UTILITIES DIVISION [199]

Former Commerce Commission [250] renamed Utilities Division [199] under the "umbrella" of Commerce Department [181] by 1986 Iowa Acts, Senate File 2175, section 740.

## CHAPTER 1 ORGANIZATION AND OPERATION

	ORGANIZATION AND OPERATION
1.1(17A,474)	Purpose
1.2(17A,474)	Scope of rules
1.3(17A,474,476,78	8GA,HF2206) Waivers
1.4(17A,474)	Duties of the board
1.5(17A,474)	Organization
1.6(68B)	Consent for the sale or lease of goods and services
1.7	Reserved
1.8(17A,474)	Matters applicable to all proceedings
1.9(22)	Public information and inspection of records
	CHAPTER A
	CHAPTER 2
0.1(17.4.47.4)	FORMS
2.1(17A,474)	Forms—general
2.2(17A,474)	Specific forms
2.3	Reserved
2.4(17A,474)	Forms
	CHAPTER 3
	RULE MAKING
3.1(17A,474)	Purpose and scope
3.2(17A,474)	Notice of inquiry
3.3(17A,474)	Petition for adoption of rules
3.4(17A,474)	Commencement of proceedings
3.5(17A,474)	Written statements of position
3.6(17A,474)	Counterstatements of position
3.7(17A,474)	Requests for oral presentation
3.8(17A,474)	Rule-making oral presentation
3.9(17A,474)	Rule-making decisions
3.10(17A,474)	Regulatory analysis
3.11(17A,474)	Review of rules
, , ,	
	CHAPTER 4
4.1/1.5.4.	DECLARATORY ORDERS
4.1(17A)	Petition for declaratory order
4.2(17A)	Notice of petition
4.3(17A)	Intervention
4.4(17A)	Briefs
4.5(17A)	Inquiries
4.6(17A)	Service and filing of petitions and other papers
4.7(17A)	Agency consideration
4.8(17A)	Action on petition
4.9(17A)	Refusal to issue order
4.10(17A)	Contents of declaratory order—effective date
4.11(17A)	Copies of orders
4.12(17A)	Effect of a declaratory order

#### **CHAPTER 5** PROCEDURE FOR DETERMINING THE COMPETITIVENESS OF A COMMUNICATIONS SERVICE OR FACILITY 5.1(476) Purpose 5.2(476) Petition 5.3(476) Docketing 5.4(476) Statement of position Oral presentation 5.5(476) Decision 5.6(476) 5.7(476) Extent of deregulation Hearing and order 5.8(476) **CHAPTER 6** COMPLAINT PROCEDURES 6.1(476) Inquiry Complaint 6.2(476) 6.3(476) Processing the complaint Proposed resolution 6.4(476) Initiating formal complaint proceedings 6.5(476) Applicable procedures 6.6(476) Record 6.7(476) 6.8(476) Special procedures for complaints alleging unauthorized changes in telecommunications services **CHAPTER 7** PRACTICE AND PROCEDURE Scope and applicability 7.1(17A,474,476) **Definitions** 7.2(17A,476) Presiding officers 7.3(17A,476) General information 7.4(17A,474,476) 7.5(17A,476) Time requirements 7.6(17A,476) Telephone proceedings Electronic information 7.7(17A,476) Delivery of notice of hearing 7.8(17A,476) Pleadings and answers 7.9(17A,476) Prefiled testimony and exhibits 7.10(17A,476) Documentary evidence in books and materials 7.11(17A,476) Motions 7.12(17A,476) 7.13(17A,476) Intervention Consolidation and severance 7.14(17A,476) 7.15(17A,476) Discovery Subpoenas 7.16(17A,476) Prehearing conference 7.17(17A,476) 7.18(17A,476) Settlements 7.19(17A,476) Stipulations 7.20(17A,476) Investigations Withdrawals 7.21(17A,476) 7.22(17A,476) Ex parte communication Hearings 7.23(17A,476) Reopening record 7.24(17A,476) 7.25(17A,476) Interlocutory appeals 7.26(17A,476) Appeals to board from a proposed decision of a presiding officer

7.27(17A,476)	Rehearing and reconsideration
7.28(17A,476) Stay of agency decision	
7.29(17A,476)	Emergency adjudicative proceedings
	CHAPTER 8
	CIVIL PENALTIES
8.1(476)	Civil penalty for willful violation
8.2(476)	Procedure
8.3(476)	Penalties assessed
8.4(476)	Payment of penalty
8.5(476)	Rate-regulated utilities
0.0(170)	•
	CHAPTER 9
	RESTORATION OF AGRICULTURAL LANDS
	DURING AND AFTER PIPELINE CONSTRUCTION
9.1(479,479B)	General information
9.2(479,479B)	Filing of land restoration plans
9.3(479,479B)	Procedure for review of plan
9.4(479,479B)	Restoration of agricultural lands
9.5(479,479B)	Designation of a pipeline company point of contact for landowner inquiries or claims
9.6(479,479B)	Separate agreements
9.7(479,479B)	Enforcement
	CHAPTER 10
	INTRASTATE GAS AND UNDERGROUND GAS STORAGE
10.1(479)	General information
10.2(479)	Petition for permit
10.3(479)	Informational meetings
10.4(479)	Notice of hearing
10.5(479)	Objections
10.6(479)	Hearing
10.7(479)	Pipeline permit
10.8(479)	Renewal permits
10.9(479)	Amendment of permits
10.10(479)	Fees and expenses
10.11(479)	Inspections
10.12(479)	Standards for construction, operation and maintenance
10.13	Reserved
10.14(479)	Crossings of highways, railroads, and rivers
10.15	Reserved
10.16(479)	When a permit is required
10.17(479)	Reports to federal agencies
10.18(479)	Reportable changes to pipelines under permit
10.19(479)	Sale or transfer of permit
	CHAPTER 11
	ELECTRIC LINES
11.1(478)	General information
11.2(478)	Forms of petition for franchise, extension, or amendment of franchise
11.3(478)	Additional filing instructions
11.4(478)	Informational meetings
11.5(478)	Notices

11.6(478)	Common and joint use	
11.7(478)	Termination of franchise petition proceedings	
11.8(478)	Fees and expenses	
()		
	CHAPTER 12	
	INTERSTATE NATURAL GAS PIPELINES	
	AND UNDERGROUND STORAGE	
12.1(479A)	Authority	
12.2(479A)	Inspections	
12.3 to 12.5	Reserved	
12.6(479A)	Incident reporting	
()		
	CHAPTER 13	
HAZ	HAZARDOUS LIQUID PIPELINES AND UNDERGROUND STORAGE	
13.1(479B)	General information	
13.2(479B)	Petition for permit	
13.3(479B)	Informational meetings	
13.4(479B)	Notice of hearing	
13.5(479B)	Objections	
13.6(479B)	Hearing	
13.7(479B)	Pipeline permit	
13.8(479B)	Renewal permits	
13.9(479B)	Amendment of permits	
13.10(479B)	Fees and expenses	
13.11	Reserved	
13.12(479B)	Land restoration	
13.12(477B) 13.13		
13.14(479B)	Reserved  Crossings of highways railroads and rivers	
13.14(479B) 13.15 to 13.17	Crossings of highways, railroads, and rivers Reserved	
13.18(479B)	Reportable changes to pipelines under permit	
13.19(479B)	Sale or transfer of permit	
	CHAPTER 14	
	ELECTRONIC FILING	
14.1(17A,476)	Purpose	
14.2(17A,476)	Scope and applicability of electronic filing requirement	
14.3(17A,476)	Definitions	
14.4(17A,476)		
14.5(17A,476)	Electronic filing procedures and required formats	
14.6(17A,476)	Registration	
. , ,	<u> </u>	
14.7(17A,476) 14.8(17A,476)	14.7(17A,476) Electronic file	
, , ,	Paper copies required  When electronic filings can be made: official filing data	
14.9(17A,476)	When electronic filings can be made; official filing date	
	(17A,476) Notice of system unavailability	
	11(17A,476) Technical difficulties	
14.12(17A,476)	Documents containing confidential material	
14.13(17A,476)	Signatures	
14.14(17A,476)	Original documents	
14.15(17A,476)	Transcripts	
14.16(17A,476)	Electronic service	

### UTILITIES AND TRANSPORTATION DIVISIONS

# CHAPTER 15

	CHAPTER 15	
	COGENERATION AND SMALL POWER PRODUCTION	
15.1(476) Definitions		
15.2(476)	Scope	
15.3(476)	Information to board	
15.4(476) Rate-regulated electric utility obligations under this chapter regarding qualifying facilities		
15.5(476)	Rates for purchases from qualifying facilities by rate-regulated electric utilities	
15.6(476) 15.7(476)	Rates for sales to qualifying facilities and AEP facilities by rate-regulated utilities Additional services to be provided to qualifying facilities and AEP facilities by rate-regulated electric utilities	
15.8(476)	Interconnection costs	
15.9(476)	System emergencies	
15.10(476)	Standards for interconnection, safety, and operating reliability	
15.11(476)	Additional rate-regulated utility obligations regarding AEP facilities	
15.12 to 15.16	Reserved	
15.17(476)	Alternate energy purchase programs	
15.18(476B)	Certification of eligibility for wind energy tax credits under Iowa Code chapter 476B	
15.19(476C)	Certification of eligibility for wind energy and renewable energy tax credits under Iowa Code chapter 476C	
15.20(476B) Applications for wind energy tax credits under Iowa Code chapter 476B		
15.21(476C)	Applications for renewable energy tax credits under Iowa Code chapter 476C	
15.22(476)		
	CHAPTER 16	
	ACCOUNTING	
16 1(476)	Accounting—general information	
16.1(476) 16.2(476)	Uniform systems of accounts—electric	
16.3(476)	Uniform systems of accounts—gas	
16.4(476)	Uniform systems of accounts—gas  Uniform systems of accounts—water	
16.5(476)	Uniform systems of accounts—telephone	
16.6(476)	Uniform systems of accounts—telegraph	
16.7(476)	Filing of present promotional practices	
16.8(476)	Compiling advertisements and expenses	
16.9(476)	Postemployment benefits other than pensions	
10.9(4/0)	Fostemployment benefits other than pensions	
	CHAPTER 17	
	ASSESSMENTS	
17.1(475A,476,546		
17.2(475A,476)	Definitions	
17.3(476)	Expenses to be included in direct assessments	
17.4(476)	Direct assessments under Iowa Code Supplement section 476.10	
17.5(476)	Reporting of operating revenues	
17.6(475A,476)	Compilation and billing of assessment	
17.7(476)	Funding of Iowa energy center and global warming center	
17.8(476)	Assessments under Iowa Code section 476.101(10)	
17.9(478,479,479A	(479B) Assessments under Iowa Code chapters 478, 479, 479A, and 479B	

# CHAPTER 18 UTILITY RECORDS

	UTILITY RECORDS		
18.1(476)	Definitions		
18.2(476)	Location of records		
18.3(476)	Availability of records		
18.4(476)	Electric utilities other than rural electric cooperatives		
18.5(476)	Rural electric cooperatives		
18.6(476)	Gas utilities		
18.7(476)	Water utilities		
18.8(476)	Telephone utilities		
	CVI I DEED 10		
	CHAPTER 19		
10.1(476)	SERVICE SUPPLIED BY GAS UTILITIES		
19.1(476)	General information		
19.2(476)	Records, reports, and tariffs		
19.3(476)	General service requirements		
19.4(476)	Customer relations		
19.5(476)	Engineering practice		
19.6(476)	Metering		
19.7(476)	Standards of quality of service		
19.8(476)	Safety		
19.9	Reserved		
19.10(476)	Purchased gas adjustment (PGA)		
19.11(476)	Periodic review of gas procurement practices [476.6(15)]		
19.12(476)	Flexible rates		
19.13(476)	Transportation service		
	19.14(476) Certification of competitive natural gas providers and aggregator		
19.15(476)			
19.16(476)	Reserve margin		
19.17(476)	Incident notification and reports		
19.18(476) Capital infrastructure investment automatic adjustment mechanism			
	CHAPTER 20		
	SERVICE SUPPLIED BY ELECTRIC UTILITIES		
20.1(476)	General information		
20.2(476)	Records, reports, and tariffs		
20.3(476)	General service requirements		
20.4(476)	Customer relations		
20.5(476)	Engineering practice		
20.6(476)	Metering		
20.7(476)	Standards of quality of service		
20.8(476)	Safety		
20.9(476)	Electric energy sliding scale or automatic adjustment		
20.10(476)	Ratemaking standards		
20.11(476)	Customer notification of peaks in electric energy demand		
20.12	Reserved		
20.13(476)	Periodic electric energy supply and cost review [476.6(16)]		
20.14(476)	Flexible rates		
20.15(476)	Customer contribution fund		
20.16	Reserved		
20.17(476)	Ratemaking treatment of emission allowances		
-0.17(170)	Taranta de Cambolon ano manoro		

20.18(476,478)	Service reliability requirements for electric utilities	
20.19(476,478)	Notification of outages	
	CHADTED 21	
	CHAPTER 21 SERVICE SUPPLIED BY WATER UTILITIES	
21.1(476)	Application of rules	
21.2(476)	Records and reports	
21.3(476)	General service requirements	
21.4(476)	Customer relations	
21.5(476)	Engineering practice	
21.6(476)	Meter testing	
21.7(476)	Standards of quality of service	
21.8(476)	Applications for water costs for fire protection services	
21.9(476)	Incident reports	
	CHAPTER 22	
	SERVICE SUPPLIED BY TELEPHONE UTILITIES	
22.1(476)	General information	
22.2(476)	Records and reports	
22.3(476)	General service requirements	
22.4(476)	Customer relations	
22.5	Reserved	
22.6(476)	Standards of quality of service Protective measures	
22.7(476) 22.8 to 22.11	Reserved	
22.12(476)	Content of wholesale tariff filings proposing rate changes	
22.13	Reserved	
22.14(476)	Intrastate access charge application, tariff procedures, and rates	
22.15(476)	Interexchange utility service and access	
22.16(476)	Discontinuance of service	
22.17(476)	Resale of service	
22.18	Reserved	
22.19(476)	Alternative operator services	
22.20(476)	Service territories	
22.21 and 22.22		
22.23(476)	Unauthorized changes in telephone service	
22.24(476)	Applications for numbering resources	
CHAPTER 23		
	ANNUAL REPORT	
23.1(476)	General information	
23.2(476)	Annual report requirements—rate-regulated utilities	
23.3(476)	Annual report requirements—non-rate-regulated utilities	
	CHAPTER 24	
	LOCATION AND CONSTRUCTION OF ELECTRIC POWER	
24.1(476.4)	GENERATING FACILITIES	
24.1(476A) 24.2(476A)	Authority, purpose, and policy Definitions	
24.2(476A) 24.3(476A)	Form of application, place of filing	
24.4(476A)	Application for a certificate—contents	
24.5(476A)	Initial board review: Application acceptance	
24.6(476A)	Procedural schedule	

	24.7(476A)	Informational meeting
	24.8(476A)	Hearing procedure
	24.9(476A)	Separate hearings on separate issues
		Certification decision
	24.11(476A)	Site preparation
	24.12(476A)	Issuance of a certificate
	24.13(476A)	Exemptions from certification application; application for amendment for
	24.14(47(4)	certificate: Contents
	24.14(476A)	Assessment of costs
	24.15(476A)	Waiver
		CHAPTER 25
		IOWA ELECTRICAL SAFETY CODE
	25.1(476,476A,478	General information
	25.2(476,476A,478	
	25.3(476,478)	Inspection and maintenance plans
	25.4(476,478)	Correction of problems found during inspections and pole attachment procedures
	25.5(476,478)	Accident reports
	23.3(470,470)	recident reports
		CHAPTER 26
		RATE CASES, TARIFFS, AND
	R.A	ATE REGULATION ELECTION PRACTICE AND PROCEDURE
	26.1(17A,476)	Scope and applicability
	26.2(17A,476)	Defective filings
	26.3(17A,476)	Proposal of settlements
	26.4(476)	Rate case expense
	26.5(476)	Applications and petitions
	26.6(476)	Answers
	26.7(476)	Rate investigation
	26.8(476)	Procedural schedule in Iowa Code sections 476.3 and 476.6 proceedings
	26.9(476)	Consumer comment hearing in docketed rate case of an investor-owned utility company
	26.10(476)	Appeal from administrative law judge's decision
	26.11(476)	Consideration of current information in rate regulatory proceedings
	26.12(476)	Rate regulation election—electric cooperative corporations and associations
	_ = = ( . , = )	
		CHAPTERS 27 and 28
		Reserved
		CHAPTER 29
		MANAGEMENT EFFICIENCY STANDARDS
	29.1(476)	Policy and purpose
	29.2(476)	Efficiency considered in rate case
	29.3(476)	Management efficiency standards
	29.4(476)	Rewards and penalties
		CHAPTER 30 Reserved
		CHAPTER 21
	A CODGO T	CHAPTER 31
	ACCESS T	TO AFFILIATE RECORDS, REQUIREMENTS FOR ANNUAL FILINGS,
	21.1(476)	AND ASSET AND SERVICE TRANSFERS
	31.1(476)	Applicability and definition of terms
	31.2(476)	Availability of records

31.3(476)	Annual filing
31.4	Reserved
31.5(476)	Verified copies and confidential treatment
31.6(476)	Comparable information
31.7(476)	Standards for costing service transfers between regulated operations and nonregulated affiliates
31.8(476)	Standards for costing asset transfers between regulated operations and non-regulated affiliates valued at less than \$2 million
31.9(476)	Waivers
. ,	
	CHAPTER 32
22.1(476)	REORGANIZATION
32.1(476)	Applicability and definition of terms
32.2(476)	Substantial part of a public utility's assets
32.3(476)	Declaratory rulings
32.4(476)	Proposal for reorganization—filing requirements
32.5(476)	Effective date
32.6(476)	Insufficient filing
32.7(476)	Additional information authorized
32.8(476)	Waivers
32.9(476)	Procedural matters
	CHAPTER 33
	NONUTILITY ACTIVITIES—RECORD KEEPING
	AND COST ALLOCATIONS
33.1(476)	Applicability
33.2(476)	Definitions
33.3(476)	Availability of records
33.4(476)	Costing methodology
33.5(476)	Cost allocation manuals
33.6(476)	Standards for costing service transfers within a regulated subsidiary or utility
33.7(476)	Standards for costing asset transfers within a regulated subsidiary or utility
	CHAPTER 34
244(456)	NONUTILITY SERVICE
34.1(476)	Statement of purpose
34.2(476)	Definition—nonutility service
34.3(476)	Definition—systematic marketing effort
34.4(476)	Engaged primarily in providing the same competitive nonutility services in the area—defined
34.5(476)	Charges permitted
34.6(476)	Procedures for utilization of billing and collection system
34.7(476)	Complaints
	CHAPTER 35
	ENERGY EFFICIENCY PLANNING AND COST REVIEW
35.1(476)	Policy and purpose
35.2(476)	Definitions
35.3(476)	Applicability
35.4(476)	Schedule of filings
35.5	Reserved
35.6(476)	Procedures
35.7(476)	Waivers
( ., 5)	· · · · · · · · · · · · · · · · · · ·

35.8(476)	Assessment of potential and energy efficiency plan requirements	
` '		
35.9(476) Additional requirements for electric utilities 35.10(476) Additional requirements for gas utilities		
35.10(476) 35.11(476)	Additional filing requirements	
35.12(476)	Energy efficiency cost recovery	
35.12(476)	Prudence review	
35.14(476)	New structure energy conservation standards	
35.15(476)	Exterior flood lighting	
33.13(470)	Exterior flood righting	
	CHAPTER 36	
	ENERGY EFFICIENCY PLANNING AND REPORTING	
FOR NATURAL (	GAS AND ELECTRIC UTILITIES NOT REQUIRED TO BE RATE-REGULATED	
36.1(476)	Utilities not required to be rate-regulated	
36.2(476)	Definitions	
36.3(476)	Initial energy efficiency plan filing	
36.4	Reserved	
36.5(476)	Energy efficiency report and plan update requirements	
36.6(476)	Joint filing of initial energy efficiency plans or energy efficiency reports	
36.7(476)	New Structure energy conservation standards	
36.8(476)	Exterior flood lighting	
	CHADTED 27	
CHAPTER 37 EQUIPMENT DISTRIBUTION PROGRAM		
37.1(477C)	Policy and purpose	
37.1(477C) 37.2(477C)	Program structure	
37.3(477C)	Eligibility	
37.4(477C)	Equipment	
37.5(477C)	Complaints	
57.5(477C) Complaints		
	CHAPTER 38	
	LOCAL EXCHANGE COMPETITION	
38.1(476)	General information	
38.2(476)	Number portability	
38.3(476)	Interconnection requirements	
38.4(476)	Unbundled facilities, services, features, functions, and capabilities	
38.5(476)	Cost standards	
38.6(476)	Compensation for termination of telecommunications services	
38.7(476)	Mediation and arbitration	
	CHAPTER 39	
	UNIVERSAL SERVICE	
39.1(476)	Authority and purpose	
39.2(476)	Definition of terms	
39.3(476)	Applying for designation as an eligible telecommunications carrier	
39.4(476)	Lifeline-only applicants	
39.5(476)	Service area	
39.6(476)	Universal service support for low-income consumers (Lifeline program and Tribal	
. ,	Link Up program)	
39.7(476)	Schedule of filings	
39.8(476)	Relinquishment of ETC designation	

	CHAPTER 40
	COMPETITIVE BIDDING PROCESS
40.1(476)	General information
40.2(476)	Competitive resource acquisition procedure
40.3(476)	Utility-build or lease cost estimates
40.4(476)	Utility affiliate bids
40.5(476)	Request for proposals (RFP)
40.6(476)	Complaints
	CHAPTED 41
	CHAPTER 41 Reserved
	Reserved
	CHAPTER 42
	CROSSING OF RAILROAD RIGHTS-OF-WAY
42.1(476)	Definitions
42.2(476)	Applicability and purpose
42.3(476)	General notice and specification exhibit requirements and payment of fee
42.4(476)	Emergency notice and repairs
42.5(476)	Relocation of public utility facilities
42.6(476)	Engineering standards for electric and communications lines
42.7(476)	Engineering standards for pipelines
42.8(476)	Liability
42.9(476) Insurance	
42.10(476) Removal of equipment	
42.11(476) Assignment	
42.12(476) Prohibition against mechanic's liens	
42.13(476) Taxes	
42.14(476)	Protection of signal systems
42.15(476) Safety regulations	
42.16(476) Recording	
42.17(17A,476) Complaints and petitions for relief—general information	
42.18(17A,476) Filing of complaint or petition	
42.19(17A,476) Presiding officer	
42.20(17A,476)	Answer
42.21(17A,476) Parties and appearances	
42.22(17A,476) Procedural order and notice of hearing	
42.23(17A,476) Discovery	
42.24(17A,476) 42.25(17A,476)	Hearing procedures Decision
42.23(1/A,4/0)	Decision
	CHAPTER 43
	Reserved
	CHAPTER 44
	CHAPTER 44
	CERTIFICATES OF FRANCHISE AUTHORITY FOR
44 1(17 <b>A</b> 476 477	CABLE AND VIDEO SERVICE
44.1(17A,476,477	,
44.2(17A,476,477,44.3(17A,476,477,476,476	
44.3(17A,476,477) 44.4(17A,476,477)	
44.5(17A,476,477A) Conversion of municipal franchise by incumbent cable provider	

44.6(17A,476,477A)	Revocation of certificates, termination of service, reinstatement of previously
	terminated municipal franchises
44 7(17A 476 477A)	Assessment of board costs

# CHAPTER 45

# ELECTRIC INTERCONNECTION OF DISTRIBUTED GENERATION FACILITIES

45.1(476)	Definitions
45.2(476)	Scope
45.3(476)	Technical standards
45.4(476)	Interconnection requests
45.5(476)	General requirements
45.6(476)	Lab-certified equipment
45.7(476)	Determining the review level
45.8(476)	Level 1 expedited review
45.9(476)	Level 2 expedited review
45.10(476)	Level 3 expedited review
45.11(476)	Level 4 review
45.12(476)	Disputes
45.13(476)	Records and reports