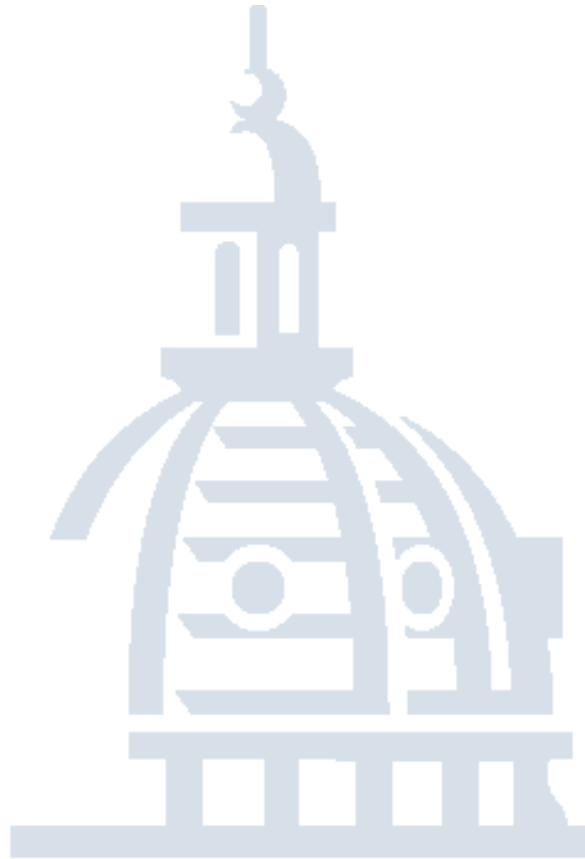

**TRANSPORTATION, INFRASTRUCTURE, AND
CAPITALS APPROPRIATIONS SUBCOMMITTEE
DETAILED ANALYSIS OF THE
FY 2012 GOVERNOR'S RECOMMENDATIONS**



FISCAL SERVICES DIVISION

FEBRUARY 2011



**LEGISLATIVE
SERVICES AGENCY**

Serving the Iowa Legislature

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**TRANSPORTATION, INFRASTRUCTURE, & CAPITALS
APPROPRIATIONS SUBCOMMITTEE MEMBERS**

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Royd Chambers

Jarad Klein

Jo Oldson

Brian Quirk

Jeremy Taylor

Roger Thomas

LEGISLATIVE SERVICES AGENCY

Fiscal Services Division

Marcia Tannian (515-281-7942)

marcia.tannian@legis.state.ia.us

Legal Services Division

Diane Ackerman (515-281-7257)

diane.ackerman@legis.state.ia.us

Rachele Hjelmaas (515-281-8127)

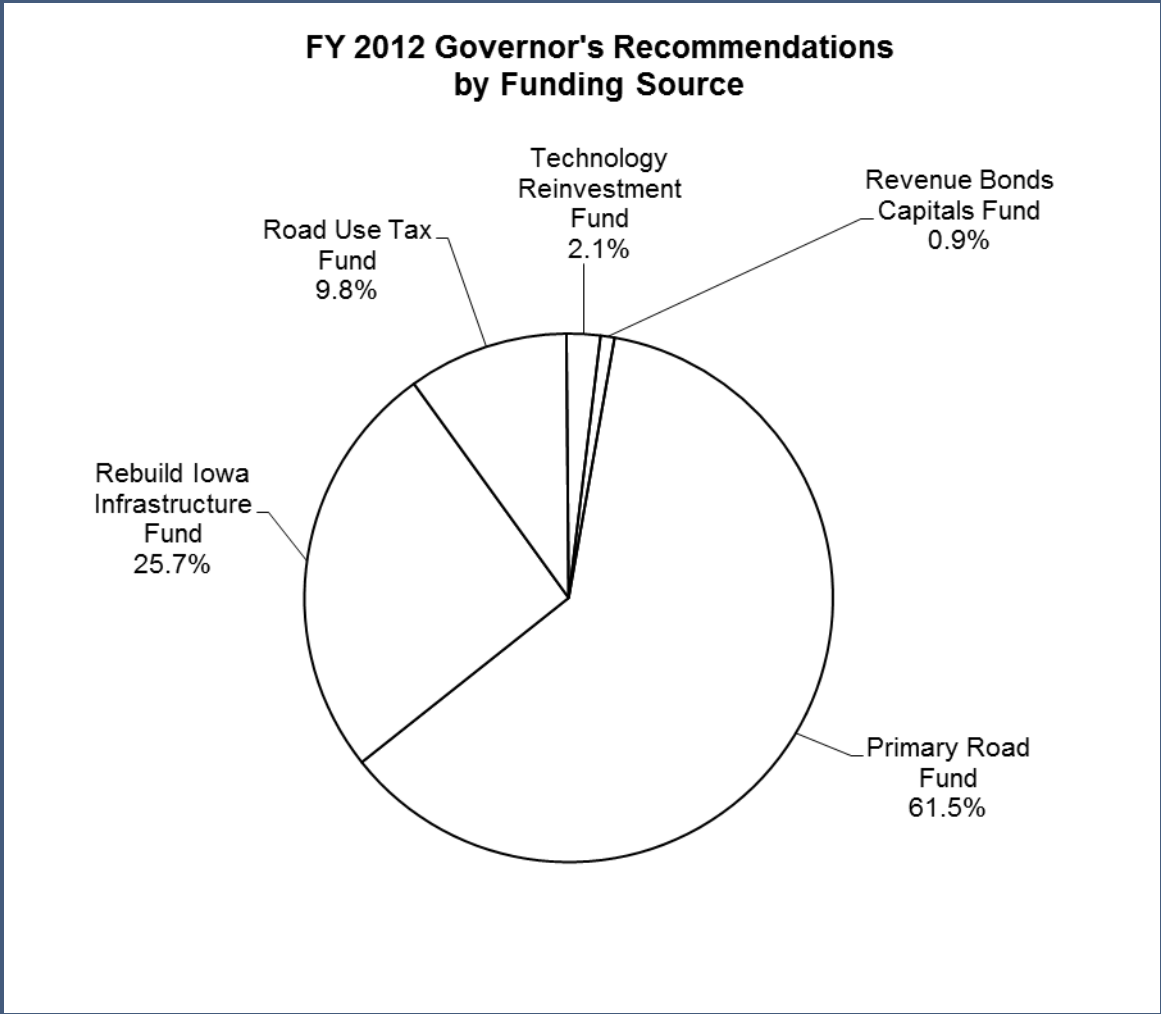
rachele.hjelmaas@legis.state.ia.us

Ann Ver Heul (515-281-3837)

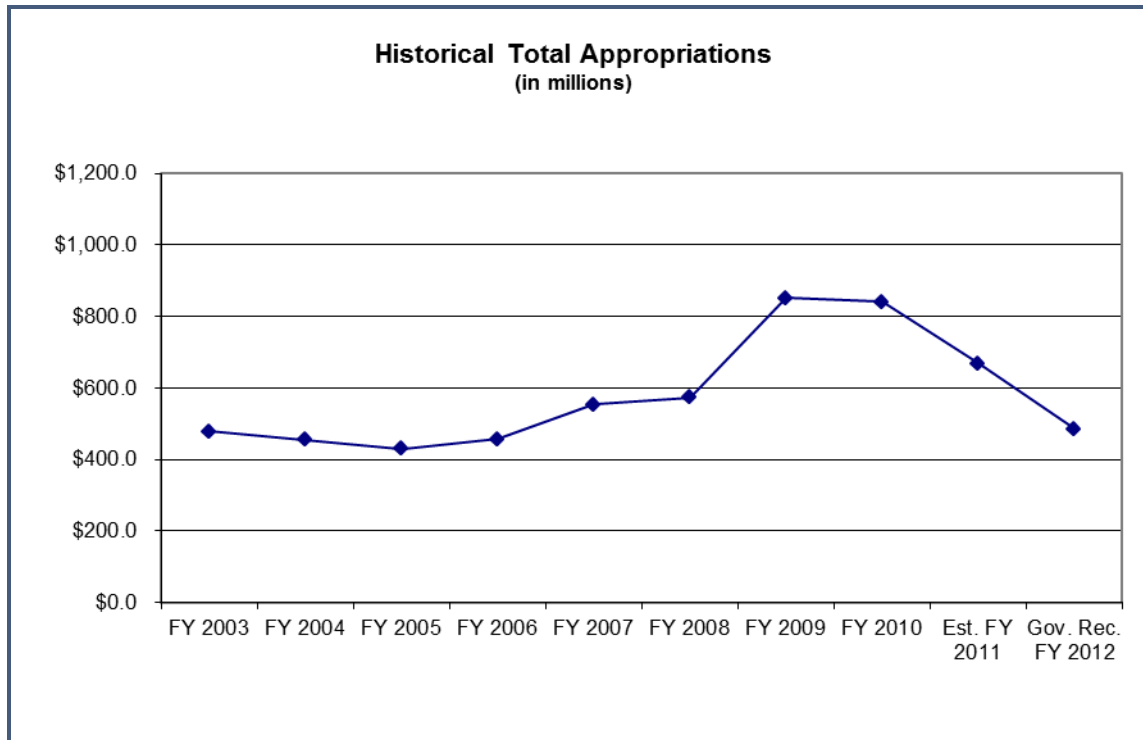
ann.verheul@legis.state.ia.us

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TRANSPORTATION, INFRASTRUCTURE, AND CAPITALS APPROPRIATIONS SUBCOMMITTEE



FY 2012 Governor's Recommendations by Funding Source	
Primary Road Fund	\$ 298,348,337
Rebuild Iowa Infrastructure Fund	124,703,269
Road Use Tax Fund	47,617,319
Technology Reinvestment Fund	10,002,684
Revenue Bonds Capitals Fund	4,130,952
Total	<u>\$ 484,802,561</u>



FY 2012 GOVERNOR'S RECOMMENDATIONS

Department of Transportation

The Department of Transportation's (DOT's) mission is to serve the public by advocating and delivering transportation service that supports the economic, environmental, and social vitality of Iowa. The Department consists of five operating divisions: Operations and Finance; Planning, Programming, and Modal; Motor Vehicle; Information Technology; and Highway. The four operating budget units that receive appropriations to fund the divisions include: Operations, Planning and Programming, Motor Vehicle, and Highway. In addition to the operating budget units, the DOT receives Special Purpose and Capital appropriations that are not part of the operating division budget units but are essential for operation of the Department.

The Governor is recommending FY 2012 appropriations totaling \$346.0 million. This is a decrease of \$4.8 million compared to estimated FY 2011. The total recommendation includes \$47.6 million from the Road Use Tax Fund and \$298.4 million from the Primary Road Fund. For FY 2013, the Governor is recommending an increase of \$4.9 million for a total recommendation of \$350.9 million.

Road Use Tax Fund and Primary Road Fund Recommendations

	<u>Estimated</u> <u>FY 2011</u>	<u>Gov Rec</u> <u>FY 2012</u>	<u>Gov Rec vs.</u> <u>Est. FY 2011</u>
<u>Transportation, Department of</u>			
Road Use Tax Fund			
Motor Vehicle	\$ 35,604,012	\$ 33,921,000	\$ -1,683,012
Operations	6,654,962	6,570,000	-84,962
Drivers' Licenses	3,876,000	3,876,000	0
County Treasurers Support	1,406,000	1,406,000	0
Scale Replacement	0	550,000	550,000
Planning & Programming	506,127	458,000	-48,127
Department of Administrative Services	225,000	225,000	0
Scale/MVD Facilities Maintenance	200,000	200,000	0
Workers' Compensation	137,000	119,000	-18,000
Road/Weather Conditions Info	100,000	100,000	0
Indirect Cost Recoveries	78,000	78,000	0
Auditor Reimbursement	67,319	67,319	0
Mississippi River Parkway Commission	40,000	40,000	0
Unemployment Compensation	7,000	7,000	0
N. America Super Corridor Coalition	50,000	0	-50,000
Subtotal - Road Use Tax Fund	<u>\$ 48,951,420</u>	<u>\$ 47,617,319</u>	<u>\$ -1,334,101</u>
Primary Road Fund			
Highway	\$ 237,565,726	\$ 230,913,992	\$ -6,651,734
Operations	40,951,274	40,356,529	-594,745
Planning & Programming	9,610,960	8,697,095	-913,865
Inventory & Equipment	2,250,000	5,366,000	3,116,000
Workers' Compensation	3,278,000	2,846,000	-432,000
Swea City Garage	0	2,100,000	2,100,000
Motor Vehicle	1,555,005	1,413,540	-141,465
Department of Administrative Services	1,382,000	1,388,000	6,000
Wastewater Treatment	1,000,000	1,000,000	0
Field Facility Deferred Maintenance	1,000,000	1,000,000	0
Garage Fuel & Waste Management	800,000	800,000	0
Indirect Cost Recoveries	572,000	572,000	0
Auditor Reimbursement	415,181	415,181	0
Utility Improvements	400,000	400,000	0
HVAC Improvements	200,000	400,000	200,000
Transportation Maps	242,000	242,000	0
Garage Roofing Projects	200,000	200,000	0
Unemployment Compensation	138,000	138,000	0
Ames Elevator Upgrade	100,000	100,000	0
ADA Improvements	120,000	0	-120,000
Subtotal - Primary Road Fund	<u>\$ 301,780,146</u>	<u>\$ 298,348,337</u>	<u>\$ -3,431,809</u>
Total Department of Transportation	<u>\$ 350,731,566</u>	<u>\$ 345,965,656</u>	<u>\$ -4,765,910</u>

Significant recommendations for the Highway budget unit include:

- An overall net decrease of \$6.7 million that reflects:
 - A decrease of \$8.7 million for staffing costs due to the decrease in Highway FTEs after the early retirement package effective in June 2010.
 - An increase of \$1.2 million for road salt costs.
 - An increase of \$334,000 for support to maintain additional lane miles added to the State system.
 - An increase of \$330,000 for traffic line marking paint.
 - An increase of \$203,000 for equipment depreciation.

Significant recommendations for Motor Vehicle budget include:

- A decrease of \$1.8 million for staffing costs due to the decrease in Motor Vehicle FTEs after the early retirement package effective in June 2010.

Significant special purpose recommendations include:

- Equipment Replacement – An increase of \$3.1 million for inventory and equipment replacement. This item is discussed under *Issues*.
- Worker’s Compensation – A decrease of \$450,000 for Worker’s Compensation costs.

Significant DOT capitals recommendations include:

- Garages – For FY 2012, a new recommendation of \$2.1 million from the Primary Road Fund for costs associated with a new maintenance garage in Swea City. For FY 2013, a new recommendation of \$5.4 million for costs associated with a new maintenance garage and administrative building in New Hampton. The DOT typically receives an appropriation for garages, but for FY 2011 no funding was provided for a garage. The Swea City garage was delayed until FY 2012.
- Scale Replacements – An increase of \$550,000 to begin to replace five interstate highway scales. The cost of a scale is typically \$275,000. The recommendation will replace scales in Jasper and Dallas Counties along I-80. For FY 2013, the recommendation includes \$550,000 that will replace two scales near Brandon on northbound and southbound of I-380.

Issues

REAL ID Update – The federal REAL ID Act was enacted on May 11, 2005. The Act created national standards for the issuance of state driver’s licenses and nonoperator identification cards and required states to implement REAL ID by May 11, 2008. After that date, the federal government would no longer recognize a state’s driver’s license or nonoperator identification card for federal purposes, including boarding commercial aircraft, unless it met the national standards or was granted an extension. Iowa requested and was granted an extension.

According to the National Conference of State Legislatures (NCSL), all 56 U.S. jurisdictions have received an extension. The federal government extended the dates for the extensions, so the most recent deadline was for the State to show it was in “material compliance” with 18 benchmarks established by the U.S. Department of Homeland Security by December 31, 2009. The deadline for full compliance is May 11, 2011. The State successfully achieved proof of material compliance within the deadline.

Absent a change in federal law or rule as of December 1, 2014, any individual born after December 1, 1964, that flies commercially and uses a driver's license or nonoperator identification card, must have a REAL ID-compliant document. By December 1, 2017, all individuals, regardless of age, must have the credential. (Other forms of identification will be accepted, including passports.)

To fully comply with the REAL ID Act, states must follow a number of procedural and security requirements designed to verify lawful status and identity and protect against fraud in the issuance process and misuse or theft of the materials used to produce drivers' licenses and/or identification cards. In the past year, the DOT implemented central issuance with additional credential security features that enhanced overall credential security. The Department is conducting a gap analysis of its security and progressed with implementing photo-first procedures that will enhance security by capturing a digital image of all applicants, whether issued or not. The DOT is implementing and participating in other activities to improve security. For example, the Department implemented scanning and retaining all source documents presented at the time of application and generally reviewed and revised its rules and procedures to comply with requirements. The DOT anticipates making REAL ID credentials marked as being in substantial compliance available to the public on or about May 1, 2011, the current compliance deadline. According to the DOT, however, recent discussions with U.S. Department of Homeland Security (US-DHS) staff suggest that the federal government will ask the State to hold on those plans pending additional guidance from them that will extend the compliance deadline and make other implementation rule changes.

No additional funding has been requested for implementation of REAL-ID because the DOT is working with existing staff and systems.

Equipment Replacement Backlog – The DOT requested an increase of \$3.1 million for inventory and equipment replacement funds for FY 2012. The funding will cover the incremental difference (replacement cost minus original cost) in replacement equipment costs. The DOT did not request an appropriation for inventory and equipment in FY 2003 to FY 2006 as a way to keep budget increases down. For the last five fiscal years, the amount appropriated has been held constant at \$2.3 million. The funding has not been sufficient to replace the equipment on schedule, and a backlog of equipment needs exists. The increase in the inventory and equipment appropriation will help the Department address the needs over the new few fiscal years so that a normal replacement schedule can resume.

The additional FY 2012 funding will purchase six medium duty trucks (total cost \$726,000), 10 heavy duty trucks (total cost \$1.5 million), one snow blower (\$500,000), and two medium duty loaders (total cost \$360,000). The last purchase of a snow blower was twenty years ago, and parts are no longer available from the manufacturer. The Department advises that funding for inventory and equipment will need to remain at the higher level of \$5.4 million for a few years in order to get through the backlog of equipment needs.

The Governor is recommending \$5.4 million for inventory and equipment replacement for FY 2012 and FY 2013.

Underground Storage Tank Fund – Unused Funding – The Statutory Allocations Fund, that receives its revenue from driver's license fees, nonoperator identification card fees, trailer registration fees, title and salvage title fees, title surcharge fees, use tax, and car rental tax, provides an annual allocation of \$17.0 million for the Underground Storage Tank Fund and Program. This allocation replaced the equal amount that previously was transferred from the Motor Vehicle Use Tax when SF 2420 (TIME-21 Act) restructured the way road revenues were deposited and allocated. A portion of the allocation had been used to pay the debt service on the Underground Storage Tank bonds that were issued through the Iowa Finance Authority to provide funds for the cleanup of underground fuel storage tanks. In accordance

with HF 2651 (Highway Motor Vehicle Policy Act), the bonds were defeased in June 2008. Currently, the \$17.0 million allocation is used for administration of the Program and ongoing cleanup costs. Typically the allocation exceeds the expenditures. The Underground Storage Tank (UST) Fund has maintained and accumulated a balance, and occasionally the General Assembly has appropriated from the Fund for a variety of purposes. For example in the 2010 Session, approximately \$26.4 million was appropriated from the UST Fund. The General Assembly may want to adjust the language in the UST Fund so that anything unencumbered from the \$17.0 million allocation at the end of the fiscal year transfers to one of the road funds, such as the Road Use Tax Fund or the TIME-21 Fund or for another specified purpose.

Infrastructure and Capital Recommendations

The Governor is recommending a total of \$138.8 million for infrastructure-related projects and programs for FY 2012. This is a decrease of \$179.6 million compared to estimated FY 2011. Primary infrastructure funding sources for FY 2012 include the Rebuild Iowa Infrastructure Fund (RIIF), Technology Reinvestment Fund, and one appropriation from the Revenue Bonds Capitals Fund (RBC).

Of the \$138.8 million in recommendations, \$124.7 million is being recommended from the RIIF, \$10.0 million from the Technology Reinvestment Fund, and \$4.1 million from the RBC.

Rebuild Iowa Infrastructure Fund Recommendations

	<u>Estimated FY 2011</u>	<u>Gov Rec FY 2012</u>	<u>Gov Rec vs. Est. FY 2011</u>
<u>Administrative Services</u>			
Capitol Shuttle	\$ 200,000	\$ 0	\$ -200,000
Iowa Building Operations	1,083,175	0	-1,083,175
DAS Distribution Account	3,700,000	0	-3,700,000
Major Maintenance	0	4,500,000	4,500,000
Total Administrative Services	\$ 4,983,175	\$ 4,500,000	\$ -483,175
<u>Agriculture and Land Stewardship</u>			
Watershed Improvement Review Board Admin	50,000	0	-50,000
Total Agriculture and Land Stewardship	\$ 50,000	\$ 0	\$ -50,000
<u>Blind, Department for the</u>			
Replace Air Handlers	\$ 0	\$ 1,065,674	\$ 1,065,674
Newsline Service	20,000	0	-20,000
Total Department for the Blind	\$ 20,000	\$ 1,065,674	\$ 1,045,674
<u>Corrections</u>			
Fort Madison Initial One Time Costs	0	6,155,077	6,155,077
Mitchellville Initial One Time Costs	0	4,661,556	4,661,556
ICIW Mitchellville Construction	0	12,900,000	12,900,000
Fort Madison Construction	0	1,000,000	1,000,000
Construction Project Management	0	4,500,000	4,500,000
Total Corrections	\$ 0	\$ 29,216,633	\$ 29,216,633

	<u>Estimated</u> <u>FY 2011</u>	<u>Gov Rec</u> <u>FY 2012</u>	<u>Gov Rec vs.</u> <u>Est. FY 2011</u>
<u>Economic Development</u>			
Workforce Training and Economic Dev	\$ 2,000,000	\$ 0	\$ -2,000,000
Regional Sports Authorities	500,000	0	-500,000
Grow Iowa Values Fund	38,000,000	25,000,000	-13,000,000
6th Avenue Corridor - Main Streets	100,000	0	-100,000
Port Authority-Economic Dev SE Iowa	50,000	0	-50,000
World Food Prize Borlaug/Ruan Scholar Prog	100,000	0	-100,000
Blank Park Zoo Expansion	500,000	0	-500,000
Total Economic Development	\$ 41,250,000	\$ 25,000,000	\$ -16,250,000
<u>Education</u>			
Enrich Iowa	\$ 500,000	\$ 0	\$ -500,000
IPTV Building Purchase	0	1,255,550	1,255,550
Total Education	\$ 500,000	\$ 1,255,550	\$ 755,550
<u>Iowa Finance Authority</u>			
State Housing Trust Fund	\$ 1,000,000	\$ 0	\$ -1,000,000
I-JOBS Administration	200,000	0	-200,000
Facilities Multiple-Handicapped - Polk County	250,000	0	-250,000
Total Iowa Finance Authority	\$ 1,450,000	\$ 0	\$ -1,450,000
<u>Natural Resources</u>			
Floodplain Management & Dam Safety	\$ 2,000,000	\$ 2,000,000	\$ 0
Honey Creek Asset Manager	100,000	0	-100,000
State Park Infrastructure	0	5,000,000	5,000,000
Lakes Restoration & Water Quality	0	8,600,000	8,600,000
Rock Creek Permanent Shelter	40,000	0	-40,000
Total Natural Resources	\$ 2,140,000	\$ 15,600,000	\$ 13,460,000
<u>Public Defense</u>			
Facility/Armory Maintenance	\$ 1,500,000	\$ 2,000,000	\$ 500,000
Muscatine Readiness Center	0	100,000	100,000
Statewide Modernization Readiness Ctrs	1,800,000	1,800,000	0
Camp Dodge Infrastructure Upgrades	0	1,000,000	1,000,000
Middletown AF Readiness Center	100,000	0	-100,000
Iowa Falls Readiness Center	500,000	0	-500,000
Cedar Rapids AF Readiness Center	200,000	0	-200,000
Total Public Defense	\$ 4,100,000	\$ 4,900,000	\$ 800,000
<u>Public Health</u>			
Vision Screening	\$ 100,000	\$ 0	\$ -100,000
Total Public Health	\$ 100,000	\$ 0	\$ -100,000
<u>Public Safety</u>			
DPS Radio Conversion	\$ 0	\$ 2,500,000	\$ 2,500,000
Total Public Safety	\$ 0	\$ 2,500,000	\$ 2,500,000

	<u>Estimated FY 2011</u>	<u>Gov Rec FY 2012</u>	<u>Gov Rec vs. Est. FY 2011</u>
<u>Regents, Board of</u>			
SUI - Iowa Flood Center	\$ 1,300,000	\$ 1,300,000	\$ 0
Regents Tuition Replacement	24,305,412	24,305,412	0
ISU - Vet Equipment Modernize Blank Park Zoo	400,000	0	-400,000
Fire Safety and Deferred Maint - All Institutions	<u>0</u>	<u>4,000,000</u>	<u>4,000,000</u>
Total Regents	\$ 26,005,412	\$ 29,605,412	\$ 3,600,000
<u>Transportation</u>			
Public Transit Infrastructure	\$ 0	\$ 2,000,000	\$ 2,000,000
Commercial Air Service	0	1,500,000	1,500,000
General Aviation Grants	750,000	750,000	0
Recreational Trails	0	2,000,000	2,000,000
Rail Revolving Loan & Grant Fund	2,000,000	2,000,000	0
Local Roads 50/50 Split	<u>24,700,000</u>	<u>0</u>	<u>-24,700,000</u>
Total Transportation	\$ 27,450,000	\$ 8,250,000	\$ -19,200,000
<u>Treasurer of State</u>			
Treasurer of State			
County Fair Improvements	\$ 1,060,000	\$ 1,060,000	\$ 0
Total Treasurer of State	\$ 1,060,000	\$ 1,060,000	\$ 0
<u>Veterans Affairs</u>			
Veterans Affairs, Department of			
Veterans Home Ownership Assistance	\$ 1,000,000	\$ 1,000,000	\$ 0
Iowa Veterans Home Capitals Request	<u>0</u>	<u>750,000</u>	<u>750,000</u>
Total Veterans Affairs	\$ 1,000,000	\$ 1,750,000	\$ 750,000
Total Rebuild Iowa Infrastructure Fund	\$ 110,208,587	\$ 124,703,269	\$ 14,494,682

Changes to Current Law RIIF Appropriations:

The Governor is recommending the deappropriation of \$43.7 million from FY 2012 Rebuild Iowa Infrastructure Fund (RIIF) appropriations. Under current law, there is \$99.9 million in previously enacted and standing appropriations from the RIIF for FY 2012. In addition, under current law there is \$85.0 million in previously enacted and standing appropriations from the RIIF for FY 2013. The Governor is recommending the deappropriation of \$37.2 million from these FY 2013 appropriations.

Significant changes to current law FY 2012 RIIF appropriations are as follows:

- Eliminating \$10.0 million from the RIIF that deposits into the Secure an Advanced Vision for Education (SAVE) Fund. For FY 2011, this appropriation was eliminated as well. This item is discussed under *Issues*.
- Reducing the standing appropriation for the Environment First Fund from \$42.0 million to \$33.0 million.
- Eliminating the \$5.0 million for the Community Attraction and Tourism (CAT) grants and \$10.0 million for the River Enhancement Community Attraction and Tourism (RECAT) grants. The CAT funding was slated to resume at \$5.0 million from the RIIF and \$7.0 million from the

General Fund through FY 2013 and RECAT was slated to receive \$10.0 million annually through FY 2013.

- Eliminating the \$3.0 million standing appropriation to the State Housing Trust Fund. For FY 2011, the standing appropriation was reduced to \$1.0 million.
- Eliminating the \$6.5 million for Passenger Rail Service.
- Eliminating the \$200,000 that goes to the Iowa Finance Authority for administration of the I-JOBS Program.

Significant RIIF recommendations include:

- Department of Corrections – For FY 2012, \$7.2 million and \$5.9 million for Fort Madison and Mitchellville, respectively, for prison construction additional and one-time costs. The Mitchellville recommendation is in addition to the \$11.7 million that is previously enacted for the project from RIIF for FY 2012 and to the \$4.1 million recommended from the RBC for FY 2012. For FY 2013, the Governor is recommending \$16.3 million and \$2.9 million from the RIIF for Fort Madison and Mitchellville for additional and one-time costs, respectively. This is in addition to the \$8.8 million that was previously enacted for Mitchellville for FY 2013. The Department of Management advises that both projects will need some final additional funding in FY 2014, approximately \$3.0 million for Fort Madison and \$26.4 million for Mitchellville.
- Department of Economic Development – \$25.0 million for the Grow Iowa Values Fund (GIVF). Code Section 15G.111 provides a limited standing appropriation to the GIVF of \$50.0 million from the General Fund that sunsets at the end of FY 2015. For FY 2009 through FY 2011, the GIVF has been funded from the RIIF rather than the General Fund, at a reduced amount. The recommendations provide funding the GIVF at the level of \$25.0 million for both FY 2012 and FY 2013.
- Department of Natural Resources – \$8.6 million for lake restoration and water quality improvement program, including dredging projects, and \$2.0 million for the Floodplain Management program. In addition, the \$5.0 million appropriation for State park infrastructure that was previously enacted is not changed.
- Board of Regents – \$24.3 million for tuition replacement, the subsidy that pays most of the debt service for the Board’s academic revenue bonds that have been issued. Also, \$1.3 million for operations of the Iowa Flood Center at the University of Iowa and \$4.0 million for fire safety and deferred maintenance projects.
- Department of Administrative Services (DAS) – \$4.5 million for major maintenance for State buildings maintained by DAS. Two appropriations that had been funded from the RIIF, operating funds for the former Mercy Capitol Hospital building and the DAS Distribution Account for I/3 services, moved to the General Fund and to the Administration and Regulation Appropriations Subcommittee.
- Department of Public Defense (Iowa National Guard) – A total of \$4.9 million for various construction projects at the Guard’s readiness centers.
- Department of Transportation – A total of \$8.3 million for several multimodal programs, including the Commercial Air Service Infrastructure Grants, General Aviation Infrastructure Grants, Public Transit Infrastructure Grants, recreational trails, and the Rail Revolving Loan and Grant program (freight rail).

Note: The amount of \$124.7 million recommended from the RIIF does not reflect the \$33.0 million for Environment First Fund or the \$10.0 million to the Technology Reinvestment Fund (in order to avoid double counting). Total appropriations recommended from the RIIF as reflected on the RIIF balance sheet (attached to this document) equal \$167.7 million.

Technology Reinvestment Fund (TRF) Recommendations

	<u>Estimated FY 2011</u>	<u>Gov Rec FY 2012</u>	<u>Gov Rec vs. Est. FY 2011</u>
<u>Administrative Services</u>			
ITE Pooled Technology	\$ 3,793,654	\$ 1,637,724	\$ -2,155,930
Total Administrative Services	\$ 3,793,654	\$ 1,637,724	\$ -2,155,930
<u>Corrections</u>			
Iowa Corrections Offender Network	\$ 500,000	\$ 500,000	\$ 0
Total Corrections	\$ 500,000	\$ 500,000	\$ 0
<u>Education</u>			
Statewide Education Data Warehouse	\$ 600,000	\$ 600,000	\$ 0
ICN Part III Leases & Maintenance Network	2,727,000	2,727,000	0
Total Education	\$ 3,327,000	\$ 3,327,000	\$ 0
<u>Human Rights</u>			
Infrastructure for Integrating Justice Data Systems	\$ 0	\$ 1,689,307	\$ 1,689,307
Total Human Rights	\$ 0	\$ 1,689,307	\$ 1,689,307
<u>Iowa Tele. & Tech. Commission</u>			
ICN Equipment Replacement	\$ 2,244,956	\$ 2,248,653	\$ 3,697
Total Iowa Tele. & Tech. Commission	\$ 2,244,956	\$ 2,248,653	\$ 3,697
<u>Management, Dept. of</u>			
Transparency Project	\$ 0	\$ 600,000	\$ 600,000
Total Management, Dept. of	\$ 0	\$ 600,000	\$ 600,000
<u>Public Health</u>			
Community Mental Health Center Database	\$ 250,000	\$ 0	\$ -250,000
Total Public Health	\$ 250,000	\$ 0	\$ -250,000
Total Technology Reinvestment Fund	\$ 10,115,610	\$ 10,002,684	\$ -112,926

Significant TRF recommendations include:

- A decrease of \$2.2 million for Information Technology Enterprise pooled technology for the DAS.
- A new appropriation of \$1.7 million for infrastructure for the Criminal Justice Information Systems Integration (CJIS). The project last received funding in FY 2009.
- Maintaining status quo funding of \$2.7 million for the Department of Education for leases and maintenance associated with the build-out of Part III of the Iowa Communications Network (ICN).
- Maintaining status quo funding of \$600,000 for the Department of Education to operate the Statewide Education Data Warehouse and e-transcript system.

- A new appropriation of \$600,000 to the Department of Management for the transparency project to provide a searchable online budget database.

Additional Recommendation

Approximately \$4.1 million remains in the Revenue Bonds Capitals Fund (RBC), and the Governor is recommending the entire \$4.1 million to go to the Department of Corrections for construction costs for the Mitchellville prison. This recommendation is in addition to funding for Mitchellville from the RIIF.

Issues

School Infrastructure Fund Wagering Tax Allocation – In FY 2002, the State issued \$48.6 million in bonds to fund the School Infrastructure Program. This Program was created to provide State financial assistance to local school districts for the construction and renovation of school buildings. A wagering tax allocation of \$5.0 million is provided per Code Section 8.57 that deposits in the School Infrastructure Fund in order to pay the debt service on the bonds. The debt service is \$3.5 million annually. The last bond payment is scheduled to be made by the end of FY 2021. The School Infrastructure Fund has been receiving the \$5.0 million allocation since the bonds were issued and has accumulated a balance. In the 2010 Session, the General Assembly appropriated from the extra monies in the School Infrastructure Fund for various purposes. The General Assembly may want to consider changing the language in the Fund so that any monies from the wagering tax allocation that are not needed for debt service are transferred back to the RIIF. This is similar to how the wagering tax allocation for payment on the 2009 and 2010 revenue bonds is structured. The transfer back would provide an additional \$1.5 million of wagering taxes to the RIIF annually until the end of the bond payments, when the allocation to the School Infrastructure Fund ceases and the entire \$5.0 million would deposit into the RIIF. *The Governor is recommending making this change to the School Infrastructure Fund beginning in FY 2011 in order to capture the unused portion of the wagering tax allocation and return it to the RIIF.*

Secure an Advanced Vision for Education (SAVE) Fund – The RIIF provides an annual appropriation of \$10.0 million to the SAVE Fund that is established in Code Section 423F.2. The SAVE Fund consists of State sales and use tax for school infrastructure and is allocated by formula under Code Section 423E.4. After the SAVE Fund distribution, any excess is provided as property tax relief through the School Aid Formula. The purpose of the SAVE appropriation from the RIIF is to provide supplemental school infrastructure funding to school districts that receive less than the state guaranteed amount per student for school infrastructure. By FY 2014, per pupil funding will be equal. The appropriation from the RIIF to the SAVE Fund has been in place since the 2003 Session and is scheduled to sunset at the end of FY 2014. The General Assembly may want to consider eliminating the remaining years of the RIIF appropriation to the SAVE Fund. For FY 2012, it is estimated that school districts in 75 counties would lose a maximum of \$55.0 per pupil if the RIIF appropriation is eliminated. *The Governor is recommending eliminating the RIIF appropriation to the SAVE Fund for FY 2012 and FY 2013.*

Narrowband Mandate and State Agency Communications Systems – The Federal Communications Commission mandated that all non-federal public safety license holders on frequencies ranging from 150-512 MHz reduce their operating bandwidth from 25 kHz to 12.5 kHz narrowband channels and update their operating licenses by January 1, 2013. Failure to do so will result in the loss of communication capabilities and fines. By January 1, 2017, the federal government is mandating that public safety agencies using 700 MHz systems must be operating with 6.25 kHz emissions/equivalency. Currently, most State public safety radio systems use 25.0 kHz channels. Narrowbanding to 12.5 kHz will affect radio and pager coverage. Agencies that narrowband in analog will have further reductions of coverage. The Legislative Services Agency published an [Issue Review](#) in October 2010 discussing the impact of the

federal mandate in more detail. The Department of Public Safety requested \$8.0 million for FY 2012 in order to upgrade their radio equipment and begin digital conversion of the towers. The Department of Corrections requested \$1.8 million for their radio upgrades. Other State agencies, such as the Department of Natural Resources, also require radio upgrades to be in compliance with the mandate. *The Governor is recommending \$2.5 million from the RIIF to the Department of Public Safety for FY 2012 and FY 2013 for purposes of complying with the mandate.*

Bond Defeasance – The State has several revenue bond issuances outstanding and pays for the debt service on those bonds primarily from designated revenue streams from the wagering taxes that casinos pay on their adjusted gross revenues and judicial fines and fees. As of October 31, 2010, remaining net debt service (principal and interest) on the bonds is \$175.4 million on Vision Iowa bonds, \$38.2 million on School Infrastructure bonds, \$42.8 million on 2002 prison construction bonds, \$195.9 million on 2010 prison construction bonds, \$937.0 million on the 2009 revenue bonds, and \$291.4 million on the 2010 revenue bonds. Of the State issued bonds, the Vision Iowa bonds and 2002 prison construction bonds are not subject to redemption prior to maturity. The other bonds are redeemable as follows: School Infrastructure bonds in 2012, the 2009 revenue bonds in 2019, and the 2010 revenue and prison construction bonds in 2020. In addition, the Honey Creek Authority resort bonds have a net debt service of \$59.6 million remaining and are callable in 2016.

If the bonds are defeased before the redeemable date, the State must set up a defeasance escrow to pay debt service on the bonds until the call date. The amount that needs to be put in escrow will depend on market rates, and estimates will vary because of that. Defeasing the bonds with the later call dates would not likely be cost effective due to the amount that would be needed for escrow on those bonds. Some of the bonds with earlier dates, such as the School Infrastructure bonds in 2012 and Honey Creek in 2016, could potentially be defeased if the General Assembly wants to put aside the funds for escrow. A market estimate by the Treasurer of State in December 2010 noted that, in order to defease the School Infrastructure bonds, the State would need to provide approximately \$26.0 million in escrow; in order to defease the Honey Creek bonds, the State would need to provide \$35.4 million in escrow. This estimate is likely to change as market rates vary.

Also, the Board of Regents academic revenue bonds, that are secured by student tuition but the debt service is paid for mostly by a subsidy from the State in the form of an appropriation, have an estimated remaining net debt service of \$513.6 million on bonds that have been issued. Not all of the academic revenue bonds authorized by the General Assembly have been issued yet. The Board approves the bond issuance schedule and refunding plans. The Board regularly does advance refundings when rates are favorable, so most of the bonds are not callable or have later callable dates. Defeasance of these bonds (issued on several different dates) is not likely to provide much savings to the State.

LSA Publications

The following documents have been published by the LSA that relate to the Transportation, Infrastructure, and Capitals Appropriations Subcommittee:

- *Fiscal Topic:* [Revitalize Iowa's Sound Economy \(RISE\) Program](#)
- *Fiscal Topic:* [Rebuild Iowa Infrastructure Fund \(RIIF\)](#) (as of October 2010)
- *Fiscal Topic:* [State Recreational Trails Program](#)
- *Budget Unit Fiscal Topic:* [Department of Transportation Motor Vehicle Division](#)
- *Budget Unit Fiscal Topic:* [Department of Transportation Highway Division](#)

Documents that will be published later in 2011 are:

- *Issue Review: History and Status of the Rebuild Iowa Infrastructure Fund*
- *Issue Review: Overview of Multimodal Transportation Funding*
- *Debt Report: Status of Outstanding Obligations on Selected State Obligations*
- *Annual Infrastructure Status Reports: Submitted from agencies that received infrastructure funding and compiled by the LSA.*

Staff Contact: Marcia Tannian (515-281-7942) marcia.tannian@legis.state.ia.us

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APPENDIX A

Infrastructure and Technology Appropriations under Current Law and FY 2012 and FY 2013 Governor's Recommendations – All Infrastructure funds

Transportation Recommendations by Budget Unit and Funds

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Infrastructure and Technology Appropriations under Current Law, FY 2012 and FY 2013 Governor's Recommendations - All Infrastructure Funds

As of February 9, 2011

	Actual FY 2010		Current Law FY 2011		Dept Request	Gov Rec FY 2012		Gov Rec FY 2013		Appropriations Previously Enacted or Standing			
	Appropriation	Fund	Appropriation	Fund	FY 2012	Recommendation	Fund	Recommendation	Fund	FY 2012	Fund	FY 2013	Fund
Administrative Services													
Major Maintenance	\$ 195,484	RCF	\$ 3,000,000	RBC	\$ 40,000,000	\$ 4,500,000	RIIF	\$ 3,750,000	RIIF	\$ 0		\$ 0	
Routine Maintenance	3,000,000	RIIF	0		20,000,000	0		0		0		0	
ITE Pooled Technology	2,037,184	TRF	3,793,654	TRF	6,286,491	1,637,724	TRF	2,235,040	TRF	0		0	
Sidewalk & Parking Lot Repairs	0		0		3,865,000	0		0		0		0	
DAS Distribution Account	3,700,000	RIIF	3,700,000	RIIF	3,700,000	3,478,000	GF	3,478,000	GF	0		0	
Capitol Interior/Exterior	5,000,000	RIIF	0		2,100,000	0		0		0		0	
Complex Utility Tunnel Repairs	0		0		1,900,000	0		0		0		0	
Building and Grounds Renewal Program	0		0		1,800,000	0		0		0		0	
Leases/Relocation Assistance	0		0		1,800,000	0		0		0		0	
West Capitol Terrace	0		0		1,250,000	0		0		0		0	
Historical Building Exterior Repairs	0		0		1,187,500	0		0		0		0	
Iowa Bldg Operations (former Mercy Cap)	500,000	RIIF	1,083,175	RIIF	1,059,766	1,018,185	GF	1,018,185	GF	0		0	
Property Acquisition	0		0		1,000,000	0		0		0		0	
Cap. Complex - Court Avenue Bridge Replace	0		0		900,000	0		0		0		0	
Buildings Repair v Replace Assessment	0		0		750,000	0		0		0		0	
Vehicle Dispatch Fleet Relocation	0		0		600,000	0		0		0		0	
Central Energy Plant & Facilities Mgmt Improvements	623,000	RIIF	0		300,000	0		0		0		0	
Cap. Complex Alternative Energy Sys.	0		0		250,000	0		0		0		0	
I/3 Human Resources Module RFP	-200,000	RIIF	0		250,000	0		0		0		0	
Monument/Artwork Repair Restoration	0		0		250,000	0		0		0		0	
D-Line Bus Service	200,000	RIIF	200,000	RIIF	200,000	0		0		0		0	
Miller Building Stone Restoration	0		0		150,000	0		0		0		0	
Cap. Complex Elect Dist Upgrade	850,000	RIIF	0		0	0		0		0		0	
Hoover Bldg HVAC Improve	1,500,000	RIIF	0		0	0		0		0		0	
Terrace Hill Maintenance and Renovation	769,543	RIIF	0		0	0		0		0		0	
Wallace Building Improvements	1,500,000	RIIF	0		0	0		0		0		0	
Total Administrative Services	\$ 19,675,211		\$ 11,776,829		\$ 89,598,757	\$ 6,137,724		\$ 5,985,040		\$ 0		\$ 0	

Infrastructure and Technology Appropriations under Current Law, FY 2012 and FY 2013 Governor's Recommendations - All Infrastructure Funds

As of February 9, 2011

	Actual FY 2010		Current Law FY 2011		Dept Request	Gov Rec FY 2012		Gov Rec FY 2013		Appropriations Previously Enacted or Standing				
	Appropriation	Fund	Appropriation	Fund	FY 2012	Recommendation	Fund	Recommendation	Fund	FY 2012	Fund	FY 2013	Fund	
<u>Agriculture and Land Stewardship</u>														
WIRB Administration	\$	0	\$	50,000	RIIF	\$	50,000	\$	0	\$	0	\$	0	
Conservation Reserve Enhancement Program		0		2,500,000	RBC2		0		0		0		0	
Soil Conservation- Cost Share		0		5,950,000	RBC2		0		0		0		0	
Soil Conservation- Cost Share		0		1,000,000	RBC		0		0		0		0	
Soil Conservation- Wetlands, Water Quality		11,500,000		0			0		0		0		0	
Total Agriculture and Land Stewardship	\$	11,500,000	\$	9,500,000		\$	50,000	\$	0	\$	0	\$	0	
<u>Blind Capitals</u>														
Replace Air Handlers/Roof/Water	\$	0	\$	0		\$	1,065,674	\$	1,065,674	RIIF	\$	0	\$	0
Newsline Service		0		20,000	RIIF		0		0		0		0	
Total Blind Capitals	\$	0	\$	20,000		\$	1,065,674	\$	1,065,674		\$	0	\$	0
<u>Corrections</u>														
CBC One Time Opening Costs (1,3,7,8)	\$	0	\$	1,519,048	RBC	\$	0	\$	0	\$	0	\$	0	
Major Maintenance		0		0			32,830,000		0		0		0	
ICIW Mitchellville Construction		0		0			29,453,555		12,900,000	RIIF			8,779,000	
<i>Total ICIW funding for FY 2012 from 2 funds</i>		0		0			0		4,130,952	RBC			0	
ISP Ft. Madison Construction		0		0			0		1,000,000				0	
One-Time Costs Ft. Madison ISP		0		0			6,155,077		6,155,077	RIIF			0	
One-Time Costs Mitchellville		0		0			4,661,556		4,661,556	RIIF			0	
CBC Des Moines Expansion		-13,100,000		0			20,200,000		0				0	
CBC 2nd District Residential 40 Bed Expansion		0		0			9,250,000		0				0	
CBC 8th District Residential 25 bed Exp		0		0			7,280,000		0				0	
CBC 1st Dist. Re-Entry Center		0		0			6,400,000		0				0	
Construction Project Management		1,750,000		2,200,000	RBC		4,500,000		4,500,000	RIIF			1,000,000	
<i>Total Const Project Mgmt FY 2011 funded from 2 funds</i>		0		322,500	PBF		0		0				0	
Digital Communications Conversion and Radio Upgrade		0		0			1,800,000		0				0	
Iowa Corrections Offender Network		500,000		500,000	TRF		500,000		500,000	TRF			0	
CBC Des Moines Expansion - Study/Report		-103,346		0			0		0				0	
CBC Des Moines - Security Barrier		0		1,000,000	RBC		0		0				0	
ISP Electrical Lease		-27,764		0			0		0				0	
Total Corrections	\$	-10,981,110	\$	5,541,548		\$	123,030,188	\$	33,847,585		\$	29,439,186	\$	16,200,000
													\$	9,779,000

Infrastructure and Technology Appropriations under Current Law, FY 2012 and FY 2013 Governor's Recommendations - All Infrastructure Funds

As of February 9, 2011

	Actual FY 2010		Current Law FY 2011		Dept Request	Gov Rec FY 2012		Gov Rec FY 2013		Appropriations Previously Enacted or Standing			
	Appropriation	Fund	Appropriation	Fund	FY 2012	Recommendation	Fund	Recommendation	Fund	FY 2012	Fund	FY 2013	Fund
<u>Cultural Affairs, Dept. of</u>													
Battle Flags	\$ 0		\$ 60,000	RIIF	\$ 60,000	\$ 60,000	GF	\$ 60,000	GF	\$ 0		\$ 0	
Civil War Sesquicentennial	350,000	RIIF	0		0	0		0		0		0	
Community Cultural Grants	200,000	RIIF	0		0	0		0		0		0	
Great Places Capitals	1,900,000	RIIF	2,000,000	RBC2	2,000,000	0		0		0		0	
Grout Museum Oral History Exhibit	486,250	TRF	0		0	0		0		0		0	
Historic Preservation	1,000,000	RIIF	0		0	0		0		0		0	
Historic Site Maintenance	0		40,000	RIIF	40,000	0		0		0		0	
Kimball Organ Restoration	-25,000	RIIF	0		0	0		0		0		0	
Total Cultural Affairs, Dept. of	\$ 3,911,250		\$ 2,100,000		\$ 2,100,000	\$ 60,000		\$ 60,000		\$ 0		\$ 0	
<u>Economic Development, Dept. of</u>													
Grow Iowa Values Fund	\$ 23,000,000	RIIF	\$ 38,000,000	RIIF	\$ 38,000,000	\$ 25,000,000		\$ 25,000,000		\$ 50,000,000	GF	\$ 50,000,000	GF
Community Attraction and Tourism	0		12,000,000	RBC2	12,000,000	0		0		5,000,000	RIIF	5,000,000	RIIF
ACE Infrastructure	0		5,500,000	RBC2	5,500,000	0		0		0		0	
River Enhancement CAT	0		4,000,000	RBC2	4,000,000	0		0		10,000,000	RIIF	10,000,000	RIIF
Workforce Training and Economic Development Fund	2,000,000	RIIF	2,000,000	RIIF	2,000,000	0		0		0		0	
Regional Sports Authorities	500,000	RIIF	500,000	RIIF	500,000	0		0		0		0	
Main Streets Program	5,550,000	RBC	8,450,000	RBC2	0	0		0		0		0	
Des Moines Regional Transit Hub	0		4,000,000	RBC	4,000,000	0		0		0		0	
NIACC/Mason City Small Business Center	0		1,500,000	RBC2	0	0		0		0		0	
Kirkwood CC/Cedar Rapids Small Business Center	0		1,200,000	RBC2	0	0		0		0		0	
Blank Park Zoo Expansion and Renovation	0		500,000	RIIF	0	0		0		0		0	
6th Avenue Corridor- Main Streets	0		100,000	RIIF	0	0		0		0		0	
World Food Prize Borlaugh/Ruan Scholar	0		100,000	RIIF	0	0		0		0		0	
Port Authority - Econ Development SE Iowa	0		50,000	RIIF	0	0		0		0		0	
AAU Jr. Olympics Summer 2009	200,000	RIIF	0		0	0		0		0		0	
City of Muscatine Fire Station Improvements	200,000	RIIF	0		0	0		0		0		0	
City of Seymour Asbestos Demolition Assistance	50,000	RIIF	0		0	0		0		0		0	
City of Stratford Community Center Improvements	10,000	RIIF	0		0	0		0		0		0	
Warren Co Econ Dev Bldg Renovation	100,000	RIIF	0		0	0		0		0		0	
Total Economic Development, Dept. of	\$ 31,610,000		\$ 77,900,000		\$ 66,000,000	\$ 25,000,000		\$ 25,000,000		\$ 65,000,000		\$ 65,000,000	

Infrastructure and Technology Appropriations under Current Law, FY 2012 and FY 2013 Governor's Recommendations - All Infrastructure Funds

As of February 9, 2011

	Actual FY 2010		Current Law FY 2011		Dept Request	Gov Rec FY 2012		Gov Rec FY 2013		Appropriations Previously Enacted or Standing			
	Appropriation	Fund	Appropriation	Fund	FY 2012	Recommendation	Fund	Recommendation	Fund	FY 2012	Fund	FY 2013	Fund
<u>Education, Dept. of</u>													
Community Colleges Infrastructure	\$ 0		\$ 2,000,000	RBC2	\$ 2,000,000	\$ 0		\$ 0		\$ 0		\$ 0	
Dubuque Translator Facility	0		0		800,000	0		0		0		0	
Statewide Education Data Warehouse	600,000	TRF	600,000	TRF	1,000,000	600,000	TRF	600,000	TRF	0		0	
Enrich Iowa	1,000,000	RIIF	500,000	RIIF	500,000	0		0		0		0	
ICN Part III Leases & Maintenance	2,727,000	TRF	2,727,000	TRF	4,652,800	2,727,000	TRF	2,727,000	TRF	0		0	
IPTV Building Purchase	0		0		1,255,550	1,255,550	TRF	0		0		0	
Total Education inclu IPTV	\$ 4,327,000		\$ 5,827,000		\$ 10,208,350	\$ 4,582,550		\$ 3,327,000		\$ 0		\$ 0	
<u>Ethics and Campaign Disclosure</u>													
Electronic Filing	\$ 15,000	TRF	\$ 0		\$ 0	\$ 0		\$ 0		\$ 0		\$ 0	
Total Ethics and Campaign Disclosure	\$ 15,000		\$ 0		\$ 0	\$ 0		\$ 0		\$ 0		\$ 0	
<u>Human Rights, Dept. of</u>													
Justice Enterprise Data Warehouse	\$ 361,072	TRF	\$ 0		\$ 0	\$ 0		\$ 0		\$ 0			
Criminal Justice Information System (CJIS)	0		0		2,389,307	1,689,307	TRF	1,689,307	TRF	0		0	
Total Human Rights, Dept. of	\$ 361,072		\$ 0		\$ 2,389,307	\$ 1,689,307		\$ 1,689,307		\$ 0		\$ 0	
<u>Human Services, Dept. of</u>													
Nursing Facility Renovation & Construction	\$ -1,400,000	RIIF	\$ 0		\$ 0	\$ 0		\$ 0		\$ 0		\$ 0	
Child Dev. Health Ins Study	-50,000	RIIF	0		0	0		0		0		0	
Independence MHI Improvements	200,000	RIIF	0		0	0		0		0		0	
Health/Safety/Loss	0		0		3,018,060	0		0		0		0	
Major Projects	0		0		2,912,080	0		0		0		0	
Maintenance	0		0		2,050,000	0		0		0		0	
Total Human Services	\$ -1,250,000		\$ 0		\$ 7,980,140	\$ 0		\$ 0		\$ 0		\$ 0	

Infrastructure and Technology Appropriations under Current Law, FY 2012 and FY 2013 Governor's Recommendations - All Infrastructure Funds

As of February 9, 2011

	Actual FY 2010		Current Law FY 2011		Dept Request	Gov Rec FY 2012		Gov Rec FY 2013		Appropriations Previously Enacted or Standing			
	Appropriation	Fund	Appropriation	Fund	FY 2012	Recommendation	Fund	Recommendation	Fund	FY 2012	Fund	FY 2013	Fund
<u>Iowa Finance Authority</u>													
IJOBS Administration	\$ 200,000	RIIF	\$ 200,000	RIIF	\$ 200,000	\$ 0		\$ 0		\$ 200,000	RIIF	\$ 200,000	RIIF
Public Shelter Grants	10,000,000	RBC	0	RBC	0	0		0		0		0	
Disaster Damage Housing Assist Grant	5,000,000	RBC	0	RBC	0	0		0		0		0	
Affordable Housing Assist Grant	20,000,000	RBC	0	RBC	0	0		0		0		0	
Sewer Infrastructure	55,000,000	RBC	0	RBC	0	0		0		0		0	
State Housing Trust Fund	3,000,000	RIIF	1,000,000	RIIF	3,000,000	0		0		3,000,000	RIIF	3,000,000	RIIF
<i>Housing Trust Fund funding for FY 2011 from 2 funds</i>	0		2,000,000	RBC	0	0		0		0		0	
Polk County Facilities Multiple Handicapped	0		250,000	RIIF	0	0		0		0		0	
Disaster Prevention Local Infrastructure Grants	0		30,000,000	RBC2	0	0		0		0		0	
Linn County Administrative Office Bldg	0		4,400,000	RBC2	0	0		0		0		0	
Cedar Rapids - City Hall Renovation	0		4,400,000	RBC2	0	0		0		0		0	
CR - Flood Mitigation and Renovation Courthouse Area	0		2,000,000	RBC2	0	0		0		0		0	
Des Moines - Fire Dept Training and Logistics	0		3,000,000	RBC2	0	0		0		0		0	
Des Moines - Riverpoint Service Area Sewer	0		1,250,000	RBC2	0	0		0		0		0	
Des Moines - Court Ave Service Area Sewer	0		3,050,000	RBC2	0	0		0		0		0	
Des Moines - Bank Stabilization/Erosion Tonawanda	0		700,000	RBC2	0	0		0		0		0	
Des Moines - Wastewater Reclamation Basins	0		500,000	RBC2	0	0		0		0		0	
Des Moines - Broadlawns Medical Ctr Improvements	0		1,000,000	RBC2	0	0		0		0		0	
Davenport - Woodmen Field Flood Mitigation	0		1,050,000	RBC2	0	0		0		0		0	
Waterloo - Public Works Building	0		5,000,000	RBC2	0	0		0		0		0	
Iowa City - Wastewater Treatment Plant	0		2,000,000	RBC2	0	0		0		0		0	
West Union - Green Pilot Infrastructure Project	0		1,175,000	RBC2	0	0		0		0		0	
Jessup - City Hall	0		475,000	RBC2	0	0		0		0		0	
Belmond - Storm Sewer Flood Protection	0		600,000	RBC2	0	0		0		0		0	
Norwalk - Orchard Ridge Drainage Channel Proj	0		300,000	RBC2	0	0		0		0		0	
CR Flood Mitigation and Renovation Courthouse Area	0		2,100,000	CRF	0	0		0		0		0	
Linn County Administrative Office Bldg	0		4,500,000	CRF	0	0		0		0		0	
Total Iowa Finance Authority	\$ 93,200,000		\$ 70,950,000		\$ 3,200,000	\$ 0		\$ 0		\$ 3,200,000		\$ 3,200,000	
<u>Iowa Tele. & Tech. Commission</u>													
ICN Equipment Replacement	\$ 2,211,863	TRF	\$ 2,244,956	TRF	\$ 2,248,653	\$ 2,248,653	TRF	\$ 2,248,653	TRF	\$ 0		\$ 0	
Generator Replacement	2,755,246	TRF	0		0	0		0		0		0	
ICN Network/Fiber Redundancy	2,320,000	TRF	0		0	0		0		0		0	
Total Iowa Tele. & Tech. Commission	\$ 7,287,109		\$ 2,244,956		\$ 2,248,653	\$ 2,248,653		\$ 2,248,653		\$ 0		\$ 0	
<u>Law Enforcement Academy</u>													
ILEA Technology Projects	\$ 185,000		\$ 0		\$ 0	\$ 0		\$ 0		\$ 0		\$ 0	
Total Law Enforcement Academy	\$ 185,000		\$ 0		\$ 0	\$ 0		\$ 0		\$ 0		\$ 0	

Infrastructure and Technology Appropriations under Current Law, FY 2012 and FY 2013 Governor's Recommendations - All Infrastructure Funds

As of February 9, 2011

	Actual FY 2010		Current Law FY 2011		Dept Request	Gov Rec FY 2012		Gov Rec FY 2013		Appropriations Previously Enacted or Standing			
	Appropriation	Fund	Appropriation	Fund	FY 2012	Recommendation	Fund	Recommendation	Fund	FY 2012	Fund	FY 2013	Fund
Management, Dept. of													
Standing Approp Environment First Fund	\$ 42,000,000	RIIF	\$ 33,000,000	RIIF	\$ 42,000,000	\$ 33,000,000	RIIF	\$ 33,000,000	RIIF	\$ 42,000,000	RIIF	\$ 42,000,000	RIIF
Technology Reinvestment Fund	14,525,000	RIIF	10,000,000	RIIF	17,500,000	10,000,000	RIIF	10,000,000	RIIF	17,500,000	GF	17,500,000	GF
Transparency Project Searchable Online Database	0		0		0	600,000	TRF	0		0		0	
Total Management	\$ 56,525,000		\$ 43,000,000		\$ 59,500,000	\$ 43,600,000		\$ 43,000,000		\$ 59,500,000		\$ 59,500,000	
Natural Resources													
Carter Lake Improvements	\$ 0		\$ 0		\$ 0	\$ 0		\$ 0		\$ 0		\$ 0	
Floodplain Management & Dam Safety	2,000,000	RIIF	2,000,000	RIIF	2,000,000	2,000,000	RIIF	2,000,000	RIIF	0		0	
Honey Creek Asset Manager	0		100,000	RIIF	100,000	0		0		0		0	
Hungry Canyons Alliance	100,000	RIIF	0		0	0		0		0		0	
Lake Delhi Improvements	0		0		0	0		0		0		0	
Lake Restoration & Dredging	2,800,000	RIIF	7,000,000	RBC	8,600,000	8,600,000	RIIF	8,600,000	RIIF	0		0	
<i>Lake Restoration funding for FY 2011 from 2 funds</i>	0		3,000,000	RBC2	0	0		0		0		0	
Rock Creek Permanent Shelter	0		40,000	RIIF	0	0		0		0		0	
State Park Infrastructure	0		5,000,000	RBC2	5,000,000	5,000,000	RIIF	5,000,000	RIIF	5,000,000	RIIF	5,000,000	RIIF
Plasma Arc Technology	-15,000	RIIF	0		0	0		0		0		0	
Water Trails and Low Head Dam Programs	800,000	RIIF	0		0	0		0		0		0	
Watershed Rebuilding-Water Quality	13,500,000	RBC	0		0	0		0		0		0	
Total Natural Resources	\$ 19,185,000		\$ 17,140,000		\$ 15,700,000	\$ 15,600,000		\$ 15,600,000		\$ 5,000,000		\$ 5,000,000	
Public Defense													
Facility/Armory Maintenance	\$ 1,500,000	RIIF	\$ 1,500,000	RIIF	\$ 2,000,000	\$ 2,000,000	RIIF	\$ 0		\$ 0		\$ 0	
Statewide Modernization Readiness Centers	1,800,000	RIIF	1,800,000	RIIF	1,800,000	1,800,000	RIIF	0		0		0	
Storm Shelter Camp Dodge	0		0		1,500,000	0		0		0		0	
Joint Forces HQ Renovation	0		0		1,000,000	0		0		0		0	
Camp Dodge Infrastructure Upgrades	0		0		1,000,000	1,000,000	RIIF	0		0		0	
Muscatine Readiness Center	0		0		100,000	100,000	RIIF	0		0		0	
2-1-1 Call System (through EM/HSD)	250,000	TRF	0		0	0		0		0		0	
Davenport Aviation Readiness Center	2,000,000	RIIF	0		0	0		0		0		0	
Gold Star Museum	1,000,000	RIIF	0		0	0		0		0		0	
Middleton Readiness Center	0		100,000	RIIF	0	0		0		0		0	
Mount Pleasant Readiness Center	1,000,000	RIIF	0		0	0		0		0		0	
Cedar Rapids Armed Forces Readiness Center	0		200,000	RIIF	0	0		0		0		0	
Iowa Falls Readiness Center	0		500,000	RIIF	0	0		0		0		0	
Total Public Defense	\$ 7,550,000		\$ 4,100,000		\$ 7,400,000	\$ 4,900,000		\$ 0		\$ 0		\$ 0	

Infrastructure and Technology Appropriations under Current Law, FY 2012 and FY 2013 Governor's Recommendations - All Infrastructure Funds

As of February 9, 2011

	Actual FY 2010		Current Law FY 2011		Dept Request	Gov Rec FY 2012		Gov Rec FY 2013		Appropriations Previously Enacted or Standing			
	Appropriation	Fund	Appropriation	Fund	FY 2012	Recommendation	Fund	Recommendation	Fund	FY 2012	Fund	FY 2013	Fund
Public Health													
Community Mental Health Database	\$ 0		\$ 250,000	TRF	\$ 0	\$ 0		\$ 0		\$ 0		\$ 0	
Vision Screening	130,000	RIIF	100,000	RIIF	0	0		0		0		0	
Total Public Health, Dept. of	\$ 130,000		\$ 350,000		\$ 0	\$ 0		\$ 0		\$ 0		\$ 0	
Public Safety													
DPS-ISP Post 9 & 10 Consolidation	\$ 0		\$ 0		\$ 9,000,000	\$ 0		\$ 0		\$ 0		\$ 0	
DPS Digital/700Mhz Communications, Radio Upgrade	0		0		8,000,000	2,500,000	RIIF	2,500,000	RIIF	0		0	
DPS Technology Projects	0		0		1,300,000	0		0		0		0	
AFIS Lease Purchase	350,000	TRF			0	0		0		0		0	
Total Public Safety	\$ 350,000		\$ 0		\$ 18,300,000	\$ 2,500,000		\$ 2,500,000		\$ 0		\$ 0	
Regents													
ISU - Agricultural and Biosystems Eng.	\$ 0		\$ 0		\$ 40,000,000	\$ 0		\$ 0		\$ 0		\$ 0	
Fire Safety and Deferred Maintenance	0		0		35,000,000	4,000,000	RIIF	3,000,000	RIIF	0		0	
SUI - Dental Science Building Renovation	0		0		29,000,000	0		0		0		0	
Regents Tuition Replacement	24,305,412	RIIF	24,305,412	RIIF	24,305,412	24,305,412	RIIF	24,305,412	RIIF	0		0	
UNI - Bartlett Hall Renovation/Baker Hall Demolition	0		0		21,000,000	0		0		0		0	
SUI - Seashore Hall Area	0		0		12,000,000	0		0		0		0	
ISU - Iowa Energy Center	5,000,000	RBC	5,000,000	RBC2	5,000,000	0		0		0		0	
SUI - Iowa Flood Center	1,300,000	RIIF	1,300,000	RIIF	7,300,000	1,300,000	RIIF	1,300,000	RIIF	0		0	
SUI - Pharmacy Building Renovation	0		0		1,000,000	0		0		0		0	
ISU - Elect & Computer Eng. Bldg	0		0		500,000	0		0		0		0	
ISU - Veterinary Equip Modernization Blank Park Zoo	0		400,000	RIIF	0	0		0		0		0	
ISU - Midwest Grape & Wine Institute	0		0		0	0		0		0		0	
ISU - Renewable Fuels Bldg	11,597,000	RIIF	0		0	0		0		0		0	
ISU - Veterinary Medical Facility Renovation Phase II	0		13,000,000	RBC	0	0		0		0		0	
SUI - Institute for Biomedical Discovery	0		10,000,000	RBC2	0	0		0		0		0	
Total Regents	\$ 42,202,412		\$ 54,005,412		\$ 167,805,412	\$ 29,605,412		\$ 28,605,412		\$ 0		\$ 0	

Infrastructure and Technology Appropriations under Current Law, FY 2012 and FY 2013 Governor's Recommendations - All Infrastructure Funds

As of February 9, 2011

	Actual FY 2010		Current Law FY 2011		Dept Request	Gov Rec FY 2012		Gov Rec FY 2013		Appropriations Previously Enacted or Standing			
	Appropriation	Fund	Appropriation	Fund	FY 2012	Recommendation	Fund	Recommendation	Fund	FY 2012	Fund	FY 2013	Fund
Revenue, Dept. of													
SAVE Appropriation	\$ 10,000,000	RIIF	\$ 0		\$ 10,000,000	\$ 0		\$ 0		\$ 10,000,000	RIIF	\$ 10,000,000	RIIF
Total Revenue, Dept. of	\$ 10,000,000		\$ 0		\$ 10,000,000	\$ 0		\$ 0		\$ 10,000,000		\$ 10,000,000	
State Fair Authority Capital													
Agricultural Exhibition Center	\$ 5,500,000	RIIF	\$ 2,500,000	RBC	\$ 2,500,000	\$ 0		\$ 0		\$ 0		\$ 0	
Total State Fair Authority Capital	\$ 5,500,000		\$ 2,500,000		\$ 2,500,000	\$ 0		\$ 0		\$ 0		\$ 0	
Transportation, Dept. of													
Bridge Safety Fund	\$ 40,000,000	RBC	\$ 10,000,000	RBC2	\$ 0	\$ 0		\$ 0		\$ 0		\$ 0	
Commercial Air Service Infrastructure Grants	1,350,000	GF	1,500,000	RBC2	1,500,000	1,500,000	RIIF	1,500,000	RIIF	0		0	
General Aviation Vertical Infrastructure Grants	750,000	RIIF	750,000	RIIF	750,000	750,000	RIIF	750,000	RIIF	0		0	
Local Roads Counties/Cities	14,750,000	RIIF	24,700,000	RIIF	0	0		0		0		0	
Passenger Rail	3,000,000	RIIF	2,000,000	UST	6,500,000	0		0		6,500,000	RIIF	0	
Public Transit Infrastructure	1,250,000	RIIF	2,000,000	RBC2	2,000,000	2,000,000	RIIF	2,000,000	RIIF	0		0	
Rail Revolving Loan & Grant Fund	1,500,000	RIIF	2,000,000	RIIF	2,000,000	2,000,000	RIIF	2,000,000	RIIF	2,000,000	RIIF	0	
Rail Ports Improvement Program	0		7,500,000	RBC2	0	0		0		0		0	
Recreational Trails	3,500,000	RIIF	0		2,000,000	2,000,000	RIIF	2,000,000	RIIF	0		0	
Total Transportation, Dept. of	\$ 64,750,000		\$ 50,450,000		\$ 14,750,000	\$ 8,250,000		\$ 8,250,000		\$ 8,500,000		\$ 0	
Treasurer of State													
County Fair Improvements	\$ 1,590,000	RIIF	\$ 1,060,000	RIIF	\$ 1,060,000	\$ 1,060,000		\$ 1,060,000		\$ 0		\$ 0	
Watershed Improvement Fund	5,000,000	RIIF	2,000,000	RBC2	0	0		0		0		0	
IJOBS Board- Competitive Grants and Targeted Rebuild	165,000,000	RBC	0		50,000,000	0		0		0		0	
Total Treasurer of State	\$ 171,590,000		\$ 3,060,000		\$ 51,060,000	\$ 1,060,000		\$ 1,060,000		\$ 0		\$ 0	

Infrastructure and Technology Appropriations under Current Law, FY 2012 and FY 2013 Governor's Recommendations - All Infrastructure Funds

As of February 9, 2011

	Actual FY 2010		Current Law FY 2011		Dept Request	Gov Rec FY 2012		Gov Rec FY 2013		Appropriations Previously Enacted or Standing			
	Appropriation	Fund	Appropriation	Fund	FY 2012	Recommendation	Fund	Recommendation	Fund	FY 2012	Fund	FY 2013	Fund
<u>Veterans Affairs</u>													
Vet. Home Ownership Assistance	\$ 1,600,000	RIIF	\$ 1,000,000	RIIF	\$ 1,000,000	\$ 1,000,000	RIIF	\$ 1,000,000	RIIF	\$ 0		\$ 0	
IVH Capitals Request	0		0		750,000	750,000	RIIF	0		0		0	
Total Veterans Affairs	\$ 1,600,000		\$ 1,000,000		\$ 1,750,000	\$ 1,750,000		\$ 1,000,000		\$ 0		\$ 0	
Total Transportation, Infrastructure, and Capitals	\$ 524,697,944		\$ 351,465,745		\$ 597,136,481	\$ 171,836,905		\$ 157,704,598		\$ 99,900,000		\$ 84,979,000	

Notes:

1) The Infrastructure and Capitals Totals for Actual FY 2010, Estimated FY 2011, Dept Request, and FY 2012 and FY 2013 Governor's Recommendations have been adjusted in order to avoid double counting due to the inclusion of the appropriations from RIIF to the TRF in the spreadsheet as well as the appropriations out of the TRF. These totals do include the RIIF standing appropriation to the Environment First Fund, however.

2) Items in italics that are requested, funded, or recommended from the General Fund (GF) are listed for informational purposes, but are not included in totals.

Infrastructure and Technology Funding Sources

PBF = FY 2009 Prison Bonding Fund

RBC = Revenue Bonds Capitals Fund

RBC2 = Revenue Bonds Capitals II Fund

RCF = Tobacco Settlement Trust Fund - Restricted Capital Fund

RIIF = Rebuild Iowa Infrastructure Fund

TRF = Technology Reinvestment Fund

UST = Underground Storage Tank Fund*

CRF = Cash Reserve Fund*

**not typically an infrastructure funding source*

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**DEPARTMENT OF TRANSPORTATION
FY 2012 and FY 2013 GOVERNOR'S RECOMMENDATIONS**

	<u>Estimated FY 2011</u>	<u>Gov Rec FY 2012</u>	<u>Gov Rec FY12 vs. Est. FY 2011</u>	<u>Gov Rec FY 2013</u>	<u>Gov Rec FY13 vs. GR FY12</u>
Drivers' License Equipment Lease/					
Central Issuance					
Road Use Tax Fund	\$ 3,876,000	\$ 3,876,000	\$ 0	\$ 3,876,000	\$ 0
Operations					
Road Use Tax Fund	\$ 6,654,962	\$ 6,570,000	\$ -84,962	\$ 6,570,000	\$ 0
Primary Road Fund	40,951,274	40,356,529	-594,745	40,356,529	0
Total Operations	\$ 47,606,236	\$ 46,926,529	\$ -679,707	\$ 46,926,529	\$ 0
FTEs	296.0	296.0	0.0	296.0	0.0
Planning & Programming					
Road Use Tax Fund	\$ 506,127	\$ 458,000	\$ -48,127	\$ 458,000	\$ 0
Primary Road Fund	9,610,960	8,697,095	-913,865	8,697,095	0
Total Planning & Programming	\$ 10,117,087	\$ 9,155,095	\$ -961,992	\$ 9,155,095	\$ 0
FTEs	121.0	121.0	0.0	121.0	0.0
Motor Vehicles					
Road Use Tax Fund	\$ 35,604,012	\$ 33,921,000	\$ -1,683,012	\$ 33,921,000	\$ 0
Primary Road Fund	1,555,005	1,413,540	-141,465	1,413,540	0
Total Motor Vehicles	\$ 37,159,017	\$ 35,334,540	\$ -1,824,477	\$ 35,334,540	\$ 0
FTEs	445.0	445.0	0.0	445.0	0.0
Highway					
Primary Road Fund	\$ 237,565,726	\$ 230,913,992	\$ -6,651,734	\$ 233,026,992	\$ 2,113,000
FTEs	2,247.0	2,247.0	0.0	2,247.0	0.0
Dept. of Administrative Services (DAS)					
Road Use Tax Fund	\$ 225,000	\$ 225,000	\$ 0	\$ 225,000	\$ 0
Primary Road Fund	1,382,000	1,388,000	6,000	1,388,000	0
Total DAS	\$ 1,607,000	\$ 1,613,000	\$ 6,000	\$ 1,613,000	\$ 0
Unemployment Compensation					
Road Use Tax Fund	\$ 7,000	\$ 7,000	\$ 0	\$ 7,000	\$ 0
Primary Road Fund	138,000	138,000	0	138,000	0
Total Unemployment Comp.	\$ 145,000	\$ 145,000	\$ 0	\$ 145,000	\$ 0
Workers' Compensation					
Road Use Tax Fund	\$ 137,000	\$ 119,000	\$ -18,000	\$ 119,000	\$ 0
Primary Road Fund	3,278,000	2,846,000	-432,000	2,846,000	0
Total Workers' Comp	\$ 3,415,000	\$ 2,965,000	\$ -450,000	\$ 2,965,000	\$ 0
Indirect Cost Recoveries					
Road Use Tax Fund	\$ 78,000	\$ 78,000	\$ 0	\$ 78,000	\$ 0
Primary Road Fund	572,000	572,000	0	572,000	0
Total Indirect Cost Recoveries	\$ 650,000	\$ 650,000	\$ 0	\$ 650,000	\$ 0
Auditor Reimbursement					
Road Use Tax Fund	\$ 67,319	\$ 67,319	\$ 0	\$ 67,319	\$ 0
Primary Road Fund	415,181	415,181	0	415,181	0
Total Auditor Reimbursement	\$ 482,500	\$ 482,500	\$ 0	\$ 482,500	\$ 0
County Treasurers Support					
Road Use Tax Fund	\$ 1,406,000	\$ 1,406,000	\$ 0	\$ 1,406,000	\$ 0

**DEPARTMENT OF TRANSPORTATION
FY 2012 and FY 2013 GOVERNOR'S RECOMMENDATIONS**

	<u>Estimated FY 2011</u>	<u>Gov Rec FY 2012</u>	<u>Gov Rec FY12 vs. Est. FY 2011</u>	<u>Gov Rec FY 2013</u>	<u>Gov Rec FY13 vs. GR FY12</u>
511 Road/Weather Conditions					
Road Use Tax Fund	\$ 100,000	\$ 100,000	\$ 0	\$ 100,000	\$ 0
Mississippi River Parkway Commission					
Road Use Tax Fund	\$ 40,000	\$ 40,000	\$ 0	\$ 40,000	\$ 0
North America Superhighway Corridor					
Road Use Tax Fund	\$ 50,000	\$ 0	\$ -50,000	\$ 0	\$ 0
MVD Field Facility Maintenance					
Road Use Tax Fund	\$ 200,000	\$ 200,000	\$ 0	\$ 200,000	\$ 0
Scale Replacement					
Road Use Tax Fund	\$ 0	\$ 550,000	\$ 550,000	\$ 550,000	\$ 0
Garage Fuel & Waste Management					
Primary Road Fund	\$ 800,000	\$ 800,000	\$ 0	\$ 800,000	\$ 0
Transportation Maps					
Primary Road Fund	\$ 242,000	\$ 242,000	\$ 0	\$ 242,000	\$ 0
Inventory & Equipment Replacement					
Primary Road Fund	\$ 2,250,000	\$ 5,366,000	\$ 3,116,000	\$ 5,366,000	\$ 0
Utility Improvements					
Primary Road Fund	\$ 400,000	\$ 400,000	\$ 0	\$ 400,000	\$ 0
Garage Roofing Projects					
Primary Road Fund	\$ 200,000	\$ 200,000	\$ 0	\$ 200,000	\$ 0
HVAC Improvements					
Primary Road Fund	\$ 200,000	\$ 400,000	\$ 200,000	\$ 200,000	\$ -200,000
Field Facility Deferred Maintenance					
Primary Road Fund	\$ 1,000,000	\$ 1,000,000	\$ 0	\$ 1,000,000	\$ 0
ADA Improvements					
Primary Road Fund	\$ 120,000	\$ 0	\$ -120,000	\$ 0	\$ 0
Ames Elevator Upgrade					
Primary Road Fund	\$ 100,000	\$ 100,000	\$ 0	\$ 0	\$ -100,000
Wastewater Treatment Upgrades -Garages					
Primary Road Fund	\$ 1,000,000	\$ 1,000,000	\$ 0	\$ 1,000,000	\$ 0
Swea City Garage					
Primary Road Fund	\$ 0	\$ 2,100,000	\$ 2,100,000	\$ 0	\$ -2,100,000
New Hampton Garage					
Primary Road Fund	\$ 0	\$ 0	\$ 0	\$ 5,200,000	\$ 5,200,000
Subtotal Road Use Tax Fund	<u>\$ 48,951,420</u>	<u>\$ 47,617,319</u>	<u>\$ -1,334,101</u>	<u>\$ 47,617,319</u>	<u>\$ 0</u>
Subtotal Primary Road Fund	<u>\$ 301,780,146</u>	<u>\$ 298,348,337</u>	<u>\$ -3,431,809</u>	<u>\$ 303,261,337</u>	<u>\$ 4,913,000</u>
TOTAL DOT	<u><u>\$ 350,731,566</u></u>	<u><u>\$ 345,965,656</u></u>	<u><u>\$ -4,765,910</u></u>	<u><u>\$ 350,878,656</u></u>	<u><u>\$ 4,913,000</u></u>
TOTAL FTEs	3,109.0	3,109.0	0.0	3,109.0	0.0

APPENDIX B

Appropriations Tracking General Fund and Other Funds Transportation, Infrastructure, and Capitals

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Transportation, Infrastructure, and Capitals General Fund

	Actual FY 2010 <u>(1)</u>	Estimated FY 2011 <u>(2)</u>	Gov Rec FY 2012 <u>(3)</u>	Gov Rec FY12 vs FY 2011 <u>(4)</u>	Gov Rec FY 2013 <u>(5)</u>	Gov Rec FY13 vs Gov Rec FY12 <u>(6)</u>
<u>Transportation, Dept. of</u>						
Transportation, Dept. of						
Commercial Service Airports	\$ 1,350,000	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0
Total Transportation, Dept. of	\$ 1,350,000	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0
Total Transportation, Infrastructure, and Capitals	\$ 1,350,000	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0

	Actual FY 2010 (1)	Estimated FY 2011 (2)	Gov Rec FY 2012 (3)	Gov Rec FY12 vs. Est. FY11 (4)	Gov Rec YR2 FY 2013 (5)	Gov Rec FY13 vs. Gov Rec FY12 (6)
<u>Administrative Services, Dept. of</u>						
Administrative Services						
Capitol Shuttle - RIIF	\$ 200,000	\$ 200,000	\$ 0	\$ -200,000	\$ 0	\$ 0
I/3 RFP for Human Resources Module - RIIF	-200,000	0	0	0	0	0
Mercy Capital Hospital Operations - RIIF	500,000	1,083,175	0	-1,083,175	0	0
DAS Distribution Account - RIIF	3,700,000	3,700,000	0	-3,700,000	0	0
Total Administrative Services, Dept. of	\$ 4,200,000	\$ 4,983,175	\$ 0	\$ -4,983,175	\$ 0	\$ 0
<u>Administrative Services - Capitals</u>						
Administrative Services - Capitals						
Capitol Interior/Exterior - RIIF	\$ 5,000,000	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0
Wallace Building - RIIF	1,500,000	0	0	0	0	0
Cap Comp Elect Dist Upgrade - RIIF	850,000	0	0	0	0	0
Terrace Hill Maintenance - RIIF	769,543	0	0	0	0	0
Major Maintenance - RCF	195,484	0	0	0	0	0
ITE Pooled Technology - TRF	2,037,184	3,793,654	1,637,724	-2,155,930	2,235,040	597,316
Major Maintenance - RBC	0	3,000,000	0	-3,000,000	0	0
Major Maintenance - RIIF	0	0	4,500,000	4,500,000	3,750,000	-750,000
Central Energy Plant/Facilities Mgmt - RIIF	623,000	0	0	0	0	0
Hoover Building HVAC Improve - RIIF	1,500,000	0	0	0	0	0
Routine Maintenance - RIIF	3,000,000	0	0	0	0	0
Total Administrative Services - Capitals	\$ 15,475,211	\$ 6,793,654	\$ 6,137,724	\$ -655,930	\$ 5,985,040	\$ -152,684
<u>Agriculture and Land Stewardship</u>						
Agriculture and Land Stewardship						
Soil Conservation Cost Share - RBC	\$ 11,500,000	\$ 1,000,000	\$ 0	\$ -1,000,000	\$ 0	\$ 0
WIRB Administration - RIIF	0	50,000	0	-50,000	0	0
Soil Conservation Cost Share - RBC2	0	5,950,000	0	-5,950,000	0	0
Cons Reserve Enhancement Prog - RBC2	0	2,500,000	0	-2,500,000	0	0
Total Agriculture and Land Stewardship	\$ 11,500,000	\$ 9,500,000	\$ 0	\$ -9,500,000	\$ 0	\$ 0

	Actual FY 2010 (1)	Estimated FY 2011 (2)	Gov Rec FY 2012 (3)	Gov Rec FY12 vs. Est. FY11 (4)	Gov Rec YR2 FY 2013 (5)	Gov Rec FY13 vs. Gov Rec FY12 (6)
Blind Capitals, Dept. for the						
Dept. for the Blind Capitals						
Replace Air Handlers - RIIF	\$ 0	\$ 0	\$ 1,065,674	\$ 1,065,674	\$ 0	\$ -1,065,674
Newsline Service - RIIF	0	20,000	0	-20,000	0	0
Total Blind Capitals, Dept. for the	\$ 0	\$ 20,000	\$ 1,065,674	\$ 1,045,674	\$ 0	\$ -1,065,674
Corrections, Dept. of						
Central Office						
Iowa Corrections Offender Network - TRF	\$ 500,000	\$ 500,000	\$ 500,000	\$ 0	\$ 500,000	\$ 0
Total Corrections, Dept. of	\$ 500,000	\$ 500,000	\$ 500,000	\$ 0	\$ 500,000	\$ 0
Corrections Capital						
Corrections Capital						
CBC 5 Security Barrier Perimeter- RBC	\$ 0	\$ 1,000,000	\$ 0	\$ -1,000,000	\$ 0	\$ 0
Project Management Costs - ISP - PBF	0	322,500	0	-322,500	0	0
Fort Madison Initial One Time Costs - RIIF	0	0	6,155,077	6,155,077	10,460,289	4,305,212
Mitchellville Initial One Time Costs - RIIF	0	0	4,661,556	4,661,556	2,891,062	-1,770,494
ISP Electrical Lease - RIIF	-27,764	0	0	0	0	0
ICIW Mitchellville Expansion - RIIF	0	0	12,900,000	12,900,000	8,779,000	-4,121,000
Iowa State Penitentiary (ISP)-RIIF	0	0	1,000,000	1,000,000	5,808,835	4,808,835
CBC Des Moines Expansion - RIIF	-103,346	0	0	0	0	0
Construction Project Management - RIIF	1,750,000	0	4,500,000	4,500,000	1,000,000	-3,500,000
CBC 5 Des Moines Expansion - RBC	-13,100,000	0	0	0	0	0
ICIW Mitchellville Prison Expansion-RBC	0	0	4,130,952	4,130,952	0	-4,130,952
Construction Project Management - RBC	0	2,200,000	0	-2,200,000	0	0
CBCs Opening Costs Equipment - RBC	0	1,519,048	0	-1,519,048	0	0
Total Corrections Capital	\$ -11,481,110	\$ 5,041,548	\$ 33,347,585	\$ 28,306,037	\$ 28,939,186	\$ -4,408,399

	Actual FY 2010 (1)	Estimated FY 2011 (2)	Gov Rec FY 2012 (3)	Gov Rec FY12 vs. Est. FY11 (4)	Gov Rec YR2 FY 2013 (5)	Gov Rec FY13 vs. Gov Rec FY12 (6)
<u>Cultural Affairs, Dept. of</u>						
Cultural Affairs, Dept. of						
Grout Museum District Oral History Exhibit - TRF	\$ 486,250	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0
Historic Preservation - RIIF	1,000,000	0	0	0	0	0
Kimball Organ Restoration - RIIF	-25,000	0	0	0	0	0
Great Places Capitals - RIIF	1,900,000	0	0	0	0	0
Battle Flags - RIIF	0	60,000	0	-60,000	0	0
Civil War Sesquicentennial - RIIF	350,000	0	0	0	0	0
Community Cultural Grants - RIIF	200,000	0	0	0	0	0
Historic Site Maintenance - RIIF	0	40,000	0	-40,000	0	0
Total Cultural Affairs, Dept. of	\$ 3,911,250	\$ 100,000	\$ 0	\$ -100,000	\$ 0	\$ 0
<u>Cultural Affairs Capital</u>						
Cultural Affairs Capital						
Great Places Grants - RBC	\$ 0	\$ 2,000,000	\$ 0	\$ -2,000,000	\$ 0	\$ 0
Total Cultural Affairs Capital	\$ 0	\$ 2,000,000	\$ 0	\$ -2,000,000	\$ 0	\$ 0
<u>Economic Development, Dept. of</u>						
Economic Development, Dept. of						
Workforce Training and Economic Dev - RIIF	\$ 2,000,000	\$ 2,000,000	\$ 0	\$ -2,000,000	\$ 0	\$ 0
Regional Sports Authorities - RIIF	500,000	500,000	0	-500,000	0	0
City of Seymour Asbestos Demolition Assist - RIIF	50,000	0	0	0	0	0
AAU Jr. Olympics Summer 2009 - RIIF	200,000	0	0	0	0	0
Warren Co Econ Dev Bldg - RIIF	100,000	0	0	0	0	0
City of Muscatine Fire Station - RIIF	200,000	0	0	0	0	0
City of Stratford Community Center - RIIF	10,000	0	0	0	0	0
Main Street Iowa Program - RBC	5,550,000	0	0	0	0	0
Grow Iowa Values Fund - RIIF	23,000,000	38,000,000	25,000,000	-13,000,000	25,000,000	0
6th Avenue Corridor-Main Streets - RIIF	0	100,000	0	-100,000	0	0
Port Authority-Economic Dev SE Iowa - RIIF	0	50,000	0	-50,000	0	0
World Food Prize Borlaug/Ruan Scholar Prog - RIIF	0	100,000	0	-100,000	0	0
Cedar Rapids Small Business Center - RBC2	0	1,200,000	0	-1,200,000	0	0
Mason City Small Business Center - RBC2	0	1,500,000	0	-1,500,000	0	0
Main Street Projects - RBC2	0	8,450,000	0	-8,450,000	0	0
Blank Park Zoo Expansion - RIIF	0	500,000	0	-500,000	0	0
Community Attraction and Tourism - RBC2	0	12,000,000	0	-12,000,000	0	0
River Enhancement CAT - RBC2	0	4,000,000	0	-4,000,000	0	0
ACE Vertical Infrastructure - RBC2	0	5,500,000	0	-5,500,000	0	0
Total Economic Development, Dept. of	\$ 31,610,000	\$ 73,900,000	\$ 25,000,000	\$ -48,900,000	\$ 25,000,000	\$ 0

	Actual FY 2010 (1)	Estimated FY 2011 (2)	Gov Rec FY 2012 (3)	Gov Rec FY12 vs. Est. FY11 (4)	Gov Rec YR2 FY 2013 (5)	Gov Rec FY13 vs. Gov Rec FY12 (6)
<u>Economic Development Capitals</u>						
Economic Development Capitals						
Regional Transit Hub Construction - RBC	\$ 0	\$ 4,000,000	\$ 0	\$ -4,000,000	\$ 0	\$ 0
Total Economic Development Capitals	\$ 0	\$ 4,000,000	\$ 0	\$ -4,000,000	\$ 0	\$ 0
<u>Education, Dept. of</u>						
Education, Dept. of						
Statewide Education Data Warehouse	\$ 600,000	\$ 600,000	\$ 600,000	\$ 0	\$ 600,000	\$ 0
ICN Part III Leases & Maintenance Network	2,727,000	2,727,000	2,727,000	0	2,727,000	0
Enrich Iowa	1,000,000	500,000	0	-500,000	0	0
Community Colleges Infrastructure - IJOBS	0	2,000,000	0	-2,000,000	0	0
Total Education, Dept. of	\$ 4,327,000	\$ 5,827,000	\$ 3,327,000	\$ -2,500,000	\$ 3,327,000	\$ 0
Iowa Public Television						
IPTV Building Purchase	\$ 0	\$ 0	\$ 1,255,550	\$ 1,255,550	\$ 0	\$ -1,255,550
Total Education, Dept. of	\$ 4,327,000	\$ 5,827,000	\$ 4,582,550	\$ -1,244,450	\$ 3,327,000	\$ -1,255,550
<u>Ethics and Campaign Disclosure</u>						
Campaign Finance Disclosure						
Electronic Filing-TRF	\$ 15,000	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0
Total Ethics and Campaign Disclosure	\$ 15,000	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0
<u>Human Rights, Dept. of</u>						
Human Rights, Department of						
Infrastructure for Integrating Justice Data Systems	\$ 0	\$ 0	\$ 1,689,307	\$ 1,689,307	\$ 1,689,307	\$ 0
Justice Enterprise Data Warehouse	361,072	0	0	0	0	0
Total Human Rights, Dept. of	\$ 361,072	\$ 0	\$ 1,689,307	\$ 1,689,307	\$ 1,689,307	\$ 0
<u>Human Services, Dept. of</u>						
Assistance						
Nursing Facility Renovation and Constr.-RIIF	\$ -600,000	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0
Child Dev Homes Health Ins Access Study-RIIF	-50,000	0	0	0	0	0
Total Human Services, Dept. of	\$ -650,000	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0
<u>Human Services Capital</u>						
Human Services - Capital						
Independence MH Sys Comm Dev Bldg-RIIF	\$ 200,000	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0
Nursing Facility Financial Assist.-RIIF	-800,000	0	0	0	0	0
Total Human Services Capital	\$ -600,000	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0

	Actual FY 2010 (1)	Estimated FY 2011 (2)	Gov Rec FY 2012 (3)	Gov Rec FY12 vs. Est. FY11 (4)	Gov Rec YR2 FY 2013 (5)	Gov Rec FY13 vs. Gov Rec FY12 (6)
<u>Iowa Tele. & Tech. Commission</u>						
Iowa Communications Network						
ICN Equipment Replacement - TRF	\$ 2,211,863	\$ 2,244,956	\$ 2,248,653	\$ 3,697	\$ 2,248,653	\$ 0
Generator Replacement - TRF	2,755,246	0	0	0	0	0
ICN Fiber Redundancy - TRF	2,320,000	0	0	0	0	0
Total Iowa Tele. & Tech. Commission	\$ 7,287,109	\$ 2,244,956	\$ 2,248,653	\$ 3,697	\$ 2,248,653	\$ 0
<u>Iowa Finance Authority</u>						
Iowa Finance Authority						
State Housing Trust Fund-RIIF	\$ 3,000,000	\$ 1,000,000	\$ 0	\$ -1,000,000	\$ 0	\$ 0
I JOBS Administration-RIIF	200,000	200,000	0	-200,000	0	0
Public Shelter Grant Fund-RBC	10,000,000	0	0	0	0	0
Disaster Damage Housing Assist Grant Fund-RBC	5,000,000	0	0	0	0	0
Affordable Housing Assist Grant Fund-RBC	20,000,000	0	0	0	0	0
Sewer Infrastructure-RBC	55,000,000	0	0	0	0	0
Housing Trust Fund-RBC	0	2,000,000	0	-2,000,000	0	0
Facilities Multiple-Handicapped-Polk Co-RIIF	0	250,000	0	-250,000	0	0
Disaster Prevention Local Infra Grant Prog-RBC2	0	30,000,000	0	-30,000,000	0	0
Floodwall Cedar Rapids Former Fed. Courthouse-RBC2	0	2,000,000	0	-2,000,000	0	0
Linn County Administrative Office Building-RBC2	0	4,400,000	0	-4,400,000	0	0
Cedar Rapids City Hall-RBC2	0	4,400,000	0	-4,400,000	0	0
DSM Fire Dept Training and Logistics Facility-RBC2	0	3,000,000	0	-3,000,000	0	0
Des Moines Riverpoint Service Area-RBC2	0	1,250,000	0	-1,250,000	0	0
Des Moines Court Ave Sewer-RBC2	0	3,050,000	0	-3,050,000	0	0
DSM Flood Control-Tonawanda Ravine-RBC2	0	700,000	0	-700,000	0	0
DSM Wastewater Reclamation Basins-RBC2	0	500,000	0	-500,000	0	0
Des Moines-Broadlawns-RBC2	0	1,000,000	0	-1,000,000	0	0
Flood Mitigation Davenport-Woodman Pk Flood Prot	0	1,050,000	0	-1,050,000	0	0
Waterloo Public Works Building	0	5,000,000	0	-5,000,000	0	0
Iowa City Wastewater Treatment Plant	0	2,000,000	0	-2,000,000	0	0
West Union Green Pilot Project	0	1,175,000	0	-1,175,000	0	0
Jessup City Hall	0	475,000	0	-475,000	0	0
Belmond Storm Sewer Flood Protection	0	600,000	0	-600,000	0	0
Norwalk Orchard Ridge Drainage Channel Projects	0	300,000	0	-300,000	0	0
Cedar Rapids Flood Mitigation	0	2,100,000	0	-2,100,000	0	0
Linn County Public Service Center Flood Damage	0	4,500,000	0	-4,500,000	0	0
Total Iowa Finance Authority	\$ 93,200,000	\$ 70,950,000	\$ 0	\$ -70,950,000	\$ 0	\$ 0

	Actual FY 2010 (1)	Estimated FY 2011 (2)	Gov Rec FY 2012 (3)	Gov Rec FY12 vs. Est. FY11 (4)	Gov Rec YR2 FY 2013 (5)	Gov Rec FY13 vs. Gov Rec FY12 (6)
<u>Law Enforcement Academy</u>						
Law Enforcement Academy						
ILEA Technology Projects - TRF - 0943	\$ 185,000	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0
Total Law Enforcement Academy	\$ 185,000	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0
<u>Management, Dept. of</u>						
Management, Dept. of						
Transparency Project	\$ 0	\$ 0	\$ 600,000	\$ 600,000	\$ 0	\$ -600,000
Total Management, Dept. of	\$ 0	\$ 0	\$ 600,000	\$ 600,000	\$ 0	\$ -600,000
<u>Natural Resources, Dept. of</u>						
Natural Resources						
Plasma Arc Technology	\$ -15,000	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0
Floodplain Management & Dam Safety	2,000,000	2,000,000	2,000,000	0	2,000,000	0
Lake Restoration and Dredging	2,800,000	0	0	0	0	0
Water Trails and Low Head Dam Programs	800,000	0	0	0	0	0
Hungry Canyons Alliance	100,000	0	0	0	0	0
Honey Creek Asset Manager	0	100,000	0	-100,000	0	0
Watershed Rebuilding-Water Quality	13,500,000	0	0	0	0	0
Total Natural Resources, Dept. of	\$ 19,185,000	\$ 2,100,000	\$ 2,000,000	\$ -100,000	\$ 2,000,000	\$ 0
<u>Natural Resources Capital</u>						
Natural Resources Capital						
State Park Infrastructure-RIIF	\$ 0	\$ 0	\$ 5,000,000	\$ 5,000,000	\$ 5,000,000	\$ 0
Lakes Restoration & Water Quality-RIIF	0	0	8,600,000	8,600,000	8,600,000	0
Lake Restoration & Dredging-RBC	0	7,000,000	0	-7,000,000	0	0
Lake Restoration & Dredging-RBC2	0	3,000,000	0	-3,000,000	0	0
Rock Creek Permanent Shelter-RIIF	0	40,000	0	-40,000	0	0
State Parks Infrastructure-RBC2	0	5,000,000	0	-5,000,000	0	0
Total Natural Resources Capital	\$ 0	\$ 15,040,000	\$ 13,600,000	\$ -1,440,000	\$ 13,600,000	\$ 0
<u>Public Defense, Dept. of</u>						
Emergency Management Division						
2-1-1 Call System	\$ 250,000	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0
Total Public Defense, Dept. of	\$ 250,000	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0

	Actual FY 2010 (1)	Estimated FY 2011 (2)	Gov Rec FY 2012 (3)	Gov Rec FY12 vs. Est. FY11 (4)	Gov Rec YR2 FY 2013 (5)	Gov Rec FY13 vs. Gov Rec FY12 (6)
<u>Public Defense Capital</u>						
Public Defense Capital						
Facility/Armory Maintenance-RIIF	\$ 1,500,000	\$ 1,500,000	\$ 2,000,000	\$ 500,000	\$ 0	\$ -2,000,000
Gold Star Museum-RIIF	1,000,000	0	0	0	0	0
Mount Pleasant Readiness Center-RIIF	1,000,000	0	0	0	0	0
Muscatine Readiness Center-RIIF	0	0	100,000	100,000	0	-100,000
Statewide Modernization Readiness Ctrs-RIIF	1,800,000	1,800,000	1,800,000	0	0	-1,800,000
Davenport Readiness Center-RIIF	2,000,000	0	0	0	0	0
Camp Dodge Infrastructure Upgrades-RIIF	0	0	1,000,000	1,000,000	0	-1,000,000
Middletown AF Readiness Center-RIIF	0	100,000	0	-100,000	0	0
Iowa Falls Readiness Center-RIIF	0	500,000	0	-500,000	0	0
Cedar Rapids Armed Forces Readiness Center-RIIF	0	200,000	0	-200,000	0	0
Total Public Defense Capital	\$ 7,300,000	\$ 4,100,000	\$ 4,900,000	\$ 800,000	\$ 0	\$ -4,900,000
<u>Public Health, Dept. of</u>						
Public Health, Dept. of						
Community MH Center-TRF	\$ 0	\$ 250,000	\$ 0	\$ -250,000	\$ 0	\$ 0
Vision Screening-RIIF	130,000	100,000	0	-100,000	0	0
Total Public Health, Dept. of	\$ 130,000	\$ 350,000	\$ 0	\$ -350,000	\$ 0	\$ 0
<u>Public Safety Capital</u>						
Public Safety Capital						
DPS Radio Conversion - RIIF	\$ 0	\$ 0	\$ 2,500,000	\$ 2,500,000	\$ 2,500,000	\$ 0
AFIS Lease Purchase-TRF	350,000	0	0	0	0	0
Total Public Safety Capital	\$ 350,000	\$ 0	\$ 2,500,000	\$ 2,500,000	\$ 2,500,000	\$ 0
<u>Regents, Board of</u>						
Regents, Board of						
SUI - Iowa Flood Center	\$ 1,300,000	\$ 1,300,000	\$ 1,300,000	\$ 0	\$ 1,300,000	\$ 0
Iowa Energy Center	0	5,000,000	0	-5,000,000	0	0
Regents Tuition Replacement-RIIF	24,305,412	24,305,412	24,305,412	0	24,305,412	0
ISU - Iowa Energy Center	5,000,000	0	0	0	0	0
Total Regents, Board of	\$ 30,605,412	\$ 30,605,412	\$ 25,605,412	\$ -5,000,000	\$ 25,605,412	\$ 0

	Actual FY 2010 (1)	Estimated FY 2011 (2)	Gov Rec FY 2012 (3)	Gov Rec FY12 vs. Est. FY11 (4)	Gov Rec YR2 FY 2013 (5)	Gov Rec FY13 vs. Gov Rec FY12 (6)
Regents Capital						
Regents Capital						
ISU - Renewable Fuels Building-RIIF	\$ 11,597,000	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0
ISU - Veterinary Facility Phase II-RBC	0	13,000,000	0	-13,000,000	0	0
ISU - Vet Equip-Modernize Blank Park Zoo-RIIF	0	400,000	0	-400,000	0	0
SUI - Institute for Biomedical Discovery	0	10,000,000	0	-10,000,000	0	0
Fire Safety and Deferred Maint All Institut - RIIF	0	0	4,000,000	4,000,000	3,000,000	-1,000,000
Total Regents Capital	\$ 11,597,000	\$ 23,400,000	\$ 4,000,000	\$ -19,400,000	\$ 3,000,000	\$ -1,000,000
Revenue, Dept. of						
Revenue, Dept. of						
SAVE Appropriation	\$ 10,000,000	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0
Total Revenue, Dept. of	\$ 10,000,000	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0
State Fair Authority Capital						
State Fair Authority Capital						
Agri. Exhibition Center-RIIF	\$ 5,500,000	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0
Agricultural Exhibition Center-RBC	0	2,500,000	0	-2,500,000	0	0
Total State Fair Authority Capital	\$ 5,500,000	\$ 2,500,000	\$ 0	\$ -2,500,000	\$ 0	\$ 0
Transportation, Dept. of						
Transportation, Dept. of						
Public Transit Infrastructure-RIIF	\$ 1,250,000	\$ 0	\$ 2,000,000	\$ 2,000,000	\$ 2,000,000	\$ 0
PRF-Garage Fuel & Waste Mgmt.	800,000	800,000	800,000	0	800,000	0
Commercial Air Service-RIIF	0	0	1,500,000	1,500,000	1,500,000	0
General Aviation Grants-RIIF	750,000	750,000	750,000	0	750,000	0
Recreational Trails-RIIF	3,500,000	0	2,000,000	2,000,000	2,000,000	0
Rail Revolving Loan & Grant Fund-RIIF	1,500,000	2,000,000	2,000,000	0	2,000,000	0
PRF-Field Facility Deferred Maint.	1,000,000	1,000,000	1,000,000	0	1,000,000	0
PRF-Transportation Maps	242,000	242,000	242,000	0	242,000	0
PRF-Operations	40,876,274	40,951,274	40,356,529	-594,745	40,356,529	0
PRF-Planning & Programming	9,610,960	9,610,960	8,697,095	-913,865	8,697,095	0
PRF-Highway	236,262,726	237,565,726	230,913,992	-6,651,734	233,026,992	2,113,000
PRF-Motor Vehicle	1,555,005	1,555,005	1,413,540	-141,465	1,413,540	0
PRF-DOT Unemployment	138,000	138,000	138,000	0	138,000	0
PRF-DOT Workers' Compensation	3,406,000	3,278,000	2,846,000	-432,000	2,846,000	0
PRF-Indirect Cost Recoveries	572,000	572,000	572,000	0	572,000	0

	Actual FY 2010	Estimated FY 2011	Gov Rec FY 2012	Gov Rec FY12 vs. Est. FY11	Gov Rec YR2 FY 2013	Gov Rec FY13 vs. Gov Rec FY12
	(1)	(2)	(3)	(4)	(5)	(6)
PRF-Inventry & Equip.	2,250,000	2,250,000	5,366,000	3,116,000	5,366,000	0
PRF-DAS	1,382,000	1,382,000	1,388,000	6,000	1,388,000	0
PRF-Auditor Reimbursement	415,181	415,181	415,181	0	415,181	0
Passenger Rail-RIIF	3,000,000	0	0	0	0	0
RUTF-Operations	6,654,962	6,654,962	6,570,000	-84,962	6,570,000	0
RUTF-Planning & Programming	506,127	506,127	458,000	-48,127	458,000	0
RUTF-Motor Vehicle	36,752,012	35,604,012	33,921,000	-1,683,012	33,921,000	0
RUTF-Unemployment Compensation	7,000	7,000	7,000	0	7,000	0
RUTF-Workers' Compensation	142,000	137,000	119,000	-18,000	119,000	0
RUTF-Drivers' Licenses	3,714,000	3,876,000	3,876,000	0	3,876,000	0
RUTF-Mississippi River Park. Comm.	40,000	40,000	40,000	0	40,000	0
RUTF-Indirect Cost Recoveries	78,000	78,000	78,000	0	78,000	0
RUTF-Auditor Reimbursement	67,319	67,319	67,319	0	67,319	0
RUTF-County Treasurers Support	1,394,000	1,406,000	1,406,000	0	1,406,000	0
RUTF-DAS	225,000	225,000	225,000	0	225,000	0
RUTF-N. America Super Corridor Coalition	50,000	50,000	0	-50,000	0	0
RUTF-Road/Weather Conditions Info	100,000	100,000	100,000	0	100,000	0
RUTF-Muscatine Reimbursement	1,072	0	0	0	0	0
RUTF-Cedar Falls Assessment	317,906	0	0	0	0	0
Local Roads 50/50 Split-RIIF	14,750,000	24,700,000	0	-24,700,000	0	0
Bridge Safety Fund-RBC	40,000,000	0	0	0	0	0
Counties/Cities- FRRF	5,550,000	0	0	0	0	0
Commercial Aviation Infrastructure-RBC2	0	1,500,000	0	-1,500,000	0	0
Public Transit Grants-RBC2	0	2,000,000	0	-2,000,000	0	0
Passenger Rail Service - UST	0	2,000,000	0	-2,000,000	0	0
Bridge Safety Program-RBC2	0	10,000,000	0	-10,000,000	0	0
Rail Ports Improvement Program-RBC2	0	7,500,000	0	-7,500,000	0	0
Total Transportation, Dept. of	\$ 418,859,544	\$ 398,961,566	\$ 349,265,656	\$ -49,695,910	\$ 351,378,656	\$ 2,113,000

Transportation Capitals

Transportation Capital

PRF-Garage Roofing Projects	\$ 200,000	\$ 200,000	\$ 200,000	\$ 0	\$ 200,000	\$ 0
RUTF-Scale/MVD Facilities Maint.	200,000	200,000	200,000	0	200,000	0
PRF-Rockwell City Garage	3,000,000	0	0	0	0	0
PRF-Swea City Garage	0	0	2,100,000	2,100,000	0	-2,100,000
PRF-Waste Water Treatment	0	1,000,000	1,000,000	0	1,000,000	0
PRF-Utility Improvements	400,000	400,000	400,000	0	400,000	0
New Hampton Garage	0	0	0	0	5,200,000	5,200,000
PRF-HVAC Improvements	100,000	200,000	400,000	200,000	200,000	-200,000
PRF-ADA Improvements	120,000	120,000	0	-120,000	0	0
PRF-Ames Elevator Upgrade	100,000	100,000	100,000	0	0	-100,000
RUTF-Scale Replacement	0	0	550,000	550,000	550,000	0
Total Transportation Capitals	\$ 4,120,000	\$ 2,220,000	\$ 4,950,000	\$ 2,730,000	\$ 7,750,000	\$ 2,800,000

	Actual FY 2010 <u>(1)</u>	Estimated FY 2011 <u>(2)</u>	Gov Rec FY 2012 <u>(3)</u>	Gov Rec FY12 vs. Est. FY11 <u>(4)</u>	Gov Rec YR2 FY 2013 <u>(5)</u>	Gov Rec FY13 vs. Gov Rec FY12 <u>(6)</u>
<u>Treasurer of State</u>						
Treasurer of State						
Watershed Improvement Fund-RIIF	\$ 5,000,000	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0
County Fair Improvements-RIIF	1,590,000	1,060,000	1,060,000	0	1,060,000	0
Revenue Bonds Capitals Appropriation-RBC	165,000,000	0	0	0	0	0
Watershed Improvement Fund - RBC2	<u>0</u>	<u>2,000,000</u>	<u>0</u>	<u>-2,000,000</u>	<u>0</u>	<u>0</u>
Total Treasurer of State	\$ 171,590,000	\$ 3,060,000	\$ 1,060,000	\$ -2,000,000	\$ 1,060,000	\$ 0
<u>Veterans Affairs, Dept. of</u>						
Veterans Affairs, Department of						
Veterans Home Ownership Assistance - RIIF	\$ 1,600,000	\$ 1,000,000	\$ 1,000,000	\$ 0	\$ 1,000,000	\$ 0
Total Veterans Affairs, Dept. of	\$ 1,600,000	\$ 1,000,000	\$ 1,000,000	\$ 0	\$ 1,000,000	\$ 0
<u>Veterans Affairs Capitals</u>						
Veterans Affairs Capital						
IVH Capitals Request - RIIF	\$ 0	\$ 0	\$ 750,000	\$ 750,000	\$ 0	\$ -750,000
Total Veterans Affairs Capitals	\$ 0	\$ 0	\$ 750,000	\$ 750,000	\$ 0	\$ -750,000
Total Transportation, Infrastructure, and Capitals	\$ 840,927,488	\$ 669,197,311	\$ 484,802,561	\$ -184,394,750	\$ 475,583,254	\$ -9,219,307

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APPENDIX C

Appropriations Tracking FTE Positions

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Transportation, Infrastructure, and Capitals

FTE

	Actual FY 2010 (1)	Estimated FY 2011 (2)	Gov Rec FY 2012 (3)	Gov Rec FY12 vs Est FY11 (4)	Gov Rec FY 2013 (5)	Gov Rec FY13 vs Gov Rec FY12 (6)
<u>Administrative Services, Dept. of</u>						
Administrative Services						
Mercy Capital Hospital Operations - RIIF	1.02	7.00	0.00	-7.00	0.00	0.00
Total Administrative Services, Dept. of	1.02	7.00	0.00	-7.00	0.00	0.00
<u>Cultural Affairs, Dept. of</u>						
Cultural Affairs, Dept. of						
Battle Flags - RIIF	1.32	1.00	0.00	-1.00	0.00	0.00
Civil War Sesquicentennial - RIIF	0.15	0.00	0.00	0.00	0.00	0.00
Total Cultural Affairs, Dept. of	1.47	1.00	0.00	-1.00	0.00	0.00
<u>Iowa Finance Authority</u>						
Iowa Finance Authority						
I JOBS Administration-RIIF	0.00	2.00	0.00	-2.00	0.00	0.00
Total Iowa Finance Authority	0.00	2.00	0.00	-2.00	0.00	0.00
<u>Iowa Workforce Development</u>						
Iowa Workforce Development						
Outcome Tracking System	0.99	1.60	1.80	0.20	1.80	0.00
Total Iowa Workforce Development	0.99	1.60	1.80	0.20	1.80	0.00
<u>Iowa Tele. & Tech. Commission</u>						
Iowa Communications Network						
ICN Operations	85.12	111.00	90.00	-21.00	90.00	0.00
Total Iowa Tele. & Tech. Commission	85.12	111.00	90.00	-21.00	90.00	0.00
<u>Regents, Board of</u>						
Regents, Board of						
SUI - Iowa Flood Center	9.40	11.10	12.00	0.90	12.00	0.00
Total Regents, Board of	9.40	11.10	12.00	0.90	12.00	0.00

Transportation, Infrastructure, and Capitals

FTE

	Actual FY 2010	Estimated FY 2011	Gov Rec FY 2012	Gov Rec FY12 vs Est FY11	Gov Rec FY 2013	Gov Rec FY13 vs Gov Rec FY12
	(1)	(2)	(3)	(4)	(5)	(6)
Transportation, Dept. of						
Transportation, Dept. of						
Highway Beautification Fund	7.08	9.00	9.00	0.00	9.00	0.00
Materials And Equipment Revolving Fund	74.70	80.00	80.00	0.00	80.00	0.00
Operations	290.64	296.00	296.00	0.00	296.00	0.00
Planning	105.54	121.00	121.00	0.00	121.00	0.00
Highway	2,089.60	2,247.00	2,247.00	0.00	2,247.00	0.00
Motor Vehicle Division	450.15	445.00	445.00	0.00	445.00	0.00
State Aviation Approp	36.57	0.00	0.00	0.00	0.00	0.00
Total Transportation, Dept. of	3,054.28	3,198.00	3,198.00	0.00	3,198.00	0.00
Total Transportation, Infrastructure, and Capitals	3,152.27	3,331.70	3,301.80	-29.90	3,301.80	0.00

APPENDIX D

Transportation Funding Tables Revenues and Allocations

- Road Use Tax Fund (RUTF) and Allocations
- Transfer of Jurisdiction Fund
- Statutory Allocations Fund
- TIME-21 Fund

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ROAD USE TAX FUND
PROJECTED RECEIPTS, ALLOCATIONS, AND DISTRIBUTIONS
ACTUAL FY 2010, ESTIMATED FY 2011 – FY 2014
(All Tables in Millions)

RECEIPTS

	Actual FY 2010	Estimated FY 2011	Estimated FY 2012	Estimated FY 2013	Estimated FY 2014
Motor Vehicle Registration Fees	\$ 382.24	\$ 410.90	\$ 439.80	\$ 450.60	\$ 458.69
Motor Carrier Registration Fees & Prorate	60.25	54.50	54.74	58.87	56.13
Motor Vehicle Fuel Tax	432.00	429.10	437.94	456.90	466.10
Fee for New Registration	241.68	243.60	251.12	253.62	255.69
Interest	4.21	4.21	5.16	6.23	7.52
Underground Storage Tank Fees	20.66	20.64	21.04	21.58	22.01
Other*	16.62	16.61	15.18	16.81	15.40
Total Receipts	\$ 1,157.66	\$ 1,179.56	\$ 1,224.98	\$ 1,264.61	\$ 1,281.54
Transfer from Statutory Allocations Fund (prior FY balance)	28.92	21.81	18.00	14.67	19.59
Transfer to TIME-21 Fund (Veh. Reg. Fees)**	-23.67	-36.28	-73.40	-102.51	-117.45
Net Receipts	\$ 1,162.91	\$ 1,165.09	\$ 1,169.58	\$ 1,176.77	\$ 1,183.68

NOTE: Numbers may not equal totals due to rounding.

* Includes miscellaneous licenses, permits, and fees, and special license plate revenues and any payments and adjustments.

**Due to accounting procedures related to when revenues are collected and distributed, the registration fee collected for TIME-21 during the last month of the fiscal year will be deposited in the next fiscal year. The amount transferred to TIME-21 for FY 2010 reflects the portion above \$392.0 million collected from FY 2009 plus the amount above \$392.0 million that was collected in FY 2010 through May.

**OFF-THE-TOP ALLOCATIONS AND
APPROPRIATIONS**

	<u>Actual FY 2010</u>	<u>Estimated FY 2011</u>	<u>Estimated FY 2012</u>	<u>Estimated FY 2013</u>	<u>Estimated FY 2014</u>
Total Receipts	<u>\$ 1,162.91</u>	<u>\$ 1,165.09</u>	<u>\$ 1,169.58</u>	<u>\$ 1,176.77</u>	<u>\$ 1,183.68</u>
<u>Statutory Allocations per Code Section 312.2</u>					
Primary Road Fund (CIN)	24.10	25.60	26.34	26.65	26.87
Primary Road Fund (\$7.1 and \$4.4 million)	11.50	11.50	11.50	11.50	11.50
Secondary & Urban Roads (to Primary Rd. Fd.)	0.50	0.50	0.50	0.50	0.50
RISE					
State (to Primary Rd. Fd.)	22.52	22.44	22.87	23.46	23.92
City	11.26	11.22	11.44	11.73	11.96
County	5.63	5.61	5.72	5.87	5.98
Park & Institutional Roads (to Primary Rd. Fd.)	7.41	7.62	7.97	8.14	8.28
Farm-to-Market Road Fund	1.50	1.50	1.50	1.50	1.50
Secondary Road Fund	5.63	5.60	5.72	5.86	5.98
Living Roadway Trust Fund	0.25	0.25	0.25	0.25	0.25
Railroad Crossing Surface Repair Fund	0.90	0.90	0.90	0.90	0.90
Railroad Crossing Safety Fund	0.70	0.70	0.70	0.70	0.70
Secondary Bridge Fund	2.00	2.00	2.00	2.00	2.00
City Bridge Fund	0.50	0.50	0.50	0.50	0.50
License Plate Production	2.85	3.50	3.50	3.50	3.50
Traffic Safety Projects	5.70	5.86	6.08	6.26	6.37
Driver's License Suspension Personal Delivery of Service	0.23	0.23	0.23	0.23	0.23
County Treasurer's Equipment (Reg. & Licenses)	0.77	0.65	0.65	0.65	0.65
Fuel Tax Refunds	0.18	0.23	0.23	0.23	0.22
Total Statutory Allocations	<u>\$ 104.13</u>	<u>\$ 106.41</u>	<u>\$ 108.59</u>	<u>\$ 110.43</u>	<u>\$ 111.81</u>
<u>Appropriations</u>					
DIA (DL revocation hearings)	1.62	1.62	1.62	1.71	1.71
Dept. of Management Support Staff	0.06	0.06	0.06	0.06	0.06
DAS I/3 Expenses (Treasurer of State)	0.09	0.09	0.09	0.09	0.09
Driver's License Costs (Lease)/Central Issuance	3.71	3.88	3.92	3.95	3.99
DOT Operations Appropriations (3 Divisions)	43.91	42.77	44.05	45.37	46.73
DAS Utility Services	0.23	0.23	0.23	0.23	0.23
Unemployment Compensation	0.01	0.01	0.01	0.01	0.01
Workers Compensation	0.14	0.14	0.14	0.14	0.14
Indirect Cost Recovery	0.08	0.08	0.08	0.08	0.08
State Auditor Reimbursement	0.07	0.07	0.07	0.07	0.07
County Treasurer Support (Dr. License Issuance)	1.39	1.41	1.41	1.41	1.41
511 Road/Weather Information System	0.10	0.10	0.10	0.10	0.10
Mississippi River Parkway Commission	0.04	0.04	0.04	0.04	0.04
North American Superhighway Coalition	0.05	0.05	0.05	0.05	0.05
MVD Field Facility Maintenance	0.20	0.20	0.20	0.20	0.20
One-Time Funding Needs Appropriations	0.00	4.20	4.20	4.20	4.20
Total Appropriations	<u>\$ 51.70</u>	<u>\$ 54.95</u>	<u>\$ 56.27</u>	<u>\$ 57.71</u>	<u>\$ 59.11</u>
Total Allocations and Appropriations	<u>\$ 155.83</u>	<u>\$ 161.36</u>	<u>\$ 164.86</u>	<u>\$ 168.14</u>	<u>\$ 170.92</u>
Total Available for Distribution (Receipts Less Allocations and Appropriations)	<u>\$ 1,007.08</u>	<u>\$ 1,003.73</u>	<u>\$ 1,004.72</u>	<u>\$ 1,008.64</u>	<u>\$ 1,012.76</u>

NOTE: Numbers may not equal totals due to rounding.

FORMULA ALLOCATION - CODE SECTION 312.2

	<u>Actual FY 2010</u>	<u>Estimated FY 2011</u>	<u>Estimated FY 2012</u>	<u>Estimated FY 2013</u>	<u>Estimated FY 2014</u>
Primary Road Fund* (47.5%)	\$ 478.36	\$ 476.77	\$ 477.24	\$ 479.10	\$ 481.06
Secondary Road Fund (24.5%)	246.73	245.91	246.16	247.12	248.13
Farm-To-Market Road Fund (8.0%)	80.57	80.30	80.38	80.69	81.02
Street Construction Fund (20.0%)	201.42	200.75	200.94	201.73	202.55
Total Formula Allocation	<u>\$ 1,007.08</u>	<u>\$ 1,003.73</u>	<u>\$ 1,004.72</u>	<u>\$ 1,008.64</u>	<u>\$ 1,012.76</u>

NOTE: Numbers may not equal totals due to rounding.

* 1.75% of Primary Road Fund Allocation Deposits in the Transfer of Jurisdiction Fund

TIME-21 Fund
PROJECTED RECEIPTS AND ALLOCATIONS
ACTUAL 2010 and Estimated FY 2011 – FY 2014
 (All Tables in Millions)

RECEIPTS

	Actual FY 2010	Estimated FY 2011	Estimated FY 2012	Estimated FY 2013	Estimated FY 2014
Trailer Reg. Fee Increase	\$ 8.79	\$ 9.50	\$ 9.68	\$ 9.80	\$ 9.85
Title & Salvage Title Fee Increase	9.75	9.59	9.84	10.10	10.35
Motor Vehicle Registration Fees*	23.67	36.28	73.40	102.51	117.45
Total Receipts	\$ 42.21	\$ 55.37	\$ 92.92	\$ 122.41	\$ 137.65

*Due to accounting procedures related to when revenues are collected and distributed, the registration fee collected for TIME-21 during the last month of the fiscal year will be deposited in the next fiscal year. The amount transferred to TIME-21 for FY 2010 reflects the portion above \$392.0 million collected from FY 2009 plus the amount above \$392.0 million that was collected in FY 2010 through May.

FORMULA ALLOCATION

	Actual FY 2010	Estimated FY 2011	Estimated FY 2012	Estimated FY 2013	Estimated FY 2014
Primary Road Fund (60.0%)	\$ 25.33	\$ 33.22	\$ 55.75	\$ 73.45	\$ 82.59
Secondary Road Fund (20.0%)	8.44	11.07	18.58	24.48	27.53
Street Construction Fund (20.0%)	8.44	11.07	18.58	24.48	27.53
Total Distribution	\$ 42.21	\$ 55.37	\$ 92.92	\$ 122.41	\$ 137.65

NOTE: Numbers may not equal totals due to rounding.

**STATUTORY ALLOCATIONS FUND
PROJECTED RECEIPTS AND DISTRIBUTION
ACTUAL 2010 & ESTIMATED FY 2011 - FY 2014**
(All Tables in Millions)

RECEIPTS

	Actual FY 2010	Estimated FY 2011	Estimated FY 2012	Estimated FY 2013	Estimated FY 2014
Driver's License Fees*	\$ 14.43	\$ 11.79	\$ 7.74	\$ 12.49	\$ 16.72
Trailer Registration Fees	7.59	7.67	7.87	8.07	8.27
Trailer Fee for New Registration	11.73	11.07	11.41	11.52	11.62
Title & Salvage Title Fees	6.24	7.13	7.26	7.35	7.39
Title Surcharge Fees	4.76	4.75	4.84	4.90	4.93
Use Tax**	2.28	1.29	1.33	1.35	1.36
Car Rental Tax	2.45	2.88	2.90	2.93	2.96
IA Apport Commercial Truck Title Fee	0.56	0.76	0.76	0.76	0.76
Total Receipts	\$ 50.04	\$ 47.34	\$ 44.11	\$ 49.37	\$ 54.01

*Includes motorcycle driver's license fees and nonoperator ID fees.

** Includes the use tax on mobile homes and manufactured homes and on leased vehicles not subject to registration.

DISTRIBUTION

	Actual FY 2010	Estimated FY 2011	Estimated FY 2012	Estimated FY 2013	Estimated FY 2014
Underground Storage Tank Fund	\$ 17.00	\$ 17.00	\$ 17.00	\$ 17.00	\$ 17.00
Public Transit Assistance	9.09	10.24	10.55	10.66	10.75
Motorcycle Rider Education Fund	0.69	0.60	0.39	0.62	0.84
Special Plate Funds	1.45	1.50	1.50	1.50	1.50
Total Distribution	\$ 28.23	\$ 29.34	\$ 29.44	\$ 29.78	\$ 30.09
Balance to RUTF*	21.81	18.00	14.67	19.59	23.92

NOTE: Numbers may not equal totals due to rounding.

* Due to accounting procedures, balance of SAF transfers to RUTF in the next fiscal year.

**TRANSFER OF JURISDICTION FUND
PROJECTED RECEIPTS AND DISTRIBUTIONS
FY 2010 – FY 2013
(All Tables in Millions)**

	Actual FY 2010	Estimated FY 2011	Estimated FY 2012	Estimated FY 2013
Total Receipts*	<u>\$ 8.37</u>	<u>\$ 8.34</u>	<u>\$ 8.35</u>	<u>\$ 8.38</u>
Formula Allocation - Code Section 313.4				
Cities and counties that assumed jurisdiction of primary roads (75.0%)				
Secondary Road Fund (90.0%)	5.62	5.60	5.61	5.63
Street Construction Fund (10.0%)	0.66	0.65	0.65	0.66
Secondary Road Fund - all counties (22.5%)	1.88	1.88	1.88	1.89
Street Construction Fund - all cities (2.5%)	<u>0.21</u>	<u>0.21</u>	<u>0.21</u>	<u>0.21</u>
Total Formula Allocation	<u>\$ 8.37</u>	<u>\$ 8.34</u>	<u>\$ 8.35</u>	<u>\$ 8.38</u>

NOTE: Numbers may not equal totals due to rounding.

* 1.75% of Primary Road Fund

Transfer or Jurisdiction Fund sunsets on June 30, 2013. After that, the 1.75% off of the Primary Road Fund is distributed as follows: 90.0% to Secondary Road Fund and 10.0% to the cities' Street Construction Fund.

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APPENDIX E

Transportation Funds Flow Charts

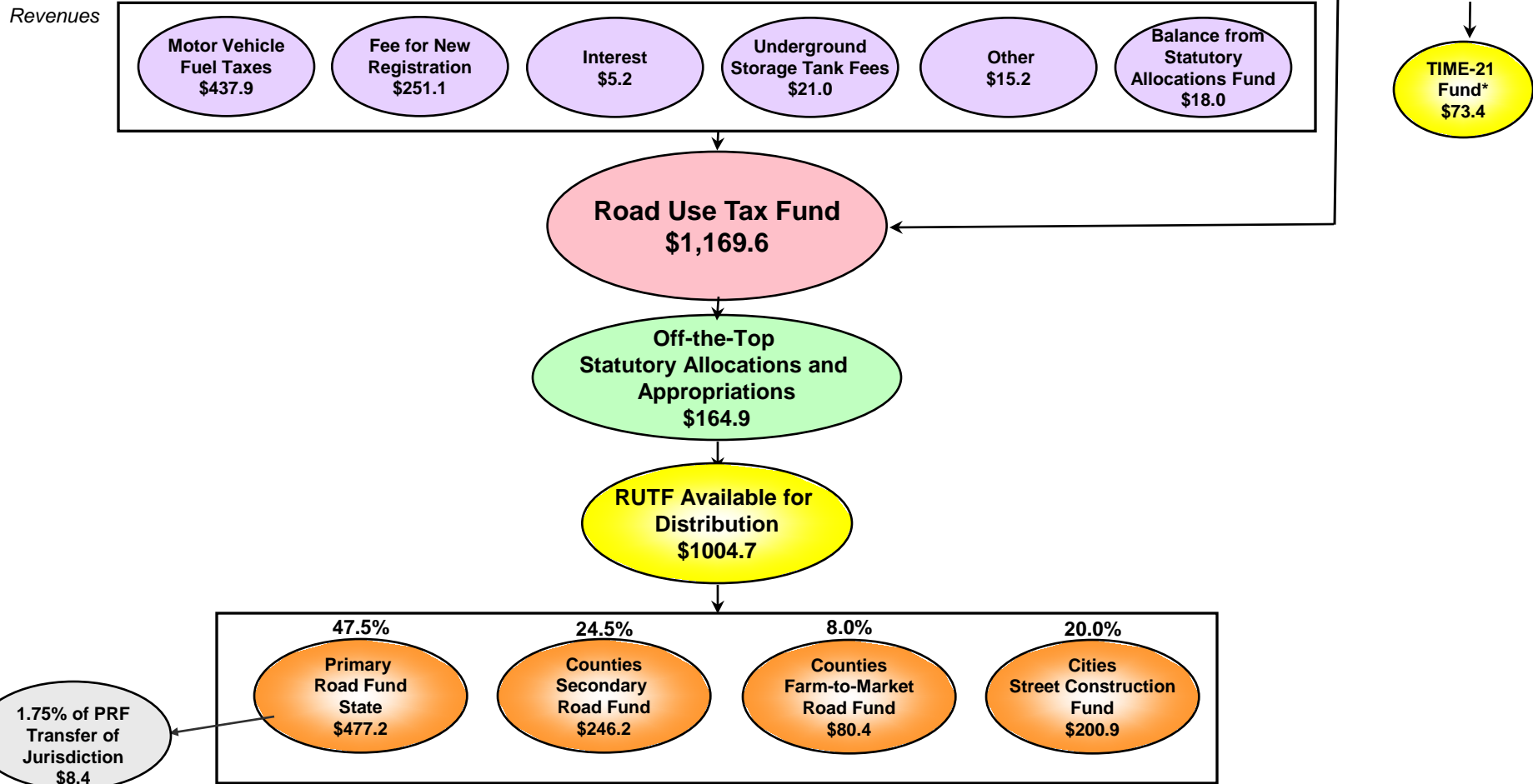
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Road Use Tax Fund Revenues and Allocations Estimated FY 2012 (dollars in millions)

Motor Vehicle Registration Fees* \$494.5

\$421.2 Million

Fees in Excess of \$392.0 million collected

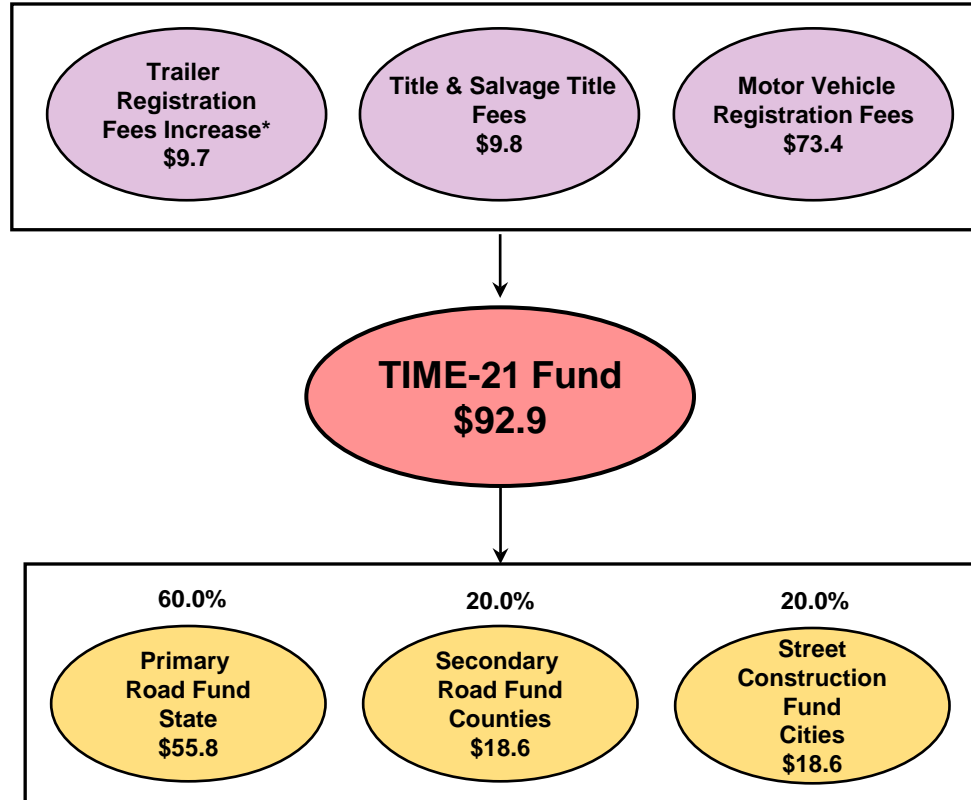


*Due to accounting procedures related to when revenues are collected and distributed, the registration fees collected for TIME-21 during the last month of the fiscal year will be reflected in the next fiscal year of distributions. The amount transferred to TIME-21 for FY 2012 reflects the portion above \$392.0 million distributed that was estimated for FY 2011. The numbers are based on DOT's 5-year forecast and were estimated before the end of FY 2010.

TIME-21 Fund

Estimated FY 2012 Revenues & Allocations

(Dollars in Millions)



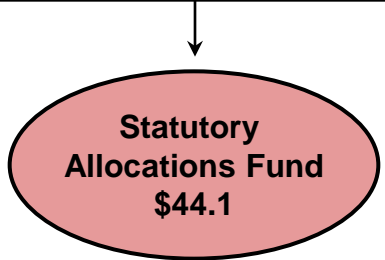
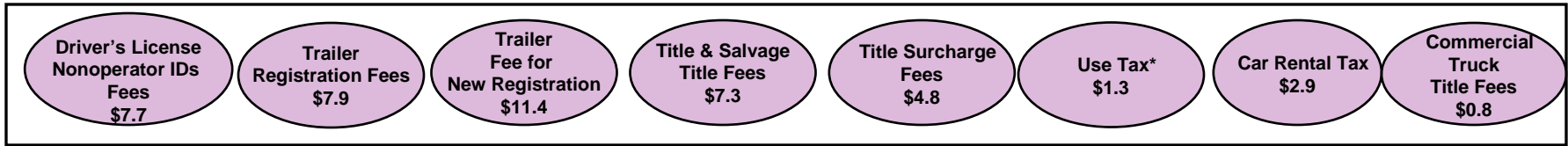
*Senate File 2420 of 2008 Session increased trailer fees from \$10 to \$20 and \$30, depending on whether it is a small or large trailer. The initial \$10 for these fees is deposited into the Statutory Allocations Fund. The increase, either \$10 or \$20, respectively, deposits into the TIME-21 Fund. Small trailers, paying \$20, account for 71.0% of total trailers registered.

Statutory Allocations Fund

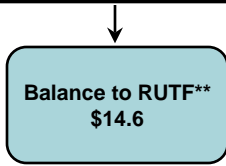
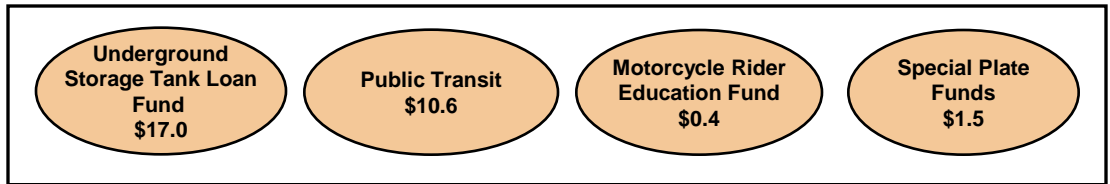
Estimated FY 2012 Revenues & Allocations

(Dollars in Millions)

Revenues



Order of Allocations



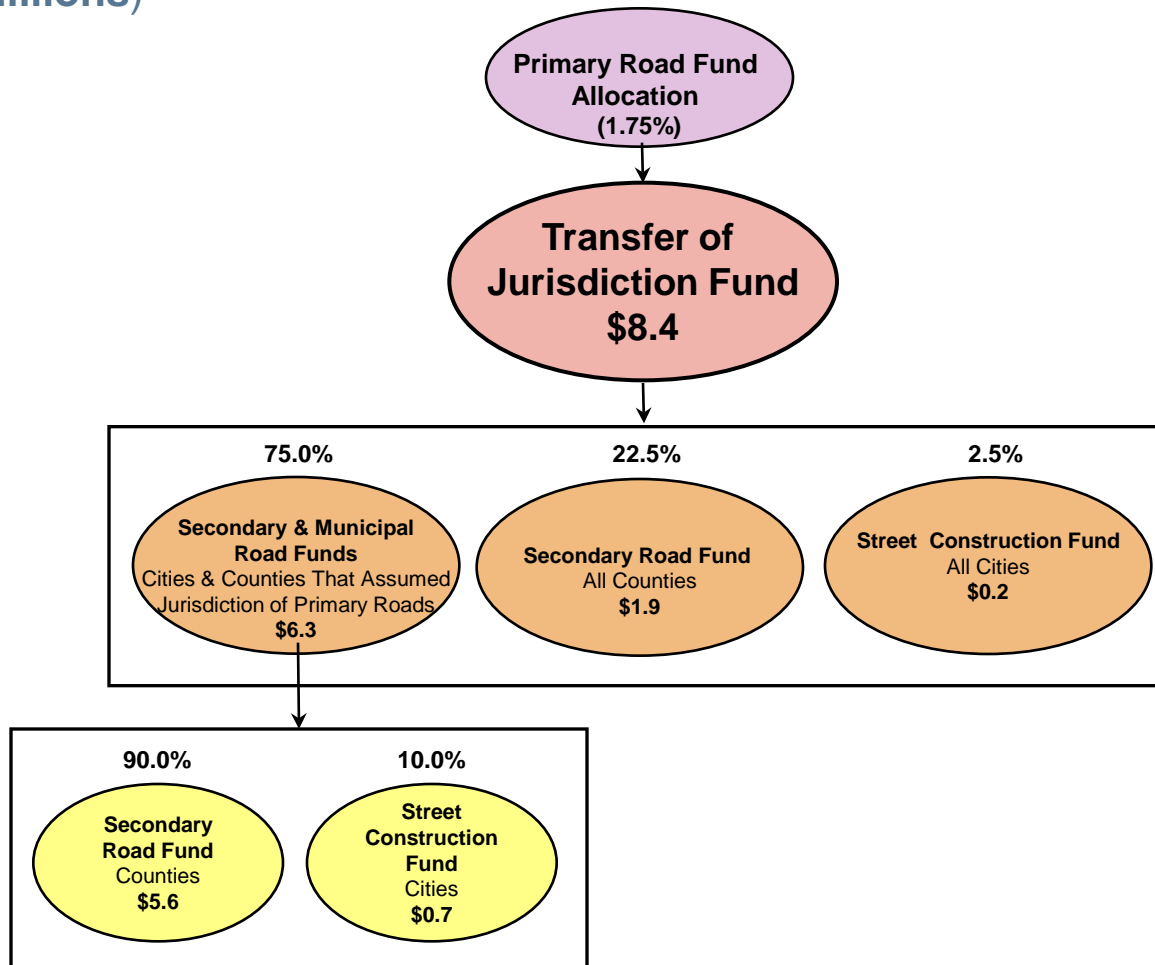
*Includes the use tax on mobile homes and manufactured homes and on leased vehicles not subject to registration

**Due to accounting procedures, balance of the SAF transfers to the RUTF in the next fiscal year.

Transfer of Jurisdiction Fund

Estimated FY 2012 Revenues & Allocations

(Dollars in Millions)



Notes: In addition to the above transfers, in FY 2005, the jurisdiction and control of approximately 400 miles of Farm-to-Market road extensions in cities with a population of less than 500 were transferred to the respective counties (not shown in the above table). As a result, a portion of Municipal Road Fund from those cities is transferred monthly to the Secondary Road Fund of the respective counties. The total amount transferred is estimated at \$2.1 million annually.

The Transfer of Jurisdiction Fund sunsets on June 30, 2013. After that, the 1.75% off of the Primary Road Fund is distributed as follows: 90.0% to Secondary Road Fund and 10.0% to Street Construction Fund.

APPENDIX F

Infrastructure Balance Sheets

- Rebuild Iowa Infrastructure Fund (RIIF) – Summary and Balance Sheet
- Technology Reinvestment Fund (TRF)
- Revenue Bonds Capitals Fund (RBC)
- Revenue Bonds Capitals II Fund (RBC2)

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Rebuild Iowa Infrastructure Fund (RIIF)

Current Law FY 2012 and FY 2013 show appropriations from previously enacted and standing appropriations

	Current Law FY 2011	Gov. Rec. Adj. FY 2011	Current Law FY 2012	Gov. Rec. FY 2012	Current Law FY 2013	Gov. Rec. FY 2013
Resources						
Balance Forward	\$ 6,325,200	\$ 6,325,200	\$ 7,575,338	\$ 9,003,186	\$ 0	\$ 56,285
Wagering Taxes and Related License Fees*	119,850,000	119,850,000	133,450,000	133,450,000	133,050,000	133,050,000
Wagering Taxes -TOS transfer -unneeded for rev bond debt service	11,869,000	11,869,000	950,750	950,750	934,314	934,314
Wagering Taxes -TOS transfer -unneeded for federal subsidy	3,750,000	3,750,000	3,750,000	3,750,000	3,750,000	3,750,000
Wagering Taxes - unneeded for School Infrastructure debt service*	0	1,500,000	0	1,500,000	0	1,500,000
Interest	2,500,000	3,000,000 *	2,500,000	3,200,000 *	2,500,000	3,200,000 *
MSA Tobacco Payment/Endowment Transfer	16,489,725 *	15,917,573 *	15,905,618	15,905,618	15,973,045	15,973,045
Total Resources	\$ 160,783,925	\$ 162,211,773	\$ 164,131,706	\$ 167,759,554	\$ 156,207,359	\$ 158,463,644
Appropriations						
Administrative Services						
Major Maintenance	0	0	0	4,500,000	0	3,750,000
DAS Distribution Account	3,700,000	3,700,000	0	0	0	0
Iowa Building Operations (former Mercy Capitol Hosp)	1,083,175	1,083,175	0	0	0	0
D-Line Bus Service and Employee Ride Program	200,000	200,000	0	0	0	0
Agriculture and Land Stewardship						
Watershed Improvement Review Board Administration	50,000	50,000	0	0	0	0
Department for the Blind						
Replace Air Handlers	0	0	0	1,065,674	0	0
Newsline for the Blind	20,000	20,000	0	0	0	0
Corrections						
Construction Project Management and Correctional Spec.	0	0	4,500,000	4,500,000	1,000,000	1,000,000
Ft. Madison One Time Costs	0	0	0	6,155,077	0	10,460,289
Ft. Madison Construction	0	0	0	1,000,000	0	5,808,835
Mitchellville Construction	0	0	11,700,000	12,900,000	8,779,000	8,779,000
Mitchellville One Time Costs	0	0	0	4,661,556	0	2,891,062
Cultural Affairs						
Iowa Battle Flags	60,000	60,000	0	0	0	0
Historic Sites	40,000	40,000	0	0	0	0

Rebuild Iowa Infrastructure Fund (RIIF)

Current Law FY 2012 and FY 2013 show appropriations from previously enacted and standing appropriations

	Current Law FY 2011	Gov. Rec. Adj. FY 2011	Current Law FY 2012	Gov. Rec. FY 2012	Current Law FY 2013	Gov. Rec. FY 2013
Economic Development						
Community Attraction & Tourism Grants	0	0	5,000,000	0	5,000,000	0
River Enhancement Comm Attract & Tourism (RECAT)	0	0	10,000,000	0	10,000,000	0
Regional Sport Authorities	500,000	500,000	0	0	0	0
Community Colleges - Workforce Training	2,000,000	2,000,000	0	0	0	0
Grow Iowa Values Fund	38,000,000	38,000,000	0	25,000,000	0	25,000,000
Blank Park Zoo Capitals	500,000	500,000	0	0	0	0
6th Avenue Corridor Revitalization - Main Streets	100,000	100,000	0	0	0	0
Port Authority - Economic Development Southeast Iowa	50,000	50,000	0	0	0	0
World Food Prize Borlaug/Ruan Scholar Program	100,000	100,000	0	0	0	0
Education						
Iowa Public Television - Building Purchase	0	0	0	1,255,550	0	0
Enrich Iowa Libraries	500,000	500,000	0	0	0	0
Iowa Finance Authority						
Administration of IJOBS Program	200,000	200,000	200,000	0	200,000	0
State Housing Trust Fund	1,000,000	1,000,000	3,000,000	0	3,000,000	0
Facilities Multiple-Handicapped -Polk County	250,000	250,000	0	0	0	0
Management						
Technology Reinvestment Fund	10,000,000	10,000,000	0	10,000,000	0	10,000,000
Environment First Fund	33,000,000	33,000,000	42,000,000	33,000,000	42,000,000	33,000,000
Natural Resources						
State Park Infrastructure	0	0	5,000,000	5,000,000	5,000,000	5,000,000
Lake Restoration & Water Quality	0	0	0	8,600,000	0	8,600,000
Floodplain Management/Dam Safety	2,000,000	2,000,000	0	2,000,000	0	2,000,000
Honey Creek Asset Manager	100,000	100,000	0	0	0	0
Rock Creek Permanent Shelter	40,000	40,000	0	0	0	0
Public Defense						
Facility/Armory Maintenance	1,500,000	1,500,000	0	2,000,000	0	0
Middletown AF Readiness Center	100,000	100,000	0	0	0	0
Iowa Falls Readiness Center	500,000	500,000	0	0	0	0
Cedar Rapids Armed Forces Readiness Center	200,000	200,000	0	0	0	0
Statewide Modernization Agenda - Readiness Centers	1,800,000	1,800,000	0	1,800,000	0	0
Muscatine AF Readiness Center	0	0	0	100,000	0	0
Camp Dodge Infrastructure Upgrades	0	0	0	1,000,000	0	0
Public Health						
Vision Screening	100,000	100,000	0	0	0	0

Rebuild Iowa Infrastructure Fund (RIIF)

Current Law FY 2012 and FY 2013 show appropriations from previously enacted and standing appropriations

	Current Law FY 2011	Gov. Rec. Adj. FY 2011	Current Law FY 2012	Gov. Rec. FY 2012	Current Law FY 2013	Gov. Rec. FY 2013
Public Safety						
Digital 700 Mhz Communications Conversion	0	0	0	2,500,000	0	2,500,000
Regents						
Tuition Replacement	24,305,412	24,305,412	0	24,305,412	0	24,305,412
SUI - Iowa Flood Center	1,300,000	1,300,000	0	1,300,000	0	1,300,000
ISU - Veterinary Training Modernization	400,000	400,000	0	0	0	0
Fire Safety and Deferred Maintenance - All Institutions	0	0	0	4,000,000	0	3,000,000
Revenue						
Secure an Advanced Vision for Education (SAVE)	0	0	10,000,000	0	10,000,000	0
Transportation						
Local Roads Counties and Cities 50/50	24,700,000	24,700,000	0	0	0	0
Passenger Rail Service	0	0	6,500,000	0	0	0
Rail Assistance/Revolving Loan Fund	2,000,000	2,000,000	2,000,000	2,000,000	0	2,000,000
Recreational Trails	0	0	0	2,000,000	0	2,000,000
Public Transit Infrastructure	0	0	0	2,000,000	0	2,000,000
Commercial Service Airports Vertical Infrastructure Grants	0	0	0	1,500,000	0	1,500,000
General Aviation Airport Grants	750,000	750,000	0	750,000	0	750,000
Treasurer						
County Fairs Infrastructure	1,060,000	1,060,000	0	1,060,000	0	1,060,000
Veterans Affairs						
Veterans Home Capitals	0	0	0	750,000	0	0
Home Ownership Program	1,000,000	1,000,000	0	1,000,000	0	1,000,000
Net Appropriations	<u>\$ 153,208,587</u>	<u>\$ 153,208,587</u>	<u>\$ 99,900,000</u>	<u>\$ 167,703,269</u>	<u>\$ 84,979,000</u>	<u>\$ 157,704,598</u>
Reversions	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
Ending Balance	<u>\$ 7,575,338</u>	<u>\$ 9,003,186</u>	<u>\$ 64,231,706</u>	<u>\$ 56,285</u>	<u>\$ 71,228,359</u>	<u>\$ 759,046</u>

Notes:

* Wagering tax estimates account for all allocations in Code Section 8.57 that occur before the remainder deposits in RIIF as well as tax credits for land-based and riverboat casinos that began in FY 2009 and FY 2011, respectively.

* The Tobacco Settlement Payment estimate for Current Law FY 2011 includes \$572,000 that will transfer from the Endowment to the RIIF. The Governor's Recommendations adjustment for FY 2011 does not reflect that anticipated transfer.

* Beginning in FY 2011, the Governor's Recommendations includes additional revenue from the transfer back of the unneeded amount of wagering tax allocation per Code Section 8.57 for the debt service on the school infrastructure bonds. The debt service for those bonds is \$3.5 million, but the allocation is \$5.0 million.

* The Governor's Recommendations includes somewhat higher interest earnings than what the Legislative Services Agency estimated in December 2010. The Legislative Services Agency will continue to monitor the FY 2011 interest earned and adjust if necessary.

Technology Reinvestment Fund

	Actual FY 2010	Estimated FY 2011	Gov. Rec. FY 2012	Gov. Rec. FY 2013
Resources				
Beginning Balance	\$ 389,225	\$ 118,474	\$ 2,864	\$ 180
General Fund Limited Standing Appropriation	0	0	0	0
Rebuild Iowa Infrastructure Fund	14,525,000	10,000,000	10,000,000	10,000,000
Total Available Resources	\$ 14,914,225	\$ 10,118,474	\$ 10,002,864	\$ 10,000,180
Appropriations				
Dept. of Administrative Services				
Pooled Technology Projects	\$ 2,037,184	\$ 3,793,654	\$ 1,637,724	\$ 2,235,040
Dept. of Corrections				
Iowa Corrections Offender Network Data System	500,000	500,000	500,000	500,000
Department of Cultural Affairs				
Iowa Veterans Oral Histories Interactive Exhibit	486,250	0	0	0
Dept. of Education				
ICN Part III & Maintenance & Leases	2,727,000	2,727,000	2,727,000	2,727,000
Statewide Education Data Warehouse	600,000	600,000	600,000	600,000
Ethics and Campaign Finance				
Technology Upgrades Online Database	15,000	0	0	0
Department of Human Rights				
Criminal Justice Information System Integration	0	0	1,689,307	1,689,307
Justice Enterprise Data Warehouse	361,072	0	0	0
Iowa Telecom. and Technology Commission				
ICN Equipment Replacement	2,211,863	2,244,956	2,248,653	2,248,653
Generator Replacement	2,755,246	0	0	0
Network Redundancy	2,320,000	0	0	0
Law Enforcement Academy				
Training Software and Mobile Simulator	185,000	0	0	0
Department of Management				
Transparency Project - Searchable Online Database	0	0	600,000	0
Department of Public Defense				
2-1-1 Call System	250,000	0	0	0
Department of Public Health				
Mental Health Services Database Medical Records	0	250,000	0	0
Department of Public Safety				
Auto. Fingerprint Info. System (AFIS) Lease Purchase	350,000	0	0	0
Total Appropriations	\$ 14,798,615	\$ 10,115,610	\$ 10,002,684	\$ 10,000,000
Reversions	-2,864	0	0	0
Ending Balance	\$ 118,474	\$ 2,864	\$ 180	\$ 180

Revenue Bonds Capitals Fund (RBC Fund)

	Actual FY 2009*	Actual FY 2010	Estimated FY 2011	Gov. Rec. FY 2012
Resources				
Beginning Balance	\$ 0	\$ -185,000,000	\$ 29,718,157	\$ 3,869,109
Bond Proceeds	0	545,000,000	0	0
Interest	0	268,157	270,000	270,000
Total Available Resources	\$ 0	\$ 360,268,157	\$ 29,988,157	\$ 4,139,109
Appropriations				
Department of Administrative Services				
Major Maintenance	\$ 14,624,923	\$ 0	\$ 3,000,000	\$ 0
Department of Agriculture and Land Stewardship				
Soil Conservation -Wetlands, Water Quality	0	11,500,000	0	0
Soil Conservation Cost Share	0	0	1,000,000	0
Department for the Blind				
Dormitory Remodel Adult Orientation Center Des Moines	869,748	0	0	0
Department for Cultural Affairs				
Great Places Grants	0	0	2,000,000	0
Department of Corrections				
First CBC - Waterloo Residential Expansion	6,000,000	0	0	0
Third CBC - Sioux City Residential Expansion	5,300,000	0	0	0
Seventh CBC - Davenport Residential Expansion	2,100,000	0	0	0
Eighth CBC - Ottumwa Residential Expansion	4,100,000	0	0	0
Iowa Correctional Institution for Women at Mitchellville Expansion	47,500,000	0	0	4,130,952
Fifth CBC - Des Moines Residential Expansion	13,100,000	0	-13,100,000	0
Project Management - Prison Construction Projects	0	0	2,200,000	0
Mt. Pleasant and Rockwell City Improvements	12,500,000	0	0	0
Security Barrier Fifth CBC Des Moines/Blank Park	0	0	1,000,000	0
1 Time-Opening Costs for CBCs - equipment purchases	0	0	1,519,048	0
Department of Economic Development				
Community Attraction and Tourism Grants (CAT)	12,000,000	0	0	0
River Enhancement Community Attraction and Tourism (RECAT)	10,000,000	0	0	0
Main Street Projects (FY 2010 Supplemental)	0	5,550,000	0	0
ACE Vertical Infrastructure Community Colleges	5,500,000	0	0	0
Regional Transit Hub Construction	0	0	4,000,000	0

Revenue Bonds Capitals Fund (RBC Fund)

	Actual FY 2009*	Actual FY 2010	Estimated FY 2011	Gov. Rec. FY 2012
Department of Education				
Community College Major Maintenance Infrastructure	2,000,000	0	0	0
Iowa Finance Authority				
Public Service Shelter Grants	0	10,000,000	0	0
Disaster Damage Housing Assistance Grants	0	5,000,000	0	0
Affordable Housing Assistance Grants	0	20,000,000	0	0
Sewer Infrastructure	0	55,000,000	0	0
Housing Trust Fund Grants	0	0	2,000,000	0
Department of Natural Resources				
Volga River Rec. Area Infrastructure Improvements	750,000	0	0	0
Carter Lake Improvements	500,000	0	0	0
Lake Restoration and Water Quality	10,000,000	0	7,000,000	0
Watershed Rebuilding - Water Quality	0	13,500,000	0	0
Regents				
Iowa Public Radio Infrastructure	1,900,000	0	0	0
ISU - Veterinary Lab Phase II Small Animals Teaching Hospital	10,000,000	0	13,000,000	0
Iowa Energy Center - Alternative Energy Revolving Loan Program	0	5,000,000	0	0
State Fair Authority				
Agriculture Exhibition Center	0	0	2,500,000	0
Department of Transportation				
Public Transit Infrastructure	2,200,000	0	0	0
Commercial Airport Vertical Infrastructure	1,500,000	0	0	0
Bridge Safety Fund	0	40,000,000	0	0
Treasurer of State				
I-JOBS Board - \$165.0 million allocated as follows:				
Local Infrastructure Competitive Grant Program	0	118,500,000	0	0
Targeted Disaster Rebuilding Linn County	0	46,500,000	0	0
Department of Veterans Affairs				
Iowa Veterans Home Master Plan	22,555,329	0	0	0
Total Appropriations	\$ 185,000,000	\$ 330,550,000	\$ 26,119,048	\$ 4,130,952
Ending Balance	\$ -185,000,000	\$ 29,718,157	\$ 3,869,109	\$ 8,157

*FY 2009 appropriations from the Revenue Bonds Capitals Fund (RBC Fund) were appropriated in SF 376 of the 2009 Legislative Session. Funding was mostly used to restore projects because the planned securitization of remaining tobacco payments, authorized in 2008 Legislative Session, did not occur. Although the projects were appropriated for FY 2009, the bonds were not issued until FY 2010 so net proceeds did not deposit in the RBC Fund until then.

Revenue Bonds Capitals II Fund (RBC2)

	Estimated FY 2011	Gov. Rec. FY 2012
Resources		
Beginning Balance	\$ 0	\$ 0
Bond Proceeds	150,000,000	0
Interest	0	75,000
Total Available Resources	\$ 150,000,000	\$ 75,000
Appropriations		
Department of Agriculture and Land Stewardship		
Soil Conservation	\$ 5,950,000	\$ 0
Conservation Reserve Enhancement Program	2,500,000	0
Department of Economic Development		
Community Attraction and Tourism Grants (CAT)	12,000,000	0
River Enhancement Community Attraction and Tourism (RECAT)	4,000,000	0
ACE Vertical Infrastructure Community Colleges	5,500,000	0
Main Street Projects	8,450,000	0
NIACC/Mason City Small Business Center	1,500,000	0
Kirkwood Comm College/Cedar Rapids Small Business Center	1,200,000	0
Department of Education		
Community College Infrastructure	2,000,000	0
Iowa Finance Authority - I-JOBS Board		
Disaster Prevention Local Infrastructure Grants	30,000,000	0
Linn County - Administrative Office Bldg	4,400,000	0
Cedar Rapids - City Hall Renovation	4,400,000	0
Cedar Rapids - Flood Mitigation and Renovation Courthouse Area	2,000,000	0
Des Moines - Fire Dept Training and Logistics Facility	3,000,000	0
Des Moines - Riverpoint Service Area Sewer Construction	1,250,000	0
Des Moines - Court Avenue Service Area Sewer Construction	3,050,000	0
Des Moines - Bank Stabilization and Erosion Control Tonawanda Ravine	700,000	0
Des Moines - Wastewater Reclamation Authority Equalization Basin	500,000	0
Des Moines - Broadlawns Medical Ctr Infrastructure Improvements	1,000,000	0
Davenport - Woodmen Field Flood Mitigation	1,050,000	0
Waterloo - Public Works Building	5,000,000	0
Iowa City - Wastewater Treatment Plant	2,000,000	0
West Union - Green Pilot Project	1,175,000	0
Jessup - City Hall	475,000	0
Belmond - Storm Sewer Flood Protection	600,000	0
Norwalk - Orchard Ridge Drainage Channel Projects	300,000	0
Department of Natural Resources		
Lake Restoration and Dredging Projects	3,000,000	0
State Parks Infrastructure Improvements	5,000,000	0
Regents		
SUI - Institute for Biomedical Discovery	10,000,000	0
Iowa Energy Center - Alternative Energy Revolving Loan Program	5,000,000	0
Department of Transportation		
Public Transit Infrastructure Grants	2,000,000	0
Commercial Airport Vertical Infrastructure Grants	1,500,000	0
Bridge Safety	10,000,000	0
Rail Ports Improvements Economic Development	7,500,000	0
Treasurer of State		
Watershed Improvement Review Board	2,000,000	0
Total Appropriations	\$ 150,000,000	\$ 0
Ending Balance	\$ 0	\$ 75,000

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APPENDIX G

Flow Chart

Wagering Tax Allocations per Code Section 8.57

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Distribution of State Wagering Taxes

Code Section 8.57(6) Allocations

Land-based and riverboat casinos
pay State wagering taxes

Estimated FY 2012 - \$278.2 million*

*includes related license fees paid

\$55.0 million
Revenue Bonds*

\$3.75 million
Federal Subsidy
Holdback Fund**

\$15.0 million
Vision Iowa Bonds

\$5.0 million
School Infrastructure
Bonds

\$66.0 million total
General Fund

*Any unneeded amount
from bond payment
transfers as revenue to RIIF
For FY 2012
estimated \$950,000 transfers

**Once subsidy on Build
America Bonds is received,
the \$3.75 million will
transfer back to RIIF

Remainder deposits into
Rebuild Iowa Infrastructure Fund
Estimated FY 2012 allocation and transfers
total \$138.2 million

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APPENDIX H

Fiscal Services Publications

- *Fiscal Topic:* Revitalize Iowa's Sound Economy (RISE) Program
- *Fiscal Topic:* State Recreational Trails
- *Fiscal Topic:* Rebuild Iowa Infrastructure Fund (RIIF) (as of October 2010)
- *Budget Unit Fiscal Topic:* Department of Transportation Highway Division
- *Budget Unit Fiscal Topic:* Department of Transportation Motor Vehicle Division

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Revitalize Iowa's Sound Economy (RISE) Program

Overview of the RISE Program

The RISE Program was created in 1985 and implemented in FY 1986 and is used for the establishment, construction, improvement, and maintenance of roads and streets that promote economic development in the State by improving or maintaining highway access. The funds can be used for two types of projects:

- **Immediate Opportunity Projects:** Projects requiring an immediate funding commitment to secure developers or firms in the location of business facilities that will result in permanent job creation or retention. Applications are submitted on a year-round basis. These projects typically have first priority for all available RISE funds.
- **Local Development Projects:** Projects that support local economic development efforts but do not require an immediate commitment of funds. The projects are selected through a competitive evaluation process conducted semi-annually.

A portion of the RISE funds are allocated for State projects and deposited directly in the State Primary Road Fund (PRF) and are not part of the RISE grant program, but are tracked as RISE allocations to the PRF. The remaining RISE funds are pooled as either the city RISE share or the county RISE share in accordance with the allocations in Code. Cities and counties submit applications for RISE funding to the Transportation Commission for approval and their funding comes from the respective shares of RISE funds. Code Section 315.4 directs unobligated county RISE funds to be deposited in the Secondary Road Fund at the end of the fiscal year in order to provide funds to the counties by the formula distribution of the Secondary Road Fund. In contrast, the unobligated city RISE funds do not deposit to the Street Construction Fund of cities at the end of the fiscal year. Therefore, the balance of the city RISE share remains available in the RISE Program and is carried forward each year.

Historical Allocations

When originally established, the RISE Program was funded through a Road Use Tax Fund allocation in an amount equal to 2.0 cents per gallon from the excise tax on motor fuels and special fuels. From FY 1986 to FY 1989, this amount was allocated to the State, cities, and counties as follows: 1.0 cent to the State PRF; 0.5 cent for the city RISE share; and 0.5 cent for the county RISE share. A few years after the RISE Program was implemented, it was determined that the level of county funding was greater than need. Legislation was enacted in 1989 to adjust the county portion of the RISE allocation to redirect a Road Use Tax Fund allocation in an amount equal to 0.45 cents per gallon to the Secondary Road Fund for distribution to all counties. The level of funding maintained for the county RISE share (0.05 cents) was deemed sufficient to meet the needs of county projects. The State and city RISE allocations remained unchanged. From FY 1990 to FY 2007, the Road Use Tax Fund allocation of 2.0 cents per gallon from the excise tax on motor fuels and special fuels was allocated to the State, cities, and counties as follows: 1.0 cent to the State PRF; 0.5 cent for the city RISE share; and 0.05 cent for the county RISE share. The remaining 0.45 cents was deposited in the Secondary Road Fund and was not part of the RISE Program.

Current Status of the RISE Program

In recent years, the demand for county RISE funding has increased due to the increase in the number of biofuel facilities established in Iowa, resulting in the need for roadway improvements to access the facilities. The level of RISE funding was not enough to fulfill the demand. In August 2006, the Department of Transportation stopped accepting county applications for one year for both Immediate Opportunity and Local Development projects. In an attempt to provide additional funding for the county RISE share, the 2.0 cents per gallon funding formula was again modified in 2007. House File 932 (TIME-21 Fund Act) adjusted the Secondary Road Fund portion to redirect an amount equal to 0.20 cents per gallon to the county RISE share. As a result, the 2.0 cents per gallon from fuel taxes are now allocated as follows: 1.0 cent to the State PRF; 0.5 cent to the city RISE share; and 0.25 cent to the county RISE share. Again, the remaining 0.25 cent is deposited in the Secondary Road Fund and is not part of the RISE Program. For FY 2010, it is estimated that the moneys from the RISE allocation of fuel taxes will be \$22.4 million to the State PRF, \$11.2 million to the city RISE funds, and \$5.6 million to the county RISE funds.

More Information

Department of Transportation Office of Systems Planning - RISE Program http://www.iowadot.gov/systems_planning/rise.htm
Department of Transportation I-JOBS RISE Project Lists <http://www.iowadot.gov/ijobs/projectlists.html>

LSA Staff Contact: Marcia Tannian (515-281-7942) marcia.tannian@legis.state.ia.us

Revitalize Iowa's Sound Economy (RISE) Program

Prior to enactment of HF 932, the RISE funds allocated for State projects were to be expended on the Commercial and Industrial Highway Network (CIN), and county RISE funds were to be expended on secondary road projects. House File 932 expanded the requirements to include Access Iowa Highways (a series of highways within the CIN) and roadway projects that provide access to biofuel facilities. A total of 50.0% of the State portion must be used for highways that support the production or transport of renewable fuels, including primary highways that connect biofuel facilities to highways in the CIN, and 50.0% must be used for highways that have been designated by the Transportation Commission as access Iowa highways in accordance with Chapter 178, Section 41 of the 2005 Iowa Acts. The county portion must be used on secondary road projects, including secondary roads that connect biofuel facilities to highways in the CIN.

In 2009, \$10.0 million in unobligated city RISE funds were included in the Governor's I-JOBS Initiative, and referenced as part of the \$875.0 million that was the total amount of the Initiative. The Transportation Commission allocated the \$10.0 million of "I-JOBS" RISE grants in FY 2010 through the normal approval process for RISE applications as part of the total \$14.6 million of RISE funds granted for FY 2010.

Occasionally, recipients default on RISE agreements and must pay settlement to the State. In November 2008, the Transportation Commission eliminated the minimum default repayment of 5.0% of the recipient's RISE funding. Also, the Commission changed the rules so that when a settlement amount is under consideration, a grant recipient may receive credit for jobs created from a different company that locates at the site of the original RISE-supported development. These jobs are subject to the same monitoring period as the original RISE development and are evaluated based on wage rate, benefits, and other RISE eligibility requirements.

The following table shows the Local Development (LD) and Immediate Opportunity (IO) RISE grants awarded for FY 2009 and FY 2010:

RISE Grants FY 2009					RISE Grants FY 2010				
City/County	RISE Award Amount	Number of Jobs	RISE Cost per Job	Type of Grant	City/County	RISE Award Amount	Number of Jobs	RISE Cost Per Job	Type of Grant
Perry	\$ 120,188	1 *	\$ 120,188	LD	Rock Valley	\$ 530,844	87	\$ 6,102	IO
Waterloo	107,168	25	4,287	LD	Johnston	4,950,000	495	10,000	IO
Sioux Center	295,719	10	29,572	LD	Dyersville	390,956	130	3,007	IO
Marion	1,313,031	4412 *	298	LD	Perry	246,272	15	16,418	LD
Shell Rock	288,888	0 *	n/a	LD	Davenport	1,010,250	36	28,063	LD
Dyersville	225,811	0 *	n/a	LD	West Union	2,327,034	1 *	2,327,034	LD
Dubuque	285,002	0 *	n/a	LD	Grimes	298,750	100	2,988	LD
Spencer	422,500	0 *	n/a	LD	Le Mars	204,507	35	5,843	LD
Iowa City	1,243,801	906 *	1,373	LD	Urbana	440,542	40	11,014	LD
Story City	138,000	23	6,000	IO	Mount Pleasant	226,681	40	5,667	LD
Denison	443,532	25 *	17,741	LD	Polk County	1,196,197	900	1,329	LD
Ottumwa	369,494	130	2,842	IO	Sioux City	507,585	60	8,460	LD
Waukee	469,210	9 *	52,134	LD	Elkader	409,050	68	6,015	LD
Sioux Center	106,865	8 *	13,358	LD	West Bend	119,799	25	4,792	LD
Sioux Center	163,585	25 *	6,543	LD	Mitchellville	1,095,636	50	21,913	LD
Fairfield	81,089	20	4,054	IO	Cass County	294,456	52	5,663	LD
Nevada	228,000	38	6,000	IO	Butler County	388,407	0 *	n/a	LD
West Des Moines	3,413,053	800	4,266	LD	Total FY 2010	\$ 14,636,966	2,134	\$ 6,859	
Boone County	384,331	0 *	n/a	LD					
Strawberry Point	118,750	27 *	4,398	LD					
Cherokee County	144,000	24	6,000	IO					
Independence	282,000	47	6,000	IO					
Total FY 2009	\$ 10,644,017	6,530	\$ 1,630						

*At the time of the grant agreement, the number of jobs assisted may not have been specified and was reflected as zero. These grants are not contingent on the creation of a specified number of jobs within a time period; however, they are anticipated to create jobs.

Source: Department of Transportation

State Recreational Trails Program

The State Recreational Trails Program was established by the General Assembly during the 1987 Legislative Session with the passage of H.F. 575 (Recreational Trails Act). The intent of the General Assembly was for the State to acquire and develop 2,000 miles of new recreational trails and complete the existing trail projects before the year 2000. Currently, the Department of Transportation (DOT) estimates the trail system to be approximately 1,455 miles. This includes developed multiuse recreational trails and off-road paths throughout the State.

The DOT administers three main trail programs that provide funding for trail development and the Department of Natural Resources (DNR) administers two. The programs managed by the DOT include the State Recreational Trails Program, the federal Transportation Enhancement Program, and the federal Recreational Trails Program. The DNR administers the Resource Enhancement and Protection Fund and the Recreation Grant Program. In addition, some funding for trails is available through the Community Attraction and Tourism (CAT) and River Enhancement Community Attraction and Tourism (RECAT) Programs administered by the Department of Economic Development.

This *Fiscal Topic* focuses solely on the State Recreational Trails Program administered by the DOT.

State Recreational Trails Fund

From FY 1989 to FY 1996, the State Recreational Trails Fund received a \$1.0 million standing appropriation annually from the Road Use Tax Fund. In FY 1997, the General Assembly eliminated the Road Use Tax Fund standing appropriation and began funding the Trails Program from the Rebuild Iowa Infrastructure Fund (RIIF) through the annual appropriations process. For FY 1998 and FY 1999, the appropriation was increased to \$2.0 million each year. In FY 2000 and FY 2001, the amount appropriated was \$2.25 million per year, with an earmark of \$250,000 for off-road motorized vehicle trails. Since FY 2002, funding has been from either the RIIF or other infrastructure-related funds.

In FY 2002, during a period of decline in General Fund revenues, the State transferred \$5.5 million from the balance in the State Recreational Trails Fund to the General Fund, creating a shortfall in the State Recreational Trails Program. The subsequent appropriations from FY 2002 through FY 2008 have alleviated this shortfall; however in FY 2005 the State did not appropriate funds for the Program. The State did not appropriate funds in FY 2011; however, the decrease was offset somewhat by the use of approximately \$12.0 million for trail development from the federal American Recovery and Reinvestment Act (ARRA) funds received in FY 2010 in addition to usual federal funding. **Table 1** shows the State funding amount and source of funds of the State appropriation to the DOT for the State Recreational Trails Program from FY 2005 through FY 2011.

State Recreational Trails Program

Grants funded through the Recreational Trails Fund require a minimum local match of 25.0%. Trails that receive funding must be maintained for public use for a minimum of 20 years. Local governments and nonprofit organizations generally serve as individual project sponsors and provide the required matching funds. The amount of local funds spent on trails is larger than the State and federal match because the entities spend funds outside of the grant programs. Applications for funding from the Recreational Trails Program are considered twice per year and are approved by the Transportation Commission.

Funding needs are always greater than available revenues. For the FY 2010 funding, the DOT received a total of 49 applications, requesting a total amount of \$18.5 million. Over the last several years, the General Assembly has directed a portion of the funding for specific projects. The percentage of the appropriation directed to specific projects has increased. In FY 2007, the earmark was for one project of \$200,000, or 10.0% of the appropriation. In FY 2008, the earmark was \$415,000 for three projects, or 20.8% of the appropriation. In FY 2009, \$1.18 million of the \$3.0 million appropriated, or 39.3%, was earmarked for six projects. In FY 2010, \$1.25 million of the \$3.5 million appropriated, or 35.7%, was earmarked for two projects. When projects receive earmarks, they bypass the normal application and approval process by the Transportation Commission. **Table 2** shows the State grants for FY 2007 to FY 2010.

More Information

Department of Transportation's Office of System Planning Recreational Trails Program:
http://www.iowadot.gov/systems_planning/fedstate_rectrails.htm

Iowa Natural Heritage Foundation Iowa by Trail: <http://www.inhf.org/iowatrails/index.htm>

LSA Staff Contact: Marcia Tannian (515-281-7942) marcia.tannian@legis.state.ia.us

Flooding Damage

The 2008 flooding caused extensive damage to the State's recreational trails. Early estimates by the DOT, the DNR, and the Department of Economic Development for damages to the State's trail system ranged from \$10.0 to \$15.0 million. Two previously approved projects, the Mississippi River Trail Upper Scott County (Le Claire) for \$799,740 and the Big Sioux River Trail Bridge (Sioux City) for \$187,500 were not completed and the funding has reverted. The DOT used the reverted funds to help cover the State match when local governments applied for FEMA funding for these damaged trails. The reverted funds contributed to FY 2010 total funding, including providing for three projects related to flood repairs (noted in **Table 2** below).

Table 1
State Appropriations to the Department of Transportation for Recreational Trails Funding
FY 2005 to FY 2011

	<u>Actual FY 2005</u>	<u>Actual FY 2006</u>	<u>Actual FY 2007</u>	<u>Actual FY 2008</u>	<u>Actual FY 2009</u>	<u>Estimated FY 2010</u>	<u>Estimated FY 2011</u>
Recreational Trails Funding - State Source							
RIIF - Rebuild Iowa Infrastructure Fund	\$ 0	\$ 1,000,000	\$ 0	\$ 2,000,000	\$ 3,000,000	\$ 3,500,000	\$ 0
RC2 - Endowment for Iowa's Health Restricted Capitals Fund	0	0	2,000,000	0	0	0	0
Total	<u>\$ 0</u>	<u>\$ 1,000,000</u>	<u>\$ 2,000,000</u>	<u>\$ 2,000,000</u>	<u>\$ 3,000,000</u>	<u>\$ 3,500,000</u>	<u>\$ 0</u>

Table 2
State Recreational Trails Program Grants FY 2007 to FY 2010

<u>State Recreational Trails Program - FY 2007</u>	<u>State Grant</u>	<u>State Recreational Trails Program - FY 2008</u>	<u>State Grant</u>
4-Mile Creek Greenway Trail (Polk County, City of Ankeny)	\$ 690,000	Mississippi River Trail Upper Scott County (Le Claire) ¹	\$ 799,740
Alice's Road Greenbelt Trail (Clive)	402,405	Ankeny to Woodward Trail Corridor (Boone County)	565,960
Des Moines County Recreational Trail - Phase 1 (Des Moines County)	360,000	Jewell to Ellsworth Trail (Hamilton County) ²	185,000
18th Street to Riverview Trail (Waterloo)	252,187	Heart of Iowa Nature Trail Phases VII and VIII (Story County)	100,000
Trail Projects (Wapello County) ²	200,000	Maquoketa River Water Trail (Jones County)	69,300
Cemar Trail - Phase 2 (Cedar Rapids)	150,000	Lewis and Clark Trail Planning Study (Western Iowa)	50,000
Coon Rapids Town Loop Trail (Coon Rapids)	106,875	Crawford County Trail (Crawford County) ²	30,000
Lakeview OHV Park Upgrades (Johnson County)	39,315	Fairfield Loop Trail (Fairfield) ²	200,000
Total FY 2007	<u>\$ 2,200,782</u>	Total FY 2008	<u>\$ 2,000,000</u>
<u>State Recreational Trails Program - FY 2009</u>	<u>State Grant</u>	<u>State Recreational Trails Program - FY 2010</u>	<u>State Grant</u>
Linn Creek Trail Connection with Iowa Hwy 330 Trail (Marshall County)	\$ 800,000	Principal Riverwalk (City of Des Moines) ²	\$ 750,000
Principal Riverwalk (City of Des Moines) ²	750,000	Pinicon Ridge Trail Bridge Wapsipinicon River (Linn County) ²	500,000
Garlock Slough Recreational Trail (West Okoboji and Dickinson County)	490,162	Mississippi River Bike Trail (Allamakee County)	750,000
Mississippi River Trail - Liberty Avenue Connection (City of Clinton)	351,750	Cedar Valley Nature Trail Bridge (Black Hawk County) ³	250,000
Trout Run Trail (City of Decorah and Winneshiek County)	138,088	Iowa DNR AmeriCorps Trail Crew (Statewide) ³	262,500
American Gothic Regional Trail (connecting Ottumwa and Fairfield) ²	100,000	Railbanking Purchase of IANW Railroad (Dickinson County)	525,000
Maquoketa River Water Trail (Jones County) ²	100,000	Summerset Trail Flood Repairs (Warren County) ³	107,271
Stone State Park Trail (Woodbury County) ²	100,000	Trout Run Trail - Box Culverts and Bridge (City of Decorah/Winneshiek County)	300,000
Summerset Trail - (connecting Indianola, Carlisle, Des Moines) ²	100,000	Trout Run Trail - Fish Hatchery Interpretive Center Restroom Facility	75,000
Riverview Recreation Area expansion (Black Hawk County)	40,000	Lewis and Clark Historic Riverfront Trail (City of Sioux City)	128,000
Crawford County Trail (Crawford County) ²	30,000	Raccoon River Valley Trail Addition (Dallas County)	484,995
Total FY 2009	<u>\$ 3,000,000</u>	Iowa River Corridor Trail Connection Sand Lake (City of Iowa City)	281,000
		Des Moines River Regional Trail, Phase I (City of Des Moines)	73,474
		Total FY 2010⁴	<u>\$ 4,487,240</u>

¹ Project not completed and funding reverted.

² Earmarked projects.

³ Flood-related projects.

⁴ Total for FY 2010 includes \$987,240 that reverted from projects not completed.

Rebuild Iowa Infrastructure Fund (RIIF)

The State has several resources that provide funding for infrastructure-related projects. The Rebuild Iowa Infrastructure Fund (RIIF), established in Code Section 8.57(6), is the primary funding source for public infrastructure-related expenditures.

Funding the RIIF

The General Assembly first funded the RIIF in the 1995 Legislative Session when \$50.0 million was appropriated from the Generally Accepted Accounting Principles (GAAP) Deficit Reduction Account to the RIIF. In addition, legislation dedicated interest earnings from the Economic Emergency Fund and the Cash Reserve Fund (rainy day funds) to be credited to the RIIF and specified that the interest and earnings on moneys in the RIIF be retained there. During the 1996 Legislative Session, the General Assembly amended Code Section 8.57 to provide that all State wagering tax receipts in excess of \$60.0 million deposit in the RIIF. In the 2000 Legislative Session, the Legislature created the Environment First Fund (EFF) that receives a standing appropriation from the RIIF. Interest earned on the EFF is deposited in the RIIF.

Code Section 8.57(6) Allocations of State's Wagering Taxes

In the 2000 Legislative Session, the General Assembly made changes to Code Section 8.57 allocations by allocating \$20.0 million in wagering tax revenue to pay the debt service payments on revenue bonds issued for the Vision Iowa and School Infrastructure Programs. After the deposit of \$60.0 million to the General Fund, \$20.0 million for Vision Iowa and School Infrastructure, the remainder of wagering tax receipts was deposited to the RIIF. The wagering tax allocations were adjusted again in the 2009 and 2010 Legislative Sessions. First, HF 811 (FY 2010 Health and Human Services Appropriations Act) increased the pre-RIIF allocation to \$86.0 million by eliminating \$6.0 million that had been deposited in the Gambling Treatment Fund and increasing the allocation to the General Fund to \$66.0 million. That change did not affect the net amount of revenue deposited in the RIIF because the \$6.0 million had previously been allocated prior to the Code Section 8.57 allocations.

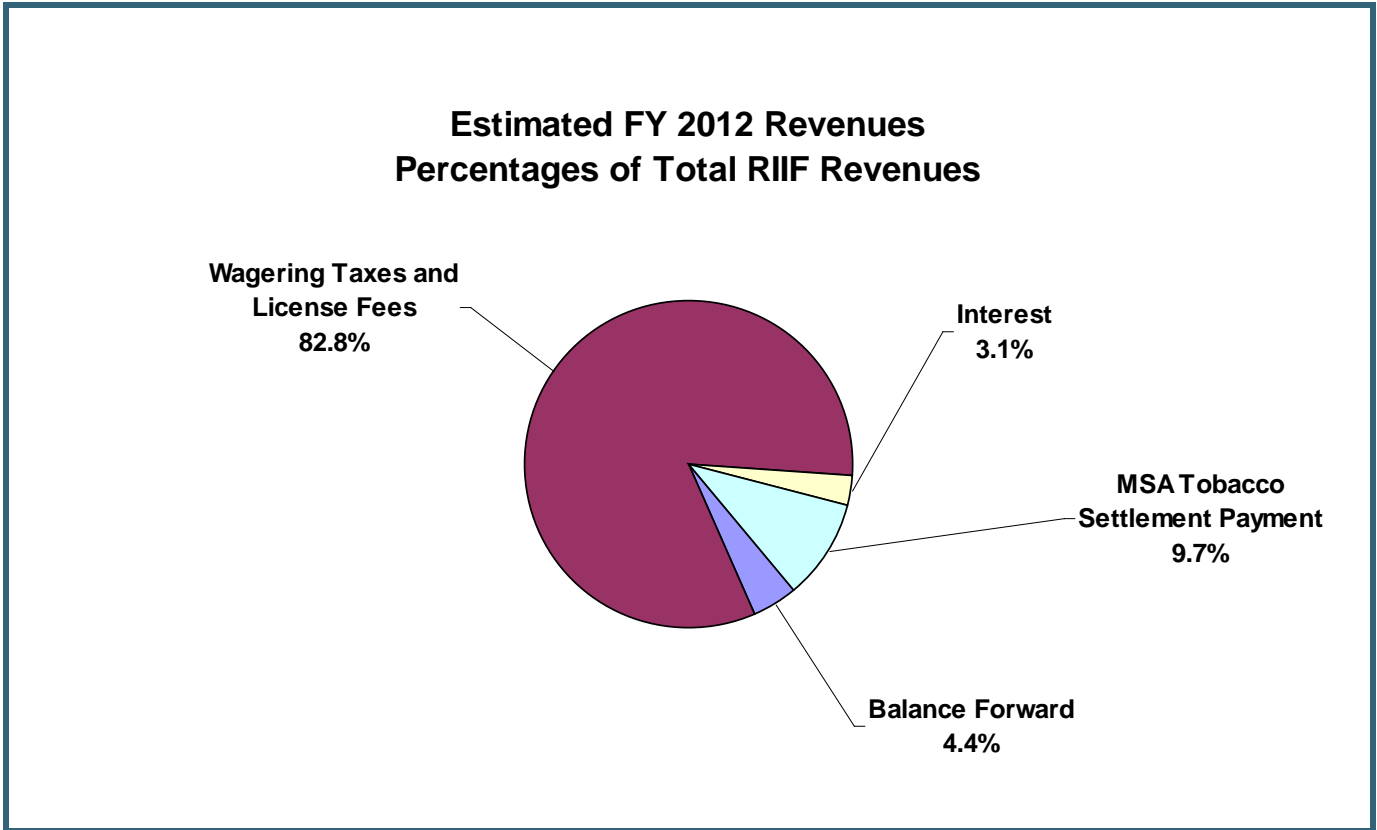
Beginning in FY 2011, Senate File 376 (Revenue Bonding and I-JOBS Program Act) provided a new allocation of \$55.0 million to pay for the debt service on the revenue bonds that were authorized for the 2009 and 2010 issuances. Also, SF 2389 (FY 2011 Infrastructure Appropriations Act) added an allocation for the Federal Subsidy Holdback Fund to provide debt service payments if the annual federal subsidy on the Build America Bonds (issued in the 2009 revenue bond issuance) is not received. It is anticipated that this allocation will be returned to the RIIF once the subsidy is received. After the changes enacted in the 2009 and 2010 Legislative Sessions, the Code Section 8.57(6) allocations of the State's wagering tax revenue include:

- \$55.0 million for Revenue Bonds Debt Service
- \$3.75 million to the Federal Subsidy Holdback Fund
- \$15.0 million to the Vision Iowa Fund
- \$5.0 million to the School Infrastructure Fund
- \$66.0 million total to the General Fund
- **Remainder** to the Rebuild Iowa Infrastructure Fund

Under the structure of the allocations in Code Section 8.57(6), the impact of the debt service from the 2009 and 2010 revenue bonds is felt solely in the RIIF. State gaming tax receipts provide most of the revenue for the RIIF. For example, 88.5% of RIIF revenue for FY 2010 was from wagering tax receipts and related license fees. Wagering tax revenue is estimated to result in 83.1% of RIIF revenue in FY 2011 and 82.8% in FY 2012. The chart on the next page shows the percentage for each category of RIIF revenues for estimated FY 2012.

More Information

LSA Staff Contact: Marcia Tannian (515-281-7942) marcia.tannian@legis.state.ia.us



Recent Items Affecting RIIF Revenues

Interest: In FY 2008, interest revenue provided \$32.9 million to the RIIF. This occurred before the recession and while the rainy day funds were full. During the 2009 and 2010 Legislative Sessions, the General Assembly made appropriations from the rainy day funds. This along with the impact of the recession on interest rates affected estimated interest for RIIF revenues. Interest revenue deposited in the RIIF was \$18.3 million for FY 2009 and \$4.9 million for FY 2010, a decrease of approximately 73.2% compared to FY 2009.

Decreases: Beginning in FY 2009 and FY 2011, the land-based and riverboat casinos, respectively, are allowed to receive an annual tax credit for five years. The tax credits were authorized in the 2004 Legislative Session in HF 2302 (Gambling Act). The burden for this tax credit is divided approximately 91.0% by the State and approximately 9.0% by the counties, so the annual reduction to RIIF revenues is estimated to be \$4.2 million annually for the land-based casinos and \$5.4 million for the riverboat casinos.

Overall revenue from wagering taxes and license fees deposited in RIIF for FY 2010 was reduced by \$18.6 million, a decrease of 9.0% compared to FY 2009. For FY 2011, approximately \$43.1 million of the \$55.0 million wagering tax receipts allocation for revenue bond debt service is being used for debt service on the 2009 revenue bonds, including a small portion depositing in the debt service reserve fund, and \$11.9 million will be transferred back to the RIIF. In FY 2012, the amount of debt service from the revenue bonds will increase to approximately \$54.2 million due to the 2010 revenue bond issuance. The revenues to the RIIF will be reduced by the total amount needed for debt service for the life of the bonds, and any unneeded amounts from the \$55.0 million will transfer back to the RIIF before the close of the fiscal year.

Additions: From FY 2005 to FY 2009, the RIIF received an additional \$8.0 million annually in revenues from license fee payments for the riverboat expansion approved during the 2004 Legislative Session. In addition, the \$1.0 million license fee for the new Lyon County casino was received in FY 2010. Also, in FY 2010, the remaining balance, \$8.4 million, from the Vertical Infrastructure Fund was transferred to the RIIF. Beginning in FY 2010, unsecured tobacco settlement payments provided additional revenue to RIIF. For FY 2010 the tobacco payments totaled \$16.7 million, and for FY 2011 the payments are estimated at \$15.9 million. For FY 2010, these additions were not enough

Rebuild Iowa Infrastructure Fund

to offset the decreases in revenues from wagering taxes and interest. During the 2010 Legislative Session the General Assembly took action to adjust the FY 2010 appropriations from RIIF. In October 2010, the Revenue Estimating Conference estimated an overall increase of \$13.2 million in State wagering tax revenue for FY 2012 compared to estimated FY 2011 that is primarily due to the new Lyon County casino.

Standing, Multiyear, and Other Appropriations from the RIIF

Standing appropriations from the RIIF of \$42.0 million and \$3.0 million are made to the Environment First Fund and the Housing Trust Fund, respectively. Other multi-year appropriations include \$10.0 million for the Secure an Advanced Vision for Education (SAVE) Fund until FY 2014, \$5.0 million for the Community Attraction and Tourism Program until FY 2013, \$10.0 million for the River Enhancement Community Attraction and Tourism Program until FY 2013, and \$5.0 million for State Park Infrastructure through FY 2015. While these appropriations may be altered during a legislation session, until that time the appropriations are on the books and reduce what remains available in the estimated RIIF. In addition, several out-year appropriations have been made in prior legislation and remain on the books. For example, prison construction management has appropriations from the RIIF for FY 2012 through FY 2014.

For FY 2009 through FY 2011, the funding source for the Technology Reinvestment Fund and the Grow Iowa Values Fund was shifted from the General Fund to the RIIF. These appropriations have historically been set at \$17.5 million and \$50.0 million, respectively, but were reduced for FY 2010 and FY 2011. The following table shows the estimated RIIF revenues, appropriations, and available funds as of October 2010.

Rebuild Iowa Infrastructure Fund						
(Dollars in Millions)						
As of October 2010						
	Actual <u>FY 2008</u>	Actual <u>FY 2009</u>	Actual <u>FY 2010</u>	Est. <u>FY 2011</u>	Est. <u>FY 2012</u>	Est. <u>FY 2013</u>
Revenues						
Balance Forward	\$ 49.7	\$ 32.2	\$ -5.5	\$ 6.2	\$ 7.2	\$ 0.0
Wagering Taxes/Related Fees	211.3	206.7	188.1	133.3	135.4	135.4
Interest	32.9	18.3	4.9	5.0	5.0	5.0
Other Revenue	0.0	0.0	25.1	15.9	15.9	15.9
Transfer to General Fund	0.0	-37.0	0.0	0.0	0.0	0.0
Total Revenues	<u>293.9</u>	<u>220.2</u>	<u>212.6</u>	<u>160.4</u>	<u>163.5</u>	<u>156.3</u>
Appropriations						
Environment First Fund*	40.0	42.0	42.0	33.0	42.0	42.0
Housing Trust Fund*	0.0	3.0	3.0	1.0	3.0	3.0
Vertical Infrastructure Fund**	50.0	0.0	0.0	0.0	0.0	0.0
Technology Reinvestment Fund***	0.0	17.5	14.5	10.0	0.0	0.0
Grow Iowa Values Fund***	0.0	50.0	23.0	38.0	0.0	0.0
Other Appropriations	172.1	113.9	124.3	71.2	54.9	40.0
Total Appropriations	<u>262.1</u>	<u>226.4</u>	<u>206.8</u>	<u>153.2</u>	<u>99.9</u>	<u>85.0</u>
Reversions	-0.4	-0.7	-0.4	0.0	0.0	0.0
Available for Appropriation					63.6	71.3
Ending Balance	<u>\$ 32.2</u>	<u>\$ -5.5</u>	<u>\$ 6.2</u>	<u>\$ 7.2</u>	<u>\$ 0.0</u>	<u>\$ 0.0</u>
*Standing appropriation						
**Eliminated in 2008 Session						
***Normally funded by the General Fund						

Budget Unit: Department of Transportation Highway Division

BU Number: 6450S220137

Purpose and History

The [Highway Division](#) of the [Department of Transportation](#) (DOT) is responsible for developing, designing, constructing, and maintaining the State's Primary Road System, including primary roadways and bridges. The Division oversees maintenance projects on highways and bridges, the Adopt-A-Highway Program, roadside management, rest area administration, road weather information systems, traffic safety, safety management systems, snow and ice control, and general operation and preservation of the State's transportation system. The Division's responsibilities also include research and development, transportation-related environmental investigations and compliance, ensuring consistency with engineering practices, acquiring right-of-way easements, and providing technical assistance and consultation with local jurisdictions.

The State's Primary Road System was established in 1919 by the 38th General Assembly when it enacted legislation establishing a road system of 6,400 miles and a Primary Road Fund to finance construction and maintenance of the system. The DOT was established in 1974 by the 65th General Assembly and succeeded the Iowa Highway Commission. In 2009, the State's Primary Road System included 8,891 miles of roadways and 510 miles of ramps, as well as 4,092 bridges.

State Funding for Highway Division and Highway Programs

Funding for the operations of the Highway Division comes entirely from the Primary Road Fund. The appropriation for the Division is included in the Transportation Appropriation Bill that under the purview of the Transportation, Infrastructure, and Capitals Appropriations Subcommittee of the General Assembly. Highway programs administered by the Division are financed with federal funds and the Primary Road Fund. The State's General Fund is not used for the Division's operation or for highway programs. Since the 2008 Legislative Session, the Primary Road Fund receives its funding from the Road Use Tax Fund (RUTF) and the TIME-21 Fund.

The RUTF was established in 1949 by the 53rd Iowa General Assembly to provide a source for investing in the State's primary, secondary, and municipal roadway systems. Vehicle registration fees and motor fuel taxes provide most of the RUTF revenues. The RUTF moneys are distributed by formula and provide funding for the construction and maintenance of roads on the State's Primary Road System, county secondary and farm-to-market roads, and city municipal streets. Before the revenues are distributed, however, various allocations and appropriations are made from the RUTF per Code and Session Law. The net revenues from the RUTF are distributed according to a formula of 47.5% percent for the Primary Road Fund, 24.5% for county secondary road funds, 8.0% percent for farm-to-market roads, and 20.0% for municipal road funds. Legislation that went into effect in 2003 provided for the transfer of jurisdiction of some roadways from the State to either a city or county government and required a share (1.75%) of the RUTF distribution to the Primary Road Fund to be paid to local governments.

More Information

Budget Schedules and Related Documents

Department of Transportation Highway Finance Information: <http://www.iowadot.gov/about/HighwayFinance.html>

Department of Transportation Five-Year Transportation Improvement Program:
http://www.iowadot.gov/program_management/five_year.html

U.S. Department of Transportation Federal Highway Administration <http://www.fhwa.dot.gov/>

LSA Staff Contact: Marcia Tannian (515-281-7942) marcia.tannian@legis.state.ia.us

Budget Unit: Department of Transportation Highway Division

The TIME-21 Fund was first funded in legislation enacted in the 2008 Legislative Session, [SF 2420](#) (TIME-21 Transportation Funding Act). The legislation increased various vehicle registration fees and directed the deposit of increased revenues to the TIME-21 Fund. The effort was to help fund the shortfall in critical public roadway needs, estimated at \$267 million annually. The TIME-21 Fund receives motor vehicle registration fees in excess of \$392.0 million, increases on titles and salvage titles, and trailer registration fee increases. The moneys are distributed according to the formula of 60.0% to the Primary Road Fund, 20.0% to county secondary road funding, and 20.0% to city municipal road funding. The TIME-21 Fund sunsets after FY 2028; receipts will then deposit in the RUTF instead and be subject to the formula distribution of the RUTF.

Federal Funding for Highway Programs

The Federal Highway Trust Fund (HTF), the largest of the transportation trust funds, was established in 1956 to ensure a steady flow of money to support the construction of the nation's interstate system. Fuel tax and other highway-user revenue collected by the federal government are placed in the HTF. More than 88.0% of the revenue to the HTF comes from fuel taxes. The HTF receipts are credited to two accounts, the highway account and the mass transit account. Congress allocates these funds to the states in accordance with provisions in surface transportation authorizing legislation, such as the Safe, Accountable, Flexible, Efficient Transportation Equity Act: A Legacy for Users.

Most federal funds are distributed to state and local officials based on formulas. The State receives federal funds to maintain the interstate system based on the State's percentage share of interstate lane miles, the State's percentage share of vehicle miles traveled on the interstate system in the State, and the State's percentage share of annual contributions to the Highway Account of the HTF attributed to commercial vehicles. Federal funds are available only for reimbursement of expenditures on approved projects. To receive federal funds, the State generally must pay 10.0 to 20.0% of the project costs.

In addition to the construction, maintenance, and improvement of the system of federal-aid highways, the highway account funds a wide range of motor carrier safety and enforcement programs, along with highway-railroad grade crossing programs. The mass transit account provides funds for the construction and operation of bus and rail transit systems.

Other States

Many states earmark different types of road revenues for their departments of transportation. According to a September 2008 report by the National Conference of State Legislatures, *Earmarking State Taxes*, at least 12 states earmark their motor vehicle revenues and 49 earmark their motor fuel taxes. A sampling of states and designated revenues for highway programming are listed below:

- Arizona uses all of its motor carrier and motor vehicle registration fees and a portion of its motor fuel taxes to fund highways and street-related programs.
- Indiana uses its gasoline taxes to pay for its highway programs. In addition, some of the motor carrier surcharges contribute to the State's highway programs.
- Illinois deposits its motor fuel taxes, including recreational watercraft on State waters, in its Motor Fuel Tax Fund. Use of the Fund is designated in Code and allocated to the State, counties and municipalities for road construction and maintenance, as well as directed to specified programs and funds that are related to transportation, such as the Grade Crossing Protection Fund.
- Minnesota designates its motor fuel taxes, vehicle registration fees, and some of its motor vehicle taxes to the Highway User Tax Distribution Fund that pays for its State trunk highway system, county State-aid roadway system, and municipal State-aid roadway system. The State receives 62.0% of the revenue and uses it for operations and highway construction projects.

Related Statutes and Administrative Rules

Iowa Code Chapters [313](#), [313A](#), and [314](#)

Iowa Administrative Code: [761 IAC 41](#), [761 IAC 110](#), [761 IAC 121](#), [761 IAC 125](#), and [761 IAC 161](#)

Budget Unit: Department of Transportation Motor Vehicle Division

BU Numbers: 6450S630810 and 6450S240137

Purpose and History

The [Motor Vehicle Division](#) of the [Department of Transportation](#) (DOT) is responsible for administering and enforcing federal and State motor vehicle laws and regulations, including testing, licensing, and sanctioning of all drivers. The Division maintains and oversees driver and vehicle-related functions including all driver history files, central issuance of driver licenses, crash reports, vehicle titles, vehicle registrations, driver offenses, permits, county-based registrations, weigh stations, issuance of special plates, driver safety and education, and commercial vehicle inspections. The Division also enforces federal motor carrier safety standards and hazardous materials regulation, carries out investigations, and issues commercial vehicle citations.

The first motor vehicle registration of \$1.00 was required in 1904 when the 13th General Assembly enacted legislation requiring the registration of vehicles and regulating use on State highways. In 1904, there were 931 motor vehicles registered. In 2009, there were 4.1 million vehicles registered, including approximately 1.5 million automobiles, 167,000 motorcycles, 774,000 multi-purpose vehicles, 21,000 tractor/trucks, and 900,000 motor trucks.

State Funding for Motor Vehicle Division

Funding for the operations of the Motor Vehicle Division comes mostly from the Road Use Tax Fund (RUTF) (96.0%) and a small portion from the Primary Road Fund (4.0%). The appropriation for the Division is in the Transportation Appropriation Bill that goes through the Transportation, Infrastructure, and Capitals Appropriations Subcommittee in the General Assembly. The State's General Fund is not used for the Division's operations.

The RUTF was established in 1949 by the 53rd Iowa General Assembly to provide a source for investing in the State's primary, secondary, and municipal roadway systems. Vehicle registration fees and motor fuel taxes provide most of the RUTF revenues. The RUTF moneys are distributed by formula and provide funding for the construction and maintenance of roads on the State's Primary Road System, the counties secondary roads and farm-to-market roads, and city municipal streets. Before the revenues are distributed, however, various allocations and appropriations are made from the RUTF per Code and Session Law. The net revenues from the RUTF are distributed according to a formula of 47.5% percent for the Primary Road Fund, 24.5% for county secondary road funds, 8.0% percent for farm-to-market roads, and 20.0% for municipal road funds. Legislation that went into effect in 2003 provided for the transfer of jurisdiction of some roadways from the State to either a city or county government and required a share (1.75%) of the RUTF distribution to the Primary Road Fund to be paid to local governments.

Federal Funding

The Motor Carrier Safety Assistance Program is a federal grant program relating to improving highway safety by reducing the number and severity of crashes and hazardous materials incidents involving commercial motor vehicles. Approximately \$32.0 million annually in federal funds is conditioned on implementation of an approved size and weight plan. The Division's Motor Vehicle Enforcement Officers conduct the Commercial Vehicle Inspection program and implement the size and weight plan.

More Information

Budget Schedules and Related Documents

Department of Transportation Office of Driver Services <http://www.iowadot.gov/mvd/ods/>

Department of Transportation Office of Vehicle Services <http://www.iowadot.gov/mvd/ovs/index.htm>

U.S. Department of Transportation Federal Motor Carrier Safety Administration Motor Carrier Safety Assistance Program:
<http://www.fmcsa.dot.gov/safety-security/safety-initiatives/mcsap/mcsap.htm>

LSA Staff Contact: Marcia Tannian (515-281-7942) marcia.tannian@legis.state.ia.us

Budget Unit: Department of Transportation Motor Vehicle Division

Other States

Many states earmark different types of road revenues for their departments of transportation. According to a September 2008 report by the National Conference of State Legislatures, *Earmarking State Taxes*, at least 12 states earmark their motor vehicle revenues and 49 earmark their motor fuel taxes. Not all states include the Motor Vehicle Division as part of their transportation departments, however. For example, in Alabama the Motor Vehicle Division is in the Department of Revenue; in Indiana, the Bureau of Motor Vehicles is separate from its Department of Transportation; and in Minnesota, the Driver and Vehicle Services Division is in the Department of Public Safety.

Related Statutes and Administrative Rules

Iowa Code Chapter [321](#)

Iowa Administrative Code: [761 IAC 400](#), [761 IAC 401](#), [761 IAC 600](#), [761 IAC 602](#), and [761 IAC 615](#)

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APPENDIX I

Department of Transportation Report on Fees (per SF 2088, 2010 Session)

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Department	Budget Unit or Fund Name and Number	Fee Description	Payor of Fee	Fee Amount	Frequency	Number of FY 2010 Payors	FY 2010 Total Revenue	Revenue Deposit Location (Fund)	Year Last Revised
Iowa DOT				<i>(Red - Fee set in Code)</i>			<i>Revenue amounts shown do not reflect fee revenue retained by the counties per Code section 321.152.</i>		
TIC Subcommittee									
	Primary Road Fund	OPEN RECORDS					\$ 7,125	0137	
		The DOT may charge fees for records.							
		Unless another fee is set by Iowa Code or administrative rule, the following fees shall be charged for records:	Any person requesting record						DOT Policy 030.05 revised 11-5-2003
		1. Copy fees (the following fees include labor, materials, and equipment costs):							
		Photocopies up to 11" x 17" (one-sided): B/W - \$0.20 each; Color - \$0.80 each		B/W - \$0.20 each; Color - \$0.80 each					
		Photocopies up to 11" x 17" (two-sided): B/W - \$0.25 each; Color - \$1.00 each		B/W - \$0.25 each; Color - \$1.00 each					
		Printouts from local printers (one-sided): B/W - \$1.00 each; Color - \$2.00 each		B/W - \$1.00 each; Color - \$2.00 each					
		Printouts from local printers (two-sided): B/W - \$1.50 each; Color - \$2.50 each		B/W - \$1.50 each; Color - \$2.50 each					
		Microfilm and microfiche \$0.20 per sheet		\$0.20 per sheet					
		City maps - Billed by the Office of Document Services		Billed by Office					
		Other (e.g., preprinted pages, magnetic tapes, etc.) - Per the Office of Document Services or the Information Technology Division, as applicable		Per Office or ITD					
		2. Supervising the inspection or copying of records; searching for requested records; or extracting, in writing, nonconfidential information from a record that also contains confidential information not to be released: Minimum of \$5.00 per 15 minutes.	Any person requesting record	Minimum of \$5.00 per 15 minutes					DOT Policy 030.05 revised 11-5-2003
		3. Postage, shipping and handling charges, as applicable.	Any person requesting record	As applicable					DOT Policy 030.05 revised 11-5-2003
		Fee/charge for copy preparation and mailing of driver's license master file or driver's license suspension and revocation file to blank computer tape cartridges	Any person requesting record	Varies; Code allows DOT to assess reasonable fees for copies of records or services provided					Acts 1999, ch. 207 (increased from \$5)
	General Fund	Fee for certified abstract of operating record (statutory fee)	Person requesting a certified abstract of a driving record	\$5.50			\$ 6,725,488	0001 (996)	Acts 1999, ch. 207 (increased from \$5)

Department	Budget Unit or Fund Name and Number	Fee Description	Payor of Fee	Fee Amount	Frequency	Number of FY 2010 Payors	FY 2010 Total Revenue	Revenue Deposit Location (Fund)	Year Last Revised
	Primary Road Fund	UTILITY ACCOMMODATION					\$ 14,417	0137	
		Attachment fee: Fee paid by utility owner to attach its utility to a primary highway bridge	Utility owner	\$100 + wt/length calc					IAC: 11-19-2003
		Engineering fee: Fee paid by utility owner to reimburse DOT for increased cost of design, construction, and inspection due to attachment	Utility owner	Varies; billed to owner					IAC: 11-19-2003
		Occupancy fee: Annual fee paid by utility owner for longitudinal occupancy of the freeway right of way.	Utility owner	Varies, see rule					IAC: 11-19-2003
	Highway Beautification Fund	OUTDOOR ADVERTISING	Entity applying to erect sign along highway				\$ 732,133	0144	
		Advertising sign permit fee (initial)		\$100/permit					IAC: 5-12-1999
		Advertising sign permit fee (renewal)		Varies					IAC: 5-12-1999
		Fee to replace permit plate that is missing from sign or is not legible		\$10					IAC: 5-12-1999
		New permit required for reconstruction or modification [fee per 117.6(2)]		100/permit					IAC: 7-24-1996
		Fees to remove illegal/abandoned advertising devices-assessed to owner		Varies					IAC: 7-9-2008
		OUTDOOR ADVERTISING: LOGO SIGNING	Entity applying to erect sign along highway						
		Logo signing program fees (new application, annual, and service fees)		New app fee-\$100					IAC: 12-12-2007
				Annual fee-\$230					
				Service fee-\$50					
		Fees for seasonal operations (lodging, camping, attraction)		Varies					IAC: 12-12-2007
		Fees for temporary specific service signs (logo)		\$300-\$700+ sign fee					IAC: 12-12-2007
		TOURIST-ORIENTED DEVELOPMENT SIGNS (TODS)	Entity applying to erect sign along highway						
		Trailblazing signs (cost of fabrication and installation)		Cost-fabrication/installation					IAC: 1-1-2003
		Initial fee		\$100+ sign fabrication					
		Annual fee		\$50/sign					
		Modification of a tourist-oriented (TODS) directional sign		\$50+ sign cost					IAC: 1-1-2003
		PRIVATE DIRECTIONAL SIGNING	Entity applying to erect sign along highway						
		Private directional signs:							IAC: 1-1-2003
		Initial application fee		\$100					
		Annual renewal fee		\$15					
		Permit plate fee		\$10					IAC: 1-1-2003

Department	Budget Unit or Fund Name and Number	Fee Description	Payor of Fee	Fee Amount	Frequency	Number of FY 2010 Payors	FY 2010 Total Revenue	Revenue Deposit Location (Fund)	Year Last Revised
		MOTOR VEHICLE FEES							
	General Fund	Fee for certified copy of any record of the department	Person requesting a certified copy of a DOT record	\$.50 per copy				General Fund	Acts 1937, ch. 134
		<i>Vehicle:</i>					Counties retain 4% of total vehicle registration collection, excluding fee for new registration. See lines 146-154 for further detail. Dollar amounts shown do not reflect revenue retained by counties.		
	Road Use Tax Fund	Fee for issuance of certificate of vehicle title:	Vehicle owner				\$ 22,664,703	0810, 0964	
	Statutory Allocations Fund	A) 321.20 Application for registration and certificate of title	Vehicle owner	\$20					Acts 2008, ch. 1113
		B) 321.20A Certificate of title and registration fees-commercial vehicles	Vehicle owner	\$20					Acts 2008, ch. 1113
		C) 321.23 Title to specially constructed and reconstructed vehicles	Vehicle owner						
		(1) Application to title specially reconstructed vehicle, etc.	Vehicle owner	\$10					Acts 2008, ch. 1044
		(4) Application to title certain specially reconstructed vehicle, etc.	Vehicle owner	\$20					Acts 2008, ch. 1113
		D) 321.42 Lost of damaged certificates, cards, and plates-replacements	Vehicle owner	Varies from \$3 to \$40					Acts 2008, ch. 1113 & ch. 1124, Acts 1985
		E) 321.46 New title and registration upon transfer of ownership-credit	Vehicle owner	\$20					Acts 2008, ch. 1113
		F) 321.47 Transfers by operation of law	Vehicle owner	\$20					Acts 2008, ch. 1113
		G) 321.52(4)(a) Fee for salvage certificate of title	Vehicle owner	\$10					Acts 2008, ch. 1113
		H) 321.109 Motor vehicle fee (certificate of title fee)	Vehicle owner	\$20					Acts 2008, ch. 1113
		Proof of security against liability-county treasurer administrative fee	Vehicle owner or driver	\$15			N/A	County Treasurer	1997 (established)
	Road Use Tax Fund	Fees for special vehicle registration plates	Vehicle owner	Fees vary; see Code			\$ 1,456,021	0810	Multiple changes
		New special registration (special emblem) plates - typically \$25 per vehicle	Vehicle owner					RUTF	Acts 1996, Ch. 1088
		Annual renewal (validation) fee - typically \$5 per vehicle	Vehicle owner					RUTF	Acts 1996, Ch. 1088
		New state-sponsored special registration plates-alternate fees pass thru to special fund	Vehicle owner	\$35 issuance; \$10 renewal				Various Funds	Acts 1997, ch 104
		New personalized plates - \$25 per vehicle	Vehicle owner						Acts 1975, ch 174
		Annual renewal (validation) fee - \$5 per vehicle	Vehicle owner						Acts 1977, ch 103

Department	Budget Unit or Fund Name and Number	Fee Description	Payor of Fee	Fee Amount	Frequency	Number of FY 2010 Payors	FY 2010 Total Revenue	Revenue Deposit Location (Fund)	Year Last Revised
		Administrative fee to change registration plates to another county (pd to county)	Vehicle owner	\$5			N/A	County Treasurer	Acts 1977, ch. 103
	Road Use Tax Fund	Lost or damaged certificates, cards, and plates - replacements						RUTF	
		Replacement registration card	Vehicle owner	\$3					Acts 1981, ch. 102
		Replacement plate or set of plates (other than per 321.60)	Vehicle owner	\$5					Acts 1981, ch. 102
		Replacement plate per 321.60	Vehicle owner	\$40					Acts 2008, ch. 1124
		Replacement for certificate of title (if lost or destroyed)	Vehicle owner	\$20					Acts 2008, ch. 1113
		Fee for corrected certificate of title and notation of security interest	Vehicle owner	321.20-\$20 for title; 321.50-\$10 for notation of a security interest					See 321.20 and 321.50
		Foreign vehicle acquired by dealer for resale-certificate of title		\$5					Acts 1984, ch. 1305
		Fee for notation of security interest on certificate of title	Vehicle owner	\$10 per interest shown					Acts 2004, ch. 1092
		Fee for notation of a sheriff's levy as a security interest on title	Vehicle owner	\$10 per interest shown					Acts 2004, ch. 1092
		Other fees related to notation of security interests on title	Any person	\$1 - \$3					Acts 1974, ch. 1249; Acts 1976, ch. 1107
	General Fund	Fee for salvage theft examination	Owner of salvage vehicle	\$30			\$ 150,738	0001 (996) and '0810	Acts 2000, ch. 1016
	Road Use Tax Fund	Fee for duplicate copy of the salvage theft examination certificate	Owner of salvage vehicle	\$10					IAC: 7-1-1988
	Statutory Allocations Fund	Certificate of title surcharge	Vehicle owner	\$5			\$ 4,791,419	0964	Acts 1991, ch. 267
	Road Use Tax Fund	Dealers					\$ 131,789	0810	
		Application fee for certificate for dealers, transporters and new motor vehicle wholesalers	Dealers, transporters, and wholesalers	\$70/2 year period					Acts 2006, ch. 1068
		Issuance of special (dealer) plates	Dealer	\$40/2 year period					Acts 2006, ch. 1068
	Statutory Allocations Fund	Fee for new registration (formerly the "use tax")	Vehicle owner	5% of the vehicle purchase price for vehicles subject to registration under chapter 321			\$ 16,618,096	0964	Acts 2008, ch. 1113
	Road Use Tax Fund	Annual registration fee required	Vehicle owner	Established elsewhere in Code			\$ 448,083,513	0810	Acts 2008, ch. 1113
		Annual motor vehicle registration fee for new passenger vehicles (based on weight/value)	Vehicle owner	Fee=1% of the vehicle's value + 40 cents per 100 lbs of weight					Acts 2008, ch. 1113
		Annual registration fee for vehicle equipped for persons with disabilities	Vehicle owner	60/year					Acts 2010, SF 2246
		Application for transit plate for nonresident to move vehicle to home state	Nonresident owners	\$3-used; \$10-new					Acts 1984, ch. 1305
		Dealer in-transit permits	Dealer	\$2					Acts 1969, ch. 202

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Department	Budget Unit or Fund Name and Number	Fee Description	Payor of Fee	Fee Amount	Frequency	Number of FY 2010 Payors	FY 2010 Total Revenue	Revenue Deposit Location (Fund)	Year Last Revised
		County in-transit permit for vehicle not currently registered	Vehicle owner	\$25					Acts 2008, ch. 1113
		Automatic reduction. Registration fee for older passenger vehicles (based on weight/value/age)	Vehicle owner	Fee=1% of the vehicle's value + 40 cents per 100 lbs of weight; fee drops with age to \$50/annual fee when vehicle is 12 year old or older in accordance with section 321.113.					Acts 2008, ch. 1113
		Electric automobiles - annual registration fee							
		Annual fee	Vehicle owner	\$25					Acts 1927, ch. 113
		Annual fee if more than five model years old	Vehicle owner	\$15					Acts 1927, ch. 113
		Motorcycle, ambulance, and hearse fees - annual registration fee							
		Motorcycles - 1-4 model years old	Motorcycle owner	\$20/year					Acts 1984, ch. 1305
		Motorcycles - more than 5 model years old	Motorcycle owner	\$10/year					Acts 1984, ch. 1305
		Motorized bicycles (mopeds)	Motorized bicycle owner	\$7/year					Acts 1984, ch. 1305
		Ambulance and hearses	Vehicle owner	\$50/year					1975
		Church buses - annual registration fee	Vehicle owner	\$25/year					Acts 1980, ch. 1094
		Special trucks for farm use - annual registration fee	Truck owner (farmers)	\$80 - \$375 based on weight (plus \$25 for each ton exceeding 20 tons and not exceeding 32 tons)					Acts 2008, ch. 1113
		Trucks, truck tractors, and road tractors - annual registration fees	Truck owner						Acts 2008, ch. 1113- LT 14 tons; Acts 1980, ch. 1100-GTE 14 tons
		321.122(1)(a) - Applies to all vehicles other than those registered continuously to the same owner as of 1-1-2009; based on gross weight	Truck owner	Ranges from \$150 (3 ton) to \$1,695 (40 ton)					Acts 2008, ch. 1113- LT 14 tons; Acts 1980, ch. 1100-GTE 14 tons
		321.122(1)(b) - Applies to vehicles registered continuously to the same owner as of 1-1-2009; based on gross weight	Truck owner	Ranges from \$65 (3 ton) to \$1,695 (40 ton)					Acts 2008, ch. 1113- LT 14 tons; Acts 1980, ch. 1100-GTE 14 tons
		321.122(1)(c) - Fee for combined gross weight exceeding 40 tons	Truck owner	\$1,695 plus \$80 per ton over 40 tons					Acts 1980, ch. 1100-GTE 14 tons
		Annual registration for motor homes and 1992 model year and older multipurpose vehicles	Vehicle owner	\$55 - \$400					Acts 1992, ch. 1019, ch. 1222
		Annual registration fee for multipurpose vehicle equipped for persons with disabilities	Vehicle owner	\$60					Acts 1993, ch. 165
		Vehicles placed in storage - replacement plates	Vehicle owner	\$5 for set of plates					IAC - 12-28-2005
		Monthly penalty for late registration fees.	Vehicle owner	5% of the annual registration fee					Acts 47 G.A. (1937), ch. 134, sec. 164
		Exceptional cases - annual registration fee	Vehicle owner	\$10 higher than previous model year					Acts 2000, ch. 1016

Department	Budget Unit or Fund Name and Number	Fee Description	Payor of Fee	Fee Amount	Frequency	Number of FY 2010 Payors	FY 2010 Total Revenue	Revenue Deposit Location (Fund)	Year Last Revised
	Statutory Allocations Fund	Annual vehicle registration fee - trailers:					\$ 8,653,877	0964 & 0810	
	Road Use Tax Fund	Trailers with an empty weight of 2,000 lbs or less	Vehicle owner	\$20/year					Acts 2008, ch. 1113
		Trailers with an empty weight exceeding 2,000 lbs	Vehicle owner	\$30/year					Acts 2008, ch. 1113
		Travel trailers and fifth-wheel travel trailers	Vehicle owner	30 cents/sq. ft. floor space					Acts 2008, ch. 1113
		<i>DISPOSITION OF MONEYS AND FEES collected under Code chapter 321</i>						RUTF, except for fines, forfeitures, court costs, fees retained by the county treasurer, and fees credited to the SAF	Acts 2008, ch. 1113
		Vehicle registration fees retained by county:						County General Fund	1991/1992
		From total vehicle registration collection, excluding the fee for new registration	Vehicle owner	4%				County General Fund	
		\$2.50 from each certificate of title fee	Vehicle owner	\$2.50 from each certificate of title fee				County General Fund	
		40% of all fees collected for certified copies of certificates of title	Vehicle owner	40% of all fees collected for certified copies of certificates of title				County General Fund	
		60% of all fees collected for perfection of security interests	Vehicle owner	60% of all fees collected for perfection of security interests				County General Fund	
		25% of each penalty for improper business-trade truck registration	Vehicle owner	25% of each penalty for improper business-trade truck registration				County General Fund	
		\$1 for each fee for new registration collected pursuant to 321.105A	Vehicle owner	\$1 for each fee for new registration collected pursuant to 321.105A				County General Fund	
	Statutory Allocations Fund	<i>Driver:</i>					\$ 15,473,167	0964	
		Fee for a license or renewal of a license for a private or commercial driver education school	Private and commercial driver ed schools	\$25/year					IAC: 5-5-2004
		Fee for a license or renewal of a license for an entity to deliver the motorcycle rider education course approved by the department	Entity that delivers course	\$25/year					IAC: 5-5-2004
		Fee for a license or renewal of a license for an entity to deliver the motorized bicycle rider education course approved by the department	Entity that delivers course	\$25/year					IAC: 5-5-2004
		Driver's license - content. Fee for new license upon turning age 18 or age 21	Driver	\$1					Acts 1984, ch. 1292
		Replacement of lost or destroyed driver's license or nonoperator's ID card	Driver/citizen	\$3					Acts 1989, ch. 317
		Voluntary replacement of a driver's license and nonoperator's ID card	Driver/citizen	\$1					Acts 1976, ch. 1171

Department	Budget Unit or Fund Name and Number	Fee Description	Payor of Fee	Fee Amount	Frequency	Number of FY 2010 Payors	FY 2010 Total Revenue	Revenue Deposit Location (Fund)	Year Last Revised
		Driver's license for undercover law enforcement officers - fees - penalties.	Law enforcement agency	Same as 321.191 fees					Same as 321.191 fees
		Issuance of nonoperator's identification cards - fee.	Citizen	\$5 for 5 years of validity					Acts 1984, ch. 1305
		Fees for driver's licenses issued under sections 321.189/321.191:		See Code section 321.191					
		Instruction permit	Driver	\$6					Acts 1984, ch. 1305
		Special instruction permit	Driver	\$10					Acts 1990, ch. 1230
		Chauffeur's instruction permit or commercial driver's instruction permit	Driver	\$12					Acts 1984, ch. 1305
		Noncommercial driver's license, other than a class D license or an instruction permit	Driver	\$4/year of validity					Acts 1987, ch. 167
		Fee for DL valid only for operation of a motorized bicycle (good for 2 years)	Operator	\$4/year of validity					Acts 1990, ch. 1230
		Licenses for chauffeurs (noncommercial class D driver's license)	Driver	\$8/year of validity					Acts 1990, ch. 1230
		Commercial driver's licenses (CDL)	Driver	\$8/year of validity					Acts 1990, ch. 1230
		Fee for a restricted CDL (agricultural purposes)	Driver	\$8/year of validity					Acts 1990, ch. 1230
		Licenses valid for motorcycles	Operator	Additional \$2 fee/year of validity					Acts 2008, ch. 1113
		Special minors' licenses (school license)	Driver	\$8					Acts 1990, ch. 1230
		CDL endorsements and removal of air brake restrictions:	Driver						
		CDL - Fee for double/triple trailer, tank vehicle, or hazardous materials endorsement	Driver	\$5					Acts 1990, ch. 1230
		CDL - Fee for passenger endorsement or school bus endorsement	Driver	\$10					Acts 1990, ch. 1230
		CDL - Fee for removal of an air brake restriction	Driver	\$10					Acts 1990, ch. 1230
		Fee for reinstatement of a driver's license	Driver	\$20					Acts 1970, ch. 1205
		Fee for license reinstatement after commercial driver's license disqualification (321.208)	Driver	\$20					Acts 1990, ch. 1230
		Fee adjustment for upgrading driver's license (set by rule per Code):	Driver						
		Noncommercial Class C to Class D	Driver	\$4/year of validity					IAC: 7-1-1990
		Class M to Class D with motorcycle endorsement	Driver	\$4/year of validity					IAC: 7-1-1990
		Class M to noncommercial Class C with motorcycle endorsement	Driver	\$1 one time fee					IAC: 7-1-1990
		Fee to add a privilege to a driver's license: Noncommercial Class C-full privileges; motorized bicycle; minor's restricted license; or minor's school license	Driver	\$4/year of validity					IAC: 7-1-1990
		Fee to add a privilege to a driver's license: Motorcycle instruction permit or motorcycle endorsement	Driver	\$1 per year					IAC: 7-1-1990 & 12-13-2006
	General Fund	Non-OWI Civil Penalty					\$ 3,998,375	0001 (413)	
	Juvenile Detention Home Fund	<i>Section 321.218A civil penalty assessed prior to license reinstatement following a conviction under chapter 321.</i>	Driver whose DL is revoked under chapter 321	\$200 or \$50				Juvenile Detention Home Fund (232.142)	Acts 1997, ch. 190

Department	Budget Unit or Fund Name and Number	Fee Description	Payor of Fee	Fee Amount	Frequency	Number of FY 2010 Payors	FY 2010 Total Revenue	Revenue Deposit Location (Fund)	Year Last Revised
		Processing fee to county treasurer if person remits fee to the county treasurer	Driver	\$5			N/A	County Treasurer	2005 amendment, effective 7-1-2007
		<i>Section 321A.32A - Civil penalty assessed prior to license reinstatement following a conviction under chapter 321A.</i>	Driver whose DL is revoked under chapter 321A	\$200 or \$50				Juvenile Detention Home Fund (232.142)	Acts 1997, ch. 190; various session law amendments
		Processing fee to county treasurer if person remits fee to the county treasurer	Driver	\$5			N/A	County Treasurer	2005 amendment, effective 7-1-2007
	Road Use Tax Fund	Fee for copy of investigating officer's motor vehicle accident report	Entities identified per 321.271(2)	\$4			\$ 12,049	0810	Acts 1981, ch. 14
	General Fund	Fee for preparation of a memorandum for a misdemeanor violation of chapter 321	Person cited/convicted	\$2			N/A	General Fund: Not currently collected by courts; if collected would be part of court costs.	Acts 1975, ch. 101
	Motor Vehicle Division Appropriation	IOWA COURT INFORMATION SYSTEM					\$ 271,102	0143	
		Fee for non-certified abstract of record of court case of person convicted of violating a law regulating the operation of vehicles on highways (pd to clerk of court)	Person requesting a non-certified abstract of record of conviction	50 cents					Acts 1997, ch 104
		Fee for the courts to electronically provide record of the convictions and forfeitures of bail to a vendor (pd to judicial branch)	Private vendor	\$10,000/month or actual costs, whichever is greater					Acts 1999, ch. 207
	Primary Road Fund	VEHICLES OF EXCESSIVE SIZE AND WEIGHT					\$ 1,584,447	0137, 0810	
	Road Use Tax Fund	Annual permit	Vehicle owner	\$25					Acts 1983, ch. 116
		Annual oversize/overweight permit	Vehicle owner	\$300					Acts 1997, ch. 100
		Self-propelled implement of husbandry - annual permit	Vehicle owner	\$600/per county of operation, not to exceed \$3,500 annually					Acts 2007, ch. 143
		All-systems permit-annual fee	Vehicle owner	\$120					Acts 1987, ch. 186
		Multi-trip permits	Vehicle owner	\$200					Acts 1997, ch. 100
		Special alternative energy multi-trip permit	Vehicle owner	\$600					Acts 2008, ch. 1124
		Single-trip permit	Vehicle owner	\$10					Acts 1983, ch. 116
		Duplicate permit	Vehicle owner	\$2					IAC: 2-5-1986
		Registration fee for vehicles transporting buildings on a single trip basis	Vehicle owner	Formula					IAC: 2-5-1986
		Charge for measures necessary to avoid damage to public property	Vehicle owner	Fair & reasonable per IAC					IAC: 2-5-1986
		Escort vehicles for certain oversize vehicles	Vehicle owner	Not to exceed \$250/day					Acts 1991, ch. 133
		Fee for annual permit for special mobile equipment	Vehicle owner	\$25 or \$50 depending on weight					Acts 1980, ch. 1107

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Department	Budget Unit or Fund Name and Number	Fee Description	Payor of Fee	Fee Amount	Frequency	Number of FY 2010 Payors	FY 2010 Total Revenue	Revenue Deposit Location (Fund)	Year Last Revised
	General Fund	OPERATING WHILE INTOXICATED (OWI)					\$ 3,145,412	0001 and '0010	
	Victim Reparation Fund	<i>321J.17 Civil penalty assessed prior to license reinstatement following a conviction under chapter 321J.</i>	Driver whose DL is revoked under chapter 321J	\$200				1/2-Victim Comp Fund; 1/2-G.F.	Acts 1993, ch. 110
		Processing fee to county treasurer if person remits fee to the county treasurer	Driver	\$5			N/A	County treasurer	Acts 2008, ch. 1018
		Fees related to drinking drivers course	Driver	\$125					IAC: 2-18-1998
		Fee related to OWI substance abuse course	Driver	\$125					IAC: 2-18-1998
	Road Use Tax Fund	MOTOR CARRIER AUTHORITY					\$ 1,218,537	0810	
		Application fee for a new motor carrier permit or motor carrier certificate	Motor carrier	\$150					Codified as new section by Acts 1997, ch. 104
		Reinstatement fee following suspension of a motor carrier license	Motor carrier	\$150					" "
		Fee for change of name or address for a motor carrier permit or certificate	Motor carrier	\$25					" "
		Tariff updates	Motor carrier	\$10					" "
		Fee to transfer a passenger certificate	Motor carrier	\$150					" "
		Fee for a duplicate permit or certificate	Motor carrier	\$25					" "
		Annual fee for special permit approved by issuing authority for overweight garbage trucks	Vehicle owner	\$100					Acts 1977, ch. 103
	Motor Vehicle Appropriation	Fee to cover the cost of the motor carrier safety education seminar	Motor carrier	\$200			\$ 7,400	0143	" "
	Reciprocity Fund	REGISTRATION RECIPROCITY					\$ 31,826,716	0841	
		Duplicate credential fee: Replacement cab card	Motor carrier	\$3					IAC: 1-1-2003
		Duplicate credential fee: Replacement plate and cab card, and mailing fee if applicable	Motor carrier	\$10					IAC: 1-1-2003
		Duplicate credential fee: Replacement validation sticker and cab card	Motor carrier	\$3.50					IAC: 1-1-2003
	Road Use Tax Fund	REGISTRATION OF CARRIER AUTHORITY				See also Motor Carrier Authority		0810	
		Registration for carriers transporting commodities exempt from U.S. DOT regulation	Motor carrier	\$25 filing fee and \$1 per vehicle annually (Note: fees are set annually for the Unified Carrier Registration System as specified in 49 U.S.C. § 14504a)					Est. by federal rule for calendar year 2010, as required by UCR Act of 2005, Final rule announced by US DOT on 4-26-2010.
	State Aviation Fund	AERONAUTICS - AIRCRAFT REGISTRATION FEES					\$ 988,218	0950	
		Allows the DOT to assess a reasonable fee for the aeronautics newsletter	NA	None					Acts 1976, ch. 1175

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Department	Budget Unit or Fund Name and Number	Fee Description	Payor of Fee	Fee Amount	Frequency	Number of FY 2010 Payors	FY 2010 Total Revenue	Revenue Deposit Location (Fund)	Year Last Revised
		Inspection fee for site approval of a new airport	Any person or governmental subdivision	Fee based on cost of safety inspection of the site					Acts 1976, ch. 1175
		Registration of aircraft (annual fee):	Aircraft owner	Fee=1% of aircraft list price, not to exceed \$5000					Acts 1998, ch. 1182
		1. Scheduled airline used for interstate operation	Aircraft owner	\$100					
		2. Fixed base operator aircraft under an agreement with an public-owned airport's governing body to provide general public service	Aircraft owner	\$100					Acts 1990, ch. 1063
		3. A helicopter used exclusively as an air ambulance service	Aircraft owner	\$1,000					Acts 1988, ch. 1062
		4. Aircraft that is 30 years old or older and is for personal use	Aircraft owner	\$35					Acts 1988, ch. 1062
		5. All aircraft used for business and aircraft less than 30 years old that is used for personal use only. The following schedule applies:	Aircraft owner						Acts 1988, ch. 1062
		- Manufacturers list X 1% = first year's fee.	Aircraft owner						
		- Manufacturers list X .75% = second year's fee.	Aircraft owner						
		- Manufacturers list X .50% = third year's fee.	Aircraft owner						
		- Manufacturers list X .25% = fourth year and older.	Aircraft owner						
		When an aircraft other than a new aircraft is registered in Iowa, the registration fee shall be based upon the model year of the aircraft. Aircraft shall not be registered for a fee of less than \$35 or more than \$5,000.	Aircraft owner						Acts 1988, ch. 1062
		Application for special use certificate for aircraft manufacturers, transporters, or dealers	Manufacturer, transporter, or dealer	\$100 annual fee					Acts 1990, ch. 1063
		Penalty on delinquent aircraft registration fees	Aircraft owner	Monthly penalty of 5% of registration fees due					Acts 1949, ch. 148
		Biennial Airport Directory		None					Acts 1976, ch. 1175
	Motor Vehicle Fuel Tax Unappor.	INTERSTATE MOTOR FUEL LICENSES AND PERMITS					\$ 18,886,681	0140	
		Permanent International Fuel Tax Agreement (IFTA) permit or license	Motor carrier	\$10					Acts 1984, ch. 1174
		Interstate motor carriers - single-trip interstate motor carrier permit (72 hour)	Motor carrier	\$20					Acts 1997, ch. 108
		Interstate motor carriers - license or temporary permit duplicate	Motor carrier	50 cents					Acts 1967, ch. 288
							\$ 587,441,422		

APPENDIX J

Sample of Budget Unit Schedule 1 and 6

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Schedule 1 Example

The Schedule 1 shows the “decision packages” used by the Executive Branch to arrive at the Department’s annual budget request (dollars and FTE positions) and the Governor’s recommendations for a particular budget unit.

STATE OF IOWA
 Fiscal Year 2012 Annual Budget
 SPECIAL DEPARTMENT: (660) Natural Resources, Department of
 Budget Unit: (5420G720001) GF-Natural Resources Operations
 Schedule 1

Rank	Description	Funding Source	Fiscal Year 2012 Department Request	Fiscal Year 2012 Governor's Recommendations
Base	Maintain essential services associated with natural resource protection, recreation and preservation.	Appropriation FTE	15,600,710 1,145.95	15,600,710 1,145.95
0001	FY 11 Savings reduction for SERIP & EO 20 per SF 2088 rolled into FY 12 and FY 13.	Appropriation	0	-2,152,106
0002	Additional Reductions for FY 12 & FY 13	Appropriation	0	-806,916
Total Budget Unit Funding			Fiscal Year 2012 Department Request	Fiscal Year 2012 Governor's Recommendations
Appropriation			<u>\$ 15,600,710</u>	<u>\$ 12,641,688</u>
Total FTE			1,145.95	1,145.95

Department name & budget unit

Fiscal Year

Base budget and Full Time Equivalent Positions (FTEs)

Adjustments to base budget

Total appropriation and FTEs

A Schedule 1 provides a summary of funding available for a Department. The Schedule shows both General Fund and total funds plus FTE positions. The Schedule shows, by priority, any changes to current law (or budget).

Schedule 6 Example

The Schedule 6 shows all of the resources for a particular budget unit, including appropriations, federal funds, fee revenue, transfers from other agencies,

STATE OF IOWA
Fiscal Year 2012 Annual Budget
SPECIAL DEPARTMENT: (660) Natural Resources, Department of
Budget Unit: (5420G720001) GF-Natural Resources Operations
Schedule 6

	Fiscal Year 2010 Actual	Fiscal Year 2011 Estimated	Fiscal Year 2012 Department Request	Fiscal Year 2012 Governor's Recomm
Resources				
Appropriations				
Appropriation	\$ 17,742,678	\$ 15,600,710	\$ 15,600,710	\$ 12,641,688
Chapter 8.31 Reductions	-1,774,268	0	0	0
FY11 \$83.7M Reductions	0	-2,152,106	0	0
	<u>15,968,410</u>	<u>13,448,604</u>	<u>15,600,710</u>	<u>12,641,688</u>
Receipts				
Federal Support	23,008,564	27,220,655	27,220,655	27,220,655
Intra State Receipts	72,330,256	81,046,834	78,894,728	79,894,728
Refunds & Reimbursements	3,237,767	930,900	930,900	930,900
Agricultural Sales	5,550	0	0	0
Other Sales & Services	3,594	3,500	3,500	3,500
Unearned Receipts	246,020	0	0	0
	<u>98,831,751</u>	<u>109,201,889</u>	<u>107,049,783</u>	<u>108,049,783</u>
Total Resources	\$ 114,800,161	\$ 122,650,493	\$ 122,650,493	\$ 120,691,471
FTE				
	<u>1,019.91</u>	<u>1,145.95</u>	<u>1,145.95</u>	<u>1,145.95</u>
Disposition of Resources				
Personal Services-Salaries	\$ 79,217,267	\$ 84,099,354	\$ 84,099,354	\$ 82,140,332
Personal Travel In State	623,987	1,057,443	1,057,443	1,057,443
State Vehicle Operation	1,939,838	2,133,610	2,133,610	2,133,610
Depreciation	2,066,799	1,377,622	1,377,622	1,377,622
Personal Travel Out of State	132,625	373,295	373,295	373,295
Office Supplies	359,459	575,790	575,790	575,790
Facility Maintenance Supplies	585,588	860,714	860,714	860,714
Equipment Maintenance Supplies	1,143,102	1,216,335	1,216,335	1,216,335

A Schedule 6 provides a detailed budget for all appropriated accounts or Funds under the control of a Department. Receipts include the appropriation, salary adjustment (if applicable), across-the-board reductions, supplemental appropriations, intra-state receipts from other agencies, receipts from local governments, and other receipts, such as fees. Expenditures include all expenses related to the operating budget, such as salary, travel, contracts, etc. Expenditures also include the reversion or balance brought forward.

Budget schedules are available at: <http://www.legis.iowa.gov/LSAReports/relateddocSchedules.aspx>