | \$324,622       |
| PRINTING              | \$5,117      |             |             | \$410       | \$1,237     | \$0         | \$3,470         |
| COMMUNICATIONS        | \$621,785    | \$4,034     | \$60,822    | \$137,010   | \$159,629   | \$172,790   | \$87,500        |
| ADVERTISING           | \$212,187    |             |             | \$6,294     |             | \$538       | \$205,355       |
| TOTAL OPERATING COST  | \$6,001,437  | \$155,653   | \$589,403   | \$1,022,727 | \$1,345,705 | \$1,321,581 | \$1,566,368     |
| CAPITAL COST          |              |             |             |             |             |             |                 |
| EQUIP. LEASE/RENTAL   | \$206,647    |             |             | \$26,695    | \$51,817    | \$53,911    | \$74,224        |
| CONTRACTUAL SERVICES  | \$3,933,549  | \$149,713   | \$637,919   | \$1,834,922 | \$508,372   | \$611,948   | \$190,675       |
| DATA PROCESSING       | \$5,434,659  |             |             | \$2,706,602 | \$1,599,153 | \$803,454   | \$325,450       |
| FURNITURE & EQUIPMENT | \$1,797,631  | \$1,034,003 | \$655,067   | \$107,944   | \$617       |             |                 |
| TOTAL CAPITAL COST    | \$11,372,486 | \$1,183,716 | \$1,292,986 | \$4,676,163 | \$2,159,959 | \$1,469,313 | \$590,349       |
| GRAND TOTAL           | \$17,373,923 | \$1,339,369 | \$1,882,389 | \$5,698,890 | \$3,505,664 | \$2,790,894 | \$2,156,717     |

NOTES:

FY 90 INCLUDES \$3.4 MILLION FY 89 SUPPLEMENTAL APPROPRIATION &  
\$900,000 FY 90 CHILD SUPPORT SEPARATE APPROPRIATION.

FY 91 INCLUDES \$1.5 MILLION SEPARATE APPRO.

FY 92 INCLUDES \$875,000 SEPARATE APPRO.

Supreme Court  
Status of ICIS  
May 10, 1993

## I. Implemented Counties by District

|            | COUNTY              | FUNCTIONAL<br>AREA  | IMPLEMENT<br>DATE   |              |
|------------|---------------------|---------------------|---------------------|--------------|
| District 1 | BLACK HAWK          | JUVENILE COURT SER. | 06/01/90            |              |
|            |                     | CRIMINAL/TRAFFIC    | 01/06/92            |              |
|            |                     | JUVENILE DOCKETING  | 01/06/92            |              |
|            |                     | JUVENILE-CLERK      | 02/01/92            |              |
|            |                     | CHILD SUPPORT       | 11/16/92            |              |
|            |                     | DISSOLUTIONS        | 09/01/92            |              |
|            |                     | SCHEDULING          | 03/01/93            |              |
|            |                     | DELAWARE            | TRAFFIC             | 02/01/93     |
|            |                     |                     | SIMPLE MISDEMEANORS | 02/01/93     |
|            |                     |                     | DUBUQUE             | SMALL CLAIMS |
|            | JUVENILE COURT SER. | 10/01/90            |                     |              |
|            | PROBATE INDEXING    | 11/01/91            |                     |              |
|            | FAYETTE             | CIVIL LAW & EQUITY  | 10/01/92            |              |
|            |                     | SCHEDULING          | 03/01/93            |              |
|            |                     | JUVENILE DOC/SCHED  | 04/12/93            |              |
|            |                     | WINNESHIEK          | CASE INDEXING       | 12/31/91     |
|            |                     |                     | JUVENILE DOCKETING  | 11/01/91     |
|            |                     | DISSOLUTION DOCKET  | 04/01/92            |              |
|            |                     | SCHED. VIOLATIONS   | 04/01/92            |              |
|            |                     | CIVIL-DOM. ABUSE    | 01/01/93            |              |
|            |                     | CIVIL-CHILD SUPPORT | 01/01/93            |              |
|            |                     | CRIMINAL            | 02/24/93            |              |
|            | PROBATE             | 05/07/93            |                     |              |
| District 2 | BOONE               | CHILD SUPPORT       | 07/01/92            |              |
|            |                     | CARROLL             | CHILD SUPPORT       | 10/01/92     |
|            |                     |                     | CRIMINAL INDEXING   | 10/01/92     |
|            |                     |                     | TRAFFIC INDEXING    | 10/01/92     |
|            |                     |                     | SM. CLAIMS INDEXING | 10/01/92     |
|            | CERRO GORDO         |                     | TRAFFIC             | 12/21/92     |
|            |                     | JUVENILE COURT SERV | 04/19/93            |              |
|            |                     | HARDIN              | JUDGMENT/LIEN INDX  | 06/15/91     |
|            | MARSHALL            |                     | CHILD SUPPORT       | 01/17/92     |
|            |                     | TRAFFIC             | 07/01/92            |              |
|            |                     | STORY               | CHILD SUPPORT       | 03/23/92     |
|            | CRIMINAL            |                     | 05/01/92            |              |
|            | CRIMINAL (AMES)     |                     | 04/05/93            |              |
|            | TRAFFIC (AMES)      |                     | 04/05/93            |              |
|            | TRAFFIC             |                     | 02/01/93            |              |
| District 3 | WEBSTER             | VITAL RECORDS       | 03/01/92            |              |
|            |                     | BUENA VISTA         | CHILD SUPPORT       | 11/01/91     |
|            | CHEROKEE            |                     | VITAL RECORDS       | 10/14/91     |
|            |                     | WOODBURY            | CHILD SUPPORT       | 12/02/91     |
|            | TRAFFIC             |                     | 05/01/92            |              |
|            | CRIMINAL            | 07/01/92            |                     |              |
| District 4 | POTTAWATTAMIE       | CRIMINAL/TRAFFIC    | 02/01/92            |              |
|            |                     | JUVENILE COURT SERV | 03/01/93            |              |
| District 5 | MARION              | CRIMINAL/TRAFFIC    | 08/01/92            |              |
|            |                     | POLK                | CRIMINAL/TRAFFIC    | 10/14/91     |

|                     | COUNTY     | FUNCTIONAL AREA     | IMPLEMENT DATE |                  |          |
|---------------------|------------|---------------------|----------------|------------------|----------|
| District 6          | LINN       | VITAL RECORDS       | 09/26/91       |                  |          |
|                     |            | JUVENILE COURT SERV | 01/01/93       |                  |          |
| District 7          | CEDAR      | TRAFFIC             | 03/01/93       |                  |          |
|                     |            | CRIMINAL            | 07/27/92       |                  |          |
|                     | CLINTON    | TRAFFIC             | 07/27/92       |                  |          |
|                     |            | CRIMINAL            | 03/01/92       |                  |          |
|                     |            | TRAFFIC             | 03/01/92       |                  |          |
|                     |            | SCHEDULING          | 03/01/92       |                  |          |
|                     |            | SMALL CLAIMS        | 04/01/93       |                  |          |
|                     |            | PROBATE INDEXING    | 04/01/93       |                  |          |
|                     |            | District 8          | SCOTT          | PROBATE INDEXING | 09/29/92 |
|                     |            |                     |                | JUVENILE RECORDS | 09/01/92 |
| VITAL RECORDS       | 07/13/92   |                     |                |                  |          |
| VITAL RECORDS CFM   | 01/04/93   |                     |                |                  |          |
| UNIFORM SUPPORT     | 08/13/92   |                     |                |                  |          |
| DOMESTIC ABUSE      | 08/13/92   |                     |                |                  |          |
| TRAFFIC             | 01/04/93   |                     |                |                  |          |
| SCHEDULING          | 08/01/92   |                     |                |                  |          |
| CRIMINAL            | 10/26/92   |                     |                |                  |          |
| JUVENILE COURT SERV | 02/08/93   |                     |                |                  |          |
| District 8          | DES MOINES | CRIMINAL            | 03/23/92       |                  |          |
|                     |            | CIVIL               | 05/01/92       |                  |          |
|                     |            | TRAFFIC             | 03/23/92       |                  |          |
|                     |            | CHILD SUPPORT       | 05/01/92       |                  |          |
|                     |            | PROBATE             | 08/01/92       |                  |          |
|                     |            | VITAL RECORDS       | 07/15/92       |                  |          |
|                     |            | SMALL CLAIMS        | 08/15/92       |                  |          |
|                     | POWESHIEK  | CHILD SUPPORT       | 04/01/92       |                  |          |
|                     |            | CRIMINAL INDEXING   | 04/01/92       |                  |          |
|                     |            | TRAFFIC             | 04/01/92       |                  |          |
|                     | WAPELLO    | VITAL RECORDS       | 04/01/92       |                  |          |
|                     |            | CHILD SUPPORT       | 05/01/92       |                  |          |
|                     |            | SCHEDULED TRAFFIC   | 03/15/93       |                  |          |

II. Implementation Plans for the ICIS applications

|            | COUNTY    | FUNCTIONAL AREA    | IMPLEMENT DATE |
|------------|-----------|--------------------|----------------|
| District 1 | ALLAMAKEE | CASE INDEXING      | 05/01/93       |
|            | GRUNDY    | CASE INDEXING      | UNKNOWN        |
|            | DUBUQUE   | CHILD SUPPORT      | 05/17/93       |
|            |           | DISSOLUTION DOCKET | 05/24/93       |

|            | COUNTY        | FUNCTIONAL<br>AREA  | IMPLEMENT<br>DATE |
|------------|---------------|---------------------|-------------------|
| District 2 | CERRO GORDO   | CRIMINAL            | 04/01/93          |
|            |               | SCHEDULING          | UNKNOWN           |
|            | WEBSTER       | CRIMINAL            | UNKNOWN           |
|            |               | JUVENILE COURT SERV | UNKNOWN           |
| District 3 | BUENA VISTA   | SCHEDULING          | UNKNOWN           |
|            |               | TRAFFIC             | 07/15/93          |
|            | SIOUX         | CRIMINAL            | 07/15/93          |
|            |               | TRAFFIC             | 07/15/93          |
|            | WOODBURY      | CRIMINAL            | 07/15/93          |
|            |               | SCHEDULING          | UNKNOWN           |
| District 4 | SHELBY        | JUVENILE COURT SERV | 05/15/93          |
|            |               | CRIMINAL/TRAFFIC    | 05/01/93          |
| District 5 | POTTAWATTAMIE | SCHEDULING          | UNKNOWN           |
|            |               | DALLAS              | TRAFFIC           |
| District 5 | JASPER        | CRIMINAL            | 07/15/93          |
|            |               | JUVENILE COURT SERV | 07/15/93          |
|            |               | TRAFFIC             | 07/01/93          |
|            | WARREN        | CRIMINAL            | 07/15/93          |
|            |               | JUVENILE COURT SERV | 07/15/93          |
|            |               | TRAFFIC             | 07/15/93          |
|            | POLK          | CRIMINAL            | 07/15/93          |
|            |               | JUVENILE COURT SERV | 07/15/93          |
|            |               | CHILD SUPPORT       | 07/01/93          |
|            |               | JUVENILE COURT SERV | UNKNOWN           |
| District 6 | JOHNSON       | SCHEDULING          | UNKNOWN           |
|            |               | CRIMINAL            | 07/15/93          |
|            |               | TRAFFIC             | 07/15/93          |
|            | LINN          | SCHEDULING          | 07/15/93          |
|            |               | JUVENILE COURT SERV | UNKNOWN           |
|            |               | CRIMINAL            | 05/01/93          |
| District 7 | IOWA          | SCHEDULING          | UNKNOWN           |
|            |               | CHILD SUPPORT       | UNKNOWN           |
|            | CLINTON       | VITAL RECORDS       | 07/01/93          |
|            |               | JUV. DOC.-CLERK     | 07/01/93          |
|            | JACKSON       | CRIMINAL            | 07/15/93          |
|            |               | TRAFFIC             | 07/15/93          |
| MUSCATINE  | CRIMINAL      | 07/15/93            |                   |
|            | TRAFFIC       | 07/15/93            |                   |
| District 8 | LEE           | CRIMINAL            | 07/15/93          |
|            |               | TRAFFIC             | 07/15/93          |
|            | DES MOINES    | JUVENILE COURT SERV | UNKNOWN           |
|            |               | JUVENILE COURT SERV | UNKNOWN           |
|            | WAPELLO       | CRIMINAL            | UNKNOWN           |
|            |               | TRAFFIC             | UNKNOWN           |
|            |               | SCHEDULING          | UNKNOWN           |
|            |               | JUVENILE COURT SERV | UNKNOWN           |

III. System Uptime at State Court Administrator's Office

The system uptime for last month was 98.4 percent.

IV. Public Access

In Black Hawk and Polk Counties, access to the ICIS information is important to local agencies like the county attorney's office, local corrections officers, public defenders, etc. The information is also required for attorneys, abstractors and credit organizations.

I have finished gathering information for the Supreme Court on what other state agencies charge for access to public databases. I have also gathered information on how other courts in other states address this issue and how they charge. A meeting will be taking place in July to review recommendations.

V. Judges Grant for Computers

The Judicial Department applied for a Federal Grant through the Governor's Substance Abuse Program. The grant requested about \$32,000 of Federal money for personal computers for judges in criminal case processing. We were awarded about \$15,000 for half the project.

The Judges serving on the committee are Judge Pearson, Judge Zimmer, Judge Streit, Judge McMinimee, and Judge Smith. They held their first committee meeting in August, 1992. Another meeting was held during the Judges Conference last month. The judges are really excited about the project. I have received a number of letters from judges in support of the grant and who are interested in being a part of it.

A meeting was held on April 8, 1993 for the judges to discuss progress on this project. The judges are excited about this project and see a number of current and potential uses of the computers.

The Judicial Department has submitted a continuation grant for phase II of this grant. This will be for another five PC's for judges.

VI. Full Implementation of ICIS

This is in the planning stages for Des Moines County. Terminals and printers were made available to Des Moines County for further implementation of ICIS in January.

I had a meeting on April 13th with the Clerk in Des Moines County to determine how ICIS is proceeding in the office. We discussed some problems in reconciliation of funds and with statistics. I had a System Administrator from Polk County there to assist in the reconciliation process and everything was taken care of. The Clerk is going to work on Probate and Vital Records next. We also discussed the status of system performance. Some concerns were raised and system management recommendations were made and implemented to assist in performance.

VII. Grant with Department of Public Safety

We are currently working with the Department of Public Safety and Corrections on an interface for reporting criminal dispositions. We plan to test this in July or August '93.

VIII. DOT Interface

We are in the discussion phase with the Department of Transportation on an interface to report criminal dispositions of motor vehicle cases. A number of details remain outstanding with regards to policy and workload.

DOT is trying to make some Federal Highway funds available to us for development of the automated interface. The funds are not currently available, but will reapply after July 1, 1993.



IX. Technology pilot project

Senator Varn has approached the Judicial Department to consider a fully automated county. The technology would include using credit card software to enhance collections, using fax machines, personal computers for judges and court staff for word processing, using cd-rom in law libraries, using video equipment in courtrooms, and optical disk scanning.

This would enable the Department to pilot this effort and gain understanding and determine the methods and procedures which may need to be changed. This would also allow the costs of implementation to be determined.

We have asked for NCSC to provide information on such a project. We asked the NCSC to provide a quote on a project plan. The NCSC estimate for services was about \$20,000. This information was distributed to Senator Varn, Art Small, and members of the Iowa State Bar Association.

X. Iowa State Bar Association - Committee on Judicial Administration.

The Bar Association has formed a committee made up of 2 judges and 3 lawyers to study ICIS. I have been preparing information for this committee. They are trying to determine the usefulness of ICIS for the Bar, the Judges and Court Staff. I will update the status reports on their progress.