IAC Ch 6, p.1

193G—6.3 (272C,544C) Sources of information. Without limitation, the following nonexclusive list of information sources may form the basis for the initiation of a disciplinary investigation or proceeding:

- 1. General or random review of publicly available work product.
- 2. News articles or other media sources.
- 3. Reports filed with the board by the commissioner of insurance pursuant to Iowa Code section 272C.4(10).
 - 4. Complaints filed with the board by any member of the public.
 - 5. Registration applications or other documents submitted to the board.
 - 6. Reports to the board from any regulatory or law enforcement agency from any jurisdiction.
- 7. Board audits of registrant compliance with conditions for registration, such as continuing education.

[ARC 3024C , IAB 4/12/17, effective 5/17/17]