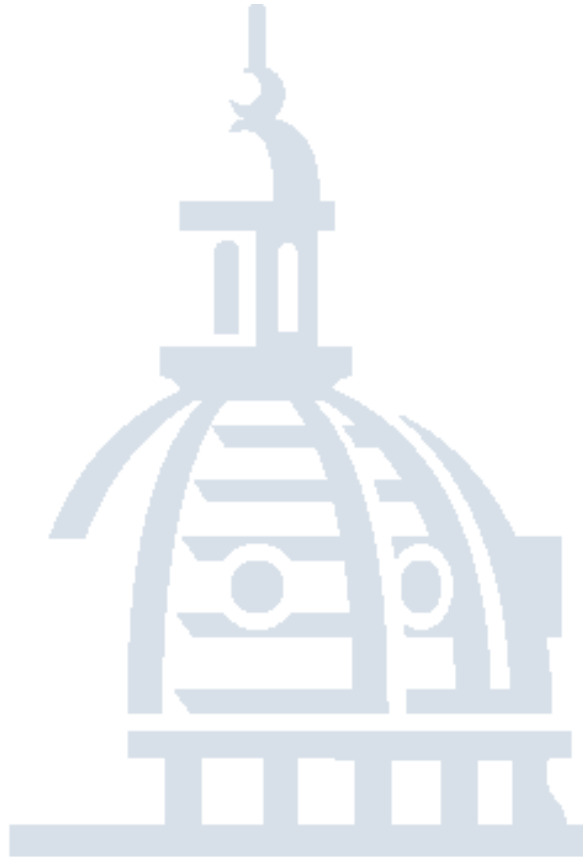


**JUSTICE SYSTEM APPROPRIATIONS
SUBCOMMITTEE
DETAILED ANALYSIS OF THE
FY 2012 GOVERNOR'S RECOMMENDATIONS**



FISCAL SERVICES DIVISION

FEBRUARY 2011



TABLE OF CONTENTS

	Page
Subcommittee Members and Staff	1
Summary of FY 2012 Recommendations	3
Department of Justice	4
Civil Rights Commission	9
Department of Corrections	12
Department of Inspections and Appeals – Office of the State Public Defender	21
Judicial Branch.....	24
Iowa Law Enforcement Academy.....	25
Board of Parole	26
Department of Public Defense.....	28
Department of Public Safety	29
Appendix A – General Fund Tracking	35
Appendix B – Other Funds Tracking	41
Appendix C – FTE positions Tracking	45
Appendix D – Schedules	51
Appendix E – Spreadsheets	271
Appendix F – Fiscal Topics	287
Appendix G – Issue Reviews	303

**JUSTICE SYSTEM
APPROPRIATIONS SUBCOMMITTEE MEMBERS**

SENATE

Tom Hancock, Chairperson
Rob Hogg, Vice Chairperson
James Seymour, Ranking Member
Gene Fraise
Roby Smith

HOUSE

Gary Worthan, Chairperson
Julian Garrett, Vice Chairperson
Todd Taylor, Ranking Member
Rich Anderson
Lee Hein
Lance Horbach
Jerry Kearns
Rick Olson
Mary Wolfe

LEGISLATIVE SERVICES AGENCY

Fiscal Services Division

Jennifer Acton (515-281-7846)
jennifer.acton@legis.state.ia.us
Beth Lenstra (515-281-6301)
beth.lenstra@legis.state.ia.us

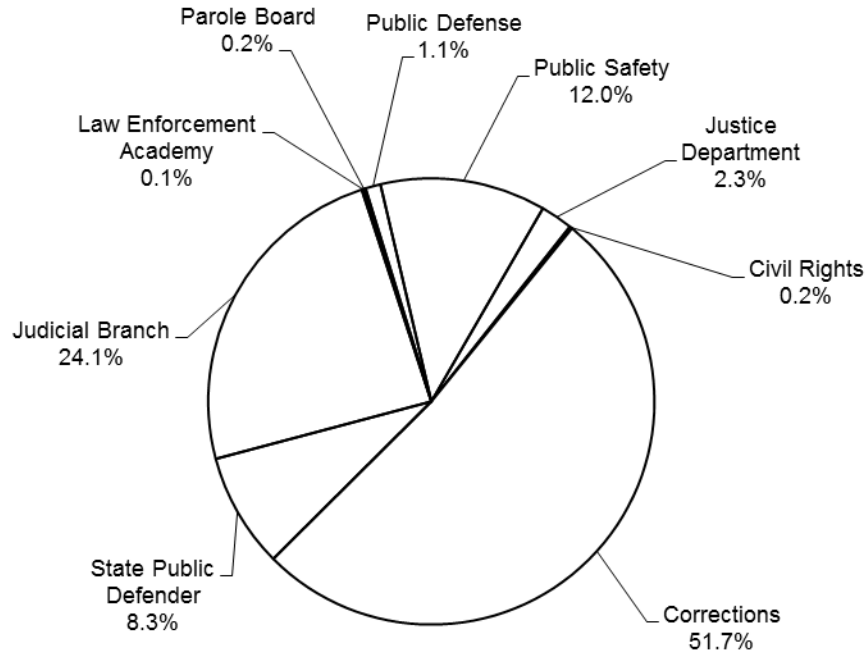
Legal Services Division

Joe McEniry (515-281-3189)
joseph.mceniry@legis.state.ia.us
John Pollak (515-281-3818)
john.pollak@legis.state.ia.us

This page intentionally left blank.

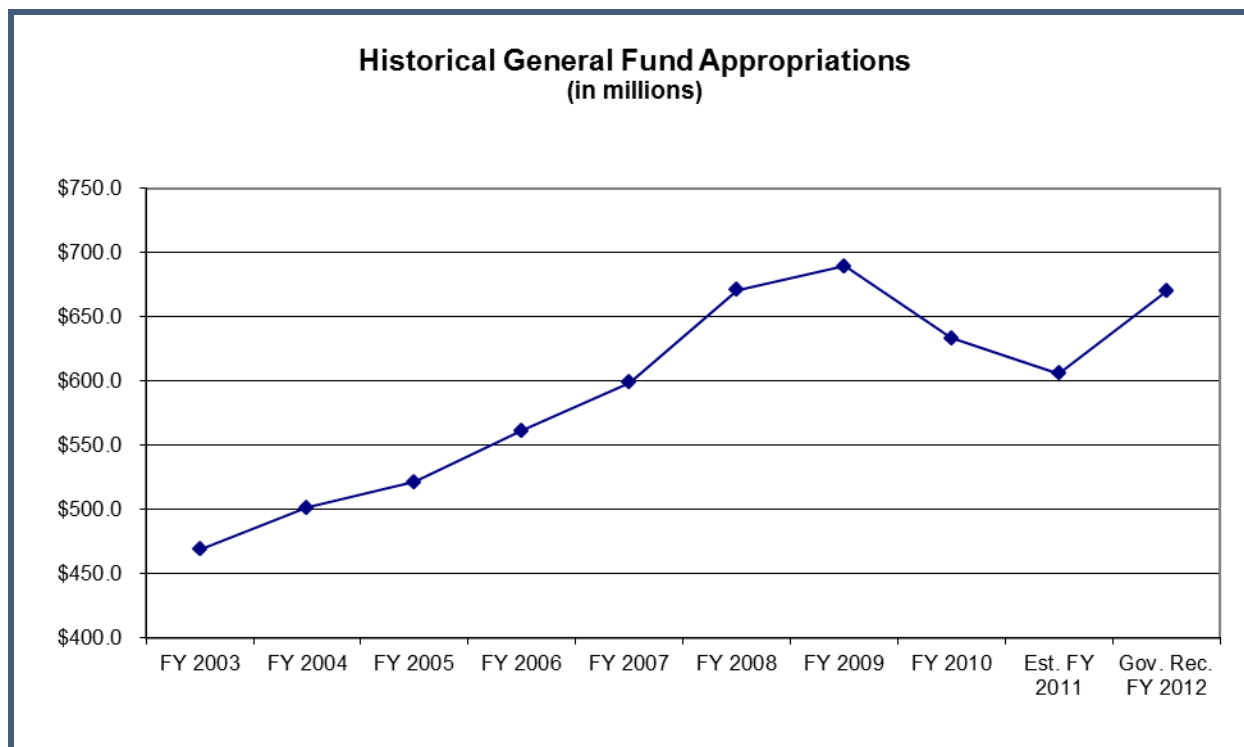
JUSTICE SYSTEM APPROPRIATIONS SUBCOMMITTEE

FY 2012 General Fund Governor's Recommendations



FY 2012 General Fund Governor's Recommendations

Justice Department	\$ 15,620,324
Civil Rights	1,297,069
Corrections	346,327,477
State Public Defender	55,764,111
Judicial Branch	161,400,609
Law Enforcement Academy	868,698
Parole Board	1,053,835
Public Defense	7,363,919
Public Safety	80,439,254
	<u>\$ 670,135,296</u>



FY 2012 GOVERNOR’S RECOMMENDATIONS

Department of Justice

The Attorney General is the head of the Department and is elected by popular vote every four years. The Department is composed of the Attorney General’s Office, Prosecuting Attorney Training Coordinator, and the Consumer Advocate’s Office. The Department represents the State in all litigation, issues formal and informal opinions and advice to State agencies, prosecutes some criminal offenders and handles all criminal appeals, and administers the following programs: Farm Mediation, Crime Victim Assistance, and Legal Services Poverty Grants.

The Governor is recommending FY 2012 General Fund appropriations totaling \$15.6 million. This is an increase of \$2.8 million (22.2%) compared to estimated FY 2011. The increase is due to funding the Office of the Consumer advocate from the General Fund in FY 2012; the Consumer Advocate’s Office was funded from the Department of Commerce Revolving Fund in FY 2011.

General Fund Recommendations

	Estimated FY 2011	Gov Rec FY 2012	Gov Rec vs Est. FY 2011
Justice, Department of			
General Office A.G.	\$ 7,792,930	\$ 7,792,930	\$ 0
Victim Assistance Grants	3,060,000	2,876,400	-183,600
Legal Services Poverty Grants	1,930,671	1,814,831	-115,840
Total Justice, Dept. of	\$ 12,783,601	\$ 12,484,161	\$ -299,440
Consumer Advocate			
Consumer Advocate	\$ 0	\$ 3,136,163	\$ 3,136,163
Total Justice, Department of	\$ 12,783,601	\$ 15,620,324	\$ 2,836,723

Other Fund Recommendations

	Estimated FY 2011	Gov Rec FY 2012	Gov Rec vs Est. FY 2011
Justice, Department of			
Consumer Advocate			
Consumer Advocate - CMRF	\$ 3,336,344	\$ 0	\$ -3,336,344
Total Justice, Department of	\$ 3,336,344	\$ 0	\$ -3,336,344

Issues

Public Safety Enforcement Fund – The Public Safety Enforcement Fund (PSEF) was created in SF 2378 (FY 2011 Justice System Appropriations Act). The Act increased certain traffic fines and is expected to generate an additional \$9.1 million in court receipts, \$1.0 million for local governments, and \$441,000 for the Crime Victim Compensation Fund. The Act directed \$9.1 million in court receipts and \$235,000 in Crime Victim Compensation Fund receipts to the PSEF in FY 2011. The Fund is repealed at the end of FY 2011 under current law.

The Treasurer's Office transferred \$9.3 million in court receipts to the Fund in November 2010. The Judicial and Executive Branches have received the amounts allocated in SF 2378 as follows:

- Judicial Branch - \$5.3 million
- Office of the Attorney General - \$150,000
- Civil Rights Commission - \$100,000
- Department of Public Safety - \$450,000
- Department of Corrections - \$3.3 million

The Office of the Attorney General is using the \$150,000 appropriation to help fund its Area Prosecutions Division which assists county attorneys in prosecuting serious felonies. *With the repeal of the PSEF at the*

end of FY 2011, the Governor is not recommending an FY 2012 General Fund appropriation to replace the \$150,000 allocation to the Office. The Office will receive reduced State funds, when comparing FY 2011 to FY 2012.

Office of the Consumer Advocate – The Office is funded from the Department of Commerce Revolving Fund at \$3.3 million in FY 2011. The Office bills the utility industry for expenses, and the utility industry makes payments to the Department of Commerce Revolving Fund. Current law repeals the Department of Commerce Revolving Fund at the end of FY 2011. *The Governor is recommending making a General Fund appropriation to the Office of \$3.1 million in FY 2012.* This is a decrease of \$200,000 (6.0%) compared to FY 2011 State funding.

Span of Control – Senate File 2088 (Government Reorganization and Efficiency Act) required the span of control ratio to be 1:14 for FY 2011 and 1:15 for FY 2012. The Department is exempt from this provision. However, as of December 2010, the ratio for the Office of the Attorney General is approximately 1:12; the ratio for the Office of the Consumer Advocate is approximately 1:18.

Information Technology (IT) Consolidation – Senate File 2088 required the consolidation of certain IT functions within the Department of Administrative Services (DAS). The Department is exempt from this provision.

FTE Conversions to Contract Positions – Senate File 2088 requires the Department of Management (DOM) to approve the conversion of an FTE position to a contract position if the conversion results in a savings while providing comparable services. The Office of the Attorney General has not converted any positions to contract status. The Office of the Consumer Advocate does retain outside experts on an as-needed basis for rate case reviews, but has not converted FTE positions to contract status.

Vacant FTE Positions Eliminated – Senate File 2088 requires FTE positions vacant for more than six months to be eliminated. The Department of Justice eliminated approximately 9.00 FTE positions during FY 2010 and eliminated approximately 14.00 FTE positions on December 6, 2010. The FTE reduction represents about a 10.00% reduction compared to FY 2009. The DOM has authorized the Department of Justice to maintain 10 vacant FTE positions.

Centralized Purchasing – Senate File 2088 requires agencies to purchase through the DAS, or to seek a waiver from the DAS to purchase directly from a vendor. The Department of Justice is exempt from this provision. However, the Office of the Attorney General and the Office of the Consumer Advocate make purchases through the DAS.

False Claims Act – Senate File 2088 (Government Reorganization and Efficiency Act) created a new Iowa Code Chapter, False Claims Act, that is an attempt to secure a larger recovery for the State (10.0%) under Medicaid fraud actions. The language is broader than Medicaid fraud. The Act provides a procedure for the State and private individuals to bring an action for fraud against a person that may result in financial loss to the government. The State statute must be reviewed by the federal Department of Health and Human Services before it can be implemented. Iowa currently operates under the federal False Claims Act. Senate File 2088 required the Attorney General's Office to file a report annually with the Judiciary Committee, caucus staff, and LSA regarding implementation of the False Claims Act. The Office received a General Fund appropriation of \$60,000 and 1.00 FTE position for enforcement of non-Medicaid related claims made under the provisions of the False Claims Act.

The False Claims Act language is currently being reviewed by the U.S. Department of Health and Human Services. The required report has been received. As of December 2010, there have been no cases filed by the Attorney General, no cases have been filed by qui tam plaintiffs, and no amounts have been recovered by the State. A paralegal was hired by the Office of the Attorney General, anticipating that a portion of her work would involve false claims matters, as the false claims caseload develops.

Identity Theft Passport Program – The Crime Victim Assistance Division of the Office of the Attorney General implemented an Identity Theft Passport Program in July 2010, in compliance with Code Section 715A.9A. An Identity Theft Passport is a document issued by the Office of the Attorney General to persons that have been victims of identity theft. To receive an identity theft passport, a victim must:

- Report the crime of identity theft to local law enforcement.
- Complete the application for an identity theft passport at the law enforcement agency where the crime was reported.
- Provide documentation to local law enforcement in support of the claim of identity theft.
- Provide a current color photograph.

After the victim files an application for an identity theft passport, the law enforcement agency will complete verification for an identity theft passport. Copies of the form, crime report, and all of the documentation from the crime victim will be sent to the Crime Victim Assistance Division of the Office of the Attorney General. The Division will verify the information, review it for eligibility, and issue an identity theft passport to the crime victim.

The Identity Theft Passport may be used by the victim to show:

- To local law enforcement to help prevent false arrest of a victim of identity theft for crimes committed by an identity thief.
- A creditor to aid the creditor in its investigation of fraud.
- A credit reporting bureau and the bureau must accept the passport as an official notice of dispute on credit reports.

Iowa is one of 10 states that issue an Identity Theft Passport. The Program is funded by the Victim Compensation Fund. The Division estimates the Program costs approximately \$10,000 annually. For more information, view the Office of the Attorney General's website.

Notification of Protective Orders

Senate File 2357 (Domestic Violence Offenders and Possession of Firearms Act) requires a temporary order or no-contact order to specifically include notice that the person may be required to relinquish all firearms, offensive weapons, and ammunition upon the issuance of a permanent order.

The Crime Victim Assistance Division of the Office of the Attorney General partnered with the Criminal and Juvenile Justice Planning Division of the Department of Human Rights to create an automated notification system for domestic violence victims. The two agencies developed the Iowa Protective Order Notification for Domestic Abuse (IPONDA) Program to provide automatic notice of delivery and service of a protective orders or seizure of weapons to the victim or petitioner, using the Criminal Justice Information System (CJIS) as the backbone. The federal funding source is stimulus funds from the Office of the Violence Against Women and approximately \$268,000 is dedicated to IPONDA. When fully operational, the Crime Victim Assistance Division will administer the publication, outreach, training, and victim response for the program. The CJIS transmits justice system information, such as that of the Department of Corrections and the Judicial Branch, to other justice agencies in a common format and will assure that IPONDA operates.

FY 2010 Budget Oversight

The Office of the Attorney General received an FY 2010 General Fund appropriation of \$8,592,145 that was reduced by \$859,215 (10.0% Across-The-Board (ATB) reduction). The Office reverted \$5,933 to the

General Fund, or 0.07% of the available appropriation. The Office used 198.15 FTE positions, or 85.2% of authorized FTE positions. The Office did not fill approximately 15 positions due to budget reductions. The Department indicated that the General Fund reductions were achieved by:

- Staggered furloughs based on annual pay. Most employees in the Office of the Attorney General took 13 days of furlough in FY 2010.
- Holding open vacant funded positions.
- Reassigning existing staff to vacant positions funded from other sources.
- Greater reliance on restricted funds under the control of the Department, such as the Consumer Education and Litigation Fund and the Forfeited Property Fund.

The Office's total budget was \$22.9 million, of this \$14.7 million (64.1%) was reimbursed from other agencies or funds. Refer to the Auditor of State's Report on Reimbursements to the Office of the Attorney General for more information. Refer to the report, FY 2011 Budgeted Reimbursements to the Office of the Attorney General for details on state agency and fund reimbursements.

The General Assembly appropriated \$1,954,634 to the Office of the Attorney General for Legal Services Poverty Grants. The Office contracts with Iowa Legal Aid for processing civil cases for indigent Iowans. The majority of the cases are related to housing foreclosures or domestic violence. The appropriation was reduced by \$195,463 (10.0% ATB reduction). Iowa Legal Aid submits an annual report to the Department of Justice summarizing its activities.

The Office of the Consumer Advocate received an FY 2010 Department of Commerce Revolving Fund appropriation of \$3,138,888. The Office had an unspent balance of \$232,342. Since the expenses were not incurred, the utilities industry was not billed. The Office used 19.54 FTE positions, or 72.4% of authorized FTE positions.

Federal ARRA Funds – The Department received American Reinvestment and Recovery Act (ARRA) funds as follows:

- \$498,000 in Victims of Crime Act (VOCA) funds for direct compensation to victims in FY 2009.
- \$593,498 in FY 2010 in VOCA funds for Victim Assistance Grants for victim programs that provide direct services to crime victims in the areas of domestic abuse, sexual abuse, child abuse, and previously under-served victims of crime. An additional \$150,000 in ARRA funding is available in FY 2011.
- \$886,410 in FY 2010 in Violence Against Women Act (VAWA) funds for specialized investigative, prosecution, and court enhancement related to violence against women and support and advocacy services for victims of domestic abuse, dating violence, sexual abuse, and stalking. An additional \$350,000 in ARRA funding is available in FY 2011. The Department received \$2,899 in ARRA funding for administrative costs related to information technology in FY 2010 from VAWA. An additional \$164,000 is available in FY 2011.

Victim Compensation Fund – The Crime Victim Assistance Division administers the Fund. The Fund supports the Crime Victim Compensation Program, Sexual Abuse Examination Program, Victims Assistance Grants, the IowaVINE system, victim service advocate training, and operational and administrative costs of the Division. The Fund was created by the General Assembly in Chapter 915. Please refer to the *Fiscal Topic* [Crime Victim Assistance Division](#) for more information.

Funds available in the Victim Compensation Fund in FY 2011 are \$13.3 million, a decrease of \$394,000 (2.9%) compared to FY 2010. The majority of this decrease is primarily due to delayed receipt of the

FY 2009 VOCA Compensation grant. The FY 2009 award was received in October 2009 after the close of the fiscal year, so it was deposited into the Fund in FY 2010, thereby overstating FY 2010 receipts. Expenditures from the Fund in FY 2011 are expected to decrease by \$372,000 (3.7%).

The year-end balance carries forward to the next fiscal year. The FY 2010 year-end balance of \$3.3 million provides a cash flow mechanism for the Fund. Fund revenue is based on criminal fines and penalties and is difficult to project from year to year. Also, payments for the Crime Victim Compensation Program are the largest expense from the Fund. Both dollar value and number of claims vary annually. The Victim Compensation Fund experienced cash flow problems in FY 2010, as expenses exceeded revenue. In late FY 2010, the cash flow problem was primarily due to delayed receipts from the U.S. Department of Justice for the VOCA Compensation Program. Payments to victims were delayed due to these cash flow issues.

The Department expects approximately \$540,000 annually to be expensed against the Fund for the IowaVINE System. Approximately \$190,000 from an FY 2008 grant award remains available for FY 2011, but cannot be used for operating costs. It may only be used to enhance the IowaVINE system. As more enhancements are made to the IowaVINE system, the more likely it is that operating costs will increase. Please refer to the *Fiscal Topic* [Iowa's Victim Information and Notification Everyday System](#) for additional information.

Senate File 2378 caps the number of FTE positions expensed against the Victim Compensation Fund at 22.0 FTE positions. The Crime Victim Assistance Division utilized 20.83 FTE positions, or 94.7% of the authorized FTE positions. One FTE position was left vacant for the last six months of FY 2010.

Victim Assistance Grants – The Crime Victim Assistance Division provides federal and state funding to service providers for victims of domestic abuse, sexual assaults, and other violent crimes. The Division also provides grants to specialized law enforcement programs and prosecutors to address violence against women. A State General Fund appropriation, combined with federal grants and the Victim Compensation Fund, are used for community domestic abuse and sexual abuse victim service programs. This combination of funding results in approximately \$10.7 million in FY 2011 being distributed to 28 domestic abuse programs, 28 sexual abuse programs, the Iowa Domestic Abuse Hotline, the Sexual Abuse Hotline, four homicide victim survivor programs, and 20 county prosecutor-based victim service coordinators. This is a decrease of \$705,000 (6.2%) compared to FY 2010. Most of the decrease is primarily due to receipt of one-time ARRA funds in FY 2010. *The Governor is recommending \$2,876,400 for Victim Assistance Grants from the General Fund in FY 2012. This is a decrease of \$184,000 (6.0%) compared to estimated FY 2011.*

The Crime Victim Assistance Division received a new victim assistance grant in FY 2010, the Sexual Assault Services Program. This award is from the U.S. Department of Justice, Violence Against Women Office. The funds are required to be used for the provision of direct intervention and related assistance for victims of sexual assault. The Division received \$228,000 in FY 2010 and \$170,000 for FY 2011.

For more information, see the *Fiscal Topic* "[Budget Unit: Office of the Attorney General.](#)"

Civil Rights Commission

The Commission exists to protect the civil rights of all persons throughout Iowa. The Commission's primary functions are to enforce the Iowa Civil Rights Act, investigate and resolve discrimination complaints as a neutral fact finder, advocate for compliance with civil rights laws, and educate and train Iowans to recognize and prevent discrimination.

The Governor is recommending an FY 2012 General Fund appropriation of \$1.3 million. This is a decrease of \$38,000 (2.9%) compared to estimated FY 2011.

General Fund Recommendations

	<u>Estimated FY 2011</u>	<u>Gov Rec FY 2012</u>	<u>Gov Rec vs Est. FY 2011</u>
<u>Civil Rights Commission</u>			
Civil Rights Commission	\$ 1,335,282	\$ 1,297,069	\$ -38,213
Total Civil Rights Commission	<u>\$ 1,335,282</u>	<u>\$ 1,297,069</u>	<u>\$ -38,213</u>

Issues

Mid-Year Reduction – Estimated FY 2011 includes a mid-year reduction of \$45,000, resulting from provisions of HF 2531 (FY 2011 Standing Appropriations Act).

Cash Reserve Fund Transfer – In December 2010, Governor Culver approved a transfer from the Cash Reserve Fund to backfill some of the mid-year reductions. The Civil Rights Commission received \$45,000 from this transfer, resulting in no mid-year reduction. Transfers are not reflected in the LSA’s appropriations tracking.

Public Safety Enforcement Fund – The Civil Rights Commission was allocated \$100,000 and applied it to salaries to maintain current staff in FY 2011. *With the repeal of the PSEF at the end of FY 2011, the Governor is not recommending an FY 2012 General Fund appropriation to replace \$100,000 allocation to the Commission.* The Commission will receive reduced State funds, when comparing FY 2011 to FY 2012.

Senate File 2088 (Government Reorganization and Efficiency Act) had several requirements that impacted all State agencies. Below is an update of the legislation’s impact on the Civil Rights Commission:

Span of Control – Senate File 2088 required the span of control ratio to be 1:14 for FY 2011 and 1:15 for FY 2012. As of December 2010, the ratio for the Civil Rights Commission is 1:14. The Commission was granted a waiver from the DAS to account for the proposed hiring of a Public Services Executive position.

Vacant FTE Positions Eliminated – Senate File 2088 requires FTE positions vacant for more than six months to be eliminated. The Commission has not eliminated any FTE positions as of December 2010.

Salary Restrictions – Senate File 2088 requires that the amount budgeted for salaries may only be used for FTE positions. The loss of flexibility in realigning the budget may hinder the Commission’s ability to comply with two federal contracts – the Equal Employment Opportunity Commission (EEOC) and the Department of Housing and Urban Development (HUD). Realigning the budget may permit hiring temporary staff on an as-needed basis to complete temporary contractual requirements and related costs.

Other Legislation

Non-Profit Organization – Senate File 2378 (FY 2011 Justice System Appropriations Subcommittee Act) permitted the Iowa Civil Rights Commission to enter into a contract with a non-profit organization for legal assistance. The Commission contracts with Iowa Legal Aid to assist in preliminary screening and referral of cases. Iowa Legal Aid screens cases as to those that should be filed, and those that should not.

FY 2010 Budget Oversight

The Civil Rights Commission received an FY 2010 General Fund appropriation of \$1,533,179 that was reduced by \$153,318 (10.0% ATB reduction). The Commission reverted \$12,500 to the General Fund, or

0.9% of the available appropriation. The Commission used 29.86 FTE positions, or 101.2% of authorized FTE positions. The FTE cap was exceeded because the use of interns accrued time against the cap.

The Commission achieved the FY 2010 General Fund reduction by:

- Reallocating approximately \$121,000 in General Fund expenditures to federal funds in FY 2010.
- Reducing the support budget and restructuring the job duties of existing staff.
- Working with law students, retired lawyers, and VISTA members to manage the workload.

Notice of Appropriation Transfers – On December 23, 2009, the LSA received notice that \$30,615 was being transferred from the Civil Rights Commission from furlough and deferred compensation savings to the General Fund to implement the Governor’s recommendation to backfill funds reduced due to the 10.0% across-the-board reduction implemented on October 9, 2009.

On August 19, 2010, the LSA received notice that \$47,100 was being transferred into the Civil Rights Commission from the Department of Public Defense, Military Division. The transfer was requested due to a delay in reimbursement from the EEOC. The Military Division had funds available to transfer because of fewer than budgeted State active duty days for the Iowa National Guard.

Federal Funds – The Commission’s total budget was \$2,636,654, of this \$1,016,838 (38.6%) was federal funds through the EEOC or HUD in FY 2010. For FY 2011, federal funds are projected to be \$1,036,582, or 39.6% of the total budget.

The EEOC funds were \$587,000 in FY 2010 while FY 2011 is budgeted at \$652,400, an increase of \$65,400 (11.1%). The Civil Rights Commission received \$429,838 from HUD in FY 2010, and has budgeted \$384,182 for FY 2011. This is a projected decrease of \$45,656 (10.6%).

Attendance at mandatory training is required by both EEOC and HUD contracts. Funding for the training comes directly out of federal funds. Failure to attend training jeopardizes contracts and will reduce federal receipts.

Supreme Court Case – The Supreme Court filed an opinion on June 4, 2010, regarding the case of *Melissa Lee Renda vs. the Iowa Civil Rights Commission*. Ms. Renda was an inmate at the Mount Pleasant Correctional Facility and filed a complaint with the Civil Rights Commission alleging sexual harassment and retaliation in her employment and housing. The Supreme Court affirmed the Civil Rights Commission’s decision that it did not have jurisdiction to hear Ms. Renda’s claim for civil rights violations regarding housing.

The Supreme Court ruled that an inmate may be considered an employee for purposes of the Civil Rights Act. The Office of the Attorney General’s request for a rehearing was denied. The ruling may have a significant fiscal impact due to increased workload on the Civil Rights Commission, the Department of Corrections, and local jails. Agencies may seek a legislative solution.

Statistics – The Commission closed 1,953 cases in FY 2010, an increase of 116 (6.3%) compared to FY 2009. Additionally, the average number of days to process a case decreased by 47.63 days (16.0%) during the same period. The increase in case closures and decrease in processing time was primarily due to expanded capacity by partnering with retired attorneys, Iowa Legal Aid, Drake and University of Iowa law school students, and community-based civil rights groups to assist in case intake, mediation, testing, screening, and investigation of cases.

For additional information, see the *Fiscal Topic* “[Budget Unit: Iowa Civil Rights Commission.](#)”

Department of Corrections

The Department's mission is to protect the public, employees, and offenders from victimization. The Department operates nine prisons to incarcerate legally-committed adult offenders; partners with eight Community-Based Corrections (CBC) District Departments to provide supervision for offenders on parole, probation, work release, and Operating While Intoxicated (OWI) offenses; provides opportunities for offenders to make improvements through various educational, skill development, and counseling programs; trains professional staff; operates Iowa Prison Industries; and provides oversight of local jails.

The Governor is recommending FY 2012 General Fund appropriations totaling \$346.3 million. This is an increase of \$25.7 million (8.0%) compared to estimated FY 2011. This is an increase of \$11.5 million (3.4%) compared to FY 2011 when the Governor's recommended supplemental appropriation is included.

General Fund Recommendations

	<u>Estimated FY 2011</u>	<u>Gov Rec FY 2012</u>	<u>Gov Rec vs Est. FY 2011</u>
<u>Corrections, Dept. of</u>			
CBC District 1			
CBC District I	\$ 11,526,745	\$ 12,020,098	\$ 493,353
CBC District 2			
CBC District II	\$ 9,976,036	\$ 10,336,948	\$ 360,912
CBC District 3			
CBC District III	\$ 5,280,086	\$ 5,599,765	\$ 319,679
CBC District 4			
CBC District IV	\$ 5,222,288	\$ 5,391,355	\$ 169,067
CBC District 5			
CBC District V	\$ 17,683,492	\$ 18,742,129	\$ 1,058,637
CBC District 6			
CBC District VI	\$ 12,249,424	\$ 13,112,563	\$ 863,139
CBC District 7			
CBC District VII	\$ 6,227,383	\$ 6,492,814	\$ 265,431
CBC District 8			
CBC District VIII	\$ 6,553,064	\$ 6,731,055	\$ 177,991
Central Office			
Corrections Administration	\$ 4,126,852	\$ 4,835,542	\$ 708,690
Iowa Corrections Offender Network	424,364	424,364	0
County Confinement	775,092	775,092	0
Federal Prisoners/Contractual	239,411	239,411	0
Corrections Education	1,558,109	2,308,109	750,000
Hepatitis Treatment And Education	167,881	167,881	0
Mental Health/Substance Abuse	22,319	22,319	0
Total Central Office	<u>\$ 7,314,028</u>	<u>\$ 8,772,718</u>	<u>\$ 1,458,690</u>
Fort Madison			
Ft. Madison Institution	\$ 36,533,518	\$ 41,031,283	\$ 4,497,765
Anamosa			
Anamosa Institution	\$ 28,270,794	\$ 31,985,974	\$ 3,715,180
Oakdale			
Oakdale Institution	\$ 52,614,899	\$ 55,600,610	\$ 2,985,711
Newton			
Newton Institution	\$ 24,599,293	\$ 25,958,757	\$ 1,359,464
Mt Pleasant			
Mt. Pleasant Inst.	\$ 24,191,645	\$ 25,917,815	\$ 1,726,170
Rockwell City			
Rockwell City Institution	\$ 8,666,658	\$ 9,316,466	\$ 649,808

	Estimated FY 2011	Gov Rec FY 2012	Gov Rec vs Est. FY 2011
<u>Corrections, Dept. of, cont.</u>			
Clarinda			
Clarinda Institution	\$ 21,835,677	\$ 24,639,518	\$ 2,803,841
Mitchellville			
Mitchellville Institution	\$ 14,779,174	\$ 15,615,374	\$ 836,200
Fort Dodge			
Ft. Dodge Institution	\$ 27,148,125	\$ 29,062,235	\$ 1,914,110
Total Corrections, Dept. of	\$ 320,672,329	\$ 346,327,477	\$ 25,655,148

Significant changes include:

- A transfer of \$120,000 and 2.0 FTE positions to transfer a secretary and administrative assistant from Central Office to the Iowa Medical and Classification Center at Oakdale. This recommendation is revenue neutral.
- \$14.2 million to annualize the recommended FY 2011 supplemental appropriation. This recommendation partially restores the DOC's portion (\$23.3 million) of the mid-year reduction.
- \$3.3 million to replace the FY 2011 PSEF appropriation with a General Fund appropriation in FY 2012.
- \$5.0 million to fund currently filled positions across the corrections system. There is no increase in FTE positions because the positions are authorized and filled.
- \$976,000 to fund items previously funded from the Telephone Rebate Fund. *The Governor is recommending \$750,000 for offender education, as well as \$150,000 for legal counsel and \$76,000 for coordination of religious counseling.*
- \$2.1 million for 40 correctional officers. This includes \$1.05 million and 20.0 FTE positions each for Anamosa and Clarinda.
- A reduction of 135.0 FTE positions to reflect positions eliminated through the SERIP.

Issues

Mid-Year Reduction – Estimated FY 2011 includes mid-year reductions totaling \$23.3 million, resulting from provisions of HF 2531 (FY 2011 Standing Appropriations Act). The reductions affected most, but not all, of the Department's budget units. The actual reductions by budget unit are shown in the General Fund appropriations tracking section of this document. The Governor's recommendation for an FY 2011 supplemental appropriation includes the partial restoration of this reduction.

Cash Reserve Fund Transfer – In December 2010, Governor Culver approved a transfer from the Cash Reserve Fund to backfill some of the mid-year reductions. The Department of Corrections (DOC) received \$1.1 million from this transfer, resulting in a net mid-year reduction of \$22.3 million. Transfers are not reflected in the LSA's appropriations tracking. The transfer partially backfilled the following appropriations:

- First CBC District Department - \$30,000, resulting in a net reduction of \$8967,000

- Second CBC District Department - \$27,000, resulting in a net reduction of \$768,000
- Third CBC District Department - \$16,000, resulting in a net decrease of \$419,000
- Fourth CBC District Department - \$13,000, resulting in a net decrease of \$287,000
- Fifth CBC District Department - \$53,000, resulting in a net decrease of \$1.2 million
- Sixth CBC District Department - \$34,000, resulting in a net decrease of \$747,000
- Seventh CBC District Department - \$19,000, resulting in a net decrease of \$600,000
- Eighth CBC District Department - \$14,000, resulting in a net decrease of \$368,000
- Central Office - \$8,800, resulting in a decrease of \$118,000
- Fort Madison – \$135,000, resulting in a decrease of \$3.3 million
- Anamosa - \$93,000, resulting in a decrease of \$2.1 million
- Oakdale - \$171,000, resulting in a decrease of \$3.0 million
- Newton - \$79,000, resulting in a decrease of \$1.8 million
- Mount Pleasant - \$95,000, resulting in a decrease of \$2.0 million
- Rockwell City - \$29,000, resulting in a decrease of \$629,000
- Clarinda –\$83,000, resulting in a decrease of \$1.7 million
- Mitchellville - \$38,000, resulting in a decrease of \$669,000
- Fort Dodge - \$84,000, resulting in a decrease of \$1.8 million

FY 2011 Supplemental Appropriation – *The Governor is recommending an FY 2011 General Fund supplemental appropriation of \$14.2 million for the nine prisons, eight CBC District Departments, and Central Office. The recommendation partially offsets the mid-year reduction of \$23.3 million. The remainder of the mid-year reduction will be met through State Employee Retirement Incentive Program (SERIP) savings. However, there is a remaining FY 2011 budget gap of \$5.0 million to fund currently filled positions within the corrections system. There are 83.3 FTE positions in the areas of security, treatment, medical, and support (food services, maintenance, and administration) that are filled but not funded. The one-time allocation of \$1.1 million from the Cash Reserve Fund will be applied towards this budget gap.*

Public Safety Enforcement Fund – *The DOC and CBC District Departments received an FY 2011 allocation of \$3.3 million and used it to maintain 42.0 FTE positions. The Governor is recommending the replacement of the FY 2011 PSEF with an FY 2012 General Fund appropriation of \$3.3 million as follows:*

- First CBC District Department – \$100,000 and 1.0 FTE position for a polygrapher for the Sex Offender Treatment Program.
- Fifth CBC District Department – \$335,000 and 3.0 FTE positions for one Secretary and two Probation/Parole Officer III’s for Drug Court. The funding is split \$209,024 for salaries and \$125,976 for support costs.
- Sixth CBC District Department – \$403,000 and 3.0 FTE positions for Probation/Parole Officer II positions. The funding is split \$155,000 for Drug Court contracts and \$247,810 for three positions.
- Iowa State Penitentiary at Fort Madison – \$1.5 million and 21.0 FTE positions for the general operating budget.

- Anamosa State Penitentiary - \$1.0 million. Of this amount, \$837,000 and 10.0 FTE positions supplement the operating costs of the Luster Heights Prison Camp and \$210,000 fund four correctional officers at the Anamosa State Penitentiary.

Luster Heights Prison Camp – Senate File 2378 (FY 2011 Justice System Appropriations Act) required the Camp to have two part-time registered nurses and seek volunteer licensed medical personnel to serve at the facility. The Camp is required to have one full-time substance abuse counselor for a certified substance abuse treatment program, and to operate the facility at its 88-bed capacity. The DOC is required to place offenders at the Luster Heights Prison Camp that have been approved by the Board of Parole for work release and are on a waiting list for a CBC bed, as long as the placement does not prevent another offender from receiving substance abuse treatment.

The Camp does not currently have two part-time registered nurses at Luster Heights. The Camp utilizes nurses from the Anamosa State Penitentiary, which meets medical needs for the offender population. The Luster Heights Prison Camp has three staff members that are certified substance abuse counselors. They conduct substance abuse classes in addition to other group counseling sessions and maintain a caseload. The substance abuse treatment program at Luster Heights is certified. The Camp has been operating at or near capacity since the start of FY 2011. Work release offenders have been going to Luster Heights to await transfer to a halfway house. The current budget for the Luster Heights Prison Camp is \$1.5 million and 17.0 FTE positions. Funding includes \$654,000 from the General Fund and \$846,000 from the PSEF in FY 2011. *With the repeal of the PSEF at the end of FY 2011, the Governor is recommending the replacement of the FY 2011 PSEF allocation with an FY 2012 General Fund appropriation.*

Inmate Education – The General Fund appropriation for inmate education peaked in FY 2001 at \$3.3 million. The FY 2011 General Fund appropriation is \$1.6 million, a decrease of \$1.7 million (52.7%) compared to FY 2001. Since FY 2001, the DOC has relied on other funding sources, such as carryover funding from the previous year's appropriation, Institution Canteen receipts, and the Telephone Rebate Fund to provide adult basic education, high school equivalency courses, and vocational courses through the community college system. Senate File 2378 (FY 2011 Justice System Appropriations Act) requires the DOC to transfer at least \$300,000 from the Canteen Funds to the Corrections Education Account. However, the Telephone Rebate Fund may not be able to maintain the \$750,000 allocation for education due to declining receipts. Literacy is a significant problem that limits employment for the inmate population, and the DOC has focused educational resources on literacy for the past several years. Research suggests that inmate education increases employability of released inmates and reduces recidivism. Vocational education is provided primarily in conjunction with Prison Industries. *The Governor is recommending the replacement of the FY 2011 Telephone Rebate Fund allocation with an FY 2012 General Fund appropriation of \$750,000.*

Telephone Rebate Fund – The DOC has been relying on the Telephone Rebate Fund to supplement the inmate education budget (\$750,000), fund a legal services contract with the State Public Defender's Office (\$150,000), and provide a religious coordinator for the corrections system (\$76,000). Receipts to the Fund have been declining. The Board of Corrections approved \$750,000 for inmate education in FY 2011. The other expenditures are being allocated to the Institutions. *The Governor is recommending the replacement of the FY 2011 Telephone Rebate Fund allocation with an FY 2012 General Fund appropriation of \$226,000 for legal services and coordination of religious services.*

Prison Staffing – *The Governor is recommending \$2.1 million and 40.0 FTE positions to fund and fill 20 correctional officers at Anamosa and 20 correctional officers at Clarinda. As of January 26, 2011, Anamosa was operating at 127.6% of design capacity, while Clarinda was operating at 116.1% of design capacity.*

Expiration of Federal Grants – The CBC District Departments are projecting that \$1.5 million and 15.3 FTE positions will be eliminated due to the expiration of federal grants. Programs impacted include Drug Courts, offender re-entry, Intensive Supervision, Youthful Offender Program, Weed and Seed, Project Safe Neighborhoods, CHoOSE Program, and job development. *The Governor is not recommending any funds for these items.*

Operating Costs for New Beds – It is estimated \$6.3 million and 83.9 FTE positions are needed for staffing new facilities in the CBC District Departments. In addition, \$1.9 million and 32.0 FTE positions are indicated for transitional planning at Fort Madison and Mitchellville. It is likely construction will be completed on the CBC beds in FY 2013. *The Governor is not recommending any funds for these facilities in either FY 2012 or FY 2013.*

Forensic Psychiatric Hospital – The DOC indicates \$1.1 million and 20.0 FTE positions would address staffing issues of the Forensic Psychiatric Hospital at Oakdale. While patient count fluctuates daily, the trend is to use the beds for civilians rather than convicted offenders. *The Governor is not recommending any additional funds for the hospital.*

Reduce Board of Corrections Meetings – Senate File 2088 (Government Reorganization and Efficiency Act) permitted the Board of Corrections to meet quarterly. The Board continues to meet monthly and may consider realignment of future meetings.

Close Farms One and Three at Fort Madison – Senate File 2088 required the closure of Farm One by July 1, 2010, and Farm Three by January 1, 2011. The DOC decreased its designed capacity by 180 beds to reflect the closures of Farms 1 and 3 on July 20, 2010. The FY 2011 appropriation to the DOC for the Fort Madison Correctional Facility included a reduction of \$1,451,000 to reflect the closure of the Farms.

Sale of Assets – The Department of Administrative Services (DAS) was required by SF 2088 to conduct a survey of State-owned land and leases and provide a report to the General Assembly by January 15, 2011. The DAS completed the land inventory as required. The Department of Human Services identified two small parcels of less than five acres each that could be sold. These parcels are at Independence (old sewer plant) and Glenwood (approximately two acres). Easements would be required on at least one of the parcels. The DOC indicated it has no farm land available for sale. For additional information, see the LSA *Issue Review* titled "[Proposed Sale of State Farm Ground.](#)"

New Disciplinary Fee – Senate File 2088 (Government Reorganization and Efficiency Act) permitted the DOC to impose a fee for the filing of a major disciplinary report when an inmate is found guilty. Receipts from the fee are deposited in the General Fund. The DOC does not intend to implement the fee. The proposed fee was estimated to generate \$6,000 for the General Fund. The receipts will not be realized.

Pharmacy – Senate File 2088 required the DHS and other agencies to review and develop strategies for pharmaceutical improvements. A report is not required. There has not been any meeting held between the DOC and any other state Agency as of December 2010. The agencies have different funding streams and guidelines from other state and Federal areas that affect the way they handle their funding. The DOC is more likely to have success in the near future with efficiencies in other related areas—Community-Based Corrections, county jails, and other similar programs. The DOC continues to implement the Central Pharmacy project.

The DOC costs for drugs and biologicals include both prescription and over-the-counter medications. The DOC costs have increased 67.1% from FY 2005 to FY 2010. However, costs for drugs and biologicals have decreased in FY 2009 (\$113,000) and FY 2010 (\$1.2 million) primarily due to creating and adhering to a formulary (list of medication choices available to physicians). Approximately 54.5% of the cost of the

Justice System Appropriations Subcommittee

drugs and biological line item were for mental health medications. For additional information, see the LSA *Issue Review* titled "[Department of Corrections Centralized Pharmacy](#)."

CBC Administration – Senate File 2088 (Government Reorganization and Efficiency Act), as amended by SF 2378, requires CBC District Departments to report their financial data using the State budget system. The Department of Management (DOM) and the DOC worked with the LSA to consider various options for reporting CBC data. Iowa Code Chapter 905 requires the CBC District Departments to provide minutes of their board meetings and quarterly and year-end financial statements to the LSA. That Chapter also requires CBC District Departments to use the State budget system. Please refer to the *Fiscal Topic* "[Community Based Corrections](#)" for more information regarding the administrative structure of Iowa's CBC system.

Span of Control – Senate File 2088 required the span of control ratio to be 1:14 for FY 2011 and 1:15 for FY 2012. As of December 2010, the ratio for the DOC is 1:13.53 overall. The span of control ranges from a low of 1:5.13 in Central Office to 1:17.43 at the Clarinda Correctional Facility.

Span of Control as of February 4, 2011			
	Total Staff	Supervisor Count	Current Span of Control
CBC District 1	182	13	14.00 to 1
CBC District 2	157	11	14.27 to 1
CBC District 3	77	7	11.00 to 1
CBC District 4	63	4	15.75 to 1
CBC District 5	260	17	15.29 to 1
CBC District 6	208	13	16.00 to 1
CBC District 7	92	7	13.14 to 1
CBC District 8	98	7	14.00 to 1
CBC Total	1,137	79	14.34 to 1
Central Office	41	8	5.13 to 1
Fort Madison	464	32	14.50 to 1
Anamosa	321	28	11.46 to 1
Oakdale	552	37	14.91 to 1
Newton	291	24	12.12 to 1
Mount Pleasant	290	21	13.80 to 1
Rockwell City	101	11	9.18 to 1
Clarinda	279	16	17.43 to 1
Mitchellville	185	14	13.21 to 1
Fort Dodge	296	22	13.45 to 1
Institution Total	2,779	205	13.55 to 1
Corrections Total	3,957	292	13.55 to 1

Information Technology (IT) Consolidation – Senate File 2088 required the consolidation of certain IT functions within the DAS. The DOC estimates an annual additional expense of \$275,779 across the CBC District Departments, Central Office, and Institutions.

FTE Conversions to Contract Positions – Senate File 2088 requires the DOM to approve the conversion of an FTE position to a contract position if the conversion results in a savings while providing comparable services. The DOC and CBC District Departments have not converted any positions as of December 2010.

Vacant FTE Positions Eliminated – Senate File 2088 requires FTE positions vacant for more than six months to be eliminated. The DOC had no positions that were vacant for six months or more. Since July 1, 2010, the DOC deleted 430 positions (295 in June 2010 and 135 to SERIP (early retirement) savings). As of November 25, 2010, the DOC had 88 funded vacant positions remaining. This is a normal inventory of vacant positions as the DOC has averaged 8 vacant positions per pay period since FY 2003.

Centralized Purchasing – Senate File 2088 requires agencies to purchase through the DAS, or to seek a waiver from the DAS to purchase directly from a vendor. The DOC purchases through the DAS and has not sought any waivers. The CBC District Departments may or may not purchase from State contracts and do not reimburse the DAS.

Telemedicine – Executive Order 20 (EO 20) required the use of telemedicine to be reinstated between the University of Iowa Hospitals and Clinics (UIHC) and the DOC. The FY 2011 General Fund appropriation to the Iowa Medical Classification Center at Oakdale included a reduction of \$200,000 to reflect the proposed savings associated with the reinstatement. The DOC is working cooperatively with the UIHC to implement telemedicine by disciplinary function. Urology has been implemented, orthopedics is in process, and endocrinology is in the planning stages. Plans for additional expansion of telemedicine services include digestive diseases, oncology and pulmonary disciplines.

Telejustice – Iowa Code 903A.1 requires the DOC to employ independent Administrative Law Judges (ALJs) for inmate disciplinary procedures involving any loss of earned time. There were eight ALJs in FY 2010 to hold disciplinary hearings for the nine prisons and 20 CBC residential facilities. Offenders on parole, work release, or Operating While Intoxicated (OWI) status are also entitled to procedural due process, for example, proper notice, a hearing, and an ALJ for any loss of earned time. The DOC currently has four ALJs on staff and is in the process of hiring a fifth. The DOC is planning to deploy telejustice equipment, policies, and procedures to increase efficiencies within the hearing process. The number of post-conviction relief proceedings related to prison disciplinary matters filed in district court remains consistent with previous years. Post-conviction relief proceedings related to participation in the Sex Offender Treatment Program (SOTP) under Iowa Code Section 903A.2(1)(a) increased substantially with the enactment of HF 619 (2005 Sex Offender Act), and it appears these proceedings will continue in the future. These post-conviction relief actions impact the workload of the Judicial Branch, Attorney General's Office, State Public Defender's Office, as well as the DOC. Additionally, a recent Supreme Court case impacts the workload of the ALJs as well.

FY 2010 Budget Oversight

The DOC and CBC District Departments received an FY 2010 General Fund appropriation of \$356,597,548 that was reduced by \$35,698,346 (10.0% ATB reduction). The General Assembly made FY 2010 supplemental appropriations of \$7,861,370 for FY 2010, resulting in a net total appropriation of \$328,700,839. The DOC reverted \$455 to the General Fund at the end of FY 2010 from Central Office. The DOC and CBC District Departments used 4,065.68 FTE positions, or 90.6% of authorized FTE positions. This calculation is based on all funding sources, including revolving funds. For additional information, see the LSA *Issue Review* titled "[State Prison System Budget](#)".

Supplemental Appropriations – Senate File 2366 (FY 2010 Supplemental Appropriations Act) provided \$7,861,370 to the DOC Institutions and CBC District Departments, with a provision that the ending balance could carry forward to FY 2011. The total balance brought forward to FY 2011 was \$6,760,360. Of

Justice System Appropriations Subcommittee

this amount, \$3,563,427 was carried forward by the Institutions while \$3,113,769 was carried forward by the CBC District Departments.

FY 2010 Balances Carried Forward – Senate File 475 (FY 2010 Justice System Appropriations Act) contained language permitting the FY 2010 General Fund ending balance for the Corrections Education appropriation to carry forward to FY 2011. The DOC carried forward \$83,164 to be used solely for inmate education.

The eight CBC District Departments negotiate with the DOC to carry forward local funds from one fiscal year to the next fiscal year. In addition to the General Fund appropriations brought forward (\$1,602,919) six of the eight CBC District Departments brought forward \$1,510,850 in local funds.

Notice of Appropriation Transfer – On December 23, 2009, the LSA received notice that a net of \$6,085,160 was being transferred into the DOC and CBC District Departments from savings generated by furlough and deferred compensation savings. These funds partially offset the 10.0% across-the-board reduction implemented on October 9, 2009.

Notice of Reallocations – The table below shows \$739,458 was reallocated within the corrections system. Of this amount, \$664,458 was transferred from the Oakdale Correctional Facility from salary savings due to vacant positions. Rockwell City had unexpected medical costs. The Federal Bureau of Prisons increased its per diem for holding incorrigible State inmates. The County Confinement Account reimburses counties for holding alleged violators of conditions of release for parole, work release, and Operating While Intoxicated (OWI) status. The DOC reimburses counties up to \$50 per day per offender.

The Sixth CBC District Department had funds available to transfer due to an unexpected increase in local income. The Seventh CBC District Department experienced a decrease in local rent income.

FY 2010 Reallocations

Date	Transfer From	Transfer To	Amount
12/23/2009	Oakdale	Backfill Corrections	\$156,598
6/21/2010	Oakdale	Rockwell City	145,000
	6th CBC District Department	7th CBC District Department	75,000
	Oakdale	Federal Prisoner Reimbursement	230,105
8/20/2010	Oakdale	County Confinement	132,755
			<u>\$ 739,458</u>

FY 2010 Budget Reductions – The 10.0% across-the-board reduction for the DOC was \$35.7 million for General Fund appropriations. The Department indicated that the General Fund reductions were achieved as follows:

- \$11.4 million in vacant positions were not filled.
- \$3.7 million in savings from furloughs. Noncontract staff was mandated by the Governor to take seven furlough days. Contract staff agreed to take five furlough days.
- \$1.0 million by eliminating the State's deferred compensation match.
- \$2.5 million by laying off 69 positions. These were non-contract and IUP positions.

- \$6.1 million transferred from other agencies and from internal reallocations.
- \$722,000 in support budget reductions.
- \$7.9 million as a supplemental appropriation.
- \$2,378,000 generated through holding positions vacant and deferred maintenance and purchasing.

Federal ARRA Funds – As of the print date of this publication, the Department does not expect to receive any additional federal ARRA funds in FY 2011. The Department received \$14.0 million in ARRA funds in FY 2010. These funds were used for staff and support of security needs.

Prison Population – The Criminal and Juvenile Justice Planning Division (CJJPD) of the Department of Human Rights recently issued its 10-year prison population forecast. If policies and practices remain the same, the prison population is projected to reach approximately 10,409 inmates by June 30, 2020, an increase of 21.0% over the next ten years.

By FY 2020 and without any additional prison beds, overcrowding is expected to reach 135.8% of design capacity. This figure is based on the assumption that the additional beds authorized during the 2008 Legislative Session for Fort Madison and Mitchellville will be operating by FY 2020. If the population reaches 10,409 inmates, two additional 800-bed prisons will need to be built, in addition to the expansions authorized at Fort Madison and Mitchellville. If two additional prisons are built over the next decade, projected design capacity will be 9,266 beds with a projected population of 10,409 offenders; the prison system would be operating at 112.3% of capacity. The cost of one 800-bed prison with a mix of medium and minimum custody levels is approximately \$85.0 million in construction costs; construction of two such prisons would be approximately \$170.0 million. Operating costs are estimated to be at least \$30.0 million annually per prison.

Refer to the CJJPD's full report "[Iowa Prison Population Forecast FY 2010 – 2020](#)" for additional information. For additional information regarding corrections capacity, see the LSA *Issue Review* titled "[Corrections Construction and Capacity](#)".

Supreme Court Case – The Supreme Court filed an opinion on June 11, 2010, regarding the case of *John Dykstra vs. the Iowa District Court for Jones County, 783 N.W.2d 473 (Iowa 2010)*. Mr. Dykstra was an inmate at the Mount Pleasant Correctional Facility, and the DOC required him to participate in the Sex Offender Treatment Program (SOTP). He refused, and consequently the DOC determined he was no longer eligible to accrue earned time sentence credits under Iowa Code Section 903A.2(1)(a). The offender filed a post-conviction relief action challenging the DOC's lack of procedural due process before denying him the earned time sentence credits and thereby lengthened his term of incarceration. The Supreme Court found that Mr. Dykstra's due process rights were violated because he was not afforded sufficient procedural due process protections. As a result, the DOC made several policy changes to the SOTP, including the use of ALJs for administrative hearings for implementation of earned time sentence credits. The potential fiscal impact due to increased workload on treatment staff and administrative law judges may be significant.

Department of Inspections and Appeals – State Public Defender

General Fund appropriations for the Department of Inspections and Appeals are the responsibility of the Administration and Regulation Subcommittee, except for the State Public Defender's Office and indigent defense. The State Public Defender's Office administers local public defender offices; provides legal counsel to indigent convicted criminals on appeals and for post-conviction relief proceedings; is

Justice System Appropriations Subcommittee

responsible for indigent juvenile cases; and reviews all expense claim reimbursements from private attorneys for indigent defense cases. In FY 1988, the costs of providing legal counsel to indigent criminal defendants and juveniles (indigent defense) were transferred to the State as part of court reorganization. Before FY 1988, these costs were paid by the counties.

The Governor is recommending FY 2012 General Fund appropriations totaling \$55.8 million for the State Public Defender and Indigent Defense. This is an increase of \$18.6 million (49.9%) compared to current law. This is no change compared to FY 2011 when the Governor's recommended supplemental appropriation is included.

General Fund Recommendations

	Estimated FY 2011	Gov Rec FY 2012	Gov Rec vs Est. FY 2011
<u>Inspections & Appeals, Dept. of</u>			
Public Defender			
Indigent Defense Appropriation	\$ 15,680,929	\$ 31,680,929	\$ 16,000,000
Public Defender	21,531,682	24,083,182	2,551,500
Total Inspections & Appeals, Dept. of	\$ 37,212,611	\$ 55,764,111	\$ 18,551,500

Significant changes include:

- State Public Defender's Office – \$2.6 million to annualize the recommended FY 2011 supplemental appropriation.
- Indigent Defense – \$16.0 million to annualize the recommended FY 2011 supplemental appropriation.

Issues

Mid-Year Reduction – Estimated FY 2011 includes a mid-year reduction of \$1.4 million, resulting from provisions of HF 2531 (FY 2011 Standing Appropriations Act). The Governor's recommendation for an FY 2011 supplemental appropriation includes the restoration of this reduction. The Office of the State Public Defender is not planning to lay off staff.

FY 2011 Supplemental Appropriation – The FY 2011 General Fund appropriations for the State Public Defender's Office and the Indigent Defense Fund are \$14.3 million below the amount actually expended from the General Fund in FY 2010. The Governor's recommendation for an FY 2011 supplemental appropriation of \$18.6 million addresses the deficit and provides funds based on historic growth in the indigent defense budget. The Governor is not recommending any further increases in FY 2012 or FY 2013. The LSA estimates the need is at least an additional \$600,000 in FY 2012. This creates a budget gap that may result in transfers from other agencies or may be paid through the State Appeals Board.

Expansion of Existing Local Public Defender Offices and New Office in Southeast Iowa – Senate File 2088 appropriated \$1.1 million and 16.0 FTE positions to expand local public defender offices. The projected savings in the Indigent Defense appropriation was anticipated to be \$3.8 million. The State Public Defender's Office filled public defender positions in Iowa City, Cedar Rapids and Davenport (two per office). The Civil Commitment/Special Defense Unit added two public defenders, a secretary, and an investigator. The new office is in Ottumwa. The six positions for that office include a supervisor, three

public defenders, a secretary, and an investigator. As of December 2010, all new positions had been filled.

Indigent Defense Advisory Council – Senate File 2088 repealed the Indigent Defense Advisory Council. The Council has been abolished. It was meeting every three years and was scheduled to meet in FY 2012. There is no fiscal impact associated with repealing the Council.

Span of Control – Senate File 2088 required the ratio to be 1:14 for FY 2011 and 1:15 for FY 2012. As of December 2010, the ratio for the Office of the State Public Defender is 1:11.

Information Technology (IT) Consolidation – Senate File 2088 required the consolidation of certain IT functions within the DAS. The Office of the State Public Defender was previously using DAS-approved vendors for contracting purposes. They continue to do so, but have not pursued other consolidation due to confidentiality and professional responsibility reasons.

Salary Restrictions – Senate File 2088 requires that the amount budgeted for salaries may only be used for FTE positions. This requirement may adversely impact the Office’s ability to operate because the support budget has traditionally been underfunded.

FY 2010 Budget Oversight

The Department of Inspections and Appeals received an FY 2010 General Fund appropriation of \$45,752,345 that was reduced by \$4,575,234 (10.0% ATB reduction). The General Assembly made FY 2010 supplemental appropriation of \$10,900,000 for FY 2010, resulting in a net total appropriation of \$52,077,111. The Office of the State Public Defender reverted \$13,398 to the General Fund, or 0.06% of the available appropriation. The Office used 193.87 FTE positions, or 95.5% of authorized FTE positions.

In response to budget reductions, the Office of the State Public Defender indicated that one Public Defender 3 position has been reassigned from processing indigent defense claims from the private bar to staffing cases in the field. This action saved approximately \$209,000 in FY 2010 because cases handled by private attorneys will now be staffed by a public defender.

Supplemental Appropriation – Senate File 2366 (FY 2010 Supplemental Appropriations Act) provided \$10,900,000 to Indigent Defense, with a provision that the ending balance could carry forward to FY 2011. The balance brought forward to FY 2011 was \$145,346.

Notice of Appropriation Transfer – On December 23, 2009, LSA received notice that \$381,701 was being transferred from the Office of the State Public Defender from furlough and deferred compensation savings to the General Fund to implement the Governor’s recommendation to backfill funds reduced due to the 10.0% across-the-board reduction implemented on October 9, 2009.

Notice of Reallocations – The table below shows \$2,656,935 was reallocated between the State Public Defender’s Office and the Indigent Defense Fund.

FY 2010 Reallocations

<u>Date</u>	<u>Indigent Defense</u>	<u>State Public Defender's Office</u>
January 7, 2010	\$ (575,000)	\$ 575,000
July 1, 2010	(2,147,318)	2,147,318
August 30, 2010	65,383	(65,383)
	<u>\$ (2,656,935)</u>	<u>\$ 2,656,935</u>

Supreme Court Case – The Iowa Supreme Court issued an opinion on November 24, 2010, regarding the case of *Kent A. Simmons vs. the Iowa State Public Defender*. The Supreme Court case involved fee limits and attorney contracts in relation to private attorneys appealing adult criminal indigent defense cases. There is no impact at the trial level or on juvenile cases.

The State Public Defender’s Office presented rules to the Administrative Rules Committee effective December 7, 2010, that brought the rules into compliance with the Supreme Court decision. The rules clarified definitions and procedures to address concerns raised by the Supreme Court while maintaining current practice.

As of January 2011, the fiscal impact of the Supreme Court case is expected to be minimal because:

- The ruling applies to a limited number of criminal cases (no more than 10.0%) heard at the appellate level by private attorneys.
- The administrative rules were revised to maintain current practice.

Judicial Branch

Iowa has a unified trial court system known as the Iowa District Court that has general jurisdiction over all civil, criminal, juvenile, and probate matters in the State. The Iowa District Court is composed of different kinds of judicial officers with varying amounts of jurisdiction including judicial magistrates, associate juvenile judges, associate probate judges, district associate judges, and district court judges. There are two appellate courts in Iowa's judicial system—the Iowa Supreme Court and the Iowa Court of Appeals. Seven justices sit on the Supreme Court and nine judges form the Court of Appeals.

For the Judicial Branch, the Governor passed through the FY 2012 General Fund appropriation request totaling \$161.4 million. This includes a request of \$157.7 million for the operating budget and \$3.7 million for the Jury and Witness Fee Revolving Fund. This is an increase of \$11.1 million compared to estimated FY 2011.

General Fund Recommendations

	<u>Estimated FY 2011</u>	<u>Gov Rec FY 2012</u>	<u>Gov Rec vs Est. FY 2011</u>
<u>Judicial Branch</u>			
Judicial Branch	\$ 148,811,822	\$ 157,700,609	\$ 8,888,787
Jury & Witness (GF) to Revolving Fund	1,500,000	3,700,000	2,200,000
Total Judicial Branch	\$ 150,311,822	\$ 161,400,609	\$ 11,088,787

The Governor is recommending the following Judicial Branch requests for FY 2012:

- Restoration of \$5.3 million from the Public Safety Enforcement Fund to maintain 68 employees.
- An appropriation of \$2.8 million to restore 60 of the 180 positions that were eliminated due to the 7.1% across the board reduction of \$11.4 million in FY 2010.
- An appropriation of \$388,000 for six additional Information Technology staff to implement the Electronic Document Management System (EDMS) allowing the Judicial Branch to switch from a paper-based environment to an electronic-based environment.

- An appropriation of \$430,000 for replacement furniture and equipment for Linn, Black Hawk, Polk, Warren, and Wapello Counties.
- An appropriation of \$1.2 million to pay for mileage reimbursement to jurors, witnesses, and interpreters due to the change in the deposit of the two-year-old court debt.
- An appropriation of \$1.0 million for reimbursement for interpreters for both civil and criminal trials.

Issues

FY 2010 Budget Oversight – The Judicial Branch appropriation was \$160,184,957 and was reduced by \$11,373,135 (7.1% ATB reduction). The Judicial Branch reverted \$54,275.

Electronic Document Management System (EDMS) – The EDMS project in Plymouth County is complete. Story County began implementing civil cases into EDMS on November 8, 2010. To date, they have not begun criminal or traffic cases. The two appellate courts are scheduled to begin EDMS later this Spring. Once the pilot projects are completed later this Spring, two counties will be brought on-line every month. Statewide implementation of the EDMS project will take approximately four years. The Judicial Branch is exploring ways to expedite the pilot project and implementation to help reduce the difficulties caused by the reduction in force. The estimated cost for the EDMS, based on signed contracts for electronic-filing (e-filing) and the document management system is \$15.0 million. An additional \$4.0 million may be necessary for set-up, preparation, and additional network costs during implementation. There are approximately 7.0 FTE positions of existing Judicial Branch IT Staff dedicated to the project (4.0 FTEs are 100.0% dedicated to the project and another 10.0 FTE positions are approximately 30.0% dedicated to the project). The estimated ongoing operational cost for the EDMS is \$1.2 million (\$700,000 per year for software maintenance and \$500,000 a year for on-going network expenses).

Law Enforcement Academy

The Iowa Law Enforcement Academy (ILEA) provides training for law enforcement officers, jailers, and telecommunicators in the State. The Academy also administers a program of psychological testing for applicants for law enforcement positions, approves regional training programs, establishes hiring standards for peace officers, and provides audio-visual resources for law enforcement training and educational institutions.

The Governor is recommending an FY 2012 General Fund appropriation of \$869,000. This is an increase of \$20,000 compared to estimated FY 2011.

General Fund Recommendations

	<u>Estimated FY 2011</u>	<u>Gov Rec FY 2012</u>	<u>Gov Rec vs Est. FY 2011</u>
<u>Law Enforcement Academy</u>			
Law Enforcement Academy	\$ 849,147	\$ 868,698	\$ 19,551
Total Law Enforcement Academy	<u>\$ 849,147</u>	<u>\$ 868,698</u>	<u>\$ 19,551</u>

Issues

Mid-Year Reduction – Estimated FY 2011 includes a mid-year reduction of \$200,283, resulting from provisions of HF 2531 (FY 2011 Standing Appropriations Act). The Academy plans to replace the reduction through additional fees from additional training. On February 3, 2011, the ILEA Council approved on a trial basis security training in the area of bike patrol/maintenance; conflict resolution; report writing; and recognizing mental illness on a six month basis. A report will be made back to the Council in August as to the success of the training. The Academy is also considering adding a new tactical training course and a testing proctor course. In addition, the Academy delayed filling some vacant positions and did not pay money into their Vehicle Depreciation Account.

FY 2012 Governor's Recommendation – *The Governor is recommending an additional \$19,551 in FY 2012 for Law Enforcement Academy operations.* Unless revenues from classes increase, there is a possibility of at least one layoff in ILEA.

Vacant FTE Positions Eliminated – Senate File 2088 requires FTE positions vacant for more than six months to be eliminated. The Academy eliminated 2.00 FTE positions during FY 2010.

FY 2010 Budget Oversight – The ILEA received an FY 2010 General Fund appropriation of \$1,166,033 that was reduced by \$116,603 (10.0% ATB reduction). The ILEA transferred out \$36,677 and reverted \$5,392.

Law Enforcement Academy Billings – In FY 2011, language was included in SF 2088 (Government Reorganization and Efficiency Act) that allowed the ILEA to bill two-thirds of the total cost to attend the Law Enforcement Academy to the law enforcement agency. The agency can require the officer to pay one-third of the total costs; however, it will be up to the agency to decide and to collect the money from the officer. The total cost to attend the Basic Academy in FY 2011 is \$6,909 per person and the Academy will bill two-thirds (\$4,606) to the law enforcement agency. The Departments of Transportation and Natural Resources are billed the full amount (\$6,909) to attend. At the August 5, 2010, Academy meeting, the Council approved extending the ILEA Academy an additional week. This change began with the January 2011 Basic classes.

Board of Parole

The Board's mission is to reintegrate offenders into the community. There are offenders that the Board has determined can be released from prison without detriment to the public or themselves. The Board performs risk evaluations for inmates, reviews eligible parole cases, holds parole hearings for eligible inmates, and selects inmates for conditional release on parole and work release. The Board revokes conditional releases and returns to prison those offenders that have failed. The Board also notifies victims of relevant information before a parole hearing, and advises the Governor on matters of executive clemency. For more information regarding the duties and workload, see the *Fiscal Topic, "Board of Parole."*

The Governor is recommending an FY 2012 General Fund appropriation of \$1.1 million. This is an increase of \$85,000 (8.8%) compared to estimated FY 2011. The change includes:

- \$76,000 to restore the FY 2011 mid-year reduction.
- \$8,600 for extra help regarding file work.

General Fund Recommendations

	<u>Estimated</u> <u>FY 2011</u>	<u>Gov Rec</u> <u>FY 2012</u>	<u>Gov Rec vs</u> <u>Est. FY 2011</u>
<u>Parole, Board of</u> Parole Board	\$ 969,043	\$ 1,053,835	\$ 84,792
Total Parole, Board of	\$ 969,043	\$ 1,053,835	\$ 84,792

Issues

Mid-Year Reduction – Estimated FY 2011 includes a mid-year reduction of \$76,000, resulting from provisions of HF 2531 (FY 2011 Standing Appropriations Act).

Cash Reserve Fund Transfer – In December 2010, Governor Culver approved a transfer from the Cash Reserve Fund to backfill some of the mid-year reductions. The Board of Parole received \$50,000 from this transfer, resulting in a net mid-year reduction of \$26,000. Transfers are not reflected in the LSA's appropriations tracking. The mid-year reduction of \$26,000 was met by salary savings from delayed Board appointments by Governor Culver, and the rescission of those appointments by Governor Branstad, who has appointed three new Board members in January 2011.

Span of Control – Senate File 2088 required the ratio to be 1:14 for FY 2011 and 1:15 for FY 2012. As of December 2010, the Board had five full-time and one part-time position. It is unlikely the Board will meet the requirement due to the limited number of staff. The FY 2011 budget funds 12.50 FTE positions.

Information Technology (IT) Consolidation – Senate File 2088 required the consolidation of certain IT functions within the DAS. The Board contracts with Digital Business Solutions for its IPAROLE Data Information System. There was no impact to the Board of Parole.

Vacant FTE Positions Eliminated – Senate File 2088 requires FTE positions vacant for more than six months to be eliminated. The Board has eliminated 1.00 FTE position as of December 2010.

FY 2010 Budget Oversight

The Board of Parole received an FY 2010 General Fund appropriation of \$1,161,399 that was reduced by \$116,140 (10.0% ATB reduction). There was no reversion to the General Fund. The Board used 11.02 FTE positions, or 59.6% of authorized FTE positions. The Board did not fill vacant positions and implemented a Reduction In Force (RIF) due to budget reductions. The Administrative Law Judge 3 position was eliminated resulting in the lay off of one person.

NOTE: Senate File 2378 reduced the number of authorized positions from 18.5 to 13.5 FTE positions for FY 2011. The Board eliminated an additional FTE position when the budget was revised in June 2010.

Notice of Appropriation Transfer – On December 23, 2009, the LSA received notice that \$14,696 was being transferred from the Board of Parole from furlough and deferred compensation savings to the General Fund to implement the Governor's recommendation to backfill funds reduced due to the 10.0% across-the-board reduction implemented on October 9, 2009.

On September 1, 2010, the LSA received notice that \$818 was transferred to the Board of Parole to cover SERIP (early retirement) costs for FY 2010. The funds were transferred from the Public Employee Relations Board (\$1,400) and the Ethics Campaign and Disclosure Board (\$428).

Department of Public Defense

Two Divisions make up the Department of Public Defense. The Military Division (Iowa National Guard) provides units and equipment to protect life and property, to preserve peace and order, and to ensure public safety for the citizens of Iowa. The Homeland Security and Emergency Management Division manages risks and hazards with local and federal entities through mitigation, preparedness, response, and recovery initiatives.

The Governor is recommending FY 2012 General Fund appropriations totaling \$7.4 million. This is a decrease of \$470,000 (6.0%) compared to estimated FY 2011.

General Fund Recommendations

	<u>Estimated FY 2011</u>	<u>Gov Rec FY 2012</u>	<u>Gov Rec vs Est. FY 2011</u>
<u>Public Defense, Dept. of</u>			
Public Defense, Department of	\$ 5,879,832	\$ 5,527,042	\$ -352,790
<u>Emergency Management Division</u>			
Homeland Security & Emer. Mgmt.	\$ 1,954,125	\$ 1,836,877	\$ -117,248
<u>Total Public Defense, Dept. of</u>	<u>\$ 7,833,957</u>	<u>\$ 7,363,919</u>	<u>\$ -470,038</u>

Issues

Mid-Year Reduction – Estimated FY 2011 includes a mid-year reduction of \$369,000 for the Military Division of the Department of Public Defense and a reduction of \$84,000 for the Homeland Security and Emergency Management Division. The Military Division absorbed the mid-year reduction by deferring the hiring of the Deputy Adjutant General Army (\$48,000), deferred hiring of multiple positions including accounting, information technology, and maintenance (\$191,000), deferred routine maintenance of facilities at Camp Dodge and the armories (\$115,000), and a higher than expected utility credit (\$15,000) to meet the \$369,000 mid-year reduction. For the Homeland Security and Emergency Management Division, the mid-year reduction of \$84,000 was absorbed through the reduction in the State match available for the Emergency Management Preparedness Grant (50/50 grant).

Governor’s FY 2012 Recommendation – The Governor is recommending an additional reduction of \$353,000 for the Military Division and an additional reduction of \$117,000 for the Homeland Security and Emergency Management Division. The impact to federal funds received by the Military Division could be as high as \$3.4 million based on an \$8 in federal funds for every State dollar spent ratio. The impact to federal funds received by the Homeland Security and Emergency Management Division could be as high as \$200,000.

Vacant FTE Positions Eliminated – Senate File 2088 requires FTE positions vacant for more than six months to be eliminated. The Military Division eliminated 18.00 FTE positions during FY 2010 and the Homeland Security and Emergency Management Division eliminated 1.00 FTE position.

FTE Positions – Due to projected federal funding increases, the fire fighters authorized under the Military Cooperative Agreement have been increased from 52 to 64. This increase of 12.0 FTE positions (six at the Des Moines Air Base and six at the Sioux City Air Base) is for permanent full-time fire fighters funded with 100.0% federal funds.

FY 2010 Budget Oversight - The Department of Public Defense appropriation was a total of \$8,670,258 of which the Military Division appropriation was \$6,249,201 and the Homeland Security and Emergency Management Division was \$2,038,119. The total appropriation was reduced by \$867,026. The Department received a supplemental of \$587,816 (Military Division - \$526,202 and Homeland Security and Emergency Management Division - \$61,614). The total transferred out for the Department was \$246,741 and the total reverted was \$24,006.

Span of Control – For FY 2011, the span of control for the Military Division is 14:1. For the Homeland Security and Emergency Management Division, the span of control is 18:1. Homeland Security will be adding two supervisory positions for the Hazard Mitigation and Public Assistance Grant administration. Approximately 70 people are working in those two areas.

Department of Public Safety

The Department of Public Safety (DPS) is the State law enforcement agency. The Department includes: Administrative Services Division, Division of Criminal Investigation (DCI), Division of Narcotics Enforcement (DNE), Fire Marshal's Office, and the State Patrol Division.

The Governor is recommending FY 2012 General Fund appropriations totaling \$80.4 million. This is an increase of \$6.2 million (8.3%) compared to estimated FY 2011. The Governor is also recommending \$9.8 million for the Department of Public Safety Gaming Enforcement Fund. This is no change compared to estimated FY 2011.

General Fund Recommendations

	Estimated FY 2011	Gov Rec FY 2012	Gov Rec vs Est. FY 2011
<u>Public Safety, Department of</u>			
POR Permissive Service Credit	\$ 135,000	\$ 0	\$ -135,000
Public Safety Administration	3,732,075	4,007,075	275,000
Public Safety DCI	12,208,931	12,533,931	325,000
DCI - Crime Lab Equipment/Training	302,345	302,345	0
Public Safety Undercover Funds	109,042	109,042	0
Narcotics Enforcement	6,204,884	6,429,884	225,000
DPS Fire Marshal	4,168,707	4,298,707	130,000
Iowa State Patrol	46,505,764	51,903,233	5,397,469
DPS/SPOC Sick Leave Payout	279,517	279,517	0
Fire Fighter Training	612,255	575,520	-36,735
Total Public Safety, Department of	\$ 74,258,520	\$ 80,439,254	\$ 6,180,734

Other Fund Recommendations

	<u>Estimated FY 2011</u>	<u>Gov Rec FY 2012</u>	<u>Gov Rec vs Est. FY 2011</u>
Public Safety, Department of DPS Gaming Enforcement	\$ 9,836,306	\$ 9,836,306	\$ 0
Total Public Safety, Department of	<u>\$ 9,836,306</u>	<u>\$ 9,836,306</u>	<u>\$ 0</u>

Issues

Mid-Year Reduction – Estimated FY 2011 includes a mid-year reduction of \$4.0 million for the following appropriations in the Department of Public Safety:

- Administration - \$402,000
- Division of Criminal Investigation - \$653,000
- Narcotics Enforcement - \$302,000
- Fire Marshal - \$175,000
- Iowa State Patrol - \$2.5 million

Cash Reserve Fund Transfer – In December 2010, Governor Culver approved a transfer from the Cash Reserve Fund to backfill some of the mid-year reductions. The Department of Public Safety (DPS) received \$180,000 from this transfer, resulting in a net mid-year reduction of \$3.8 million. Transfers are not reflected in the LSA’s appropriations tracking. The transfer partially backfilled the following appropriations:

- Administration - \$17,000
- Division of Criminal Investigation - \$20,000
- Narcotics Enforcement - \$14,000
- Fire Marshal - \$8,000
- Iowa State Patrol - \$122,000

Governor’s Recommended FY 2011 Supplemental to the Department of Public Safety – The Governor is recommending an FY 2011 supplemental appropriation of \$3.0 million to partially offset the mid-year reduction of \$4.0 million to the Department of Public Safety.

- Administration - \$275,000
- Division of Criminal Investigation - \$325,000
- Narcotics Enforcement - \$225,000
- Fire Marshal - \$130,000
- Iowa State Patrol - \$2,000,000

Iowa State Patrol – The Governor is recommending an appropriation of \$3.1 million for the conversion of one-time National Highway Traffic Safety Administration (NHTSA) funding and 45.0 FTE positions funded from the General Fund. Also, the Governor recommended an appropriation of \$300,000 to replace the one-time appropriation from the Public Safety Enforcement Fund with General Fund money.

Firefighter Training – The Governor is not recommending the restoration of the one-time \$150,000 Public Safety Enforcement Fund money and is recommending an additional reduction of \$37,000 for a total reduction of \$187,000.

Gaming Enforcement Fund – Senate File 2378 (FY 2011 Justice System Appropriations Act) created the Gaming Enforcement Revolving Fund under the control of the Department of Public Safety (DPS) for the collection of fees for direct and indirect costs paid by licensees for the regulation of boats and racetracks by the Division of Criminal Investigation. The Fund supports 120.0 FTE positions at an estimated cost of \$9,836,306. Any future direct and indirect cost increases such as supplies, equipment, fuel, and costs associated with support personnel will need to be adjusted in legislation to allow DPS the ability to bill the gaming industry and retain the receipts. The Division of Criminal investigation (DCI) no longer receives a General Fund appropriation but instead will receive funding from the Gaming Enforcement Revolving Fund. The Fund is capped at \$9.8 million and 120.0 FTE positions. These figures will be reviewed by the Justice System Appropriations Subcommittee on an annual basis. *The Governor is recommending status quo funding totaling \$9.8 million and 120.0 FTE positions for the Gaming Enforcement Fund.*

Division of Criminal Investigation, Cold Case Investigation Unit – Federal funding totaling \$285,000 from the National Institute of Justice is expiring. This money currently funds one Criminalist and two Special Agents. Since inception of the program in FY 2010, the unit has analyzed over 1,000 DNA samples in 51 violent criminal cold cases. *The Governor is not recommending the replacement of expiring federal funds.*

Peace Officers Retirement Fund \$5.0 Million Appropriation for FY 2013 – Language was enacted in HF 2518 (Public Retirement Systems Act) creating a standing appropriation beginning July 1, 2012 (FY 2013) of \$5.0 million per year until the PORS Fund reaches an 85.0% funded ratio. *The Governor is recommending a \$5.0 million General Fund standing appropriation for the Department of Public Safety, Peace Officer's Retirement Fund.*

FY 2010 Budget Oversight – The total Department of Public Safety appropriation was \$88,984,800 and was reduced by \$8,898,604 (10.0% ATB reduction). The Department reverted a total of \$209,455 and represents 77.0% of the total amount (\$271,597) reverted by all the Justice System agencies for FY 2010.

Span of Control – The current span of control ratio for the DPS is 5.8:1. The Department currently has no span of control plan for the required 14:1 ratio by SF 2088. The DPS has proposed questions to DAS on a possible exception to develop a span of control plan for a geographically dispersed, 24/7 police organization. The current span of control ratios for Divisions within the DPS include:

- Administration – 9.0:1
- Criminal Investigation – 8.5:1
- Narcotics/Intelligence – 5.3:1
- Fire Marshal – 6.2:1
- State Patrol – 4.8:1

Iowa State Patrol Vehicles – As of December 8, 2010, the Patrol reported a total of 175 vehicles in excess of 70,000 miles or more:

- 49 vehicles in excess of 100,000 miles (15 exceed 108,000 miles).
 - 49 vehicles in excess of 90,000 miles.
 - 39 vehicles in excess of 80,000 miles.
 - 38 vehicles in excess of 70,000 miles.
-

The Patrol anticipates an additional 126 vehicles will be in excess of 100,000 miles within the next 12 months and anticipates ordering 137 patrol cars for FY 2012. An order of 30 vehicles was placed and has been received for the fall of 2010 (FY 2011). Since 2006, the Patrol replaced approximately 140 cars a year to maintain the fleet below 100,000 miles. Since 2009, the Patrol has switched to a three-year replacement cycle due to declining depreciation funds and this has resulted in replacement of approximately 105 cars per year.

Narrowband Mandate and State Agency Communications Systems – The Federal Communications Commission mandated that all non-federal public safety license holders on frequencies ranging from 150-512 MHz reduce their operating bandwidth from 25 kHz to 12.5 kHz narrowband channels and update their operating licenses by January 1, 2013. Failure to do so will result in the loss of communication capabilities and fines. By January 1, 2017, the federal government is mandating that public safety agencies using 700 MHz systems must be operating with 6.25 KHz emissions/equivalency. Currently, most State public safety radio systems use 25.0 kHz channels. Narrowbanding to 12.5 kHz will affect radio and pager coverage. Agencies that narrowband in analog will have further reductions of coverage. The Legislative Services Agency published an [Issue Review](#) in October 2010 discussing the impact of the federal mandate in more detail. The Department of Public Safety requested \$8.0 million for FY 2012 to upgrade their radio equipment and begin digital conversion of the towers. *The Governor is recommending \$2.5 million from the RIF for FY 2012 and FY 2013 for this purpose.*

INTERIM COMMITTEES, MEETINGS, AND PUBLICATIONS

Public Safety Advisory Board

The Public Safety Advisory Board was created in HF 2531 (FY 2011 Standing Appropriations Act). The Act defined the duties of the Board and appropriated \$140,000 and 2.0 FTE positions from the Underground Storage Tank Fund to the Criminal and Juvenile Justice Planning Division (CJJPD) of the Department of Human Rights. As of January 2011, both positions were filled. *The Governor is not recommending the replacement of the FY 2011 Underground Storage Tank Fund with an FY 2012 General Fund appropriation of \$140,000.*

The Criminal and Juvenile Justice Advisory Council met July 21, 2010, and determined that its full membership would serve as members of the Public Safety Advisory Board. Staff from the CJJPD created an electronic survey to gather information on justice system improvements to focus efforts of the Public Safety Advisory Board.

The Public Safety Advisory Board met September 8 and created four Subcommittees – Sentencing Structure, Correctional Policy, Efficiency, and Specific Crimes. The Committee referred the issue of sex offenses to the Sex Offender Research Council and juvenile issues to the Juvenile Justice Advisory Council.

The Sex Offender Research Council met September 22, 2010, and discussed specific issues regarding juvenile sex offenders and Iowa's sex offender laws.

The Juvenile Justice Advisory Council met October 18, 2010, and discussed juveniles serving life sentences and juvenile waivers to adult court.

The Correctional Policy Subcommittee met October 25, 2010, and focused on presentence investigations, risk assessments, and information provided to judges by corrections agencies.

The Sentencing Structure Subcommittee met October 25, 2010, and discussed mandatory minimum terms for drug offenses and life without parole for non-homicide juvenile offenders.

The Efficiency Subcommittee met October 26, 2010. The primary topic was the process of writing and transmitting sentencing orders from judges to the corrections system.

The Specific Crimes Subcommittee met October 28, 2010, and discussed sentencing disparity between crack and powder cocaine.

The Public Safety Advisory Board met December 1, 2010, and received reports from the four Subcommittees, the Sex Offender Research Council, and the Juvenile Justice Advisory Council. The Board approved a draft of the required report to the Iowa General Assembly, as mandated in HF 2531.

Additional information is available on the [CJJP website](#). The CJJPD issued its [Report to the General Assembly](#) in December 2010.

LSA Publications

The following documents have been published by the LSA that relate to the Justice System Appropriations Subcommittee:

- *Issue Review:* [State Prison System Budget](#)
- *Issue Review:* [Proposed Sale of State Farm Ground](#)
- *Issue Review:* [Electronic Document Management System \(EDMS\)](#)
- *Issue Review:* [FY 2011 Judicial Branch Budget Impact](#)
- *Issue Review:* [Narrowband Mandate and State Agency Communications Systems](#)
- *Issue Review:* [Corrections Construction and Capacity](#)
- *Issue Review:* [Electrical Examining Board](#)
- *Issue Review:* [Department of Corrections Centralized Pharmacy](#)
- *Fiscal Topic:* [Board of Parole](#)
- *Fiscal Topic:* [Criminal Justice Information System \(CJIS\)](#)
- *Fiscal Topic:* [Legal Representation for Indigent Defendants](#)
- *Fiscal Topic:* [Budget Unit: Iowa Civil Rights Commission](#)
- *Fiscal Topic:* [Iowa's Victim and Notification Everyday \(VINE\) System](#)
- *Fiscal Topic:* [Budget Unit: Board of Parole](#)
- *Fiscal Topic:* [Budget Unit: Office of the Attorney General](#)
- *Fiscal Topic:* [Budget Unit: Division of Criminal Investigation](#)
- *Fiscal Topic:* [Budget Unit: Iowa Law Enforcement Academy](#)
- *Fiscal Topic:* [Budget Unit: Iowa State Patrol](#)

Staff Contacts: Jennifer Acton (515-281-7846) jennifer.acton@legis.state.ia.us
Beth Lenstra (515-281-6301) beth.lenstra@legis.state.ia.us

Appendix A

General Fund Tracking

This page intentionally left blank.

Justice System General Fund

	Actual FY 2010 (1)	Estimated FY 2011 (2)	Gov Rec FY 2012 (3)	Gov Rec FY12 vs FY 2011 (4)	Gov Rec FY 2013 (5)	Gov Rec FY13 vs Gov Rec FY12 (6)
<u>Justice, Department of</u>						
Justice, Dept. of						
General Office A.G.	\$ 7,732,930	\$ 7,792,930	\$ 7,792,930	\$ 0	\$ 7,792,930	\$ 0
Victim Assistance Grants	3,060,000	3,060,000	2,876,400	-183,600	2,876,400	0
Legal Services Poverty Grants	1,759,171	1,930,671	1,814,831	-115,840	1,814,831	0
Total Justice, Dept. of	\$ 12,552,101	\$ 12,783,601	\$ 12,484,161	\$ -299,440	\$ 12,484,161	\$ 0
Consumer Advocate						
Consumer Advocate	\$ 0	\$ 0	\$ 3,136,163	\$ 3,136,163	\$ 3,136,163	\$ 0
Total Justice, Department of	\$ 12,552,101	\$ 12,783,601	\$ 15,620,324	\$ 2,836,723	\$ 15,620,324	\$ 0
<u>Civil Rights Commission</u>						
Civil Rights Commission						
Civil Rights Commission	\$ 1,379,861	\$ 1,335,282	\$ 1,297,069	\$ -38,213	\$ 1,297,069	\$ 0
Total Civil Rights Commission	\$ 1,379,861	\$ 1,335,282	\$ 1,297,069	\$ -38,213	\$ 1,297,069	\$ 0
<u>Corrections, Dept. of</u>						
CBC District 1						
CBC District I	\$ 12,028,965	\$ 11,526,745	\$ 12,020,098	\$ 493,353	\$ 12,020,098	\$ 0
CBC District 2						
CBC District II	\$ 10,294,859	\$ 9,976,036	\$ 10,336,948	\$ 360,912	\$ 10,336,948	\$ 0
CBC District 3						
CBC District III	\$ 5,363,652	\$ 5,280,086	\$ 5,599,765	\$ 319,679	\$ 5,599,765	\$ 0
CBC District 4						
CBC District IV	\$ 5,255,617	\$ 5,222,288	\$ 5,391,355	\$ 169,067	\$ 5,391,355	\$ 0
CBC District 5						
CBC District V	\$ 18,140,442	\$ 17,683,492	\$ 18,742,129	\$ 1,058,637	\$ 18,742,129	\$ 0
CBC District 6						
CBC District VI	\$ 12,711,127	\$ 12,249,424	\$ 13,112,563	\$ 863,139	\$ 13,112,563	\$ 0
CBC District 7						
CBC District VII	\$ 6,461,918	\$ 6,227,383	\$ 6,492,814	\$ 265,431	\$ 6,492,814	\$ 0

Justice System General Fund

	Actual FY 2010 (1)	Estimated FY 2011 (2)	Gov Rec FY 2012 (3)	Gov Rec FY12 vs FY 2011 (4)	Gov Rec FY 2013 (5)	Gov Rec FY13 vs Gov Rec FY12 (6)
CBC District 8						
CBC District VIII	\$ 6,792,677	\$ 6,553,064	\$ 6,731,055	\$ 177,991	\$ 6,731,055	\$ 0
Central Office						
Corrections Administration	\$ 4,329,043	\$ 4,126,852	\$ 4,835,542	\$ 708,690	\$ 4,835,542	\$ 0
Iowa Corrections Offender Network	381,928	424,364	424,364	0	424,364	0
County Confinement	775,092	775,092	775,092	0	775,092	0
Federal Prisoners/Contractual	215,470	239,411	239,411	0	239,411	0
Corrections Education	1,363,707	1,558,109	2,308,109	750,000	2,308,109	0
Hepatitis Treatment And Education	167,881	167,881	167,881	0	167,881	0
Mental Health/Substance Abuse	22,319	22,319	22,319	0	22,319	0
Total Central Office	<u>\$ 7,255,440</u>	<u>\$ 7,314,028</u>	<u>\$ 8,772,718</u>	<u>\$ 1,458,690</u>	<u>\$ 8,772,718</u>	<u>\$ 0</u>
Fort Madison						
Ft. Madison Institution	\$ 37,767,271	\$ 36,533,518	\$ 41,031,283	\$ 4,497,765	\$ 41,031,283	\$ 0
Anamosa						
Anamosa Institution	\$ 28,815,684	\$ 28,270,794	\$ 31,985,974	\$ 3,715,180	\$ 31,985,974	\$ 0
Oakdale						
Oakdale Institution	\$ 55,432,247	\$ 52,614,899	\$ 55,600,610	\$ 2,985,711	\$ 55,600,610	\$ 0
Newton						
Newton Institution	\$ 25,756,235	\$ 24,599,293	\$ 25,958,757	\$ 1,359,464	\$ 25,958,757	\$ 0
Mt Pleasant						
Mt. Pleasant Inst.	\$ 24,910,544	\$ 24,191,645	\$ 25,917,815	\$ 1,726,170	\$ 25,917,815	\$ 0
Rockwell City						
Rockwell City Institution	\$ 8,561,800	\$ 8,666,658	\$ 9,316,466	\$ 649,808	\$ 9,316,466	\$ 0
Clarinda						
Clarinda Institution	\$ 21,530,698	\$ 21,835,677	\$ 24,639,518	\$ 2,803,841	\$ 24,639,518	\$ 0
Mitchellville						
Mitchellville Institution	\$ 14,422,531	\$ 14,779,174	\$ 15,615,374	\$ 836,200	\$ 15,615,374	\$ 0
Fort Dodge						
Ft. Dodge Institution	\$ 27,199,132	\$ 27,148,125	\$ 29,062,235	\$ 1,914,110	\$ 29,062,235	\$ 0
Total Corrections, Dept. of	<u>\$ 328,700,839</u>	<u>\$ 320,672,329</u>	<u>\$ 346,327,477</u>	<u>\$ 25,655,148</u>	<u>\$ 346,327,477</u>	<u>\$ 0</u>

Justice System General Fund

	Actual FY 2010 (1)	Estimated FY 2011 (2)	Gov Rec FY 2012 (3)	Gov Rec FY12 vs FY 2011 (4)	Gov Rec FY 2013 (5)	Gov Rec FY13 vs Gov Rec FY12 (6)
<u>Inspections & Appeals, Dept. of</u>						
Public Defender						
Indigent Defense Appropriation	\$ 32,508,247	\$ 15,680,929	\$ 31,680,929	\$ 16,000,000	\$ 31,680,929	\$ 0
Public Defender	19,568,864	21,531,682	24,083,182	2,551,500	24,083,182	0
Total Inspections & Appeals, Dept. of	\$ 52,077,111	\$ 37,212,611	\$ 55,764,111	\$ 18,551,500	\$ 55,764,111	\$ 0
<u>Judicial Branch</u>						
Judicial Branch						
Judicial Branch	\$ 148,811,822	\$ 148,811,822	\$ 157,700,609	\$ 8,888,787	\$ 157,700,609	\$ 0
Jury & Witness (GF) to Revolving Fund (0043)	0	1,500,000	3,700,000	2,200,000	3,700,000	0
Total Judicial Branch	\$ 148,811,822	\$ 150,311,822	\$ 161,400,609	\$ 11,088,787	\$ 161,400,609	\$ 0
<u>Law Enforcement Academy</u>						
Law Enforcement Academy						
Law Enforcement Academy	\$ 1,049,430	\$ 849,147	\$ 868,698	\$ 19,551	\$ 868,698	\$ 0
Total Law Enforcement Academy	\$ 1,049,430	\$ 849,147	\$ 868,698	\$ 19,551	\$ 868,698	\$ 0
<u>Parole, Board of</u>						
Parole Board						
Parole Board	\$ 1,045,259	\$ 969,043	\$ 1,053,835	\$ 84,792	\$ 1,053,835	\$ 0
Total Parole, Board of	\$ 1,045,259	\$ 969,043	\$ 1,053,835	\$ 84,792	\$ 1,053,835	\$ 0
<u>Public Defense, Dept. of</u>						
Public Defense, Dept. of						
Public Defense, Department of	\$ 6,150,483	\$ 5,879,832	\$ 5,527,042	\$ -352,790	\$ 5,527,042	\$ 0
Emergency Management Division						
Homeland Security & Emer. Mgmt.	\$ 1,895,921	\$ 1,954,125	\$ 1,836,877	\$ -117,248	\$ 1,836,877	\$ 0
Total Public Defense, Dept. of	\$ 8,046,404	\$ 7,833,957	\$ 7,363,919	\$ -470,038	\$ 7,363,919	\$ 0

Justice System General Fund

	Actual FY 2010 <u>(1)</u>	Estimated FY 2011 <u>(2)</u>	Gov Rec FY 2012 <u>(3)</u>	Gov Rec FY12 vs FY 2011 <u>(4)</u>	Gov Rec FY 2013 <u>(5)</u>	Gov Rec FY13 vs Gov Rec FY12 <u>(6)</u>
<u>Public Safety, Department of</u>						
Public Safety, Dept. of						
DPS-POR Unfunded Liabilities Until 85 Percent	\$ 0	\$ 0	\$ 0	\$ 0	\$ 5,000,000	\$ 5,000,000
POR Permissive Service Credit	0	135,000	0	-135,000	0	0
Public Safety - Department Wide Duties	1,419,288	0	0	0	0	0
Public Safety Administration	3,952,071	3,732,075	4,007,075	275,000	4,007,075	0
Public Safety DCI	19,012,743	12,208,931	12,533,931	325,000	12,533,931	0
DCI - Crime Lab Equipment/Training	302,345	302,345	302,345	0	302,345	0
Public Safety Undercover Funds	109,042	109,042	109,042	0	109,042	0
Narcotics Enforcement	5,747,647	6,204,884	6,429,884	225,000	6,429,884	0
DPS Fire Marshal	3,590,003	4,168,707	4,298,707	130,000	4,298,707	0
Iowa State Patrol	45,061,285	46,505,764	51,903,233	5,397,469	51,903,233	0
DPS/SPOC Sick Leave Payout	279,517	279,517	279,517	0	279,517	0
Fire Fighter Training	612,255	612,255	575,520	-36,735	575,520	0
Total Public Safety, Department of	\$ 80,086,196	\$ 74,258,520	\$ 80,439,254	\$ 6,180,734	\$ 85,439,254	\$ 5,000,000
Total Justice System	\$ 633,749,023	\$ 606,226,312	\$ 670,135,296	\$ 63,908,984	\$ 675,135,296	\$ 5,000,000

Appendix B

Other Fund Tracking

This page intentionally left blank.

Justice System Other Fund

	Actual FY 2010 (1)	Estimated FY 2011 (2)	Gov Rec FY 2012 (3)	Gov Rec FY12 vs FY 2011 (4)	Gov Rec FY 2013 (5)	Gov Rec FY13 vs Gov Rec FY12 (6)
<u>Justice, Department of</u>						
Consumer Advocate						
Consumer Advocate - CMRF	\$ 3,138,888	\$ 3,336,344	\$ 0	\$ -3,336,344	\$ 0	\$ 0
Total Justice, Department of	\$ 3,138,888	\$ 3,336,344	\$ 0	\$ -3,336,344	\$ 0	\$ 0
<u>Corrections, Dept. of</u>						
Central Office						
DOC Central Office - FRRF	\$ 210,000	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0
Fort Madison						
DOC Fort Madison - FRRF	\$ 4,347,000	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0
Anamosa						
DOC Anamosa - FRRF	\$ 931,000	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0
Oakdale						
DOC Oakdale - FRRF	\$ 2,030,000	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0
Newton						
DOC Newton - FRRF	\$ 1,029,000	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0
Mt Pleasant						
DOC Mt. Pleasant - FRRF	\$ 903,000	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0
Rockwell City						
DOC Rockwell City - FRRF	\$ 301,000	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0
Clarinda						
DOC Clarinda - FRRF	\$ 2,506,000	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0
Mitchellville						
DOC Mitchellville - FRRF	\$ 679,000	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0
Fort Dodge						
DOC Fort Dodge - FRRF	\$ 1,064,000	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0
Total Corrections, Dept. of	\$ 14,000,000	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0

Justice System Other Fund

	Actual FY 2010 <u>(1)</u>	Estimated FY 2011 <u>(2)</u>	Gov Rec FY 2012 <u>(3)</u>	Gov Rec FY12 vs FY 2011 <u>(4)</u>	Gov Rec FY 2013 <u>(5)</u>	Gov Rec FY13 vs Gov Rec FY12 <u>(6)</u>
<u>Public Defense, Dept. of</u>						
Public Defense, Dept. of DPD - FRRF	\$ 180,000	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0
Total Public Defense, Dept. of	\$ 180,000	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0
<u>Public Safety, Department of</u>						
Public Safety, Dept. of DPS Department Wide - FRRF	\$ 750,000	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0
DPS Gaming Enforcement	0	9,836,306	9,836,306	0	9,836,306	0
Total Public Safety, Department of	\$ 750,000	\$ 9,836,306	\$ 9,836,306	\$ 0	\$ 9,836,306	\$ 0
Total Justice System	\$ 18,068,888	\$ 13,172,650	\$ 9,836,306	\$ -3,336,344	\$ 9,836,306	\$ 0

Appendix C

FTE Position Tracking

This page intentionally left blank.

Justice System

FTE

	Actual FY 2010 <u>(1)</u>	Estimated FY 2011 <u>(2)</u>	Gov Rec FY 2012 <u>(3)</u>	Gov Rec FY12 vs Est FY11 <u>(4)</u>	Gov Rec FY 2013 <u>(5)</u>	Gov Rec FY13 vs Gov Rec FY12 <u>(6)</u>
<u>Justice, Department of</u>						
Justice, Dept. of						
Victim Compensation Fund	20.83	22.00	22.00	0.00	22.00	0.00
General Office A.G.	198.15	214.00	223.00	9.00	223.00	0.00
Total Justice, Dept. of	<u>218.98</u>	<u>236.00</u>	<u>245.00</u>	<u>9.00</u>	<u>245.00</u>	<u>0.00</u>
Consumer Advocate						
Consumer Advocate	0.01	0.00	27.00	27.00	27.00	0.00
Consumer Advocate - CMRF	19.53	22.00	0.00	-22.00	0.00	0.00
Total Consumer Advocate	<u>19.54</u>	<u>22.00</u>	<u>27.00</u>	<u>5.00</u>	<u>27.00</u>	<u>0.00</u>
Total Justice, Department of	<u>238.52</u>	<u>258.00</u>	<u>272.00</u>	<u>14.00</u>	<u>272.00</u>	<u>0.00</u>
<u>Civil Rights Commission</u>						
Civil Rights Commission						
Civil Rights Commission	29.86	28.00	28.00	0.00	28.00	0.00
Total Civil Rights Commission	<u>29.86</u>	<u>28.00</u>	<u>28.00</u>	<u>0.00</u>	<u>28.00</u>	<u>0.00</u>
<u>Corrections, Dept. of</u>						
CBC District 1						
CBC District I	194.50	143.92	177.41	33.49	177.41	0.00
CBC District 2						
CBC District II	160.94	139.66	144.36	4.70	144.36	0.00
CBC District 3						
CBC District III	77.24	58.99	74.99	16.00	74.99	0.00
CBC District 4						
CBC District IV	72.00	51.00	65.00	14.00	65.00	0.00
CBC District 5						
CBC District V	270.45	234.45	255.95	21.50	255.95	0.00
CBC District 6						
CBC District VI	202.88	167.63	189.51	21.88	189.51	0.00
CBC District 7						
CBC District VII	99.70	71.58	86.45	14.87	86.45	0.00

Justice System FTE

	Actual FY 2010 <u>(1)</u>	Estimated FY 2011 <u>(2)</u>	Gov Rec FY 2012 <u>(3)</u>	Gov Rec FY12 vs Est FY11 <u>(4)</u>	Gov Rec FY 2013 <u>(5)</u>	Gov Rec FY13 vs Gov Rec FY12 <u>(6)</u>
CBC District 8						
CBC District VIII	93.90	88.90	90.90	2.00	90.90	0.00
Central Office						
Corrections Administration	41.12	39.00	39.00	0.00	39.00	0.00
Fort Madison						
IMCC Inmate Tele Rebate	1.33	1.00	1.50	0.50	1.50	0.00
Ft. Madison Institution	480.37	368.50	459.00	90.50	459.00	0.00
Total Fort Madison	<u>481.69</u>	<u>369.50</u>	<u>460.50</u>	<u>91.00</u>	<u>460.50</u>	<u>0.00</u>
Anamosa						
Anamosa Institution	318.85	276.00	361.00	85.00	361.00	0.00
Oakdale						
Oakdale Canteen Fund	0.00	1.00	0.00	-1.00	0.00	0.00
Oakdale Institution	536.17	446.50	556.50	110.00	556.50	0.00
Total Oakdale	<u>536.17</u>	<u>447.50</u>	<u>556.50</u>	<u>109.00</u>	<u>556.50</u>	<u>0.00</u>
Newton						
Newton Institution	304.50	300.00	300.00	0.00	300.00	0.00
Mt Pleasant						
Mt. Pleasant Inst.	285.19	240.56	288.28	47.72	288.28	0.00
Rockwell City						
Rockwell City Institution	99.59	24.00	102.00	78.00	102.00	0.00
Clarinda						
Clarinda Institution	267.18	213.85	286.40	72.55	286.40	0.00
Mitchellville						
Mitchellville Institution	171.37	181.00	188.00	7.00	188.00	0.00
Industries						
Iowa State Industries	78.68	78.00	78.00	0.00	78.00	0.00
Corrections - Farm Account						
Consolidated Farm Operations	6.63	6.88	6.88	0.00	6.88	0.00
Fort Dodge						
Ft. Dodge Institution	303.08	246.00	306.00	60.00	306.00	0.00
Total Corrections, Dept. of	<u>4,065.68</u>	<u>3,378.42</u>	<u>4,057.13</u>	<u>678.71</u>	<u>4,057.13</u>	<u>0.00</u>

Justice System FTE

	Actual FY 2010 (1)	Estimated FY 2011 (2)	Gov Rec FY 2012 (3)	Gov Rec FY12 vs Est FY11 (4)	Gov Rec FY 2013 (5)	Gov Rec FY13 vs Gov Rec FY12 (6)
<u>Inspections & Appeals, Dept. of</u>						
Public Defender						
Public Defender	193.87	219.00	219.00	0.00	219.00	0.00
Total Inspections & Appeals, Dept. of	193.87	219.00	219.00	0.00	219.00	0.00
<u>Judicial Branch</u>						
Judicial Branch						
Judicial Branch	1,746.58	1,792.86	1,851.16	58.30	1,851.16	0.00
Total Judicial Branch	1,746.58	1,792.86	1,851.16	58.30	1,851.16	0.00
<u>Law Enforcement Academy</u>						
Law Enforcement Academy						
Law Enforcement Academy	25.15	26.80	24.55	-2.25	24.55	0.00
Total Law Enforcement Academy	25.15	26.80	24.55	-2.25	24.55	0.00
<u>Parole, Board of</u>						
Parole Board						
Parole Board	11.02	12.50	12.50	0.00	12.50	0.00
Total Parole, Board of	11.02	12.50	12.50	0.00	12.50	0.00
<u>Public Defense, Dept. of</u>						
Public Defense, Dept. of						
National Guard Facilities Improvement Fund	8.70	15.00	16.00	1.00	16.00	0.00
Military Operations Fund	0.98	0.50	0.50	0.00	0.50	0.00
Public Defense, Department of	299.80	301.65	313.00	11.35	313.00	0.00
Total Public Defense, Dept. of	309.48	317.15	329.50	12.35	329.50	0.00

Justice System

FTE

	Actual FY 2010	Estimated FY 2011	Gov Rec FY 2012	Gov Rec FY12 vs Est FY11	Gov Rec FY 2013	Gov Rec FY13 vs Gov Rec FY12
	(1)	(2)	(3)	(4)	(5)	(6)
Emergency Management Division						
Wireless E911 Surcharge	1.97	2.00	2.00	0.00	2.00	0.00
Homeland Security Grant Program	0.00	13.06	13.06	0.00	13.06	0.00
Pre disaster mitigation - Competitive	0.00	0.35	0.35	0.00	0.35	0.00
Power Plant Funds	2.95	6.38	6.38	0.00	6.38	0.00
Hazard Mitigation	0.00	24.20	24.06	-0.14	24.06	0.00
State & Local Assistance	0.00	2.03	2.00	-0.03	2.00	0.00
Emergency Response Fund	0.00	1.11	1.11	0.00	1.11	0.00
E.M.D. Performance Grant	0.00	1.00	0.00	-1.00	0.00	0.00
2004 Distribution #1518 Public Assist.	0.00	46.87	61.64	14.77	61.64	0.00
Homeland Security & Emer. Mgmt.	67.43	34.10	40.00	5.90	40.00	0.00
Total Emergency Management Division	72.35	131.10	150.60	19.50	150.60	0.00
Total Public Defense, Dept. of	381.83	448.25	480.10	31.85	480.10	0.00
Public Safety, Department of						
Public Safety, Dept. of						
Peace Officers Retirement Fund	0.98	1.00	1.00	0.00	1.00	0.00
Electrician & Installers Licensing Fund	27.84	28.00	28.00	0.00	28.00	0.00
Public Safety Administration	36.15	36.00	36.00	0.00	36.00	0.00
Public Safety DCI	258.53	160.10	159.10	-1.00	157.10	-2.00
Narcotics Enforcement	72.90	74.00	74.00	0.00	74.00	0.00
DPS Fire Marshal	55.05	55.00	55.00	0.00	55.00	0.00
Iowa State Patrol	508.08	515.00	513.00	-2.00	513.00	0.00
DPS Gaming Enforcement	1.63	120.00	120.00	0.00	120.00	0.00
Total Public Safety, Department of	961.17	989.10	986.10	-3.00	984.10	-2.00
Total Justice System	7,653.68	7,152.93	7,930.54	777.61	7,928.54	-2.00

Appendix D

Schedules

This page intentionally left blank.

Schedule 1 Example

The Schedule 1 shows the “decision packages” used by the Executive Branch to arrive at the Department’s annual budget request (dollars and FTE positions) and the Governor’s recommendations for a particular budget unit.

STATE OF IOWA
 Fiscal Year 2012 Annual Budget
 SPECIAL DEPARTMENT: (660) Natural Resources, Department of
 Budget Unit: (5420G720001) GF-Natural Resources Operations
 Schedule 1

Rank	Description	Funding Source	Fiscal Year 2012 Department Request	Fiscal Year 2012 Governor's Recommendations
Base	Maintain essential services associated with natural resource protection, recreation and preservation.	Appropriation FTE	15,600,710 1,145.95	15,600,710 1,145.95
0001	FY 11 Savings reduction for SERIP & EO 20 per SF 2088 rolled into FY 12 and FY 13.	Appropriation	0	-2,152,106
0002	Additional Reductions for FY 12 & FY 13	Appropriation	0	-806,916
Total Budget Unit Funding			Fiscal Year 2011 Estimated	Fiscal Year 2012 Governor's Recommendations
Appropriation			\$ 15,600,710	\$ 12,641,688
Total FTE			1,145.95	1,145.95

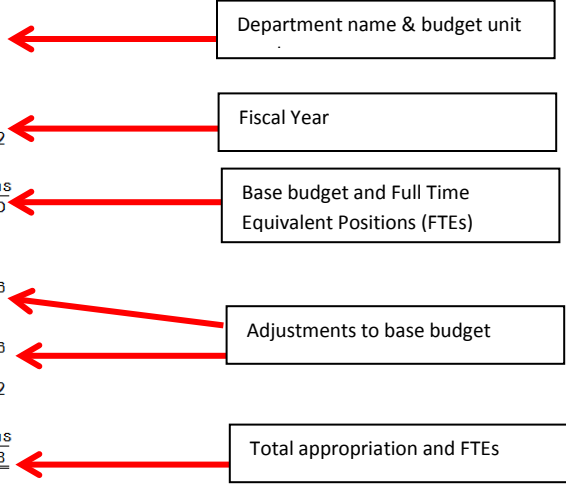
Department name & budget unit

Fiscal Year

Base budget and Full Time Equivalent Positions (FTEs)

Adjustments to base budget

Total appropriation and FTEs



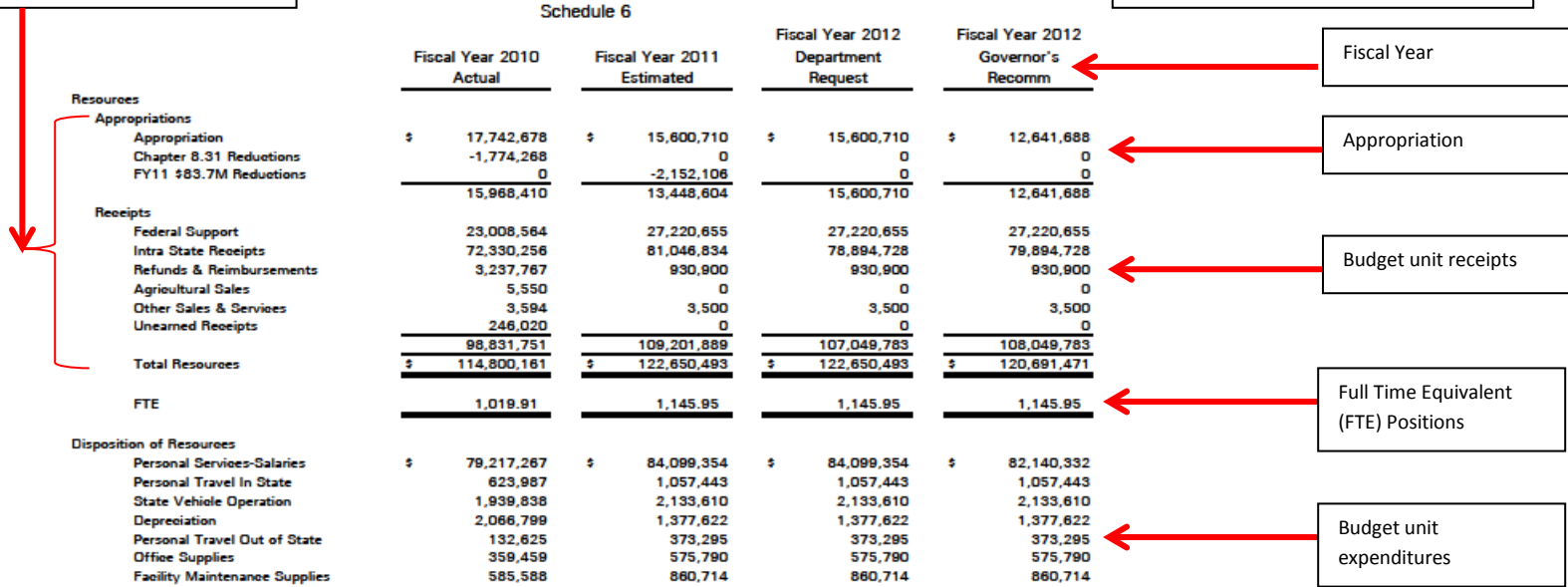
A Schedule 1 provides a summary of funding available for a Department. The Schedule shows both General Fund and total funds plus FTE positions. The Schedule shows, by priority, any changes to current law (or budget).

Schedule 6 Example

The Schedule 6 shows all of the resources for a particular budget unit, including appropriations, federal funds, fee revenue, transfers from other agencies,

STATE OF IOWA
Fiscal Year 2012 Annual Budget
SPECIAL DEPARTMENT: (660) Natural Resources, Department of
Budget Unit: (5420G720001) GF-Natural Resources Operations
Schedule 6

	Fiscal Year 2010 Actual	Fiscal Year 2011 Estimated	Fiscal Year 2012 Department Request	Fiscal Year 2012 Governor's Recomm
Resources				
Appropriations				
Appropriation	\$ 17,742,678	\$ 15,600,710	\$ 15,600,710	\$ 12,641,688
Chapter 8.31 Reductions	-1,774,268	0	0	0
FY11 \$83.7M Reductions	0	-2,152,106	0	0
	<u>15,968,410</u>	<u>13,448,604</u>	<u>15,600,710</u>	<u>12,641,688</u>
Receipts				
Federal Support	23,008,564	27,220,655	27,220,655	27,220,655
Intra State Receipts	72,330,256	81,046,834	78,894,728	79,894,728
Refunds & Reimbursements	3,237,767	930,900	930,900	930,900
Agricultural Sales	5,550	0	0	0
Other Sales & Services	3,594	3,500	3,500	3,500
Unearned Receipts	246,020	0	0	0
	<u>98,831,751</u>	<u>109,201,889</u>	<u>107,049,783</u>	<u>108,049,783</u>
Total Resources	\$ 114,800,161	\$ 122,650,493	\$ 122,650,493	\$ 120,691,471
FTE	1,019.91	1,145.95	1,145.95	1,145.95
Disposition of Resources				
Personal Services-Salaries	\$ 79,217,267	\$ 84,099,354	\$ 84,099,354	\$ 82,140,332
Personal Travel In State	623,987	1,057,443	1,057,443	1,057,443
State Vehicle Operation	1,939,838	2,133,610	2,133,610	2,133,610
Depreciation	2,066,799	1,377,622	1,377,622	1,377,622
Personal Travel Out of State	132,625	373,295	373,295	373,295
Office Supplies	359,459	575,790	575,790	575,790
Facility Maintenance Supplies	585,588	860,714	860,714	860,714
Equipment Maintenance Supplies	1,143,102	1,216,335	1,216,335	1,216,335



A Schedule 6 provides a detailed budget for all appropriated accounts or Funds under the control of a Department. Receipts include the appropriation, salary adjustment (if applicable), across-the-board reductions, supplemental appropriations, intra-state receipts from other agencies, receipts from local governments, and other receipts, such as fees. Expenditures include all expenses related to the operating budget, such as salary, travel, contracts, etc. Expenditures also include the reversion or balance brought forward.

Budget schedules are available at: <http://www.legis.iowa.gov/LSAReports/relateddocSchedules.aspx>

STATE OF IOWA
Fiscal Year 2012 Annual Budget
SPECIAL DEPARTMENT: (090) Attorney General
Budget Unit: (11200000010) Victim Compensation Fund
Schedule 6

	Fiscal Year 2010 Actual	Fiscal Year 2011 Estimated	Fiscal Year 2012 Department Request	Fiscal Year 2012 Governor's Recomm
Resources				
Other Resources				
Balance Brought Forward (Funds)	\$ 103,076	\$ 3,274,455	\$ 3,252,609	\$ 3,252,609
Adjustment to Balance Forward	3,660	0	0	0
	<u>106,736</u>	<u>3,274,455</u>	<u>3,252,609</u>	<u>3,252,609</u>
Receipts				
Federal Support	5,491,679	2,594,538	2,424,167	2,424,167
Refunds & Reimbursements	961,734	850,000	900,000	900,000
Other	7,137,792	6,585,000	7,200,000	7,200,000
	<u>13,591,204</u>	<u>10,029,538</u>	<u>10,524,167</u>	<u>10,524,167</u>
Total Resources	<u>\$ 13,697,941</u>	<u>\$ 13,303,993</u>	<u>\$ 13,776,776</u>	<u>\$ 13,776,776</u>
FTE				
	<u>20.83</u>	<u>22.00</u>	<u>22.00</u>	<u>22.00</u>
Disposition of Resources				
Personal Services-Salaries	\$ 1,537,999	\$ 1,552,448	\$ 1,613,183	\$ 1,613,183
Personal Travel In State	9,536	10,000	10,000	10,000
Personal Travel Out of State	3,890	10,000	10,000	10,000
Office Supplies	11,116	12,000	12,000	12,000
Equipment Maintenance Supplies	1,349	3,000	3,000	3,000
Professional & Scientific Supplies	1,805	3,000	3,000	3,000
Other Supplies	19,989	15,000	15,000	15,000
Printing & Binding	7,538	20,000	20,000	20,000
Drugs & Biologicals	10,606	6,000	6,000	6,000
Postage	20,709	25,000	25,000	25,000
Communications	15,389	17,500	17,500	17,500
Rentals	3,560	5,000	5,000	5,000

STATE OF IOWA
Fiscal Year 2012 Annual Budget
SPECIAL DEPARTMENT: (090) Attorney General
Budget Unit: (1120000010) Victim Compensation Fund
Schedule 6

	Fiscal Year 2010 Actual	Fiscal Year 2011 Estimated	Fiscal Year 2012 Department Request	Fiscal Year 2012 Governor's Recomm
Disposition of Resources (cont.)				
Professional & Scientific Services	5,212,757	5,962,000	6,100,000	6,100,000
Outside Services	620,670	715,000	715,000	715,000
Intra-State Transfers	1,571,546	103,816	103,816	103,816
Advertising & Publicity	1,371	2,000	2,000	2,000
Attorney General Reimbursements	99,722	77,920	77,920	77,920
Reimbursement to Other Agencies	20,894	15,000	15,000	15,000
ITS Reimbursements	37,052	60,000	60,000	60,000
Office Equipment	14,331	0	0	0
Equipment - Non-Inventory	289	5,000	5,000	5,000
IT Equipment	7,364	10,000	10,000	10,000
Claims	1,151,222	1,376,700	1,260,000	1,260,000
Refunds-Other	12,783	15,000	15,000	15,000
State Aid	30,000	30,000	30,000	30,000
Balance Carry Forward (Funds)	3,274,455	3,252,609	3,643,357	3,643,357
Total Disposition of Resources	<u>\$ 13,697,941</u>	<u>\$ 13,303,993</u>	<u>\$ 13,776,776</u>	<u>\$ 13,776,776</u>

STATE OF IOWA
 Fiscal Year 2012 Annual Budget
 SPECIAL DEPARTMENT: (090) Attorney General
 Budget Unit: (11200000088) Consumer Education Fund
 Schedule 6

	Fiscal Year 2010 Actual	Fiscal Year 2011 Estimated	Fiscal Year 2012 Department Request	Fiscal Year 2012 Governor's Recomm
Resources				
Other Resources				
Balance Brought Forward (Funds)	\$ 3,551,512	\$ 4,713,889	\$ 3,550,079	\$ 3,550,079
Receipts				
Intra State Receipts	1,500,000	0	0	0
Interest	36,608	100,000	100,000	100,000
Refunds & Reimbursements	1,068,977	225,000	225,000	225,000
	<u>2,605,585</u>	<u>325,000</u>	<u>325,000</u>	<u>325,000</u>
Total Resources	<u>\$ 6,157,097</u>	<u>\$ 5,038,889</u>	<u>\$ 3,875,079</u>	<u>\$ 3,875,079</u>
Disposition of Resources				
Professional & Scientific Services	\$ 27,000	\$ 50	\$ 50	\$ 50
Attorney General Reimbursements	1,403,926	1,488,660	1,488,660	1,488,660
Refunds-Other	12,281	100	100	100
Balance Carry Forward (Funds)	4,713,889	3,550,079	2,386,269	2,386,269
Total Disposition of Resources	<u>\$ 6,157,097</u>	<u>\$ 5,038,889</u>	<u>\$ 3,875,079</u>	<u>\$ 3,875,079</u>

STATE OF IOWA
Fiscal Year 2012 Annual Budget
SPECIAL DEPARTMENT: (090) Attorney General
Budget Unit: (11200000177) Tobacco Litigation Donations
Schedule 6

	Fiscal Year 2010 Actual	Fiscal Year 2011 Estimated	Fiscal Year 2012 Department Request	Fiscal Year 2012 Governor's Recomm
Resources				
Other Resources				
Balance Brought Forward (Funds)	\$ 19,710	\$ 0	\$ 0	\$ 0
Receipts				
Interest	131	100	100	100
Total Resources	<u>\$ 19,842</u>	<u>\$ 100</u>	<u>\$ 100</u>	<u>\$ 100</u>
Disposition of Resources				
Professional & Scientific Services	\$ 0	\$ 100	\$ 100	\$ 100
Attorney General Reimbursements	19,842	0	0	0
Total Disposition of Resources	<u>\$ 19,842</u>	<u>\$ 100</u>	<u>\$ 100</u>	<u>\$ 100</u>

STATE OF IOWA
 Fiscal Year 2012 Annual Budget
 SPECIAL DEPARTMENT: (090) Attorney General
 Budget Unit: (11200000251) Court Ordered Environmental Crime Fines
 Schedule 6

	Fiscal Year 2010 Actual	Fiscal Year 2011 Estimated	Fiscal Year 2012 Department Request	Fiscal Year 2012 Governor's Recomm
Resources				
Other Resources				
Balance Brought Forward (Funds)	\$ 4,997	\$ 5,061	\$ 1	\$ 1
Receipts				
Interest	64	100	100	100
Refunds & Reimbursements	0	100	100	100
	<u>64</u>	<u>200</u>	<u>200</u>	<u>200</u>
Total Resources	<u>\$ 5,061</u>	<u>\$ 5,261</u>	<u>\$ 201</u>	<u>\$ 201</u>
Disposition of Resources				
Professional & Scientific Services	\$ 0	\$ 200	\$ 200	\$ 200
Attorney General Reimbursements	0	5,060	0	0
Balance Carry Forward (Funds)	5,061	1	1	1
Total Disposition of Resources	<u>\$ 5,061</u>	<u>\$ 5,261</u>	<u>\$ 201</u>	<u>\$ 201</u>

STATE OF IOWA
Fiscal Year 2012 Annual Budget
SPECIAL DEPARTMENT: (090) Attorney General
Budget Unit: (11200000294) Consumer Credit Administration Fund
Schedule 6

	Fiscal Year 2010 Actual	Fiscal Year 2011 Estimated	Fiscal Year 2012 Department Request	Fiscal Year 2012 Governor's Recomm
Resources				
Other Resources				
Balance Brought Forward (Funds)	\$ 286,022	\$ 258,237	\$ 253,187	\$ 253,187
Receipts				
Fees, Licenses & Permits	231,749	245,000	245,000	245,000
Total Resources	<u>\$ 517,771</u>	<u>\$ 503,237</u>	<u>\$ 498,187</u>	<u>\$ 498,187</u>
Disposition of Resources				
Attorney General Reimbursements	\$ 255,816	\$ 245,000	\$ 245,000	\$ 245,000
Other Expense & Obligations	3,718	5,000	5,000	5,000
Refunds-Other	0	50	50	50
Balance Carry Forward (Funds)	258,237	253,187	248,137	248,137
Total Disposition of Resources	<u>\$ 517,771</u>	<u>\$ 503,237</u>	<u>\$ 498,187</u>	<u>\$ 498,187</u>

STATE OF IOWA
 Fiscal Year 2012 Annual Budget
 SPECIAL DEPARTMENT: (090) Attorney General
 Budget Unit: (11200000373) Elderly Victims Fraud Fund
 Schedule 6

	Fiscal Year 2010 Actual	Fiscal Year 2011 Estimated	Fiscal Year 2012 Department Request	Fiscal Year 2012 Governor's Recomm
Resources				
Other Resources				
Balance Brought Forward (Funds)	\$ 1,550,441	\$ 920,181	\$ 202,181	\$ 202,181
Receipts				
Refunds & Reimbursements	7,304	50,000	50,000	50,000
Total Resources	<u>\$ 1,557,745</u>	<u>\$ 970,181</u>	<u>\$ 252,181</u>	<u>\$ 252,181</u>
Disposition of Resources				
Attorney General Reimbursements	\$ 626,410	\$ 756,000	\$ 245,000	\$ 245,000
Other Expense & Obligations	11,154	12,000	4,000	4,000
Balance Carry Forward (Funds)	920,181	202,181	3,181	3,181
Total Disposition of Resources	<u>\$ 1,557,745</u>	<u>\$ 970,181</u>	<u>\$ 252,181</u>	<u>\$ 252,181</u>

STATE OF IOWA
 Fiscal Year 2012 Annual Budget
 SPECIAL DEPARTMENT: (090) Attorney General
 Budget Unit: (11200000387) Fine Paper Anti Trust
 Schedule 6

	Fiscal Year 2010 Actual	Fiscal Year 2011 Estimated	Fiscal Year 2012 Department Request	Fiscal Year 2012 Governor's Recomm
Resources				
Other Resources				
Balance Brought Forward (Funds)	\$ 117,610	\$ 536,738	\$ 389,738	\$ 389,738
Receipts				
Interest	1,867	3,000	3,000	3,000
Refunds & Reimbursements	600,575	50,000	50,000	50,000
	<u>602,442</u>	<u>53,000</u>	<u>53,000</u>	<u>53,000</u>
Total Resources	<u>\$ 720,052</u>	<u>\$ 589,738</u>	<u>\$ 442,738</u>	<u>\$ 442,738</u>
Disposition of Resources				
Professional & Scientific Services	\$ 0	\$ 14,500	\$ 14,500	\$ 14,500
Intra-State Transfers	15,726	16,000	16,000	16,000
Attorney General Reimbursements	167,588	169,500	169,500	169,500
Balance Carry Forward (Funds)	536,738	389,738	242,738	242,738
Total Disposition of Resources	<u>\$ 720,052</u>	<u>\$ 589,738</u>	<u>\$ 442,738</u>	<u>\$ 442,738</u>

STATE OF IOWA
 Fiscal Year 2012 Annual Budget
 SPECIAL DEPARTMENT: (090) Attorney General
 Budget Unit: (11200000424) Forfeited Property
 Schedule 6

	Fiscal Year 2010 Actual	Fiscal Year 2011 Estimated	Fiscal Year 2012 Department Request	Fiscal Year 2012 Governor's Recomm
Resources				
Other Resources				
Balance Brought Forward (Funds)	\$ 388,511	\$ 289,634	\$ 271,634	\$ 271,634
Receipts				
Refunds & Reimbursements	208,261	250,000	250,000	250,000
Total Resources	<u>\$ 596,772</u>	<u>\$ 539,634</u>	<u>\$ 521,634</u>	<u>\$ 521,634</u>
Disposition of Resources				
Professional & Scientific Services	\$ 2,348	\$ 2,500	\$ 2,500	\$ 2,500
Attorney General Reimbursements	289,100	250,000	250,000	250,000
Refunds-Other	5,388	2,000	2,000	2,000
State Aid	10,301	13,500	13,500	13,500
Balance Carry Forward (Funds)	289,634	271,634	253,634	253,634
Total Disposition of Resources	<u>\$ 596,772</u>	<u>\$ 539,634</u>	<u>\$ 521,634</u>	<u>\$ 521,634</u>

STATE OF IOWA
Fiscal Year 2012 Annual Budget
SPECIAL DEPARTMENT: (090) Attorney General
Budget Unit: (11200000822) Consumer Fraud Refunds
Schedule 6

	Fiscal Year 2010 Actual	Fiscal Year 2011 Estimated	Fiscal Year 2012 Department Request	Fiscal Year 2012 Governor's Recomm
Resources				
Other Resources				
Balance Brought Forward (Funds)	\$ 1,895,066	\$ 1,238,646	\$ 1,140,986	\$ 1,140,986
Adjustment to Balance Forward	1,650	0	0	0
	<u>1,896,716</u>	<u>1,238,646</u>	<u>1,140,986</u>	<u>1,140,986</u>
Receipts				
Refunds & Reimbursements	112,590	150,000	150,000	150,000
Total Resources	<u>\$ 2,009,307</u>	<u>\$ 1,388,646</u>	<u>\$ 1,290,986</u>	<u>\$ 1,290,986</u>
Disposition of Resources				
Professional & Scientific Services	\$ 0	\$ 1,000	\$ 1,000	\$ 1,000
Intra-State Transfers	0	100	100	100
Attorney General Reimbursements	35,133	71,560	71,560	71,560
Refunds-Other	33,595	50,000	50,000	50,000
State Aid	701,933	125,000	125,000	125,000
Balance Carry Forward (Funds)	1,238,646	1,140,986	1,043,326	1,043,326
Total Disposition of Resources	<u>\$ 2,009,307</u>	<u>\$ 1,388,646</u>	<u>\$ 1,290,986</u>	<u>\$ 1,290,986</u>

STATE OF IOWA
Fiscal Year 2012 Annual Budget
SPECIAL DEPARTMENT: (090) Attorney General
Budget Unit: (1120B010001) General Office A.G.
Schedule 6

	Fiscal Year 2010 Actual	Fiscal Year 2011 Estimated	Fiscal Year 2012 Department Request	Fiscal Year 2012 Governor's Recomm
Resources				
Appropriations				
Appropriation	\$ 8,592,145	\$ 7,792,930	\$ 7,942,930	\$ 7,792,930
Chapter 8.31 Reductions	-859,215	0	0	0
	<u>7,732,930</u>	<u>7,792,930</u>	<u>7,942,930</u>	<u>7,792,930</u>
Receipts				
Intra State Receipts	374,272	556,839	406,839	406,839
Reimbursement from Other Agencies	14,669,734	15,139,225	15,181,691	15,181,691
Refunds & Reimbursements	94,579	104,038	104,038	104,038
	<u>15,138,585</u>	<u>15,800,102</u>	<u>15,692,568</u>	<u>15,692,568</u>
Total Resources	<u>\$ 22,871,515</u>	<u>\$ 23,593,032</u>	<u>\$ 23,635,498</u>	<u>\$ 23,485,498</u>
FTE	<u>198.15</u>	<u>214.00</u>	<u>223.00</u>	<u>223.00</u>
Disposition of Resources				
Personal Services-Salaries	\$ 21,307,843	\$ 21,920,053	\$ 21,962,519	\$ 21,812,519
Personal Travel In State	101,766	110,450	110,450	110,450
State Vehicle Operation	19,383	22,000	22,000	22,000
Depreciation	14,575	14,000	14,000	14,000
Personal Travel Out of State	67,090	92,350	92,350	92,350
Office Supplies	138,210	123,079	123,579	123,579
Equipment Maintenance Supplies	12,118	16,500	16,500	16,500
Other Supplies	1,232	1,600	1,600	1,600
Printing & Binding	10,806	24,550	24,550	24,550
Postage	44,497	51,850	51,850	51,850
Communications	80,206	95,700	95,700	95,700
Rentals	7,628	8,150	8,150	8,150

STATE OF IOWA
 Fiscal Year 2012 Annual Budget
 SPECIAL DEPARTMENT: (090) Attorney General
 Budget Unit: (1120B010001) General Office A.G.
 Schedule 6

	Fiscal Year 2010 Actual	Fiscal Year 2011 Estimated	Fiscal Year 2012 Department Request	Fiscal Year 2012 Governor's Recomm
Disposition of Resources (cont.)				
Professional & Scientific Services	471,375	438,400	438,400	438,400
Outside Services	254,245	280,800	282,800	282,800
Intra-State Transfers	341	2,000	0	0
Advertising & Publicity	13,050	12,950	12,950	12,950
Reimbursement to Other Agencies	271,611	280,200	280,200	280,200
ITS Reimbursements	30,091	32,350	32,350	32,350
Office Equipment	0	13,200	13,200	13,200
Equipment - Non-Inventory	208	8,100	7,600	7,600
IT Equipment	15,162	39,150	39,150	39,150
Fees	4,144	5,350	5,350	5,350
Refunds-Other	0	250	250	250
Reversions	5,933	0	0	0
Total Disposition of Resources	<u>\$ 22,871,515</u>	<u>\$ 23,593,032</u>	<u>\$ 23,635,498</u>	<u>\$ 23,485,498</u>

STATE OF IOWA
 Fiscal Year 2012 Annual Budget
 SPECIAL DEPARTMENT: (090) Attorney General
 Budget Unit: (1120B100001) Victim Assistance Grants
 Schedule 6

	Fiscal Year 2010 Actual	Fiscal Year 2011 Estimated	Fiscal Year 2012 Department Request	Fiscal Year 2012 Governor's Recomm
Resources				
Appropriations				
Appropriation	\$ 3,400,000	\$ 3,060,000	\$ 3,060,000	\$ 2,876,400
Chapter 8.31 Reductions	-340,000	0	0	0
	<u>3,060,000</u>	<u>3,060,000</u>	<u>3,060,000</u>	<u>2,876,400</u>
Receipts				
Federal Support	8,201,638	7,488,915	6,979,166	6,979,166
Total Resources	<u>\$ 11,261,638</u>	<u>\$ 10,548,915</u>	<u>\$ 10,039,166</u>	<u>\$ 9,855,566</u>
Disposition of Resources				
Outside Services	\$ 139,867	\$ 190,000	\$ 10,000	\$ 10,000
Intra-State Transfers	503,364	316,000	336,000	336,000
State Aid	10,617,948	10,042,915	9,693,166	9,509,566
Reversions	460	0	0	0
Total Disposition of Resources	<u>\$ 11,261,638</u>	<u>\$ 10,548,915</u>	<u>\$ 10,039,166</u>	<u>\$ 9,855,566</u>

STATE OF IOWA
 Fiscal Year 2012 Annual Budget
 SPECIAL DEPARTMENT: (090) Attorney General
 Budget Unit: (1120B110001) Legal Services Poverty Grants
 Schedule 6

	Fiscal Year 2010 Actual	Fiscal Year 2011 Estimated	Fiscal Year 2012 Department Request	Fiscal Year 2012 Governor's Recomm
Resources				
Appropriations				
Appropriation	\$ 1,954,634	\$ 1,930,671	\$ 1,930,671	\$ 1,814,831
Chapter 8.31 Reductions	-195,463	0	0	0
	<u>1,759,171</u>	<u>1,930,671</u>	<u>1,930,671</u>	<u>1,814,831</u>
Total Resources	<u>\$ 1,759,171</u>	<u>\$ 1,930,671</u>	<u>\$ 1,930,671</u>	<u>\$ 1,814,831</u>
Disposition of Resources				
State Aid	<u>\$ 1,759,171</u>	<u>\$ 1,930,671</u>	<u>\$ 1,930,671</u>	<u>\$ 1,814,831</u>

STATE OF IOWA
Fiscal Year 2012 Annual Budget
SPECIAL DEPARTMENT: (090) Attorney General
Budget Unit: (1140B060001) Consumer Advocate
Schedule 6

	Fiscal Year 2010 Actual	Fiscal Year 2011 Estimated	Fiscal Year 2012 Department Request	Fiscal Year 2012 Governor's Recomm
Resources				
Appropriations				
Appropriation	\$ 0	\$ 0	\$ 0	\$ 3,136,163
Receipts				
Reimbursement from Other Agencies	0	0	0	16,000
Total Resources	<u>\$ 0</u>	<u>\$ 0</u>	<u>\$ 0</u>	<u>\$ 3,152,163</u>
FTE	<u>0.01</u>	<u>0.00</u>	<u>0.00</u>	<u>27.00</u>
Disposition of Resources				
Personal Services-Salaries	\$ 0	\$ 0	\$ 0	\$ 2,534,940
Personal Travel In State	0	0	0	8,000
Personal Travel Out of State	0	0	0	22,000
Office Supplies	0	0	0	40,700
Equipment Maintenance Supplies	0	0	0	8,000
Printing & Binding	0	0	0	8,000
Postage	0	0	0	3,400
Communications	0	0	0	21,000
Rentals	0	0	0	52,900
Utilities	0	0	0	7,500
Professional & Scientific Services	0	0	0	13,000
Outside Services	0	0	0	23,305
Intra-State Transfers	0	0	0	47,000
Attorney General Reimbursements	0	0	0	27,500
Reimbursement to Other Agencies	0	0	0	9,500
ITS Reimbursements	0	0	0	6,100
Office Equipment	0	0	0	9,000

STATE OF IOWA
 Fiscal Year 2012 Annual Budget
 SPECIAL DEPARTMENT: (090) Attorney General
 Budget Unit: (1140B060001) Consumer Advocate
 Schedule 6

	Fiscal Year 2010 Actual	Fiscal Year 2011 Estimated	Fiscal Year 2012 Department Request	Fiscal Year 2012 Governor's Recomm
Disposition of Resources (cont.)				
Equipment - Non-Inventory	0	0	0	500
IT Equipment	0	0	0	14,162
Debt Retirement - Bonds	0	0	0	295,656
Total Disposition of Resources	<u>\$ 0</u>	<u>\$ 0</u>	<u>\$ 0</u>	<u>\$ 3,152,163</u>

STATE OF IOWA
Fiscal Year 2012 Annual Budget
SPECIAL DEPARTMENT: (090) Attorney General
Budget Unit: (1140B070019) Consumer Advocate - Fund 0019
Schedule 6

	Fiscal Year 2010 Actual	Fiscal Year 2011 Estimated	Fiscal Year 2012 Department Request	Fiscal Year 2012 Governor's Recomm
Resources				
Appropriations				
Appropriation	\$ 3,138,888	\$ 3,336,344	\$ 3,336,344	\$ 0
Receipts				
Intra State Receipts	15,726	0	0	0
Reimbursement from Other Agencies	1,423	16,000	16,000	0
	<u>17,149</u>	<u>16,000</u>	<u>16,000</u>	<u>0</u>
Total Resources	<u>\$ 3,156,037</u>	<u>\$ 3,352,344</u>	<u>\$ 3,352,344</u>	<u>\$ 0</u>
FTE	<u>19.53</u>	<u>22.00</u>	<u>27.00</u>	<u>0.00</u>
Disposition of Resources				
Personal Services-Salaries	\$ 2,467,993	\$ 2,735,121	\$ 2,735,121	\$ 0
Personal Travel In State	8,932	8,000	8,000	0
Personal Travel Out of State	10,128	22,000	22,000	0
Office Supplies	21,520	40,700	40,700	0
Equipment Maintenance Supplies	5,551	8,000	8,000	0
Printing & Binding	932	8,000	8,000	0
Postage	627	3,400	3,400	0
Communications	20,022	21,000	21,000	0
Rentals	136,217	52,900	52,900	0
Utilities	0	7,500	7,500	0
Professional & Scientific Services	179,989	13,000	13,000	0
Outside Services	12,341	23,305	23,305	0
Intra-State Transfers	16,287	47,000	47,000	0
Attorney General Reimbursements	25,628	27,500	27,500	0
Reimbursement to Other Agencies	7,967	9,500	9,500	0

STATE OF IOWA
 Fiscal Year 2012 Annual Budget
 SPECIAL DEPARTMENT: (090) Attorney General
 Budget Unit: (1140B070019) Consumer Advocate - Fund 0019
 Schedule 6

	Fiscal Year 2010 Actual	Fiscal Year 2011 Estimated	Fiscal Year 2012 Department Request	Fiscal Year 2012 Governor's Recomm
Disposition of Resources (cont.)				
ITS Reimbursements	6,288	6,100	6,100	0
Office Equipment	0	9,000	9,000	0
Equipment - Non-Inventory	0	500	500	0
IT Equipment	3,271	14,162	14,162	0
Debt Retirement - Bonds	0	295,656	295,656	0
Reversions	232,342	0	0	0
Total Disposition of Resources	<u>\$ 3,156,037</u>	<u>\$ 3,352,344</u>	<u>\$ 3,352,344</u>	<u>\$ 0</u>

STATE OF IOWA
Fiscal Year 2012 Annual Budget
SPECIAL DEPARTMENT: (180) Civil Rights Commission
Budget Unit: (1670J210001) Civil Rights Commission
Schedule 6

	Fiscal Year 2010 Actual	Fiscal Year 2011 Estimated	Fiscal Year 2012 Department Request	Fiscal Year 2012 Governor's Recomm
Resources				
Appropriations				
Appropriation	\$ 1,533,179	\$ 1,379,861	\$ 1,379,861	\$ 1,297,069
Chapter 8.31 Reductions	-153,318	0	0	0
FY11 \$83.7M Reductions	0	-44,579	0	0
	<u>1,379,861</u>	<u>1,335,282</u>	<u>1,379,861</u>	<u>1,297,069</u>
Other Resources				
Balance Brought Forward (Approps)	0	0	0	-44,579
Appropriation Transfer	47,100	0	0	0
	<u>47,100</u>	<u>0</u>	<u>0</u>	<u>-44,579</u>
Receipts				
Federal Support	1,016,838	1,036,582	1,120,000	1,120,000
Intra State Receipts	83,850	149,850	49,850	49,850
Refunds & Reimbursements	55,985	35,000	35,000	35,000
Other	53,020	14,000	30,000	30,000
	<u>1,209,693</u>	<u>1,235,432</u>	<u>1,234,850</u>	<u>1,234,850</u>
Total Resources	<u>\$ 2,636,654</u>	<u>\$ 2,570,714</u>	<u>\$ 2,614,711</u>	<u>\$ 2,487,340</u>
FTE	<u>29.86</u>	<u>28.00</u>	<u>28.00</u>	<u>28.00</u>
Disposition of Resources				
Personal Services-Salaries	\$ 2,153,258	\$ 2,223,808	\$ 2,223,808	\$ 2,141,016
Personal Travel In State	15,046	10,000	15,458	15,458
Personal Travel Out of State	17,137	19,658	16,951	16,951
Office Supplies	10,355	8,341	10,600	10,600
Professional & Scientific Supplies	409	0	500	500
Other Supplies	1,352	1,000	0	0

STATE OF IOWA
 Fiscal Year 2012 Annual Budget
 SPECIAL DEPARTMENT: (180) Civil Rights Commission
 Budget Unit: (1670J210001) Civil Rights Commission
 Schedule 6

	Fiscal Year 2010 Actual	Fiscal Year 2011 Estimated	Fiscal Year 2012 Department Request	Fiscal Year 2012 Governor's Recomm
Disposition of Resources (cont.)				
Printing & Binding	3,860	5,000	2,700	2,700
Food	1,135	2,000	1,000	1,000
Postage	29,647	23,000	29,700	29,700
Communications	24,395	25,000	24,800	24,800
Rentals	5,579	5,496	5,500	5,500
Professional & Scientific Services	3,366	0	3,500	3,500
Outside Services	119,413	85,490	77,444	77,444
Intra-State Transfers	750	0	750	750
Advertising & Publicity	12,531	5,000	2,000	2,000
Outside Repairs/Service	0	500	500	500
Attorney General Reimbursements	124,209	118,000	125,000	125,000
Auditor of State Reimbursements	508	500	500	500
Reimbursement to Other Agencies	36,089	39,000	36,500	36,500
ITS Reimbursements	31,923	35,000	35,000	35,000
Workers Comp. Reimbursement	0	500	0	0
IT Equipment	0	5,000	0	0
Other Expense & Obligations	2,577	3,000	2,500	2,500
Appropriation Transfer	30,615	0	0	0
Balance Carry Forward (Approps)	0	-44,579	0	-44,579
Reversions	12,500	0	0	0
Total Disposition of Resources	<u>\$ 2,636,654</u>	<u>\$ 2,570,714</u>	<u>\$ 2,614,711</u>	<u>\$ 2,487,340</u>

STATE OF IOWA
Fiscal Year 2012 Annual Budget
SPECIAL DEPARTMENT: (200) Corrections, Department of
Budget Unit: (2210A010001) CBC District I
Schedule 6

	Fiscal Year 2010 Actual	Fiscal Year 2011 Estimated	Fiscal Year 2012 Department Request	Fiscal Year 2012 Governor's Recomm
Resources				
Appropriations				
Appropriation	\$ 13,242,989	\$ 12,453,082	\$ 12,453,082	\$ 12,020,098
Chapter 8.31 Reductions	-1,324,299	0	0	0
FY11 \$83.7M Reductions	0	-926,337	0	0
Supplementals	110,275	0	0	0
	<u>12,028,965</u>	<u>11,526,745</u>	<u>12,453,082</u>	<u>12,020,098</u>
Other Resources				
Balance Brought Forward (Approps)	223,968	195,415	100,000	0
Appropriation Transfer	37,532	0	0	0
	<u>261,500</u>	<u>195,415</u>	<u>100,000</u>	<u>0</u>
Receipts				
Federal Support	442,830	0	0	0
Local Governments	365,878	368,980	368,980	368,980
Intra State Receipts	0	129,690	100,000	0
Reimbursement from Other Agencies	148,982	786,041	786,041	786,041
Interest	20,949	20,000	20,000	20,000
Fees, Licenses & Permits	613,126	555,000	555,000	555,000
Refunds & Reimbursements	1,942,910	2,023,900	2,023,900	2,023,900
Other	18,907	15,000	15,000	15,000
	<u>3,553,582</u>	<u>3,898,611</u>	<u>3,868,921</u>	<u>3,768,921</u>
Total Resources	<u>\$ 15,844,047</u>	<u>\$ 15,620,771</u>	<u>\$ 16,422,003</u>	<u>\$ 15,789,019</u>
FTE	<u>194.50</u>	<u>143.92</u>	<u>185.61</u>	<u>177.41</u>
Disposition of Resources				
Personal Services-Salaries	\$ 14,195,988	\$ 14,101,729	\$ 14,998,376	\$ 14,516,665

STATE OF IOWA
Fiscal Year 2012 Annual Budget
SPECIAL DEPARTMENT: (200) Corrections, Department of
Budget Unit: (2210A010001) CBC District I
Schedule 6

	Fiscal Year 2010 Actual	Fiscal Year 2011 Estimated	Fiscal Year 2012 Department Request	Fiscal Year 2012 Governor's Recomm
Disposition of Resources (cont.)				
Personal Travel In State	23,463	29,147	29,147	29,147
State Vehicle Operation	26,296	28,000	28,000	28,000
Personal Travel Out of State	1,158	20,000	20,000	20,000
Office Supplies	34,925	40,790	40,790	40,790
Facility Maintenance Supplies	5,779	12,000	12,000	12,000
Professional & Scientific Supplies	23,928	31,000	31,000	31,000
Housing & Subsistence Supplies	66,692	72,000	72,000	72,000
Other Supplies	170	1,500	1,500	1,500
Food	307,174	310,000	310,000	210,000
Communications	88,926	90,430	90,430	90,430
Rentals	76,575	66,700	66,700	66,700
Utilities	165,385	158,000	158,000	158,000
Professional & Scientific Services	302,881	317,542	317,542	266,269
Outside Services	31,993	38,000	38,000	38,000
Advertising & Publicity	727	0	0	0
Outside Repairs/Service	25,116	68,750	10,000	10,000
Auditor of State Reimbursements	327	500	500	500
Reimbursement to Other Agencies	33,253	16,000	16,000	16,000
ITS Reimbursements	36,935	65,000	65,000	65,000
Workers Comp. Reimbursement	46,612	58,092	58,092	58,092
Equipment	0	24,000	0	0
Equipment - Non-Inventory	10,752	0	0	0
IT Equipment	39,802	49,165	36,500	36,500
Other Expense & Obligations	14,735	20,170	20,170	20,170
Interest Expense/Princ/Securities	29,052	2,256	2,256	2,256
Bonds, Credit Union, Deferred Comp	59,988	0	0	0

STATE OF IOWA
 Fiscal Year 2012 Annual Budget
 SPECIAL DEPARTMENT: (200) Corrections, Department of
 Budget Unit: (2210A010001) CBC District I
 Schedule 6

	Fiscal Year 2010 Actual	Fiscal Year 2011 Estimated	Fiscal Year 2012 Department Request	Fiscal Year 2012 Governor's Recomm
Disposition of Resources (cont.)				
Balance Carry Forward (Approps)	195,415	0	0	0
Total Disposition of Resources	<u>\$ 15,844,047</u>	<u>\$ 15,620,771</u>	<u>\$ 16,422,003</u>	<u>\$ 15,789,019</u>

STATE OF IOWA
Fiscal Year 2012 Annual Budget
SPECIAL DEPARTMENT: (200) Corrections, Department of
Budget Unit: (2220A020001) CBC District II
Schedule 6

	Fiscal Year 2010 Actual	Fiscal Year 2011 Estimated	Fiscal Year 2012 Department Request	Fiscal Year 2012 Governor's Recomm
Resources				
Appropriations				
Appropriation	\$ 11,096,272	\$ 10,770,616	\$ 10,770,616	\$ 10,336,948
Chapter 8.31 Reductions	-1,109,627	0	0	0
FY11 \$83.7M Reductions	0	-794,580	0	0
Supplementals	308,214	0	0	0
	<u>10,294,859</u>	<u>9,976,036</u>	<u>10,770,616</u>	<u>10,336,948</u>
Other Resources				
Balance Brought Forward (Funds)	0	0	137,417	0
Balance Brought Forward (Approps)	554,853	529,518	308,214	0
Appropriation Transfer	107,872	0	0	0
	<u>662,725</u>	<u>529,518</u>	<u>445,631</u>	<u>0</u>
Receipts				
Intra State Receipts	0	26,944	0	0
Reimbursement from Other Agencies	431,143	427,571	427,571	427,571
Interest	6,195	6,000	6,000	6,000
Fees, Licenses & Permits	386,851	444,969	444,969	582,386
Tuition & Fees	107,518	186,905	186,905	186,905
Refunds & Reimbursements	1,567,018	1,343,351	1,343,351	1,343,351
Other	44,921	42,334	42,334	42,334
	<u>2,543,646</u>	<u>2,478,074</u>	<u>2,451,130</u>	<u>2,588,547</u>
Total Resources	<u>\$ 13,501,230</u>	<u>\$ 12,983,628</u>	<u>\$ 13,667,377</u>	<u>\$ 12,925,495</u>
FTE	<u>160.94</u>	<u>139.66</u>	<u>152.63</u>	<u>144.36</u>
Disposition of Resources				
Personal Services-Salaries	\$ 11,491,147	\$ 11,475,775	\$ 12,099,056	\$ 11,665,388

STATE OF IOWA
Fiscal Year 2012 Annual Budget
SPECIAL DEPARTMENT: (200) Corrections, Department of
Budget Unit: (2220A020001) CBC District II
Schedule 6

	Fiscal Year 2010 Actual	Fiscal Year 2011 Estimated	Fiscal Year 2012 Department Request	Fiscal Year 2012 Governor's Recomm
Disposition of Resources (cont.)				
Personal Travel In State	126,660	148,400	148,400	148,400
State Vehicle Operation	7,797	8,135	8,135	3,300
Office Supplies	41,903	36,475	36,475	33,825
Facility Maintenance Supplies	3,536	2,125	2,125	2,125
Professional & Scientific Supplies	35,681	26,300	24,000	5,660
Housing & Subsistence Supplies	61,046	64,250	64,250	48,186
Other Supplies	3,126	4,725	4,725	4,725
Food	271,239	272,425	281,780	212,280
Communications	93,052	96,297	94,210	94,210
Rentals	200,728	196,008	196,008	196,008
Utilities	119,367	134,740	134,740	105,915
Professional & Scientific Services	266,692	117,686	117,686	117,686
Outside Services	58,382	93,185	93,185	93,185
Advertising & Publicity	5,382	3,000	3,000	3,000
Outside Repairs/Service	28,646	38,125	38,125	38,125
Reimbursement to Other Agencies	26,233	40,661	40,661	40,661
ITS Reimbursements	45,505	47,400	47,400	47,400
Workers Comp. Reimbursement	16,970	18,316	18,316	18,316
Equipment	6,844	5,000	5,000	5,000
Office Equipment	0	3,000	3,000	3,000
Equipment - Non-Inventory	1,915	1,200	1,200	1,200
IT Equipment	20,371	71,400	37,900	37,900
Other Expense & Obligations	31,613	33,000	33,000	0
Capitals	7,877	46,000	135,000	0
Balance Carry Forward (Approps)	529,518	0	0	0
Total Disposition of Resources	<u>\$ 13,501,230</u>	<u>\$ 12,983,628</u>	<u>\$ 13,667,377</u>	<u>\$ 12,925,495</u>

STATE OF IOWA
Fiscal Year 2012 Annual Budget
SPECIAL DEPARTMENT: (200) Corrections, Department of
Budget Unit: (2230A030001) CBC District III
Schedule 6

	Fiscal Year 2010 Actual	Fiscal Year 2011 Estimated	Fiscal Year 2012 Department Request	Fiscal Year 2012 Governor's Recomm
Resources				
Appropriations				
Appropriation	\$ 5,939,602	\$ 5,715,578	\$ 5,715,578	\$ 5,599,765
Chapter 8.31 Reductions	-593,960	0	0	0
FY11 \$83.7M Reductions	0	-435,492	0	0
Supplementals	18,010	0	0	0
	<u>5,363,652</u>	<u>5,280,086</u>	<u>5,715,578</u>	<u>5,599,765</u>
Other Resources				
Balance Brought Forward (Approps)	308,488	98,464	65,000	0
Appropriation Transfer	400,048	0	0	0
	<u>708,536</u>	<u>98,464</u>	<u>65,000</u>	<u>0</u>
Receipts				
Intra State Receipts	0	16,136	0	0
Interest	2,222	17,500	17,500	17,500
Fees, Licenses & Permits	390,469	479,935	513,399	513,399
Refunds & Reimbursements	405,953	567,171	567,171	567,171
	<u>798,644</u>	<u>1,080,742</u>	<u>1,098,070</u>	<u>1,098,070</u>
Total Resources	<u>\$ 6,870,832</u>	<u>\$ 6,459,292</u>	<u>\$ 6,878,648</u>	<u>\$ 6,697,835</u>
FTE	<u>77.24</u>	<u>58.99</u>	<u>78.99</u>	<u>74.99</u>
Disposition of Resources				
Personal Services-Salaries	\$ 5,968,068	\$ 5,693,174	\$ 6,019,477	\$ 5,903,664
Personal Travel In State	48,310	27,150	52,150	22,000
State Vehicle Operation	3,027	4,150	4,150	4,150
Personal Travel Out of State	83	0	0	0
Office Supplies	23,740	16,850	27,850	13,700

STATE OF IOWA
 Fiscal Year 2012 Annual Budget
 SPECIAL DEPARTMENT: (200) Corrections, Department of
 Budget Unit: (2230A030001) CBC District III
 Schedule 6

	Fiscal Year 2010 Actual	Fiscal Year 2011 Estimated	Fiscal Year 2012 Department Request	Fiscal Year 2012 Governor's Recomm
Disposition of Resources (cont.)				
Facility Maintenance Supplies	2,395	4,000	4,000	4,000
Professional & Scientific Supplies	10,869	14,855	14,855	14,855
Housing & Subsistence Supplies	16,865	18,950	18,950	18,950
Other Supplies	386	2,050	2,700	2,700
Food	145,522	150,000	150,000	150,000
Communications	62,328	31,210	61,210	61,210
Rentals	34,331	10,622	37,025	16,325
Utilities	53,740	62,150	62,150	62,150
Professional & Scientific Services	44,032	55,000	55,000	55,000
Outside Services	57,735	59,681	59,681	59,681
Advertising & Publicity	300	0	0	0
Outside Repairs/Service	26,053	25,750	25,750	25,750
Reimbursement to Other Agencies	17,680	20,525	20,525	20,525
ITS Reimbursements	75	100	100	100
Workers Comp. Reimbursement	9,975	9,975	9,975	9,975
Equipment - Non-Inventory	397	4,100	4,100	4,100
IT Equipment	15,691	21,750	21,750	21,750
Other Expense & Obligations	16,016	17,250	17,250	17,250
Interest Expense/Princ/Securities	214,750	210,000	210,000	210,000
Balance Carry Forward (Approps)	98,464	0	0	0
Total Disposition of Resources	<u>\$ 6,870,832</u>	<u>\$ 6,459,292</u>	<u>\$ 6,878,648</u>	<u>\$ 6,697,835</u>

STATE OF IOWA
Fiscal Year 2012 Annual Budget
SPECIAL DEPARTMENT: (200) Corrections, Department of
Budget Unit: (2240A040001) CBC District IV
Schedule 6

	Fiscal Year 2010 Actual	Fiscal Year 2011 Estimated	Fiscal Year 2012 Department Request	Fiscal Year 2012 Governor's Recomm
Resources				
Appropriations				
Appropriation	\$ 5,755,000	\$ 5,522,416	\$ 5,522,416	\$ 5,391,355
Chapter 8.31 Reductions	-575,500	0	0	0
FY11 \$83.7M Reductions	0	-300,128	0	0
Supplementals	76,117	0	0	0
	<u>5,255,617</u>	<u>5,222,288</u>	<u>5,522,416</u>	<u>5,391,355</u>
Other Resources				
Balance Brought Forward (Approps)	43,776	28,771	0	0
Appropriation Transfer	330,037	0	0	0
	<u>373,813</u>	<u>28,771</u>	<u>0</u>	<u>0</u>
Receipts				
Local Governments	4,500	0	0	0
Reimbursement from Other Agencies	0	12,894	0	0
Interest	3,135	4,200	4,200	4,200
Fees, Licenses & Permits	119,867	120,000	120,000	120,000
Tuition & Fees	383,965	0	0	0
Refunds & Reimbursements	10,596	397,055	397,055	397,055
	<u>522,063</u>	<u>534,149</u>	<u>521,255</u>	<u>521,255</u>
Total Resources	<u>\$ 6,151,493</u>	<u>\$ 5,785,208</u>	<u>\$ 6,043,671</u>	<u>\$ 5,912,610</u>
FTE	<u>72.00</u>	<u>51.00</u>	<u>66.00</u>	<u>65.00</u>
Disposition of Resources				
Personal Services-Salaries	\$ 5,477,214	\$ 5,144,482	\$ 5,390,575	\$ 5,314,506
Personal Travel In State	26,787	23,345	33,525	23,345
State Vehicle Operation	39,471	31,830	31,830	31,830

STATE OF IOWA
Fiscal Year 2012 Annual Budget
SPECIAL DEPARTMENT: (200) Corrections, Department of
Budget Unit: (2240A040001) CBC District IV
Schedule 6

	Fiscal Year 2010 Actual	Fiscal Year 2011 Estimated	Fiscal Year 2012 Department Request	Fiscal Year 2012 Governor's Recomm
Disposition of Resources (cont.)				
Office Supplies	35,444	30,750	40,750	30,750
Professional & Scientific Supplies	21,448	13,300	18,300	13,300
Housing & Subsistence Supplies	26,436	15,500	20,500	15,500
Other Supplies	2,827	2,700	2,700	2,700
Food	134,691	141,989	134,218	134,218
Communications	67,845	68,050	62,050	62,050
Rentals	53,970	53,710	53,710	53,710
Utilities	61,040	71,935	61,935	48,084
Professional & Scientific Services	63,763	69,250	69,250	69,250
Outside Services	21,919	36,400	31,400	31,400
Advertising & Publicity	814	1,000	1,000	1,000
Outside Repairs/Service	17,662	14,000	19,000	14,000
Reimbursement to Other Agencies	6,780	7,200	7,200	7,200
ITS Reimbursements	18,844	16,126	16,126	16,126
Workers Comp. Reimbursement	10,423	9,610	9,610	9,610
Equipment - Non-Inventory	8,292	8,167	8,167	8,167
IT Equipment	13,516	12,270	17,270	12,270
Other Expense & Obligations	13,536	13,594	14,555	13,594
Balance Carry Forward (Approps)	28,771	0	0	0
Total Disposition of Resources	<u>\$ 6,151,493</u>	<u>\$ 5,785,208</u>	<u>\$ 6,043,671</u>	<u>\$ 5,912,610</u>

STATE OF IOWA
Fiscal Year 2012 Annual Budget
SPECIAL DEPARTMENT: (200) Corrections, Department of
Budget Unit: (2250A050001) CBC District V
Schedule 6

	Fiscal Year 2010 Actual	Fiscal Year 2011 Estimated	Fiscal Year 2012 Department Request	Fiscal Year 2012 Governor's Recomm
Resources				
Appropriations				
Appropriation	\$ 19,278,247	\$ 18,938,081	\$ 18,938,081	\$ 18,742,129
Chapter 8.31 Reductions	-1,927,825	0	0	0
FY11 \$83.7M Reductions	0	-1,254,589	0	0
Supplementals	790,020	0	0	0
	<u>18,140,442</u>	<u>17,683,492</u>	<u>18,938,081</u>	<u>18,742,129</u>
Other Resources				
Balance Brought Forward (Funds)	0	0	525,000	0
Balance Brought Forward (Approps)	883,728	1,489,280	450,000	0
	<u>883,728</u>	<u>1,489,280</u>	<u>975,000</u>	<u>0</u>
Receipts				
Local Governments	189,384	189,384	189,384	189,384
Intra State Receipts	0	387,872	335,000	0
Interest	12,561	20,000	20,000	20,000
Fees, Licenses & Permits	2,106,069	1,813,220	2,027,500	2,552,500
Tuition & Fees	2,060,658	2,068,600	2,068,600	2,068,600
Refunds & Reimbursements	0	25,000	25,000	25,000
Other	34,050	0	0	0
	<u>4,402,722</u>	<u>4,504,076</u>	<u>4,665,484</u>	<u>4,855,484</u>
Total Resources	<u>\$ 23,426,892</u>	<u>\$ 23,676,848</u>	<u>\$ 24,578,565</u>	<u>\$ 23,597,613</u>
FTE	<u>270.45</u>	<u>234.45</u>	<u>264.45</u>	<u>255.95</u>
Disposition of Resources				
Personal Services-Salaries	\$ 18,575,846	\$ 19,326,079	\$ 20,125,644	\$ 19,594,692
Personal Travel In State	16,564	40,001	40,001	40,001

STATE OF IOWA
Fiscal Year 2012 Annual Budget
SPECIAL DEPARTMENT: (200) Corrections, Department of
Budget Unit: (2250A050001) CBC District V
Schedule 6

	Fiscal Year 2010 Actual	Fiscal Year 2011 Estimated	Fiscal Year 2012 Department Request	Fiscal Year 2012 Governor's Recomm
Disposition of Resources (cont.)				
State Vehicle Operation	92,059	118,000	118,000	103,000
Personal Travel Out of State	2,059	0	0	0
Office Supplies	14,555	31,265	31,265	11,265
Facility Maintenance Supplies	10,955	31,906	31,906	11,906
Professional & Scientific Supplies	6,940	58,800	58,800	58,800
Other Supplies	16,202	19,784	19,784	9,784
Food	299,566	324,192	490,000	390,000
Communications	199,233	236,533	236,533	186,533
Rentals	164,732	86,404	167,004	167,004
Utilities	208,405	249,097	249,097	204,097
Professional & Scientific Services	1,630,027	2,178,807	2,178,807	2,178,807
Outside Services	62,551	140,000	140,000	140,000
Advertising & Publicity	0	3,000	3,000	3,000
Outside Repairs/Service	206,598	421,692	204,766	109,766
Reimbursement to Other Agencies	18,779	11,000	11,000	11,000
Workers Comp. Reimbursement	151,958	151,958	151,958	151,958
Equipment	0	0	50,000	50,000
Equipment - Non-Inventory	12,955	115,000	115,000	50,000
IT Equipment	60,262	67,330	90,000	60,000
Other Expense & Obligations	70,235	66,000	66,000	66,000
Appropriation Transfer	117,131	0	0	0
Balance Carry Forward (Approps)	1,489,280	0	0	0
Total Disposition of Resources	<u>\$ 23,426,892</u>	<u>\$ 23,676,848</u>	<u>\$ 24,578,565</u>	<u>\$ 23,597,613</u>

STATE OF IOWA
Fiscal Year 2012 Annual Budget
SPECIAL DEPARTMENT: (200) Corrections, Department of
Budget Unit: (2260A060001) CBC District VI
Schedule 6

	Fiscal Year 2010 Actual	Fiscal Year 2011 Estimated	Fiscal Year 2012 Department Request	Fiscal Year 2012 Governor's Recomm
Resources				
Appropriations				
Appropriation	\$ 13,787,019	\$ 13,030,356	\$ 13,030,356	\$ 13,112,563
Chapter 8.31 Reductions	-1,378,702	0	0	0
FY11 \$83.7M Reductions	0	-780,932	0	0
Supplementals	302,810	0	0	0
	<u>12,711,127</u>	<u>12,249,424</u>	<u>13,030,356</u>	<u>13,112,563</u>
Other Resources				
Balance Brought Forward (Funds)	84,462	0	0	0
Balance Brought Forward (Approps)	0	408,229	300,000	0
Appropriation Transfer	901,885	0	0	0
	<u>986,347</u>	<u>408,229</u>	<u>300,000</u>	<u>0</u>
Receipts				
Federal Support	62,554	0	0	0
Intra State Receipts	0	436,940	402,810	0
Reimbursement from Other Agencies	1,824,403	1,693,719	1,693,719	1,693,719
Interest	29,802	22,000	22,000	22,000
Fees, Licenses & Permits	655,902	628,188	628,188	628,188
Refunds & Reimbursements	953,800	947,287	947,287	947,287
Other	1,579,405	630,041	583,803	583,803
	<u>5,105,866</u>	<u>4,358,175</u>	<u>4,277,807</u>	<u>3,874,997</u>
Total Resources	<u>\$ 18,803,340</u>	<u>\$ 17,015,828</u>	<u>\$ 17,608,163</u>	<u>\$ 16,987,560</u>
FTE	<u>202.88</u>	<u>167.63</u>	<u>189.51</u>	<u>189.51</u>
Disposition of Resources				
Personal Services-Salaries	\$ 15,287,009	\$ 14,716,307	\$ 15,096,269	\$ 15,096,269

STATE OF IOWA
 Fiscal Year 2012 Annual Budget
 SPECIAL DEPARTMENT: (200) Corrections, Department of
 Budget Unit: (2260A060001) CBC District VI
 Schedule 6

	Fiscal Year 2010 Actual	Fiscal Year 2011 Estimated	Fiscal Year 2012 Department Request	Fiscal Year 2012 Governor's Recomm
Disposition of Resources (cont.)				
Personal Travel In State	19,758	12,000	12,000	12,000
State Vehicle Operation	87,329	84,000	84,000	84,000
Office Supplies	97,367	80,000	80,000	77,908
Facility Maintenance Supplies	51,952	38,200	38,200	38,200
Professional & Scientific Supplies	32,578	45,200	45,200	45,200
Housing & Subsistence Supplies	75,599	54,000	54,000	54,000
Other Supplies	52,846	41,000	41,000	41,000
Food	331,075	380,000	380,000	380,000
Communications	113,403	117,103	117,103	117,103
Rentals	81,854	65,837	65,837	65,837
Utilities	167,235	168,581	168,581	168,581
Professional & Scientific Services	563,586	463,474	673,755	55,244
Outside Services	530,464	409,612	409,612	409,612
Intra-State Transfers	372,232	0	0	0
Advertising & Publicity	1,690	2,500	2,500	2,500
Outside Repairs/Service	24,337	20,000	20,000	20,000
Auditor of State Reimbursements	656	0	0	0
Reimbursement to Other Agencies	44,887	27,600	27,600	27,600
ITS Reimbursements	30,848	34,800	34,800	34,800
Workers Comp. Reimbursement	45,761	45,370	45,370	45,370
Equipment	55,451	32,630	32,630	32,630
Equipment - Non-Inventory	21,888	15,000	15,000	15,000
IT Equipment	110,339	106,214	108,306	108,306
Other Expense & Obligations	76,290	56,400	56,400	56,400
Capitals	118,677	0	0	0
Balance Carry Forward (Approps)	408,229	0	0	0

STATE OF IOWA

Fiscal Year 2012 Annual Budget

SPECIAL DEPARTMENT: (200) Corrections, Department of

Budget Unit: (2260A060001) CBC District VI

Schedule 6

	Fiscal Year 2010 Actual	Fiscal Year 2011 Estimated	Fiscal Year 2012 Department Request	Fiscal Year 2012 Governor's Recomm
Disposition of Resources (cont.)				
Total Disposition of Resources	<u>\$ 18,803,340</u>	<u>\$ 17,015,828</u>	<u>\$ 17,608,163</u>	<u>\$ 16,987,560</u>

STATE OF IOWA
Fiscal Year 2012 Annual Budget
SPECIAL DEPARTMENT: (200) Corrections, Department of
Budget Unit: (2270A070001) CBC District VII
Schedule 6

	Fiscal Year 2010 Actual	Fiscal Year 2011 Estimated	Fiscal Year 2012 Department Request	Fiscal Year 2012 Governor's Recomm
Resources				
Appropriations				
Appropriation	\$ 7,152,217	\$ 6,846,560	\$ 6,846,560	\$ 6,492,814
Chapter 8.31 Reductions	-715,222	0	0	0
FY11 \$83.7M Reductions	0	-619,177	0	0
Supplementals	24,923	0	0	0
	<u>6,461,918</u>	<u>6,227,383</u>	<u>6,846,560</u>	<u>6,492,814</u>
Other Resources				
Balance Brought Forward (Funds)	90,976	0	0	0
Appropriation Transfer	458,856	0	0	0
	<u>549,832</u>	<u>0</u>	<u>0</u>	<u>0</u>
Receipts				
Federal Support	119,794	164,717	0	0
Local Governments	169,448	169,446	169,446	169,446
Intra State Receipts	75,000	19,265	164,717	164,717
Reimbursement from Other Agencies	0	59,458	0	60,090
Interest	5,074	8,000	8,000	8,000
Fees, Licenses & Permits	202,111	209,881	209,881	209,881
Tuition & Fees	76,430	84,632	84,632	84,632
Refunds & Reimbursements	1,241,506	1,375,458	1,366,250	1,374,271
	<u>1,889,363</u>	<u>2,090,857</u>	<u>2,002,926</u>	<u>2,071,037</u>
Total Resources	<u>\$ 8,901,113</u>	<u>\$ 8,318,240</u>	<u>\$ 8,849,486</u>	<u>\$ 8,563,851</u>
FTE	<u>99.70</u>	<u>71.58</u>	<u>91.45</u>	<u>86.45</u>
Disposition of Resources				
Personal Services-Salaries	\$ 7,649,370	\$ 7,162,828	\$ 7,621,164	\$ 7,335,529

STATE OF IOWA
Fiscal Year 2012 Annual Budget
SPECIAL DEPARTMENT: (200) Corrections, Department of
Budget Unit: (2270A070001) CBC District VII
Schedule 6

	Fiscal Year 2010 Actual	Fiscal Year 2011 Estimated	Fiscal Year 2012 Department Request	Fiscal Year 2012 Governor's Recomm
Disposition of Resources (cont.)				
Personal Travel In State	11,371	14,000	18,000	18,000
State Vehicle Operation	47,160	44,000	44,000	44,000
Office Supplies	42,996	39,000	39,000	39,000
Facility Maintenance Supplies	14,820	22,000	22,000	22,000
Professional & Scientific Supplies	11,133	18,000	18,000	18,000
Other Supplies	4,320	4,800	4,800	4,800
Food	326,889	337,599	350,000	350,000
Communications	27,808	30,000	30,000	30,000
Rentals	69,778	51,897	55,372	55,372
Utilities	177,388	173,000	173,000	173,000
Professional & Scientific Services	264,048	236,624	236,624	236,624
Outside Services	29,677	33,000	33,000	33,000
Outside Repairs/Service	835	1,200	1,200	1,200
Reimbursement to Other Agencies	12,411	24,400	24,400	24,400
ITS Reimbursements	52,260	64,892	71,000	71,000
Workers Comp. Reimbursement	12,142	13,000	13,000	13,000
Equipment	0	0	18,000	18,000
Equipment - Non-Inventory	19,123	0	0	0
IT Equipment	3,927	15,000	43,926	43,926
Other Expense & Obligations	22,437	33,000	33,000	33,000
Capitals	101,220	0	0	0
Total Disposition of Resources	<u>\$ 8,901,113</u>	<u>\$ 8,318,240</u>	<u>\$ 8,849,486</u>	<u>\$ 8,563,851</u>

STATE OF IOWA
Fiscal Year 2012 Annual Budget
SPECIAL DEPARTMENT: (200) Corrections, Department of
Budget Unit: (2280A080001) CBC District VIII
Schedule 6

	Fiscal Year 2010 Actual	Fiscal Year 2011 Estimated	Fiscal Year 2012 Department Request	Fiscal Year 2012 Governor's Recomm
Resources				
Appropriations				
Appropriation	\$ 7,102,030	\$ 6,935,622	\$ 6,935,622	\$ 6,731,055
Chapter 8.31 Reductions	-710,203	0	0	0
FY11 \$83.7M Reductions	0	-382,558	0	0
Supplementals	400,850	0	0	0
	<u>6,792,677</u>	<u>6,553,064</u>	<u>6,935,622</u>	<u>6,731,055</u>
Other Resources				
Balance Brought Forward (Funds)	418,614	0	0	0
Balance Brought Forward (Approps)	0	364,092	297,925	0
	<u>418,614</u>	<u>364,092</u>	<u>297,925</u>	<u>0</u>
Receipts				
Federal Support	28,185	0	0	0
Intra State Receipts	0	173,432	159,334	159,334
Reimbursement from Other Agencies	12,452	0	0	0
Interest	11,954	11,000	13,000	13,000
Fees, Licenses & Permits	271,340	540,000	540,000	540,000
Tuition & Fees	645,824	400,000	500,000	500,000
Refunds & Reimbursements	172,881	158,000	158,000	158,000
	<u>1,142,636</u>	<u>1,282,432</u>	<u>1,370,334</u>	<u>1,370,334</u>
Total Resources	<u>\$ 8,353,927</u>	<u>\$ 8,199,588</u>	<u>\$ 8,603,881</u>	<u>\$ 8,101,389</u>
FTE	<u>93.90</u>	<u>88.90</u>	<u>93.30</u>	<u>90.90</u>
Disposition of Resources				
Personal Services-Salaries	\$ 6,690,127	\$ 7,042,716	\$ 7,231,863	\$ 7,101,069
Personal Travel In State	16,321	20,000	20,000	12,000

STATE OF IOWA
Fiscal Year 2012 Annual Budget
SPECIAL DEPARTMENT: (200) Corrections, Department of
Budget Unit: (2280A080001) CBC District VIII
Schedule 6

	Fiscal Year 2010 Actual	Fiscal Year 2011 Estimated	Fiscal Year 2012 Department Request	Fiscal Year 2012 Governor's Recomm
Disposition of Resources (cont.)				
State Vehicle Operation	39,842	32,500	40,000	33,000
Office Supplies	27,399	9,000	30,000	15,000
Facility Maintenance Supplies	3,230	13,500	54,000	1,000
Professional & Scientific Supplies	14,429	23,000	20,000	6,000
Housing & Subsistence Supplies	39,644	35,000	35,000	35,000
Other Supplies	10,069	18,000	15,000	14,322
Food	151,867	160,000	160,000	160,000
Communications	32,112	36,000	36,000	14,400
Rentals	176,249	112,890	172,890	172,890
Utilities	105,931	77,426	105,000	95,981
Professional & Scientific Services	255,330	326,997	344,000	322,750
Outside Services	28,178	16,763	70,000	14,000
Advertising & Publicity	705	500	500	500
Outside Repairs/Service	47,114	7,500	25,000	-1,273
Reimbursement to Other Agencies	16,350	27,930	22,000	17,300
ITS Reimbursements	31,790	27,300	13,650	13,650
Workers Comp. Reimbursement	39,407	47,388	47,388	47,388
Equipment	25,244	66,678	41,678	2,500
Office Equipment	15,860	0	0	0
Equipment - Non-Inventory	17,073	17,000	15,000	11,000
IT Equipment	36,564	47,000	74,912	-17,088
Other Expense & Obligations	26,649	34,500	30,000	30,000
Appropriation Transfer	142,351	0	0	0
Balance Carry Forward (Approps)	364,092	0	0	0
Total Disposition of Resources	<u>\$ 8,353,927</u>	<u>\$ 8,199,588</u>	<u>\$ 8,603,881</u>	<u>\$ 8,101,389</u>

STATE OF IOWA
 Fiscal Year 2012 Annual Budget
 SPECIAL DEPARTMENT: (200) Corrections, Department of
 Budget Unit: (23800000062) Education-Chapter I
 Schedule 6

	Fiscal Year 2010 Actual	Fiscal Year 2011 Estimated	Fiscal Year 2012 Department Request	Fiscal Year 2012 Governor's Recomm
Resources				
Other Resources				
Balance Brought Forward (Funds)	\$ 104,253	\$ 75,047	\$ 104,219	\$ 75,047
Receipts				
Intra State Receipts	305,276	0	0	0
Reimbursement from Other Agencies	<u>0</u>	<u>85,050</u>	<u>85,050</u>	<u>85,050</u>
Total Resources	<u>\$ 409,529</u>	<u>\$ 160,097</u>	<u>\$ 189,269</u>	<u>\$ 160,097</u>
Disposition of Resources				
Outside Services	\$ 334,481	\$ 85,050	\$ 85,084	\$ 85,084
Balance Carry Forward (Funds)	<u>75,047</u>	<u>75,047</u>	<u>104,185</u>	<u>75,013</u>
Total Disposition of Resources	<u>\$ 409,529</u>	<u>\$ 160,097</u>	<u>\$ 189,269</u>	<u>\$ 160,097</u>

STATE OF IOWA
 Fiscal Year 2012 Annual Budget
 SPECIAL DEPARTMENT: (200) Corrections, Department of
 Budget Unit: (23800000104) Offender Re-Entry Program
 Schedule 6

	Fiscal Year 2010 Actual	Fiscal Year 2011 Estimated	Fiscal Year 2012 Department Request	Fiscal Year 2012 Governor's Recomm
Resources				
Other Resources				
Balance Brought Forward (Funds)	\$ 3,515	\$ 9,066	\$ 3,515	\$ 9,066
Receipts				
Federal Support	99,759	0	0	0
Total Resources	<u>\$ 103,273</u>	<u>\$ 9,066</u>	<u>\$ 3,515</u>	<u>\$ 9,066</u>
Disposition of Resources				
Personal Travel In State	\$ 1,039	\$ 0	\$ 0	\$ 0
Professional & Scientific Services	84,976	0	0	0
Intra-State Transfers	8,193	0	0	0
Balance Carry Forward (Funds)	9,066	9,066	3,515	9,066
Total Disposition of Resources	<u>\$ 103,273</u>	<u>\$ 9,066</u>	<u>\$ 3,515</u>	<u>\$ 9,066</u>

STATE OF IOWA
 Fiscal Year 2012 Annual Budget
 SPECIAL DEPARTMENT: (200) Corrections, Department of
 Budget Unit: (23800000155) DOC-ICON Fund
 Schedule 6

	Fiscal Year 2010 Actual	Fiscal Year 2011 Estimated	Fiscal Year 2012 Department Request	Fiscal Year 2012 Governor's Recomm
Resources				
Other Resources				
Balance Brought Forward (Funds)	\$ 355,662	\$ 284,947	\$ 355,662	\$ 284,947
Receipts				
Interest	3,262	0	0	0
Other Sales & Services	0	500,000	500,000	500,000
	<u>3,262</u>	<u>500,000</u>	<u>500,000</u>	<u>500,000</u>
Total Resources	<u>\$ 358,924</u>	<u>\$ 784,947</u>	<u>\$ 855,662</u>	<u>\$ 784,947</u>
Disposition of Resources				
Professional & Scientific Services	\$ 0	\$ 9,900	\$ 9,900	\$ 9,900
IT Outside Services	73,977	90,000	90,000	90,000
Office Equipment	0	100	100	100
IT Equipment	0	400,000	400,000	400,000
Balance Carry Forward (Funds)	284,947	284,947	355,662	284,947
Total Disposition of Resources	<u>\$ 358,924</u>	<u>\$ 784,947</u>	<u>\$ 855,662</u>	<u>\$ 784,947</u>

STATE OF IOWA
 Fiscal Year 2012 Annual Budget
 SPECIAL DEPARTMENT: (200) Corrections, Department of
 Budget Unit: (23800000156) DOC Inmate Labor Fund
 Schedule 6

	Fiscal Year 2010 Actual	Fiscal Year 2011 Estimated	Fiscal Year 2012 Department Request	Fiscal Year 2012 Governor's Recomm
Resources				
Other Resources				
Balance Brought Forward (Funds)	\$ 185,115	\$ 196,412	\$ 185,115	\$ 196,412
Receipts				
Local Governments	9,708	25,000	25,000	25,000
Interest	1,589	1	1	1
	<u>11,297</u>	<u>25,001</u>	<u>25,001</u>	<u>25,001</u>
Total Resources	<u>\$ 196,412</u>	<u>\$ 221,413</u>	<u>\$ 210,116</u>	<u>\$ 221,413</u>
Disposition of Resources				
Reimbursement to Other Agencies	\$ 0	\$ 25,001	\$ 25,001	\$ 25,001
Balance Carry Forward (Funds)	196,412	196,412	185,115	196,412
Total Disposition of Resources	<u>\$ 196,412</u>	<u>\$ 221,413</u>	<u>\$ 210,116</u>	<u>\$ 221,413</u>

STATE OF IOWA
Fiscal Year 2012 Annual Budget
SPECIAL DEPARTMENT: (200) Corrections, Department of
Budget Unit: (23800000185) Criminal Alien Assistance Program
Schedule 6

	Fiscal Year 2010 <u>Actual</u>	Fiscal Year 2011 <u>Estimated</u>	Fiscal Year 2012 Department <u>Request</u>	Fiscal Year 2012 Governor's <u>Recomm</u>
Resources				
Other Resources				
Balance Brought Forward (Funds)	\$ 698,970	\$ 904,533	\$ 698,970	\$ 904,533
Receipts				
Federal Support	594,133	100,000	100,000	100,000
Total Resources	<u>\$ 1,293,103</u>	<u>\$ 1,004,533</u>	<u>\$ 798,970</u>	<u>\$ 1,004,533</u>
Disposition of Resources				
Personal Services-Salaries	\$ 23,121	\$ 0	\$ 0	\$ 0
Personal Travel In State	876	0	0	0
Professional & Scientific Services	62,498	54,000	54,000	54,000
Outside Services	1,105	0	0	0
Intra-State Transfers	19,420	0	0	0
IT Outside Services	280,710	20,000	20,000	20,000
IT Equipment	840	26,000	26,000	26,000
Balance Carry Forward (Funds)	904,533	904,533	698,970	904,533
Total Disposition of Resources	<u>\$ 1,293,103</u>	<u>\$ 1,004,533</u>	<u>\$ 798,970</u>	<u>\$ 1,004,533</u>

STATE OF IOWA
 Fiscal Year 2012 Annual Budget
 SPECIAL DEPARTMENT: (200) Corrections, Department of
 Budget Unit: (23800000199) Sex Offender Enhancement Program
 Schedule 6

	Fiscal Year 2010 Actual	Fiscal Year 2011 Estimated	Fiscal Year 2012 Department Request	Fiscal Year 2012 Governor's Recomm
Resources				
Other Resources				
Balance Brought Forward (Funds)	\$ 121	\$ 121	\$ 0	\$ 0
Disposition of Resources				
Intra-State Transfers	\$ 0	\$ 121	\$ 0	\$ 0
Balance Carry Forward (Funds)	121	0	0	0
Total Disposition of Resources	\$ 121	\$ 121	\$ 0	\$ 0

STATE OF IOWA
Fiscal Year 2012 Annual Budget
SPECIAL DEPARTMENT: (200) Corrections, Department of
Budget Unit: (23800000299) Inmate Tort Claims Fund
Schedule 6

	Fiscal Year 2010 Actual	Fiscal Year 2011 Estimated	Fiscal Year 2012 Department Request	Fiscal Year 2012 Governor's Recomm
Resources				
Other Resources				
Balance Brought Forward (Funds)	\$ 779	\$ 2,251	\$ 520	\$ 2,251
Receipts				
Intra State Receipts	0	2,000	2,000	2,000
Refunds & Reimbursements	4,400	0	0	0
Total Resources	<u>\$ 5,179</u>	<u>\$ 4,251</u>	<u>\$ 2,520</u>	<u>\$ 4,251</u>
Disposition of Resources				
Claims	\$ 2,928	\$ 2,000	\$ 2,259	\$ 2,259
Balance Carry Forward (Funds)	2,251	2,251	261	1,992
Total Disposition of Resources	<u>\$ 5,179</u>	<u>\$ 4,251</u>	<u>\$ 2,520</u>	<u>\$ 4,251</u>

STATE OF IOWA
Fiscal Year 2012 Annual Budget
SPECIAL DEPARTMENT: (200) Corrections, Department of
Budget Unit: (23800000351) Corrections Training Fund
Schedule 6

	Fiscal Year 2010 Actual	Fiscal Year 2011 Estimated	Fiscal Year 2012 Department Request	Fiscal Year 2012 Governor's Recomm
Resources				
Other Resources				
Balance Brought Forward (Funds)	\$ 1,575	\$ 7,227	\$ 675	\$ 7,227
Receipts				
Interest	67	150	150	150
Fees, Licenses & Permits	0	1,100	1,100	1,100
Refunds & Reimbursements	20,128	150	150	150
	<u>20,195</u>	<u>1,400</u>	<u>1,400</u>	<u>1,400</u>
Total Resources	<u>\$ 21,770</u>	<u>\$ 8,627</u>	<u>\$ 2,075</u>	<u>\$ 8,627</u>
Disposition of Resources				
Personal Travel In State	\$ 0	\$ 75	\$ 75	\$ 75
Other Supplies	1,415	325	1,000	1,000
Professional & Scientific Services	13,129	1,000	1,000	1,000
Balance Carry Forward (Funds)	7,227	7,227	0	6,552
Total Disposition of Resources	<u>\$ 21,770</u>	<u>\$ 8,627</u>	<u>\$ 2,075</u>	<u>\$ 8,627</u>

STATE OF IOWA
 Fiscal Year 2012 Annual Budget
 SPECIAL DEPARTMENT: (200) Corrections, Department of
 Budget Unit: (23800000459) Contraband Currency
 Schedule 6

	Fiscal Year 2010 Actual	Fiscal Year 2011 Estimated	Fiscal Year 2012 Department Request	Fiscal Year 2012 Governor's Recomm
Resources				
Other Resources				
Balance Brought Forward (Funds)	\$ 4,050	\$ 4,292	\$ 4,050	\$ 4,292
Receipts				
Unearned Receipts	242	100	100	100
Total Resources	<u>\$ 4,292</u>	<u>\$ 4,392</u>	<u>\$ 4,150</u>	<u>\$ 4,392</u>
Disposition of Resources				
Other Supplies	\$ 0	\$ 100	\$ 100	\$ 100
Balance Carry Forward (Funds)	4,292	4,292	4,050	4,292
Total Disposition of Resources	<u>\$ 4,292</u>	<u>\$ 4,392</u>	<u>\$ 4,150</u>	<u>\$ 4,392</u>

STATE OF IOWA
 Fiscal Year 2012 Annual Budget
 SPECIAL DEPARTMENT: (200) Corrections, Department of
 Budget Unit: (23800000460) Interstate Compact Fee Fund
 Schedule 6

	Fiscal Year 2010 Actual	Fiscal Year 2011 Estimated	Fiscal Year 2012 Department Request	Fiscal Year 2012 Governor's Recomm
Resources				
Other Resources				
Balance Brought Forward (Funds)	\$ 74,841	\$ 74,065	\$ 81,841	\$ 74,065
Receipts				
Interest	532	100	100	100
Fees, Licenses & Permits	56,240	32,000	32,000	32,000
	<u>56,772</u>	<u>32,100</u>	<u>32,100</u>	<u>32,100</u>
Total Resources	<u>\$ 131,613</u>	<u>\$ 106,165</u>	<u>\$ 113,941</u>	<u>\$ 106,165</u>
Disposition of Resources				
Outside Services	\$ 57,548	\$ 32,100	\$ 25,100	\$ 25,100
Balance Carry Forward (Funds)	74,065	74,065	88,841	81,065
Total Disposition of Resources	<u>\$ 131,613</u>	<u>\$ 106,165</u>	<u>\$ 113,941</u>	<u>\$ 106,165</u>

STATE OF IOWA
 Fiscal Year 2012 Annual Budget
 SPECIAL DEPARTMENT: (200) Corrections, Department of
 Budget Unit: (23800000654) Corrections Central Warehouse Fund
 Schedule 6

	Fiscal Year 2010 Actual	Fiscal Year 2011 Estimated	Fiscal Year 2012 Department Request	Fiscal Year 2012 Governor's Recomm
Resources				
Receipts				
Other Sales & Services	\$ 0	\$ 100,000	\$ 100,000	\$ 100,000
Disposition of Resources				
Other Supplies	\$ 0	\$ 100,000	\$ 100,000	\$ 100,000

STATE OF IOWA
 Fiscal Year 2012 Annual Budget
 SPECIAL DEPARTMENT: (200) Corrections, Department of
 Budget Unit: (23808970001) State Cases Court Costs
 Schedule 6

	Fiscal Year 2010 Actual	Fiscal Year 2011 Estimated	Fiscal Year 2012 Department Request	Fiscal Year 2012 Governor's Recomm
Resources				
Appropriations				
Appropriation	\$ 66,370	\$ 59,733	\$ 59,733	\$ 59,733
Estimated Revisions	-59,733	0	0	0
Chapter 8.31 Reductions	-6,637	0	0	0
	<u>0</u>	<u>59,733</u>	<u>59,733</u>	<u>59,733</u>
Total Resources	<u>\$ 0</u>	<u>\$ 59,733</u>	<u>\$ 59,733</u>	<u>\$ 59,733</u>
Disposition of Resources				
Professional & Scientific Services	<u>\$ 0</u>	<u>\$ 59,733</u>	<u>\$ 59,733</u>	<u>\$ 59,733</u>

STATE OF IOWA
 Fiscal Year 2012 Annual Budget
 SPECIAL DEPARTMENT: (200) Corrections, Department of
 Budget Unit: (2380A190988) DOC Central Office-ARRA - federal (fund 0988)
 Schedule 6

	Fiscal Year 2010 Actual	Fiscal Year 2011 Estimated	Fiscal Year 2012 Department Request	Fiscal Year 2012 Governor's Recomm
Resources				
Appropriations				
Appropriation	\$ 210,000	\$ 0	\$ 0	\$ 0
Disposition of Resources				
Intra-State Transfers	\$ 210,000	\$ 0	\$ 0	\$ 0

STATE OF IOWA
Fiscal Year 2012 Annual Budget
SPECIAL DEPARTMENT: (200) Corrections, Department of
Budget Unit: (2380A200001) Corrections Administration
Schedule 6

	Fiscal Year 2010 Actual	Fiscal Year 2011 Estimated	Fiscal Year 2012 Department Request	Fiscal Year 2012 Governor's Recomm
Resources				
Appropriations				
Appropriation	\$ 4,810,048	\$ 4,254,068	\$ 4,134,069	\$ 4,835,542
Chapter 8.31 Reductions	-481,005	0	0	0
FY11 \$83.7M Reductions	0	-127,216	0	0
	<u>4,329,043</u>	<u>4,126,852</u>	<u>4,134,069</u>	<u>4,835,542</u>
Receipts				
Intra State Receipts	210,000	8,763	0	0
Fees, Licenses & Permits	12,920	1	1	1
Refunds & Reimbursements	11,330	1,300	1,300	1,300
Rents & Leases	0	200	200	200
Other Sales & Services	0	500	500	500
	<u>234,251</u>	<u>10,764</u>	<u>2,001</u>	<u>2,001</u>
Total Resources	<u>\$ 4,563,294</u>	<u>\$ 4,137,616</u>	<u>\$ 4,136,070</u>	<u>\$ 4,837,543</u>
FTE	<u>41.12</u>	<u>39.00</u>	<u>40.00</u>	<u>39.00</u>
Disposition of Resources				
Personal Services-Salaries	\$ 4,178,044	\$ 3,720,019	\$ 3,701,459	\$ 4,176,932
Personal Travel In State	22,745	20,062	20,062	20,062
State Vehicle Operation	33,677	28,500	28,500	28,500
Depreciation	-9,092	895	895	895
Personal Travel Out of State	124	201	201	201
Office Supplies	10,715	9,700	9,700	9,700
Other Supplies	3,250	3,250	3,250	3,250
Postage	5,089	4,700	4,700	4,700
Communications	115,232	94,937	111,951	111,951

STATE OF IOWA
Fiscal Year 2012 Annual Budget
SPECIAL DEPARTMENT: (200) Corrections, Department of
Budget Unit: (2380A200001) Corrections Administration
Schedule 6

	Fiscal Year 2010 Actual	Fiscal Year 2011 Estimated	Fiscal Year 2012 Department Request	Fiscal Year 2012 Governor's Recomm
Disposition of Resources (cont.)				
Rentals	105	130	130	130
Professional & Scientific Services	4,057	4,000	4,000	154,000
Outside Services	15,100	40,050	40,050	116,050
Intra-State Transfers	0	60,001	60,001	60,001
Reimbursement to Other Agencies	144,002	128,000	128,000	128,000
ITS Reimbursements	-17,778	2,120	2,120	2,120
Office Equipment	0	1	1	1
IT Equipment	2,745	21,000	21,000	21,000
Other Expense & Obligations	58	50	50	50
Appropriation Transfer	54,766	0	0	0
Reversions	455	0	0	0
Total Disposition of Resources	<u>\$ 4,563,294</u>	<u>\$ 4,137,616</u>	<u>\$ 4,136,070</u>	<u>\$ 4,837,543</u>

STATE OF IOWA
Fiscal Year 2012 Annual Budget
SPECIAL DEPARTMENT: (200) Corrections, Department of
Budget Unit: (2380A210001) Iowa Corrections Offender Network
Schedule 6

	Fiscal Year 2010 Actual	Fiscal Year 2011 Estimated	Fiscal Year 2012 Department Request	Fiscal Year 2012 Governor's Recomm
Resources				
Appropriations				
Appropriation	\$ 424,364	\$ 424,364	\$ 424,364	\$ 424,364
Chapter 8.31 Reductions	-42,436	0	0	0
	<u>381,928</u>	<u>424,364</u>	<u>424,364</u>	<u>424,364</u>
Total Resources	<u>\$ 381,928</u>	<u>\$ 424,364</u>	<u>\$ 424,364</u>	<u>\$ 424,364</u>
Disposition of Resources				
Personal Travel Out of State	\$ 0	\$ 500	\$ 500	\$ 500
Office Supplies	0	500	500	500
Professional & Scientific Services	0	100,000	100,000	100,000
Outside Services	0	500	500	500
Intra-State Transfers	0	116,439	116,439	116,439
IT Outside Services	381,928	150,000	150,000	150,000
IT Equipment	0	56,425	56,425	56,425
Total Disposition of Resources	<u>\$ 381,928</u>	<u>\$ 424,364</u>	<u>\$ 424,364</u>	<u>\$ 424,364</u>

STATE OF IOWA
 Fiscal Year 2012 Annual Budget
 SPECIAL DEPARTMENT: (200) Corrections, Department of
 Budget Unit: (2380A230943) Iowa Corrections Offender Network-TRF 0943
 Schedule 6

	Fiscal Year 2010 Actual	Fiscal Year 2011 Estimated	Fiscal Year 2012 Department Request	Fiscal Year 2012 Governor's Recomm
Resources				
Appropriations				
Appropriation	\$ 500,000	\$ 500,000	\$ 500,000	\$ 500,000
Receipts				
Intra State Receipts	581,550	0	0	0
Total Resources	<u>\$ 1,081,550</u>	<u>\$ 500,000</u>	<u>\$ 500,000</u>	<u>\$ 500,000</u>
Disposition of Resources				
IT Outside Services	<u>\$ 1,081,550</u>	<u>\$ 500,000</u>	<u>\$ 500,000</u>	<u>\$ 500,000</u>

STATE OF IOWA
 Fiscal Year 2012 Annual Budget
 SPECIAL DEPARTMENT: (200) Corrections, Department of
 Budget Unit: (2380A240001) County Confinement
 Schedule 6

	Fiscal Year 2010 Actual	Fiscal Year 2011 Estimated	Fiscal Year 2012 Department Request	Fiscal Year 2012 Governor's Recomm
Resources				
Appropriations				
Appropriation	\$ 861,213	\$ 775,092	\$ 775,092	\$ 775,092
Chapter 8.31 Reductions	<u>-86,121</u>	<u>0</u>	<u>0</u>	<u>0</u>
	<u>775,092</u>	<u>775,092</u>	<u>775,092</u>	<u>775,092</u>
Receipts				
Intra State Receipts	132,755	0	0	0
Total Resources	<u>\$ 907,847</u>	<u>\$ 775,092</u>	<u>\$ 775,092</u>	<u>\$ 775,092</u>
Disposition of Resources				
Outside Services	<u>\$ 907,847</u>	<u>\$ 775,092</u>	<u>\$ 775,092</u>	<u>\$ 775,092</u>

STATE OF IOWA
 Fiscal Year 2012 Annual Budget
 SPECIAL DEPARTMENT: (200) Corrections, Department of
 Budget Unit: (2380A250001) Federal Prisoners/ Contractual
 Schedule 6

	Fiscal Year 2010 Actual	Fiscal Year 2011 Estimated	Fiscal Year 2012 Department Request	Fiscal Year 2012 Governor's Recomm
Resources				
Appropriations				
Appropriation	\$ 239,411	\$ 239,411	\$ 239,411	\$ 239,411
Chapter 8.31 Reductions	<u>-23,941</u>	<u>0</u>	<u>0</u>	<u>0</u>
	215,470	239,411	239,411	239,411
Receipts				
Intra State Receipts	230,105	0	0	0
Total Resources	<u>\$ 445,575</u>	<u>\$ 239,411</u>	<u>\$ 239,411</u>	<u>\$ 239,411</u>
Disposition of Resources				
Outside Services	<u>\$ 445,575</u>	<u>\$ 239,411</u>	<u>\$ 239,411</u>	<u>\$ 239,411</u>

STATE OF IOWA
Fiscal Year 2012 Annual Budget
SPECIAL DEPARTMENT: (200) Corrections, Department of
Budget Unit: (2380A260001) Corrections Education
Schedule 6

	Fiscal Year 2010 <u>Actual</u>	Fiscal Year 2011 <u>Estimated</u>	Fiscal Year 2012 Department <u>Request</u>	Fiscal Year 2012 Governor's <u>Recomm</u>
Resources				
Appropriations				
Appropriation	\$ 1,558,109	\$ 1,558,109	\$ 1,558,109	\$ 2,308,109
Chapter 8.31 Reductions	<u>-194,402</u>	<u>0</u>	<u>0</u>	<u>0</u>
	1,363,707	1,558,109	1,558,109	2,308,109
Other Resources				
Balance Brought Forward (Approps)	385,911	83,164	385,911	0
Receipts				
Intra State Receipts	517,050	6	6	6
Total Resources	<u>\$ 2,266,668</u>	<u>\$ 1,641,279</u>	<u>\$ 1,944,026</u>	<u>\$ 2,308,115</u>
Disposition of Resources				
Professional & Scientific Services	\$ 0	\$ 0	\$ 0	\$ 600,000
Outside Services	2,183,503	1,641,279	1,558,115	1,558,115
Other Expense & Obligations	0	0	0	150,000
Balance Carry Forward (Approps)	83,164	0	385,911	0
Total Disposition of Resources	<u>\$ 2,266,668</u>	<u>\$ 1,641,279</u>	<u>\$ 1,944,026</u>	<u>\$ 2,308,115</u>

STATE OF IOWA
 Fiscal Year 2012 Annual Budget
 SPECIAL DEPARTMENT: (200) Corrections, Department of
 Budget Unit: (2380A270001) Hepatitis Treatment and Education
 Schedule 6

	Fiscal Year 2010 Actual	Fiscal Year 2011 Estimated	Fiscal Year 2012 Department Request	Fiscal Year 2012 Governor's Recomm
Resources				
Appropriations				
Appropriation	\$ 186,534	\$ 167,881	\$ 167,881	\$ 167,881
Chapter 8.31 Reductions	<u>-18,653</u>	<u>0</u>	<u>0</u>	<u>0</u>
Total Resources	<u>\$ 167,881</u>	<u>\$ 167,881</u>	<u>\$ 167,881</u>	<u>\$ 167,881</u>
Disposition of Resources				
Outside Services	<u>\$ 167,881</u>	<u>\$ 167,881</u>	<u>\$ 167,881</u>	<u>\$ 167,881</u>

STATE OF IOWA
 Fiscal Year 2012 Annual Budget
 SPECIAL DEPARTMENT: (200) Corrections, Department of
 Budget Unit: (2380A300001) Mental Health/Substance Abuse - DOC wide
 Schedule 6

	Fiscal Year 2010 Actual	Fiscal Year 2011 Estimated	Fiscal Year 2012 Department Request	Fiscal Year 2012 Governor's Recomm
Resources				
Appropriations				
Appropriation	\$ 24,799	\$ 22,319	\$ 22,319	\$ 22,319
Chapter 8.31 Reductions	-2,480	0	0	0
	<u>22,319</u>	<u>22,319</u>	<u>22,319</u>	<u>22,319</u>
Total Resources	<u>\$ 22,319</u>	<u>\$ 22,319</u>	<u>\$ 22,319</u>	<u>\$ 22,319</u>
Disposition of Resources				
Outside Services	<u>\$ 22,319</u>	<u>\$ 22,319</u>	<u>\$ 22,319</u>	<u>\$ 22,319</u>

STATE OF IOWA
Fiscal Year 2012 Annual Budget
SPECIAL DEPARTMENT: (200) Corrections, Department of
Budget Unit: (24200000204) Inmate Tele Rebate
Schedule 6

	Fiscal Year 2010 <u>Actual</u>	Fiscal Year 2011 <u>Estimated</u>	Fiscal Year 2012 Department <u>Request</u>	Fiscal Year 2012 Governor's <u>Recomm</u>
Resources				
Other Resources				
Balance Brought Forward (Funds)	\$ 334,246	\$ 35,922	\$ 334,246	\$ 35,922
Receipts				
Other Sales & Services	2,481,533	2,506,443	2,420,000	2,420,000
Total Resources	<u>\$ 2,815,779</u>	<u>\$ 2,542,365</u>	<u>\$ 2,754,246</u>	<u>\$ 2,455,922</u>
FTE	<u>1.33</u>	<u>1.00</u>	<u>1.50</u>	<u>1.50</u>
Disposition of Resources				
Personal Services-Salaries	\$ 57,060	\$ 40,115	\$ 65,623	\$ 65,623
Personal Travel In State	245	0	250	250
Office Supplies	3,793	1,000	3,377	3,377
Communications	2,141	1,000	2,500	2,500
Rentals	180	0	0	0
Professional & Scientific Services	10,971	0	100,000	100,000
Outside Services	753,360	0	348,000	348,000
Intra-State Transfers	150,000	714,078	150,000	150,000
Reimbursement to Other Agencies	260	200	250	250
ITS Reimbursements	0	50	0	0
IT Equipment	1,522	0	0	0
Refunds-Other	1,800,325	1,750,000	1,750,000	1,750,000
Balance Carry Forward (Funds)	35,922	35,922	334,246	35,922
Total Disposition of Resources	<u>\$ 2,815,779</u>	<u>\$ 2,542,365</u>	<u>\$ 2,754,246</u>	<u>\$ 2,455,922</u>

STATE OF IOWA
 Fiscal Year 2012 Annual Budget
 SPECIAL DEPARTMENT: (200) Corrections, Department of
 Budget Unit: (24200000333) Ft Madison Canteen Fund
 Schedule 6

	Fiscal Year 2010 Actual	Fiscal Year 2011 Estimated	Fiscal Year 2012 Department Request	Fiscal Year 2012 Governor's Recomm
Resources				
Other Resources				
Balance Brought Forward (Funds)	\$ 61,393	\$ 41,747	\$ 61,393	\$ 41,747
Receipts				
Refunds & Reimbursements	48,694	40,000	40,000	40,000
Other Sales & Services	15,619	15,000	15,000	15,000
	<u>64,312</u>	<u>55,000</u>	<u>55,000</u>	<u>55,000</u>
Total Resources	<u>\$ 125,705</u>	<u>\$ 96,747</u>	<u>\$ 116,393</u>	<u>\$ 96,747</u>
Disposition of Resources				
Office Supplies	\$ 7,273	\$ 2,500	\$ 2,500	\$ 2,500
Other Supplies	16,143	15,000	15,000	15,000
Communications	19,710	20,000	20,000	20,000
Outside Services	4,050	4,000	4,000	4,000
Intra-State Transfers	33,317	13,500	13,500	13,500
Licenses	3,465	0	0	0
Balance Carry Forward (Funds)	41,747	41,747	61,393	41,747
Total Disposition of Resources	<u>\$ 125,705</u>	<u>\$ 96,747</u>	<u>\$ 116,393</u>	<u>\$ 96,747</u>

STATE OF IOWA
 Fiscal Year 2012 Annual Budget
 SPECIAL DEPARTMENT: (200) Corrections, Department of
 Budget Unit: (24200000638) ISP Recycling Fund
 Schedule 6

	Fiscal Year 2010 Actual	Fiscal Year 2011 Estimated	Fiscal Year 2012 Department Request	Fiscal Year 2012 Governor's Recomm
Resources				
Other Resources				
Balance Brought Forward (Funds)	\$ 33,012	\$ 40,710	\$ 31,572	\$ 40,710
Receipts				
Interest	283	100	100	100
Sale Of Equipment & Salvage	20,120	1,000	1,000	1,000
	<u>20,403</u>	<u>1,100</u>	<u>1,100</u>	<u>1,100</u>
Total Resources	<u>\$ 53,415</u>	<u>\$ 41,810</u>	<u>\$ 32,672</u>	<u>\$ 41,810</u>
Disposition of Resources				
Facility Maintenance Supplies	\$ 0	\$ 100	\$ 0	\$ 0
Outside Services	12,705	600	600	600
Equipment - Non-Inventory	0	400	500	500
Balance Carry Forward (Funds)	40,710	40,710	31,572	40,710
Total Disposition of Resources	<u>\$ 53,415</u>	<u>\$ 41,810</u>	<u>\$ 32,672</u>	<u>\$ 41,810</u>

STATE OF IOWA
Fiscal Year 2012 Annual Budget
SPECIAL DEPARTMENT: (200) Corrections, Department of
Budget Unit: (2420A400001) Ft. Madison Institution
Schedule 6

	Fiscal Year 2010 Actual	Fiscal Year 2011 Estimated	Fiscal Year 2012 Department Request	Fiscal Year 2012 Governor's Recomm
Resources				
Appropriations				
Appropriation	\$ 41,114,692	\$ 39,991,374	\$ 39,991,374	\$ 41,031,283
Chapter 8.31 Reductions	-4,111,469	0	0	0
FY11 \$83.7M Reductions	0	-3,457,856	0	0
Supplementals	764,048	0	0	0
	<u>37,767,271</u>	<u>36,533,518</u>	<u>39,991,374</u>	<u>41,031,283</u>
Other Resources				
Balance Brought Forward (Approps)	0	760,751	350,000	0
Appropriation Transfer	1,139,564	0	0	0
	<u>1,139,564</u>	<u>760,751</u>	<u>350,000</u>	<u>0</u>
Receipts				
Local Governments	106,791	105,000	105,000	105,000
Intra State Receipts	4,509,879	1,586,134	1,451,000	0
Reimbursement from Other Agencies	3,402	0	0	0
Fees, Licenses & Permits	45,512	50,000	50,000	50,000
Rents & Leases	4,246	0	0	0
	<u>4,669,829</u>	<u>1,741,134</u>	<u>1,606,000</u>	<u>155,000</u>
Total Resources	<u>\$ 43,576,664</u>	<u>\$ 39,035,403</u>	<u>\$ 41,947,374</u>	<u>\$ 41,186,283</u>
FTE	<u>480.37</u>	<u>368.50</u>	<u>482.50</u>	<u>459.00</u>
Disposition of Resources				
Personal Services-Salaries	\$ 36,632,919	\$ 32,729,426	\$ 36,052,148	\$ 35,641,057
Personal Travel In State	16,933	11,965	11,965	11,965
State Vehicle Operation	76,152	62,500	62,500	62,500
Depreciation	-57,769	1,000	0	0

STATE OF IOWA
Fiscal Year 2012 Annual Budget
SPECIAL DEPARTMENT: (200) Corrections, Department of
Budget Unit: (2420A400001) Ft. Madison Institution
Schedule 6

	Fiscal Year 2010 Actual	Fiscal Year 2011 Estimated	Fiscal Year 2012 Department Request	Fiscal Year 2012 Governor's Recomm
Disposition of Resources (cont.)				
Personal Travel Out of State	195	0	0	0
Office Supplies	15,969	43,140	18,640	18,640
Facility Maintenance Supplies	153,416	64,000	175,000	175,000
Equipment Maintenance Supplies	76,785	83,500	83,500	83,500
Professional & Scientific Supplies	59,155	45,555	48,055	48,055
Housing & Subsistence Supplies	356,028	235,000	240,000	240,000
Ag.,Conservation & Horticulture Supply	4,102	5,000	5,000	5,000
Other Supplies	98,395	145,600	112,600	112,600
Food	1,397,391	1,200,000	1,200,000	1,200,000
Uniforms & Related Items	67,709	100,000	67,500	67,500
Postage	-1,480	500	0	0
Communications	55,235	50,000	50,000	50,000
Rentals	20,418	20,000	20,000	20,000
Utilities	1,276,868	1,250,000	1,250,000	1,250,000
Professional & Scientific Services	98,228	84,500	84,500	84,500
Outside Services	159,623	133,250	133,250	133,250
Outside Repairs/Service	276,704	280,000	245,000	245,000
Reimbursement to Other Agencies	1,231,077	1,637,651	1,423,950	1,073,950
ITS Reimbursements	50,019	53,240	45,690	45,690
Equipment	59,737	85,000	0	0
Office Equipment	8,436	8,436	8,436	8,436
Equipment - Non-Inventory	19,489	45,000	28,500	28,500
IT Equipment	148,626	125,000	125,000	125,000
Other Expense & Obligations	512,023	453,500	453,500	453,500
Licenses	2,605	2,640	2,640	2,640
Refunds-Other	923	0	0	0

STATE OF IOWA
 Fiscal Year 2012 Annual Budget
 SPECIAL DEPARTMENT: (200) Corrections, Department of
 Budget Unit: (2420A400001) Ft. Madison Institution
 Schedule 6

	Fiscal Year 2010 Actual	Fiscal Year 2011 Estimated	Fiscal Year 2012 Department Request	Fiscal Year 2012 Governor's Recomm
Disposition of Resources (cont.)				
Capitals	0	80,000	0	0
Balance Carry Forward (Approps)	760,751	0	0	0
Total Disposition of Resources	<u>\$ 43,576,664</u>	<u>\$ 39,035,403</u>	<u>\$ 41,947,374</u>	<u>\$ 41,186,283</u>

STATE OF IOWA
 Fiscal Year 2012 Annual Budget
 SPECIAL DEPARTMENT: (200) Corrections, Department of
 Budget Unit: (2420A420988) DOC Fort Madison-ARRA - federal (fund 0988)
 Schedule 6

	Fiscal Year 2010 Actual	Fiscal Year 2011 Estimated	Fiscal Year 2012 Department Request	Fiscal Year 2012 Governor's Recomm
Resources				
Appropriations				
Appropriation	\$ 4,347,000	\$ 0	\$ 0	\$ 0
Disposition of Resources				
Intra-State Transfers	\$ 4,347,000	\$ 0	\$ 0	\$ 0

STATE OF IOWA
Fiscal Year 2012 Annual Budget
SPECIAL DEPARTMENT: (200) Corrections, Department of
Budget Unit: (24300000334) Anamosa Canteen Fund
Schedule 6

	Fiscal Year 2010 Actual	Fiscal Year 2011 Estimated	Fiscal Year 2012 Department Request	Fiscal Year 2012 Governor's Recomm
Resources				
Other Resources				
Balance Brought Forward (Funds)	\$ 277,785	\$ 265,600	\$ 277,785	\$ 265,600
Receipts				
Intra State Receipts	0	100	100	100
Interest	20	300	300	300
Other Sales & Services	367,779	322,362	322,362	322,362
	<u>367,799</u>	<u>322,762</u>	<u>322,762</u>	<u>322,762</u>
Total Resources	<u>\$ 645,584</u>	<u>\$ 588,362</u>	<u>\$ 600,547</u>	<u>\$ 588,362</u>
Disposition of Resources				
Office Supplies	\$ 3,270	\$ 3,500	\$ 3,500	\$ 3,500
Facility Maintenance Supplies	1,665	500	500	500
Housing & Subsistence Supplies	90	600	600	600
Ag., Conservation & Horticulture Supply	209	200	0	0
Other Supplies	295,303	298,700	300,000	300,000
Uniforms & Related Items	312	450	450	450
Postage	0	1,500	1,500	1,500
Communications	894	1,000	0	0
Outside Services	0	200	200	200
Intra-State Transfers	41,232	1,000	1,000	1,000
Outside Repairs/Service	778	1,500	1,500	1,500
Reimbursement to Other Agencies	0	12	12	12
Equipment	0	100	100	100
Office Equipment	0	100	100	100
Equipment - Non-Inventory	6,185	5,000	5,000	5,000
IT Equipment	19,175	500	500	500
Other Expense & Obligations	8,688	7,800	7,800	7,800

STATE OF IOWA
 Fiscal Year 2012 Annual Budget
 SPECIAL DEPARTMENT: (200) Corrections, Department of
 Budget Unit: (24300000334) Anamosa Canteen Fund
 Schedule 6

	Fiscal Year 2010 Actual	Fiscal Year 2011 Estimated	Fiscal Year 2012 Department Request	Fiscal Year 2012 Governor's Recomm
Disposition of Resources (cont.)				
Licenses	2,183	100	0	0
Balance Carry Forward (Funds)	265,600	265,600	277,785	265,600
Total Disposition of Resources	<u>\$ 645,584</u>	<u>\$ 588,362</u>	<u>\$ 600,547</u>	<u>\$ 588,362</u>

STATE OF IOWA
Fiscal Year 2012 Annual Budget
SPECIAL DEPARTMENT: (200) Corrections, Department of
Budget Unit: (24300000669) Recycling Program
Schedule 6

	Fiscal Year 2010 Actual	Fiscal Year 2011 Estimated	Fiscal Year 2012 Department Request	Fiscal Year 2012 Governor's Recomm
Resources				
Other Resources				
Balance Brought Forward (Funds)	\$ 9,395	\$ 15,991	\$ 9,395	\$ 15,991
Receipts				
Interest	97	700	700	700
Other Sales & Services	15,186	20,320	20,320	20,320
	<u>15,283</u>	<u>21,020</u>	<u>21,020</u>	<u>21,020</u>
Total Resources	<u>\$ 24,678</u>	<u>\$ 37,011</u>	<u>\$ 30,415</u>	<u>\$ 37,011</u>
Disposition of Resources				
State Vehicle Operation	\$ 0	\$ 200	\$ 200	\$ 200
Facility Maintenance Supplies	51	800	800	800
Equipment Maintenance Supplies	2,681	4,500	4,500	4,500
Other Supplies	461	510	510	510
Utilities	0	510	510	510
Outside Services	0	500	500	500
Outside Repairs/Service	4,736	3,000	3,000	3,000
Equipment	0	8,000	8,000	8,000
Equipment - Non-Inventory	759	3,000	3,000	3,000
Balance Carry Forward (Funds)	15,991	15,991	9,395	15,991
Total Disposition of Resources	<u>\$ 24,678</u>	<u>\$ 37,011</u>	<u>\$ 30,415</u>	<u>\$ 37,011</u>

STATE OF IOWA
Fiscal Year 2012 Annual Budget
SPECIAL DEPARTMENT: (200) Corrections, Department of
Budget Unit: (2430A450001) Anamosa Institution
Schedule 6

	Fiscal Year 2010 Actual	Fiscal Year 2011 Estimated	Fiscal Year 2012 Department Request	Fiscal Year 2012 Governor's Recomm
Resources				
Appropriations				
Appropriation	\$ 31,413,895	\$ 30,416,461	\$ 30,416,461	\$ 31,985,974
Chapter 8.31 Reductions	-3,141,390	0	0	0
FY11 \$83.7M Reductions	0	-2,145,667	0	0
Supplementals	543,179	0	0	0
	<u>28,815,684</u>	<u>28,270,794</u>	<u>30,416,461</u>	<u>31,985,974</u>
Other Resources				
Balance Brought Forward (Approps)	0	520,369	520,369	0
Appropriation Transfer	1,388,339	0	0	0
	<u>1,388,339</u>	<u>520,369</u>	<u>520,369</u>	<u>0</u>
Receipts				
Intra State Receipts	1,163,063	1,285,886	1,046,390	200
Reimbursement from Other Agencies	382	0	0	0
Fees, Licenses & Permits	58,624	48,000	48,000	48,000
Refunds & Reimbursements	834	200	200	200
Sale Of Equipment & Salvage	0	50	50	50
Rents & Leases	25,432	26,155	26,155	26,155
	<u>1,248,334</u>	<u>1,360,291</u>	<u>1,120,795</u>	<u>74,605</u>
Total Resources	<u>\$ 31,452,357</u>	<u>\$ 30,151,454</u>	<u>\$ 32,057,625</u>	<u>\$ 32,060,579</u>
FTE	<u>318.85</u>	<u>276.00</u>	<u>355.00</u>	<u>361.00</u>
Disposition of Resources				
Personal Services-Salaries	\$ 24,665,160	\$ 24,628,585	\$ 26,620,408	\$ 27,158,820
Personal Travel In State	8,685	29,790	29,790	4,790
State Vehicle Operation	59,600	51,000	56,000	56,000

STATE OF IOWA
 Fiscal Year 2012 Annual Budget
 SPECIAL DEPARTMENT: (200) Corrections, Department of
 Budget Unit: (2430A450001) Anamosa Institution
 Schedule 6

	Fiscal Year 2010 Actual	Fiscal Year 2011 Estimated	Fiscal Year 2012 Department Request	Fiscal Year 2012 Governor's Recomm
Disposition of Resources (cont.)				
Depreciation	-25,098	0	0	0
Personal Travel Out of State	0	15	15	10
Office Supplies	32,866	38,610	38,610	20,521
Facility Maintenance Supplies	265,807	202,800	160,900	159,900
Equipment Maintenance Supplies	101,112	93,810	82,810	76,810
Professional & Scientific Supplies	94,045	86,550	86,550	53,050
Housing & Subsistence Supplies	501,967	441,805	444,305	444,300
Ag.,Conservation & Horticulture Supply	21,200	15,600	15,600	15,500
Other Supplies	66,244	65,825	58,825	24,825
Drugs & Biologicals	66	120	120	120
Food	1,323,904	1,401,691	1,408,691	1,408,691
Uniforms & Related Items	241,056	186,955	187,955	162,955
Postage	11,080	10,240	10,240	10,240
Communications	50,087	50,700	55,700	55,700
Rentals	2,229	1,985	1,985	1,835
Utilities	1,458,000	1,355,681	1,385,000	1,385,000
Professional & Scientific Services	180,887	178,115	177,815	169,815
Outside Services	85,443	70,450	67,950	67,450
Intra-State Transfers	0	4	4	0
Advertising & Publicity	0	5	5	5
Outside Repairs/Service	202,157	58,503	54,832	44,920
Reimbursement to Other Agencies	437,303	438,860	438,860	69,882
ITS Reimbursements	32,300	35,010	35,010	35,010
IT Outside Services	0	500	500	500
Equipment	334,676	20,155	20,155	20,150
Office Equipment	12,609	50	50	45

STATE OF IOWA
 Fiscal Year 2012 Annual Budget
 SPECIAL DEPARTMENT: (200) Corrections, Department of
 Budget Unit: (2430A450001) Anamosa Institution
 Schedule 6

	Fiscal Year 2010 Actual	Fiscal Year 2011 Estimated	Fiscal Year 2012 Department Request	Fiscal Year 2012 Governor's Recomm
Disposition of Resources (cont.)				
Equipment - Non-Inventory	124,336	36,240	27,240	22,240
IT Equipment	185,228	100,100	100,100	100,100
Other Expense & Obligations	447,343	484,010	489,010	489,005
Licenses	3,653	2,690	2,590	2,390
Capitals	8,043	65,000	0	0
Balance Carry Forward (Approps)	520,369	0	0	0
Total Disposition of Resources	<u>\$ 31,452,357</u>	<u>\$ 30,151,454</u>	<u>\$ 32,057,625</u>	<u>\$ 32,060,579</u>

STATE OF IOWA
 Fiscal Year 2012 Annual Budget
 SPECIAL DEPARTMENT: (200) Corrections, Department of
 Budget Unit: (2430A460988) DOC Anamosa-ARRA - federal (fund 0988)
 Schedule 6

	Fiscal Year 2010 Actual	Fiscal Year 2011 Estimated	Fiscal Year 2012 Department Request	Fiscal Year 2012 Governor's Recomm
Resources				
Appropriations				
Appropriation	\$ 931,000	\$ 0	\$ 0	\$ 0
Disposition of Resources				
Intra-State Transfers	\$ 931,000	\$ 0	\$ 0	\$ 0
Reversions	0	0	0	0
Total Disposition of Resources	\$ 931,000	\$ 0	\$ 0	\$ 0

STATE OF IOWA
 Fiscal Year 2012 Annual Budget
 SPECIAL DEPARTMENT: (200) Corrections, Department of
 Budget Unit: (24400000337) Oakdale Canteen Fund
 Schedule 6

	Fiscal Year 2010 Actual	Fiscal Year 2011 Estimated	Fiscal Year 2012 Department Request	Fiscal Year 2012 Governor's Recomm
Resources				
Other Resources				
Balance Brought Forward (Funds)	\$ 49,351	\$ 26,632	\$ 94,361	\$ 0
Adjustment to Balance Forward	1,933	0	0	0
	<u>51,284</u>	<u>26,632</u>	<u>94,361</u>	<u>0</u>
Receipts				
Other Sales & Services	128,358	56,080	33,500	80,750
Total Resources	<u>\$ 179,641</u>	<u>\$ 82,712</u>	<u>\$ 127,861</u>	<u>\$ 80,750</u>
FTE	<u>0.00</u>	<u>1.00</u>	<u>1.00</u>	<u>0.00</u>
Disposition of Resources				
Personal Services-Salaries	\$ 0	\$ 0	\$ 47,111	\$ 0
Office Supplies	10,665	250	250	250
Other Supplies	110,082	54,111	32,000	32,000
Intra-State Transfers	24,187	25,000	0	0
Equipment - Non-Inventory	8,075	351	351	351
Other Expense & Obligations	0	3,000	3,000	3,000
Balance Carry Forward (Funds)	26,632	0	45,149	45,149
Total Disposition of Resources	<u>\$ 179,641</u>	<u>\$ 82,712</u>	<u>\$ 127,861</u>	<u>\$ 80,750</u>

STATE OF IOWA
Fiscal Year 2012 Annual Budget
SPECIAL DEPARTMENT: (200) Corrections, Department of
Budget Unit: (24400000341) Oakdale Milk Grant
Schedule 6

	Fiscal Year 2010 Actual	Fiscal Year 2011 Estimated	Fiscal Year 2012 Department Request	Fiscal Year 2012 Governor's Recomm
Resources				
Other Resources				
Balance Brought Forward (Funds)	\$ 97,126	\$ 110,082	\$ 109,126	\$ 110,082
Receipts				
Intra State Receipts	23,083	0	0	0
Reimbursement from Other Agencies	0	19,000	19,000	19,000
	<u>23,083</u>	<u>19,000</u>	<u>19,000</u>	<u>19,000</u>
Total Resources	<u>\$ 120,209</u>	<u>\$ 129,082</u>	<u>\$ 128,126</u>	<u>\$ 129,082</u>
Disposition of Resources				
Office Supplies	\$ 0	\$ 500	\$ 500	\$ 500
Equipment Maintenance Supplies	90	3,750	3,750	3,750
Housing & Subsistence Supplies	4,401	3,750	3,750	3,750
Food	0	6,000	6,000	6,000
Outside Repairs/Service	5,636	0	0	0
Equipment	0	5,000	5,000	5,000
Balance Carry Forward (Funds)	110,082	110,082	109,126	110,082
Total Disposition of Resources	<u>\$ 120,209</u>	<u>\$ 129,082</u>	<u>\$ 128,126</u>	<u>\$ 129,082</u>

STATE OF IOWA
 Fiscal Year 2012 Annual Budget
 SPECIAL DEPARTMENT: (200) Corrections, Department of
 Budget Unit: (24400000454) Oakdale Library Grant
 Schedule 6

	Fiscal Year 2010 Actual	Fiscal Year 2011 Estimated	Fiscal Year 2012 Department Request	Fiscal Year 2012 Governor's Recomm
Resources				
Other Resources				
Balance Brought Forward (Funds)	\$ 339	\$ 339	\$ 339	\$ 339
Disposition of Resources				
Balance Carry Forward (Funds)	\$ 339	\$ 339	\$ 339	\$ 339

STATE OF IOWA
Fiscal Year 2012 Annual Budget
SPECIAL DEPARTMENT: (200) Corrections, Department of
Budget Unit: (2440A500001) Oakdale Institution
Schedule 6

	Fiscal Year 2010 Actual	Fiscal Year 2011 Estimated	Fiscal Year 2012 Department Request	Fiscal Year 2012 Governor's Recomm
Resources				
Appropriations				
Appropriation	\$ 58,646,095	\$ 55,755,246	\$ 55,875,245	\$ 55,600,610
Chapter 8.31 Reductions	-5,864,610	0	0	0
FY11 \$83.7M Reductions	0	-3,140,347	0	0
Supplementals	2,650,762	0	0	0
	<u>55,432,247</u>	<u>52,614,899</u>	<u>55,875,245</u>	<u>55,600,610</u>
Other Resources				
Balance Brought Forward (Approps)	0	1,509,081	1,743,709	0
Receipts				
Intra State Receipts	2,076,263	293,249	65,727	65,727
Reimbursement from Other Agencies	4,295	308,000	308,000	308,000
Fees, Licenses & Permits	0	25,000	25,000	25,000
	<u>2,080,558</u>	<u>626,249</u>	<u>398,727</u>	<u>398,727</u>
Total Resources	<u>\$ 57,512,805</u>	<u>\$ 54,750,229</u>	<u>\$ 58,017,681</u>	<u>\$ 55,999,337</u>
FTE	<u>536.17</u>	<u>446.50</u>	<u>567.50</u>	<u>556.50</u>
Disposition of Resources				
Personal Services-Salaries	\$ 40,109,985	\$ 38,275,055	\$ 41,256,494	\$ 40,981,859
Personal Travel In State	56,908	38,844	38,844	38,844
State Vehicle Operation	104,483	139,921	139,921	139,921
Depreciation	-8,423	0	0	0
Personal Travel Out of State	737	2,551	2,551	2,551
Office Supplies	90,410	187,594	187,594	187,594
Facility Maintenance Supplies	381,674	149,441	149,441	149,441
Equipment Maintenance Supplies	111,841	145,773	145,773	145,773

STATE OF IOWA
Fiscal Year 2012 Annual Budget
SPECIAL DEPARTMENT: (200) Corrections, Department of
Budget Unit: (2440A500001) Oakdale Institution
Schedule 6

	Fiscal Year 2010 Actual	Fiscal Year 2011 Estimated	Fiscal Year 2012 Department Request	Fiscal Year 2012 Governor's Recomm
Disposition of Resources (cont.)				
Professional & Scientific Supplies	492,868	382,768	382,768	382,768
Housing & Subsistence Supplies	253,308	272,155	272,155	272,155
Ag.,Conservation & Horticulture Supply	8,353	5,000	5,000	5,000
Other Supplies	179,968	130,953	130,953	130,953
Drugs & Biologicals	7,722,228	9,886,494	10,086,494	8,342,785
Food	939,912	1,110,490	1,172,054	1,172,054
Uniforms & Related Items	281,805	267,356	267,356	267,356
Postage	35,592	51,581	51,581	51,581
Communications	225,538	185,220	185,220	185,220
Rentals	87,084	41,380	41,380	41,380
Utilities	1,248,404	1,564,751	1,645,268	1,645,268
Professional & Scientific Services	555,187	494,662	494,662	494,662
Outside Services	246,609	238,627	238,627	238,627
Intra-State Transfers	507,860	24,000	0	0
Advertising & Publicity	137	25,100	25,100	25,100
Outside Repairs/Service	286,155	132,218	100,150	100,150
Auditor of State Reimbursements	0	100	100	100
Reimbursement to Other Agencies	479,273	170,048	170,048	170,048
ITS Reimbursements	330,841	49,104	49,104	49,104
Workers Comp. Reimbursement	0	300,965	300,965	300,965
Equipment	145,922	123,712	123,712	123,712
Equipment - Non-Inventory	75,337	115,440	115,440	115,440
IT Equipment	713,159	155,598	155,598	155,598
Claims	0	287	287	287
Other Expense & Obligations	183,971	83,041	83,041	83,041
Appropriation Transfer	156,598	0	0	0

STATE OF IOWA
 Fiscal Year 2012 Annual Budget
 SPECIAL DEPARTMENT: (200) Corrections, Department of
 Budget Unit: (2440A500001) Oakdale Institution
 Schedule 6

	Fiscal Year 2010 Actual	Fiscal Year 2011 Estimated	Fiscal Year 2012 Department Request	Fiscal Year 2012 Governor's Recomm
Disposition of Resources (cont.)				
Balance Carry Forward (Approps)	1,509,081	0	0	0
Total Disposition of Resources	<u>\$ 57,512,805</u>	<u>\$ 54,750,229</u>	<u>\$ 58,017,681</u>	<u>\$ 55,999,337</u>

STATE OF IOWA
 Fiscal Year 2012 Annual Budget
 SPECIAL DEPARTMENT: (200) Corrections, Department of
 Budget Unit: (2440A510988) DOC Oakdale ARRA -federal (fund 0988)
 Schedule 6

	Fiscal Year 2010 Actual	Fiscal Year 2011 Estimated	Fiscal Year 2012 Department Request	Fiscal Year 2012 Governor's Recomm
Resources				
Appropriations				
Appropriation	\$ 2,030,000	\$ 0	\$ 0	\$ 0
Disposition of Resources				
Intra-State Transfers	\$ 2,030,000	\$ 0	\$ 0	\$ 0

STATE OF IOWA
Fiscal Year 2012 Annual Budget
SPECIAL DEPARTMENT: (200) Corrections, Department of
Budget Unit: (24500000338) Newton Canteen Fund
Schedule 6

	Fiscal Year 2010 <u>Actual</u>	Fiscal Year 2011 <u>Estimated</u>	Fiscal Year 2012 Department <u>Request</u>	Fiscal Year 2012 Governor's <u>Recomm</u>
Resources				
Other Resources				
Balance Brought Forward (Funds)	\$ 106,343	\$ 26,304	\$ 106,343	\$ 26,304
Receipts				
Other Sales & Services	68,681	73,301	73,301	73,301
Total Resources	<u>\$ 175,024</u>	<u>\$ 99,605</u>	<u>\$ 179,644</u>	<u>\$ 99,605</u>
Disposition of Resources				
Office Supplies	\$ 1,464	\$ 1,000	\$ 1,000	\$ 1,000
Facility Maintenance Supplies	37	3,000	3,000	3,000
Equipment Maintenance Supplies	0	3,000	3,000	3,000
Professional & Scientific Supplies	-68	1,000	1,000	1,000
Housing & Subsistence Supplies	-2,253	3,000	3,000	3,000
Ag., Conservation & Horticulture Supply	0	1,000	1,000	1,000
Other Supplies	1,405	3,000	3,000	3,000
Food	0	20,000	20,000	20,000
Postage	-3,196	25,000	25,000	25,000
Communications	720	2,000	0	0
Rentals	1,590	1,000	1,000	1,000
Outside Services	0	3,881	3,881	3,881
Intra-State Transfers	145,000	1,000	1,000	1,000
Outside Repairs/Service	2,346	1,000	3,000	3,000
Equipment	0	3,000	3,000	3,000
Office Equipment	0	700	1,000	1,000
IT Equipment	0	300	0	0
Other Expense & Obligations	1,674	0	0	0
Licenses	0	420	420	420
Balance Carry Forward (Funds)	26,304	26,304	106,343	26,304

STATE OF IOWA

Fiscal Year 2012 Annual Budget

SPECIAL DEPARTMENT: (200) Corrections, Department of

Budget Unit: (24500000338) Newton Canteen Fund

Schedule 6

	Fiscal Year 2010 Actual	Fiscal Year 2011 Estimated	Fiscal Year 2012 Department Request	Fiscal Year 2012 Governor's Recomm
Disposition of Resources (cont.)				
Total Disposition of Resources	<u>\$ 175,024</u>	<u>\$ 99,605</u>	<u>\$ 179,644</u>	<u>\$ 99,605</u>

STATE OF IOWA
Fiscal Year 2012 Annual Budget
SPECIAL DEPARTMENT: (200) Corrections, Department of
Budget Unit: (24500000650) Newton Recycling Fund
Schedule 6

	Fiscal Year 2010 Actual	Fiscal Year 2011 Estimated	Fiscal Year 2012 Department Request	Fiscal Year 2012 Governor's Recomm
Resources				
Other Resources				
Balance Brought Forward (Funds)	\$ 0	\$ 11,562	\$ 0	\$ 11,562
Receipts				
Interest	33	100	100	100
Other Sales & Services	11,529	10,000	10,000	10,000
	<u>11,562</u>	<u>10,100</u>	<u>10,100</u>	<u>10,100</u>
Total Resources	<u>\$ 11,562</u>	<u>\$ 21,662</u>	<u>\$ 10,100</u>	<u>\$ 21,662</u>
Disposition of Resources				
Housing & Subsistence Supplies	\$ 0	\$ 5,100	\$ 5,100	\$ 5,100
Other Supplies	0	1,000	1,000	1,000
Equipment	0	3,000	3,000	3,000
Equipment - Non-Inventory	0	1,000	1,000	1,000
Balance Carry Forward (Funds)	11,562	11,562	0	11,562
Total Disposition of Resources	<u>\$ 11,562</u>	<u>\$ 21,662</u>	<u>\$ 10,100</u>	<u>\$ 21,662</u>

STATE OF IOWA
Fiscal Year 2012 Annual Budget
SPECIAL DEPARTMENT: (200) Corrections, Department of
Budget Unit: (2450A550001) Newton Institution
Schedule 6

	Fiscal Year 2010 Actual	Fiscal Year 2011 Estimated	Fiscal Year 2012 Department Request	Fiscal Year 2012 Governor's Recomm
Resources				
Appropriations				
Appropriation	\$ 28,033,393	\$ 26,452,257	\$ 26,452,257	\$ 25,958,757
Chapter 8.31 Reductions	-2,803,339	0	0	0
FY11 \$83.7M Reductions	0	-1,852,964	0	0
Supplementals	526,181	0	0	0
	<u>25,756,235</u>	<u>24,599,293</u>	<u>26,452,257</u>	<u>25,958,757</u>
Other Resources				
Balance Brought Forward (Approps)	0	36,914	0	0
Appropriation Transfer	240,574	0	0	0
	<u>240,574</u>	<u>36,914</u>	<u>0</u>	<u>0</u>
Receipts				
Intra State Receipts	1,115,257	80,327	1	1
Reimbursement from Other Agencies	340	0	0	0
Fees, Licenses & Permits	48,608	50,000	50,000	50,000
	<u>1,164,205</u>	<u>130,327</u>	<u>50,001</u>	<u>50,001</u>
Total Resources	<u>\$ 27,161,014</u>	<u>\$ 24,766,534</u>	<u>\$ 26,502,258</u>	<u>\$ 26,008,758</u>
FTE	<u>304.50</u>	<u>300.00</u>	<u>312.00</u>	<u>300.00</u>
Disposition of Resources				
Personal Services-Salaries	\$ 22,747,409	\$ 20,603,482	\$ 22,377,119	\$ 21,883,619
Personal Travel In State	3,648	1,062	1,061	1,061
State Vehicle Operation	96,404	125,000	125,000	125,000
Depreciation	-57,865	1	1	1
Personal Travel Out of State	0	3	3	3
Office Supplies	11,947	11,740	11,740	11,740

STATE OF IOWA
Fiscal Year 2012 Annual Budget
SPECIAL DEPARTMENT: (200) Corrections, Department of
Budget Unit: (2450A550001) Newton Institution
Schedule 6

	Fiscal Year 2010 Actual	Fiscal Year 2011 Estimated	Fiscal Year 2012 Department Request	Fiscal Year 2012 Governor's Recomm
Disposition of Resources (cont.)				
Facility Maintenance Supplies	42,103	48,138	48,138	48,138
Equipment Maintenance Supplies	60,303	58,250	58,250	58,250
Professional & Scientific Supplies	61,892	61,700	61,700	61,700
Housing & Subsistence Supplies	305,186	239,650	240,000	240,000
Ag.,Conservation & Horticulture Supply	3,629	3,500	3,500	3,500
Other Supplies	7,584	2,501	2,501	2,501
Food	1,356,706	1,250,000	1,250,000	1,250,000
Uniforms & Related Items	86,584	71,000	71,000	71,000
Communications	38,859	40,000	40,000	40,000
Rentals	3,573	1,781	1,781	1,781
Utilities	1,118,641	1,136,914	1,100,000	1,100,000
Professional & Scientific Services	257,607	200,744	200,744	200,744
Outside Services	138,658	103,200	102,200	102,200
Intra-State Transfers	15	0	0	0
Outside Repairs/Service	168,077	146,900	146,901	146,901
Reimbursement to Other Agencies	328,325	314,699	314,700	314,700
ITS Reimbursements	29,946	30,300	30,300	30,300
Equipment	0	1	1	1
Equipment - Non-Inventory	10,510	355	5	5
IT Equipment	27,083	65,122	65,122	65,122
Other Expense & Obligations	276,899	250,001	250,001	250,001
Licenses	380	490	490	490
Balance Carry Forward (Approps)	36,914	0	0	0
Total Disposition of Resources	<u>\$ 27,161,014</u>	<u>\$ 24,766,534</u>	<u>\$ 26,502,258</u>	<u>\$ 26,008,758</u>

STATE OF IOWA
 Fiscal Year 2012 Annual Budget
 SPECIAL DEPARTMENT: (200) Corrections, Department of
 Budget Unit: (2450A570988) DOC Newton ARRA - federal (fund 0988)
 Schedule 6

	Fiscal Year 2010 Actual	Fiscal Year 2011 Estimated	Fiscal Year 2012 Department Request	Fiscal Year 2012 Governor's Recomm
Resources				
Appropriations				
Appropriation	\$ 1,029,000	\$ 0	\$ 0	\$ 0
Disposition of Resources				
Intra-State Transfers	\$ 1,029,000	\$ 0	\$ 0	\$ 0

STATE OF IOWA
Fiscal Year 2012 Annual Budget
SPECIAL DEPARTMENT: (200) Corrections, Department of
Budget Unit: (24600000453) Mt Pleasant Canteen
Schedule 6

	Fiscal Year 2010 Actual	Fiscal Year 2011 Estimated	Fiscal Year 2012 Department Request	Fiscal Year 2012 Governor's Recomm
Resources				
Other Resources				
Balance Brought Forward (Funds)	\$ 103,431	\$ 54,417	\$ 50,000	\$ 50,117
Receipts				
Other Sales & Services	143,287	150,000	150,000	150,000
Total Resources	<u>\$ 246,718</u>	<u>\$ 204,417</u>	<u>\$ 200,000</u>	<u>\$ 200,117</u>
Disposition of Resources				
Office Supplies	\$ 16,082	\$ 20,000	\$ 20,000	\$ 20,000
Facility Maintenance Supplies	1,081	500	500	500
Professional & Scientific Supplies	245	15,000	15,000	15,000
Housing & Subsistence Supplies	5,374	2,000	2,000	2,000
Other Supplies	63,779	75,000	75,000	75,000
Postage	0	500	500	500
Intra-State Transfers	91,540	100	100	100
Outside Repairs/Service	0	100	100	100
Reimbursement to Other Agencies	0	20,000	20,000	20,000
Equipment	0	10,000	10,000	10,000
Equipment - Non-Inventory	12,062	10,000	10,000	10,000
IT Equipment	219	1,000	1,000	1,000
Licenses	1,920	100	100	100
Balance Carry Forward (Funds)	54,417	50,117	45,700	45,817
Total Disposition of Resources	<u>\$ 246,718</u>	<u>\$ 204,417</u>	<u>\$ 200,000</u>	<u>\$ 200,117</u>

STATE OF IOWA
Fiscal Year 2012 Annual Budget
SPECIAL DEPARTMENT: (200) Corrections, Department of
Budget Unit: (2460000064G) Mt. Pleasant (MPCF) Recycling Fund
Schedule 6

	Fiscal Year 2010 Actual	Fiscal Year 2011 Estimated	Fiscal Year 2012 Department Request	Fiscal Year 2012 Governor's Recomm
Resources				
Other Resources				
Balance Brought Forward (Funds)	\$ 0	\$ 28,159	\$ 30,000	\$ 28,159
Receipts				
Interest	96	300	300	300
Other Sales & Services	28,063	5,000	5,000	5,000
	<u>28,159</u>	<u>5,300</u>	<u>5,300</u>	<u>5,300</u>
Total Resources	<u>\$ 28,159</u>	<u>\$ 33,459</u>	<u>\$ 35,300</u>	<u>\$ 33,459</u>
Disposition of Resources				
Office Supplies	\$ 0	\$ 50	\$ 50	\$ 50
Facility Maintenance Supplies	0	1,000	1,000	1,000
Equipment Maintenance Supplies	0	1,000	1,000	1,000
Housing & Subsistence Supplies	0	50	50	50
Other Supplies	0	50	50	50
Professional & Scientific Services	0	50	50	50
Outside Services	0	100	100	100
Outside Repairs/Service	0	1,000	1,000	1,000
Equipment	0	1,000	1,000	1,000
Equipment - Non-Inventory	0	1,000	1,000	1,000
Balance Carry Forward (Funds)	28,159	28,159	30,000	28,159
Total Disposition of Resources	<u>\$ 28,159</u>	<u>\$ 33,459</u>	<u>\$ 35,300</u>	<u>\$ 33,459</u>

STATE OF IOWA
Fiscal Year 2012 Annual Budget
SPECIAL DEPARTMENT: (200) Corrections, Department of
Budget Unit: (2460A600001) Mt. Pleasant Inst.
Schedule 6

	Fiscal Year 2010 Actual	Fiscal Year 2011 Estimated	Fiscal Year 2012 Department Request	Fiscal Year 2012 Governor's Recomm
Resources				
Appropriations				
Appropriation	\$ 27,216,182	\$ 26,265,257	\$ 26,265,257	\$ 25,917,815
Chapter 8.31 Reductions	-2,721,618	0	0	0
FY11 \$83.7M Reductions	0	-2,073,612	0	0
Supplementals	415,980	0	0	0
	<u>24,910,544</u>	<u>24,191,645</u>	<u>26,265,257</u>	<u>25,917,815</u>
Other Resources				
Balance Brought Forward (Approps)	0	35,820	0	0
Appropriation Transfer	264,819	0	0	0
	<u>264,819</u>	<u>35,820</u>	<u>0</u>	<u>0</u>
Receipts				
Intra State Receipts	967,721	377,671	101,391	101,391
Reimbursement from Other Agencies	227	0	0	0
Fees, Licenses & Permits	40,543	40,500	40,500	40,500
Refunds & Reimbursements	910	500	500	500
	<u>1,009,400</u>	<u>418,671</u>	<u>142,391</u>	<u>142,391</u>
Total Resources	<u>\$ 26,184,763</u>	<u>\$ 24,646,135</u>	<u>\$ 26,407,648</u>	<u>\$ 26,060,206</u>
FTE	<u>285.19</u>	<u>240.56</u>	<u>300.28</u>	<u>288.28</u>
Disposition of Resources				
Personal Services-Salaries	\$ 22,041,123	\$ 20,270,987	\$ 22,170,333	\$ 21,822,891
Personal Travel In State	9,631	20,000	20,000	20,000
State Vehicle Operation	63,789	65,000	65,000	65,000
Depreciation	-2,809	100	100	100
Personal Travel Out of State	1,762	100	100	100

STATE OF IOWA
Fiscal Year 2012 Annual Budget
SPECIAL DEPARTMENT: (200) Corrections, Department of
Budget Unit: (2460A600001) Mt. Pleasant Inst.
Schedule 6

	Fiscal Year 2010 Actual	Fiscal Year 2011 Estimated	Fiscal Year 2012 Department Request	Fiscal Year 2012 Governor's Recomm
Disposition of Resources (cont.)				
Office Supplies	33,202	35,000	35,000	35,000
Facility Maintenance Supplies	155,279	155,000	140,000	140,000
Equipment Maintenance Supplies	5,775	5,000	5,000	5,000
Professional & Scientific Supplies	31,555	34,200	34,200	34,200
Housing & Subsistence Supplies	300,662	375,328	360,100	360,100
Ag., Conservation & Horticulture Supply	3,613	2,000	2,000	2,000
Other Supplies	33,704	30,550	30,550	30,550
Food	1,130,937	1,140,368	1,063,138	1,063,138
Uniforms & Related Items	145,954	160,200	150,200	150,200
Postage	24,838	6,600	6,600	6,600
Communications	43,925	45,000	45,000	45,000
Rentals	7,495	5,040	5,040	5,040
Utilities	864,768	896,622	953,247	953,247
Professional & Scientific Services	78,175	98,000	98,000	98,000
Outside Services	158,960	182,780	167,780	167,780
Intra-State Transfers	0	100	100	100
Advertising & Publicity	0	100	100	100
Outside Repairs/Service	71,265	81,620	71,620	71,620
Reimbursement to Other Agencies	380,166	362,940	362,940	362,940
ITS Reimbursements	29,950	47,400	47,400	47,400
Equipment	32,223	52,000	30,000	30,000
Office Equipment	0	3,000	3,000	3,000
Equipment - Non-Inventory	45,171	47,000	17,000	17,000
IT Equipment	68,139	120,000	120,000	120,000
Claims	675	100	100	100
Other Expense & Obligations	389,015	404,000	404,000	404,000

STATE OF IOWA
 Fiscal Year 2012 Annual Budget
 SPECIAL DEPARTMENT: (200) Corrections, Department of
 Budget Unit: (2460A600001) Mt. Pleasant Inst.
 Schedule 6

	Fiscal Year 2010 Actual	Fiscal Year 2011 Estimated	Fiscal Year 2012 Department Request	Fiscal Year 2012 Governor's Recomm
Disposition of Resources (cont.)				
Balance Carry Forward (Approps)	35,820	0	0	0
Total Disposition of Resources	<u>\$ 26,184,763</u>	<u>\$ 24,646,135</u>	<u>\$ 26,407,648</u>	<u>\$ 26,060,206</u>

STATE OF IOWA
 Fiscal Year 2012 Annual Budget
 SPECIAL DEPARTMENT: (200) Corrections, Department of
 Budget Unit: (2460A610988) DOC Mt. Pleasant ARRA - federal (fund 0988)
 Schedule 6

	Fiscal Year 2010 Actual	Fiscal Year 2011 Estimated	Fiscal Year 2012 Department Request	Fiscal Year 2012 Governor's Recomm
Resources				
Appropriations				
Appropriation	\$ 903,000	\$ 0	\$ 0	\$ 0
Disposition of Resources				
Intra-State Transfers	\$ 903,000	\$ 0	\$ 0	\$ 0

STATE OF IOWA
 Fiscal Year 2012 Annual Budget
 SPECIAL DEPARTMENT: (200) Corrections, Department of
 Budget Unit: (24700000336) Rockwell City Canteen Fund
 Schedule 6

	Fiscal Year 2010 Actual	Fiscal Year 2011 Estimated	Fiscal Year 2012 Department Request	Fiscal Year 2012 Governor's Recomm
Resources				
Other Resources				
Balance Brought Forward (Funds)	\$ 36,557	\$ 17,497	\$ 36,557	\$ 17,497
Receipts				
Other Sales & Services	36,659	16,000	16,000	16,000
Total Resources	<u>\$ 73,216</u>	<u>\$ 33,497</u>	<u>\$ 52,557</u>	<u>\$ 33,497</u>
Disposition of Resources				
Depreciation	\$ 0	\$ 9,000	\$ 9,000	\$ 9,000
Office Supplies	0	1,000	1,000	1,000
Facility Maintenance Supplies	1,171	1,000	1,000	1,000
Housing & Subsistence Supplies	0	1,000	1,000	1,000
Other Supplies	20,500	1,000	1,000	1,000
Intra-State Transfers	31,512	500	0	0
Outside Repairs/Service	2,535	1,000	1,000	1,000
Reimbursement to Other Agencies	0	500	1,000	1,000
Equipment - Non-Inventory	0	1,000	1,000	1,000
Balance Carry Forward (Funds)	17,497	17,497	36,557	17,497
Total Disposition of Resources	<u>\$ 73,216</u>	<u>\$ 33,497</u>	<u>\$ 52,557</u>	<u>\$ 33,497</u>

STATE OF IOWA
Fiscal Year 2012 Annual Budget
SPECIAL DEPARTMENT: (200) Corrections, Department of
Budget Unit: (24700000637) NCCF Recycling Fund
Schedule 6

	Fiscal Year 2010 Actual	Fiscal Year 2011 Estimated	Fiscal Year 2012 Department Request	Fiscal Year 2012 Governor's Recomm
Resources				
Other Resources				
Balance Brought Forward (Funds)	\$ 4,051	\$ 3,950	\$ 1,626	\$ 2,324
Receipts				
Interest	38	200	200	200
Sale Of Equipment & Salvage	422	2,000	2,000	2,000
Agricultural Sales	0	2,000	2,000	2,000
Other Sales & Services	0	2,000	2,000	2,000
	<u>460</u>	<u>6,200</u>	<u>6,200</u>	<u>6,200</u>
Total Resources	<u>\$ 4,511</u>	<u>\$ 10,150</u>	<u>\$ 7,826</u>	<u>\$ 8,524</u>
Disposition of Resources				
Depreciation	\$ 0	\$ 1,626	\$ 1,626	\$ 1,626
Facility Maintenance Supplies	560	500	500	500
Equipment Maintenance Supplies	0	500	500	500
Outside Repairs/Service	0	700	700	700
Equipment	0	2,000	2,000	2,000
Equipment - Non-Inventory	0	2,500	2,500	2,500
Balance Carry Forward (Funds)	3,950	2,324	0	698
Total Disposition of Resources	<u>\$ 4,511</u>	<u>\$ 10,150</u>	<u>\$ 7,826</u>	<u>\$ 8,524</u>

STATE OF IOWA
Fiscal Year 2012 Annual Budget
SPECIAL DEPARTMENT: (200) Corrections, Department of
Budget Unit: (2470A650001) Rockwell City Institution
Schedule 6

	Fiscal Year 2010 Actual	Fiscal Year 2011 Estimated	Fiscal Year 2012 Department Request	Fiscal Year 2012 Governor's Recomm
Resources				
Appropriations				
Appropriation	\$ 9,392,186	\$ 9,324,565	\$ 9,324,565	\$ 9,316,466
Chapter 8.31 Reductions	-939,219	0	0	0
FY11 \$83.7M Reductions	0	-657,907	0	0
Supplementals	108,833	0	0	0
	<u>8,561,800</u>	<u>8,666,658</u>	<u>9,324,565</u>	<u>9,316,466</u>
Other Resources				
Balance Brought Forward (Approps)	0	145	0	0
Appropriation Transfer	57,802	0	0	0
	<u>57,802</u>	<u>145</u>	<u>0</u>	<u>0</u>
Receipts				
Intra State Receipts	485,500	29,407	0	0
Reimbursement from Other Agencies	35,025	34,000	34,000	34,000
Fees, Licenses & Permits	42,849	50,000	50,000	50,000
Refunds & Reimbursements	234,670	250,000	250,000	250,000
	<u>798,044</u>	<u>363,407</u>	<u>334,000</u>	<u>334,000</u>
Total Resources	<u>\$ 9,417,646</u>	<u>\$ 9,030,210</u>	<u>\$ 9,658,565</u>	<u>\$ 9,650,466</u>
FTE	<u>99.59</u>	<u>24.00</u>	<u>106.00</u>	<u>102.00</u>
Disposition of Resources				
Personal Services-Salaries	\$ 7,602,081	\$ 7,280,066	\$ 7,908,566	\$ 7,900,467
Personal Travel In State	1,057	43,571	43,571	43,571
State Vehicle Operation	51,481	74,093	74,093	74,093
Depreciation	1,632	1,700	1,700	1,700
Office Supplies	18,033	8,293	8,293	8,293

STATE OF IOWA
Fiscal Year 2012 Annual Budget
SPECIAL DEPARTMENT: (200) Corrections, Department of
Budget Unit: (2470A650001) Rockwell City Institution
Schedule 6

	Fiscal Year 2010 Actual	Fiscal Year 2011 Estimated	Fiscal Year 2012 Department Request	Fiscal Year 2012 Governor's Recomm
Disposition of Resources (cont.)				
Facility Maintenance Supplies	79,599	60,145	60,000	60,000
Equipment Maintenance Supplies	11,564	10,000	10,000	10,000
Professional & Scientific Supplies	21,070	10,500	10,500	10,500
Housing & Subsistence Supplies	57,458	57,000	57,000	57,000
Ag., Conservation & Horticulture Supply	1,468	5,000	5,000	5,000
Other Supplies	20,987	4,000	4,000	4,000
Food	485,881	452,199	452,199	452,199
Uniforms & Related Items	56,188	51,873	51,873	51,873
Postage	7,510	2,000	2,000	2,000
Communications	22,803	26,000	26,000	26,000
Rentals	3,457	3,000	3,000	3,000
Utilities	435,664	475,531	475,531	475,531
Professional & Scientific Services	113,559	95,000	95,000	95,000
Outside Services	33,701	51,200	51,200	51,200
Outside Repairs/Service	84,948	37,916	37,916	37,916
Reimbursement to Other Agencies	70,472	77,856	77,856	77,856
ITS Reimbursements	15,939	18,000	18,000	18,000
Equipment - Non-Inventory	24,164	701	701	701
IT Equipment	24,848	4,516	4,516	4,516
Other Expense & Obligations	171,936	180,000	180,000	180,000
Licenses	0	50	50	50
Balance Carry Forward (Approps)	145	0	0	0
Total Disposition of Resources	<u>\$ 9,417,646</u>	<u>\$ 9,030,211</u>	<u>\$ 9,658,565</u>	<u>\$ 9,650,466</u>

STATE OF IOWA
 Fiscal Year 2012 Annual Budget
 SPECIAL DEPARTMENT: (200) Corrections, Department of
 Budget Unit: (2470A660988) DOC Rockwell City ARRA - federal (fund 0988)
 Schedule 6

	Fiscal Year 2010 Actual	Fiscal Year 2011 Estimated	Fiscal Year 2012 Department Request	Fiscal Year 2012 Governor's Recomm
Resources				
Appropriations				
Appropriation	\$ 301,000	\$ 0	\$ 0	\$ 0
Disposition of Resources				
Intra-State Transfers	\$ 301,000	\$ 0	\$ 0	\$ 0

STATE OF IOWA
Fiscal Year 2012 Annual Budget
SPECIAL DEPARTMENT: (200) Corrections, Department of
Budget Unit: (24800000464) Clarinda Canteen Fund
Schedule 6

	Fiscal Year 2010 Actual	Fiscal Year 2011 Estimated	Fiscal Year 2012 Department Request	Fiscal Year 2012 Governor's Recomm
Resources				
Other Resources				
Balance Brought Forward (Funds)	\$ 20,647	\$ 17,514	\$ 20,647	\$ 17,514
Receipts				
Other Sales & Services	54,187	72,000	72,000	72,000
Total Resources	<u>\$ 74,835</u>	<u>\$ 89,514</u>	<u>\$ 92,647</u>	<u>\$ 89,514</u>
Disposition of Resources				
Office Supplies	\$ 3,544	\$ 9,000	\$ 9,000	\$ 9,000
Other Supplies	11,937	12,000	12,000	12,000
Communications	1,446	10,000	10,000	10,000
Intra-State Transfers	28,857	2,000	2,000	2,000
Reimbursement to Other Agencies	11,537	38,000	38,000	38,000
Equipment	0	500	500	500
Office Equipment	0	250	250	250
Equipment - Non-Inventory	0	250	250	250
Balance Carry Forward (Funds)	17,514	17,514	20,647	17,514
Total Disposition of Resources	<u>\$ 74,835</u>	<u>\$ 89,514</u>	<u>\$ 92,647</u>	<u>\$ 89,514</u>

STATE OF IOWA
Fiscal Year 2012 Annual Budget
SPECIAL DEPARTMENT: (200) Corrections, Department of
Budget Unit: (2480A700001) Clarinda Institution
Schedule 6

	Fiscal Year 2010 Actual	Fiscal Year 2011 Estimated	Fiscal Year 2012 Department Request	Fiscal Year 2012 Governor's Recomm
Resources				
Appropriations				
Appropriation	\$ 23,421,051	\$ 23,645,033	\$ 23,645,033	\$ 24,639,518
Chapter 8.31 Reductions	-2,342,105	0	0	0
FY11 \$83.7M Reductions	0	-1,809,356	0	0
Supplementals	451,752	0	0	0
	<u>21,530,698</u>	<u>21,835,677</u>	<u>23,645,033</u>	<u>24,639,518</u>
Other Resources				
Balance Brought Forward (Approps)	0	337,828	451,752	0
Appropriation Transfer	336,561	0	0	0
	<u>336,561</u>	<u>337,828</u>	<u>451,752</u>	<u>0</u>
Receipts				
Intra State Receipts	2,610,143	206,686	66,191	66,191
Reimbursement from Other Agencies	1,928	0	0	0
Fees, Licenses & Permits	44,480	45,000	45,000	45,000
Refunds & Reimbursements	84,963	45,000	45,000	45,000
Rents & Leases	1,321,806	1,409,385	1,409,385	1,409,385
	<u>4,063,319</u>	<u>1,706,071</u>	<u>1,565,576</u>	<u>1,565,576</u>
Total Resources	<u>\$ 25,930,578</u>	<u>\$ 23,879,576</u>	<u>\$ 25,662,361</u>	<u>\$ 26,205,094</u>
FTE	<u>267.18</u>	<u>213.85</u>	<u>277.20</u>	<u>286.40</u>
Disposition of Resources				
Personal Services-Salaries	\$ 20,830,443	\$ 18,596,581	\$ 20,407,452	\$ 21,401,937
Personal Travel In State	9,936	20,000	20,000	20,000
State Vehicle Operation	57,347	65,000	65,000	65,000
Depreciation	-112,513	50,000	50,000	50,000

STATE OF IOWA
Fiscal Year 2012 Annual Budget
SPECIAL DEPARTMENT: (200) Corrections, Department of
Budget Unit: (2480A700001) Clarinda Institution
Schedule 6

	Fiscal Year 2010 Actual	Fiscal Year 2011 Estimated	Fiscal Year 2012 Department Request	Fiscal Year 2012 Governor's Recomm
Disposition of Resources (cont.)				
Personal Travel Out of State	0	2,500	2,500	2,500
Office Supplies	16,462	25,000	25,000	25,000
Facility Maintenance Supplies	51,076	82,716	68,000	68,000
Equipment Maintenance Supplies	66,864	40,000	34,000	34,000
Professional & Scientific Supplies	86,096	80,000	80,000	80,000
Housing & Subsistence Supplies	414,074	400,000	400,000	400,000
Other Supplies	48,421	40,000	40,000	40,000
Food	1,421,648	1,580,000	1,580,000	1,580,000
Uniforms & Related Items	127,093	150,000	150,000	150,000
Postage	5,447	5,500	5,500	5,500
Communications	45,086	60,000	56,000	56,000
Rentals	258	700	700	700
Utilities	666,057	857,664	884,294	884,294
Professional & Scientific Services	366,429	400,000	400,000	400,000
Outside Services	220,712	227,340	204,340	204,340
Intra-State Transfers	0	500	500	500
Advertising & Publicity	274	500	500	500
Outside Repairs/Service	56,622	15,100	8,100	8,100
Auditor of State Reimbursements	0	250	250	250
Reimbursement to Other Agencies	557,080	555,525	555,525	103,773
ITS Reimbursements	33,911	38,600	38,600	38,600
Equipment	105,428	44,650	44,650	44,650
Office Equipment	18,172	8,500	8,500	8,500
Equipment - Non-Inventory	0	500	500	500
IT Equipment	29,751	30,000	30,000	30,000
Claims	400	500	500	500

STATE OF IOWA
 Fiscal Year 2012 Annual Budget
 SPECIAL DEPARTMENT: (200) Corrections, Department of
 Budget Unit: (2480A700001) Clarinda Institution
 Schedule 6

	Fiscal Year 2010 Actual	Fiscal Year 2011 Estimated	Fiscal Year 2012 Department Request	Fiscal Year 2012 Governor's Recomm
Disposition of Resources (cont.)				
Other Expense & Obligations	467,761	500,000	500,000	500,000
Licenses	2,412	1,950	1,950	1,950
Balance Carry Forward (Approps)	337,828	0	0	0
Total Disposition of Resources	<u>\$ 25,930,578</u>	<u>\$ 23,879,576</u>	<u>\$ 25,662,361</u>	<u>\$ 26,205,094</u>

STATE OF IOWA
 Fiscal Year 2012 Annual Budget
 SPECIAL DEPARTMENT: (200) Corrections, Department of
 Budget Unit: (2480A710988) DOC Clarinda ARRA - federal (fund 0988)
 Schedule 6

	Fiscal Year 2010 Actual	Fiscal Year 2011 Estimated	Fiscal Year 2012 Department Request	Fiscal Year 2012 Governor's Recomm
Resources				
Appropriations				
Appropriation	\$ 2,506,000	\$ 0	\$ 0	\$ 0
Disposition of Resources				
Intra-State Transfers	\$ 2,506,000	\$ 0	\$ 0	\$ 0

STATE OF IOWA
 Fiscal Year 2012 Annual Budget
 SPECIAL DEPARTMENT: (200) Corrections, Department of
 Budget Unit: (24900000357) Mitchellville Canteen Fund
 Schedule 6

	Fiscal Year 2010 Actual	Fiscal Year 2011 Estimated	Fiscal Year 2012 Department Request	Fiscal Year 2012 Governor's Recomm
Resources				
Other Resources				
Balance Brought Forward (Funds)	\$ 79,834	\$ 74,895	\$ 79,834	\$ 74,895
Receipts				
Other Sales & Services	5,917	10	10	10
Total Resources	<u>\$ 85,750</u>	<u>\$ 74,905</u>	<u>\$ 79,844</u>	<u>\$ 74,905</u>
Disposition of Resources				
Other Supplies	\$ 10,855	\$ 10	\$ 10	\$ 10
Balance Carry Forward (Funds)	74,895	74,895	79,834	74,895
Total Disposition of Resources	<u>\$ 85,750</u>	<u>\$ 74,905</u>	<u>\$ 79,844</u>	<u>\$ 74,905</u>

STATE OF IOWA
 Fiscal Year 2012 Annual Budget
 SPECIAL DEPARTMENT: (200) Corrections, Department of
 Budget Unit: (2490000064F) ICIW Recycling Fund
 Schedule 6

	Fiscal Year 2010 Actual	Fiscal Year 2011 Estimated	Fiscal Year 2012 Department Request	Fiscal Year 2012 Governor's Recomm
Resources				
Other Resources				
Balance Brought Forward (Funds)	\$ 4,883	\$ 7,534	\$ 4,883	\$ 7,534
Receipts				
Interest	50	10	10	10
Sale Of Equipment & Salvage	2,601	2,500	2,500	2,500
	<u>2,651</u>	<u>2,510</u>	<u>2,510</u>	<u>2,510</u>
Total Resources	<u>\$ 7,534</u>	<u>\$ 10,044</u>	<u>\$ 7,393</u>	<u>\$ 10,044</u>
Disposition of Resources				
Equipment	\$ 0	\$ 10	\$ 10	\$ 10
Equipment - Non-Inventory	0	2,500	2,500	2,500
Balance Carry Forward (Funds)	7,534	7,534	4,883	7,534
Total Disposition of Resources	<u>\$ 7,534</u>	<u>\$ 10,044</u>	<u>\$ 7,393</u>	<u>\$ 10,044</u>

STATE OF IOWA
Fiscal Year 2012 Annual Budget
SPECIAL DEPARTMENT: (200) Corrections, Department of
Budget Unit: (2490A750001) Mitchellville Institution
Schedule 6

	Fiscal Year 2010 Actual	Fiscal Year 2011 Estimated	Fiscal Year 2012 Department Request	Fiscal Year 2012 Governor's Recomm
Resources				
Appropriations				
Appropriation	\$ 15,836,794	\$ 15,486,586	\$ 15,486,586	\$ 15,615,374
Chapter 8.31 Reductions	-1,583,679	0	0	0
FY11 \$83.7M Reductions	0	-707,412	0	0
Supplementals	169,416	0	0	0
	<u>14,422,531</u>	<u>14,779,174</u>	<u>15,486,586</u>	<u>15,615,374</u>
Other Resources				
Balance Brought Forward (Approps)	0	166,634	169,416	0
Appropriation Transfer	155,200	0	0	0
	<u>155,200</u>	<u>166,634</u>	<u>169,416</u>	<u>0</u>
Receipts				
Intra State Receipts	752,030	38,088	0	0
Reimbursement from Other Agencies	227	0	300,000	300,000
Fees, Licenses & Permits	29,292	0	0	0
Refunds & Reimbursements	277,921	300,000	0	0
	<u>1,059,470</u>	<u>338,088</u>	<u>300,000</u>	<u>300,000</u>
Total Resources	<u>\$ 15,637,201</u>	<u>\$ 15,283,896</u>	<u>\$ 15,956,002</u>	<u>\$ 15,915,374</u>
FTE	<u>171.37</u>	<u>181.00</u>	<u>191.00</u>	<u>188.00</u>
Disposition of Resources				
Personal Services-Salaries	\$ 12,607,213	\$ 12,851,634	\$ 13,523,741	\$ 13,652,529
Personal Travel In State	3,244	1,850	1,850	1,850
State Vehicle Operation	50,463	44,000	44,000	44,000
Depreciation	-43,424	0	0	0
Personal Travel Out of State	73	0	0	0

STATE OF IOWA
Fiscal Year 2012 Annual Budget
SPECIAL DEPARTMENT: (200) Corrections, Department of
Budget Unit: (2490A750001) Mitchellville Institution
Schedule 6

	Fiscal Year 2010 Actual	Fiscal Year 2011 Estimated	Fiscal Year 2012 Department Request	Fiscal Year 2012 Governor's Recomm
Disposition of Resources (cont.)				
Office Supplies	43,818	20,000	20,000	20,000
Facility Maintenance Supplies	62,952	35,000	35,000	35,000
Equipment Maintenance Supplies	1,070	1,000	1,000	1,000
Professional & Scientific Supplies	41,880	40,000	40,000	40,000
Housing & Subsistence Supplies	180,652	140,000	140,000	140,000
Ag., Conservation & Horticulture Supply	1,018	600	600	600
Other Supplies	59,885	36,000	36,000	36,000
Food	540,867	530,000	530,000	530,000
Uniforms & Related Items	176,557	160,000	160,000	160,000
Postage	7,471	7,100	7,100	7,100
Communications	22,295	21,000	21,000	21,000
Rentals	1,184	1,400	1,400	1,400
Utilities	545,162	585,000	585,000	585,000
Professional & Scientific Services	152,589	130,000	130,000	130,000
Outside Services	140,150	88,000	88,000	80,334
Outside Repairs/Service	322,245	98,000	98,000	98,000
Reimbursement to Other Agencies	197,544	207,311	207,311	45,561
ITS Reimbursements	17,456	15,000	15,000	15,000
Equipment	36,795	10,000	10,000	10,000
Equipment - Non-Inventory	47,295	11,000	11,000	11,000
IT Equipment	62,587	50,000	50,000	50,000
Other Expense & Obligations	191,525	200,000	200,000	200,000
Balance Carry Forward (Approps)	166,634	0	0	0
Total Disposition of Resources	<u>\$ 15,637,201</u>	<u>\$ 15,283,895</u>	<u>\$ 15,956,002</u>	<u>\$ 15,915,374</u>

STATE OF IOWA
 Fiscal Year 2012 Annual Budget
 SPECIAL DEPARTMENT: (200) Corrections, Department of
 Budget Unit: (2490A770988) DOC Mitchellville ARRA - federal (fund 0988)
 Schedule 6

	Fiscal Year 2010 Actual	Fiscal Year 2011 Estimated	Fiscal Year 2012 Department Request	Fiscal Year 2012 Governor's Recomm
Resources				
Appropriations				
Appropriation	\$ 679,000	\$ 0	\$ 0	\$ 0
Disposition of Resources				
Intra-State Transfers	\$ 679,000	\$ 0	\$ 0	\$ 0

STATE OF IOWA
Fiscal Year 2012 Annual Budget
SPECIAL DEPARTMENT: (200) Corrections, Department of
Budget Unit: (25000000640) Iowa State Industries
Schedule 6

	Fiscal Year 2010 Actual	Fiscal Year 2011 Estimated	Fiscal Year 2012 Department Request	Fiscal Year 2012 Governor's Recomm
Resources				
Other Resources				
Balance Brought Forward (Funds)	\$ 4,007,760	\$ 2,827,613	\$ 3,503,723	\$ 2,969,663
Receipts				
Reimbursement from Other Agencies	0	1,000	1,000	1,000
Interest	36,101	50,000	50,000	50,000
Refunds & Reimbursements	0	1,000	1,000	1,000
Other Sales & Services	19,370,967	20,507,749	20,507,749	20,507,749
	<u>19,407,068</u>	<u>20,559,749</u>	<u>20,559,749</u>	<u>20,559,749</u>
Total Resources	<u>\$ 23,414,828</u>	<u>\$ 23,387,362</u>	<u>\$ 24,063,472</u>	<u>\$ 23,529,412</u>
FTE	<u>78.68</u>	<u>78.00</u>	<u>78.00</u>	<u>78.00</u>
Disposition of Resources				
Personal Services-Salaries	\$ 6,179,603	\$ 6,151,499	\$ 6,151,499	\$ 6,151,499
Personal Travel In State	32,774	55,000	55,000	55,000
State Vehicle Operation	226,543	200,000	200,000	200,000
Depreciation	11,500	100	100	100
Personal Travel Out of State	3,455	15,000	15,000	15,000
Office Supplies	289,059	300,000	300,000	300,000
Facility Maintenance Supplies	832,010	30,000	30,000	30,000
Equipment Maintenance Supplies	208,540	175,000	175,000	175,000
Other Supplies	10,328,466	11,000,000	11,000,000	11,000,000
Uniforms & Related Items	1,110	5,000	5,000	5,000
Postage	127,547	150,000	150,000	150,000
Communications	93,361	100,000	100,000	100,000
Rentals	96,145	100,000	100,000	100,000

STATE OF IOWA
Fiscal Year 2012 Annual Budget
SPECIAL DEPARTMENT: (200) Corrections, Department of
Budget Unit: (25000000640) Iowa State Industries
Schedule 6

	Fiscal Year 2010 Actual	Fiscal Year 2011 Estimated	Fiscal Year 2012 Department Request	Fiscal Year 2012 Governor's Recomm
Disposition of Resources (cont.)				
Utilities	334,750	325,000	325,000	325,000
Professional & Scientific Services	13,797	25,000	25,000	25,000
Outside Services	207,623	200,000	200,000	200,000
Intra-State Transfers	0	1,000	1,000	1,000
Advertising & Publicity	24,052	20,000	20,000	20,000
Reimbursement to Other Agencies	524,038	600,000	600,000	600,000
ITS Reimbursements	10,065	20,000	20,000	20,000
Workers Comp. Reimbursement	0	20,000	20,000	20,000
Equipment - Non-Inventory	0	100	100	100
Other Expense & Obligations	736,222	800,000	800,000	800,000
Inventory	266,064	100,000	100,000	100,000
Refunds-Other	40,491	25,000	25,000	25,000
Balance Carry Forward (Funds)	2,827,613	2,969,663	3,645,773	3,111,713
Total Disposition of Resources	<u>\$ 23,414,828</u>	<u>\$ 23,387,362</u>	<u>\$ 24,063,472</u>	<u>\$ 23,529,412</u>

STATE OF IOWA
Fiscal Year 2012 Annual Budget
SPECIAL DEPARTMENT: (200) Corrections, Department of
Budget Unit: (25100000639) Consolidated Farm Operations
Schedule 6

	Fiscal Year 2010 Actual	Fiscal Year 2011 Estimated	Fiscal Year 2012 Department Request	Fiscal Year 2012 Governor's Recomm
Resources				
Other Resources				
Balance Brought Forward (Funds)	\$ 532,743	\$ 525,465	\$ 525,428	\$ 518,150
Receipts				
Intra State Receipts	0	1,000	1,000	1,000
Reimbursement from Other Agencies	0	5,000	5,000	5,000
Rents & Leases	1,057,030	385,000	385,000	385,000
Agricultural Sales	0	548,076	548,076	548,076
	<u>1,057,030</u>	<u>939,076</u>	<u>939,076</u>	<u>939,076</u>
Total Resources	<u>\$ 1,589,773</u>	<u>\$ 1,464,541</u>	<u>\$ 1,464,504</u>	<u>\$ 1,457,226</u>
FTE	<u>6.63</u>	<u>6.88</u>	<u>6.88</u>	<u>6.88</u>
Disposition of Resources				
Personal Services-Salaries	\$ 450,111	\$ 436,791	\$ 436,791	\$ 436,791
Personal Travel In State	312	800	800	800
State Vehicle Operation	8,420	9,000	9,000	9,000
Depreciation	-7,109	0	0	0
Personal Travel Out of State	0	500	500	500
Office Supplies	2,559	2,000	2,000	2,000
Facility Maintenance Supplies	12,517	15,000	15,000	15,000
Equipment Maintenance Supplies	47,944	37,000	37,000	37,000
Professional & Scientific Supplies	0	1,000	1,000	1,000
Ag.,Conservation & Horticulture Supply	244,518	215,000	215,000	215,000
Other Supplies	0	500	500	500
Drugs & Biologicals	7,956	4,000	4,000	4,000
Uniforms & Related Items	820	800	800	800

STATE OF IOWA
 Fiscal Year 2012 Annual Budget
 SPECIAL DEPARTMENT: (200) Corrections, Department of
 Budget Unit: (25100000639) Consolidated Farm Operations
 Schedule 6

	Fiscal Year 2010 Actual	Fiscal Year 2011 Estimated	Fiscal Year 2012 Department Request	Fiscal Year 2012 Governor's Recomm
Disposition of Resources (cont.)				
Communications	2,105	1,700	1,700	1,700
Rentals	4,200	2,500	2,500	2,500
Utilities	7,901	7,200	7,200	7,200
Professional & Scientific Services	3,065	2,300	2,300	2,300
Outside Services	185,278	45,000	45,000	45,000
Advertising & Publicity	24	200	200	200
Reimbursement to Other Agencies	2,861	4,000	4,000	4,000
ITS Reimbursements	662	600	600	600
Workers Comp. Reimbursement	0	1,000	1,000	1,000
Equipment - Non-Inventory	0	1,000	1,000	1,000
Other Expense & Obligations	52,366	6,500	6,500	6,500
Inventory	37,800	150,000	150,000	150,000
Interest Expense/Princ/Securities	0	2,000	2,000	2,000
Balance Carry Forward (Funds)	525,465	518,150	518,113	510,835
Total Disposition of Resources	<u>\$ 1,589,773</u>	<u>\$ 1,464,541</u>	<u>\$ 1,464,504</u>	<u>\$ 1,457,226</u>

STATE OF IOWA
Fiscal Year 2012 Annual Budget
SPECIAL DEPARTMENT: (200) Corrections, Department of
Budget Unit: (25200000343) Ft Dodge Canteen Fund
Schedule 6

	Fiscal Year 2010 Actual	Fiscal Year 2011 Estimated	Fiscal Year 2012 Department Request	Fiscal Year 2012 Governor's Recomm
Resources				
Other Resources				
Balance Brought Forward (Funds)	\$ 97,611	\$ 35,877	\$ 20,000	\$ 35,877
Receipts				
Refunds & Reimbursements	359	0	0	0
Other Sales & Services	183,389	165,000	102,000	102,000
	<u>183,748</u>	<u>165,000</u>	<u>102,000</u>	<u>102,000</u>
Total Resources	<u>\$ 281,359</u>	<u>\$ 200,877</u>	<u>\$ 122,000</u>	<u>\$ 137,877</u>
Disposition of Resources				
Office Supplies	\$ 7,888	\$ 13,500	\$ 3,500	\$ 3,500
Facility Maintenance Supplies	470	1,100	1,100	1,100
Equipment Maintenance Supplies	1,484	1,800	1,800	1,800
Professional & Scientific Supplies	0	1,000	1,000	1,000
Housing & Subsistence Supplies	0	1,000	1,000	1,000
Ag., Conservation & Horticulture Supply	75	500	500	500
Other Supplies	69,863	50,000	5,000	5,000
Food	4,674	5,000	5,000	5,000
Professional & Scientific Services	46,000	16,600	16,600	16,600
Outside Services	11,231	14,300	14,300	14,300
Intra-State Transfers	96,526	45,200	37,200	37,200
Outside Repairs/Service	437	1,000	1,000	1,000
Office Equipment	0	1,000	1,000	1,000
Equipment - Non-Inventory	776	10,000	10,000	10,000
IT Equipment	341	0	0	0
Licenses	5,717	3,000	3,000	3,000
Balance Carry Forward (Funds)	35,877	35,877	20,000	35,877
Total Disposition of Resources	<u>\$ 281,359</u>	<u>\$ 200,877</u>	<u>\$ 122,000</u>	<u>\$ 137,877</u>

STATE OF IOWA
 Fiscal Year 2012 Annual Budget
 SPECIAL DEPARTMENT: (200) Corrections, Department of
 Budget Unit: (25200000386) Transition Training Youth Offender
 Schedule 6

	Fiscal Year 2010 Actual	Fiscal Year 2011 Estimated	Fiscal Year 2012 Department Request	Fiscal Year 2012 Governor's Recomm
Resources				
Receipts				
Federal Support	\$ 297,784	\$ 104,331	\$ 104,331	\$ 104,331
Disposition of Resources				
Office Supplies	\$ 30,437	\$ 4,031	\$ 4,031	\$ 4,031
Professional & Scientific Supplies	0	100	100	100
Other Supplies	0	100	100	100
Outside Services	267,223	95,000	95,000	95,000
Intra-State Transfers	124	5,000	5,000	5,000
Equipment - Non-Inventory	0	100	100	100
Total Disposition of Resources	\$ 297,784	\$ 104,331	\$ 104,331	\$ 104,331

STATE OF IOWA
Fiscal Year 2012 Annual Budget
SPECIAL DEPARTMENT: (200) Corrections, Department of
Budget Unit: (2520A80001) Ft. Dodge Institution
Schedule 6

	Fiscal Year 2010 Actual	Fiscal Year 2011 Estimated	Fiscal Year 2012 Department Request	Fiscal Year 2012 Governor's Recomm
Resources				
Appropriations				
Appropriation	\$ 29,999,036	\$ 29,020,235	\$ 29,020,235	\$ 29,062,235
Chapter 8.31 Reductions	-2,999,904	0	0	0
FY11 \$83.7M Reductions	0	-1,872,110	0	0
Supplementals	200,000	0	0	0
	<u>27,199,132</u>	<u>27,148,125</u>	<u>29,020,235</u>	<u>29,062,235</u>
Other Resources				
Balance Brought Forward (Approps)	0	195,885	76,396	0
Appropriation Transfer	736,917	0	0	0
	<u>736,917</u>	<u>195,885</u>	<u>76,396</u>	<u>0</u>
Receipts				
Intra State Receipts	1,174,781	155,476	71,191	71,191
Reimbursement from Other Agencies	5,599	510	510	510
Fees, Licenses & Permits	47,981	49,690	49,690	49,690
Refunds & Reimbursements	50	0	0	0
	<u>1,228,411</u>	<u>205,676</u>	<u>121,391</u>	<u>121,391</u>
Total Resources	<u>\$ 29,164,460</u>	<u>\$ 27,549,686</u>	<u>\$ 29,218,022</u>	<u>\$ 29,183,626</u>
FTE	<u>303.08</u>	<u>246.00</u>	<u>319.00</u>	<u>306.00</u>
Disposition of Resources				
Personal Services-Salaries	\$ 23,829,631	\$ 22,442,748	\$ 24,111,084	\$ 24,153,084
Personal Travel In State	8,746	10,000	10,000	10,000
State Vehicle Operation	46,123	15,000	15,000	15,000
Depreciation	-23,815	0	0	0
Personal Travel Out of State	205	500	500	500

STATE OF IOWA
Fiscal Year 2012 Annual Budget
SPECIAL DEPARTMENT: (200) Corrections, Department of
Budget Unit: (2520A80001) Ft. Dodge Institution
Schedule 6

	Fiscal Year 2010 Actual	Fiscal Year 2011 Estimated	Fiscal Year 2012 Department Request	Fiscal Year 2012 Governor's Recomm
Disposition of Resources (cont.)				
Office Supplies	43,542	42,000	42,000	42,000
Facility Maintenance Supplies	169,055	160,000	160,000	160,000
Equipment Maintenance Supplies	282,021	184,900	184,900	184,900
Professional & Scientific Supplies	92,588	100,000	100,000	100,000
Housing & Subsistence Supplies	333,228	325,000	325,000	325,000
Ag., Conservation & Horticulture Supply	2,504	500	500	500
Other Supplies	28,597	47,850	48,050	48,050
Food	1,330,087	1,350,000	1,350,000	1,350,000
Uniforms & Related Items	221,409	200,000	200,000	200,000
Postage	2,440	5,000	5,000	5,000
Communications	36,779	45,000	45,000	45,000
Rentals	7,119	5,000	5,000	5,000
Utilities	844,849	980,000	980,000	980,000
Professional & Scientific Services	347,112	235,777	235,677	235,677
Outside Services	228,929	249,875	249,975	249,975
Intra-State Transfers	116,626	120,000	120,000	43,604
Advertising & Publicity	0	100	100	100
Outside Repairs/Service	73,424	35,250	35,250	35,250
Reimbursement to Other Agencies	396,747	474,000	474,000	474,000
ITS Reimbursements	34,121	38,387	38,387	38,387
Equipment	14,423	100	100	100
Office Equipment	0	1,600	1,500	1,500
Equipment - Non-Inventory	39,494	30,925	30,825	30,825
IT Equipment	40,141	28,024	28,024	28,024
Claims	10	150	150	150
Other Expense & Obligations	420,669	420,000	420,000	420,000

STATE OF IOWA
 Fiscal Year 2012 Annual Budget
 SPECIAL DEPARTMENT: (200) Corrections, Department of
 Budget Unit: (2520A800001) Ft. Dodge Institution
 Schedule 6

	Fiscal Year 2010 Actual	Fiscal Year 2011 Estimated	Fiscal Year 2012 Department Request	Fiscal Year 2012 Governor's Recomm
Disposition of Resources (cont.)				
Licenses	1,772	2,000	2,000	2,000
Balance Carry Forward (Approps)	195,885	0	0	0
Total Disposition of Resources	<u>\$ 29,164,460</u>	<u>\$ 27,549,686</u>	<u>\$ 29,218,022</u>	<u>\$ 29,183,626</u>

STATE OF IOWA
 Fiscal Year 2012 Annual Budget
 SPECIAL DEPARTMENT: (200) Corrections, Department of
 Budget Unit: (2520A810988) DOC Fort Dodge ARRA - federal (fund 0988)
 Schedule 6

	Fiscal Year 2010 Actual	Fiscal Year 2011 Estimated	Fiscal Year 2012 Department Request	Fiscal Year 2012 Governor's Recomm
Resources				
Appropriations				
Appropriation	\$ 1,064,000	\$ 0	\$ 0	\$ 0
Disposition of Resources				
Intra-State Transfers	\$ 1,064,000	\$ 0	\$ 0	\$ 0

STATE OF IOWA
Fiscal Year 2012 Annual Budget
SPECIAL DEPARTMENT: (495) Inspections & Appeals, Department of
Budget Unit: (4280Q440001) Indigent Defense Appropriation
Schedule 6

	Fiscal Year 2010 Actual	Fiscal Year 2011 Estimated	Fiscal Year 2012 Department Request	Fiscal Year 2012 Governor's Recomm
Resources				
Appropriations				
Appropriation	\$ 24,009,163	\$ 15,680,929	\$ 15,680,929	\$ 31,680,929
Chapter 8.31 Reductions	-2,400,916	0	0	0
Supplementals	10,900,000	0	0	0
	<u>32,508,247</u>	<u>15,680,929</u>	<u>15,680,929</u>	<u>31,680,929</u>
Other Resources				
Balance Brought Forward (Approps)	0	145,346	0	0
Receipts				
Local Governments	1,425,752	1,687,151	1,687,151	1,687,151
Intra State Receipts	54,000	0	0	0
Refunds & Reimbursements	154,837	20	20	20
	<u>1,634,589</u>	<u>1,687,171</u>	<u>1,687,171</u>	<u>1,687,171</u>
Total Resources	<u>\$ 34,142,836</u>	<u>\$ 17,513,446</u>	<u>\$ 17,368,100</u>	<u>\$ 33,368,100</u>
Disposition of Resources				
Office Supplies	\$ 2,472	\$ 1,720	\$ 1,720	\$ 1,720
Other Supplies	339	304	304	304
Postage	51,154	42,778	42,778	42,778
Professional & Scientific Services	30,118,413	16,436,993	16,291,647	32,291,647
Outside Services	1,160,497	1,011,052	1,011,052	1,011,052
Intra-State Transfers	2,647,318	5	5	5
Reimbursement to Other Agencies	135	0	0	0
ITS Reimbursements	16,837	20,204	20,204	20,204
IT Equipment	325	390	390	390
Balance Carry Forward (Approps)	145,346	0	0	0
Total Disposition of Resources	<u>\$ 34,142,836</u>	<u>\$ 17,513,446</u>	<u>\$ 17,368,100</u>	<u>\$ 33,368,100</u>

STATE OF IOWA
Fiscal Year 2012 Annual Budget
SPECIAL DEPARTMENT: (495) Inspections & Appeals, Department of
Budget Unit: (4280Q600001) Public Defender
Schedule 6

	Fiscal Year 2010 Actual	Fiscal Year 2011 Estimated	Fiscal Year 2012 Department Request	Fiscal Year 2012 Governor's Recomm
Resources				
Appropriations				
Appropriation	\$ 21,743,182	\$ 22,883,182	\$ 22,883,182	\$ 24,083,182
Chapter 8.31 Reductions	-2,174,318	0	0	0
FY11 \$83.7M Reductions	0	-1,351,500	0	0
	<u>19,568,864</u>	<u>21,531,682</u>	<u>22,883,182</u>	<u>24,083,182</u>
Receipts				
Intra State Receipts	2,797,318	150,000	150,000	150,000
Refunds & Reimbursements	640	5	5	5
	<u>2,797,958</u>	<u>150,005</u>	<u>150,005</u>	<u>150,005</u>
Total Resources	<u>\$ 22,366,822</u>	<u>\$ 21,681,687</u>	<u>\$ 23,033,187</u>	<u>\$ 24,233,187</u>
FTE	<u>193.87</u>	<u>219.00</u>	<u>219.00</u>	<u>219.00</u>
Disposition of Resources				
Personal Services-Salaries	\$ 17,947,897	\$ 18,826,479	\$ 19,932,631	\$ 19,932,631
Personal Travel In State	150,679	110,406	145,142	145,142
State Vehicle Operation	3,152	3,013	3,008	3,008
Depreciation	86	238	100	100
Personal Travel Out of State	677	1,034	700	700
Office Supplies	117,732	91,318	122,348	122,348
Facility Maintenance Supplies	56	515	65	65
Equipment Maintenance Supplies	9,937	4,963	10,175	10,175
Professional & Scientific Supplies	0	5	0	0
Other Supplies	4,173	6,036	5,280	5,280
Printing & Binding	41,476	31,516	41,700	41,700
Postage	72,649	66,587	72,999	72,999

STATE OF IOWA
Fiscal Year 2012 Annual Budget
SPECIAL DEPARTMENT: (495) Inspections & Appeals, Department of
Budget Unit: (4280Q600001) Public Defender
Schedule 6

	Fiscal Year 2010 Actual	Fiscal Year 2011 Estimated	Fiscal Year 2012 Department Request	Fiscal Year 2012 Governor's Recomm
Disposition of Resources (cont.)				
Communications	174,772	149,742	185,845	185,845
Rentals	679,690	510,667	582,675	582,675
Utilities	57,679	51,423	62,904	62,904
Professional & Scientific Services	886,944	664,176	782,028	1,982,028
Outside Services	1,019,705	657,953	524,866	524,866
Intra-State Transfers	237,875	161,184	183,875	183,875
Advertising & Publicity	2,099	656	1,325	1,325
Outside Repairs/Service	400	314	314	314
Reimbursement to Other Agencies	133,844	85,587	54,375	54,375
ITS Reimbursements	108,622	49,519	9,781	9,781
IT Outside Services	87,009	69,342	87,898	87,898
Equipment	454	0	0	0
Office Equipment	8,048	27,566	7,526	7,526
Equipment - Non-Inventory	5	0	0	0
IT Equipment	224,836	110,104	214,437	214,437
Other Expense & Obligations	1,226	1,344	1,190	1,190
Appropriation Transfer	381,701	0	0	0
Reversions	13,398	0	0	0
Total Disposition of Resources	<u>\$ 22,366,822</u>	<u>\$ 21,681,687</u>	<u>\$ 23,033,187</u>	<u>\$ 24,233,187</u>

STATE OF IOWA
Fiscal Year 2012 Annual Budget
SPECIAL DEPARTMENT: (540) Judicial Branch
Budget Unit: (4440000043) Jury & Witness Fee Rev Fund
Schedule 6

	Fiscal Year 2010 Actual	Fiscal Year 2011 Estimated	Fiscal Year 2012 Department Request	Fiscal Year 2012 Governor's Recomm
Resources				
Other Resources				
Balance Brought Forward (Funds)	\$ 5,003,765	\$ 2,264,552	\$ 1,865,765	\$ 625,452
Adjustment to Balance Forward	710	0	0	0
	<u>5,004,475</u>	<u>2,264,552</u>	<u>1,865,765</u>	<u>625,452</u>
Receipts				
Intra State Receipts	0	1,500,000	1,500,000	3,700,000
Other	0	1,000	1,000	1,000
	<u>0</u>	<u>1,501,000</u>	<u>1,501,000</u>	<u>3,701,000</u>
Total Resources	<u>\$ 5,004,475</u>	<u>\$ 3,765,552</u>	<u>\$ 3,366,765</u>	<u>\$ 4,326,452</u>
Disposition of Resources				
Postage	\$ 0	\$ 140,000	\$ 140,000	\$ 140,000
Professional & Scientific Services	115,335	100	0	0
Outside Services	59,145	100	0	0
State Aid	2,565,444	2,999,900	3,000,000	3,000,000
Balance Carry Forward (Funds)	2,264,552	625,452	226,765	1,186,452
Total Disposition of Resources	<u>\$ 5,004,475</u>	<u>\$ 3,765,552</u>	<u>\$ 3,366,765</u>	<u>\$ 4,326,452</u>

STATE OF IOWA
 Fiscal Year 2012 Annual Budget
 SPECIAL DEPARTMENT: (540) Judicial Branch
 Budget Unit: (44400000075) Civil Reparations Trust Fund
 Schedule 6

	Fiscal Year 2010 Actual	Fiscal Year 2011 Estimated	Fiscal Year 2012 Department Request	Fiscal Year 2012 Governor's Recomm
Resources				
Other Resources				
Balance Brought Forward (Funds)	\$ 12,661	\$ 5,539	\$ 12,661	\$ 5,539
Receipts				
Refunds & Reimbursements	2,878	50,000	50,000	50,000
Total Resources	<u>\$ 15,539</u>	<u>\$ 55,539</u>	<u>\$ 62,661</u>	<u>\$ 55,539</u>
Disposition of Resources				
Other Expense & Obligations	\$ 0	\$ 25,000	\$ 25,000	\$ 25,000
State Aid	10,000	25,000	25,000	25,000
Balance Carry Forward (Funds)	5,539	5,539	12,661	5,539
Total Disposition of Resources	<u>\$ 15,539</u>	<u>\$ 55,539</u>	<u>\$ 62,661</u>	<u>\$ 55,539</u>

STATE OF IOWA
 Fiscal Year 2012 Annual Budget
 SPECIAL DEPARTMENT: (540) Judicial Branch
 Budget Unit: (44400000466) Court Technology & Modernization Fund
 Schedule 6

	Fiscal Year 2010 Actual	Fiscal Year 2011 Estimated	Fiscal Year 2012 Department Request	Fiscal Year 2012 Governor's Recomm
Resources				
Other Resources				
Balance Brought Forward (Funds)	\$ 4,571,974	\$ 4,776,856	\$ 3,603,974	\$ 3,615,496
Receipts				
Local Governments	1,004,319	1,000,000	1,000,000	1,000,000
Total Resources	<u>\$ 5,576,293</u>	<u>\$ 5,776,856</u>	<u>\$ 4,603,974</u>	<u>\$ 4,615,496</u>
Disposition of Resources				
Communications	\$ 5,195	\$ 8,000	\$ 8,000	\$ 8,000
Outside Services	183,593	1,378,360	1,378,360	1,378,360
IT Equipment	610,649	775,000	775,000	775,000
Balance Carry Forward (Funds)	4,776,856	3,615,496	2,442,614	2,454,136
Total Disposition of Resources	<u>\$ 5,576,293</u>	<u>\$ 5,776,856</u>	<u>\$ 4,603,974</u>	<u>\$ 4,615,496</u>

STATE OF IOWA
Fiscal Year 2012 Annual Budget
SPECIAL DEPARTMENT: (540) Judicial Branch
Budget Unit: (44400000468) Enhanced Court Collections Fund
Schedule 6

	Fiscal Year 2010 <u>Actual</u>	Fiscal Year 2011 <u>Estimated</u>	Fiscal Year 2012 Department <u>Request</u>	Fiscal Year 2012 Governor's <u>Recomm</u>
Resources				
Other Resources				
Balance Brought Forward (Funds)	\$ 5,570,801	\$ 5,152,369	\$ 844,536	\$ 4,631,299
Receipts				
Local Governments	4,000,000	4,000,000	4,000,000	4,000,000
Intra State Receipts	287,283	0	0	0
Reimbursement from Other Agencies	0	3	3	3
Interest	37,860	150,000	150,000	150,000
Fees, Licenses & Permits	265,825	250,000	250,000	250,000
Refunds & Reimbursements	319,582	157,000	157,000	157,000
	<u>4,910,550</u>	<u>4,557,003</u>	<u>4,557,003</u>	<u>4,557,003</u>
Total Resources	<u>\$ 10,481,351</u>	<u>\$ 9,709,372</u>	<u>\$ 5,401,539</u>	<u>\$ 9,188,302</u>
Disposition of Resources				
Personal Travel In State	\$ 551	\$ 1,000	\$ 1,000	\$ 1,000
Personal Travel Out of State	3,156	2,500	2,500	2,500
Office Supplies	754	3,000	3,000	3,000
Printing & Binding	4,956	0	0	0
Communications	66,572	334,972	334,972	334,972
Outside Services	2,763,956	515,598	515,598	515,598
Advertising & Publicity	1,104	2,000	2,000	2,000
ITS Reimbursements	57,156	300,000	300,000	300,000
IT Outside Services	344,428	500,000	500,000	500,000
Office Equipment	1,861	1,000	1,000	1,000
Equipment - Non-Inventory	10,505	11,000	11,000	11,000
IT Equipment	2,066,903	3,401,003	3,401,003	3,401,003
Other Expense & Obligations	7,081	6,000	6,000	6,000
Balance Carry Forward (Funds)	5,152,369	4,631,299	323,466	4,110,229

STATE OF IOWA
 Fiscal Year 2012 Annual Budget
 SPECIAL DEPARTMENT: (540) Judicial Branch
 Budget Unit: (44400000468) Enhanced Court Collections Fund
 Schedule 6

	Fiscal Year 2010 Actual	Fiscal Year 2011 Estimated	Fiscal Year 2012 Department Request	Fiscal Year 2012 Governor's Recomm
Disposition of Resources (cont.)				
Total Disposition of Resources	\$ 10,481,351	\$ 9,709,372	\$ 5,401,539	\$ 9,188,302

STATE OF IOWA
Fiscal Year 2012 Annual Budget
SPECIAL DEPARTMENT: (540) Judicial Branch
Budget Unit: (44400000793) Judicial Retirement Fund
Schedule 6

	Fiscal Year 2010 Actual	Fiscal Year 2011 Estimated	Fiscal Year 2012 Department Request	Fiscal Year 2012 Governor's Recomm
Resources				
Other Resources				
Balance Brought Forward (Funds)	\$ 76,292,071	\$ 86,233,369	\$ 86,808,570	\$ 96,759,868
Receipts				
Intra State Receipts	0	8,239,545	239,545	239,545
Interest	7,892,159	5,300,000	5,300,000	5,300,000
Dividends	555,537	625,000	625,000	625,000
Fees, Licenses & Permits	7,686,991	10,000	8,000,000	8,000,000
Refunds & Reimbursements	2,185,529	1,379,954	1,379,954	1,379,954
	<u>18,320,216</u>	<u>15,554,499</u>	<u>15,544,499</u>	<u>15,544,499</u>
Total Resources	<u>\$ 94,612,287</u>	<u>\$ 101,787,868</u>	<u>\$ 102,353,069</u>	<u>\$ 112,304,367</u>
Disposition of Resources				
Professional & Scientific Services	\$ 0	\$ 10,000	\$ 10,000	\$ 10,000
Reimbursement to Other Agencies	14,276	18,000	18,000	18,000
Other Expense & Obligations	8,364,642	5,000,000	5,000,000	5,000,000
Balance Carry Forward (Funds)	86,233,369	96,759,868	97,325,069	107,276,367
Total Disposition of Resources	<u>\$ 94,612,287</u>	<u>\$ 101,787,868</u>	<u>\$ 102,353,069</u>	<u>\$ 112,304,367</u>

STATE OF IOWA
 Fiscal Year 2012 Annual Budget
 SPECIAL DEPARTMENT: (540) Judicial Branch
 Budget Unit: (44400000854) Appeal Fees, Writs, Etc.
 Schedule 6

	Fiscal Year 2010 Actual	Fiscal Year 2011 Estimated	Fiscal Year 2012 Department Request	Fiscal Year 2012 Governor's Recomm
Resources				
Other Resources				
Balance Brought Forward (Funds)	\$ 4,600	\$ 4,940	\$ 4,600	\$ 4,940
Adjustment to Balance Forward	<u>206</u>	<u>0</u>	<u>0</u>	<u>0</u>
	4,805	4,940	4,600	4,940
Receipts				
Refunds & Reimbursements	<u>1,442</u>	<u>4,500</u>	<u>4,500</u>	<u>4,500</u>
Total Resources	<u>\$ 6,247</u>	<u>\$ 9,440</u>	<u>\$ 9,100</u>	<u>\$ 9,440</u>
Disposition of Resources				
Refunds-Other	\$ 1,307	\$ 4,500	\$ 4,500	\$ 4,500
Balance Carry Forward (Funds)	<u>4,940</u>	<u>4,940</u>	<u>4,600</u>	<u>4,940</u>
Total Disposition of Resources	<u>\$ 6,247</u>	<u>\$ 9,440</u>	<u>\$ 9,100</u>	<u>\$ 9,440</u>

STATE OF IOWA
Fiscal Year 2012 Annual Budget
SPECIAL DEPARTMENT: (540) Judicial Branch
Budget Unit: (44400000855) Bar Exam Fees - Clearing
Schedule 6

	Fiscal Year 2010 Actual	Fiscal Year 2011 Estimated	Fiscal Year 2012 Department Request	Fiscal Year 2012 Governor's Recomm
Resources				
Other Resources				
Balance Brought Forward (Funds)	\$ 44,371	\$ 0	\$ 67,316	\$ 22,945
Receipts				
Fees, Licenses & Permits	213,681	204,144	204,144	204,144
Total Resources	<u>\$ 258,052</u>	<u>\$ 204,144</u>	<u>\$ 271,460</u>	<u>\$ 227,089</u>
Disposition of Resources				
Intra-State Transfers	\$ 258,042	\$ 171,699	\$ 171,699	\$ 171,699
Fees	0	8,500	8,500	8,500
Refunds-Other	10	1,000	1,000	1,000
Balance Carry Forward (Funds)	0	22,945	90,261	45,890
Total Disposition of Resources	<u>\$ 258,052</u>	<u>\$ 204,144</u>	<u>\$ 271,460</u>	<u>\$ 227,089</u>

STATE OF IOWA
 Fiscal Year 2012 Annual Budget
 SPECIAL DEPARTMENT: (540) Judicial Branch
 Budget Unit: (44400000856) Shorthand Exam Fees - Clearing
 Schedule 6

	Fiscal Year 2010 Actual	Fiscal Year 2011 Estimated	Fiscal Year 2012 Department Request	Fiscal Year 2012 Governor's Recomm
Resources				
Other Resources				
Balance Brought Forward (Funds)	\$ 3,960	\$ 4,460	\$ 3,460	\$ 3,960
Receipts				
Fees, Licenses & Permits	30,040	10,000	10,000	10,000
Total Resources	<u>\$ 34,000</u>	<u>\$ 14,460</u>	<u>\$ 13,460</u>	<u>\$ 13,960</u>
Disposition of Resources				
Intra-State Transfers	\$ 29,540	\$ 10,200	\$ 10,200	\$ 10,200
Refunds-Other	0	300	300	300
Balance Carry Forward (Funds)	4,460	3,960	2,960	3,460
Total Disposition of Resources	<u>\$ 34,000</u>	<u>\$ 14,460</u>	<u>\$ 13,460</u>	<u>\$ 13,960</u>

STATE OF IOWA
Fiscal Year 2012 Annual Budget
SPECIAL DEPARTMENT: (540) Judicial Branch
Budget Unit: (4440B200001) Judicial Branch
Schedule 6

	Fiscal Year 2010 Actual	Fiscal Year 2011 Estimated	Fiscal Year 2012 Department Request	Fiscal Year 2012 Governor's Recomm
Resources				
Appropriations				
Appropriation	\$ 160,184,957	\$ 148,811,822	\$ 157,700,609	\$ 157,700,609
Legislative Reductions	-11,373,135	0	0	0
	<u>148,811,822</u>	<u>148,811,822</u>	<u>157,700,609</u>	<u>157,700,609</u>
Receipts				
Federal Support	1,301,715	1,183,847	1,135,308	1,135,308
Local Governments	0	5,000	5,000	5,000
Intra State Receipts	3,744,921	8,921,024	3,617,824	3,617,824
Reimbursement from Other Agencies	37,259	5,000	15,000	15,000
Fees, Licenses & Permits	4,090	1,100	100	100
Refunds & Reimbursements	21,563	1,100	1,100	1,100
Rents & Leases	81,900	94,800	94,800	94,800
	<u>5,191,449</u>	<u>10,211,871</u>	<u>4,869,132</u>	<u>4,869,132</u>
Total Resources	<u>\$ 154,003,271</u>	<u>\$ 159,023,693</u>	<u>\$ 162,569,741</u>	<u>\$ 162,569,741</u>
FTE	<u>1,746.58</u>	<u>1,792.86</u>	<u>1,851.16</u>	<u>1,851.16</u>
Disposition of Resources				
Personal Services-Salaries	\$ 143,988,902	\$ 150,364,052	\$ 153,454,842	\$ 153,454,842
Personal Travel In State	1,067,502	1,448,250	1,494,472	1,494,472
State Vehicle Operation	10,519	3,872	3,772	3,772
Depreciation	-21,863	2,100	1,000	1,000
Personal Travel Out of State	55,729	59,050	80,650	80,650
Office Supplies	1,171,043	1,497,892	1,510,467	1,510,467
Facility Maintenance Supplies	35,769	32,900	33,000	33,000
Equipment Maintenance Supplies	289,435	352,945	351,945	351,945

STATE OF IOWA
Fiscal Year 2012 Annual Budget
SPECIAL DEPARTMENT: (540) Judicial Branch
Budget Unit: (4440B200001) Judicial Branch
Schedule 6

	Fiscal Year 2010 Actual	Fiscal Year 2011 Estimated	Fiscal Year 2012 Department Request	Fiscal Year 2012 Governor's Recomm
Disposition of Resources (cont.)				
Professional & Scientific Supplies	0	1,000	100	100
Housing & Subsistence Supplies	0	2,500	100	100
Other Supplies	734	0	0	0
Printing & Binding	8,446	6,200	5,200	5,200
Food	17,107	21,660	11,260	11,260
Uniforms & Related Items	6,910	10,050	10,050	10,050
Postage	1,050,049	1,019,444	1,019,344	1,019,344
Communications	1,829,329	1,051,560	1,073,242	1,073,242
Rentals	370,893	358,695	370,995	370,995
Utilities	121,258	153,700	150,200	150,200
Professional & Scientific Services	34,588	41,547	10,647	10,647
Outside Services	1,037,199	567,670	647,203	647,203
Intra-State Transfers	25,937	500	0	0
Advertising & Publicity	10,856	20,050	18,400	18,400
Data Processing	0	4,900	4,900	4,900
Auditor of State Reimbursements	361,585	386,900	386,900	386,900
Reimbursement to Other Agencies	872,886	919,000	917,963	917,963
ITS Reimbursements	443,895	304,551	304,551	304,551
Office Equipment	106,147	1,600	578	578
Equipment - Non-Inventory	353,388	224,230	606,260	606,260
IT Equipment	447,725	6,375	4,500	4,500
Other Expense & Obligations	0	9,900	9,800	9,800
Licenses	705	100	0	0
State Aid	252,323	150,500	87,400	87,400
Reversions	54,275	0	0	0
Total Disposition of Resources	<u>\$ 154,003,271</u>	<u>\$ 159,023,693</u>	<u>\$ 162,569,741</u>	<u>\$ 162,569,741</u>

STATE OF IOWA

Fiscal Year 2012 Annual Budget

SPECIAL DEPARTMENT: (540) Judicial Branch

Budget Unit: (4440B210001) Jury & Witness (GF) to Revolving Fund (0043)

Schedule 6

	Fiscal Year 2010 Actual	Fiscal Year 2011 Estimated	Fiscal Year 2012 Department Request	Fiscal Year 2012 Governor's Recomm
Resources				
Appropriations				
Appropriation	<u>\$ 0</u>	<u>\$ 1,500,000</u>	<u>\$ 3,700,000</u>	<u>\$ 3,700,000</u>
Disposition of Resources				
Intra-State Transfers	<u>\$ 0</u>	<u>\$ 1,500,000</u>	<u>\$ 3,700,000</u>	<u>\$ 3,700,000</u>

STATE OF IOWA
Fiscal Year 2012 Annual Budget
SPECIAL DEPARTMENT: (560) Law Enforcement Academy
Budget Unit: (46700000106) ILEA Audiovisual/Equipment Fund
Schedule 6

	Fiscal Year 2010 Actual	Fiscal Year 2011 Estimated	Fiscal Year 2012 Department Request	Fiscal Year 2012 Governor's Recomm
Resources				
Other Resources				
Balance Brought Forward (Funds)	\$ 2,615	\$ 3,618	\$ 2,615	\$ 3,618
Receipts				
Fees, Licenses & Permits	0	500	500	500
Other Sales & Services	2,417	4,000	4,000	4,000
	<u>2,417</u>	<u>4,500</u>	<u>4,500</u>	<u>4,500</u>
Total Resources	<u>\$ 5,032</u>	<u>\$ 8,118</u>	<u>\$ 7,115</u>	<u>\$ 8,118</u>
Disposition of Resources				
Office Supplies	\$ 0	\$ 100	\$ 100	\$ 100
Other Supplies	1,199	100	100	100
Equipment	0	4,200	4,200	4,200
Equipment - Non-Inventory	215	100	100	100
Balance Carry Forward (Funds)	3,618	3,618	2,615	3,618
Total Disposition of Resources	<u>\$ 5,032</u>	<u>\$ 8,118</u>	<u>\$ 7,115</u>	<u>\$ 8,118</u>

STATE OF IOWA
 Fiscal Year 2012 Annual Budget
 SPECIAL DEPARTMENT: (560) Law Enforcement Academy
 Budget Unit: (46700000694) ILEA Gifts And Donations
 Schedule 6

	Fiscal Year 2010 Actual	Fiscal Year 2011 Estimated	Fiscal Year 2012 Department Request	Fiscal Year 2012 Governor's Recomm
Resources				
Other Resources				
Balance Brought Forward (Funds)	\$ 35,822	\$ 35,522	\$ 35,822	\$ 35,522
Receipts				
Unearned Receipts	0	100	100	100
Total Resources	<u>\$ 35,822</u>	<u>\$ 35,622</u>	<u>\$ 35,922</u>	<u>\$ 35,622</u>
Disposition of Resources				
Equipment - Non-Inventory	\$ 300	\$ 100	\$ 100	\$ 100
Balance Carry Forward (Funds)	35,522	35,522	35,822	35,522
Total Disposition of Resources	<u>\$ 35,822</u>	<u>\$ 35,622</u>	<u>\$ 35,922</u>	<u>\$ 35,622</u>

STATE OF IOWA
 Fiscal Year 2012 Annual Budget
 SPECIAL DEPARTMENT: (560) Law Enforcement Academy
 Budget Unit: (4670R010001) Iowa Law Enforcement Academy
 Schedule 6

	Fiscal Year 2010 Actual	Fiscal Year 2011 Estimated	Fiscal Year 2012 Department Request	Fiscal Year 2012 Governor's Recomm
Resources				
Appropriations				
Appropriation	\$ 1,166,033	\$ 1,049,430	\$ 1,049,430	\$ 868,698
Chapter 8.31 Reductions	-116,603	0	0	0
FY11 \$83.7M Reductions	0	-200,283	0	0
	<u>1,049,430</u>	<u>849,147</u>	<u>1,049,430</u>	<u>868,698</u>
Receipts				
Intra State Receipts	251,300	301,819	301,819	301,819
Fees, Licenses & Permits	1,267,963	1,212,560	1,212,560	1,212,560
Other Sales & Services	16,963	29,000	29,000	29,000
	<u>1,536,225</u>	<u>1,543,379</u>	<u>1,543,379</u>	<u>1,543,379</u>
Total Resources	<u>\$ 2,585,655</u>	<u>\$ 2,392,526</u>	<u>\$ 2,592,809</u>	<u>\$ 2,412,077</u>
FTE	<u>25.15</u>	<u>26.80</u>	<u>24.55</u>	<u>24.55</u>
Disposition of Resources				
Personal Services-Salaries	\$ 1,944,825	\$ 1,745,891	\$ 1,875,189	\$ 1,748,442
Personal Travel In State	22,593	26,200	26,200	26,200
State Vehicle Operation	32,356	28,000	28,000	28,000
Depreciation	52,761	0	17,000	17,000
Personal Travel Out of State	6,781	8,000	8,000	8,000
Office Supplies	60,682	61,000	61,000	61,000
Facility Maintenance Supplies	14,156	15,500	16,000	16,000
Equipment Maintenance Supplies	0	500	0	0
Professional & Scientific Supplies	115,730	118,000	122,000	118,000
Housing & Subsistence Supplies	261	2,000	2,000	2,000
Ag.,Conservation & Horticulture Supply	0	500	0	0

STATE OF IOWA
Fiscal Year 2012 Annual Budget
SPECIAL DEPARTMENT: (560) Law Enforcement Academy
Budget Unit: (4670R010001) Iowa Law Enforcement Academy
Schedule 6

	Fiscal Year 2010 Actual	Fiscal Year 2011 Estimated	Fiscal Year 2012 Department Request	Fiscal Year 2012 Governor's Recomm
Disposition of Resources (cont.)				
Other Supplies	66,051	79,500	80,000	80,000
Food	46	0	0	0
Uniforms & Related Items	311	300	300	300
Postage	5,895	6,000	6,000	6,000
Communications	24,979	25,800	25,800	25,800
Rentals	18,545	18,500	18,500	18,500
Utilities	50,281	60,000	60,000	60,000
Professional & Scientific Services	54,989	58,000	63,000	58,000
Outside Services	10,760	17,000	17,000	17,000
Intra-State Transfers	548	0	0	0
Outside Repairs/Service	12,193	26,364	26,364	26,364
Reimbursement to Other Agencies	9,072	34,710	34,710	34,710
ITS Reimbursements	8,415	8,050	8,050	8,050
Workers Comp. Reimbursement	15,094	16,500	16,500	16,500
Equipment	7,650	8,000	8,000	8,000
Office Equipment	0	500	500	500
Equipment - Non-Inventory	449	8,500	15,000	8,000
IT Equipment	8,162	19,111	24,711	19,711
Licenses	0	100	0	0
Legislative Reduction	0	0	32,985	0
Appropriation Transfer	36,677	0	0	0
Reversions	5,392	0	0	0
Total Disposition of Resources	<u>\$ 2,585,655</u>	<u>\$ 2,392,526</u>	<u>\$ 2,592,809</u>	<u>\$ 2,412,077</u>

STATE OF IOWA
 Fiscal Year 2012 Annual Budget
 SPECIAL DEPARTMENT: (560) Law Enforcement Academy
 Budget Unit: (4670R180943) ILEA Technology Projects - TRF - 0943
 Schedule 6

	Fiscal Year 2010 Actual	Fiscal Year 2011 Estimated	Fiscal Year 2012 Department Request	Fiscal Year 2012 Governor's Recomm
Resources				
Appropriations				
Appropriation	\$ 185,000	\$ 0	\$ 0	\$ 0
Other Resources				
Balance Brought Forward (Approps)	0	44,419	0	0
Total Resources	<u>\$ 185,000</u>	<u>\$ 44,419</u>	<u>\$ 0</u>	<u>\$ 0</u>
Disposition of Resources				
Equipment	\$ 91,906	\$ 29,619	\$ 0	\$ 0
IT Equipment	48,675	14,800	0	0
Balance Carry Forward (Approps)	44,419	0	0	0
Total Disposition of Resources	<u>\$ 185,000</u>	<u>\$ 44,419</u>	<u>\$ 0</u>	<u>\$ 0</u>

STATE OF IOWA
Fiscal Year 2012 Annual Budget
SPECIAL DEPARTMENT: (677) Parole, Board of
Budget Unit: (5470B400001) Parole Board
Schedule 6

	Fiscal Year 2010 Actual	Fiscal Year 2011 Estimated	Fiscal Year 2012 Department Request	Fiscal Year 2012 Governor's Recomm
Resources				
Appropriations				
Appropriation	\$ 1,161,399	\$ 1,045,259	\$ 1,045,259	\$ 1,053,835
Chapter 8.31 Reductions	-116,140	0	0	0
FY11 \$83.7M Reductions	0	-76,216	0	0
	<u>1,045,259</u>	<u>969,043</u>	<u>1,045,259</u>	<u>1,053,835</u>
Other Resources				
Appropriation Transfer	818	0	0	0
Receipts				
Intra State Receipts	0	50,000	0	0
Total Resources	<u>\$ 1,046,077</u>	<u>\$ 1,019,043</u>	<u>\$ 1,045,259</u>	<u>\$ 1,053,835</u>
FTE	<u>11.02</u>	<u>12.50</u>	<u>12.50</u>	<u>12.50</u>
Disposition of Resources				
Personal Services-Salaries	\$ 915,841	\$ 909,013	\$ 932,949	\$ 941,525
Personal Travel In State	14,710	13,020	14,300	14,300
State Vehicle Operation	133	0	0	0
Depreciation	-2,913	0	0	0
Office Supplies	7,844	6,000	6,000	6,000
Postage	1,528	1,460	1,460	1,460
Communications	68,699	64,000	65,000	65,000
Outside Services	4,908	5,000	5,000	5,000
Reimbursement to Other Agencies	4,739	4,400	4,400	4,400
ITS Reimbursements	5,395	5,000	5,000	5,000
IT Outside Services	9,355	9,900	9,900	9,900
Equipment - Non-Inventory	448	500	500	500

STATE OF IOWA
 Fiscal Year 2012 Annual Budget
 SPECIAL DEPARTMENT: (677) Parole, Board of
 Budget Unit: (5470B400001) Parole Board
 Schedule 6

	Fiscal Year 2010 Actual	Fiscal Year 2011 Estimated	Fiscal Year 2012 Department Request	Fiscal Year 2012 Governor's Recomm
Disposition of Resources (cont.)				
IT Equipment	694	750	750	750
Appropriation Transfer	14,696	0	0	0
Reversions	0	0	0	0
Total Disposition of Resources	<u>\$ 1,046,077</u>	<u>\$ 1,019,043</u>	<u>\$ 1,045,259</u>	<u>\$ 1,053,835</u>

STATE OF IOWA
Fiscal Year 2012 Annual Budget
SPECIAL DEPARTMENT: (750) Public Defense, Department of
Budget Unit: (58200000053) Counterdrug Asset Forfeiture
Schedule 6

	Fiscal Year 2010 Actual	Fiscal Year 2011 Estimated	Fiscal Year 2012 Department Request	Fiscal Year 2012 Governor's Recomm
Resources				
Other Resources				
Balance Brought Forward (Funds)	\$ 36	\$ 7,636	\$ 3,400	\$ 5,536
Receipts				
Interest	42	100	100	100
Refunds & Reimbursements	0	50	50	50
Unearned Receipts	7,557	5,000	7,600	7,600
	<u>7,600</u>	<u>5,150</u>	<u>7,750</u>	<u>7,750</u>
Total Resources	<u>\$ 7,636</u>	<u>\$ 12,786</u>	<u>\$ 11,150</u>	<u>\$ 13,286</u>
Disposition of Resources				
State Vehicle Operation	\$ 0	\$ 100	\$ 10	\$ 10
Depreciation	0	50	10	10
Office Supplies	0	50	10	10
Other Supplies	0	2,000	10	10
Uniforms & Related Items	0	2,000	10	10
Rentals	0	50	10	10
Outside Services	0	1,000	10	10
Equipment - Non-Inventory	0	2,000	10	10
Balance Carry Forward (Funds)	7,636	5,536	11,070	13,206
Total Disposition of Resources	<u>\$ 7,636</u>	<u>\$ 12,786</u>	<u>\$ 11,150</u>	<u>\$ 13,286</u>

STATE OF IOWA
Fiscal Year 2012 Annual Budget
SPECIAL DEPARTMENT: (750) Public Defense, Department of
Budget Unit: (58200000171) National Guard Facilities Improvement Fund
Schedule 6

	Fiscal Year 2010 Actual	Fiscal Year 2011 Estimated	Fiscal Year 2012 Department Request	Fiscal Year 2012 Governor's Recomm
Resources				
Other Resources				
Balance Brought Forward (Funds)	\$ 475,942	\$ 615,036	\$ 866,941	\$ 448,955
Receipts				
Federal Support	0	36	22	22
Intra State Receipts	0	12	12	12
Reimbursement from Other Agencies	0	12	12	12
Refunds & Reimbursements	4,825	3,124	3,180	3,180
Sale Of Real Estate	0	107,973	50,973	50,973
Sale Of Equipment & Salvage	21,474	20,196	20,196	20,196
Rents & Leases	942,205	916,084	1,220,968	1,220,968
Other Sales & Services	1,739	1,600	1,500	1,500
	<u>970,244</u>	<u>1,049,037</u>	<u>1,296,863</u>	<u>1,296,863</u>
Total Resources	<u>\$ 1,446,186</u>	<u>\$ 1,664,073</u>	<u>\$ 2,163,804</u>	<u>\$ 1,745,818</u>
FTE	<u>8.70</u>	<u>15.00</u>	<u>16.00</u>	<u>16.00</u>
Disposition of Resources				
Personal Services-Salaries	\$ 434,743	\$ 787,203	\$ 823,521	\$ 823,521
Personal Travel In State	10,427	10,000	10,000	10,000
State Vehicle Operation	3,553	5,000	4,000	4,000
Depreciation	5,825	6,000	6,000	6,000
Personal Travel Out of State	0	24	10	10
Office Supplies	8,940	5,049	7,425	7,425
Facility Maintenance Supplies	14,126	20,462	32,010	32,010
Equipment Maintenance Supplies	0	3,836	1,910	1,910
Professional & Scientific Supplies	0	136	30	30

STATE OF IOWA
Fiscal Year 2012 Annual Budget
SPECIAL DEPARTMENT: (750) Public Defense, Department of
Budget Unit: (58200000171) National Guard Facilities Improvement Fund
Schedule 6

	Fiscal Year 2010 Actual	Fiscal Year 2011 Estimated	Fiscal Year 2012 Department Request	Fiscal Year 2012 Governor's Recomm
Disposition of Resources (cont.)				
Housing & Subsistence Supplies	21,117	18,000	22,000	22,000
Ag.,Conservation & Horticulture Supply	0	36	20	20
Other Supplies	105	1,036	220	220
Printing & Binding	0	9,000	10	10
Uniforms & Related Items	529	836	910	910
Communications	79,711	73,024	79,010	79,010
Rentals	128	224	210	210
Utilities	36,041	20,100	20,000	20,000
Professional & Scientific Services	8,874	3,136	6,044	6,044
Outside Services	22,881	2,636	34,034	34,034
Advertising & Publicity	0	12	10	10
Outside Repairs/Service	49,818	75,236	143,234	143,234
Reimbursement to Other Agencies	8,201	5,800	82,000	82,000
ITS Reimbursements	575	450	450	450
Equipment	0	25,012	15,010	15,010
Equipment - Non-Inventory	87,197	92,036	91,034	91,034
IT Equipment	0	5,000	5,000	5,000
Other Expense & Obligations	12,142	8,000	12,000	12,000
Licenses	445	50	0	0
Refunds-Sales Tax	97	50	50	50
Refunds-Other	12,299	30,110	134,080	134,080
Capitals	13,379	7,624	13,600	13,600
Balance Carry Forward (Funds)	615,036	448,955	619,972	201,986
Total Disposition of Resources	<u>\$ 1,446,186</u>	<u>\$ 1,664,073</u>	<u>\$ 2,163,804</u>	<u>\$ 1,745,818</u>

STATE OF IOWA
Fiscal Year 2012 Annual Budget
SPECIAL DEPARTMENT: (750) Public Defense, Department of
Budget Unit: (58200000172) Military Operations Fund
Schedule 6

	Fiscal Year 2010 Actual	Fiscal Year 2011 Estimated	Fiscal Year 2012 Department Request	Fiscal Year 2012 Governor's Recomm
Resources				
Other Resources				
Balance Brought Forward (Funds)	\$ 385,605	\$ 266,754	\$ 276,000	\$ 209,355
Receipts				
Federal Support	0	12	12	12
Reimbursement from Other Agencies	0	24	24	24
Refunds & Reimbursements	30	200	200	200
Rents & Leases	85,316	86,000	86,000	86,000
	<u>85,346</u>	<u>86,236</u>	<u>86,236</u>	<u>86,236</u>
Total Resources	<u>\$ 470,951</u>	<u>\$ 352,990</u>	<u>\$ 362,236</u>	<u>\$ 295,591</u>
FTE	<u>0.98</u>	<u>0.50</u>	<u>0.50</u>	<u>0.50</u>
Disposition of Resources				
Personal Services-Salaries	\$ 92,140	\$ 48,135	\$ 48,135	\$ 48,135
Personal Travel In State	3,998	1,000	1,000	1,000
Office Supplies	592	474	474	474
Facility Maintenance Supplies	2,964	4,800	4,800	4,800
Equipment Maintenance Supplies	0	48	48	48
Housing & Subsistence Supplies	0	24	24	24
Ag., Conservation & Horticulture Supply	0	1,600	1,600	1,600
Other Supplies	0	48	48	48
Uniforms & Related Items	0	24	24	24
Postage	94	36	36	36
Communications	83,186	384	384	384
Rentals	0	900	900	900
Utilities	0	24	24	24

STATE OF IOWA
 Fiscal Year 2012 Annual Budget
 SPECIAL DEPARTMENT: (750) Public Defense, Department of
 Budget Unit: (58200000172) Military Operations Fund
 Schedule 6

	Fiscal Year 2010 Actual	Fiscal Year 2011 Estimated	Fiscal Year 2012 Department Request	Fiscal Year 2012 Governor's Recomm
Disposition of Resources (cont.)				
Professional & Scientific Services	1,250	48	48	48
Outside Services	0	4,024	4,024	4,024
Advertising & Publicity	0	24	24	24
Outside Repairs/Service	1,977	12,136	12,136	12,136
Reimbursement to Other Agencies	0	24	24	24
ITS Reimbursements	0	24	24	24
Equipment	0	24	24	24
Office Equipment	0	24	24	24
Equipment - Non-Inventory	8,446	10,275	10,275	10,275
IT Equipment	9,424	59,475	59,475	59,475
Other Expense & Obligations	0	24	24	24
Refunds-Other	125	24	24	24
Capitals	0	12	12	12
Balance Carry Forward (Funds)	266,754	209,355	218,601	151,956
Total Disposition of Resources	<u>\$ 470,951</u>	<u>\$ 352,990</u>	<u>\$ 362,236</u>	<u>\$ 295,591</u>

STATE OF IOWA
Fiscal Year 2012 Annual Budget
SPECIAL DEPARTMENT: (750) Public Defense, Department of
Budget Unit: (58200000410) Ing Morale, Welfare & Rec. Fund
Schedule 6

	Fiscal Year 2010 Actual	Fiscal Year 2011 Estimated	Fiscal Year 2012 Department Request	Fiscal Year 2012 Governor's Recomm
Resources				
Other Resources				
Balance Brought Forward (Funds)	\$ 3,043	\$ 5,763	\$ 469	\$ 6,116
Receipts				
Refunds & Reimbursements	0	5	0	0
Unearned Receipts	20,000	45,000	20,500	20,500
	<u>20,000</u>	<u>45,005</u>	<u>20,500</u>	<u>20,500</u>
Total Resources	<u>\$ 23,043</u>	<u>\$ 50,768</u>	<u>\$ 20,969</u>	<u>\$ 26,616</u>
Disposition of Resources				
Office Supplies	\$ 0	\$ 570	\$ 10	\$ 10
Facility Maintenance Supplies	384	500	400	400
Equipment Maintenance Supplies	0	24	10	10
Other Supplies	4,277	9,500	7,500	7,500
Printing & Binding	0	24	10	10
Food	5,282	6,000	5,200	5,200
Uniforms & Related Items	0	24	10	10
Rentals	0	250	10	10
Professional & Scientific Services	0	500	10	10
Outside Services	1,588	1,000	510	510
Advertising & Publicity	0	100	10	10
Outside Repairs/Service	0	11,636	10	10
Equipment - Non-Inventory	5,750	11,500	4,010	4,010
IT Equipment	0	3,000	10	10
Other Expense & Obligations	0	24	10	10
Balance Carry Forward (Funds)	5,763	6,116	3,249	8,896
Total Disposition of Resources	<u>\$ 23,043</u>	<u>\$ 50,768</u>	<u>\$ 20,969</u>	<u>\$ 26,616</u>

STATE OF IOWA
Fiscal Year 2012 Annual Budget
SPECIAL DEPARTMENT: (750) Public Defense, Department of
Budget Unit: (58200000706) Gifts & Contributions
Schedule 6

	Fiscal Year 2010 Actual	Fiscal Year 2011 Estimated	Fiscal Year 2012 Department Request	Fiscal Year 2012 Governor's Recomm
Resources				
Other Resources				
Balance Brought Forward (Funds)	\$ 5,536	\$ 3,846	\$ 4,750	\$ -904
Receipts				
Unearned Receipts	600	4,572	610	610
Total Resources	<u>\$ 6,136</u>	<u>\$ 8,418</u>	<u>\$ 5,360</u>	<u>\$ -294</u>
Disposition of Resources				
Personal Travel In State	\$ 0	\$ 100	\$ 10	\$ 10
Office Supplies	0	12	10	10
Facility Maintenance Supplies	0	136	20	20
Other Supplies	0	136	20	20
Food	0	300	10	10
Communications	0	3,388	10	10
Rentals	2,290	600	600	600
Professional & Scientific Services	0	50	10	10
Outside Services	0	450	20	20
Other Expense & Obligations	0	50	10	10
State Aid	0	4,100	500	500
Balance Carry Forward (Funds)	3,846	-904	4,140	-1,514
Total Disposition of Resources	<u>\$ 6,136</u>	<u>\$ 8,418</u>	<u>\$ 5,360</u>	<u>\$ -294</u>

STATE OF IOWA
Fiscal Year 2012 Annual Budget
SPECIAL DEPARTMENT: (750) Public Defense, Department of
Budget Unit: (58200000924) Housing Rental Deposits
Schedule 6

	Fiscal Year 2010 Actual	Fiscal Year 2011 Estimated	Fiscal Year 2012 Department Request	Fiscal Year 2012 Governor's Recomm
Resources				
Other Resources				
Balance Brought Forward (Funds)	\$ 2,674	\$ 2,674	\$ 2,674	\$ 2,674
Receipts				
Unearned Receipts	690	400	400	400
Total Resources	<u>\$ 3,364</u>	<u>\$ 3,074</u>	<u>\$ 3,074</u>	<u>\$ 3,074</u>
Disposition of Resources				
Refunds-Other	\$ 690	\$ 400	\$ 400	\$ 400
Balance Carry Forward (Funds)	2,674	2,674	2,674	2,674
Total Disposition of Resources	<u>\$ 3,364</u>	<u>\$ 3,074</u>	<u>\$ 3,074</u>	<u>\$ 3,074</u>

STATE OF IOWA
Fiscal Year 2012 Annual Budget
SPECIAL DEPARTMENT: (750) Public Defense, Department of
Budget Unit: (58208830001) Compensation and Expense
Schedule 6

	Fiscal Year 2010 Actual	Fiscal Year 2011 Estimated	Fiscal Year 2012 Department Request	Fiscal Year 2012 Governor's Recomm
Resources				
Appropriations				
Appropriation	\$ 382,938	\$ 344,644	\$ 344,644	\$ 344,644
Estimated Revisions	-18,203	0	0	0
Chapter 8.31 Reductions	-38,294	0	0	0
	<u>326,441</u>	<u>344,644</u>	<u>344,644</u>	<u>344,644</u>
Receipts				
Federal Support	0	40,000	40,000	40,000
Other States	0	1,000	1,000	1,000
Intra State Receipts	34,386	0	0	0
Reimbursement from Other Agencies	0	1,000	1,000	1,000
Refunds & Reimbursements	289	1,500	1,500	1,500
	<u>34,676</u>	<u>43,500</u>	<u>43,500</u>	<u>43,500</u>
Total Resources	<u>\$ 361,117</u>	<u>\$ 388,144</u>	<u>\$ 388,144</u>	<u>\$ 388,144</u>
FTE	<u>0.08</u>	<u>1.10</u>	<u>0.00</u>	<u>0.00</u>
Disposition of Resources				
Personal Services-Salaries	\$ 202,740	\$ 219,720	\$ 219,720	\$ 219,720
Personal Travel In State	0	1,450	1,550	1,550
State Vehicle Operation	0	520	520	520
Depreciation	0	100	0	0
Personal Travel Out of State	0	1,000	1,000	1,000
Office Supplies	26	100	100	100
Facility Maintenance Supplies	0	99	100	100
Equipment Maintenance Supplies	0	50	50	50
Professional & Scientific Supplies	0	74	74	74

STATE OF IOWA
 Fiscal Year 2012 Annual Budget
 SPECIAL DEPARTMENT: (750) Public Defense, Department of
 Budget Unit: (58208830001) Compensation and Expense
 Schedule 6

	Fiscal Year 2010 Actual	Fiscal Year 2011 Estimated	Fiscal Year 2012 Department Request	Fiscal Year 2012 Governor's Recomm
Disposition of Resources (cont.)				
Other Supplies	0	25	25	25
Food	164	3,100	3,100	3,100
Uniforms & Related Items	0	100	100	100
Postage	53	1	0	0
Communications	50	100	100	100
Rentals	2,474	13,200	13,200	13,200
Professional & Scientific Services	0	4,000	4,000	4,000
Outside Services	0	500	500	500
Reimbursement to Other Agencies	0	925	925	925
Equipment	0	100	100	100
Equipment - Non-Inventory	0	250	250	250
IT Equipment	0	2,230	2,230	2,230
Claims	108,509	140,500	140,500	140,500
Appropriation Transfer	47,100	0	0	0
Total Disposition of Resources	<u>\$ 361,117</u>	<u>\$ 388,144</u>	<u>\$ 388,144</u>	<u>\$ 388,144</u>

STATE OF IOWA
Fiscal Year 2012 Annual Budget
SPECIAL DEPARTMENT: (750) Public Defense, Department of
Budget Unit: (5820R310001) Public Defense, Department of
Schedule 6

	Fiscal Year 2010 Actual	Fiscal Year 2011 Estimated	Fiscal Year 2012 Department Request	Fiscal Year 2012 Governor's Recomm
Resources				
Appropriations				
Appropriation	\$ 6,249,201	\$ 6,249,201	\$ 6,249,201	\$ 5,527,042
Chapter 8.31 Reductions	-624,920	0	0	0
FY11 \$83.7M Reductions	0	-369,369	0	0
Supplementals	526,202	0	0	0
	<u>6,150,483</u>	<u>5,879,832</u>	<u>6,249,201</u>	<u>5,527,042</u>
Receipts				
Federal Support	45,007,342	40,577,833	41,179,157	41,179,157
Intra State Receipts	0	180,000	100	100
Reimbursement from Other Agencies	51,955	478,056	60,048	60,048
Refunds & Reimbursements	1,169,122	607,273	712,269	712,269
Rents & Leases	264,256	280,000	9,700	9,700
Other Sales & Services	20,405	35,000	35,000	35,000
Other	15	100	100	100
	<u>46,513,096</u>	<u>42,158,262</u>	<u>41,996,374</u>	<u>41,996,374</u>
Total Resources	<u>\$ 52,663,579</u>	<u>\$ 48,038,094</u>	<u>\$ 48,245,575</u>	<u>\$ 47,523,416</u>
FTE	<u>299.80</u>	<u>301.65</u>	<u>313.00</u>	<u>313.00</u>
Disposition of Resources				
Personal Services-Salaries	\$ 20,565,759	\$ 21,009,881	\$ 22,115,992	\$ 21,393,833
Personal Travel In State	273,390	239,680	273,814	273,814
State Vehicle Operation	131,539	138,199	137,237	137,237
Depreciation	107,828	59,868	47,178	47,178
Personal Travel Out of State	118,464	140,016	129,940	129,940
Office Supplies	76,272	70,120	80,735	80,735

STATE OF IOWA
Fiscal Year 2012 Annual Budget
SPECIAL DEPARTMENT: (750) Public Defense, Department of
Budget Unit: (582OR310001) Public Defense, Department of
Schedule 6

	Fiscal Year 2010 Actual	Fiscal Year 2011 Estimated	Fiscal Year 2012 Department Request	Fiscal Year 2012 Governor's Recomm
Disposition of Resources (cont.)				
Facility Maintenance Supplies	946,308	878,411	944,415	944,415
Equipment Maintenance Supplies	285,983	185,746	254,848	254,848
Professional & Scientific Supplies	17,684	17,010	16,980	16,980
Highway Maintenance Supplies	821	1,000	1,000	1,000
Housing & Subsistence Supplies	975	1,086	934	934
Ag.,Conservation & Horticulture Supply	62,468	24,618	58,794	58,794
Other Supplies	53,873	62,428	63,364	63,364
Printing & Binding	1,714	1,548	1,846	1,846
Food	0	36	20	20
Uniforms & Related Items	74,758	63,740	64,288	64,288
Postage	7,618	12,036	12,034	12,034
Communications	1,287,720	1,607,453	1,409,481	1,409,481
Rentals	189,744	184,891	187,587	187,587
Utilities	3,627,023	4,120,473	4,065,142	4,065,142
Professional & Scientific Services	429,174	423,118	398,106	398,106
Outside Services	1,501,428	1,401,436	1,420,152	1,420,152
Intra-State Transfers	10,000	7,100	100	100
Advertising & Publicity	4,235	3,634	4,230	4,230
Outside Repairs/Service	853,622	1,283,922	982,984	982,984
Attorney General Reimbursements	30,263	30,000	30,000	30,000
Auditor of State Reimbursements	39,054	15,981	22,429	22,429
Reimbursement to Other Agencies	347,476	303,019	327,373	327,373
ITS Reimbursements	43,478	29,000	29,000	29,000
Workers Comp. Reimbursement	0	100	100	100
Equipment	338,785	214,148	332,552	332,552
Office Equipment	10,036	18,112	14,500	14,500

STATE OF IOWA
 Fiscal Year 2012 Annual Budget
 SPECIAL DEPARTMENT: (750) Public Defense, Department of
 Budget Unit: (582OR310001) Public Defense, Department of
 Schedule 6

	Fiscal Year 2010 Actual	Fiscal Year 2011 Estimated	Fiscal Year 2012 Department Request	Fiscal Year 2012 Governor's Recomm
Disposition of Resources (cont.)				
Equipment - Non-Inventory	1,831,508	380,920	705,648	715,452
IT Equipment	99,288	118,008	115,786	115,786
Other Expense & Obligations	45,804	49,561	49,511	49,511
Licenses	24,810	22,197	23,557	23,557
Fees	0	36	34	34
Refunds-Other	2,062	548	546	546
Capitals	19,113,415	14,919,014	13,913,534	13,913,534
Legislative Reduction	0	0	9,804	0
Appropriation Transfer	104,938	0	0	0
Reversions	4,262	0	0	0
Total Disposition of Resources	<u>\$ 52,663,578</u>	<u>\$ 48,038,094</u>	<u>\$ 48,245,575</u>	<u>\$ 47,523,416</u>

STATE OF IOWA
 Fiscal Year 2012 Annual Budget
 SPECIAL DEPARTMENT: (750) Public Defense, Department of
 Budget Unit: (5820R330988) DPD - Federal Recovery and Reinvestment Fund
 Schedule 6

	Fiscal Year 2010 Actual	Fiscal Year 2011 Estimated	Fiscal Year 2012 Department Request	Fiscal Year 2012 Governor's Recomm
Resources				
Appropriations				
Appropriation	\$ 180,000	\$ 0	\$ 0	\$ 0
Other Resources				
Balance Brought Forward (Approps)	0	180,000	0	0
Total Resources	<u>\$ 180,000</u>	<u>\$ 180,000</u>	<u>\$ 0</u>	<u>\$ 0</u>
Disposition of Resources				
Intra-State Transfers	\$ 0	\$ 180,000	\$ 0	\$ 0
Balance Carry Forward (Approps)	180,000	0	0	0
Total Disposition of Resources	<u>\$ 180,000</u>	<u>\$ 180,000</u>	<u>\$ 0</u>	<u>\$ 0</u>

STATE OF IOWA
Fiscal Year 2012 Annual Budget
SPECIAL DEPARTMENT: (750) Public Defense, Department of
Budget Unit: (58300000046) Wireless E911 Surcharge
Schedule 6

	Fiscal Year 2010 Actual	Fiscal Year 2011 Estimated	Fiscal Year 2012 Department Request	Fiscal Year 2012 Governor's Recomm
Resources				
Other Resources				
Balance Brought Forward (Funds)	\$ 4,501,062	\$ 11,015,639	\$ 10,164,393	\$ 851,246
Receipts				
Federal Support	0	1,333,456	1,333,456	1,333,456
Interest	48,439	150,000	150,000	150,000
Fees, Licenses & Permits	16,914,452	14,200,000	14,200,000	14,200,000
	<u>16,962,891</u>	<u>15,683,456</u>	<u>15,683,456</u>	<u>15,683,456</u>
Total Resources	<u>\$ 21,463,953</u>	<u>\$ 26,699,095</u>	<u>\$ 25,847,849</u>	<u>\$ 16,534,702</u>
FTE	<u>1.97</u>	<u>2.00</u>	<u>2.00</u>	<u>2.00</u>
Disposition of Resources				
Personal Services-Salaries	\$ 157,739	\$ 171,147	\$ 171,147	\$ 171,147
Personal Travel In State	2,499	3,000	3,000	3,000
Personal Travel Out of State	5,440	6,000	6,000	6,000
Office Supplies	293	2,000	2,000	2,000
Facility Maintenance Supplies	23	0	0	0
Equipment Maintenance Supplies	0	50	50	50
Other Supplies	0	1,000	1,000	1,000
Printing & Binding	26	50	50	50
Food	0	50	50	50
Postage	9	50	50	50
Communications	10,143,662	24,030,799	24,015,999	24,015,999
Rentals	916	500	1,000	1,000
Outside Services	71,441	60,050	70,050	70,050
Intra-State Transfers	23,952	20,000	25,000	25,000

STATE OF IOWA
 Fiscal Year 2012 Annual Budget
 SPECIAL DEPARTMENT: (750) Public Defense, Department of
 Budget Unit: (58300000046) Wireless E911 Surcharge
 Schedule 6

	Fiscal Year 2010 Actual	Fiscal Year 2011 Estimated	Fiscal Year 2012 Department Request	Fiscal Year 2012 Governor's Recomm
Disposition of Resources (cont.)				
Auditor of State Reimbursements	5,665	0	5,500	5,500
Reimbursement to Other Agencies	35,816	30,000	35,000	35,000
ITS Reimbursements	177	350	350	350
Equipment	0	75,000	75,000	75,000
Equipment - Non-Inventory	396	400	400	400
IT Equipment	260	76,600	76,600	76,600
Other Expense & Obligations	0	37,347	26,147	26,147
State Aid	0	1,333,456	1,333,456	1,333,456
Balance Carry Forward (Funds)	11,015,639	851,246	0	-9,313,147
Total Disposition of Resources	<u>\$ 21,463,953</u>	<u>\$ 26,699,095</u>	<u>\$ 25,847,849</u>	<u>\$ 16,534,702</u>

STATE OF IOWA
Fiscal Year 2012 Annual Budget
SPECIAL DEPARTMENT: (750) Public Defense, Department of
Budget Unit: (58300000168) Homeland Security Grant Program (HSGP) - interest bearing
Schedule 6

	Fiscal Year 2010 Actual	Fiscal Year 2011 Estimated	Fiscal Year 2012 Department Request	Fiscal Year 2012 Governor's Recomm
Resources				
Other Resources				
Balance Brought Forward (Funds)	\$ 21,794	\$ 26,246	\$ 5,001	\$ -14,489
Adjustment to Balance Forward	146	0	0	0
	<u>21,940</u>	<u>26,246</u>	<u>5,001</u>	<u>-14,489</u>
Receipts				
Federal Support	9,907,603	23,262,477	20,500,037	20,500,037
Other States	669,792	764,515	250,000	250,000
Interest	3,065	5,000	5,000	5,000
	<u>10,580,459</u>	<u>24,031,992</u>	<u>20,755,037</u>	<u>20,755,037</u>
Total Resources	<u>\$ 10,602,399</u>	<u>\$ 24,058,238</u>	<u>\$ 20,760,038</u>	<u>\$ 20,740,548</u>
FTE	<u>0.00</u>	<u>13.06</u>	<u>13.06</u>	<u>13.06</u>
Disposition of Resources				
Personal Services-Salaries	\$ 661,407	\$ 2,350,277	\$ 2,292,406	\$ 2,292,406
Personal Travel In State	17,133	221,783	217,565	217,565
State Vehicle Operation	0	500	500	500
Personal Travel Out of State	81,217	344,796	194,809	194,809
Office Supplies	6,060	32,459	32,859	32,859
Facility Maintenance Supplies	0	200	200	200
Equipment Maintenance Supplies	0	1,000	1,000	1,000
Professional & Scientific Supplies	0	23,446	23,446	23,446
Other Supplies	240	8,324	4,539	4,539
Printing & Binding	84,041	88,988	66,038	66,038
Food	10,554	59,816	53,100	53,100
Uniforms & Related Items	0	3,000	3,000	3,000

STATE OF IOWA
Fiscal Year 2012 Annual Budget
SPECIAL DEPARTMENT: (750) Public Defense, Department of
Budget Unit: (58300000168) Homeland Security Grant Program (HSGP) - interest bearing
Schedule 6

	Fiscal Year 2010 Actual	Fiscal Year 2011 Estimated	Fiscal Year 2012 Department Request	Fiscal Year 2012 Governor's Recomm
Disposition of Resources (cont.)				
Postage	1,555	10,904	8,548	8,548
Communications	8,087	35,747	34,121	34,121
Rentals	5,040	30,683	27,531	27,531
Professional & Scientific Services	567,221	719,901	451,901	451,901
Outside Services	1,094	632,946	587,315	587,315
Intra-State Transfers	530,035	225,000	939,046	939,046
Advertising & Publicity	1,000	21,201	18,201	18,201
Outside Repairs/Service	0	200	200	200
Reimbursement to Other Agencies	44,138	185,410	172,391	172,391
ITS Reimbursements	244	1,013	1,013	1,013
IT Outside Services	0	28,000	28,000	28,000
Equipment	733,390	42,000	79,219	79,219
Equipment - Non-Inventory	57,821	95,389	100,389	100,389
IT Equipment	4,715	126,040	83,821	83,821
Other Expense & Obligations	0	714,079	714,079	714,079
Licenses	0	1,500	1,500	1,500
Refunds-Other	75,276	5,000	5,000	5,000
State Aid	7,685,887	18,063,125	14,618,301	14,618,301
Balance Carry Forward (Funds)	26,246	-14,489	0	-19,490
Total Disposition of Resources	<u>\$ 10,602,399</u>	<u>\$ 24,058,238</u>	<u>\$ 20,760,038</u>	<u>\$ 20,740,548</u>

STATE OF IOWA
 Fiscal Year 2012 Annual Budget
 SPECIAL DEPARTMENT: (750) Public Defense, Department of
 Budget Unit: (58300000176) Pre disaster mitigation - Competitive
 Schedule 6

	Fiscal Year 2010 Actual	Fiscal Year 2011 Estimated	Fiscal Year 2012 Department Request	Fiscal Year 2012 Governor's Recomm
Resources				
Receipts				
Federal Support	\$ 627,710	\$ 910,813	\$ 910,813	\$ 910,813
FTE	0.00	0.35	0.35	0.35
Disposition of Resources				
Personal Services-Salaries	\$ 51,383	\$ 59,090	\$ 69,090	\$ 69,090
Personal Travel In State	0	5,043	5,043	5,043
Personal Travel Out of State	0	348	348	348
Office Supplies	31	812	812	812
Printing & Binding	0	750	750	750
Postage	0	110	110	110
Communications	0	470	470	470
Professional & Scientific Services	0	234	234	234
Outside Services	1,449	30,117	20,117	20,117
Reimbursement to Other Agencies	5,898	13,033	13,964	13,964
Other Expense & Obligations	0	7,076	6,145	6,145
State Aid	568,948	793,730	793,730	793,730
Total Disposition of Resources	\$ 627,710	\$ 910,813	\$ 910,813	\$ 910,813

STATE OF IOWA
Fiscal Year 2012 Annual Budget
SPECIAL DEPARTMENT: (750) Public Defense, Department of
Budget Unit: (58300000250) Power Plant Funds
Schedule 6

	Fiscal Year 2010 Actual	Fiscal Year 2011 Estimated	Fiscal Year 2012 Department Request	Fiscal Year 2012 Governor's Recomm
Resources				
Other Resources				
Balance Brought Forward (Funds)	\$ 331,508	\$ 528,092	\$ 482,357	\$ 45,735
Receipts				
Refunds & Reimbursements	1,115,358	1,027,163	1,027,163	1,027,163
Total Resources	<u>\$ 1,446,866</u>	<u>\$ 1,555,255</u>	<u>\$ 1,509,520</u>	<u>\$ 1,072,898</u>
FTE	<u>2.95</u>	<u>6.38</u>	<u>6.38</u>	<u>6.38</u>
Disposition of Resources				
Personal Services-Salaries	\$ 431,050	\$ 524,004	\$ 524,004	\$ 524,004
Personal Travel In State	8,350	10,220	10,220	10,220
State Vehicle Operation	2,180	3,065	3,065	3,065
Depreciation	-3,153	4,405	4,405	4,405
Personal Travel Out of State	9,420	8,635	8,635	8,635
Office Supplies	292	3,500	3,500	3,500
Facility Maintenance Supplies	363	1,200	1,200	1,200
Equipment Maintenance Supplies	218	550	550	550
Professional & Scientific Supplies	19,931	20,000	20,000	20,000
Other Supplies	9,729	3,500	3,500	3,500
Printing & Binding	4,279	4,900	4,900	4,900
Food	0	85	85	85
Postage	833	627	627	627
Communications	30,986	46,180	46,180	46,180
Rentals	3,695	3,710	3,710	3,710
Utilities	1,107	1,200	1,200	1,200
Outside Services	3,076	5,365	5,365	5,365

STATE OF IOWA
 Fiscal Year 2012 Annual Budget
 SPECIAL DEPARTMENT: (750) Public Defense, Department of
 Budget Unit: (58300000250) Power Plant Funds
 Schedule 6

	Fiscal Year 2010 Actual	Fiscal Year 2011 Estimated	Fiscal Year 2012 Department Request	Fiscal Year 2012 Governor's Recomm
Disposition of Resources (cont.)				
Intra-State Transfers	26,104	462,247	462,247	462,247
Outside Repairs/Service	0	88	88	88
Reimbursement to Other Agencies	353,559	326,832	326,832	326,832
ITS Reimbursements	377	330	330	330
Equipment - Non-Inventory	4,702	400	400	400
IT Equipment	1,006	1,500	1,500	1,500
Other Expense & Obligations	10,670	76,977	76,977	76,977
Balance Carry Forward (Funds)	528,092	45,735	0	-436,622
Total Disposition of Resources	<u>\$ 1,446,866</u>	<u>\$ 1,555,255</u>	<u>\$ 1,509,520</u>	<u>\$ 1,072,898</u>

STATE OF IOWA
Fiscal Year 2012 Annual Budget
SPECIAL DEPARTMENT: (750) Public Defense, Department of
Budget Unit: (58300000257) Hazard Mitigation
Schedule 6

	Fiscal Year 2010 Actual	Fiscal Year 2011 Estimated	Fiscal Year 2012 Department Request	Fiscal Year 2012 Governor's Recomm
Resources				
Receipts				
Federal Support	\$ 29,684,575	\$ 70,245,859	\$ 64,318,744	\$ 64,318,744
FTE	0.00	24.20	24.06	24.06
Disposition of Resources				
Personal Services-Salaries	\$ 632,519	\$ 8,836,337	\$ 2,927,666	\$ 2,927,666
Personal Travel In State	27,297	74,494	74,494	74,494
State Vehicle Operation	0	107,137	107,137	107,137
Personal Travel Out of State	1,328	93,463	93,463	93,463
Office Supplies	7,817	88,397	88,397	88,397
Printing & Binding	0	9,643	9,643	9,643
Postage	106	10,120	10,120	10,120
Communications	17,512	81,078	81,078	81,078
Rentals	216	240,092	240,092	240,092
Professional & Scientific Services	3,206	491,448	491,448	491,448
Outside Services	793,516	700,953	700,953	700,953
Intra-State Transfers	51,855	0	0	0
Reimbursement to Other Agencies	18,527	75,661	75,661	75,661
ITS Reimbursements	1,554	18,715	18,715	18,715
Equipment - Non-Inventory	15,737	45,652	45,652	45,652
IT Equipment	14,897	24,823	24,823	24,823
State Aid	28,098,489	59,347,846	59,329,402	59,329,402
Total Disposition of Resources	\$ 29,684,575	\$ 70,245,859	\$ 64,318,744	\$ 64,318,744

STATE OF IOWA
Fiscal Year 2012 Annual Budget
SPECIAL DEPARTMENT: (750) Public Defense, Department of
Budget Unit: (58300000267) State and Local Assistance
Schedule 6

	Fiscal Year 2010 Actual	Fiscal Year 2011 Estimated	Fiscal Year 2012 Department Request	Fiscal Year 2012 Governor's Recomm
Resources				
Other Resources				
Balance Brought Forward (Funds)	\$ 28,153,317	\$ 18,632,035	\$ 20,020,758	\$ -1,388,723
Adjustment to Balance Forward	296	0	0	0
	<u>28,153,613</u>	<u>18,632,035</u>	<u>20,020,758</u>	<u>-1,388,723</u>
Receipts				
Intra State Receipts	-3,325	38,697,067	38,697,071	38,697,071
Total Resources	<u>\$ 28,150,288</u>	<u>\$ 57,329,102</u>	<u>\$ 58,717,829</u>	<u>\$ 37,308,348</u>
FTE	<u>0.00</u>	<u>2.03</u>	<u>2.00</u>	<u>2.00</u>
Disposition of Resources				
Personal Services-Salaries	\$ 173,342	\$ 809,180	\$ 809,180	\$ 809,180
Personal Travel In State	4,795	86,367	86,367	86,367
State Vehicle Operation	0	1,023	1,023	1,023
Personal Travel Out of State	0	13,886	13,886	13,886
Office Supplies	0	34,754	34,754	34,754
Equipment Maintenance Supplies	0	232	232	232
Other Supplies	288	9,034	9,034	9,034
Printing & Binding	0	1,949	1,949	1,949
Food	224	1,529	1,529	1,529
Uniforms & Related Items	0	2,000	2,000	2,000
Postage	100	9,932	9,932	9,932
Communications	1,468	46,783	46,783	46,783
Rentals	652	9,280	9,280	9,280
Professional & Scientific Services	76	2,072,917	2,072,917	2,072,917
Outside Services	10,820	841,623	841,623	841,623

STATE OF IOWA
 Fiscal Year 2012 Annual Budget
 SPECIAL DEPARTMENT: (750) Public Defense, Department of
 Budget Unit: (58300000267) State and Local Assistance
 Schedule 6

	Fiscal Year 2010 Actual	Fiscal Year 2011 Estimated	Fiscal Year 2012 Department Request	Fiscal Year 2012 Governor's Recomm
Disposition of Resources (cont.)				
Reimbursement to Other Agencies	4,444	138,361	138,361	138,361
ITS Reimbursements	230	6,666	6,666	6,666
Equipment	0	73	73	73
Equipment - Non-Inventory	552	71,136	71,136	71,136
IT Equipment	639	65,738	65,738	65,738
Other Expense & Obligations	0	7,762	7,762	7,762
State Aid	9,320,623	54,487,600	54,487,604	54,487,604
Balance Carry Forward (Funds)	18,632,035	-1,388,723	0	-21,409,481
Total Disposition of Resources	<u>\$ 28,150,288</u>	<u>\$ 57,329,102</u>	<u>\$ 58,717,829</u>	<u>\$ 37,308,348</u>

STATE OF IOWA
Fiscal Year 2012 Annual Budget
SPECIAL DEPARTMENT: (750) Public Defense, Department of
Budget Unit: (58300000330) Emergency Response Fund
Schedule 6

	Fiscal Year 2010 Actual	Fiscal Year 2011 Estimated	Fiscal Year 2012 Department Request	Fiscal Year 2012 Governor's Recomm
Resources				
Other Resources				
Balance Brought Forward (Funds)	\$ 351,701	\$ 357,486	\$ 384,824	\$ -27,338
Receipts				
Intra State Receipts	100,000	100,000	100,000	100,000
Total Resources	<u>\$ 451,701</u>	<u>\$ 457,486</u>	<u>\$ 484,824</u>	<u>\$ 72,662</u>
FTE	<u>0.00</u>	<u>1.11</u>	<u>1.11</u>	<u>1.11</u>
Disposition of Resources				
Personal Services-Salaries	\$ 85,634	\$ 123,889	\$ 123,889	\$ 123,889
Personal Travel In State	502	2,703	2,703	2,703
Personal Travel Out of State	1,862	2,770	2,500	2,500
Office Supplies	344	277	277	277
Printing & Binding	260	0	270	270
Postage	629	477	477	477
Communications	29	656	656	656
Rentals	304	5,200	5,200	5,200
Reimbursement to Other Agencies	4,563	16,244	16,244	16,244
IT Equipment	86	814	814	814
Other Expense & Obligations	0	331,794	331,794	331,794
Balance Carry Forward (Funds)	357,486	-27,338	0	-412,162
Total Disposition of Resources	<u>\$ 451,701</u>	<u>\$ 457,486</u>	<u>\$ 484,824</u>	<u>\$ 72,662</u>

STATE OF IOWA
Fiscal Year 2012 Annual Budget
SPECIAL DEPARTMENT: (750) Public Defense, Department of
Budget Unit: (58300000345) Hazardous Material Transfer Uniform Safety Act
Schedule 6

	Fiscal Year 2010 <u>Actual</u>	Fiscal Year 2011 <u>Estimated</u>	Fiscal Year 2012 Department <u>Request</u>	Fiscal Year 2012 Governor's <u>Recomm</u>
Resources				
Other Resources				
Balance Brought Forward (Funds)	\$ 13,687	\$ 0	\$ 0	\$ 0
Receipts				
Federal Support	215,173	0	0	0
Total Resources	<u>\$ 228,860</u>	<u>\$ 0</u>	<u>\$ 0</u>	<u>\$ 0</u>
Disposition of Resources				
Office Supplies	\$ 159	\$ 0	\$ 0	\$ 0
Printing & Binding	76	0	0	0
Postage	9	0	0	0
Refunds-Other	15,500	0	0	0
State Aid	213,116	0	0	0
Total Disposition of Resources	<u>\$ 228,860</u>	<u>\$ 0</u>	<u>\$ 0</u>	<u>\$ 0</u>

STATE OF IOWA
Fiscal Year 2012 Annual Budget
SPECIAL DEPARTMENT: (750) Public Defense, Department of
Budget Unit: (58300000381) E.M.D. Performance Grant
Schedule 6

	Fiscal Year 2010 Actual	Fiscal Year 2011 Estimated	Fiscal Year 2012 Department Request	Fiscal Year 2012 Governor's Recomm
Resources				
Other Resources				
Balance Brought Forward (Funds)	\$ 65	\$ 250	\$ 10	\$ 240
Receipts				
Federal Support	3,434,052	4,094,364	4,094,364	4,094,364
Interest	1,175	3,483	3,483	3,483
	<u>3,435,228</u>	<u>4,097,847</u>	<u>4,097,847</u>	<u>4,097,847</u>
Total Resources	<u>\$ 3,435,293</u>	<u>\$ 4,098,097</u>	<u>\$ 4,097,857</u>	<u>\$ 4,098,087</u>
FTE	<u>0.00</u>	<u>1.00</u>	<u>0.00</u>	<u>0.00</u>
Disposition of Resources				
Personal Services-Salaries	\$ 160,367	\$ 0	\$ 0	\$ 0
Personal Travel In State	27,484	126,065	126,065	126,065
State Vehicle Operation	610	0	0	0
Personal Travel Out of State	22,062	152,778	152,323	152,323
Office Supplies	8,835	21,954	21,954	21,954
Facility Maintenance Supplies	1,933	1,422	1,422	1,422
Equipment Maintenance Supplies	43	0	0	0
Housing & Subsistence Supplies	127	300	300	300
Other Supplies	2,058	9,503	9,203	9,203
Printing & Binding	5,737	60,105	59,055	59,055
Food	533	6,947	6,747	6,747
Postage	1,590	7,199	7,249	7,249
Communications	126,834	259,218	259,473	259,473
Rentals	14,403	20,835	20,835	20,835
Professional & Scientific Services	24,447	76,500	76,500	76,500

STATE OF IOWA
Fiscal Year 2012 Annual Budget
SPECIAL DEPARTMENT: (750) Public Defense, Department of
Budget Unit: (58300000381) E.M.D. Performance Grant
Schedule 6

	Fiscal Year 2010 Actual	Fiscal Year 2011 Estimated	Fiscal Year 2012 Department Request	Fiscal Year 2012 Governor's Recomm
Disposition of Resources (cont.)				
Outside Services	39,711	133,381	133,581	133,581
Outside Repairs/Service	101	2,743	2,743	2,743
Attorney General Reimbursements	30,000	42,500	42,500	42,500
Auditor of State Reimbursements	19,095	21,000	21,000	21,000
Reimbursement to Other Agencies	101,164	411,488	411,588	411,588
ITS Reimbursements	14,119	47,634	47,634	47,634
IT Outside Services	67,989	74,200	74,000	74,000
Equipment	0	200,000	200,000	200,000
Office Equipment	8,887	0	200	200
Equipment - Non-Inventory	67,746	3,826	5,776	5,776
IT Equipment	234,850	62,212	61,662	61,662
Other Expense & Obligations	6,000	80,512	80,512	80,512
Refunds-Other	1,968	3,493	3,493	3,493
State Aid	2,446,353	2,272,042	2,272,042	2,272,042
Balance Carry Forward (Funds)	250	240	0	230
Total Disposition of Resources	<u>\$ 3,435,293</u>	<u>\$ 4,098,097</u>	<u>\$ 4,097,857</u>	<u>\$ 4,098,087</u>

STATE OF IOWA
Fiscal Year 2012 Annual Budget
SPECIAL DEPARTMENT: (750) Public Defense, Department of
Budget Unit: (58300000491) 2004 Distribution #1518 Public Assist.
Schedule 6

	Fiscal Year 2010 Actual	Fiscal Year 2011 Estimated	Fiscal Year 2012 Department Request	Fiscal Year 2012 Governor's Recomm
Resources				
Other Resources				
Balance Brought Forward (Funds)	\$ 23,477	\$ 2,598	\$ 0	\$ 2,598
Adjustment to Balance Forward	4,288	0	0	0
	<u>27,765</u>	<u>2,598</u>	<u>0</u>	<u>2,598</u>
Receipts				
Federal Support	125,382,360	551,841,869	546,252,133	546,252,133
Intra State Receipts	-14,396	0	0	0
	<u>125,367,964</u>	<u>551,841,869</u>	<u>546,252,133</u>	<u>546,252,133</u>
Total Resources	<u>\$ 125,395,729</u>	<u>\$ 551,844,467</u>	<u>\$ 546,252,133</u>	<u>\$ 546,254,731</u>
FTE	<u>0.00</u>	<u>46.87</u>	<u>61.64</u>	<u>61.64</u>
Disposition of Resources				
Personal Services-Salaries	\$ 1,179,707	\$ 11,225,341	\$ 5,592,253	\$ 5,592,253
Personal Travel In State	479,261	1,839,452	1,854,452	1,854,452
State Vehicle Operation	31,918	874,088	874,088	874,088
Personal Travel Out of State	706	300,363	300,363	300,363
Office Supplies	6,347	264,923	264,923	264,923
Other Supplies	0	390,922	390,922	390,922
Printing & Binding	0	188	188	188
Food	0	1,475	1,475	1,475
Postage	1,873	9,554	19,554	19,554
Communications	71,694	384,499	384,499	384,499
Rentals	1,553	2,626,840	2,626,840	2,626,840
Professional & Scientific Services	142,235	4,052,684	4,052,684	4,052,684
Outside Services	2,205,755	3,240,926	3,265,926	3,265,926

STATE OF IOWA
Fiscal Year 2012 Annual Budget
SPECIAL DEPARTMENT: (750) Public Defense, Department of
Budget Unit: (58300000491) 2004 Distribution #1518 Public Assist.
Schedule 6

	Fiscal Year 2010 Actual	Fiscal Year 2011 Estimated	Fiscal Year 2012 Department Request	Fiscal Year 2012 Governor's Recomm
Disposition of Resources (cont.)				
Intra-State Transfers	1,788,459	652,615	652,615	652,615
Outside Repairs/Service	0	1,293	1,293	1,293
Auditor of State Reimbursements	331	0	0	0
Reimbursement to Other Agencies	384,624	513,120	513,120	513,120
ITS Reimbursements	3,400	22,990	22,990	22,990
Equipment	0	308,341	308,341	308,341
Equipment - Non-Inventory	523	161,519	161,519	161,519
IT Equipment	6,336	365,518	365,518	365,518
State Aid	119,088,408	524,605,218	524,598,570	524,598,570
Balance Carry Forward (Funds)	2,598	2,598	0	2,598
Total Disposition of Resources	<u>\$ 125,395,729</u>	<u>\$ 551,844,467</u>	<u>\$ 546,252,133</u>	<u>\$ 546,254,731</u>

STATE OF IOWA
Fiscal Year 2012 Annual Budget
SPECIAL DEPARTMENT: (750) Public Defense, Department of
Budget Unit: (5830R400001) Homeland Security & Emergency Mgmt. Division
Schedule 6

	Fiscal Year 2010 Actual	Fiscal Year 2011 Estimated	Fiscal Year 2012 Department Request	Fiscal Year 2012 Governor's Recomm
Resources				
Appropriations				
Appropriation	\$ 2,038,119	\$ 2,038,119	\$ 2,038,119	\$ 1,836,877
Chapter 8.31 Reductions	-203,812	0	0	0
FY11 \$83.7M Reductions	0	-83,994	0	0
Supplementals	61,614	0	0	0
	<u>1,895,921</u>	<u>1,954,125</u>	<u>2,038,119</u>	<u>1,836,877</u>
Other Resources				
Balance Brought Forward (Funds)	0	0	1,050	0
Receipts				
Federal Support	2,421,074	2,677,799	2,070,070	2,070,070
Intra State Receipts	119,367	0	0	0
Reimbursement from Other Agencies	279	156,041	156,041	156,041
Fees, Licenses & Permits	26,425	31,750	30,700	30,700
Refunds & Reimbursements	50	91	0	0
	<u>2,567,195</u>	<u>2,865,681</u>	<u>2,256,811</u>	<u>2,256,811</u>
Total Resources	<u>\$ 4,463,116</u>	<u>\$ 4,819,806</u>	<u>\$ 4,295,980</u>	<u>\$ 4,093,688</u>
FTE	<u>67.43</u>	<u>34.10</u>	<u>40.00</u>	<u>40.00</u>
Disposition of Resources				
Personal Services-Salaries	\$ 3,261,854	\$ 3,038,709	\$ 3,027,113	\$ 2,825,871
Personal Travel In State	44,652	127,813	41,570	41,570
State Vehicle Operation	-5,771	10	0	0
Personal Travel Out of State	13,441	24,290	23,574	23,574
Office Supplies	8,505	40,318	8,140	8,140
Facility Maintenance Supplies	0	1	1	1

STATE OF IOWA
Fiscal Year 2012 Annual Budget
SPECIAL DEPARTMENT: (750) Public Defense, Department of
Budget Unit: (5830R400001) Homeland Security & Emergency Mgmt. Division
Schedule 6

	Fiscal Year 2010 Actual	Fiscal Year 2011 Estimated	Fiscal Year 2012 Department Request	Fiscal Year 2012 Governor's Recomm
Disposition of Resources (cont.)				
Equipment Maintenance Supplies	0	400	400	400
Housing & Subsistence Supplies	593	0	0	0
Other Supplies	138	0	500	500
Printing & Binding	22,772	24,421	4,050	4,050
Food	354	4,500	4,500	4,500
Uniforms & Related Items	0	50	50	50
Postage	5,685	23,082	5,309	5,309
Communications	44,961	137,281	23,750	23,750
Rentals	20,100	52,006	3,287	3,287
Professional & Scientific Services	202,142	197,739	41,116	41,116
Outside Services	325,729	322,068	313,137	313,137
Outside Repairs/Service	0	14,012	0	0
Reimbursement to Other Agencies	196,020	174,093	173,646	173,646
ITS Reimbursements	42,438	15,972	3,847	3,847
IT Outside Services	0	25,000	22,000	22,000
Equipment	0	5,000	5,000	5,000
Equipment - Non-Inventory	9,843	2,793	2,750	2,750
IT Equipment	65,935	3,000	5,000	5,000
Other Expense & Obligations	570	2,824	2,824	2,824
Refunds-Other	234	0	0	0
State Aid	88,473	584,424	584,416	584,416
Appropriation Transfer	94,703	0	0	0
Reversions	19,744	0	0	0
Balance Carry Forward (Funds)	0	0	0	-1,050
Total Disposition of Resources	<u>\$ 4,463,116</u>	<u>\$ 4,819,806</u>	<u>\$ 4,295,980</u>	<u>\$ 4,093,688</u>

STATE OF IOWA
 Fiscal Year 2012 Annual Budget
 SPECIAL DEPARTMENT: (750) Public Defense, Department of
 Budget Unit: (5830R480943) 2-1-1 Call System
 Schedule 6

	Fiscal Year 2010 Actual	Fiscal Year 2011 Estimated	Fiscal Year 2012 Department Request	Fiscal Year 2012 Governor's Recomm
Resources				
Appropriations				
Appropriation	\$ 250,000	\$ 0	\$ 0	\$ 0
Disposition of Resources				
State Aid	\$ 250,000	\$ 0	\$ 0	\$ 0

STATE OF IOWA
 Fiscal Year 2012 Annual Budget
 SPECIAL DEPARTMENT: (770) Public Safety, Department of
 Budget Unit: (59500000030) DPS-Gaming Enforcement Revolving Fund - 0030
 Schedule 6

	Fiscal Year 2010 Actual	Fiscal Year 2011 Estimated	Fiscal Year 2012 Department Request	Fiscal Year 2012 Governor's Recomm
Resources				
Receipts				
Intra State Receipts	\$ 0	\$ 9,836,306	\$ 11,296,131	\$ 9,836,306
Disposition of Resources				
Appropriation	\$ 0	\$ 9,836,306	\$ 11,296,131	\$ 9,836,306

STATE OF IOWA
Fiscal Year 2012 Annual Budget
SPECIAL DEPARTMENT: (770) Public Safety, Department of
Budget Unit: (59500000040) SPOC Insurance Trust Fund
Schedule 6

	Fiscal Year 2010 Actual	Fiscal Year 2011 Estimated	Fiscal Year 2012 Department Request	Fiscal Year 2012 Governor's Recomm
Resources				
Other Resources				
Balance Brought Forward (Funds)	\$ 113	\$ 416,862	\$ 113	\$ 416,862
Receipts				
Intra State Receipts	1,190,788	279,517	279,517	279,517
Interest	515	0	0	0
Refunds & Reimbursements	4,243	0	0	0
	<u>1,195,546</u>	<u>279,517</u>	<u>279,517</u>	<u>279,517</u>
Total Resources	<u>\$ 1,195,659</u>	<u>\$ 696,379</u>	<u>\$ 279,630</u>	<u>\$ 696,379</u>
Disposition of Resources				
Personal Services-Salaries	\$ 778,797	\$ 279,517	\$ 279,517	\$ 279,517
Balance Carry Forward (Funds)	416,862	416,862	113	416,862
Total Disposition of Resources	<u>\$ 1,195,659</u>	<u>\$ 696,379</u>	<u>\$ 279,630</u>	<u>\$ 696,379</u>

STATE OF IOWA
Fiscal Year 2012 Annual Budget
SPECIAL DEPARTMENT: (770) Public Safety, Department of
Budget Unit: (59500000060) Asset Sharing Fund - Federal
Schedule 6

	Fiscal Year 2010 Actual	Fiscal Year 2011 Estimated	Fiscal Year 2012 Department Request	Fiscal Year 2012 Governor's Recomm
Resources				
Other Resources				
Balance Brought Forward (Funds)	\$ 2,486,280	\$ 3,628,966	\$ 3,500,000	\$ 128,966
Receipts				
Federal Support	3,222,817	1,750,000	1,750,000	1,750,000
Interest	27,679	3,000	3,000	3,000
	<u>3,250,496</u>	<u>1,753,000</u>	<u>1,753,000</u>	<u>1,753,000</u>
Total Resources	<u>\$ 5,736,776</u>	<u>\$ 5,381,966</u>	<u>\$ 5,253,000</u>	<u>\$ 1,881,966</u>
Disposition of Resources				
Personal Services-Salaries	\$ 107,432	\$ 200,000	\$ 200,000	\$ 200,000
Personal Travel In State	12,103	30,000	30,000	30,000
State Vehicle Operation	255	3,000	3,000	3,000
Personal Travel Out of State	61,792	8,000	8,000	8,000
Office Supplies	9,854	1,000	1,000	1,000
Facility Maintenance Supplies	248	0	0	0
Professional & Scientific Supplies	297,915	0	0	0
Other Supplies	34,899	3,000	3,000	3,000
Uniforms & Related Items	3,646	100,000	100,000	100,000
Communications	215,068	200,000	200,000	200,000
Rentals	114,076	120,000	120,000	120,000
Professional & Scientific Services	2,500	10,000	10,000	10,000
Outside Services	31,191	50,000	50,000	50,000
Intra-State Transfers	232,107	200,000	200,000	200,000
Advertising & Publicity	0	10,000	10,000	10,000
Outside Repairs/Service	-34,603	400,000	400,000	400,000
Reimbursement to Other Agencies	28,251	40,000	40,000	40,000
IT Outside Services	100,200	75,000	75,000	75,000

STATE OF IOWA

Fiscal Year 2012 Annual Budget

SPECIAL DEPARTMENT: (770) Public Safety, Department of

Budget Unit: (59500000060) Asset Sharing Fund - Federal

Schedule 6

	Fiscal Year 2010 Actual	Fiscal Year 2011 Estimated	Fiscal Year 2012 Department Request	Fiscal Year 2012 Governor's Recomm
Disposition of Resources (cont.)				
Equipment	143,787	2,000,000	2,000,000	0
Equipment - Non-Inventory	145,734	150,000	150,000	150,000
IT Equipment	532,803	1,553,000	1,553,000	181,966
Other Expense & Obligations	63,225	100,000	100,000	100,000
Refunds-Other	5,328	0	0	0
Balance Carry Forward (Funds)	3,628,966	128,966	0	0
Total Disposition of Resources	<u>\$ 5,736,776</u>	<u>\$ 5,381,966</u>	<u>\$ 5,253,000</u>	<u>\$ 1,881,966</u>

STATE OF IOWA
Fiscal Year 2012 Annual Budget
SPECIAL DEPARTMENT: (770) Public Safety, Department of
Budget Unit: (59500000061) Asset Sharing Fund - State
Schedule 6

	Fiscal Year 2010 <u>Actual</u>	Fiscal Year 2011 <u>Estimated</u>	Fiscal Year 2012 <u>Department Request</u>	Fiscal Year 2012 <u>Governor's Recomm</u>
Resources				
Other Resources				
Balance Brought Forward (Funds)	\$ 384,955	\$ 526,463	\$ 425,000	\$ 101,463
Receipts				
Intra State Receipts	2,649	0	0	0
Refunds & Reimbursements	527,400	300,000	300,000	300,000
Sale Of Equipment & Salvage	70,740	5,000	5,000	5,000
	<u>600,790</u>	<u>305,000</u>	<u>305,000</u>	<u>305,000</u>
Total Resources	<u>\$ 985,745</u>	<u>\$ 831,463</u>	<u>\$ 730,000</u>	<u>\$ 406,463</u>
Disposition of Resources				
Personal Services-Salaries	\$ 123,352	\$ 0	\$ 0	\$ 0
Personal Travel In State	41	20,000	20,000	20,000
State Vehicle Operation	22,540	50,000	50,000	50,000
Depreciation	29,000	0	0	0
Other Supplies	7,424	1,000	1,000	1,000
Uniforms & Related Items	0	10,000	10,000	10,000
Communications	5,445	25,000	25,000	25,000
Rentals	110	5,000	5,000	5,000
Professional & Scientific Services	1,500	10,000	10,000	10,000
Outside Services	1,600	10,000	10,000	10,000
Outside Repairs/Service	103	45,000	45,000	45,000
Reimbursement to Other Agencies	239	1,000	1,000	1,000
Equipment	0	200,000	200,000	50,000
Equipment - Non-Inventory	10,463	200,000	200,000	50,000
IT Equipment	17,771	100,000	100,000	76,463
Other Expense & Obligations	239,694	50,000	50,000	50,000
Refunds-Other	0	3,000	3,000	3,000

STATE OF IOWA
 Fiscal Year 2012 Annual Budget
 SPECIAL DEPARTMENT: (770) Public Safety, Department of
 Budget Unit: (59500000061) Asset Sharing Fund - State
 Schedule 6

	Fiscal Year 2010 Actual	Fiscal Year 2011 Estimated	Fiscal Year 2012 Department Request	Fiscal Year 2012 Governor's Recomm
Disposition of Resources (cont.)				
Balance Carry Forward (Funds)	526,463	101,463	0	0
Total Disposition of Resources	<u>\$ 985,745</u>	<u>\$ 831,463</u>	<u>\$ 730,000</u>	<u>\$ 406,463</u>

STATE OF IOWA
 Fiscal Year 2012 Annual Budget
 SPECIAL DEPARTMENT: (770) Public Safety, Department of
 Budget Unit: (59500000116) Donations and Gifts
 Schedule 6

	Fiscal Year 2010 Actual	Fiscal Year 2011 Estimated	Fiscal Year 2012 Department Request	Fiscal Year 2012 Governor's Recomm
Resources				
Other Resources				
Balance Brought Forward (Funds)	\$ 45,887	\$ 46,922	\$ 0	\$ 46,922
Receipts				
Intra State Receipts	0	500	500	500
Unearned Receipts	1,610	500	500	500
	<u>1,610</u>	<u>1,000</u>	<u>1,000</u>	<u>1,000</u>
Total Resources	<u>\$ 47,497</u>	<u>\$ 47,922</u>	<u>\$ 1,000</u>	<u>\$ 47,922</u>
Disposition of Resources				
Intra-State Transfers	\$ 0	\$ 500	\$ 500	\$ 500
Equipment - Non-Inventory	576	500	500	500
Balance Carry Forward (Funds)	46,922	46,922	0	46,922
Total Disposition of Resources	<u>\$ 47,497</u>	<u>\$ 47,922</u>	<u>\$ 1,000</u>	<u>\$ 47,922</u>

STATE OF IOWA
Fiscal Year 2012 Annual Budget
SPECIAL DEPARTMENT: (770) Public Safety, Department of
Budget Unit: (59500000120) Paul Ryan Fire Fighter Training Fund
Schedule 6

	Fiscal Year 2010 Actual	Fiscal Year 2011 Estimated	Fiscal Year 2012 Department Request	Fiscal Year 2012 Governor's Recomm
Resources				
Other Resources				
Balance Brought Forward (Funds)	\$ 9,381	\$ 28,612	\$ 22,000	\$ 6,612
Receipts				
Intra State Receipts	30,706	0	0	0
Fees, Licenses & Permits	0	30,000	30,000	30,000
Total Resources	<u>\$ 40,087</u>	<u>\$ 58,612</u>	<u>\$ 52,000</u>	<u>\$ 36,612</u>
Disposition of Resources				
Intra-State Transfers	\$ 11,475	\$ 52,000	\$ 52,000	\$ 36,612
Balance Carry Forward (Funds)	28,612	6,612	0	0
Total Disposition of Resources	<u>\$ 40,087</u>	<u>\$ 58,612</u>	<u>\$ 52,000</u>	<u>\$ 36,612</u>

STATE OF IOWA
 Fiscal Year 2012 Annual Budget
 SPECIAL DEPARTMENT: (770) Public Safety, Department of
 Budget Unit: (59500000125) Volunteer Fire Fighter Check-off Fund
 Schedule 6

	Fiscal Year 2010 Actual	Fiscal Year 2011 Estimated	Fiscal Year 2012 Department Request	Fiscal Year 2012 Governor's Recomm
Resources				
Other Resources				
Balance Brought Forward (Funds)	\$ 2,787	\$ 20,161	\$ 33,274	\$ 0
Receipts				
Interest	153	100	100	100
Income Tax Checkoffs	30,402	31,000	31,000	31,000
Total Resources	<u>\$ 33,341</u>	<u>\$ 51,261</u>	<u>\$ 64,374</u>	<u>\$ 31,100</u>
Disposition of Resources				
Outside Services	\$ 13,181	\$ 0	\$ 0	\$ 0
Intra-State Transfers	0	51,261	64,374	31,100
Balance Carry Forward (Funds)	20,161	0	0	0
Total Disposition of Resources	<u>\$ 33,341</u>	<u>\$ 51,261</u>	<u>\$ 64,374</u>	<u>\$ 31,100</u>

STATE OF IOWA
 Fiscal Year 2012 Annual Budget
 SPECIAL DEPARTMENT: (770) Public Safety, Department of
 Budget Unit: (59500000191) DCI - Background Prepayments
 Schedule 6

	Fiscal Year 2010 Actual	Fiscal Year 2011 Estimated	Fiscal Year 2012 Department Request	Fiscal Year 2012 Governor's Recomm
Resources				
Other Resources				
Balance Brought Forward (Funds)	\$ 554,623	\$ 726,476	\$ 0	\$ 726,476
Receipts				
Fees, Licenses & Permits	-24	70,000	70,000	70,000
Unearned Receipts	171,877	119,000	119,000	119,000
	<u>171,853</u>	<u>189,000</u>	<u>189,000</u>	<u>189,000</u>
Total Resources	<u>\$ 726,476</u>	<u>\$ 915,476</u>	<u>\$ 189,000</u>	<u>\$ 915,476</u>
Disposition of Resources				
Intra-State Transfers	\$ 0	\$ 188,000	\$ 188,000	\$ 188,000
Refunds-Other	0	1,000	1,000	1,000
Balance Carry Forward (Funds)	726,476	726,476	0	726,476
Total Disposition of Resources	<u>\$ 726,476</u>	<u>\$ 915,476</u>	<u>\$ 189,000</u>	<u>\$ 915,476</u>

STATE OF IOWA
Fiscal Year 2012 Annual Budget
SPECIAL DEPARTMENT: (770) Public Safety, Department of
Budget Unit: (59500000201) HIDTA Funds
Schedule 6

	Fiscal Year 2010 Actual	Fiscal Year 2011 Estimated	Fiscal Year 2012 Department Request	Fiscal Year 2012 Governor's Recomm
Resources				
Other Resources				
Balance Brought Forward (Funds)	\$ 100	\$ 100	\$ 0	\$ 0
Receipts				
Federal Support	2,074,471	1,850,000	1,850,000	1,850,000
Interest	198	100	100	100
Total Resources	<u>\$ 2,074,669</u>	<u>\$ 1,850,100</u>	<u>\$ 1,850,100</u>	<u>\$ 1,850,100</u>
Disposition of Resources				
Outside Services	\$ 593,497	\$ 10,000	\$ 10,000	\$ 10,000
Intra-State Transfers	1,481,172	1,840,200	1,840,100	1,840,100
Balance Carry Forward (Funds)	100	0	0	0
Total Disposition of Resources	<u>\$ 2,074,769</u>	<u>\$ 1,850,200</u>	<u>\$ 1,850,100</u>	<u>\$ 1,850,100</u>

STATE OF IOWA
Fiscal Year 2012 Annual Budget
SPECIAL DEPARTMENT: (770) Public Safety, Department of
Budget Unit: (59500000207) Federal Marijuana Eradication
Schedule 6

	Fiscal Year 2010 Actual	Fiscal Year 2011 Estimated	Fiscal Year 2012 Department Request	Fiscal Year 2012 Governor's Recomm
Resources				
Other Resources				
Balance Brought Forward (Funds)	\$ 4,138	\$ 5,422	\$ 3,500	\$ 1,922
Receipts				
Federal Support	8,000	8,000	8,000	8,000
Interest	0	500	500	500
	<u>8,000</u>	<u>8,500</u>	<u>8,500</u>	<u>8,500</u>
Total Resources	<u>\$ 12,138</u>	<u>\$ 13,922</u>	<u>\$ 12,000</u>	<u>\$ 10,422</u>
Disposition of Resources				
Personal Services-Salaries	\$ 1,396	\$ 8,350	\$ 8,350	\$ 8,350
Personal Travel In State	0	2,000	2,000	422
Personal Travel Out of State	0	100	100	100
Office Supplies	0	100	100	100
Other Supplies	791	100	100	100
Communications	588	350	350	350
Rentals	120	0	0	0
Outside Services	1,157	1,000	1,000	1,000
IT Equipment	669	0	0	0
State Aid	1,996	0	0	0
Balance Carry Forward (Funds)	5,422	1,922	0	0
Total Disposition of Resources	<u>\$ 12,138</u>	<u>\$ 13,922</u>	<u>\$ 12,000</u>	<u>\$ 10,422</u>

STATE OF IOWA
Fiscal Year 2012 Annual Budget
SPECIAL DEPARTMENT: (770) Public Safety, Department of
Budget Unit: (59500000296) Criminalistics Laboratory Fund
Schedule 6

	Fiscal Year 2010 Actual	Fiscal Year 2011 Estimated	Fiscal Year 2012 Department Request	Fiscal Year 2012 Governor's Recomm
Resources				
Other Resources				
Balance Brought Forward (Funds)	\$ 251,267	\$ 306,224	\$ 0	\$ 0
Receipts				
Intra State Receipts	302,345	302,345	302,345	302,345
Interest	3,032	0	0	0
	<u>305,377</u>	<u>302,345</u>	<u>302,345</u>	<u>302,345</u>
Total Resources	<u>\$ 556,644</u>	<u>\$ 608,569</u>	<u>\$ 302,345</u>	<u>\$ 302,345</u>
Disposition of Resources				
Personal Travel In State	\$ 2,020	\$ 10,000	\$ 10,000	\$ 10,000
Personal Travel Out of State	7,441	25,000	25,000	25,000
Office Supplies	2,815	5,000	5,000	5,000
Professional & Scientific Supplies	3,322	5,000	5,000	5,000
Other Supplies	1,221	5,000	5,000	5,000
Professional & Scientific Services	330	0	0	0
Outside Services	8,005	10,000	10,000	10,000
Outside Repairs/Service	75,907	100,000	90,345	90,345
Reimbursement to Other Agencies	2,488	2,000	2,000	2,000
Equipment	139,691	296,569	100,000	100,000
Equipment - Non-Inventory	0	75,000	25,000	25,000
IT Equipment	7,180	75,000	25,000	25,000
Balance Carry Forward (Funds)	306,224	0	0	0
Total Disposition of Resources	<u>\$ 556,644</u>	<u>\$ 608,569</u>	<u>\$ 302,345</u>	<u>\$ 302,345</u>

STATE OF IOWA
 Fiscal Year 2012 Annual Budget
 SPECIAL DEPARTMENT: (770) Public Safety, Department of
 Budget Unit: (59500000318) Nat Highway Safety Act Funds
 Schedule 6

	Fiscal Year 2010 Actual	Fiscal Year 2011 Estimated	Fiscal Year 2012 Department Request	Fiscal Year 2012 Governor's Recomm
Resources				
Receipts				
Federal Support	\$ 6,850,024	\$ 9,718,195	\$ 9,718,195	\$ 9,718,195
Disposition of Resources				
Outside Services	\$ 3,708,864	\$ 6,830,675	\$ 6,830,675	\$ 6,830,675
Intra-State Transfers	3,141,160	2,887,520	2,887,520	2,887,520
Total Disposition of Resources	\$ 6,850,024	\$ 9,718,195	\$ 9,718,195	\$ 9,718,195

STATE OF IOWA
 Fiscal Year 2012 Annual Budget
 SPECIAL DEPARTMENT: (770) Public Safety, Department of
 Budget Unit: (59500000461) Local Fire Revolving Loan Fund
 Schedule 6

	Fiscal Year 2010 Actual	Fiscal Year 2011 Estimated	Fiscal Year 2012 Department Request	Fiscal Year 2012 Governor's Recomm
Resources				
Other Resources				
Balance Brought Forward (Funds)	\$ 84,781	\$ 203,308	\$ 0	\$ 0
Receipts				
Bonds & Loans	268,527	275,000	275,000	275,000
Total Resources	<u>\$ 353,308</u>	<u>\$ 478,308</u>	<u>\$ 275,000</u>	<u>\$ 275,000</u>
Disposition of Resources				
Loans to Local Governments	\$ 150,000	\$ 478,308	\$ 275,000	\$ 275,000
Balance Carry Forward (Funds)	203,308	0	0	0
Total Disposition of Resources	<u>\$ 353,308</u>	<u>\$ 478,308</u>	<u>\$ 275,000</u>	<u>\$ 275,000</u>

STATE OF IOWA
Fiscal Year 2012 Annual Budget
SPECIAL DEPARTMENT: (770) Public Safety, Department of
Budget Unit: (59500000477) Sex Offender Registry Fund
Schedule 6

	Fiscal Year 2010 Actual	Fiscal Year 2011 Estimated	Fiscal Year 2012 Department Request	Fiscal Year 2012 Governor's Recomm
Resources				
Other Resources				
Balance Brought Forward (Funds)	\$ 4,213	\$ 7	\$ 130	\$ 130
Receipts				
Local Governments	39,468	37,000	37,000	37,000
Interest	145	275	275	275
	<u>39,613</u>	<u>37,275</u>	<u>37,275</u>	<u>37,275</u>
Total Resources	<u>\$ 43,826</u>	<u>\$ 37,282</u>	<u>\$ 37,405</u>	<u>\$ 37,405</u>
Disposition of Resources				
Intra-State Transfers	\$ 43,819	\$ 37,152	\$ 37,275	\$ 37,275
Balance Carry Forward (Funds)	7	130	130	130
Total Disposition of Resources	<u>\$ 43,826</u>	<u>\$ 37,282</u>	<u>\$ 37,405</u>	<u>\$ 37,405</u>

STATE OF IOWA
Fiscal Year 2012 Annual Budget
SPECIAL DEPARTMENT: (770) Public Safety, Department of
Budget Unit: (59500000792) Peace Officers Retirement Fund
Schedule 6

	Fiscal Year 2010 Actual	Fiscal Year 2011 Estimated	Fiscal Year 2012 Department Request	Fiscal Year 2012 Governor's Recomm
Resources				
Other Resources				
Balance Brought Forward (Funds)	\$ 246,103,376	\$ 241,244,999	\$ 240,000,000	\$ 235,174,974
Receipts				
Interest	5,518,986	5,000,000	5,000,000	5,000,000
Refunds & Reimbursements	12,219,563	12,000,000	12,000,000	12,000,000
	<u>17,738,549</u>	<u>17,000,000</u>	<u>17,000,000</u>	<u>17,000,000</u>
Total Resources	<u>\$ 263,841,925</u>	<u>\$ 258,244,999</u>	<u>\$ 257,000,000</u>	<u>\$ 252,174,974</u>
FTE	<u>0.98</u>	<u>1.00</u>	<u>1.00</u>	<u>1.00</u>
Disposition of Resources				
Personal Services-Salaries	\$ 96,517	\$ 102,475	\$ 102,475	\$ 102,475
Personal Travel In State	757	700	700	700
Office Supplies	253	1,500	1,500	1,500
Printing & Binding	174	200	200	200
Postage	1,094	1,000	1,000	1,000
Communications	0	600	600	600
Professional & Scientific Services	1,021,255	1,400,000	1,400,000	1,400,000
Outside Services	0	500	500	500
Intra-State Transfers	0	2,000	2,000	2,000
Attorney General Reimbursements	14,030	14,000	14,000	14,000
Reimbursement to Other Agencies	45,613	30,000	30,000	30,000
ITS Reimbursements	41	50	50	50
IT Outside Services	3,252	0	0	0
IT Equipment	0	2,000	2,000	2,000
Claims	8,665	0	0	0

STATE OF IOWA
 Fiscal Year 2012 Annual Budget
 SPECIAL DEPARTMENT: (770) Public Safety, Department of
 Budget Unit: (59500000792) Peace Officers Retirement Fund
 Schedule 6

	Fiscal Year 2010 Actual	Fiscal Year 2011 Estimated	Fiscal Year 2012 Department Request	Fiscal Year 2012 Governor's Recomm
Disposition of Resources (cont.)				
Other Expense & Obligations	2,418,150	2,500,000	2,500,000	2,500,000
Refunds-Other	455	15,000	15,000	15,000
Employment Benefits	18,986,670	19,000,000	19,000,000	19,000,000
Balance Carry Forward (Funds)	241,244,999	235,174,974	233,929,975	229,104,949
Total Disposition of Resources	<u>\$ 263,841,925</u>	<u>\$ 258,244,999</u>	<u>\$ 257,000,000</u>	<u>\$ 252,174,974</u>

STATE OF IOWA
 Fiscal Year 2012 Annual Budget
 SPECIAL DEPARTMENT: (770) Public Safety, Department of
 Budget Unit: (59500000813) Asset Forfeiture Clearing
 Schedule 6

	Fiscal Year 2010 Actual	Fiscal Year 2011 Estimated	Fiscal Year 2012 Department Request	Fiscal Year 2012 Governor's Recomm
Resources				
Other Resources				
Balance Brought Forward (Funds)	\$ 60,117	\$ 25,950	\$ 4,814	\$ 25,950
Receipts				
Refunds & Reimbursements	-34,167	10,000	10,000	10,000
Total Resources	<u>\$ 25,950</u>	<u>\$ 35,950</u>	<u>\$ 14,814</u>	<u>\$ 35,950</u>
Disposition of Resources				
Other Expense & Obligations	\$ 0	\$ 10,000	\$ 10,000	\$ 10,000
Balance Carry Forward (Funds)	25,950	25,950	4,814	25,950
Total Disposition of Resources	<u>\$ 25,950</u>	<u>\$ 35,950</u>	<u>\$ 14,814</u>	<u>\$ 35,950</u>

STATE OF IOWA
Fiscal Year 2012 Annual Budget
SPECIAL DEPARTMENT: (770) Public Safety, Department of
Budget Unit: (59500000853) Abandoned Vehicles
Schedule 6

	Fiscal Year 2010 Actual	Fiscal Year 2011 Estimated	Fiscal Year 2012 Department Request	Fiscal Year 2012 Governor's Recomm
Resources				
Other Resources				
Balance Brought Forward (Funds)	\$ 8,317	\$ 7,415	\$ 5,000	\$ 7,415
Receipts				
Refunds & Reimbursements	96,375	78,000	78,000	78,000
Sale Of Equipment & Salvage	933	1,000	1,000	1,000
	<u>97,308</u>	<u>79,000</u>	<u>79,000</u>	<u>79,000</u>
Total Resources	<u>\$ 105,625</u>	<u>\$ 86,415</u>	<u>\$ 84,000</u>	<u>\$ 86,415</u>
Disposition of Resources				
Outside Services	\$ 98,238	\$ 78,300	\$ 78,300	\$ 78,300
Advertising & Publicity	-29	100	100	100
Refunds-Other	1	600	600	600
Balance Carry Forward (Funds)	7,415	7,415	5,000	7,415
Total Disposition of Resources	<u>\$ 105,625</u>	<u>\$ 86,415</u>	<u>\$ 84,000</u>	<u>\$ 86,415</u>

STATE OF IOWA
Fiscal Year 2012 Annual Budget
SPECIAL DEPARTMENT: (770) Public Safety, Department of
Budget Unit: (59500000957) Electrician and Installers Licensing and Inspection Fund
Schedule 6

	Fiscal Year 2010 Actual	Fiscal Year 2011 Estimated	Fiscal Year 2012 Department Request	Fiscal Year 2012 Governor's Recomm
Resources				
Other Resources				
Balance Brought Forward (Funds)	\$ 1,263,515	\$ 850,643	\$ 1,000,000	\$ 201,141
Adjustment to Balance Forward	45	0	0	0
	<u>1,263,560</u>	<u>850,643</u>	<u>1,000,000</u>	<u>201,141</u>
Receipts				
Interest	10,852	12,500	12,500	12,500
Fees, Licenses & Permits	2,166,522	2,100,000	2,100,000	2,100,000
	<u>2,177,374</u>	<u>2,112,500</u>	<u>2,112,500</u>	<u>2,112,500</u>
Total Resources	<u>\$ 3,440,934</u>	<u>\$ 2,963,143</u>	<u>\$ 3,112,500</u>	<u>\$ 2,313,641</u>
FTE	<u>27.84</u>	<u>28.00</u>	<u>28.00</u>	<u>28.00</u>
Disposition of Resources				
Personal Services-Salaries	\$ 2,045,710	\$ 2,099,502	\$ 2,099,502	\$ 1,651,141
Personal Travel In State	15,212	15,000	15,000	15,000
State Vehicle Operation	121,681	120,000	120,000	120,000
Depreciation	0	96,000	96,000	96,000
Personal Travel Out of State	2,278	0	0	0
Office Supplies	8,209	12,000	12,000	12,000
Facility Maintenance Supplies	0	3,000	3,000	3,000
Other Supplies	4,822	8,000	8,000	8,000
Printing & Binding	162	0	0	0
Postage	6,572	7,500	7,500	7,500
Communications	30,103	31,000	31,000	31,000
Intra-State Transfers	114,338	120,000	120,000	120,000
Outside Repairs/Service	7,289	7,000	7,000	7,000

STATE OF IOWA
 Fiscal Year 2012 Annual Budget
 SPECIAL DEPARTMENT: (770) Public Safety, Department of
 Budget Unit: (59500000957) Electrician and Installers Licensing and Inspection Fund
 Schedule 6

	Fiscal Year 2010 Actual	Fiscal Year 2011 Estimated	Fiscal Year 2012 Department Request	Fiscal Year 2012 Governor's Recomm
Disposition of Resources (cont.)				
Attorney General Reimbursements	20,044	15,000	15,000	15,000
Reimbursement to Other Agencies	13,795	12,000	12,000	12,000
ITS Reimbursements	130,167	150,000	150,000	150,000
Equipment - Non-Inventory	7,395	5,000	5,000	5,000
IT Equipment	2,339	5,000	5,000	5,000
Other Expense & Obligations	38,937	36,000	36,000	36,000
Refunds-Other	21,239	20,000	20,000	20,000
Balance Carry Forward (Funds)	850,643	201,141	350,498	0
Total Disposition of Resources	<u>\$ 3,440,934</u>	<u>\$ 2,963,143</u>	<u>\$ 3,112,500</u>	<u>\$ 2,313,641</u>

STATE OF IOWA
Fiscal Year 2012 Annual Budget
SPECIAL DEPARTMENT: (770) Public Safety, Department of
Budget Unit: (59500000958) Cigarette Fire Safety Standard Fund
Schedule 6

	Fiscal Year 2010 Actual	Fiscal Year 2011 Estimated	Fiscal Year 2012 Department Request	Fiscal Year 2012 Governor's Recomm
Resources				
Other Resources				
Balance Brought Forward (Funds)	\$ 86,126	\$ 102,726	\$ 100,000	\$ 77,726
Receipts				
Fees, Licenses & Permits	16,600	85,500	85,500	85,500
Total Resources	<u>\$ 102,726</u>	<u>\$ 188,226</u>	<u>\$ 185,500</u>	<u>\$ 163,226</u>
Disposition of Resources				
Personal Services-Salaries	\$ 0	\$ 18,000	\$ 18,000	\$ 18,000
Office Supplies	0	200	200	200
Other Supplies	0	100	100	100
Postage	0	200	200	200
Communications	0	500	500	500
Intra-State Transfers	0	75,000	75,000	67,726
Reimbursement to Other Agencies	0	1,000	1,000	1,000
ITS Reimbursements	0	500	500	500
IT Equipment	0	15,000	15,000	0
Balance Carry Forward (Funds)	102,726	77,726	75,000	75,000
Total Disposition of Resources	<u>\$ 102,726</u>	<u>\$ 188,226</u>	<u>\$ 185,500</u>	<u>\$ 163,226</u>

STATE OF IOWA
 Fiscal Year 2012 Annual Budget
 SPECIAL DEPARTMENT: (770) Public Safety, Department of
 Budget Unit: (59508500001) DPS-POR Permissive Service Credit Purchase
 Schedule 6

	Fiscal Year 2010 Actual	Fiscal Year 2011 Estimated	Fiscal Year 2012 Department Request	Fiscal Year 2012 Governor's Recomm
Resources				
Appropriations				
Appropriation	\$ 0	\$ 135,000	\$ 0	\$ 0
Disposition of Resources				
Intra-State Transfers	\$ 0	\$ 135,000	\$ 0	\$ 0

STATE OF IOWA
 Fiscal Year 2012 Annual Budget
 SPECIAL DEPARTMENT: (770) Public Safety, Department of
 Budget Unit: (5950R620988) DPS Department Wide ARRA - federal (fund 0988)
 Schedule 6

	Fiscal Year 2010 Actual	Fiscal Year 2011 Estimated	Fiscal Year 2012 Department Request	Fiscal Year 2012 Governor's Recomm
Resources				
Appropriations				
Appropriation	\$ 750,000	\$ 0	\$ 0	\$ 0
Disposition of Resources				
Intra-State Transfers	\$ 718,671	\$ 0	\$ 0	\$ 0
Reversions	31,329	0	0	0
Total Disposition of Resources	\$ 750,000	\$ 0	\$ 0	\$ 0

STATE OF IOWA
 Fiscal Year 2012 Annual Budget
 SPECIAL DEPARTMENT: (770) Public Safety, Department of
 Budget Unit: (5950R630001) Public Safety - Department Wide Duties
 Schedule 6

	Fiscal Year 2010 Actual	Fiscal Year 2011 Estimated	Fiscal Year 2012 Department Request	Fiscal Year 2012 Governor's Recomm
Resources				
Appropriations				
Appropriation	\$ 1,576,987	\$ 0	\$ 0	\$ 0
Chapter 8.31 Reductions	<u>-157,699</u>	<u>0</u>	<u>0</u>	<u>0</u>
Total Resources	<u>\$ 1,419,288</u>	<u>\$ 0</u>	<u>\$ 0</u>	<u>\$ 0</u>
Disposition of Resources				
Intra-State Transfers	<u>\$ 1,419,288</u>	<u>\$ 0</u>	<u>\$ 0</u>	<u>\$ 0</u>

STATE OF IOWA
Fiscal Year 2012 Annual Budget
SPECIAL DEPARTMENT: (770) Public Safety, Department of
Budget Unit: (5950R640001) Public Safety Administration
Schedule 6

	Fiscal Year 2010 Actual	Fiscal Year 2011 Estimated	Fiscal Year 2012 Department Request	Fiscal Year 2012 Governor's Recomm
Resources				
Appropriations				
Appropriation	\$ 4,391,190	\$ 4,134,461	\$ 4,134,461	\$ 4,007,075
Chapter 8.31 Reductions	-439,119	0	0	0
FY11 \$83.7M Reductions	0	-402,386	0	0
	<u>3,952,071</u>	<u>3,732,075</u>	<u>4,134,461</u>	<u>4,007,075</u>
Receipts				
Local Governments	1,548,318	1,500,000	1,500,000	1,500,000
Intra State Receipts	379,525	330,147	195,187	195,187
Reimbursement from Other Agencies	3,216	8,000	8,000	8,000
Fees, Licenses & Permits	161,690	172,000	172,000	172,000
Refunds & Reimbursements	32,059	34,080	34,080	34,080
	<u>2,124,808</u>	<u>2,044,227</u>	<u>1,909,267</u>	<u>1,909,267</u>
Total Resources	<u>\$ 6,076,879</u>	<u>\$ 5,776,302</u>	<u>\$ 6,043,728</u>	<u>\$ 5,916,342</u>
FTE	<u>36.15</u>	<u>36.00</u>	<u>37.00</u>	<u>36.00</u>
Disposition of Resources				
Personal Services-Salaries	\$ 3,384,792	\$ 3,020,439	\$ 3,338,286	\$ 3,267,253
Personal Travel In State	1,685	7,250	7,250	7,250
State Vehicle Operation	5,659	4,000	4,000	4,000
Depreciation	-7,553	10,000	10,000	10,000
Personal Travel Out of State	612	6,500	6,500	6,500
Office Supplies	29,122	42,037	42,037	42,037
Other Supplies	4,009	3,300	3,300	3,300
Printing & Binding	3,932	6,700	6,700	6,700
Postage	19,685	33,520	33,520	33,520

STATE OF IOWA
Fiscal Year 2012 Annual Budget
SPECIAL DEPARTMENT: (770) Public Safety, Department of
Budget Unit: (5950R640001) Public Safety Administration
Schedule 6

	Fiscal Year 2010 Actual	Fiscal Year 2011 Estimated	Fiscal Year 2012 Department Request	Fiscal Year 2012 Governor's Recomm
Disposition of Resources (cont.)				
Communications	1,037,353	878,500	878,500	837,929
Rentals	850	2,200	2,200	2,200
Professional & Scientific Services	0	200	200	200
Outside Services	105,484	116,250	116,250	116,250
Intra-State Transfers	-125,743	0	0	0
Advertising & Publicity	0	250	250	250
Outside Repairs/Service	12,785	14,000	14,000	14,000
Attorney General Reimbursements	127,762	122,161	122,161	122,161
Auditor of State Reimbursements	832	0	0	0
Reimbursement to Other Agencies	923,546	896,661	949,458	949,458
ITS Reimbursements	157,722	152,597	107,597	107,597
IT Outside Services	21,468	15,057	15,057	15,057
Equipment	0	23,628	23,628	23,628
Office Equipment	0	663	663	663
Equipment - Non-Inventory	0	2,000	2,000	2,000
IT Equipment	326,816	411,369	266,336	337,369
Other Expense & Obligations	2,430	200	200	200
Refunds-Other	2,282	6,820	6,820	6,820
Legislative Reduction	0	0	86,815	0
Reversions	41,350	0	0	0
Total Disposition of Resources	<u>\$ 6,076,879</u>	<u>\$ 5,776,302</u>	<u>\$ 6,043,728</u>	<u>\$ 5,916,342</u>

STATE OF IOWA
Fiscal Year 2012 Annual Budget
SPECIAL DEPARTMENT: (770) Public Safety, Department of
Budget Unit: (5950R670001) Public Safety DCI
Schedule 6

	Fiscal Year 2010 Actual	Fiscal Year 2011 Estimated	Fiscal Year 2012 Department Request	Fiscal Year 2012 Governor's Recomm
Resources				
Appropriations				
Appropriation	\$ 21,125,270	\$ 12,861,710	\$ 12,861,710	\$ 12,533,931
Chapter 8.31 Reductions	-2,112,527	0	0	0
FY11 \$83.7M Reductions	0	-652,779	0	0
	<u>19,012,743</u>	<u>12,208,931</u>	<u>12,861,710</u>	<u>12,533,931</u>
Receipts				
Federal Support	1,121,133	2,222,230	1,253,719	1,147,880
Intra State Receipts	2,173,994	1,090,733	942,813	942,813
Reimbursement from Other Agencies	1,198,273	1,399,843	1,399,843	1,399,843
Fees, Licenses & Permits	2,120,891	2,492,613	2,686,821	2,602,160
Refunds & Reimbursements	732,870	500	500	500
	<u>7,347,161</u>	<u>7,205,919</u>	<u>6,283,696</u>	<u>6,093,196</u>
Total Resources	<u>\$ 26,359,904</u>	<u>\$ 19,414,850</u>	<u>\$ 19,145,406</u>	<u>\$ 18,627,127</u>
FTE	<u>258.53</u>	<u>160.10</u>	<u>162.10</u>	<u>159.10</u>
Disposition of Resources				
Personal Services-Salaries	\$ 22,244,588	\$ 14,385,566	\$ 14,651,743	\$ 14,461,243
Personal Travel In State	24,989	255,075	250,075	250,075
State Vehicle Operation	381,038	218,500	218,500	218,500
Depreciation	-163,506	235,760	235,760	235,760
Personal Travel Out of State	198,858	269,205	161,605	161,605
Office Supplies	117,661	134,270	128,270	128,270
Facility Maintenance Supplies	338	0	0	0
Equipment Maintenance Supplies	0	100	100	100
Professional & Scientific Supplies	539,204	538,764	534,017	416,286

STATE OF IOWA
Fiscal Year 2012 Annual Budget
SPECIAL DEPARTMENT: (770) Public Safety, Department of
Budget Unit: (5950R670001) Public Safety DCI
Schedule 6

	Fiscal Year 2010 Actual	Fiscal Year 2011 Estimated	Fiscal Year 2012 Department Request	Fiscal Year 2012 Governor's Recomm
Disposition of Resources (cont.)				
Other Supplies	40,974	64,780	58,780	58,780
Printing & Binding	19,542	0	0	0
Uniforms & Related Items	4,248	23,069	4,500	4,500
Postage	60,865	69,100	69,100	69,100
Communications	206,068	252,900	252,900	252,900
Rentals	60,908	22,675	22,675	22,675
Utilities	4,023	4,000	4,000	4,000
Professional & Scientific Services	29,473	15,500	15,500	15,500
Outside Services	495,804	811,998	818,383	818,383
Intra-State Transfers	202,349	109,547	0	0
Advertising & Publicity	1,206	800	800	800
Outside Repairs/Service	402,491	336,246	336,246	336,246
Attorney General Reimbursements	30,000	0	0	0
Auditor of State Reimbursements	2,971	0	0	0
Reimbursement to Other Agencies	166,856	164,094	164,094	164,094
ITS Reimbursements	272,544	325,250	325,250	325,250
IT Outside Services	142,715	35,000	35,000	35,000
Equipment	467,644	625,698	418,666	300,935
Office Equipment	0	11,700	11,700	11,700
Equipment - Non-Inventory	29,373	60,750	60,750	60,750
IT Equipment	263,005	431,053	261,225	261,225
Other Expense & Obligations	35,894	12,750	12,750	12,750
Refunds-Other	30	700	700	700
Legislative Reduction	0	0	92,317	0
Reversions	77,751	0	0	0
Total Disposition of Resources	<u>\$ 26,359,903</u>	<u>\$ 19,414,850</u>	<u>\$ 19,145,406</u>	<u>\$ 18,627,127</u>

STATE OF IOWA
 Fiscal Year 2012 Annual Budget
 SPECIAL DEPARTMENT: (770) Public Safety, Department of
 Budget Unit: (5950R680001) DCI - Crime Lab Equipment/Training
 Schedule 6

	Fiscal Year 2010 Actual	Fiscal Year 2011 Estimated	Fiscal Year 2012 Department Request	Fiscal Year 2012 Governor's Recomm
Resources				
Appropriations				
Appropriation	\$ 335,939	\$ 302,345	\$ 302,345	\$ 302,345
Chapter 8.31 Reductions	<u>-33,594</u>	<u>0</u>	<u>0</u>	<u>0</u>
Total Resources	<u>\$ 302,345</u>	<u>\$ 302,345</u>	<u>\$ 302,345</u>	<u>\$ 302,345</u>
Disposition of Resources				
Intra-State Transfers	<u>\$ 302,345</u>	<u>\$ 302,345</u>	<u>\$ 302,345</u>	<u>\$ 302,345</u>

STATE OF IOWA
 Fiscal Year 2012 Annual Budget
 SPECIAL DEPARTMENT: (770) Public Safety, Department of
 Budget Unit: (5950R690001) Public Safety Undercover Funds
 Schedule 6

	Fiscal Year 2010 Actual	Fiscal Year 2011 Estimated	Fiscal Year 2012 Department Request	Fiscal Year 2012 Governor's Recomm
Resources				
Appropriations				
Appropriation	\$ 121,158	\$ 109,042	\$ 109,042	\$ 109,042
Chapter 8.31 Reductions	<u>-12,116</u>	<u>0</u>	<u>0</u>	<u>0</u>
Total Resources	<u>\$ 109,042</u>	<u>\$ 109,042</u>	<u>\$ 109,042</u>	<u>\$ 109,042</u>
Disposition of Resources				
Other Expense & Obligations	<u>\$ 109,042</u>	<u>\$ 109,042</u>	<u>\$ 109,042</u>	<u>\$ 109,042</u>

STATE OF IOWA
Fiscal Year 2012 Annual Budget
SPECIAL DEPARTMENT: (770) Public Safety, Department of
Budget Unit: (5950R700001) Narcotics Enforcement
Schedule 6

	Fiscal Year 2010 Actual	Fiscal Year 2011 Estimated	Fiscal Year 2012 Department Request	Fiscal Year 2012 Governor's Recomm
Resources				
Appropriations				
Appropriation	\$ 6,386,274	\$ 6,507,048	\$ 6,507,048	\$ 6,429,884
Chapter 8.31 Reductions	-638,627	0	0	0
FY11 \$83.7M Reductions	0	-302,164	0	0
	<u>5,747,647</u>	<u>6,204,884</u>	<u>6,507,048</u>	<u>6,429,884</u>
Receipts				
Federal Support	28,260	162,281	0	0
Intra State Receipts	2,234,115	2,867,386	2,476,942	2,476,942
Interest	100	0	0	0
	<u>2,262,475</u>	<u>3,029,667</u>	<u>2,476,942</u>	<u>2,476,942</u>
Total Resources	<u>\$ 8,010,122</u>	<u>\$ 9,234,551</u>	<u>\$ 8,983,990</u>	<u>\$ 8,906,826</u>
FTE	<u>72.90</u>	<u>74.00</u>	<u>74.00</u>	<u>74.00</u>
Disposition of Resources				
Personal Services-Salaries	\$ 6,715,099	\$ 7,451,909	\$ 7,236,980	\$ 7,236,980
Personal Travel In State	55,778	71,370	57,500	57,500
State Vehicle Operation	212,455	165,500	161,300	161,300
Depreciation	-284,482	166,600	166,600	166,600
Personal Travel Out of State	28,808	72,843	32,843	32,843
Office Supplies	19,291	34,700	34,700	34,700
Facility Maintenance Supplies	1,670	250	250	250
Equipment Maintenance Supplies	0	1,500	1,500	1,500
Other Supplies	37,992	59,000	59,000	59,000
Printing & Binding	1,445	3,200	3,200	3,200
Uniforms & Related Items	901	33,130	1,000	1,000

STATE OF IOWA
 Fiscal Year 2012 Annual Budget
 SPECIAL DEPARTMENT: (770) Public Safety, Department of
 Budget Unit: (5950R700001) Narcotics Enforcement
 Schedule 6

	Fiscal Year 2010 Actual	Fiscal Year 2011 Estimated	Fiscal Year 2012 Department Request	Fiscal Year 2012 Governor's Recomm
Disposition of Resources (cont.)				
Postage	2,773	5,950	5,950	5,950
Communications	83,676	104,884	104,584	104,584
Rentals	25,356	31,400	31,400	31,400
Utilities	3,546	4,000	4,000	4,000
Professional & Scientific Services	12,844	5,600	5,600	5,600
Outside Services	122,378	122,290	97,290	97,290
Intra-State Transfers	127,014	100	100	100
Advertising & Publicity	0	100	100	100
Outside Repairs/Service	8,861	6,500	6,500	6,500
Attorney General Reimbursements	478,785	458,530	458,530	458,530
Auditor of State Reimbursements	1,307	0	0	0
Reimbursement to Other Agencies	42,044	36,283	36,269	36,269
ITS Reimbursements	201	0	0	0
IT Outside Services	702	24,044	24,044	24,044
Equipment	13,429	68,201	68,201	70,919
Equipment - Non-Inventory	59,416	10,750	10,750	10,750
IT Equipment	33,105	99,500	99,500	99,500
Other Expense & Obligations	200,057	196,417	196,417	196,417
Legislative Reduction	0	0	79,882	0
Reversions	5,671	0	0	0
Total Disposition of Resources	<u>\$ 8,010,122</u>	<u>\$ 9,234,551</u>	<u>\$ 8,983,990</u>	<u>\$ 8,906,826</u>

STATE OF IOWA
 Fiscal Year 2012 Annual Budget
 SPECIAL DEPARTMENT: (770) Public Safety, Department of
 Budget Unit: (5950R720001) DPS Fire Marshal
 Schedule 6

	Fiscal Year 2010 Actual	Fiscal Year 2011 Estimated	Fiscal Year 2012 Department Request	Fiscal Year 2012 Governor's Recomm
Resources				
Appropriations				
Appropriation	\$ 3,988,892	\$ 4,343,896	\$ 4,343,896	\$ 4,298,707
Chapter 8.31 Reductions	-398,889	0	0	0
FY11 \$83.7M Reductions	0	-175,189	0	0
	<u>3,590,003</u>	<u>4,168,707</u>	<u>4,343,896</u>	<u>4,298,707</u>
Receipts				
Federal Support	26,924	7,500	7,500	7,500
Intra State Receipts	1,308,860	1,460,167	1,401,709	1,401,709
Fees, Licenses & Permits	250,032	300,877	369,574	194,574
Refunds & Reimbursements	5,417	0	0	0
	<u>1,591,232</u>	<u>1,768,544</u>	<u>1,778,783</u>	<u>1,603,783</u>
Total Resources	<u>\$ 5,181,235</u>	<u>\$ 5,937,251</u>	<u>\$ 6,122,679</u>	<u>\$ 5,902,490</u>
FTE	<u>55.05</u>	<u>55.00</u>	<u>57.00</u>	<u>55.00</u>
Disposition of Resources				
Personal Services-Salaries	\$ 4,883,243	\$ 5,120,216	\$ 5,346,186	\$ 5,236,445
Personal Travel In State	15,502	64,022	42,070	37,070
State Vehicle Operation	139,137	109,969	119,969	109,969
Depreciation	-140,412	136,301	145,301	136,301
Personal Travel Out of State	2,144	12,058	10,108	10,108
Office Supplies	7,153	24,010	27,460	22,460
Other Supplies	16,426	11,400	12,000	9,500
Printing & Binding	283	100	100	100
Uniforms & Related Items	331	5,443	1,250	1,250
Postage	5,435	11,580	11,580	10,080

STATE OF IOWA
 Fiscal Year 2012 Annual Budget
 SPECIAL DEPARTMENT: (770) Public Safety, Department of
 Budget Unit: (5950R720001) DPS Fire Marshal
 Schedule 6

	Fiscal Year 2010 Actual	Fiscal Year 2011 Estimated	Fiscal Year 2012 Department Request	Fiscal Year 2012 Governor's Recomm
Disposition of Resources (cont.)				
Communications	45,784	62,070	61,778	58,950
Rentals	1,044	0	0	0
Professional & Scientific Services	12,013	12,654	12,654	12,654
Outside Services	7,463	200,888	168,888	168,888
Intra-State Transfers	112,658	51,501	0	0
Advertising & Publicity	0	2,875	2,875	2,875
Outside Repairs/Service	2,665	2,950	2,950	2,950
Auditor of State Reimbursements	475	745	745	745
Reimbursement to Other Agencies	29,517	31,476	31,976	31,476
ITS Reimbursements	85	1,850	1,850	1,850
IT Outside Services	819	9,750	9,750	9,750
Equipment	0	10,805	30,805	10,805
Office Equipment	0	7,500	7,500	7,500
Equipment - Non-Inventory	2,991	5,560	5,000	5,000
IT Equipment	18,340	18,576	18,114	15,614
Other Expense & Obligations	23	0	0	0
Refunds-Other	400	22,952	150	150
Legislative Reduction	0	0	51,620	0
Reversions	17,716	0	0	0
Total Disposition of Resources	<u>\$ 5,181,235</u>	<u>\$ 5,937,251</u>	<u>\$ 6,122,679</u>	<u>\$ 5,902,490</u>

STATE OF IOWA
 Fiscal Year 2012 Annual Budget
 SPECIAL DEPARTMENT: (770) Public Safety, Department of
 Budget Unit: (5950R750001) Iowa State Patrol
 Schedule 6

	Fiscal Year 2010 Actual	Fiscal Year 2011 Estimated	Fiscal Year 2012 Department Request	Fiscal Year 2012 Governor's Recomm
Resources				
Appropriations				
Appropriation	\$ 50,068,094	\$ 48,984,146	\$ 48,984,147	\$ 51,903,233
Chapter 8.31 Reductions	-5,006,809	0	0	0
FY11 \$83.7M Reductions	0	-2,478,382	0	0
	<u>45,061,285</u>	<u>46,505,764</u>	<u>48,984,147</u>	<u>51,903,233</u>
Receipts				
Federal Support	1,204,360	1,229,336	1,229,336	1,229,336
Local Governments	300	100	100	100
Intra State Receipts	3,142,045	4,324,749	3,859,968	462,500
Reimbursement from Other Agencies	1,132,243	1,607,504	1,155,355	1,155,355
Fees, Licenses & Permits	9,647	12,500	12,500	12,500
Refunds & Reimbursements	198,229	68,100	168,100	168,100
	<u>5,686,824</u>	<u>7,242,289</u>	<u>6,425,359</u>	<u>3,027,891</u>
Total Resources	<u>\$ 50,748,109</u>	<u>\$ 53,748,053</u>	<u>\$ 55,409,506</u>	<u>\$ 54,931,124</u>
FTE	<u>508.08</u>	<u>515.00</u>	<u>514.00</u>	<u>513.00</u>
Disposition of Resources				
Personal Services-Salaries	\$ 43,084,557	\$ 43,132,310	\$ 44,619,876	\$ 44,568,594
Personal Travel In State	262,577	255,500	255,500	255,500
State Vehicle Operation	2,630,268	2,879,916	2,879,916	2,879,916
Depreciation	185,714	2,172,512	2,172,512	2,172,512
Personal Travel Out of State	124,154	181,560	175,560	175,560
Office Supplies	148,855	186,028	186,028	186,028
Facility Maintenance Supplies	13,357	17,750	17,750	17,750
Equipment Maintenance Supplies	3,059	3,500	3,500	3,500

STATE OF IOWA
Fiscal Year 2012 Annual Budget
SPECIAL DEPARTMENT: (770) Public Safety, Department of
Budget Unit: (5950R750001) Iowa State Patrol
Schedule 6

	Fiscal Year 2010 Actual	Fiscal Year 2011 Estimated	Fiscal Year 2012 Department Request	Fiscal Year 2012 Governor's Recomm
Disposition of Resources (cont.)				
Professional & Scientific Supplies	48,739	13,900	13,900	13,900
Other Supplies	173,562	74,450	74,450	74,450
Printing & Binding	10,130	21,200	21,200	21,200
Uniforms & Related Items	272,318	439,964	314,773	314,773
Postage	36,217	26,700	26,700	26,700
Communications	601,263	865,738	865,737	865,737
Rentals	62,817	50,950	50,950	50,950
Utilities	233,732	200,000	200,000	200,000
Professional & Scientific Services	334,768	296,840	296,840	296,840
Outside Services	122,835	92,375	92,375	92,375
Intra-State Transfers	1,139,148	158,185	158,185	158,185
Advertising & Publicity	3,014	3,500	3,500	3,500
Outside Repairs/Service	104,576	115,718	108,000	108,000
Auditor of State Reimbursements	6,299	4,000	4,000	4,000
Reimbursement to Other Agencies	694,839	719,250	719,250	719,250
ITS Reimbursements	3,704	250	250	250
IT Outside Services	4,581	1,000	1,000	1,000
Equipment	25,155	1,220,390	1,220,390	1,222,736
Office Equipment	601	18,000	18,000	18,000
Equipment - Non-Inventory	117,005	133,868	133,868	133,868
IT Equipment	179,415	341,199	231,050	231,050
Other Expense & Obligations	3,833	71,500	65,000	65,000
Refunds-Other	52	0	0	0
Capitals	50,000	50,000	50,000	50,000
Legislative Reduction	0	0	429,446	0
Reversions	66,967	0	0	0

STATE OF IOWA

Fiscal Year 2012 Annual Budget

SPECIAL DEPARTMENT: (770) Public Safety, Department of

Budget Unit: (5950R750001) Iowa State Patrol

Schedule 6

	Fiscal Year 2010 Actual	Fiscal Year 2011 Estimated	Fiscal Year 2012 Department Request	Fiscal Year 2012 Governor's Recomm
Disposition of Resources (cont.)				
Total Disposition of Resources	<u>\$ 50,748,109</u>	<u>\$ 53,748,053</u>	<u>\$ 55,409,506</u>	<u>\$ 54,931,124</u>

STATE OF IOWA
 Fiscal Year 2012 Annual Budget
 SPECIAL DEPARTMENT: (770) Public Safety, Department of
 Budget Unit: (5950R760001) DPS/SPOC Sick Leave Payout
 Schedule 6

	Fiscal Year 2010 Actual	Fiscal Year 2011 Estimated	Fiscal Year 2012 Department Request	Fiscal Year 2012 Governor's Recomm
Resources				
Appropriations				
Appropriation	\$ 310,575	\$ 279,517	\$ 279,517	\$ 279,517
Chapter 8.31 Reductions	<u>-31,058</u>	<u>0</u>	<u>0</u>	<u>0</u>
Total Resources	<u>\$ 279,517</u>	<u>\$ 279,517</u>	<u>\$ 279,517</u>	<u>\$ 279,517</u>
Disposition of Resources				
Intra-State Transfers	<u>\$ 279,517</u>	<u>\$ 279,517</u>	<u>\$ 279,517</u>	<u>\$ 279,517</u>

STATE OF IOWA
 Fiscal Year 2012 Annual Budget
 SPECIAL DEPARTMENT: (770) Public Safety, Department of
 Budget Unit: (5950R820001) Fire Fighter Training
 Schedule 6

	Fiscal Year 2010 Actual	Fiscal Year 2011 Estimated	Fiscal Year 2012 Department Request	Fiscal Year 2012 Governor's Recomm
Resources				
Appropriations				
Appropriation	\$ 680,421	\$ 612,255	\$ 612,255	\$ 575,520
Chapter 8.31 Reductions	<u>-68,166</u>	<u>0</u>	<u>0</u>	<u>0</u>
	612,255	612,255	612,255	575,520
Other Resources				
Balance Brought Forward (Approps)	1,234	661	0	0
Receipts				
Intra State Receipts	<u>0</u>	<u>150,000</u>	<u>150,000</u>	<u>0</u>
Total Resources	<u>\$ 613,489</u>	<u>\$ 762,916</u>	<u>\$ 762,255</u>	<u>\$ 575,520</u>
Disposition of Resources				
State Aid	\$ 612,828	\$ 762,916	\$ 762,255	\$ 575,520
Balance Carry Forward (Approps)	<u>661</u>	<u>0</u>	<u>0</u>	<u>0</u>
Total Disposition of Resources	<u>\$ 613,489</u>	<u>\$ 762,916</u>	<u>\$ 762,255</u>	<u>\$ 575,520</u>

STATE OF IOWA
Fiscal Year 2012 Annual Budget
SPECIAL DEPARTMENT: (770) Public Safety, Department of
Budget Unit: (5950R960030) DPS Gaming Enforcement - 0030
Schedule 6

	Fiscal Year 2010 Actual	Fiscal Year 2011 Estimated	Fiscal Year 2012 Department Request	Fiscal Year 2012 Governor's Recomm
Resources				
Appropriations				
Appropriation	\$ 0	\$ 9,315,306	\$ 11,296,131	\$ 9,836,306
Supplementals	0	521,000	0	0
	<u>0</u>	<u>9,836,306</u>	<u>11,296,131</u>	<u>9,836,306</u>
Receipts				
Intra State Receipts	0	28,153	0	0
Refunds & Reimbursements	0	552,545	552,545	552,545
	<u>0</u>	<u>580,698</u>	<u>552,545</u>	<u>552,545</u>
Total Resources	<u>\$ 0</u>	<u>\$ 10,417,004</u>	<u>\$ 11,848,676</u>	<u>\$ 10,388,851</u>
FTE	<u>1.63</u>	<u>120.00</u>	<u>127.00</u>	<u>120.00</u>
Disposition of Resources				
Personal Services-Salaries	\$ 0	\$ 9,696,492	\$ 10,642,050	\$ 9,696,492
Personal Travel In State	0	102,286	105,866	102,286
State Vehicle Operation	0	64,300	170,087	64,300
Depreciation	0	61,820	162,020	61,820
Personal Travel Out of State	0	65,150	66,600	65,150
Office Supplies	0	27,250	29,040	27,250
Other Supplies	0	14,300	16,090	14,300
Uniforms & Related Items	0	50,653	22,500	22,500
Postage	0	1,000	1,000	1,000
Communications	0	23,223	75,793	23,223
Rentals	0	1,500	1,500	1,500
Professional & Scientific Services	0	16,500	16,500	16,500
Outside Services	0	13,000	13,000	13,000

STATE OF IOWA
 Fiscal Year 2012 Annual Budget
 SPECIAL DEPARTMENT: (770) Public Safety, Department of
 Budget Unit: (5950R960030) DPS Gaming Enforcement - 0030
 Schedule 6

	Fiscal Year 2010 Actual	Fiscal Year 2011 Estimated	Fiscal Year 2012 Department Request	Fiscal Year 2012 Governor's Recomm
Disposition of Resources (cont.)				
Intra-State Transfers	0	100,000	100,000	100,000
Advertising & Publicity	0	395	395	395
Outside Repairs/Service	0	250	250	250
Reimbursement to Other Agencies	0	7,750	7,750	7,750
IT Outside Services	0	0	7,000	0
Equipment	0	75,505	252,505	75,505
Office Equipment	0	0	5,000	0
Equipment - Non-Inventory	0	6,000	62,600	6,000
IT Equipment	0	89,130	89,130	89,130
Other Expense & Obligations	0	500	2,000	500
Total Disposition of Resources	<u>\$ 0</u>	<u>\$ 10,417,004</u>	<u>\$ 11,848,676</u>	<u>\$ 10,388,851</u>

Appendix E

Spreadsheets

This page intentionally left blank.

Justice System

Governor's Recommendations - All State Funds

	General Fund Estimated FY 2011	Mid-Year Reduction FY 2011	General Fund Estimated Net FY 2011	Gov Rec GF Supp Approp	Gov Rec GF Revised Est. FY 2011	One-Time Funds PSEF/CMRF	One-Time Funds CRF	GERF	Total Estimated FY 2011 All State Funds	Gov Rec FY 2012 All State Funds	Gov Rec vs FY 2011 All State Funds
	(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)	(10)	(11)
Justice, Department of											
Justice, Dept. of											
General Office A.G.	\$ 7,792,930	\$ 0	\$ 7,792,930	\$ 0	\$ 7,792,930	\$ 150,000	\$ 0	\$ 0	\$ 7,942,930	\$ 7,792,930	\$ -150,000
Victim Assistance Grants	3,060,000	0	3,060,000	0	3,060,000	0	0	0	3,060,000	2,876,400	-183,600
Legal Services Poverty Grants	1,930,671	0	1,930,671	0	1,930,671	0	0	0	1,930,671	1,814,831	-115,840
Office of the Consumer Advocate	0	0	0	0	0	3,336,344			3,336,344	3,136,163	-200,181
Total Justice, Department of	\$ 12,783,601	\$ 0	\$ 12,783,601	\$ 0	\$ 12,783,601	\$ 3,486,344	\$ 0	\$ 0	\$ 16,269,945	\$ 15,620,324	\$ -649,621
Civil Rights Commission											
Civil Rights Commission											
Civil Rights Commission	\$ 1,379,861	\$ -44,579	\$ 1,335,282	\$ 0	\$ 1,335,282	\$ 100,000	\$ 44,579	\$ 0	\$ 1,479,861	\$ 1,297,069	\$ -182,792
Total Civil Rights Commission	\$ 1,379,861	\$ -44,579	\$ 1,335,282	\$ 0	\$ 1,335,282	\$ 100,000	\$ 44,579	\$ 0	\$ 1,479,861	\$ 1,297,069	\$ -182,792
Corrections, Dept. of											
CBC District I	\$ 12,453,082	\$ -926,337	\$ 11,526,745	\$ 393,353	\$ 11,920,098	\$ 100,000	\$ 29,690	\$ 0	\$ 12,049,788	\$ 12,020,098	\$ -29,690
CBC District II	10,770,616	-794,580	9,976,036	360,912	10,336,948	0	26,944	0	10,363,892	10,336,948	-26,944
CBC District III	5,715,578	-435,492	5,280,086	221,793	5,501,879	0	16,136	0	5,518,015	5,599,765	81,750
CBC District IV	5,522,416	-300,128	5,222,288	169,067	5,391,355	0	12,894	0	5,404,249	5,391,355	-12,894
CBC District V	18,938,081	-1,254,589	17,683,492	723,637	18,407,129	335,000	52,872	0	18,795,001	18,742,129	-52,872
CBC District VI	13,030,356	-780,932	12,249,424	460,329	12,709,753	402,810	34,130	0	13,146,693	13,112,563	-34,130
CBC District VII	6,846,560	-619,177	6,227,383	265,431	6,492,814	0	19,265	0	6,512,079	6,492,814	-19,265
CBC District VIII	6,935,622	-382,558	6,553,064	177,991	6,731,055	0	14,098	0	6,745,153	6,731,055	-14,098
Total CBC District Departments	\$ 80,212,311	\$ -5,493,793	\$ 74,718,518	\$ 2,772,513	\$ 77,491,031	\$ 837,810	\$ 206,029	\$ 0	\$ 78,534,870	\$ 78,426,727	\$ -108,143
Central Office											
Corrections Administration	\$ 4,254,068	\$ -127,216	\$ 4,126,852	\$ 110,202	\$ 4,237,054	\$ 0	\$ 8,763	\$ 0	\$ 4,245,817	\$ 4,835,542	\$ 589,725
Iowa Corrections Offender Network	424,364	0	424,364	0	424,364	0	0	0	424,364	424,364	0
County Confinement	775,092	0	775,092	0	775,092	0	0	0	775,092	775,092	0
Federal Prisoners/Contractual	239,411	0	239,411	0	239,411	0	0	0	239,411	239,411	0
Corrections Education	1,558,109	0	1,558,109	0	1,558,109	0	0	0	1,558,109	2,308,109	750,000
Hepatitis Treatment And Education	167,881	0	167,881	0	167,881	0	0	0	167,881	167,881	0
Mental Health/Substance Abuse	22,319	0	22,319	0	22,319	0	0	0	22,319	22,319	0
Total Central Office	\$ 7,441,244	\$ -127,216	\$ 7,314,028	\$ 110,202	\$ 7,424,230	\$ 0	\$ 8,763	\$ 0	\$ 7,432,993	\$ 8,772,718	\$ 1,339,725
Ft. Madison Institution	\$ 39,991,374	\$ -3,457,856	\$ 36,533,518	\$ 1,920,083	\$ 38,453,601	\$ 1,451,000	\$ 135,134	\$ 0	\$ 40,039,735	\$ 41,031,283	\$ 991,548
Anamosa Institution	30,416,461	-2,145,667	28,270,794	1,293,060	29,563,854	1,046,190	92,825	0	30,702,869	31,985,974	1,283,105
Oakdale Institution	55,755,246	-3,140,347	52,614,899	2,385,141	55,000,040	0	171,454	0	55,171,494	55,600,610	429,116
Newton Institution	26,452,257	-1,852,964	24,599,293	1,101,460	25,700,753	0	79,327	0	25,780,080	25,958,757	178,677
Mt. Pleasant Inst.	26,265,257	-2,073,612	24,191,645	1,359,865	25,551,510	0	94,871	0	25,646,381	25,917,815	271,434
Rockwell City Institution	9,324,565	-657,907	8,666,658	412,008	9,078,666	0	29,407	0	9,108,073	9,316,466	208,393
Clarinda Institution	23,645,033	-1,809,356	21,835,677	1,180,617	23,016,294	0	82,779	0	23,099,073	24,639,518	1,540,445
Mitchellville Institution	15,486,586	-707,412	14,779,174	504,674	15,283,848	0	38,088	0	15,321,936	15,615,374	293,438

Justice System

Governor's Recommendations - All State Funds

	Estimated FY 2011 (1)	Reduction FY 2011 (2)	Estimated Net FY 2011 (3)	GF Supp Approp (4)	GF Revised Est. FY 2011 (5)	Funds PSEF/CMRF (6)	Funds CRF (7)	GERF (8)	FY 2011 All State Funds (9)	FY 2012 All State Funds (10)	FY 2011 All State Funds (11)
Ft. Dodge Institution	29,020,235	-1,872,110	27,148,125	1,162,060	28,310,185	0	84,285	0	28,394,470	29,062,235	667,765
Total DOC Institutions	256,357,014	-17,717,231	238,639,783	11,318,968	249,958,751	2,497,190	808,170	0	253,264,111	259,128,032	5,863,921
Total Corrections, Dept. of	\$ 344,010,569	\$ -23,338,240	\$ 320,672,329	\$ 14,201,683	\$ 334,874,012	\$ 3,335,000	\$ 1,022,962	\$ 0	\$ 339,231,974	\$ 346,327,477	\$ 7,095,503
<u>Inspections & Appeals, Dept. of</u>											
Public Defender											
Indigent Defense Appropriation	\$ 15,680,929	\$ 0	\$ 15,680,929	\$ 16,000,000	\$ 31,680,929	\$ 0	\$ 0	\$ 0	\$ 31,680,929	\$ 31,680,929	\$ 0
Public Defender	22,883,182	-1,351,500	21,531,682	2,551,500	24,083,182				24,083,182	24,083,182	0
Total Inspections & Appeals, Dept. of	\$ 38,564,111	\$ -1,351,500	\$ 37,212,611	\$ 18,551,500	\$ 55,764,111	\$ 0	\$ 0	\$ 0	\$ 55,764,111	\$ 55,764,111	\$ 0
<u>Judicial Branch</u>											
Judicial Branch											
Judicial Branch	\$ 148,811,822	\$ 0	\$ 148,811,822	\$ 0	\$ 148,811,822	\$ 5,300,000	\$ 0	\$ 0	\$ 154,111,822	\$ 157,700,609	\$ 3,588,787
Jury & Witness (GF) to Revolving Fd.	1,500,000	0	1,500,000	0	1,500,000				1,500,000	3,700,000	2,200,000
Total Judicial Branch	\$ 150,311,822	\$ 0	\$ 150,311,822	\$ 0	\$ 150,311,822	\$ 5,300,000	\$ 0	\$ 0	\$ 155,611,822	\$ 161,400,609	\$ 5,788,787
<u>Law Enforcement Academy</u>											
Law Enforcement Academy											
Law Enforcement Academy	\$ 1,049,430	\$ -200,283	\$ 849,147	\$ 0	\$ 849,147	\$ 0	\$ 0	\$ 0	\$ 849,147	\$ 868,698	\$ 19,551
Total Law Enforcement Academy	\$ 1,049,430	\$ -200,283	\$ 849,147	\$ 0	\$ 849,147	\$ 0	\$ 0	\$ 0	\$ 849,147	\$ 868,698	\$ 19,551
<u>Parole, Board of</u>											
Parole Board											
Parole Board	\$ 1,045,259	\$ -76,216	\$ 969,043	\$ 0	\$ 969,043	\$ 0	\$ 50,000	\$ 0	\$ 1,019,043	\$ 1,053,835	\$ 34,792
Total Parole, Board of	\$ 1,045,259	\$ -76,216	\$ 969,043	\$ 0	\$ 969,043	\$ 0	\$ 50,000	\$ 0	\$ 1,019,043	\$ 1,053,835	\$ 34,792
<u>Public Defense, Dept. of</u>											
Public Defense, Dept. of											
Public Defense, Department of	\$ 6,249,201	\$ -369,369	\$ 5,879,832	\$ 0	\$ 5,879,832	\$ 0	\$ 0	\$ 0	\$ 5,879,832	\$ 5,527,042	\$ -352,790
Emergency Management Division											
Homeland Security & Emer. Mgmt.	\$ 2,038,119	\$ -83,994	\$ 1,954,125	\$ 0	\$ 1,954,125	\$ 0	\$ 0	\$ 0	\$ 1,954,125	\$ 1,836,877	\$ -117,248
Total Public Defense, Dept. of	\$ 8,287,320	\$ -453,363	\$ 7,833,957	\$ 0	\$ 7,833,957	\$ 0	\$ 0	\$ 0	\$ 7,833,957	\$ 7,363,919	\$ -470,038

Justice System

Governor's Recommendations - All State Funds

	Estimated FY 2011 (1)	Reduction FY 2011 (2)	Estimated Net FY 2011 (3)	GF Supp Approp (4)	GF Revised Est. FY 2011 (5)	Funds PSEF/CMRF (6)	Funds CRF (7)	GERF (8)	FY 2011 All State Funds (9)	FY 2012 All State Funds (10)	FY 2011 All State Funds (11)
Public Safety, Department of											
Public Safety, Dept. of											
POR Permissive Service Credit	\$ 135,000	\$ 0	\$ 135,000	\$ 0	\$ 135,000	\$ 0	\$ 0	\$ 0	\$ 135,000	\$ 0	\$ -135,000
Public Safety Administration	4,134,461	-402,386	3,732,075	275,000	4,007,075	0	16,757	0	4,023,832	4,007,075	-16,757
Public Safety DCI	12,861,710	-652,779	12,208,931	325,000	12,533,931	0	19,804	0	12,553,735	12,533,931	-19,804
DCI - Crime Lab Equipment/Training	302,345	0	302,345	0	302,345	0	0	0	302,345	302,345	0
DPS Gaming Enforcement								9,836,306	9,836,306	9,836,306	0
Public Safety Undercover Funds	109,042	0	109,042	0	109,042	0	0	0	109,042	109,042	0
Narcotics Enforcement	6,507,048	-302,164	6,204,884	225,000	6,429,884	0	13,711	0	6,443,595	6,429,884	-13,711
DPS Fire Marshal	4,343,896	-175,189	4,168,707	130,000	4,298,707	0	7,922	0	4,306,629	4,298,707	-7,922
Iowa State Patrol	48,984,146	-2,478,382	46,505,764	2,000,000	48,505,764	300,000	121,871	0	48,927,635	51,903,233	2,975,598
DPS/SPOC Sick Leave Payout	279,517	0	279,517	0	279,517	0	0	0	279,517	279,517	0
Fire Fighter Training	612,255	0	612,255	0	612,255	150,000	0	0	762,255	575,520	-186,735
Total Public Safety, Department of	\$ 78,269,420	\$ -4,010,900	\$ 74,258,520	\$ 2,955,000	\$ 77,213,520	\$ 450,000	\$ 180,065	\$ 9,836,306	\$ 87,679,891	\$ 90,275,560	\$ 2,595,669
Total Justice System	\$ 635,701,393	\$ -29,475,081	\$ 606,226,312	\$ 35,708,183	\$ 641,934,495	\$ 12,671,344	\$ 1,297,606	\$ 9,836,306	\$ 665,739,751	\$ 679,971,602	\$ 14,231,851

Notes:

- 1). GF is the General Fund.
- 2). PSEF is the Public Safety Enforcement Fund.
- 3). CMRF is the Commerce Revolving Fund.
- 4). CRF is the Cash Reserve Fund.
- 5). GERF is the Gaming Enforcement Revolving Fund.

Justice System

Governor's Recommendations General Fund

	Estimated FY 2011 (1)	Gov Rec FY 2012 (2)	Gov Rec vs Est FY 2011 (3)	Percent Change (4)	Explanation (5)
<u>Justice, Department of</u>					
Justice, Dept. of					
General Office A.G.	\$ 7,792,930	\$ 7,792,930	\$ 0	0.0%	No increase in FY 2012 to replace \$150,000 allocation from PSEF in FY 2011.
Victim Assistance Grants	3,060,000	2,876,400	-183,600	-6.0%	General decrease.
Legal Services Poverty Grants	1,930,671	1,814,831	-115,840	-6.0%	General decrease.
Total Justice, Dept. of	\$ 12,783,601	\$ 12,484,161	\$ -299,440	-2.3%	
Consumer Advocate					
Consumer Advocate	\$ 0	\$ 3,136,163	\$ 3,136,163	0.0%	Funds the Office of the Consumer Advocate from the General Fund in FY 2012 with a decrease of \$200,181 compared to FY 2011 (funded from the Department of Commerce Revolving Fund in FY 2011).
Total Justice, Department of	\$ 12,783,601	\$ 15,620,324	\$ 2,836,723	22.2%	
<u>Civil Rights Commission</u>					
Civil Rights Commission					
Civil Rights Commission	\$ 1,335,282	\$ 1,297,069	\$ -38,213	-2.9%	General decrease. No increase in FY 2012 to replace \$100,000 allocation from PSEF in FY 2011.
Total Civil Rights Commission	\$ 1,335,282	\$ 1,297,069	\$ -38,213	-2.9%	
<u>Corrections, Dept. of</u>					
CBC District 1					
CBC District I	\$ 11,526,745	\$ 12,020,098	\$ 493,353	4.3%	Adds \$393,353 to annualize recommended FY 2011 supplemental appropriation. Adds \$100,000 to replace the FY 2011 PSEF allocation.
CBC District 2					
CBC District II	\$ 9,976,036	\$ 10,336,948	\$ 360,912	3.6%	Adds \$360,912 to annualize recommended FY 2011 supplemental appropriation.

Justice System

Governor's Recommendations General Fund

	Estimated FY 2011 (1)	Gov Rec FY 2012 (2)	Gov Rec vs Est FY 2011 (3)	Percent Change (4)	Explanation (5)
CBC District 3					
CBC District III	\$ 5,280,086	\$ 5,599,765	\$ 319,679	6.1%	Adds \$221,793 to annualize recommended FY 2011 supplemental appropriation. Adds \$97,886 to fund existing positions.
CBC District 4					
CBC District IV	\$ 5,222,288	\$ 5,391,355	\$ 169,067	3.2%	Adds \$169,067 to annualize recommended FY 2011 supplemental appropriation.
CBC District 5					
CBC District V	\$ 17,683,492	\$ 18,742,129	\$ 1,058,637	6.0%	Adds \$723,637 to annualize recommended FY 2011 supplemental appropriation. Adds \$335,000 to replace the FY 2011 PSEF allocation.
CBC District 6					
CBC District VI	\$ 12,249,424	\$ 13,112,563	\$ 863,139	7.0%	Adds \$460,329 to annualize recommended FY 2011 supplemental appropriation. Adds \$402,810 to replace the FY 2011 PSEF allocation.
CBC District 7					
CBC District VII	\$ 6,227,383	\$ 6,492,814	\$ 265,431	4.3%	Adds \$265,431 to annualize recommended FY 2011 supplemental appropriation.
CBC District 8					
CBC District VIII	\$ 6,553,064	\$ 6,731,055	\$ 177,991	2.7%	Adds \$177,991 to annualize recommended FY 2011 supplemental appropriation.

Justice System

Governor's Recommendations General Fund

	Estimated FY 2011 <u>(1)</u>	Gov Rec FY 2012 <u>(2)</u>	Gov Rec vs Est FY 2011 <u>(3)</u>	Percent Change <u>(4)</u>	Explanation <u>(5)</u>
Central Office					
Corrections Administration	\$ 4,126,852	\$ 4,835,542	\$ 708,690	17.2%	Adds \$110,202 to annualize recommended FY 2011 supplemental appropriation. Adds \$492,487 fund existing positions. Adds \$226,000 to fund regions counseling/coordination (\$76,000) and legal services (\$150,000). Decrease of \$119,999 to transfer 2.00 FTE positions to Oakdale.
Iowa Corrections Offender Network	424,364	424,364	0	0.0%	No change.
County Confinement	775,092	775,092	0	0.0%	No change.
Federal Prisoners/Contractual	239,411	239,411	0	0.0%	No change.
Corrections Education	1,558,109	2,308,109	750,000	48.1%	Adds \$750,000 to replace FY 2011 Telephone Rebate Fund allocation.
Hepatitis Treatment And Education	167,881	167,881	0	0.0%	No change.
Mental Health/Substance Abuse	22,319	22,319	0	0.0%	No change.
Total Central Office	<u>\$ 7,314,028</u>	<u>\$ 8,772,718</u>	<u>\$ 1,458,690</u>	<u>19.9%</u>	
Fort Madison					
Ft. Madison Institution	\$ 36,533,518	\$ 41,031,283	\$ 4,497,765	12.3%	Adds \$1,920,083 to annualize recommended FY 2011 supplemental appropriation. Adds \$1,451,000 to replace the FY 2011 PSEF allocation. Adds \$1,126,682 to fund existing positions.
Anamosa					
Anamosa Institution	\$ 28,270,794	\$ 31,985,974	\$ 3,715,180	13.1%	Adds \$1,293,060 to annualize recommended FY 2011 supplemental appropriation. Adds \$1,046,190 to replace the FY 2011 PSEF allocation. Adds \$328,185 to fund existing positions. Adds \$1,047,745 to fund 20 new correctional officer positions.

Justice System

Governor's Recommendations General Fund

	Estimated FY 2011 (1)	Gov Rec FY 2012 (2)	Gov Rec vs Est FY 2011 (3)	Percent Change (4)	Explanation (5)
Oakdale					
Oakdale Institution	\$ 52,614,899	\$ 55,600,610	\$ 2,985,711	5.7%	Adds \$2,385,141 to annualize recommended FY 2011 supplemental appropriation. Adds \$480,571 to fund existing positions. Adds \$119,999 to transfer 2.00 FTE positions from Central Office.
Newton					
Newton Institution	\$ 24,599,293	\$ 25,958,757	\$ 1,359,464	5.5%	Adds \$1,101,460 to annualize recommended FY 2011 supplemental appropriation. Adds \$258,004 to fund existing positions.
Mt Pleasant					
Mt. Pleasant Inst.	\$ 24,191,645	\$ 25,917,815	\$ 1,726,170	7.1%	Adds \$1,359,865 to annualize recommended FY 2011 supplemental appropriation. Adds \$366,305 to fund existing positions.
Rockwell City					
Rockwell City Institution	\$ 8,666,658	\$ 9,316,466	\$ 649,808	7.5%	Adds \$412,008 to annualize recommended FY 2011 supplemental appropriation. Adds \$237,800 to fund existing positions.
Clarinda					
Clarinda Institution	\$ 21,835,677	\$ 24,639,518	\$ 2,803,841	12.8%	Adds \$1,180,617 to annualize recommended FY 2011 supplemental appropriation. Adds \$575,479 to fund existing positions. Adds \$1,047,745 to fund 20 new correctional officer positions.
Mitchellville					
Mitchellville Institution	\$ 14,779,174	\$ 15,615,374	\$ 836,200	5.7%	Adds \$504,674 to annualize recommended FY 2011 supplemental appropriation. Adds \$331,526 to fund existing positions.

Justice System

Governor's Recommendations General Fund

	Estimated FY 2011 (1)	Gov Rec FY 2012 (2)	Gov Rec vs Est FY 2011 (3)	Percent Change (4)	Explanation (5)
Fort Dodge					
Ft. Dodge Institution	\$ 27,148,125	\$ 29,062,235	\$ 1,914,110	7.1%	Adds \$1,162,060 to annualize recommended FY 2011 supplemental appropriation. Adds \$752,050 to fund existing positions.
Total Corrections, Dept. of Inspections & Appeals, Dept. of	\$ 320,672,329	\$ 346,327,477	\$ 25,655,148	8.0%	
Public Defender					
Indigent Defense Appropriation	\$ 15,680,929	\$ 31,680,929	\$ 16,000,000	102.0%	Adds \$16,000,000 to annualize recommended FY 2011 supplemental appropriation.
Public Defender	21,531,682	24,083,182	2,551,500	11.8%	Adds \$2,551,500 to annualize recommended FY 2011 supplemental appropriation.
Total Inspections & Appeals, Dept. of Judicial Branch	\$ 37,212,611	\$ 55,764,111	\$ 18,551,500	49.9%	
Judicial Branch					
Judicial Branch	\$ 148,811,822	\$ 157,700,609	\$ 8,888,787	6.0%	Adds \$5,300,000 to replace the PSEF allocation. Adds \$2,770,980 to restore 60 positions eliminated in FY 2010 \$11.4 million ATB reduction. Adds \$387,947 for six additional information technology staff. Adds \$429,860 to replace furniture and equipment in eight counties.
Jury & Witness (GF) to Revolving Fund (0043)	1,500,000	3,700,000	2,200,000	146.7%	Adds \$1,200,000 for Jury Witness Fee Revolving Fund payments. Adds \$1,000,000 for interpreter reimbursement for civil and criminal trials.
Total Judicial Branch	\$ 150,311,822	\$ 161,400,609	\$ 11,088,787	7.4%	

Justice System

Governor's Recommendations General Fund

	Estimated FY 2011 (1)	Gov Rec FY 2012 (2)	Gov Rec vs Est FY 2011 (3)	Percent Change (4)	Explanation (5)
<u>Law Enforcement Academy</u>					
Law Enforcement Academy					
Law Enforcement Academy	\$ 849,147	\$ 868,698	\$ 19,551	2.3%	General increase of \$19,551 for operations.
Total Law Enforcement Academy	\$ 849,147	\$ 868,698	\$ 19,551	2.3%	
<u>Parole, Board of</u>					
Parole Board					
Parole Board	\$ 969,043	\$ 1,053,835	\$ 84,792	8.8%	Adds \$76,216 to replace the FY 2011 mid-year reduction. Adds \$8,576 for extra help.
Total Parole, Board of	\$ 969,043	\$ 1,053,835	\$ 84,792	8.8%	
<u>Public Defense, Dept. of</u>					
Public Defense, Dept. of					
Public Defense, Department of Emergency Management Division	\$ 5,879,832	\$ 5,527,042	\$ -352,790	-6.0%	General decrease.
Homeland Security & Emer. Mgmt.	\$ 1,954,125	\$ 1,836,877	\$ -117,248	-6.0%	General decrease.
Total Public Defense, Dept. of	\$ 7,833,957	\$ 7,363,919	\$ -470,038	-6.0%	
<u>Public Safety, Department of</u>					
Public Safety, Dept. of					
POR Permissive Service Credit	\$ 135,000	\$ 0	\$ -135,000	-100.0%	FY 2011 appropriation for one-time POR credit.
Public Safety Administration	3,732,075	4,007,075	275,000	7.4%	Adds \$275,000 to annualize recommended FY 2011 supplemental appropriation.
Public Safety DCI	12,208,931	12,533,931	325,000	2.7%	Adds \$325,000 to annualize recommended FY 2011 supplemental appropriation.
DCI - Crime Lab Equipment/Training	302,345	302,345	0	0.0%	No change.
Public Safety Undercover Funds	109,042	109,042	0	0.0%	No change.
Narcotics Enforcement	6,204,884	6,429,884	225,000	3.6%	Adds \$225,000 to annualize recommended FY 2011 supplemental appropriation.
DPS Fire Marshal	4,168,707	4,298,707	130,000	3.1%	Adds \$130,000 to annualize recommended FY 2011 supplemental appropriation.
Iowa State Patrol	46,505,764	51,903,233	5,397,469	11.6%	Adds \$2,000,000 to annualize recommended FY 2011 supplemental appropriation. Adds \$300,000 to replace the FY 2011 PSEF allocation. Adds \$3,097,469 to replace FY 2011 NHTSA grant for 45 road troopers.

Justice System

Governor's Recommendations General Fund

	Estimated FY 2011 (1)	Gov Rec FY 2012 (2)	Gov Rec vs Est FY 2011 (3)	Percent Change (4)	Explanation (5)
DPS/SPOC Sick Leave Payout	279,517	279,517	0	0.0%	No change.
Fire Fighter Training	612,255	575,520	-36,735	-6.0%	General decrease. No increase in FY 2012 to replace \$150,000 allocation from PSEF in FY 2011.
Total Public Safety, Department of	\$ 74,258,520	\$ 80,439,254	\$ 6,180,734	8.3%	
Total Justice System	\$ 606,226,312	\$ 670,135,296	\$ 63,908,984	10.5%	

Justice System

Governor's Recommendations Other Funds

	Estimated FY 2011 (1)	Gov Rec FY 2012 (2)	Gov Rec vs Est FY 2011 (3)	Percent Change (4)	Explanation (5)
<u>Justice, Department of</u>					
Attorney General - PSEF	\$ 150,000	\$ 0	\$ -150,000	-100.0%	PSEF is repealed at the end of FY 2011. No increase is recommended to the General Fund appropriation in FY 2012.
Consumer Advocate - CMRF	\$ 3,336,344	\$ 0	\$ -3,336,344	-100.0%	CMRF is repealed at the end of FY 2011. Adds \$3,136,163 from the General Fund in FY 2012, a reduction of \$200,181 compared to FY 2011.
Total Justice, Department of	\$ 3,486,344	\$ 0	\$ -3,486,344	-100.0%	
<u>Civil Rights Commission</u>					
Civil Rights Commission - PSEF	\$ 100,000	\$ 0	\$ -100,000	-100.0%	PSEF is repealed at the end of FY 2011. No increase is recommended to the General Fund appropriation in FY 2012.
<u>Corrections, Dept. of</u>					
DOC Fort Madison - PSEF	\$ 1,451,000	\$ 0	\$ -1,451,000	-100.0%	PSEF is repealed at the end of FY 2011. Adds \$1,451,000 to the General Fund appropriation in FY 2012.
DOC Anamosa - PSEF	\$ 1,046,190	\$ 0	\$ -1,046,190	-100.0%	PSEF is repealed at the end of FY 2011. Adds \$1,046,190 to the General Fund appropriation in FY 2012.
First CBC District Department - PSEF	\$ 100,000	\$ 0	\$ -100,000	-100.0%	PSEF is repealed at the end of FY 2011. Adds \$100,000 to the General Fund appropriation in FY 2012.
Fifth CBC District Department - PSEF	\$ 335,000	\$ 0	\$ -335,000	-100.0%	PSEF is repealed at the end of FY 2011. Adds \$335,000 to the General Fund appropriation in FY 2012.
Sixth CBC District Department - PSEF	\$ 402,810	\$ 0	\$ -402,810	-100.0%	PSEF is repealed at the end of FY 2011. Adds \$402,810 to the General Fund appropriation in FY 2012.
Total Corrections, Dept. of	\$ 3,335,000	\$ 0	\$ -3,335,000	-100.0%	
<u>Judicial Branch</u>					
Judicial Branch - PSEF	\$ 5,300,000	\$ 0	\$ -5,300,000	-100.0%	PSEF is repealed at the end of FY 2011. Adds \$5,300,000 to the General Fund appropriation in FY 2012.
Total Judicial Branch	\$ 5,300,000	\$ 0	\$ -5,300,000	-100.0%	
<u>Public Safety, Department of</u>					
Fire Fighter Training Grants - PSEF	\$ 150,000	\$ 0	\$ -150,000	-100.0%	PSEF is repealed at the end of FY 2011. No increase is recommended to the General Fund appropriation in FY 2012.
Iowa State Patrol - PSEF	\$ 300,000	\$ 0	\$ -300,000	-100.0%	PSEF is repealed at the end of FY 2011. Adds \$300,000 to the General Fund appropriation in FY 2012.
DPS Gaming Enforcement	9,836,306	9,836,306	0	0.0%	No change.
Total Public Safety, Department of	\$ 10,286,306	\$ 9,836,306	\$ -450,000	-4.4%	
Total Justice System	\$ 22,507,650	\$ 9,836,306	\$ -12,571,344	-56.3%	

Notes:

- 1). PSEF is the Public Safety Enforcement Fund.
- 2). CMRF is the Department of Commerce Revolving Fund.

Governor's Recommendation - FY 2011 and FY 2012 Corrections System General Fund

	Estimated FY 2011 (1)	Gov Rec Supp. Approp (2)	Gov Rec Revised FY 2011 (3)	Gov Rec Replace PSEF (4)	Gov Rec Fund Existing Positions (5)	Gov Rec Consitution & State Mandates (6)	Gov Rec Correctional Officers (7)	Gov Rec FY 2012 (4)	Gov Rec FY 2012 vs. Revised Gov Rec FY 2011 (5)
Corrections, Dept. of									
CBC District I	\$ 11,526,745	\$ 393,353	\$ 11,920,098	\$ 100,000	\$ 0	\$ 0	\$ 0	\$ 12,020,098	\$ 100,000
CBC District II	9,976,036	360,912	10,336,948	0	0	0	0	10,336,948	0
CBC District III	5,280,086	221,793	5,501,879	0	97,886	0	0	5,599,765	97,886
CBC District IV	5,222,288	169,067	5,391,355	0	0	0	0	5,391,355	0
CBC District V	17,683,492	723,637	18,407,129	335,000	0	0	0	18,742,129	335,000
CBC District VI	12,249,424	460,329	12,709,753	402,810	0	0	0	13,112,563	402,810
CBC District VII	6,227,383	265,431	6,492,814	0	0	0	0	6,492,814	0
CBC District VIII	6,553,064	177,991	6,731,055	0	0	0	0	6,731,055	0
Total CBC District Departments	\$ 74,718,518	\$ 2,772,513	\$ 77,491,031	\$ 837,810	\$ 97,886	\$ 0	\$ 0	\$ 78,426,727	\$ 935,696
Central Office									
Corrections Administration	\$ 4,126,852	\$ 110,202	\$ 4,237,054	\$ 0	\$ 492,487	\$ 226,000	\$ 0	\$ 4,835,542	\$ 598,488
Iowa Corrections Offender Network	424,364	0	424,364	0	0	0	0	424,364	0
County Confinement	775,092	0	775,092	0	0	0	0	775,092	0
Federal Prisoners/Contractual	239,411	0	239,411	0	0	0	0	239,411	0
Corrections Education	1,558,109	0	1,558,109	0	0	750,000	0	2,308,109	750,000
Hepatitis Treatment And Education	167,881	0	167,881	0	0	0	0	167,881	0
Mental Health/Substance Abuse	22,319	0	22,319	0	0	0	0	22,319	0
Total Central Office	\$ 7,314,028	\$ 110,202	\$ 7,424,230	\$ 0	\$ 492,487	\$ 976,000	\$ 0	\$ 8,772,718	\$ 1,348,488
DOC Institutions									
Ft. Madison Institution	\$ 36,533,518	\$ 1,920,083	\$ 38,453,601	\$ 1,451,000	\$ 1,126,682	\$ 0	\$ 0	\$ 41,031,283	\$ 2,577,682
Anamosa Institution	28,270,794	1,293,060	29,563,854	1,046,190	328,185	0	1,047,745	31,985,974	2,422,120
Oakdale Institution	52,614,899	2,385,141	55,000,040	0	480,571	0	0	55,600,610	600,570
Newton Institution	24,599,293	1,101,460	25,700,753	0	258,004	0	0	25,958,757	258,004
Mt. Pleasant Inst.	24,191,645	1,359,865	25,551,510	0	366,305	0	0	25,917,815	366,305
Rockwell City Institution	8,666,658	412,008	9,078,666	0	237,800	0	0	9,316,466	237,800
Clarinda Institution	21,835,677	1,180,617	23,016,294	0	575,479	0	1,047,745	24,639,518	1,623,224
Mitchellville Institution	14,779,174	504,674	15,283,848	0	331,526	0	0	15,615,374	331,526
Ft. Dodge Institution	27,148,125	1,162,060	28,310,185	0	752,050	0	0	29,062,235	752,050
Total DOC Institutions	\$ 238,639,783	\$ 11,318,968	\$ 249,958,751	\$ 2,497,190	\$ 4,456,602	\$ 0	\$ 2,095,490	\$ 259,128,032	\$ 9,169,281
Total Corrections, Dept. of	\$ 320,672,329	\$ 14,201,683	\$ 334,874,012	\$ 3,335,000	\$ 5,046,975	\$ 976,000	\$ 2,095,490	\$ 346,327,477	\$ 11,453,465

Public Safety Enforcement Fund

Background:

The Public Safety Enforcement Fund (PSEF) was created in SF 2378 (FY 2011 Justice System Subcommittee Act). The Act increased certain traffic fines and is expected to generate an additional \$9.1 million in court receipts, \$1.0 million for local governments, and \$441,000 for the Crime Victim Compensation Fund. The Act directed \$9.1 million in court receipts and \$235,000 in Crime Victim Compensation Fund receipts to the PSEF in FY 2011. The Fund is repealed at the end of FY 2011. A total of \$9.1 million in court receipts will be deposited in the General Fund and \$235,000 will be deposited in the Crime Victim Compensation Fund in FY 2012.

Assuming the current funding level is maintained, there will be a General Fund shortage of \$235,000. The PSEF allocations are described below.

FY 2011	Funding	FTE	Description
Office of the Attorney General	\$ 150,000	0.0	Operating costs of the Area Prosecutions Division.
Civil Rights Commission	\$ 100,000	2.0	Civil Rights enforcement.
Judicial Branch	\$ 5,300,000	68.0	Operating Budget.
Department of Public Safety	\$ 150,000		Fire Fighter Training Grants.
	300,000	1.0	Operating costs for 1.0 State Trooper and internet connectivity cards.
	<u>\$ 450,000</u>	<u>1.0</u>	
Department of Corrections			
First CBC District Department	\$ 100,000	1.0	Sex Offender Treatment Program.
Fifth CBC District Department	335,000	3.0	Drug Court.
Sixth CBC District Department	402,810	3.0	Field Services and Drug Court.
Fort Madison Institution	1,451,000	21.0	General operations.
Anamosa Institution	1,046,190	14.0	Operating costs for Luster Heights (\$836,642 and 10.0 FTE positions) and 4.00 correctional officers at Anamosa State Penitentiary (\$209,548 and 4.0 FTE positions).
	<u>\$ 3,335,000</u>	<u>42.0</u>	
Total	<u>\$ 9,335,000</u>	<u>113.0</u>	

FY 2012 Judicial Branch Budget Request

Budget Package	Amount	Description
GENERAL FUND REQUEST		
Judicial Branch Base Budget	\$ 148,811,822	
Restoration of the \$5.3 million from the Public Safety Enforcement Fund (Section 20 of SF 2378). This item is repealed June 30, 2011.	5,300,000	If not restored, will result in the layoff of an additional 68 employees.
Restores 60 of the 180 positions that were eliminated due to the 7.1% ATB of \$11.4 million.	2,770,980	This request funds the following positions: 10 Juvenile Court Officers, three Juvenile Court Technicians, 35.5 Judicial Clerk Ones, 6.5 Court Reporters, three Information Technology staff, and two Court of Appeals staff, including one attorney and one secretary.
Request for six additional Information Technology staff to implement the Judicial Branch business plan to move from a paper-based environment to an electronic-based environment.	387,947	This request is in anticipation of the Judicial Branch receiving additional funding to continue the EDMS project. If request is granted, would be able to implement EDMS faster than the current rollout plan.
Request for replacement furniture and equipment.	429,860	\$219,500 of the total is for Linn County for the new juvenile justice center and the renovated courthouse. The Judicial Branch did ask FEMA for reimbursement but was denied since the State is self-insured. The remainder of the request is as follows: For records management - \$80,000 for Black Hawk, \$20,000 for Polk, \$20,000 for Warren, and \$40,000 for Wapello Counties. For furniture due to county renovations and additional space - \$20,000 for Sioux, \$20,000 for Jefferson, and \$10,000 for Lee Counties.
	<u>\$ 157,700,609</u>	
JURY AND WITNESS FEE REVOLVING FUND		
Fund Base Budget	\$ 1,500,000	
Request for additional funds due to change in deposit of two-year old court debt.	1,200,000	This Fund is used to pay mileage reimbursement to jurors, witnesses, and interpreters. Jurors are paid \$30 per day and witnesses receive \$10 per day and \$5 for a half day. Expert witnesses are paid a reasonable compensation as determined by the Court.
Request to fund interpreters at no cost to the parties as required under federal law (Title VI of the Civil Rights Act of 1964 and Safe Streets Act).	1,000,000	Provides reimbursement for interpreters for both civil and criminal trials. In FY 2009, a total of \$466,805 was paid for interpreters for criminal cases with \$38,180 paid by the State Court Administrator and the remainder paid by the State Public Defender's Office. In FY 2010, the total paid was \$444,719. The majority of cases are paid by the State Public Defender's Office and almost entirely for indigent parties.
	<u>\$ 3,700,000</u>	

Appendix F

Fiscal Topics

This page intentionally left blank.

Board of Parole

The 32nd General Assembly (1907-1908) created an indeterminate criminal sentencing structure for Iowa and created the Board of Parole to implement that structure. Today, Iowa's criminal sentencing structure is a mix of determinate (e.g., mandatory minimum terms) and indeterminate (e.g., sentence may be modified by the Board of Parole). The Board of Parole consists of five members appointed by the Governor. The chairperson and vice chairperson are full-time salaried positions, while three members are part-time and paid a per diem.

Duties of the Board

The Board's mission is to enhance public safety by making parole and work release decisions that transition offenders in prison back to the community. The Board implements its mission by the following duties:

- **Inmate Reviews and Interviews** – The Board is required to annually review offenders in prison except for Class A felons (life sentence), Class B felons serving time under Iowa's 70.0% law (where 70.0% of the sentence must be served in prison), felons serving other mandatory minimum terms, and those serving a determinate sentence. The Board contacts the Department of Corrections (DOC) within 20 days of its interview schedule. The DOC then makes the offenders available for a parole or work release hearing. The Board also provides the offender written notice of its parole or work release decision.
- **Risk Assessments** – The Board has used a risk assessment tool since March 1981. An offender is rated on a scale from one to nine. In order to be granted parole or work release, offenders with a risk score of one through six require three affirmative votes from the Board; offenders with a risk score of seven or eight require four votes; and a risk score of nine requires a unanimous vote of the full Board.
- **Victim Notification** – The Board notifies registered victims of violent crimes of scheduled interviews with offenders and of decisions made at those interviews. The victim or appointed counsel has the right to attend the interviews and testify. All written communications from victims become a permanent part of the offenders' files.
- **Parole and Work Release** – These are supervised releases from prison, where if certain conditions are met, the offender is released from prison and placed in community supervision either on parole (field services) or work release (residential services). The Board may grant, rescind, and revoke parole and work release, as well as discharge offenders from parole. The Board grants parole to offenders who are successful on work release. The Board sets the conditions of parole and work release and may be supplemented by the supervising Community-Based Corrections (CBC) District Department.
- **Review of Parole and Work Release Programs** – The Board is required to review parole and work release programs both nationally and within the State of Iowa. The Board's review may lead to changes in its policies and procedures.
- **Release Studies** – The Board is required to conduct studies of the parole and work release system as requested by the Governor and General Assembly. The Board relies on the Criminal and Juvenile Justice Planning Division of the Department of Human Rights for recidivism studies. Returns to prison have been monitored since 1998.
- **Computer Systems** – The Board utilizes the IPAROLE Data Information System to extract pertinent offender criminal histories, data, and other related justice system items from the Iowa Corrections Network (ICON) for its Release Docket Packet. The IPAROLE information is used to assist the Board in their review, interview, and deliberation process to determine releasing decisions.
- **Certificate of Employability** – The Board implemented a Certificate of Employability Program in FY 2010. The Board works with DOC counselors and Iowa Workforce Development staff to verify the offenders' work history, and provides a Certificate to the offender once parole or work release is granted.

More Information

Annual Reports and Other Information on Board of Parole: <http://www.bop.state.ia.us/>

LSA Staff Contact: Beth Lenstra (515-281-6301) beth.lenstra@legis.state.ia.us

Board of Parole

- Reprieves, Pardons, Commutation of Sentences, Remission of Fines or Forfeitures, or Restoration of Citizenship –**
 The Board reviews and makes recommendations to the Governor for all applications for reprieves, pardons, commutation of sentences, remission of fines or forfeitures, or restoration of citizenship. Applications for reprieves and remission of fines or forfeitures are rare. Governor Vilsack signed Executive Order 42 that streamlined the restoration of citizenship process and removed the Board from the process.

Parole Board Data and Budget

The following tables show the Board's activities and budget for the last three years. Salary expenditures have been decreasing, as have Board workload performance measures.

	Board of Parole Activity				
	FY 2007	FY 2008	FY 2008 vs. FY 2007	FY 2009	FY 2009 vs. FY 2008
Release Deliberations	14,571	15,995	1,424	14,686	-1,309
Parole Grants	3,408	3,424	16	3,012	-412
Work Release Grants	1,314	1,201	-113	1,154	-47
Revocation Hearings	1,464	1,640	176	1,415	-225
Parole Revocations	1,058	1,093	35	1,020	-73
Automatic Revocations	123	156	33	193	37
Paroles Rescinded	296	229	-67	206	-23
Revocation Appeals	21	41	20	60	19
Affirmed	21	40	19	59	19
Denied	0	1	1	1	0
Board Reviews:					
Lifer Reviews/Reprieves	40	10	-30	12	2
Pardon Reviews	82	49	-33	74	25
Restoration of Citizenship	90	147	57	54	-93
Parole to Discharge	76	76	0	55	-21
Board Decision Appeals	541	825	284	840	15
Risk Assessments Completed	5,833	3,558	-2,275	3,445	-113
Registered Victims, Year-End	3,061	3,417	356	3,744	327
Victim Registration Requests	816	627	-189	716	89
Victim Registrations Approved	619	479	-140	532	53
Victim Notices Mailed	3,205	2,714	-491	2,256	-458

	Board of Parole Budget			
	FY 2007	FY 2008	FY 2009	Est. FY 2010
Salaries	\$ 995,305	\$ 984,355	\$ 961,274	\$ 951,077
Support	168,106	218,182	222,027	79,586
Year-End Balance				
Carry Forward	20,667	37,201	0	NA
Reversion	25,704	37,201	105,803	NA

Criminal Justice Information System (CJIS)

The CJIS is a statewide integrated criminal justice information system that has enabled automated sharing of information in a common format between State, local, and federal criminal justice agencies including the Departments of Corrections, Human Rights, Transportation, Public Safety, and Natural Resources, the Judicial Branch, the Attorney General's Office, County Attorneys, Sheriffs Offices, and local law enforcement.

Beginning in 1998, the National Governor's Association (NGA) appointed a task force to examine ways that states could more effectively use information technology (IT) to meet citizen needs and improve state government operations. The federal government, through the Office of Justice Programs of the U.S. Department of Justice, began promoting information integration for federal and State officials to find ways to promote effective cooperation between levels of government and across agency boundaries. The Crime Identification Technology Act, Public Law 105-251, authorized federal grants for states to promote the integration of justice system information and identification technology. In 2001, Iowa began exploring options for IT sharing among law enforcement agencies.

In 2003, the Governor of Iowa and the Chief Justice of the Iowa Supreme Court signed a Memorandum of Understanding (MOU) for the purpose of establishing a governance structure to guide the design, development, and implementation of a Statewide, integrated criminal justice information system. In 2004, the MOU was amended to require the 19-member CJIS Advisory Committee to create a strategic plan to guide implementation in Iowa. The strategic plan was completed August 26, 2005. The integration timeline was established over five years beginning July 1, 2005 (FY 2006). The goal of the project is to provide for the automated real-time exchange of information between various segments of the criminal justice community at the State, federal, and local levels.

Current Situation

The model Iowa created was developed based on standards recommended by the Federal Bureau of Investigation (FBI) and the U.S. Department of Justice. There are currently 83 State and local agencies, including 57 law enforcement agencies, participating in the electronic citation component of the CJIS. Ten computer servers run two parallel environments for complete redundancy; one is a test environment and the other the production environment. The average speed of data transmission is three seconds.

The benefits of the CJIS include the elimination of information errors and redundant data entry. This provides for more complete, accurate, and real-time data to members of the criminal justice community, including improved decision-making, operational efficiency, and an enhanced ability to evaluate the effectiveness of programs. In addition, all agencies that receive data electronically will realize a savings in staff time by not having to reenter information.

Listed below are a few highlights of some of the information exchanges:

- **Electronic Citations** – Allows citation information from law enforcement agencies to be electronically uploaded to the Judicial Branch in a real-time environment, eliminating the need to drive paper tickets to the courthouse to be processed. At the end of the first quarter of 2010, 65,766 citations had been processed.
- **Victim Notifications** – Whenever an offender's status changes, such as a change in the correctional facility, or parole or probation status, the victim is automatically notified.
- **Victim Transfer** – Information from county attorneys to the DOC.

More Information

Criminal and Juvenile Justice Planning Division: <http://www.humanrights.iowa.gov/cjip>

LSA Staff Contact: Jennifer Acton (515-281-7846) jennifer.acton@legis.state.ia.us

Criminal Justice Information System (CJIS)

- **Protective Orders** – Petitioners are notified by telephone or e-mail as soon as a petition is served. There are currently 175 protection order-related messages a day, including new orders, expiring orders, and reinstating orders.
- **Sex Offender Address Change Alerts** – Allows information to be shared between the Department of Corrections and the Division of Criminal Investigation of the Department of Public Safety (DPS) whenever a sex offender has a change of address.
- **Arrest Warrant** – Conveys arrest warrants between the courts and the Department of Public Safety.
- **Hearing Order** – Conveys information from ICIS to the County Attorney when hearings are set.
- **OWI Exchanges** – four OWI exchanges, including law enforcement to the county attorney (when an arrest is made); another carries OWI dispositions from the courts to the DOT for appropriate action on license suspension.
- **Bond Posting** – Information transferred from the Courts to the Sheriff when offenders post bond.
- **Pre-Sentence Investigations (PSI)** – Orders for PSIs flow from the Courts to DOC; upon completion, the PSI is sent from the DOC back to the Courts.

Recent Issues

Senate File 2088 (Government Reorganization and Efficiency Act) reorganized IT services by requiring IT resources in State government to be moved to the Department of Administrative Services (DAS). The DPS will be requesting a waiver for both the CJIS Project and the Iowa System. The Iowa System provides arrest warrant and confidential investigative information. The FBI has rules specific to the sharing of information, encryption, and background checks.

Senate File 2379 (Permits to Carry Weapons Act) requires the CJIS to create an information exchange to transfer commitment order information from the Judicial Branch to the DPS and from the DPS to the FBI. This includes criminal history background checks to prevent the purchase of firearms. For proper implementation, the information must be exchanged in a real-time environment, and the CJIS provides that opportunity.

The Criminal and Juvenile Justice Planning (CJJP) Division of the Department of Human Rights is considering a six-year replacement cycle for the hardware and software related to CJIS. Funding for ongoing maintenance will need to be considered. Ongoing maintenance will consist of both hardware and software maintenance and annual network connectivity.

Budget Impact

The estimated completion date of the CJIS Project is June 30, 2014. The total estimated cost of the project (from all funding sources) is expected to be between \$10.0 million and \$12.0 million. As of December 10, 2010, a total of \$10.6 million has been encumbered, including \$1.9 million of federal or other funding and \$8.8 million of State resources. Of the \$8.8 million, \$7.4 million or 84.0% is Technology Reinvestment Fund dollars.

Criminal Justice Information System (CJIS) Funding Amounts Encumbered as of December 10, 2010

	Source	
	Federal/Other	State
Byrne Grant	\$ 518,463	\$ 172,822
National Governor's Association (NGA) Grant	100,000	0
National Justice Information Sharing (JIS) Initiative	471,831	
National Criminal History Improvement Program (NCHIP) Grant	206,100	22,900
Return on Investment Funds	0	920,414
Homeland Security Funding	363,270	0
Technology Re-investment Funds	0	7,366,384
American Recovery and Reinvestment Act (ARRA) Funds	0	267,740
Other Agency Funds	227,852	0
	\$ 1,887,516	\$ 8,750,260

Note - This chart does not include any annual General Fund appropriations to CJJP for CJIS staff and support.

The estimated cost for ongoing maintenance of the current system, without equipment upgrades or the addition of new exchanges, is estimated to be approximately \$150,000 per year. Equipment upgrades and rollout of new exchanges is estimated to be approximately \$1.5 million.

Award

The CJIS Project received a national award for innovation and excellence in criminal justice information sharing in August 2010 sponsored by the Integrated Justice Information System (IJIS) Institute. The Institute recognizes technical innovation that has contributed significantly to the advancement of integration and interoperability in a justice, public safety, or homeland security program.

Legal Representation for Indigent Defendants

The Iowa Constitution requires an indigent criminal justice defendant and indigent juveniles to be provided with legal counsel at no initial cost to the client. Iowa has two methods to provide legal counsel to indigent criminal justice clients and juveniles: public defenders and private attorneys. A judge determines if a person is indigent, based on statute, and appoints a public defender. If a public defender is unable to take a case, the judge appoints a private attorney. The FY 2009 total program costs were \$55.4 million.

History of Indigent Defense

Prior to July 1, 1987, indigent legal counsel costs were paid by the counties and local public defenders were county employees. The General Assembly transferred indigent legal counsel expenses to the State beginning in FY 1988, as the last major component of court reorganization. Court reorganization transferred the majority of expenses for the Judicial Branch from counties to the State General Fund, for the purpose of property tax relief, from FY 1983 to FY 1988.

Counties still maintain a "base" of expenses for juvenile proceedings. The statewide base is approximately \$1.5 million for FY 2010, as determined by Iowa Code Section 232.141. The State assumes all costs for indigent juvenile cases once the county base expenditure is reached.

The General Assembly appropriated funds to the Judicial Branch in FY 1988 for the costs of indigent defense. The General Assembly transferred the program to the State Public Defender's Office in FY 1989.

Public Defender Offices

The General Assembly has made certain changes to the program since FY 1989. The trial judge still determines a client's indigency and appoints legal representation. If the public defender is unable to take a case the judge appoints a private attorney. Following is a description of the Public Defender's Office.

Nineteen local Public Defender Offices located in 15 cities were established. These Offices serve all 99 counties. The State Public Defender has administrative authority over local public defender offices. The State Public Defender also provides legal representation to indigent convicted criminals on appeals and postconviction relief proceedings.

Woodbury, Polk, and Black Hawk Counties have two offices each, one for juvenile justice services and one for adult offenders. In addition, Polk County serves as the administrative office, appellate defender office, the Special Defense Unit (formerly known as the Civil Commitment Unit for Sexual Predators), and provides statewide services. Local offices handle all Class A felony cases in 99 counties. Providing these services through local public defender offices, rather than using private attorneys, is a cost-containment effort.

The State Public Defender is responsible for indigent juvenile cases. Local offices provide juvenile justice system services in 35 counties. Public defenders or private attorneys provide legal representation in Child In Need of Assistance (CINA), families in need of assistance, emancipation, delinquency, juvenile mental health commitments, juvenile by-pass hearings, and termination of parental rights proceedings.

Private Attorneys

Court-appointed private attorneys provide:

- Legal representation for areas of the State where caseloads are too low to indicate a need for a local public defender office.
- An alternative for legal representation when there may be a conflict of interest with the local public defender's office.
- A "relief valve" for local public defender offices, when those offices' caseloads become too great.

More Information

Office of the State Public Defender: <http://spd.iowa.gov/>

Iowa General Assembly: <http://www.legis.state.ia.us/index.html>

LSA Staff Contact: Beth Lenstra (515-281-6301) beth.lenstra@legis.state.ia.us

Legal Representation for Indigent Defendants

The State Public Defender negotiates contracts with private attorneys to provide indigent defense. The State Public Defender creates a list of contract attorneys and provides this list to trial judges for their use in appointing counsel. All counties have at least one contract attorney available. The judge may appoint a noncontract attorney in certain cases. The State Public Defender's Office reviews all expense claim reimbursements for court-appointed attorneys, and has authority to deny or reduce payment for a claim.

Case Load Data

Caseloads have increased for both public defenders and indigent defense. From FY 1999 through FY 2009, the number of attorney claims for reimbursement from the Indigent Defense Fund increased by 22,021 claims (57.9%). The number of cases handled by the State Public Defender's Office increased by 7,124 (11.6%). However, the number of cases staffed by the Office peaked in FY 2006 at 75,400 cases. The number of cases decreased by 7,044 (9.3%) from FY 2006 to FY 2009. The Office is concentrating efforts on the more expensive, complex cases. Caseloads are driven by:

- New crimes.
- Enhanced penalties for existing crimes.
- Improved enforcement and prosecution.
- Indigence.

Total Program Costs

Total costs were \$55.4 million in FY 2009. Of this amount, \$32.8 million (59.2%) was expended through the Indigent Defense Fund while \$22.6 million (40.8%) was expended by the State Public Defender's Office. Costs from FY 1999 through FY 2009 have increased by 80.3%. The cost is increasing at a less than expected rate, generally attributed to maximizing the use of public defenders for the more expensive and complex cases.

The average annual increase in total spending from FY 1999 through FY 2009 is 8.0%. During that period, the annual average increase in spending for the State Public Defender's Office was 7.1% and 7.5% for claims from private attorneys.

Based on total FY 2009 expenditures of \$55.4 million for the Public Defender and indigent defense, and assuming no growth in expenditures, the FY 2010 shortfall is estimated to be approximately \$14.2 million. This shortfall may be addressed by a supplemental appropriation, transfers from other State agency budgets, and referring claims to the State Appeal Board.

Historical Expenditures of Indigent Defense and Public Defender

Fiscal Year	Indigent Defense General Fund Expenditures	Claims	Average Cost	Public Defender General Fund Expenditures	Cases	Average Cost	Total Spent	Total Cases	Average Cost	Annual Increase in Total Spending	Annual Percent Increase
1999	\$17,547,683	38,021	\$462	\$13,176,045	61,232	\$215	\$30,723,728	99,253	\$310	\$1,350,044	4.6%
2000	\$19,712,098	42,300	\$466	\$14,516,410	57,629	\$252	\$34,228,508	99,929	\$343	\$3,504,780	11.4%
2001	\$22,742,347	48,756	\$466	\$14,864,029	57,576	\$258	\$37,606,376	106,332	\$354	\$3,377,868	9.9%
2002	\$22,714,123	53,041	\$428	\$15,228,238	67,957	\$224	\$37,942,361	120,998	\$314	\$335,985	0.9%
2003	\$22,294,153	54,913	\$420	\$15,883,869	70,676	\$224	\$38,178,022	125,589	\$304	\$235,661	0.6%
2004	\$22,939,275	59,702	\$384	\$17,226,021	71,038	\$242	\$40,165,296	130,740	\$307	\$1,987,274	5.2%
2005	\$24,615,105	67,440	\$365	\$18,773,653	74,206	\$253	\$43,388,758	141,646	\$306	\$3,223,462	8.0%
2006	\$26,474,972	71,757	\$369	\$19,688,834	75,400	\$261	\$46,163,806	147,157	\$314	\$2,775,048	6.4%
2007	\$28,307,232	73,998	\$383	\$20,483,466	73,252	\$280	\$48,790,698	147,250	\$331	\$2,626,892	5.7%
2008	\$30,696,291	72,642	\$423	\$21,813,138	69,596	\$313	\$52,509,429	142,238	\$369	\$3,718,731	7.6%
2009	\$32,807,331	60,042	\$546	\$22,589,131	68,356	\$330	\$55,396,462	128,398	\$431	\$2,887,033	5.5%

Cost Containment Efforts

The General Assembly has provided various mechanisms to contain costs. These include:

- Hourly rates paid to private attorneys are codified.
- The State Central Office serves as the administrative office.
- The Appellate Defender office handles appellate cases on a statewide basis.
- The Special Defense Unit (formerly Civil Commitment Unit for Sexual Predators) handles all sexually violent predator cases at the trial and appellate levels and provides statewide services for the most serious criminal cases when the local office has a conflict or there are workload issues.
- A local office or the Special Defense Unit handles all Class A felony cases unless there is a conflict or there are workload issues.
- The State Public Defender negotiates contracts with private attorneys to provide indigent defense.
- The Office reviews all expense claim reimbursements for court-appointed attorneys, and has authority to deny or reduce payment for a claim.

Budget Unit: Iowa Civil Rights Commission

BU Number: 1670J210001

Purpose and History

The [Iowa Civil Rights Commission](#) is a neutral, fact-finding agency that enforces Iowa's Civil Rights Act of 1965. The mission of the Commission is enforcing State and federal civil rights statutes that prohibit discrimination in employment, public accommodations, housing, education, and credit. The Commission prevents discrimination and enforces antidiscrimination by: investigating and resolving discrimination complaints, facilitating reconciliation of complaints by mediators and conciliators, conducting education and training programs for businesses and landlords, and providing consultation and direction for local human and civil rights agencies.

Employment is the largest area of complaints filed, followed by accommodation and housing. Gender, disability, and race are the most frequently named bases (personal characteristics) for discrimination in nonhousing cases. Disability and race are the most frequently named bases for discrimination in housing.

Funding

Funding for the Iowa Civil Rights Commission is approximately 60.0% General Fund appropriation and 40.0% federal funds. The Commission contracts with the federal [Equal Employment Opportunity Commission](#) (EEOC) and [Housing and Urban Development](#) (HUD). Both contracts have training and maintenance of effort requirements. The EEOC annually offers approximately \$1,000 to attend training. The HUD funds include approximately \$11,000 for training with a contractual expectation that agency representatives will attend training. The EEOC's maintenance of effort requirement is to process between 50 and 100 employment cases per year. The Iowa Civil Rights Commission has averaged in excess of 1,200 cases per year for the last several years. The HUD's maintenance of effort requirement is to process approximately 10 to 20 cases per year. The Iowa Civil Rights Commission has averaged over 100 housing cases per year for the last several years. The Commission is one of two public agencies in the country to have a Fair Housing Initiative Program through HUD. This competitive grant award educates individuals and housing providers about rights and responsibilities under State and federal fair housing laws.

The Iowa Civil Rights Commission contracts with [Iowa Legal Aid](#) to assist with case processing (intake and screening) and mediation. The Commission also uses volunteers and law students to assist in research, case mediation, and case processing. The Commission is one of the few civil rights agencies in the nation to partner with [VISTA](#) (Volunteers in Service to America) and hire volunteers or staff for capacity building and to assist communities with their own diversity initiatives. The Commission places VISTA staff in other State agencies and 11 different cities.

Local Commissions

The Iowa Civil Rights Commission partners with local human and civil rights commissions in education and advocacy efforts, shared office space for mediation of cases, the use of volunteers, and website links. Complaints are cross-filed between the State and local agencies, and the Commission has contracts with local agencies to process/investigate cases. The Commission's data system ensures cases that are cross-filed at the federal, State, and local level are investigated by only one agency.

Iowa is similar to other States in complying with federal laws and requirements of EEOC and HUD. Iowa is a leader in the use of mediation as a settlement option. Iowa also is noteworthy for contracting with local agencies for case processing.

Related Statutes and Administrative Rules

Iowa Code Chapters [216](#), [729](#), and [729A](#)

Iowa Administrative Code: [161 IAC](#)

More Information

Budget Schedules and Related Documents

[Iowa Department of Human Services](#)

[Iowa Department for the Blind](#)

LSA Staff Contact: Beth Lenstra (515-281-6301) beth.lenstra@legis.state.ia.us

Iowa's Victim Information and Notification Everyday System

Iowa's Victim Information and Notification Everyday System (IowaVINE) was established by HF 619 (2005 Sex Offender Act). The automated victim notification system is maintained by the Crime Victim Assistance Division of the Department of Justice.

Background

IowaVINE allows crime victims to seek information and notification of change in custody status of an offender. Victims may register directly with the IowaVINE system. Victims may access the information either by a toll-free number (1-888-742-8463) or through the website (see More Information).

Victims may be notified by phone or email when an offender is moved between facilities, released to the community, or dies while incarcerated. Booking system computer databases transfer their data every 15 to 30 minutes (19 times daily for the Iowa Department of Corrections) to secure servers in Louisville, Kentucky. If there is a change in data for an offender from the previous data scan, the victim receives immediate notification of the change.

The Department of Justice implemented the system in December 2006. The computer-based system was deployed across Iowa, starting with county jails and the Department of Corrections (DOC).

Current Situation

As of June 2010, there were 92 county jails in Iowa connected to the IowaVINE system. All counties plan to join IowaVINE. Connectivity was established with the DOC in January 2008.

There has been a steady increase in usage by victims since the inception of the system in December 2006. As of May 2010, there were 54,236 people registered for notification. There have been 357,446 computer searches on IowaVINE and 9,826 phone calls to IowaVINE for information. There have been 46,778 email notifications delivered and 13,006 phone notifications delivered to registered victims.

Budget

The Crime Victim Assistance Division was awarded \$1.25 million in federal funds in FY 2007 to implement the system. The funds were expended across three fiscal years. The vendor, Appriss, Inc., provided all of the hardware, software, and training necessary to implement IowaVINE. Ongoing operating costs of approximately \$540,000 annually will be expended from the Victim Compensation Fund.

Future Plans

The Department of Justice was awarded a federal grant of \$333,000 for FY 2010 for enhancements, such as adding the capability to view the website in Spanish. No administrative or operating costs are permitted to be expended against this grant award. The grant will also support future enhancements:

- Adding the ability to send notification by text message.
- Adding photographs of incarcerated offenders to the website.

In the future, IowaVINE has the potential of connecting to other agencies within the juvenile, civil, and criminal justice systems. It is likely these plans will increase financial pressure on the Victim Compensation Fund. IowaVINE may be blended with the Criminal Justice Information System (CJIS) currently being developed by the Criminal and Juvenile Justice Planning Division of the Department of Human Rights. The CJIS transmits justice system information, such as that of the DOC and the Judicial Branch, to other justice agencies in a common format. Future plans call for selected pieces of that information to be transmitted to the State's Justice Data Warehouse for statistical analysis.

More Information

IowaVINE: www.vinelink.com/vinelink/

Iowa Department of Justice Website: www.state.ia.us/government/ag/

Iowa Coalition Against Sexual Assault Website: www.iowacasa.org

Iowa Coalition Against Domestic Violence Website: www.icadv.org

Iowa Organization for Victim Assistance Website: www.iowaiova.com

Iowa Sexual Abuse hotline = 1-800-284-7821

Iowa Domestic Violence hotline = 1-800-942-0333

LSA Staff Contact: Beth Lenstra (515-281-6301) beth.lenstra@legis.state.ia.us

Budget Unit: Board of Parole

BU Number: 5470B400001

Purpose and History

The 32nd General Assembly (1907-1908) created an indeterminate criminal sentencing structure for Iowa and created the [Board of Parole](#) to implement that structure. Today, Iowa's criminal sentencing structure is a mix of determinate (e.g., mandatory minimum terms) and indeterminate (e.g., sentence may be modified by the Board of Parole). The Board of Parole consists of five members appointed by the Governor. The chairperson and vice chairperson are full-time salaried positions, while three members are part-time and paid a per diem.

Duties of the Board

The Board's mission is to enhance public safety by making parole and work release decisions that transition offenders in prison back to the community. For more information regarding these duties, please refer to the *Fiscal Topic Board of Parole* (budget oversight) published by the LSA in July 2010.

Funding

The Board receives 100.0% of its funding from the General Fund. The IPAROLE Data Information System was developed through appropriations from the Technology Reinvestment Fund over several years (ending in FY 2007) with real-time interaction with the Iowa Corrections Offender Network (ICON). The upkeep of this specifically designed system for the Board is now funded from the Board of Parole's appropriations.

The Board of Parole is attached to the Iowa [Department of Corrections](#) (DOC) for administrative purposes only. The Board sets its own policies and makes release and revocation decisions independent of the DOC.

Other States

The structure and duties of parole boards vary across the country. Some states combine the board with the DOC; some states structure the board as an independent body. Some boards make recommendations to the Governor regarding pardons and commutations; some boards directly issue pardons and commutations; some boards oversee death penalty cases.

Iowa Code Chapter 907B governs the Interstate Compact between states. Where appropriate the Iowa Board has paroled offenders to various states across the country if the parole plan is accepted by the Board and by the receiving state.

Some states and the federal government have structured their criminal codes to be strictly determinate sentencing, where there is no parole. However, some states that previously abolished parole have now reinstated the process to permit more control over prison re-entry. There are currently 21 active Sentencing Commissions, including the U.S. Sentencing Commission. There still may be an active Parole Board in these jurisdictions. The Commissions may be located within the Judicial or Executive Branch. Sentencing Commissions may:

- Establish sentencing policies and practices for the courts, including guidelines to be consulted regarding the appropriate form and severity of punishment for offenders.
- Advise and assist the General Assembly and the Executive Branch in the development of effective and efficient crime policy.
- Collect, analyze, research, and distribute a broad array of information on crime and sentencing issues, serving as an information resource for the General Assembly, Executive and Judicial Branches, criminal justice practitioners, the academic community, and the public.

Related Statutes and Administrative Rules

Iowa Code chapters [904A](#), [906](#), [907B](#), and [908](#)

Iowa Administrative Code: [205 IAC](#)

More Information

Budget Schedules and Related Documents

LSA Staff Contact: Beth Lenstra (515-281-6301) beth.lenstra@legis.state.ia.us

Budget Unit: Office of the Attorney General

BU Number: 1120B010001

Purpose and History

The [Attorney General](#) is an original constitutional office and is elected by popular vote every four years. The Attorney General is the head of the Department of Justice, composed of the Attorney General's Office, Prosecuting Attorney Training Coordinator, the Consumer Advocate's Office, and the Victim Assistance Program. The Office represents the State in all litigation, issues formal and informal opinions and advice to State agencies, prosecutes some criminal offenders and handles all criminal appeals, and administers the Farm Mediation Program and the Legal Services Poverty Grant. Please see the *Fiscal Topic* [Iowa's Victim Information and Notification Everyday \(VINE\) System](#) for more information regarding victim services.

Funding

The Office receives 33.0% of its funding from the General Fund. The majority of its funding is from reimbursements from other agencies or internal funds. It receives reimbursements from other agencies and other funds for legal services provided.

The Office leverages other funds under its control to perform functions of the Department of Justice. Some of these internal funds are restricted accounts, where the fund is restricted either in regard to the sources of the revenue or the expenditure of the funds. The Office of the Attorney General has little discretion in spending the money; the Office must spend it for its required purpose. The majority of restricted funds are created due to lawsuit settlements, and the receipts must be expended within the parameters set by court orders. Other restricted funds are created by statute, and the receipts must be expended as defined by the General Assembly. Restricted funds include: Victim Compensation Fund, Consumer Education Fund, Tobacco Litigation Donations, Court Ordered Environmental Crime Fines, Consumer Credit Administration Fund, Elderly Victims Fraud Fund, Fine Paper Antitrust, and Forfeited Property.

Consumer Fraud Refunds is a holding account, with receipts to the Fund disbursed to consumers that have been defrauded.

National Perspective

The Office is a member of the [National Association of the Attorneys General](#). This professional organization provides a forum for state attorney generals to cooperate on issues of interstate interest or national issues.

Related Statutes and Administrative Rules

Iowa Code Chapters [13](#), [13A](#), [553](#), and [679](#)

Iowa Code Section [714.16](#)

Iowa Administrative Code: [61 IAC](#)

More Information

Budget Schedules and Related Documents

[United States Department of Justice](#)

[Federal Bureau of Investigation](#)

LSA Staff Contact: Beth Lenstra (515-281-6301) beth.lenstra@legis.state.ia.us

Budget Unit: Division of Criminal Investigation

BU Number: 5950670001

The Division of Criminal Investigation (DCI) was created in 1921 to provide investigative support and expertise to law enforcement agencies across the State. The Division is comprised of four areas:

Criminalistics Laboratory

The Criminalistics Laboratory or "Crime Lab" was created by statute in July 1969 and funded in July 1970. The Crime Lab consists of the following sections:

- [Arson](#)
- [Breath Alcohol](#)
- Crime Scene Processing
- [DNA](#)
- [Drug Identification](#)
- Evidence Processing
- [Firearms and Tool Marks](#)
- [Impression Evidence](#) such as latent prints and tire tracks
- [Photography](#)
- [Questioned Documents](#) such as handwriting comparisons
- [Toxicology](#)
- [Trace Evidence](#)

Field Operations Bureau

The Field Operations Bureau of the DCI includes:

- [Major Crime Unit](#)
- [Cold Case Unit](#)
- [Missing Persons Information Clearinghouse](#)
- [Cyber Crime Unit](#)
- [Internet Crimes Against Children](#)

Support Operations Bureau

The Support Operations Bureau of the DCI includes:

- [Administrative Support/Transcription Unit](#)
- [Automated Fingerprint Information System \(AFIS\)/Fingerprint Unit](#)
- [Records and Identification Unit](#)
- [Criminal History Dissemination Unit](#)
- [Sex Offender Registry and Investigation Unit](#)

Gaming Operations Bureau

The Gaming Operations Bureau of the DCI is in charge of both criminal investigations and regulatory enforcement of the laws and rules dealing with gambling in Iowa. For FY 2011, there are three racetracks and 15 riverboat/land-based casinos. There are currently 115.0 FTE positions associated with riverboat gaming, 6.0 FTE positions for track gaming, and 3.0 FTE positions for pari-mutuel gaming. Each riverboat is required to have two special agents and four gaming enforcement officers. Each track is required to have at least one special agent. One additional gaming enforcement officer, up to a total of five per riverboat or gambling structure, may be employed for each riverboat or gambling structure that has extended operations to 24 hours with a patronage exceeding 2,000 customers.

Funding

The State General Fund appropriation for the DCI is approximately 16.5% of the total Public Safety appropriation; however, in SF 2378 (FY 2011 Justice System Appropriations Act), a Gaming Enforcement Revolving Fund was established and \$9.3 million is appropriated from the Fund to the Department of Public Safety for gaming enforcement. Receipts to the Fund are generated by billings to the gaming industry. The Fund supports 115.00 of the 277.10 FTE positions authorized for the DCI. Prior to the creation of the Fund, the DCI was approximately 24.0% of the total DPS General Fund budget.

Prior to July 1, 2010, the Department of Public Safety (DPS) billed the gaming industry through the Iowa Racing and Gaming Commission (RACI) for 100.0% of the regulatory fees including direct and indirect support costs such as supplies,

More Information

Department of Public Safety: <http://www.dps.state.ia.us/>

LSA Staff Contact: Jennifer Acton (515-281-7846) jennifer.acton@legis.state.ia.us

Budget Unit: Division of Criminal Investigation

equipment, fuel, and costs associated with support personnel. The gaming industry reimbursed regulatory fees to the General Fund on a weekly basis. Since July 1, 2010, receipts from the gaming industry have been deposited in the Gaming Enforcement Fund rather than the General Fund. The DCI Gaming Division no longer receives funding from the General Fund, but instead receives funding from the Gaming Enforcement Fund. The Gaming Enforcement Fund is capped at \$9,315,306 and 115.0 FTE positions. Due to the approval of the new license in Worth County, the spending authority was increased by \$521,000 to pay for the costs of personnel and support associated with the Worth County license. These figures will need to be reviewed by the Justice System Appropriations Subcommittee on an annual basis.

The following chart shows the General Fund appropriation distribution for FY 2011 for the DCI:

Division of Criminal Investigation	\$	5,628,422
Sex Offender Registry		796,117
Investigation Administration		580,208
Laboratory		5,856,963
	\$	12,861,710

The DCI receives federal funding from a variety of sources, including the Department of Justice (Cold Case and Internet Crimes Against Children Forensic Science Improvement grants), the National Highway Traffic Safety Administration (Crime Lab), and the Office of the President (High Intensity Drug Trafficking Area (HIDTA) for the Crime Lab).

The following chart shows the direct federal funding received by the DCI for FY 2011:

Cold Case Files	\$	193,030
Cold Case Unit - National Institute of Justice Award		285,163
SMART Grant		251,950
Child Sexual Predator Program Grant		468,990
Internet Crimes Against Children Continuation Grant		315,980
Internet Crimes Against Children ARRA Grant		350,000
Forensic DNA Backlog Reduction Grant		247,571
	\$	2,112,684

The following chart shows the intra-State transfers; fee, license, and permit revenue; and reimbursements from other agencies received by the DCI for FY 2011:

Records and Identification - Reimbursements	\$	1,274,280
Records and Identification - Fees		2,492,113
Laboratory Highway Safety Grant		155,000
HIDTA Lab Funds		172,037
Coverdale DCI Lab Grant		95,000
Lottery Investigation		123,063
Video Gaming		485,776
	\$	4,797,269

Other States

The Iowa Division of Criminal Investigation is unique when compared to other States. In Iowa, crime lab work is not outsourced to private labs. In comparison to other state labs, Iowa essentially has no backlog in the convicted offender or forensic casework DNA areas. Because every state has a different way of operating its sex offender registry, crime lab, and gaming inspections, it is difficult to do a true state by state comparison. Some states house the DCI in the Department of Justice with the Attorney General's Office while other states require the investigative function to operate as an independent entity.

Related Statutes and Administrative Rules

Iowa Code Chapter [80](#)

Iowa Administrative Code: [661 IAC](#)

Budget Unit: Iowa Law Enforcement Academy (ILEA)

BU Number: 4670R010001

Purpose and History

The [Iowa Law Enforcement Academy](#) (ILEA) was created in [HF 260](#) by the Iowa General Assembly in 1967. The intent of the General Assembly was to maximize training opportunities for law enforcement, to coordinate training, and to set standards in order to upgrade law enforcement to a professional status.

The ILEA provides training for law enforcement officers, jailers, and telecommunicators in the State. The Academy also administers a program of psychological testing for applicants for law enforcement positions, approves regional training programs, establishes minimum hiring standards, and provides audio-visual resources for law enforcement training and educational institutions. The Academy is also responsible for decertifying or suspending an officer's certification. The Director and staff are employed pursuant to the Iowa merit system.

The Basic Training provided at the ILEA is a 13-week course offered up to six times per year. In addition, the ILEA also offers one-day specialty schools and in-service seminars for law enforcement personnel. The ILEA facility is located at Camp Dodge, including dormitory rooms with a capacity for 112 people, classrooms, a cafeteria, physical fitness center, photography and video production studios, and administrative offices. The Academy shares firing ranges and tactical facilities with the Iowa National Guard. In addition to the ILEA Academy, the Department of Public Safety, Cedar Rapids Police Department, and Des Moines Police Department offer training courses certified by ILEA. Training is also offered at Hawkeye Community College and Western Iowa Tech Community College for people with a two- or four-year degree.

Funding

Funding for ILEA is approximately 60.0% fees and 40.0% General Fund appropriation. Fees are charged to local law enforcement agencies for the training of their new officers to attend the Basic Academy, and for continuing education programs. Language was added effective July 1, 2010, that allows the Academy to bill one-third of the costs to the local (employing) agency and one-third of the cost to the individual officer with one-third of the costs paid by funds appropriated to ILEA. The local (employing) agency can elect to pay the individual officer's share.

At the August 2010 meeting of the ILEA Council, the Council voted unanimously to extend the Basic Academy from 13 weeks to 14 weeks. Although the Academy has been extended an additional week, the cost to attend remains the same at \$6,909 until the next audit is completed. The Council will address the next audit at the February 2011 meeting.

Other States

An officer certified in another State, coming into Iowa, may be eligible for certification through examination without attending a training course. All officers eligible in another State are eligible for certification by examination as long as they meet requirements established by ILEA Council. There are approximately 31 States set up similarly to Iowa with a centralized academy.

Related Statutes and Administrative Rules

Iowa Code chapter [80B](#)

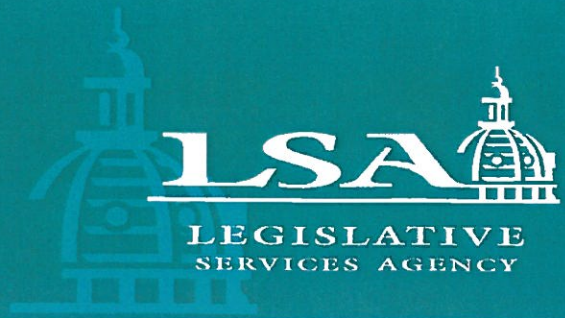
Iowa Administrative Code: [501 IAC](#)

More Information

Budget Schedules and Related Documents

[Iowa Law Enforcement Academy](#)

LSA Staff Contact: Jennifer Acton (515-281-7846) jennifer.acton@legis.state.ia.us



Budget Unit: Iowa State Patrol

BU Number: 5950R750001

Purpose and History

The [Iowa State Patrol](#) was created by the 46th General Assembly in 1935 with 53 safety patrol officers. In the late 1990s, the State Patrol had as many as 455 sworn peace officers and approximately 357 were considered "road troopers." As of January 2010, there were 387 enforcement officers in the State Patrol. This includes 268 troopers, eight trooper pilots, four canine handlers, and 14 motor carrier safety officers. As of December 2010, there are 12 district State Patrol posts across the State in addition to the Vehicle Theft Unit and Post 16 stationed at the State Capitol Complex. There are approximately 40 sergeants in the 12 State Patrol posts.

Funding

Between FY 1982 and FY 1995, the State Patrol was funded directly from the Road Use Tax Fund. From FY 1996 to FY 1999, the State Patrol was funded from motor vehicle use tax receipts and in part from General Fund appropriations. Since FY 2000, the State Patrol has been funded from the State General Fund.

The State Patrol receives a General Fund appropriation that is approximately 63.0% of the total Department of Public Safety (DPS) budget. In addition, the State Patrol may, at the discretion of the Commissioner, receive funding from either State or Federal Asset Forfeiture Funds, based on the availability of moneys in either account. These funds have traditionally been used for the purchase of equipment. The State Patrol also receives federal money through programs such as the Motor Carrier Safety Assistance Program and the National Highway Traffic Safety Administration's traffic safety programs.

The State Patrol has approximately \$2.2 million budgeted annually in a depreciation account to purchase approximately 105 cars per year or about one-fourth of the fleet. The average price per car is approximately \$23,000. Historically, the State Patrol has tried to limit using vehicles with over 100,000 miles, although recent State Vehicle Dispatch guidelines require enforcement vehicles to be driven 108,000 miles before auction. In one year, the average trooper will drive approximately 30,000 miles. The total miles driven in one calendar year by the State Patrol averages approximately 10.6 million miles, using approximately 733,000 gallons of fuel. The Department of Public Safety does not pay State or federal taxes at the pump. Taxes total an additional \$0.374 per gallon of 10.0% ethanol blended gasoline (\$0.19 State and \$0.184 federal).

The State Patrol also has seven airplanes. There is no depreciation account established for the purchase of airplanes. The life span of a typical State Patrol airplane averages 10,000 hours, or approximately 15 years. The State Patrol also budgets approximately \$140,000 for aviation fuel and maintenance.

Other States

Other states use a variety of organization structures for their State Patrol. Some include the State Patrol function within an umbrella "DPS" type agency, some include the State Patrol as part of either the Department of Transportation (DOT) or the Department of Public Defense, and some have an independent State Patrol agency. Some states have a "state police" model, such as Illinois, where every officer starts as a trooper and can only later become an agent/investigator/drug agent within the organization. States that are comparable to Iowa are [Minnesota](#), [Colorado](#), [Arizona](#), and [Alabama](#).

Related Statutes and Administrative Rules

Iowa Code chapter [80](#)

Iowa Administrative Code: [661 IAC](#)

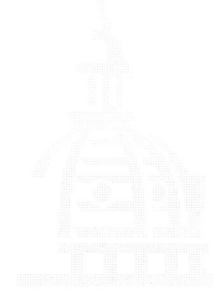
More Information

Budget Schedules and Related Documents

LSA Staff Contact: Jennifer Acton (515-281-7846) jennifer.acton@legis.state.ia.us

Appendix G

Issue Reviews



Department of Corrections Centralized Pharmacy

ISSUE

This *Issue Review* provides information regarding the implementation of the centralized pharmacy within the Department of Corrections (DOC).

AFFECTED AGENCIES

Departments of Corrections and Public Health

CODE AUTHORITY

Chapter 904

BACKGROUND

Medical costs are the second largest operational expenditure, after personnel, in the Iowa Prison System and medical costs are the fastest growing component of all operating costs. State expenditures for medical costs were \$33.3 million in FY 2010, an increase of \$12.6 million (60.8%) compared to FY 2005. The average annual increase was 6.8% since FY 2005. In contrast, the average annual increase for personnel costs was 2.5% over the same reporting period.

Medical costs include psychiatric care, nursing, medical and surgical, pharmacy, dental, radiology, and laboratory. This *Issue Review* focuses on the implementation of the centralized pharmacy. **Table 1** indicates pharmacy costs were the second largest cost component at \$9.2 million in FY 2010, or 27.6% of all medical costs. These costs have increased by 72.4% since FY 2005. However, the costs have decreased each of the last two years, with a decrease of \$868,786 (8.6%) from FY 2009 to FY 2010. For more information regarding the prisons, please refer to the *Issue Review*, State Prison System Budget issued by the LSA.

Table 1
Medical Costs of the State Prison System

	FY 2005	FY 2006	FY 2007	FY 2008	FY 2009	FY 2010	FY 2010 vs FY 2005	Percent Increase
Nursing	\$ 8,080,564	\$ 8,686,799	\$ 9,376,509	\$ 13,411,069	\$ 14,194,267	\$ 14,411,724	\$ 6,331,160	78.4%
Pharmacy	5,331,198	7,525,163	9,463,803	10,095,622	10,059,625	9,190,839	3,859,641	72.4%
Medical & Surgical	5,093,725	3,838,265	4,129,287	5,042,576	6,202,808	5,701,587	607,862	11.9%
Dental	1,361,881	1,453,626	1,572,789	1,777,401	1,776,812	1,692,920	331,039	24.3%
Psychiatric Care	428,773	1,112,346	1,325,317	1,690,758	1,504,533	1,499,063	1,070,290	249.6%
Laboratory	356,610	458,112	497,573	593,732	602,028	603,813	247,203	69.3%
Radiology	39,249	154,696	834,654	197,750	180,241	169,987	130,738	333.1%
Total Expenditures	\$ 20,692,000	\$ 23,229,007	\$ 27,199,932	\$ 32,808,908	\$ 34,520,314	\$ 33,269,933	\$ 12,577,933	60.8%

Pharmacy costs are comprised of personnel services and drugs and biologicals. The majority of pharmacy costs are drugs and biologicals. **Table 2** provides the costs for drugs and biologicals for the last six years. This line item includes both prescription and over-the-counter medications. The costs have increased 67.1% from FY 2005 to FY 2010. The average daily population in the State prison system decreased by 163 offenders over this reporting period. Five Institutions had the Iowa Corrections Offender Network in place during the reporting period FY 2005 through FY 2010. Based on statistics from these five Institutions, the average cost of medications per offender in FY 2005 was \$36.67; it was \$58.21 in FY 2010, for an increase of 58.7%. The cost increase is primarily due to increased costs of medications, rather than increased use by offenders.

Costs have decreased in FY 2009 and FY 2010 primarily due to creating and adhering to a formulary (list of medication choices available to physicians). **Table 2** does include the separate General Fund appropriation that the DOC receives for Hepatitis C treatment. The DOC receives credits for expired medicines, and those reductions are also included in **Table 2**.

**Table 2
Drug and Biologicals Costs of the State Prison System**

	FY 2005	FY 2006	FY 2007	FY 2008	FY 2009	FY 2010	FY 2010 vs FY 2005	Percent Increase
Drugs and Biologicals	\$ 4,621,395	\$ 6,752,216	\$ 8,606,402	\$ 8,994,297	\$ 8,881,142	\$ 7,722,294	\$ 3,100,899	67.1%

The DOC's experience with pharmacy costs is comparable to national trends. According to the Centers for Medicare and Medicaid Services (CMMS), costs for prescription drugs have been trending upward until recently. Now, depending on the type of drug, costs have either increased at a less-than-expected rate or have leveled off. The CMMS attributed the slower growth in costs to increased competition and more generics are available.

Prior to FY 2008, each prison had its own budget for pharmacy. The 2007 General Assembly transferred \$6.9 million from eight of the prisons to the Iowa Medical Classification Center (IMCC) at Oakdale to create a centralized pharmacy. According to the DOC, other states have centralized pharmacy operations as a cost containment effort.

The DOC hired a Pharmacy Director in FY 2009 to work with the Iowa Board of Pharmacy, DOC doctors and pharmacists, and the Iowa Pharmacy Foundation to create a centralized pharmacy, and train staff. Administrative rules were amended, adherence to the formulary was improved, and increased use of generic or low-cost alternatives occurred. Total pharmacy costs decreased by \$36,000 from FY 2008 to FY 2009. The cost of drugs and biologicals decreased approximately \$113,000 from FY 2008 to FY 2009.

The DOC hired a Health Services Director in FY 2010 to focus on improving medical therapy while reducing costs. The Health Services Director worked with the Pharmacy Director, mental health and medical staff to improve medical, mental health, nursing, and pharmacy services. Total pharmacy costs decreased by \$869,000 from FY 2009 to FY 2010. The cost of drugs and biologicals decreased approximately \$1.2 million from FY 2009 to FY 2010.

The Attorney General's Office contracted with the Iowa Pharmacy Foundation to evaluate the DOC pharmacy. The Pharmacy Evaluation Project final report was issued in June 2010 and provided options to optimize medication-related therapies while reducing medication-related costs.

Senate File 2088 (Government Reorganization and Efficiencies Act of 2010) required the Departments of Human Services, Public Health, Corrections, Management, and any other appropriate agency to identify strategies to improve efficiencies in purchasing and dispensing pharmaceuticals, including changes to State law or approval from the federal government. The Department of Public Health makes purchases under federal guidelines and has certain federal restrictions that hamper shared operations with other State agencies. The Department of Human Services (DHS) implemented several new policies in the Medicaid Program and is in the process of reviewing others. The DOC is pursuing efficiencies with its central pharmacy.

CURRENT SITUATION

Locations and Staffing

The DOC has on-site pharmacy operations at three facilities (Clarinda, Mount Pleasant, and Oakdale) while three locations (Fort Madison, Newton, and Anamosa) used an out of state pharmacy (Diamond contract). The pharmacy staff at Clarinda and Mount Pleasant is shared with the DHS. The Mitchellville prison is now served by the central pharmacy. The prisons at Fort Dodge and Rockwell City have pharmacy licenses because stock medications are kept on site, but there is no dispensing pharmacy.

The DOC hired additional central pharmacy staff in May and June 2010. Space for the centralized pharmacy at the Iowa Building (former Mercy Capitol building) was renovated, and the DOC staff moved into the building in August 2010. The central pharmacy started processing orders for Rockwell City in August, Fort Dodge in September and Mitchellville in October. It is anticipated Oakdale will maintain its own pharmacy due to the medical focus of that prison.

The DOC is currently using the Diamond contract on a short-term basis to provide services to Fort Madison, Anamosa, and Newton. The DOC is developing a plan to serve these three prisons to address workload, prescription volume, packaging, and delivery concerns. The plan includes positioning the Oakdale pharmacy to serve as a back up to the central pharmacy in case of staff turnover or illness, or machinery malfunctions. Once Fort Madison, Anamosa, and Newton are served by the central pharmacy, the Diamond contract will end. Those expenditures will be converted to salary and drug expenses. That contract cost was \$3.9 million in FY 2010.

Table 3 shows pharmacy staff by location and job class. Oakdale's staff count includes six positions that are assigned to the central pharmacy and work in Des Moines. The six positions are two pharmacists, three pharmacy technicians, and one pharmacy assistant. Both the DOC and the DHS may use contract pharmacists on a temporary as-needed basis.

**Table 3
Pharmacy Staff Budgeted by Location**

Institution	Job Class (1)	Number of Staff (2)	Budget (3)
Oakdale	Pharmacy Assistant	1.00	\$ 43,949
	Pharmacist	4.00	332,990
	Pharmacy Technician	6.00	272,507
	Pharmacy Director	1.00	80,748
	Subtotal	12.00	\$ 730,194
Clarinda	Pharmacist	1.00	120,060
	Pharmacy Supervisor	1.00	119,513
	Pharmacy Technician	1.00	53,086
	Subtotal	3.00	292,659
Fort Dodge	Pharmacy Assistant	1.00	\$ 49,903
Mount Pleasant	Pharmacists	2.00	\$ 238,046
Total			\$ 1,310,802

Notes:

- Staff for the central pharmacy are budgeted at Oakdale and work in Des Moines. These include 2.0 Pharmacists, 3.0 Pharmacy Technicians, and 1.0 Pharmacy Assistant. 1.0 Pharmacy Technician is vacant.
- Costs at Clarinda are shared between the DHS - (35.0%) and the DOC (65.0%)
- Costs for the two Pharmacists at Mount Pleasant are shared with the DHS. 1.60 of the FTE positions are paid by the DOC and .40 FTE position is paid by the DHS.

Central Pharmacy Implementation

The DOC uses the Iowa Corrections Offender Network (ICON) for pharmacy administration. This includes tracking medication by offender as well as Drug Utilization Review that monitors patient profile information and formulary and nonformulary usage. The ICON system provides extensive reporting capabilities to manage inventory, comply with pharmacy regulations, and respond quickly to events such as recalls.

The DOC is using several Group Purchasing Organizations (GPOs) in its operations, including Novation and Minnesota Multi-state Contracting Alliance for Pharmacy (MMCAP). The wholesaler is Amerisource Bergen. The central pharmacy in Des Moines uses MMCAP (for governments only) and provides improved bulk purchasing discounts. Oakdale uses Novation, a GPO that permits both public and private purchasers. This GPO provides a wider selection of unit dosages for specialized medical needs.

Future Plans

It is anticipated that the use of contracted pharmacists will decrease as the DOC gains experience with the central pharmacy. The amount of expired drugs should decrease with improved monitoring and control from the central pharmacy. The DOC may use one GPO in the future, depending on price points and specialized needs of offenders.

The DOC is working with the Iowa Prescription Drug Corporation (IPDC) to receive medicines within two weeks of the expiration date to be used for offenders reentering the community on parole or work release.

ALTERNATIVES

The DOC may further contain costs by:

- Utilizing one GPO as the main buying group. Increased use of one GPO may further reduce unit costs.
- Creating a consortium of all nine prisons, county jails, DHS institutions, and the Veteran's Home to purchase pharmacy supplies, drugs, and medical supplies at wholesale or discount prices. While the DHS and Veteran's Home have a different patient base and funding stream, and therefore, a different formulary, there are opportunities for cost reductions related to bulk purchasing and adherence to formulary.
- Providing services to the Mount Pleasant and Clarinda Correctional Facilities. This would require one pharmacist and up to two pharmacy technicians being located in the central pharmacy. The staffing need could be met by transferring existing staff at the prisons and filling the vacant technician position at Oakdale. This would result in an overall savings of positions on the DOC table of organization. The impact on the DHS operations would need to be considered before implementing this alternative.
- Providing pharmacy services to the DHS facilities and populations. This alternative could create efficiencies by enhancing purchasing power and reducing staff needs. This is a long-range option because staffing needs, federal restrictions, and operational differences would need to be studied.
- Implementing Pharmacy Telemedicine for remote supervision of pharmacy staff, e.g., pharmacy employee at Fort Dodge, and dispensing of medications. This option requires an update to the Iowa Board of Pharmacy administrative rules.
- Implementing a clinical pharmacy to ensure the proper use of medicine and perform in-depth population level analyses.
- Providing services to Community-Based Corrections (CBC) populations. Currently, offenders on parole, probation, work release, and pretrial release are responsible for paying for and administering their own medications. Cost and monitoring are barriers for these offenders. Those that remain on their medications may have a lower recidivism rate. The central pharmacy could serve this population by reducing the offenders' out-of-pocket costs. Administration of the medicine could be monitored by telemedicine or CBC staff.
- Continuing to review options for inclusion in the federal 340B program that would allow purchasing drugs at a lower rate. This could be applied to both the prison and CBC populations.
- Releasing elderly, chronically ill, or terminally ill offenders. The majority of the states permit some type of release for ill or elderly offenders by statute. Releasing these offenders to community-based care could contain medical and pharmacy costs for the prison system. The costs may be shifted to Medicaid, Medicare, veteran's benefits, or other public or private assistance. This option could leverage other funding streams and reduce costs for the State General Fund.

Iowa has no specific statute for early release of these offenders. The Iowa Board of Parole does grant parole to offenders with a limited risk to reoffend due to age or illness. Under current law, only those serving nonmandatory minimum terms or those that have served the mandatory minimum sentence portion, are eligible for this type of release. Most elderly or ill offenders are serving mandatory minimum terms and are not eligible to be considered for

early release. Under current law, these offenders are eligible to be released through the commutation of sentence process, although the process is quite lengthy and seldom used.

BUDGET IMPACT

If the cost of drugs and biologicals continues to increase at the current rate, annual costs will total approximately \$27.0 million in 10 years. If the DOC and other governmental agencies cooperate, this projected increased cost could be reduced or contained through bulk purchasing, technology, administrative efficiencies, and statutory changes.

Currently, the pharmacy budget is included in the General Fund appropriation to the Iowa Medical Classification Center at Oakdale plus a separate appropriation for Hepatitis C treatment is made to Central Office. Creating a separate appropriation for the central pharmacy by combining the appropriations in Central Office and Oakdale would improve legislative and expenditure oversight and reduce the per diem costs at Oakdale. This prison's average annual cost per inmate was \$60,860 in FY 2010. The costs for this facility are significantly higher compared to the other eight institutions due to the unique services it provides (concentration of medical costs, centralized intake and assessment). Including the central pharmacy within the Oakdale budget overstates its costs because the central pharmacy does not serve the Oakdale population.

STAFF CONTACT: Beth Lenstra (515-281-6301) beth.lenstra@legis.state.ia.us



State Prison System Budget

ISSUE

This *Issue Review* examines the prison system FY 2010 budget; including receipts and expenditures, average annual costs, personnel, and inmate assaults.

AFFECTED AGENCIES

Department of Corrections

CODE AUTHORITY

Chapter 904

BACKGROUND

State appropriations to the Department of Corrections (DOC) are approximately 6.2% of the overall General Fund budget. Total appropriations (General Fund and federal stimulus funds) to the DOC for operating costs are approximately \$342.7 million in FY 2010. Of that amount, \$258.2 million (75.3%) is for the prison system, \$77.0 million (22.5%) is for Community-Based Corrections (CBC), and \$7.5 million (2.2%) is for general administration and accounts managed by the Central Office. This *Issue Review* focuses on the prison system and the FY 2010 budget. For historical information, please refer to the [Combined Report on the Institutions Under the Control of the Iowa Department of Corrections for FY 2009](#) issued by the Auditor of State.

Senate File 2366 (FY 2010 Appropriations Adjustments Act) provided supplemental appropriations to each of the nine prisons operated by the DOC. The Act also allowed the ending balance of the General Fund appropriation to carry forward and be used in FY 2011. There were no FY 2010 General Fund reversions. Below is a brief description of each prison.

Fort Madison. The Iowa State Penitentiary at Fort Madison was built in 1839. The current capacity is 900 beds. This reflects the closure of Farms One and Three (loss of 180 minimum-security beds) in July 2010, and changes based on the audit conducted by the DOC in the first quarter of FY 2011. There are 748 maximum-security beds (including the Clinical Care Unit) plus the 152-bed minimum-security John Bennett Facility.

The prison houses general population male offenders. The FY 2010 budget was \$43.6 million and 482.5 FTE positions. The average population was 1,055 offenders (117.2% of capacity)

and the average annual cost per offender is estimated to be \$40,598. The prison carried forward \$760,751 to FY 2011. The actual FTE positions used by the facility were 480.4, or 99.6% of the amount budgeted.

The General Assembly authorized the replacement of the maximum-security prison “inside the walls” during the 2008 Legislative Session. For more information, see the *Issue Review, Corrections Construction and Capacity* issued by the LSA.

Anamosa. The Anamosa State Penitentiary was built in 1872 and houses general population male offenders. The budget provides operating funds for the 911-bed medium-security facility in Anamosa and the 88-bed minimum-security facility at the Luster Heights Prison Camp. The FY 2010 budget was \$31.5 million and 355.0 FTE positions. The average population was 1,110 offenders (111.1% of capacity) and the average annual cost per inmate is estimated to be \$27,876. The prison carried forward \$520,369 to FY 2011. The actual FTE positions used by the facility were 318.9, or 89.8% of the amount budgeted.

Oakdale. The Iowa Medical and Classification Center at Oakdale was built in 1969 and serves as the reception center for both male and female offenders. The current capacity is 585 medium-security beds. The prison includes reception beds for offenders first entering the prison system, a forensic psychiatric hospital, a special needs unit for mentally ill offenders, a medical facility for offenders requiring care at University of Iowa Hospitals and Clinics, general population beds, and a 30-bed women’s unit. The FY 2010 budget was \$57.4 million and 564.5 FTE positions. The budget includes funds for medical staff as well as the centralized pharmacy. The average population was 918 offenders (156.9% of capacity) and the average annual cost per inmate is estimated to be \$60,860. The prison carried forward \$1,509,081 to FY 2011. The actual FTE positions used by the facility were 536.2, or 95.0% of the amount of time budgeted.

The costs for this facility are significantly higher compared to the other eight institutions due to the unique services it provides (concentration of medical and pharmacy costs, centralized intake and assessment). Consolidating these costs at one location is more efficient for the prison system as a whole.

Newton. The Newton Correctional Facility was built in 1965 (252-bed minimum-security unit) and 1998 (762-bed medium-security unit). The facility serves general population offenders and offenders in prerelease status in the minimum-security unit. The FY 2010 budget was \$27.2 million and 312.0 FTE positions. The average population was 1,136 offenders (112.0% of capacity) and the average annual cost per inmate is estimated to be \$23,884. The facility carried forward \$36,914 to FY 2011. The actual FTE positions used by the facility were 304.5, or 97.6% of the amount budgeted.

Mount Pleasant. The Mount Pleasant Correctional Facility was built in 1860 and is a shared campus with the Mount Pleasant Mental Health Institute under the control of the Department of Human Services (DHS). The DOC and the DHS share services for cost efficiency. Certain costs are split between the DOC and DHS budgets. These costs include administration, business office, personnel, maintenance, laundry, dietary, and certain professional programs.

A portion of the campus was repurposed as a prison in 1976. The 874-bed medium-security prison houses male offenders (774 beds) and female offenders (100 beds). The women’s unit serves as a Special Needs Unit for mentally ill offenders. The prison is the primary location for treatment and housing of males convicted of sex offenses, and also provides substance abuse treatment. The FY 2010 budget was \$26.2 million and 300.3 FTE positions. The average population was 976 offenders (111.7% of capacity) and the average annual cost per inmate is

estimated to be \$26,784. The prison carried forward \$35,820 to FY 2011. The actual FTE positions used by the facility were 285.2, or 95.0% of the amount budgeted.

Rockwell City. The North Central Correctional Facility at Rockwell City was built in 1918 and served as the State's first separate prison for women. Female offenders were housed at Anamosa before Rockwell City opened. After 1982, the 245-bed minimum-security facility began serving male offenders close to release. The FY 2010 budget was \$9.4 million and 106.0 FTE positions. The average population was 494 offenders (201.6% of capacity) and the average annual cost per inmate is estimated to be \$19,059. The facility carried forward \$145 to FY 2011. The actual FTE positions used by the facility were 99.6, or 94.0% of the amount budgeted.

Clarinda. The current Clarinda Correctional Facility was built in 1996 and is co-located with the Clarinda Mental Health Institute under the control of the DHS. Certain costs are split between the DOC and DHS budgets. These costs include administration, business office, personnel, maintenance, laundry, dietary, and certain professional programs.

The 975-bed prison includes 750 medium-security beds and 225 minimum-security beds (Clarinda Lodge). The prison is the primary location for treatment and housing of males assessed with special needs. The assessment includes both behavioral and intellectual needs. The prison also offers substance abuse treatment licensed through the Department of Public Health. The prison's FY 2010 budget was \$25.9 million and 277.2 FTE positions. The average population was 973 offenders (99.8% of capacity) and the average annual cost per inmate is estimated to be \$26,292. The prison carried forward \$337,828 to FY 2011. The actual FTE positions used by the facility were 267.2, or 96.4% of the amount budgeted.

Mitchellville. The Iowa Correctional Institution for Women (ICIW) at Mitchellville was built in 1954 and was originally a training school for girls. The women were transferred from Rockwell City to this location in 1982. The 455-bed prison includes all ranges of security classifications, plus a minimum-outs program (housed and work outside the security fence). The FY 2010 budget was \$15.6 million and 191.0 FTE positions. The average population was 540 offenders (118.7% of capacity) and the average annual cost per inmate is estimated to be \$28,660. The prison carried forward \$166,634 to FY 2011. The actual FTE positions used by the facility were 171.4, or 89.7% of the amount budgeted.

The General Assembly authorized the replacement and addition of beds at Mitchellville during the 2008 Legislative Session. For more information, see the *Issue Review*, [Corrections Construction and Capacity](#) issued by the LSA.

Fort Dodge. The Fort Dodge Correctional Facility was built in 1998 and serves general population inmates with an emphasis on youthful offenders. It is a 1,162-bed medium-security prison. The FY 2010 budget was \$29.2 million and 319.0 FTE positions. The average population was 1,183 offenders (101.8% of capacity) and the average annual cost per inmate is estimated to be \$24,482. The prison carried forward \$195,885 to FY 2011. The actual FTE positions used by the facility were 303.1, or 95.0% of the amount of time budgeted.

CURRENT SITUATION**Receipts**

In FY 2010, total receipts for the prison system were \$265.9 million, a decrease of \$12.8 million (4.6%) compared to FY 2009. State appropriations (General Fund and federal stimulus funds) make up the majority of receipts (\$258.2 million or 97.1% in FY 2010) and most of the \$12.8 million decrease. Other receipts (\$7.7 million in FY 2010) include routine maintenance funds appropriated by the Transportation, Infrastructure, and Capitals Appropriation Subcommittee, fees for housing federal prisoners, pay for stay (6.0% surcharge on the amount spent by the offender; this surcharge is imposed on expenses for commissary, copies, and orders placed with mail order vendors), receipts from agencies for community service work crews, and offender deductions for private sector employment. There is also a contract with the Clarinda Academy to offset the shared costs of operating the campus.

Expenditures

Table 1 indicates that total expenditures for FY 2010 are \$262.3 million for the institutions, a decrease of \$14.0 million (5.1%) compared to FY 2009. There was no General Fund reversion and the balance brought forward to FY 2011 is \$3.6 million.

Of the amount expended for FY 2010, 80.5% was spent on personnel; 13.1% on food, clothing, and medical expenses; 2.7% on equipment and maintenance; and 3.7% on other support items. All categories of expenditures decreased in comparison to FY 2009.

Table 1: Prison Expenditures by Type of Expense

	Actual FY 2005	Actual FY 2006	Actual FY 2007	Actual FY 2008	Actual FY 2009	Actual FY 2010
	(1)	(2)	(3)	(4)	(5)	(6)
Personnel	\$ 169,996,866	\$ 182,488,525	\$ 191,648,618	\$ 212,102,423	\$ 218,122,130	\$ 211,065,965
Food, Clothing, Medical	29,029,710	30,557,993	34,758,989	40,721,200	39,447,744	34,289,443
Equipment and Maintenance	6,376,946	5,891,873	8,264,384	8,824,592	8,829,393	7,145,687
Other	7,403,911	9,044,354	10,293,036	10,348,655	9,897,844	9,816,369
Total Expenditures	\$ 212,807,433	\$ 227,982,745	\$ 244,965,027	\$ 271,996,870	\$ 276,297,111	\$ 262,317,464

ISSUES**Personnel Costs and FTE Positions**

Personnel costs are approximately 80.0% of the budget in any given year; approximately 55.0% of the costs are related to security staff. Security staff as a percentage of FTE positions varies across the prison system depending on security level. Fort Madison has the most security staff as a percentage of payroll, while Oakdale has the least.

As indicated in **Table 2**, the FY 2010 personnel services costs were \$211.10 million, a decrease of \$7.1 million (3.2%) compared to FY 2009. The decrease is due to the budget changes that occurred in FY 2010, such as across-the-board reductions and not funding salary adjustment. The DOC did not fill at least 220 vacant positions and eliminated 62 positions in the institutions due to budget reductions in FY 2010. The institutions used 2,766.3 FTE positions in FY 2010, or 95.3% of the amount budgeted. This is a decrease of 192.3 FTE positions (6.5%) compared to FY 2009.

Table 2: Personnel Services Data

	Actual FY 2005 (1)	Actual FY 2006 (2)	Actual FY 2007 (3)	Actual FY 2008 (4)	Actual FY 2009 (5)	Actual FY 2010 (6)
Personnel Services	\$ 168,316,422	\$ 180,935,689	\$ 191,648,619	\$ 212,102,423	\$ 218,122,130	\$ 211,065,965
Personnel Services % Budget	79.0%	79.3%	78.2%	78.0%	78.3%	79.4%
Overtime	\$ 2,999,387	\$ 4,404,515	\$ 5,610,993	\$ 6,514,267	\$ 5,316,989	\$ 5,150,802
Overtime % of Payroll	1.8%	2.4%	2.9%	3.1%	2.4%	2.4%
FTE	2,680.37	2,735.94	2,751.08	2,956.04	2,958.57	2,766.30
Correctional Officers (COs)	1,485.68	1,507.50	1,527.33	1,620.43	1,594.28	1,507.56
COs as % of FTE	55.4%	55.1%	55.5%	54.8%	53.9%	54.5%

Staffing peaked in FY 2009 at 2,958.6 FTE positions, while overtime peaked in FY 2008 at \$6.5 million. **Table 3** shows how staffing relates to the average daily prison population. Note that the FY 2010 average daily population decreased by 327 offenders (3.7%) compared to FY 2009. However, from FY 2005 through FY 2010, the average daily population increased by 1,848 offenders (28.3%). During the same period, FTE positions increased by 85.9 (3.2%). Correctional officer position utilization increased by 21.9 FTE positions (1.5%).

Table 3: Comparison of Staffing and Prison Population

	Actual FY 2005 (1)	Actual FY 2006 (2)	Actual FY 2007 (3)	Actual FY 2008 (4)	Actual FY 2009 (5)	Actual FY 2010 (6)
FTE Positions	2,680.4	2,735.9	2,751.1	2,956.0	2,958.6	2,766.3
Correctional Officers	1,485.7	1,507.5	1,527.3	1,620.4	1,594.3	1,507.6
Average Daily Population	6,537	8,704	8,873	8,765	8,712	8,385

Staffing continues to decrease in FY 2011, with a decrease of 337 paid staff (11.0%) from July 2009 (start of FY 2010) to July 2010 (start of FY 2011). The institutions eliminated 290 authorized but unfunded FTE positions when the budgets were revised at the start of FY 2011. Additionally, the institutions budgeted a vacancy factor of \$4.3 million in FY 2011 and this equates to approximately 72 positions (assumes an average salary cost of \$60,000) that must remain vacant due to a lack of salary funds.

Overtime

As indicated in **Table 2**, overtime costs peaked in FY 2008 at \$6.5 million. This was 3.1% of payroll costs. The DOC's goal is to manage overtime at 2.0% of payroll. **Table 4** below shows overtime in relation to overcrowding. Overtime does not appear to be related to overcrowding. Overtime costs peaked in FY 2008 while overcrowding peaked in FY 2007.

Table 4: Comparison of Overtime and Prison Population

	Actual FY 2005 (1)	Actual FY 2006 (2)	Actual FY 2007 (3)	Actual FY 2008 (4)	Actual FY 2009 (5)	Actual FY 2010 (6)
Total Budget	\$ 212,941,289	\$ 228,029,277	\$ 245,117,735	\$ 272,065,399	\$ 278,714,420	\$ 265,880,890
Overtime as % of Payroll	1.8%	2.4%	2.9%	3.1%	2.4%	2.4%
Average Daily Population	6,537	8,704	8,873	8,765	8,712	8,385
Population as % of Capacity	93.5%	120.2%	122.3%	118.2%	117.5%	113.1%

Table 4 shows how overtime relates to the total budget and offender population. Overtime reached a record high (\$6.5 million) in FY 2008 after the offender population peaked in FY 2007 and before the budget reached a historical high of \$278.7 million in FY 2009. Overtime costs do not appear to be significantly related to the average daily population or the budget.

Inmate Assaults

Table 5 shows data regarding offender assaults on staff or other offenders. There is a lack of comparable data to make conclusions or correlations. The DOC revised its disciplinary reporting system (Critical Incident Reports) during the last 18 months, so the data regarding assaults is not comparable across fiscal years. Before mid-FY 2009, definitions, protocols, and practices for reporting incidents were not uniform within and between the nine institutions. The DOC streamlined the process and trained staff on reports, definitions, and data entry so that incidents are reported consistently across the institutions.

Table 5: Comparison of Staffing and Assaults

	Actual FY 2005	Actual FY 2006	Actual FY 2007	Actual FY 2008	Actual FY 2009	Est. Net FY 2010
	(1)	(2)	(3)	(4)	(5)	(6)
FTE Positions	2,680.37	2,735.94	2,751.08	2,956.04	2,958.57	2,766.30
Correctional Officers (COs)	1,485.68	1,507.50	1,527.33	1,620.43	1,594.28	1,507.56
COs as % of FTE Positions	55.4%	55.1%	55.5%	54.8%	53.9%	54.5%
Total Inmate Assaults	355	266	278	298	342	257

BUDGET IMPACT

Management of overtime costs is a significant variable for cost containment. The DOC continues to monitor overtime costs with the goal of no more than 2.0% of total payroll costs. The DOC reviews Critical Incident Reports daily to provide a safe and secure environment for offenders and staff.

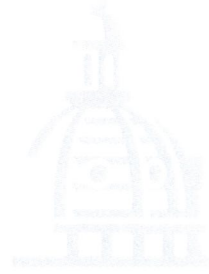
The DOC has implemented cost containment efforts, such as centralizing offender records and banking, improving jail credit recovery, implementing a master menu for offenders, and e-learning for staff. The DOC is currently implementing a centralized pharmacy. The DOC focuses on evidence-based practices and eliminates or revises offender programs that have proven to be ineffective in reducing recidivism.

While the prison population varies daily, it has been trending upward. For example, the prison population was 8,384 offenders on September 29, 2009, and 8,730 on September 29, 2010.

The Criminal and Juvenile Justice Planning Division (CJJPD) of the Department of Human Rights provides an annual 10-year prison population forecast. If policies and practices remain the same, the prison population is projected to reach approximately 9,025 offenders by FY 2019 (as forecasted in November 2009). This is approximately 119.8% of actual capacity. For more information regarding capacity, see the *Issue Review*, [Corrections Construction and Capacity](#) issued by the LSA.

The prison population forecast does project a growth of approximately 5.0% in the prison population in the next ten years. This growth will drive demand for additional resources for prison system expenditures, including staff, food and clothing, utilities, medical and pharmacy, and equipment and maintenance.

STAFF CONTACT: Beth Lenstra (515-281-6301) beth.lenstra@legis.state.ia.us



Proposed Sale of State Farm Ground

ISSUE

This **Issue Review** provides an update on the proposed sale of State land as defined in S.F. 2088 (Government Reorganization and Efficiency Act) Section VIII.

AFFECTED AGENCIES

Departments of Corrections, Human Services, Administrative Services, and Natural Resources

CODE AUTHORITY

Senate File 2088 and S.F. 2378

BACKGROUND

Senate File 2088 requires the Department of Administrative Services (DAS) to conduct a property inventory, review State office leases, and submit a report to the General Assembly by January 11, 2011. The Act requires the DAS to recommend the sale of property and explore options for selling State property and leasing it back to the State.

Senate File 2088 requires the DAS to work with the Department of Human Services (DHS) and the Department of Corrections (DOC) to sell property no longer necessary to the DHS or the DOC. The Act requires the DAS to sell property under its control to maximize the return to the State by June 30, 2011, and deposit the receipts in the State General Fund.

Senate File 2378 (FY 2011 Justice System Appropriations Act) specifies that it is the intent of the General Assembly that the DOC continue farm operations at the same or greater level as exists on June 30, 2011. The DOC is prohibited from renting farmland under its control that is not currently being rented without legislative approval. The DOC is required to provide meaningful job opportunities for inmates employed on the farms.

While the sale of land was not specifically cited in the Governor's Executive Order #20, it was included in the Iowa Efficiency Review Report submitted by Public Works (consultants retained by Governor Culver to improve government efficiencies). The consultants estimated land could be sold to generate a net receipt of \$13.8 million. This estimate was based on selling approximately 2,000 acres that is currently under control of the DOC or the DHS (\$9.2 million), and selling surplus land owned by other state agencies (\$4.6 million). This **Issue Review** focuses on the sale of farmland owned by the DOC and DHS.

CURRENT SITUATION

The DAS is conducting a property survey of State-owned land and leases. The DAS is in the process of the land inventory. Additional land may be identified once the DAS completes the inventory.

The DHS identified two small parcels of less than five acres each that could be sold. These parcels are at Independence (old sewer plant) and Glenwood (approximately two acres). Easements would be required on at least one of the parcels. The DOC indicated it has no farm land available for sale.

The DOC is responsible for 10 farms listed in **Attachment 1**, including the management of four farms owned by the DHS. The farms are managed by Iowa Prison Industries (IPI) and maintained on a cash-based accounting system. Code Section 904.706 created the Farm Account Revolving Fund, and profits and losses generated by the prison farms flow through this Fund. All income generated from renting DHS farms is deposited in the Farm Account Revolving Fund. Refer to **Attachments 2** and **3** for balance sheets and income statements for calendar years (CY) 2004 through 2009. No State appropriations support the prison farms; they must generate a net profit to remain in business.

Financial Analysis

Net income for the farm operation over the last six years has varied widely, depending on market and weather conditions. The average net income across the last six years was \$92,595. The financial stability of the Farm Account Revolving Fund is tenuous. Iowa Prison Industries is dependent on rent income to offset the losses associated with operating the farms. Rent receipts were \$342,720 in CY 2009 from the following farms:

IPI CY 2009 Rent Receipts by Location	
<u>Location</u>	<u>Amount</u>
Clarinda	\$ 2,780
Eldora	40,564
Fort Madison	25,399
Glenwood	30,885
Independence	12,144
Newton	122,341
Rockwell City	1,635
Woodward	106,972
Total	<u>\$342,720</u>

Rent receipts will decrease by approximately \$70,000 in CY 2010 due to:

- Glenwood is no longer included in the farm program.
- Farm One at Fort Madison is no longer available due to construction of a new prison.
- The amount of acres available for rent at Mitchellville has been permanently reduced due to construction of additional prison beds.

Since CY 2007, IPI has paid the property taxes on rented ground. Prior to CY 2007, no property taxes were assessed. In CY 2009, local county property taxes paid were \$43,244.

Costs of the farm operation are driven by:

- Eight of the nine staff funded by the Farm Account Revolving Fund are included in the collective bargaining unit; therefore, they receive overtime, standby, and callback pay. This increases personnel costs as farming in Iowa is seasonal.
- Iowa Prison Industries attempts to operate the farms in the traditional manner, i.e., using highly mechanized equipment. Most inmates do not know how to operate or repair the equipment. Inmates that work on the farms are low security risks, and generally have a short length of stay in prison. This short length of stay hinders the ability to train inmates on use and repair of farm equipment.

The row crops raised on prison farms are sold on the open market or used to feed livestock. The standard practice is for cattle to be sold on the open market. In recent years, IPI has worked with local county extension offices and ISU Extension Services to develop organic farming operations.

The DOC rents 42 acres at Farm Three at Fort Madison and two acres at Rockwell City to the Department of Natural Resources (DNR) for the State nursery. The 28E agreement expires June 30, 2021. Private sector pasture leases run from May 1 to October 1 of the calendar year. Private sector crop ground leases and the lease with Clarinda Youth Corporation run from March 1 to February 28 or 29.

The barns at Farm One at Anamosa are listed on the National Register of Historic Places. This may impact the value of the property.

The Farm Account Revolving Fund has been used as an alternative funding source for the inmate educational program in FY 2003 (\$160,000) and FY 2008 (\$40,000).

Inmate Labor

Inmate labor on a prison farm provides an option for the DOC to meet Iowa's Hard Labor Law (Code Section 904.701). During FY 2010, there were 64 inmates employed full-time in farm operations. Work at the DNR nursery is seasonal. There were approximately 25 inmates working part-time for eight months at the DNR nursery, and eight inmates working part-time the other four months. Examples of work include mending fences and dikes, walking organic bean fields, washing produce, clearing farm ditches, maintaining culverts, checking cattle for water and feed, and rotating cattle between pastures. They also maintain the equipment and buildings. Offenders working on DNR projects tend to trees and shrubs.

Prison Construction and Beds

During the 2008 Legislative Session, the General Assembly authorized the construction of a new maximum-security prison at Farm One at Fort Madison, and expansion of the Iowa Correctional Institution for Women at Mitchellville.¹ Both construction projects are being built on existing land owned by the State. This lowers the overall costs of the construction projects.

¹ For more information see the *Issue Review* titled [Corrections Construction and Capacity](#).

Also, Farm Three at Fort Madison has 100 minimum-security beds currently vacant due to budget constraints. Maintaining the farm provides future options to add prison beds to an overcrowded system at a relatively inexpensive cost.

ALTERNATIVES

There are several alternatives to consider for increasing General Fund receipts:

- Sell the farmland located at DHS facilities that is currently rented.
- Sell all of the farmland, both rented and operated.
- Maintain the current operations.

Additional alternatives may exist, such as renting all the farm ground and depositing the receipts in the General Fund. However, the issues surrounding those alternatives, such as maintenance of fences, liability of the State, and impact on inmate work programs, are beyond the scope of this *Issue Review*.

There are issues to consider with each of the three options listed above:

- Sale of land will result in a one-time source of revenue for the General Fund.
- The State is competing with the private sector on the open market in regard to the sales price of crops and cattle, as IPI farms sell on the open market. Selling the farm land would eliminate the State's competing with the private sector.
- The State would eliminate an inmate work program that is self-supporting. The Farm Account Revolving Fund does not receive any State appropriations. However, in order to be self-supporting, approximately 38.2% of the crop and pasture acres must be rented to generate receipts to offset operating losses.
- Selling any land is a one-time source of revenue. Selling the rented land would probably result in bankrupting the Farm Account Revolving Fund. According to the IPI, plus a review of the financial statements, the Farm Account Revolving Fund is dependent on cash receipts from rented land to offset operating losses.
- Eliminating the Farm Account Revolving Fund eliminates future funding alternatives for inmate education.
- Approximately 1.0% of the inmate population works in the farming operation. Selling the operating farms will decrease inmate employment at a time when the inmate population is high (on December 2, 2010, the prisons were operating at 123.1% of capacity). Iowa Prison Industries' receipts for traditional Industries and the Private Sector Program are also declining, primarily due to economic conditions. This decline further limits opportunities for inmate employment.
- Senate File 2378 (FY 2011 Justice System Appropriations Act) encourages labor-intensive farming or gardening where appropriate; using inmates to grow produce and meat for institutional consumption; researching the possibility of instituting food canning and cook-and-chill operations; and exploring opportunities for organic farming and gardening, livestock ventures, horticulture, and specialized crops. Expanding current gardens could reduce the General Fund cost of food for the prisons. This opportunity for future cost containment would be lost if the farmland is sold.
- According to the DOC and the DHS, buffer zones need to be maintained around their facilities for security purposes. Farmers that currently rent land from the IPI operate under certain security restrictions. The amount of acres for sale cannot eliminate or reduce these buffer zones.

- According to the DOC and the DHS, the sale would most likely require multiple easements and covenants for items such as fences, dikes, cemeteries, sewers, and drainage lines. Restrictive covenants and easements limit future potential use for buyers, and may reduce the sale price.
- Selling the farmland reduces the amount of land available for potential future expansion or replacement of existing DOC and DHS facilities.
- Selling the farmland eliminates the State's options for alternative future uses, such as parks or economic development. For example, the General Assembly transferred the Glenwood farm from IPI and the DHS to the DNR for archeological preservation during the 2009 Legislative Session.

BUDGET IMPACT

Surrounding States

The LSA staff contacted surrounding states regarding the potential sale of state-owned land. Missouri indicated it sold 654 acres of pasture in 2007 at a net of \$1.7 million. The ground was located on the outskirts of Jefferson City, and was considered prime residential development land. However, the sale occurred near the peak of the residential real estate market. As of September 2010, that ground has not yet been developed.

Missouri's Office of Administration hired a real estate consultant that solicited bids through a Request For Proposals (RFP) process. The successful bidder paid the consultant 5.0% of the successful bid. The land was conveyed with a quitclaim deed. The Office of Administration has a fund available for expenditures related to real estate sales, including the cost of surveying the land and updating the abstract. The Office indicated it takes approximately one year to ready state property for sale.

The length of time to sell the land is dependent on the number of acres and locations involved, costs of surveys, appraisals, abstracts, the payment mechanism, and the process used to administer any proposed sale. The surveys, legal work, and any proposed sales in Iowa could be handled through a competitive bid process, or administered through the DAS with assistance provided by the Attorney General's Office. It is not known whether the DAS and Attorney General's Office have current sufficient resources to administer any land sales.

Iowa

The FY 2011 General Fund balance sheet includes \$13.8 million of revenue to be generated from the land sales in FY 2011. However, it is unlikely the two parcels that have been identified to date will be sold in FY 2011, and will not result in \$13.8 million of revenue.

Listed below is the estimated amount of gross receipts for the three alternatives listed above. Information from the Iowa State University 2009 farmland price survey for per-acre price was used. It provides values for high, medium, low, and average value cropland by region and average value by county. The medium price was applied to the number of good quality acres, adjusting for the average price by region and county versus region. For the lower quality acres, the low region value was applied, also adjusting that low value for the ratio of county average to the region average for all acres.

Attachment 1 shows 4,889.1 acres under the control of the DOC and DHS. These acres were adjusted downward to 3,938 acres as follows:

- Subtracted 200 acres from pasture at Anamosa to provide a buffer zone around the prison and potential future construction.
- Subtracted 237.2 acres from Fort Madison because ground at Farm One is dedicated to construction of the new maximum-security prison.
- Subtracted 200 acres from pasture at Newton for a buffer zone. This excludes the hay barn from the sale.
- Subtracted 50 acres from crop ground at Rockwell City for a buffer zone.
- Subtracted 20 acres from Clarinda for the athletic field currently rented by the Clarinda Youth Corporation.
- Subtracted 200 acres at Woodward for a buffer zone.
- Subtracted 44 acres that are currently rented to the DNR for the State nursery at Fort Madison and Rockwell City. Whether or not the State operates a nursery is beyond the scope of this *Issue Review*.
- No land under the control of the DNR at Glenwood is included in the acreage amount.
- There are two acres available for sale at Glenwood; this land is under the control of the DHS.

Based on the adjustments above, the net amount of acres proposed for sale is 3,938.

Assumptions

1. Any transfer of land will be by quitclaim deed. Any deeds will include restrictive covenants as to future use. Property will be sold "as is" with the buyer accepting responsibility for any future environmental issues not known at time of sale.
2. Easements will be required for access to items such as cemeteries, sewer lagoons, and water lift stations.
3. Restrictive covenants regarding future uses of the land available for sale will be required as a condition of sale.
4. The ground at Newton is subject to flooding. A dike is maintained by inmates to prevent flooding of crop ground. The sale will include transfer of, responsibility for, liability, and maintenance of the dike.
5. Cost of surveys, updates to abstracts, legal costs, and any other administrative costs related to the sale of land will be deducted from the gross proceeds of the sale.
6. There are nine staff currently employed by the IPI Prison Farms. They have no transfer rights to other government positions. They will either retire or collect unemployment. These costs will be incurred against the Farm Account Revolving Fund.
7. Code Section 562.7 requires notice to renters of the pending sale by September 1, 2011. Any land sale could specify the rental agreement continues until all crops are harvested.
8. Preparation of the sale (land survey, update of abstract, appraisal) will begin in CY 2011.
9. No estimate is made for selling farm assets such as equipment, livestock, feed, etc. Proceeds, if any, will be deposited in the Farm Account Revolving Fund.
10. Sale of any land may occur across several fiscal years beginning in FY 2012 and ending in FY 2014. Net proceeds will be deposited in the State General Fund.
11. If the State were to sell off all the farmland, administrative costs such as updating the land survey and abstract could be accrued against the Farm Account Revolving Fund.
12. After all assets are sold, the Farm Account Revolving Fund will be repealed. Any funds remaining in the Revolving Fund will be transferred to the General Fund.

Calculations

Please refer to **Attachment 4** for the calculation detail for the following estimates.

Alternative One, selling currently rented DHS farmland may generate approximately \$4.2 million in gross receipts for the State. This figure is based on selling 1,016 acres at four locations (Eldora, Clarinda, Independence, and Woodward). This alternative will most likely have a negative impact on the long-term viability of the farming operations because this inmate work program is dependent on rent receipts to maintain financial stability. Also, depending on the buyers' use of the ground, local property taxes may be reduced. This estimate does not include the two small parcels offered by the DHS because it is not farm ground.

Alternative Two, selling all farmland may generate approximately \$13.6 million in gross receipts. This figure is based on selling 3,938 acres at nine locations. This alternative eliminates the farming operation and a self-funded inmate work program. Also, depending on the use of the ground by the purchaser, local property taxes may be reduced.

Alternative Three, maintaining the current operations would not provide any receipts to the General Fund.

The above estimates for **Alternatives One** and **Two** are based on current information and estimated acres available for sale. Actual receipts may vary due to fluctuations in both farmland and residential real estate markets, plus the results of any land surveys.

STAFF CONTACTS:

Beth Lenstra (515-281-6301) beth.lenstra@legis.state.ia.us
Dave Reynolds (515-281-6934) dave.reynolds@legis.state.ia.us
Jess Benson (515-281-4611) jess.benson@legis.state.ia.us
Joe Brandstatter (515-281- 8223) joe.brandstatter@legis.state.ia.us

Iowa Prison Farms

Operating Farms Farm Location	Crop Acres (from certification)	Pasture/Timber/Grass (approx.)	Rented Acres (per lease)	Department of Natural Resources	Wetland	Total
Anamosa	593.0	832.7			8.7	1,434.4
Ft. Madison Farm #1		167.4	69.8			237.2
Farm #3	260.7	210.5		42.0		513.2
Newton	46.0	400.0	809.4			1,255.4
Mitchellville	39.2	10.7 (Grass Area)				49.9
Rockwell City	148.1	2.9	10.0	2.0		163.0
Subtotal	1,087.0	1,624.2	889.2	44.0	8.7	3,653.1
Leased Farms Farm Location						
Eldora		65.2	220.9			286.1
Clarinda			8.0 20.0	Clarinda Youth Corp		8.0 20.0
Independence		3.9	73.0			76.9
Woodward		188.7	656.3			845.0
Subtotal	0.0	257.8	978.2	0	0	1,236.0
Total	1,087.0	1,882.0	1,867.4	44.0	8.7	4,889.1

Notes:

- 1). The farms are managed by Iowa Prison Industries (IPI), and funded through the Revolving Farm Fund.
- 2). Iowa Prison Industries rents land to private sector farmers to generate receipts for the Revolving Farm Fund.
- 3). No State appropriations support the prison farms; they must generate a net profit to remain in business.
- 4). The numbers above do not include acres maintained by the Institutions, such as parking lots, lawns, gardens, or buildings.
- 5). The Department of Natural Resources rents ground for seedlings for the State Nursery and employs offenders. They pay rent to support IPI's upkeep of the ground.
- 6). Rented acres at Farm One at Fort Madison reflect a reduction of 95.3 acres dedicated to constructing the maximum-security prison authorized by the General Assembly in 2008.
- 7). Crop acres at Mitchellville reflect a reduction of 75 acres for the construction project authorized by the General

Source: Iowa Prison Industries

**IPI Prison Farms
Balance Sheets**

Attachment 2

	CY 2004	CY 2005	CY 2006	CY 2007	CY 2008	CY 2009
ASSETS						
Current Assets						
Cash per State Comptroller	\$ 590,301	\$ 610,555	\$ 472,670	\$ 528,825	\$ 234,368	\$ 419,144
Accounts Receivable	6,694	4,775	6,241	5,262	77,717	23,013
Chemicals, Seeds, Other-Inv.	486	1,203	558	1,127	956	1,887
Crops in Process-Inventory	0	2,870	337	0	35,607	31,479
Grains/Feed-Inventory	227,289	245,113	343,087	542,116	540,347	487,591
Livestock-Inventory	322,729	322,381	335,087	346,501	354,776	357,382
Total Current Assets	<u>1,147,499</u>	<u>1,186,897</u>	<u>1,157,980</u>	<u>1,423,831</u>	<u>1,243,771</u>	<u>1,320,496</u>
Fixed Assets						
Automobiles & Trucks						
Accumulated Depreciation	(69,015)	(62,890)	(79,373)	(83,125)	(92,923)	(58,123)
Original Cost	81,298	86,805	86,805	86,805	146,600	117,637
Total Automobiles & Trucks	<u>12,283</u>	<u>23,915</u>	<u>7,432</u>	<u>3,680</u>	<u>53,677</u>	<u>59,514</u>
Farm Buildings						
Accumulated Depreciation	(441,137)	(480,571)	(523,311)	(567,674)	(611,578)	(613,520)
Original Cost	759,892	820,611	862,406	920,056	1,054,952	1,030,798
Total Farm Buildings	<u>318,755</u>	<u>340,040</u>	<u>339,095</u>	<u>352,382</u>	<u>443,374</u>	<u>417,278</u>
Mach/Equip/Purch Breeding Stock						
Accumulated Depreciation	(464,388)	(504,556)	(526,207)	(603,142)	(675,772)	(692,248)
Original Cost	930,789	1,000,458	1,187,377	1,195,352	1,361,072	1,327,710
Total Mach/Equip/Purch Breeding Stock	<u>466,401</u>	<u>495,902</u>	<u>661,170</u>	<u>592,210</u>	<u>685,300</u>	<u>635,462</u>
Total Fixed Assets	<u>797,439</u>	<u>859,857</u>	<u>1,007,697</u>	<u>948,272</u>	<u>1,182,351</u>	<u>1,112,254</u>
Other Assets						
Due from State Veh Dispatcher	58,739	34,817	33,654	33,000	0	0
Land	430,203	430,203	430,203	430,203	430,203	430,203
Lease Receivable	6,307	6,307	(1,100)	0	0	(220)
Prepaid Expenses	69,548	72,012	83,797	91,251	98,905	92,233
Total Other Assets	<u>564,797</u>	<u>543,339</u>	<u>546,554</u>	<u>554,454</u>	<u>529,108</u>	<u>522,216</u>
TOTAL ASSETS	<u>2,509,735</u>	<u>2,590,093</u>	<u>2,712,231</u>	<u>2,926,557</u>	<u>2,955,230</u>	<u>2,954,966</u>
LIABILITIES & EQUITY						
Current Liabilities						
Accounts Payable	\$ 31,757	\$ 28,641	\$ 20,969	\$ 8,527	\$ 14,501	\$ 14,892
Deferred Revenue	56,584	53,671	62,325	62,228	57,531	50,919
Deferred Revenue - FEMA						11,707
Total Current Liabilities	<u>88,341</u>	<u>82,312</u>	<u>83,294</u>	<u>70,755</u>	<u>72,032</u>	<u>77,518</u>
Long Term Liabilities						
Accrued Sick Leave Term/Ret Pay	2,153	2,153	2,153	2,153	2,153	2,153
Accrued Vacations Payable	27,792	33,892	35,251	42,527	49,364	51,918
Total Long Term Liabilities	<u>29,945</u>	<u>36,045</u>	<u>37,404</u>	<u>44,680</u>	<u>51,517</u>	<u>54,071</u>
Total Liabilities	<u>\$ 118,286</u>	<u>\$ 118,357</u>	<u>\$ 120,698</u>	<u>\$ 115,435</u>	<u>\$ 123,549</u>	<u>\$ 131,589</u>
Equity						
Opening Bal Equity	\$ 2,267,806	\$ 2,391,449	\$ 2,471,736	\$ 2,591,533	\$ 2,811,122	\$ 2,831,681
Net Income	123,643	80,287	119,797	219,589	20,559	(8,304)
Total Equity	<u>\$ 2,391,449</u>	<u>\$ 2,471,736</u>	<u>\$ 2,591,533</u>	<u>\$ 2,811,122</u>	<u>\$ 2,831,681</u>	<u>\$ 2,823,377</u>
TOTAL LIABILITIES & EQUITY	<u>\$ 2,509,735</u>	<u>\$ 2,590,093</u>	<u>\$ 2,712,231</u>	<u>\$ 2,926,557</u>	<u>\$ 2,955,230</u>	<u>\$ 2,954,966</u>

Source: Iowa Prison Industries

**IPI Prison Farms
Profit & Loss Statement**

Attachment 3

	CY 2004	CY 2005	CY 2006	CY 2007	CY 2008	CY 2009
Income						
Revenue						
Other Income	\$ 5,043	\$ 20,374	\$ 21,340	\$ 5,174	\$ 11,460	\$ 54,684
Interdepartmental Revenues	17,160	30,039	27,978	14,050	35,050	27,783
Other Farm Income	388,409	372,637	402,298	391,277	436,562	481,291
Sale of Livestock Purchased	33,513	24,160	22,788	19,412	30,925	12,132
Sale of Livestock Raised	181,748	215,806	201,448	210,622	198,236	207,545
Sale of Organic Crops	0	0	581	3,313	17,457	12,801
Sale of Produce & Crops Raised	180,427	193,043	199,819	290,407	481,816	531,371
Total Revenue	\$ 806,300	\$ 856,059	\$ 876,252	\$ 934,255	\$ 1,211,506	\$ 1,327,607
Expense						
Admin. Travel Expense	\$ 272	\$ 204	\$ 1,109	\$ 48	\$ 1,954	\$ 0
Administrative Depreciation	528	528	464	0	0	0
Administrative Salaries	60,442	66,503	69,084	74,538	87,694	84,364
Advertising	0	387	122	19	429	48
Auto/Truck Expense	7,866	15,032	12,558	7,759	13,485	13,923
Breeding Fees	945	893	894	873	996	1,370
Bull Rental	0	0	0	0	2,880	3,335
Conservation & Land Clearing	15,052	1,856	3,087	4,427	12,475	71,021
Cost of Sales						
Chemicals, Seeds & Other - Inv.	(165)	(717)	645	(569)	171	(931)
Civilian Salaries	240,691	261,131	274,811	300,964	350,853	366,804
Crops In Process - Inventory	0	(2,870)	2,534	336	(35,606)	4,127
Feed Purchased	22,396	46,020	42,821	51,090	67,869	64,057
Fertilizers, Lime & Chemicals	53,345	72,288	68,856	87,098	116,093	140,098
Grains/Feeds Inventory	(29,902)	(17,824)	(97,974)	(199,030)	1,769	52,756
Labor Hired (Inmate)	10,209	6,760	6,717	6,949	6,957	6,939
Livestock - Inventory	(13,102)	349	(12,707)	(11,413)	(8,274)	(2,606)
Livestock Purchased	33,816	29,646	50,501	23,742	46,994	14,488
Organic Fertilizer	0	0	3,831	2,199	4,947	10,077
Organic Labor Hired (Inmate)	1,301	349	259	334	361	281
Organic Seeds & Plants Purchased	1,506	2,870	338	1,273	0	1,112
Seeds & Plants Purchased	17,744	26,988	21,414	32,629	44,764	56,904
Total Cost of Sales	\$ 337,839	\$ 424,990	\$ 362,046	\$ 295,602	\$ 596,898	\$ 714,106
DAS Utility Billing	0	1,901	2,040	2,003	1,800	2,061
Depreciation Expense	120,094	130,614	143,766	141,252	155,612	174,472
Donation - TRF Horse Program Newton	0	0	10,000	0	0	0
Freight & Trucking	4,849	3,794	7,948	4,616	7,427	5,494
Gas, Fuel, & Oil	16,679	26,886	28,318	36,210	45,140	31,743
Inmate Medical Expense	0	5,843	0	0	0	0
Insurance	0	0	0	0	22,423	20,091
Machine Hire	31,539	35,418	31,903	38,908	65,163	65,012
Machine Lease & Rental	3,011	1,997	2,192	4,060	3,135	3,381
Miscellaneous Expense	13,525	12,015	13,400	8,420	1,054	2,340
Office Supplies/Postage Expense	1,369	1,099	1,092	2,184	2,148	2,561
Organic Conserv & Land Clearing	0	0	740	0	0	0
Organic Fees	0	0	450	450	450	450
Total Other Expense	1,845	1,400	13,479	11,638	292	10,121
Other Organic Selling Expenses	0	0	3	0	0	0
Other Selling Expenses	5,375	5,608	6,468	7,163	8,250	14,844
Property Taxes	0	0	0	21,022	44,098	43,244
Repair to Buildings	5,963	2,311	3,262	3,172	3,648	857
Repair to Machinery	15,367	12,476	9,544	10,456	13,736	20,300
Storage/Warehousing	2,841	3,077	3,615	6,433	7,452	4,421
Supplies Purchased	20,869	8,646	15,962	15,327	27,609	26,159
Survey Expense	0	0	0	0	4,335	0
Telephone Expense	3,890	1,834	1,776	1,809	2,164	2,283
Travel Expense	302	222	206	136	1,092	94
Unusual Expense	0	0	0	0	40,000	0
Utilities	3,296	3,056	2,694	5,597	6,606	5,680
Veterinary Fees & Medicine	8,899	7,181	8,233	10,544	10,503	12,136
Total Expense	\$ 682,657	\$ 775,771	\$ 756,455	\$ 714,666	\$ 1,190,948	\$ 1,335,911
Net Income (Loss)	\$ 123,643	\$ 80,288	\$ 119,797	\$ 219,589	\$ 20,558	\$ (8,304)

Notes:

- 1). The DOC transferred \$40,000 to the Corrections Education Account in CY 2008. This is listed as an unusual expense.
- 2). The 2009 cash balance includes \$11,707 from FEMA that is to be used solely for 2008 flood damage expenses.
- 3). The General Assembly transferred management of the Glenwood farm to the Department of Natural Resources in 2009. The loss of rent receipts to the Farm Account Revolving Fund was \$18,774.

Source: Iowa Prison Industries

Attachment 4

Iowa Prison Farms Calculation for Potential Sale

Operating Farms	Crop Acres (certification)	Pasture/Imber Grass (approx.)	Rented Acres (per lease)	Welland	Total	Total Acres		Projected Dollars for Sale		Price Per Acre		Calculation of Price Per Acre					County Average % of Region Average	Adjustment	
						Crop Acres	Other Acres	Crop Acres	Other Acres	Crop	Other	High	Medium	Low	Region Average	County Average			
Anamosa	593.0	632.7		8.7	1,234.4	593.0	641.4	\$ 2,374,469	\$ 1,863,649	\$ 4,238,118	\$ 4,004	\$ 2,906	\$ 5,738	\$ 4,465	\$ 3,240	\$ 4,796	\$ 4,301	89.7%	100.0%
Ft. Madison Farm #3	260.7	210.5			471.2	260.7	210.5	919,891	479,273	1,399,164	3,529	2,277	5,306	3,535	2,281	3,832	3,825	99.8%	100.0%
Newton	46.0	200.0	809.4		1,055.4	855.4	200.0	2,558,407	415,160	2,973,567	2,991	2,076	5,939	4,615	3,203	5,026	4,343	86.4%	75.0%
Mitchellville	39.2	10.7 (Grass Area)			49.9	39.2	10.7	169,822	32,292	202,114	4,332	3,007	5,939	4,615	3,203	5,026	4,718	93.9%	100.0%
Rockwell City	98.1	2.9	10.0		111.0	108.1	2.9	556,707	10,819	567,526	5,150	3,692	5,552	4,371	3,134	4,652	5,481	117.8%	100.0%
Subtotal	1,037.0	1,056.9	819.4	8.7	2,922.0	1,856.4	1,065.6	\$ 6,579,296	\$ 2,801,193	\$ 9,380,489									
Leased Farms																			
Eldora		65.2	220.9		286.1	220.9	65.2	\$ 1,008,095	\$ 206,509	\$ 1,214,604	4,564	3,167	5,939	4,615	3,203	5,026	4,970	98.9%	100.0%
Clairinda			8.0		8.0	8.0	0.0	24,318	0	24,318	3,040	2,052	4,539	3,386	2,286	3,559	3,195	89.8%	100.0%
Independence		3.9	73.0		76.9	73.0	3.9	337,630	13,667	351,297	4,625	3,504	5,349	4,193	3,177	4,464	4,924	110.3%	100.0%
Woodward	0	188.7	456.3		645.0	456.3	188.7	2,035,954	584,323	2,620,177	\$ 4,462	\$ 3,097	5,939	4,615	3,203	5,026	4,859	96.7%	100.0%
Subtotal	0	257.8	758.2	0	1,016.0	758.2	257.8	\$ 3,405,997	\$ 804,499	\$ 4,210,396									
Total	1,037.0	1,314.7	1,577.6	8.7	3,938.0	2,614.6	1,323.4	\$ 9,985,193	\$ 3,605,692	\$ 13,590,885									

Notes:

- 1) High/Medium/Low/Average are for the multi-county region map.
- 2) County average is for that county and from the average-by-county map.
- 3) County average as a percent of region average adjusts the High/Low value to account for county differences.
- 4) The adjustment factor allows application of a discount or premium to all the property at that site for cases where the land is not average.

Source: [2009 Iowa Land Value Survey](#).

Electronic Document Management System (EDMS)

ISSUE

This **Issue Review** provides an overview of the Electronic Document Management System (EDMS) project within the Judicial Branch (courts).

AFFECTED AGENCIES

Judicial Branch

CODE AUTHORITY

Chapter 602

BACKGROUND

The Electronic Document Management System (EDMS) will allow attorneys and citizens to electronically file court documents with the Judicial Branch via the Internet. The EDMS will integrate with the Iowa Court Information System (ICIS), enabling electronic access to authorized parties via the Internet to the court docket and documents 24 hours a day, seven days a week. In addition, court notices will be e-mailed to lawyers, litigants, and officials.

In 1999, the Judicial Branch released a feasibility study outlining the EDMS project. Funding from the Enhanced Court Collections Fund was to be used to fund the project. Under current law, the maximum annual deposit in the Enhanced Court Collections Fund is \$4.0 million. This is based on the Judicial Branch achieving the Revenue Estimating Conference's (REC) goal for court receipts deposited in the General Fund (Code Section 602.1304).

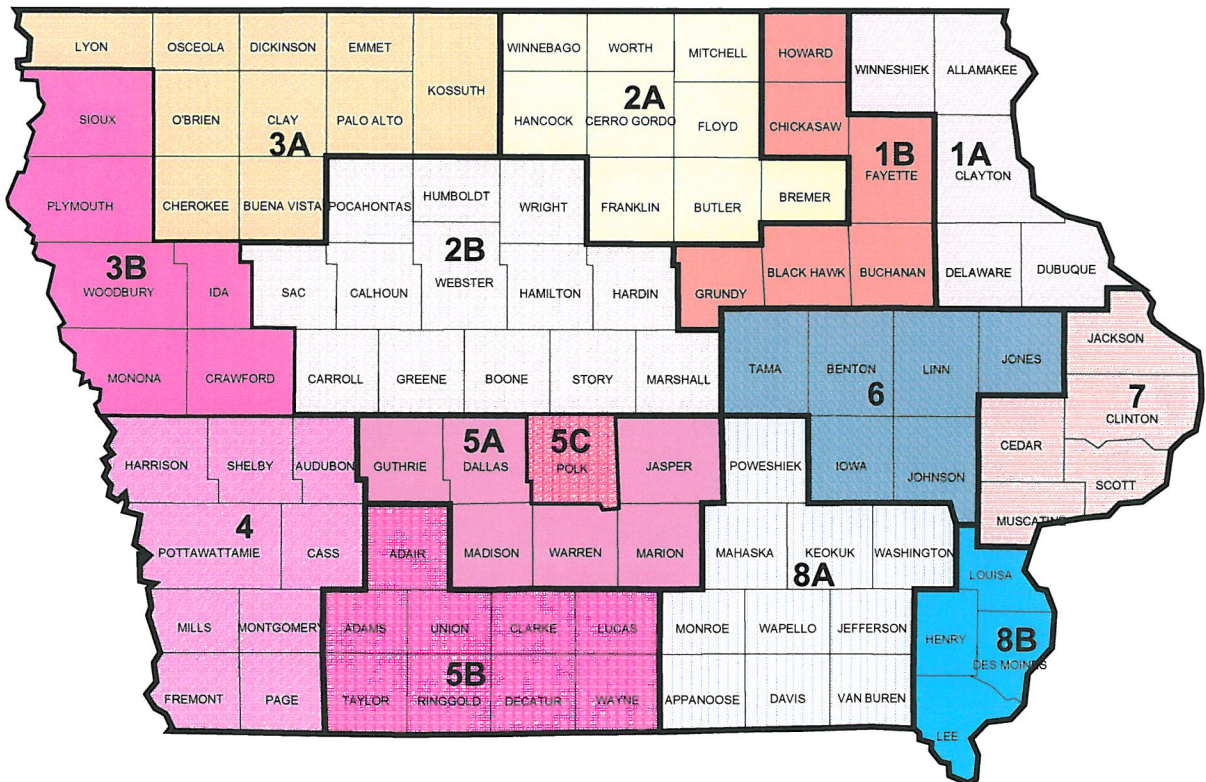
In the January 2001 State of the Judiciary speech, the EDMS was presented as the centerpiece of the online court system. Pilot projects were to begin in Clinton and Dubuque Counties; however, those did not occur due to the deappropriation of \$4.0 million from the Enhanced Court Collections Fund in S.F. 267 (FY 2001 Deappropriations Act).

In 2007, the Judicial Branch issued a new request for proposals (RFP) to restart the EDMS project. However, at the conclusion of the process, the State Court Administrator rejected vendor proposals for material breach related to limitation of liability. The RFP was modified and reissued on May 7, 2008. Under the new RFP, the limitation of liability was set at three-and-a-half times the value and applies to the costs for each subcontractor, not for the entire project. Nine vendors submitted proposals by the July 11, 2008, deadline. The Judicial Branch selected the Datamaxx Group, Inc., as the top finalist for the EDMS project contract. The State Court Administrator signed contracts with Datamaxx Group, Inc., and its subcontractors in November 2008.

CURRENT SITUATION

Plymouth County was the first county to pilot the EDMS project. Plymouth County began accepting electronically filed documents in January 2010 and as of October 2010 testing is in the final phases, with all new cases being electronically filed. As of October 12, 2010, the Judicial Branch has completed the walk through of the Story County Courthouse to determine placement and installation of equipment. Officials have also met with law enforcement and training for attorneys will take place at the beginning of November. Story County will begin accepting electronically filed documents in November 2010. At the same time, the Judicial Branch plans to begin working on the EDMS project in the appellate courts.

The Judicial Branch is in the process of developing a video and on-line training session on EDMS for future county roll-outs. Once Story County is up and running, the Judicial Branch will begin rolling out the EDMS project by adding approximately two counties per month. This is estimated to begin in March of 2011 and will be implemented by Judicial Election District. The first Judicial Election District is 3B followed by 2B and then 5C. Statewide implementation of the EDMS project is scheduled to take approximately four years. The Judicial Branch is exploring ways to expedite the implementation of EDMS across the State. Below is a map showing the Judicial Election Districts. The roll out is scheduled to occur as follows: 3B, 2B, 5C, 5A, 6, 7, 4, 1B, 2A, 8A, 3A, 1A, 8B, and 5B.



BUDGET AND STAFFING IMPACT

The signed contracts for the EDMS are for an amount not to exceed \$15.0 million. The Judicial Branch anticipates an additional \$4.0 million (\$1.0 million per year) will be required for setup

preparation and additional network costs incurred during the four-year implementation period. The estimated ongoing operating cost for the EDMS, excluding personnel costs, is \$1.2 million (\$700,000 per year for software maintenance and \$500,000 a year for ongoing network expenses). Funding for the project comes from the Enhanced Court Collections Fund and the Court Technology and Modernization Fund.

For FY 2011, the balance brought forward in the Enhanced Court Collections Fund was \$5.2 million. As of October 27, 2010, the balance in the Fund was \$3.2 million and no deposits toward the \$4.0 million (Code Section 602.1304) had been made to the Enhanced Court Collections Fund for FY 2011. The Enhanced Court Collections Fund was used to cash flow money for the Sixth Judicial District for the flood recovery and approximately \$3.4 million remains to be reimbursed to the Fund.

The balance brought forward in the Court Technology and Modernization Fund was \$4.8 million. As of October 27, 2010, the balance in the Fund was \$5.4 million. This included the \$1.0 million deposit for FY 2011 [Code Section 602.8108(7)]. The Judicial Branch anticipates there is currently enough funding set aside in the two Funds to implement EDMS in approximately 15-20 counties. Once those funds are depleted, the project would be suspended until additional funds become available to complete the implementation in all 99 counties.

	FY 2009			FY 2010			FY 2011			Total EDMS Contract To Date
	General Fund	Court Technology & Modern. Fund	Enhanced Court Collections Fund	General Fund	Court Technology & Modern. Fund	Enhanced Court Collections Fund	General Fund	Court Technology & Modern. Fund	Enhanced Court Collections Fund	
EDMS Contract										
Professional Fees	\$ 0	\$ 0	\$ 347,898	\$ 0	\$ 0	\$ 2,435,527	\$ 0	\$ 0	\$ 202,059	
Software	0	0	917,425	0	0	535,302	0	0	589,876	
Hardware	0	0	790,675	0	0	344,134	0	0	17,105	
Total	\$ 0	\$ 0	\$ 2,055,998	\$ 0	\$ 0	\$ 3,314,963	\$ 0	\$ 0	\$ 809,039	\$ 6,180,000
Outside EDMS Contract										
Professional Fees	\$ 0	\$ 0	\$ 142,229	\$ 0	\$ 577,316	\$ 57,846	\$ 0	\$ 0	\$ 151,898	
Contract Personnel	0	0	31,987	0	0	139,917	0	0	55,177	
Total	\$ 0	\$ 0	\$ 174,215	\$ 0	\$ 577,316	\$ 197,763	\$ 0	\$ 0	\$ 207,075	
Total by Fiscal Year	\$ 0	\$ 0	\$ 2,230,213	\$ 0	\$ 577,316	\$ 3,512,727	\$ 0	\$ 0	\$ 1,016,114	
Total All Funds			\$ 2,230,213			\$ 4,090,043			\$ 1,016,114	\$ 7,336,369

Staffing for the project is being handled by existing information technology staff within the Judicial Branch. A total of 10.25 FTE positions are dedicated to the project from information technology (4.0 FTE positions are 100.0% dedicated to the project and an additional 20.0 FTE positions with varying levels of time are also dedicated to the project). Of the total 49.0 FTE positions in the Judicial Branch assigned to Information Technology (IT), 21.0% are dedicated to the EDMS Project. Since FY 2000, all Judicial Branch IT staff have been paid from the General Fund. Additional staff are being contracted as necessary to complete the project. Once EDMS is rolled out statewide, it is anticipated that between 15 and 20 additional employees at a cost of \$1.2 million to \$1.6 million will be required to support the program. Personnel costs for the Judicial Branch are funded from the General Fund.

Future Cost Reduction

Once EDMS is operating in all 99 counties, the Judicial Branch anticipates that no additional document storage space will be required. County courthouses may see a reduction in required document storage space potentially freeing up internal storage space for conference rooms and

office space. However, EDMS is prospective and does not cover previously filed paper copies that will continue to require storage per Code Section 602.8103(4).

Due to the current understaffing of Clerk of Court offices, the Judicial Branch plans to use EDMS as a resource management tool rather than a cost reduction tool. It is anticipated that staff time in the Clerk of Court offices will be better utilized and more efficient as a result of time savings from locating and delivering files, and minimized duplication of efforts. The Judicial Branch is currently operating with 64 Clerk's of Court supervising offices in 100 courthouses. This was accomplished through retirements and attrition. The EDMS will help the Judicial Branch to continue to operate with a reduced staff. In addition, judicial officers will be more efficient as a result of on-line access to case files and documents allowing judges to work from one county rather than traveling around to various counties to sign orders.

Work also continues on the judicial interface that allows judges to view, manage, and adjudicate cases while sitting on the bench. Since March 2010, judges Plymouth County have been interfacing with electronically-filed cases and documents through the Criminal Justice Information Network (CJIN) and the e-File software, allowing orders to be approved electronically rather than manually. All cases initiated in Plymouth County since January 1, 2010, have been electronically filed and additional filings are being handled electronically.

Comparison to Other States

In Iowa, EDMS was built to interface with the currently existing Iowa Court Information System (ICIS) structure and allows for case and document management to be done within the current system. It also allows the judges to view cases on the bench and to create, sign, and file orders in real-time. Iowa's EDMS is owned by the Judicial Branch rather than going through a vendor to provide e-filing services. When a person e-files in Iowa, they can expect to pay the same fees as if they were filing the document in the physical, courthouse location. According to the Judicial Branch, there are no current plans to implement a "user fee" or a "technology fee" for e-filing.

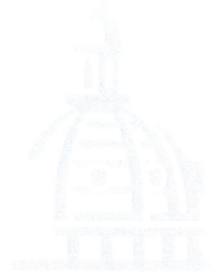
According to the National Center for State Courts (NCSC), many state and local jurisdictions are charging a fee for e-filing ranging from \$5 to \$10 per filing. In Colorado, for example, of the \$5 fee, \$4 goes to the private vendor and \$1 goes to the State. Delaware charges a \$0.50 technology fee per document. Utah does not charge a fee to e-file but the vendor does.

The Judicial Branch is implementing e-filing on all case types: civil, criminal, probate, juvenile, domestic relations, etc. Other states that have some form of e-filing include [Alabama](#), [Delaware](#), [Texas](#), [New York](#), [Utah](#), [Connecticut](#), and [Colorado](#). Many states are pursuing e-filing only on civil cases because the fee is easier to charge and collect than on criminal and family matters types of cases. [Maricopa County](#) in Arizona has a criminal e-filing system.

According to the [2009 electronic filing survey](#) completed by the NCSC, Kentucky, Oregon, Vermont, Missouri, Idaho, and Arkansas all cited cost as the most significant barrier to implementing e-filing in their states. South Dakota, Hawaii, New Jersey, and South Carolina reported that their case management systems and technological foundations would require updates before an e-filing system could be implemented.

Related Web Site: National Center for State Courts – www.ncsc.org

STAFF CONTACT: Jennifer Acton (515-281-7846) jennifer.acton@legis.state.ia.us



FY 2011 Judicial Branch Budget Impact

ISSUE

This *Issue Review* analyzes recent activity related to the Judicial Branch budget. The Judicial Branch budget is 3.0% of the total State General Fund budget and consists of 95.0% personnel costs and 5.0% nonpersonnel costs. As of August 31, 2010, 29 Clerks of Court offices are operating on a part-time basis with reduced public hours. The remaining 70 offices are closed for two hours a day, two days a week. From February 2009 through June 2010, there were 15 court closure days.

AFFECTED AGENCIES

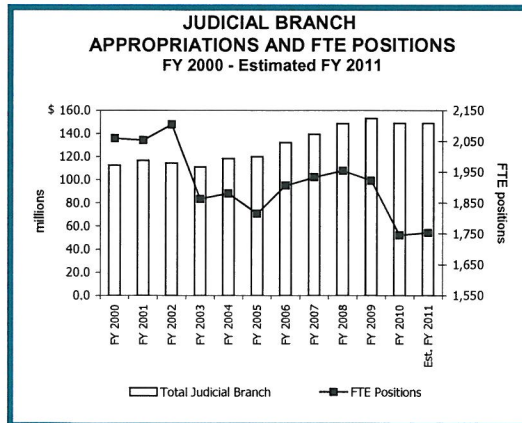
Judicial Branch

CODE AUTHORITY

Chapter 602

BACKGROUND

In FY 2000, the Judicial Branch General Fund budget, including both operations and Judicial Retirement, was \$112.4 million. For FY 2011, the budget is currently estimated to be \$148.8 million. This is an increase of \$36.4 million or 32.4%. The full-time equivalent (FTE) positions for this same time period were 2,058.7 in FY 2000 compared to an estimated 1,753.3 for FY 2011. (For FY 2011, there are an additional 33.6 FTE positions that are on the Judicial Branch table of organization that are funded through grants from the Department of Human Services.) This is a decrease of 305.4 FTE positions or a reduction of 14.8% in the Judicial Branch workforce since FY 2000. The decrease in FTE positions from 2002 to 2003 was due to the 4.3% across-the-board reduction. In response, the Judicial Branch laid off 120 employees, reduced the working hours of 67 employees, downgraded 79 employees from supervisory positions, and eliminated an additional 20 vacant positions.



The average cost per employee, including the judges, in FY 2000 was \$46,180. The average cost per employee, including the judges, in FY 2010 was \$78,786. This is an increase of \$32,606 or 70.6% in the average cost per employee. The average rate of increase is 5.5% per year. Contributing factors to the increase in the Judicial Branch budget from FY 2000 to FY 2010 include the State’s share of Judicial Retirement being included in the salary costs beginning in FY 2010. Prior to FY 2010, the employer’s share of Judicial Retirement was a separate appropriation. Additional contributing factors are increases in salaries, benefits, and health insurance costs. In addition, the Judicial Branch experienced three rounds of layoffs (FY 2002, FY 2009, and FY 2010) and the implementation of the Judges’ Blue Ribbon Commission Report.

As background for comparison, the average cost per employee in the Executive Branch, excluding Regents and Community-Based Corrections employees, in FY 2000 was \$44,772. The average cost per employee in the Executive Branch, excluding Regents and Community-Based Corrections employees, for FY 2010 was \$71,475. This is an increase of \$26,703 or 59.6% in the average cost per employee. The average rate of increase is 4.8% per year. (Included in the average cost are the base wage, FICA, retirement, dental insurance, health insurance, life insurance, long term disability and deferred compensation. Not included are other special pays such as shift differential, weekend pay premium, second language premium, overtime, and monetized compensatory time.) When comparing the average cost of an employee in the Judicial Branch to that of the Executive Branch, salaries of judges and judicial retirement are the biggest differences.

The following chart shows the number of FTE positions the Judicial Branch has lost since FY 2000. The largest FTE reduction is in Clerks of Court category, with a reduction of 169.5 FTE positions since FY 2000. The largest percentage reduction is in State Court Administration with a reduction of 53.7% (30.3 FTE positions). The Iowa Court Information System (ICIS) experienced the largest gain with 13.0 FTE positions since FY 2000.

Judicial Branch FTE Positions

	FY 2000	FY 2011	FTE Reduction	Percent Change
Appellate Courts	54.5	55.8	1.3	2.26%
State Court Administration	56.4	26.1	-30.3	-53.70%
ICIS	37.0	50.0	13.0	35.14%
District Court Administration	225.9	153.3	-72.5	-32.11%
Court Reporters	183.6	149.6	-33.9	-18.49%
Juvenile Court Services	289.3	235.4	-54.0	-18.66%
Clerks of Court	875.6	706.1	-169.5	-19.35%
Judges & Magistrates	336.5	377.0	40.5	12.04%
Total	2058.7	1753.3	-305.4	-14.84%

The FY 2011 figure does not include 72.0 FTE positions paid through grants from the Department of Human Services.

Judicial Officer Salaries

In 2005, the Blue Ribbon Commission on judicial compensation recommended a three-year increase in judicial salaries. Judicial salaries are set by statute and would typically not receive an across-the-board increase; however, the General Assembly tends to adjust the judges

salaries to mirror the increase provided to other State employees. The actual implementation was three phases over four years with the third phase of the Blue Ribbon Commission Report being implemented in FY 2009 totaling approximately \$3.0 million. Since FY 2009, the Judges have not received a salary increase.

Annual Salary Increase Percentages

	FY 2006	FY 2007	FY 2008	FY 2009
Chief Justice/Supreme Court	4.47%	13.10%	2.00%	11.59%
Supreme Court Justices	4.49%	12.50%	2.01%	11.10%
Chief Judge/Court of Appeals	4.53%	8.63%	1.99%	7.95%
Court of Appeals Judges	4.47%	8.89%	2.00%	8.16%
Chief District Court Judges	4.49%	7.38%	2.00%	6.87%
District Court Judges	4.49%	7.67%	2.00%	7.12%
District Associate Judge	4.50%	8.82%	1.99%	8.11%
Associate Juvenile Judges	4.50%	8.82%	1.99%	8.11%
Part-time Magistrates	4.47%	12.50%	1.99%	8.19%
Senior Judge	4.62%	4.41%	1.94%	12.74%

Judicial Salary Comparison From FY 2000 to FY 2011

Supreme Court					
Fiscal Year	Chief Justice		Justices		
2000	\$ 114,000		\$ 109,900		
2011	\$ 170,850		\$ 163,200		
Increase	\$ 56,850	49.9%	\$ 53,300	48.5%	
Court of Appeals					
Fiscal Year	Chief Judge		Judges		
2000	\$ 109,800		\$ 105,700		
2011	\$ 153,000		\$ 147,900		
Increase	\$ 43,200	39.3%	\$ 42,200	39.9%	
District Court					
Fiscal Year	Chief Judge		District Associate Judges		Juvenile Associate Judges
2000	\$ 104,800		\$ 100,500		\$ 87,600
2011	\$ 142,800		\$ 137,700		\$ 122,400
Increase	\$ 38,000	36.3%	\$ 37,200	37.0%	\$ 34,800
					\$ 34,800
					39.7%
Probate Associate Judges					
Fiscal Year	Probate Associate Judges		Magistrates		Senior Judges
2000	\$ 87,600		\$ 25,400		\$ 5,800
2011	\$ 122,400		\$ 37,740		\$ 8,160
Increase	\$ 34,800	39.7%	\$ 12,340	48.6%	\$ 2,360
					40.7%

State Employee Salary Increases

When funding is not received for salary increases, the Judicial Branch, like all other departments in State government, absorb the costs within their current operating budgets. The following chart shows the State appropriation, the identified salary adjustment need as determined by the Department of Management, and the difference. The proration column shows the percentage funded. In FY 2007, the Judicial Branch was not included in the Salary Bill as the Judicial Branch was allowed to keep \$7.0 million off the top in receipts, prior to deposit into the General Fund, for salary adjustment. The following charts show the cost of living and step increases for Judicial Branch employees (excluding Judges and Magistrates) and a historical look at the salary adjustment appropriations.

Cost of Living and Step Increases for Judicial Branch Employees

Fiscal Year	Contractual				Noncontract	
	(AFSCME)		(PPME)		Across the Board	Merit Steps
	Across the Board	Steps	Across the Board	Steps		
1995	4.0 (a)	+ Step	4.0 (a)	+ Step	4.0 (a)	+ Merit
1996	3.0	+ Step	3.0	+ Step	3.0	+ Merit
1997	2.5	+ Step (b)	2.5	+ Step (b)	2.5	+ Merit (b)
1998	3.0	+ Step	3.0	+ Step	3.0	+ Merit
1999	3.0	+ Step	3.0	+ Step	3.0	+ Merit
2000	3.0	+ Step	3.0	+ Step	3.0	+ Merit
2001	3.0	+ Step	3.0	+ Step	3.0	+ Merit
2002	3.0	+ Step	4.0 (c)	+ Step	3.0	+ Merit
2003	3.0 (d)	+ 4.0%	4.0 (e)	+ 4.0%	3.0	+ Merit
2004	2.0	+ 4.5%	2.0	+ 4.5%	2.0	+ Merit
2005	2.0 (f)	+ 4.5% (g)	2.0	+ 4.5%	2.0	+ Merit
2006	0.0	+ 4.5%	2.0	+2.0%	0.0	+ Merit +4.0%
2007	3.5	0	3.5	0	3.5	0
2008	2.0	+4.5%	2.0	+4.5%	3.0	+ Merit
2009	0.0	+4.5%	0.0	+4.5%	0.0	+ Merit
2010	0.0	+4.5%	0.0	+4.5%	0.0	0

(a) There were two increases for FY 1995, consisting of 2.0% effective July 1, 1994, and 2.0% effective December 30, 1994.

(b) A one-time \$300 payment for full-time employees at the top step was provided in December 1996. Part-time employees at the top step received a one-time \$150 payment.

(c) Judicial PPME received 2.00% on July 1, 2001, and 2.00% on January 22, 2002.

(d) The FY 2003 increase for AFSCME and Noncontract was effective October 25, 2002, and additional step added to top of range effective February 1, 2003.

(e) The FY 2003 increase for PPME was 2.00% on July 1, 2002, and 2.00% on January 1, 2003.

(f) The FY 2005 increase was effective January 1, 2005.

(g) Employees received a 4.50% increase effective November 1, 2004.

Salary Adjustment
(dollars in millions)

Fiscal Year	State Appropriation	Salary Adjustment Identified Need	Appropriation Need/ Difference	Prorate	Salary Bill
1997	\$ 33.3	\$ 37.2	\$ -3.9	89.0%	HF 2497
1998	47.4	47.3	0.1	100.0%	SF 551
1999	44.1	43.1	1.0	100.0%	HF 2553
2000	50.1	52.4	-2.3	100.0%	HF 781
2001	42.2	44.8	-2.6	94.0%	SF 2450
2002	70.2	89.2	-18.9	79.0%	HF 746
2003	41.1	55.6	-14.5	74.0%	HF 2623
2004	43.5	50.4	-6.9	90.0%	SF 458
2005	0.0	69.6	-69.6	0.0%	SF 2298
2006	40.9	72.9	-32.0	56.0%	HF 881
2007	29.0	57.0	-28.0	49.1%	HF 2797
2008	106.8	107.0	-0.2	99.8%	SF 601
2009	88.1	95.8	-7.7	92.0%	HF 2700
2010	0.0	55.8	-55.8	0.0%	SF 478
2011	0.0	77.7	-77.7	0.0%	HF 2531

FY 2002

The Governor issued Executive Order 24, effective November 1, 2001, that reduced all FY 2002 appropriations to Executive Branch agencies by 4.3%. House File 759 (FY 2002 Appropriations Adjustment Act) affirmed the Executive Order and implemented a 4.3% across-the-board (ATB) reduction to the Judicial Branch as well, resulting in a deappropriation of \$5.2 million to the Judicial Branch operating budget and Judicial Retirement Fund.¹ Due to the reduction, the Judicial Branch eliminated the Court Appointed Special Advocate Program that has since been relocated to the Department of Inspections and Appeals. The Judicial Branch also laid off 120 employees, reduced the working hours of 67 employees, downgraded 79 employees from supervisory positions, and eliminated an additional 20 vacant positions.

FY 2009

House File 414 (FY 2009 Appropriations Adjustment Act) reduced the Judicial Branch appropriation by \$3.8 million, a 2.6% reduction from the original appropriation. The Act was not enacted until March 16, 2009. Since mid-year reductions limit the implementation options, the Judicial Branch reduced all nonpersonnel travel including judicial travel and closed the Courts for five days. This provided a savings of \$335,000 per day (\$1.7 million). (This figure does not include furloughs for Judges or Magistrates.) Of the five furlough days taken by the Judicial Branch in FY 2009, the Judges participated in one of the five furlough days. In addition, in FY 2009, salary adjustment was funded at 89.4% of the actual need causing the Judicial Branch to absorb approximately \$1.8 million within their operating budget.

CURRENT SITUATION**FY 2010**

For FY 2010, there was no appropriation for salary adjustment increases. The Judicial Branch salary adjustment need was \$3.5 million. This covered step increases only and did not include a cost of living increase. Since no appropriation was received, the step increases were absorbed within the current operating budget.

¹ 2001 Iowa Acts, Chapter 179

The Judicial Branch opted not to participate in the State Early Retirement Incentive Program (SERIP). The Judicial Branch estimated the costs would have exceeded the savings. Approximately 366 people would have been eligible, if the Judicial Branch had chosen to participate. Of the 366 people, the average age was 59 with 24 years of service. In addition, of the 366 people, 100 had met or exceeded the rule of 88. Of those 100 people, the average age was 61 with 32 years of service.

Excluding the Judges, there are 1,360 employees paid from the General Fund in the Judicial Branch, of which 283 employees are at the maximum of their pay grade which is 20.8% of the total employees. If staff in the Judicial Branch retired and were replaced with new staff at a lower pay grade, there would be a potential cost savings in the operating budget. Although new staff could potentially reduce costs, the lack of knowledge and experience could impact the operations of the Judicial Branch.

Judicial Retirement

Changes to retirement legislation enacted in the 2008 Legislative Session increased both the employee and employer contribution rates for FY 2009. The total appropriation for Judicial Retirement in FY 2009 was \$7.6 million and one-time funding sources totaling \$4.2 million were used to fund the employer's share (30.6%). Prior to FY 2010, the Judicial Branch received a separate appropriation for Judicial Retirement. From FY 2010 forward, Judicial Retirement was included on the total appropriation, same as IPERS is for State employees. Senate File 472 (FY 2010 Judicial Branch Appropriations Act) merged the Judicial Retirement appropriation line item into the Judicial Branch operating budget appropriation line item. As part of the \$11.0 million appropriation in SF 478 (FY 2010 Standing Appropriations Act) the General Assembly included \$4.8 million for the employer's share of Judicial Retirement to restore the one-time funding and cover increased expenditures with General Fund revenue. The State's share of Judicial Retirement in FY 2010 was \$8.2 million.

7.1% Across-the-Board Reduction

Because the Judicial Branch is a separate branch of government, it was not subject to the Governor's 10.0% ATB reduction in Executive Order 20. During FY 2010, the Supreme Court implemented a 7.1% reduction to correspond with the State's revenue shortfall as estimated by the State Revenue Estimating Conference. Senate File 2366 (FY 2010 Appropriations Adjustments Act) deappropriated \$11.4 million. The 7.1% ATB reduction eliminated the increase received in SF 478 and caused the funding for Judicial Retirement to be absorbed within the current Judicial Branch budget for FY 2010.

The ATB reduction resulted in 10 days of unpaid leave for all judges, magistrates, and court staff from December 4, 2009, to June 18, 2010, for a savings of \$4.1 million. One furlough day for all judges, magistrates, and court personnel results in savings of approximately \$418,000. In addition, the Judicial Branch implemented a workforce reduction of approximately 9.3% for a savings of \$7.3 million and a reduction in nonpersonnel expenditures of approximately \$700,000. The Judicial Branch laid off 105 employees, eliminated 100 vacant positions (27 retirements and 73 vacancies), and reduced the hours of 58 employees. The reduction plan downsized the Clerk of Court offices based on a weighted caseload formula. All Clerk of Court offices are staffed 12.0% below staffing formulas and juvenile court services is staffed at 9.0% below current staffing formula recommendations.

Judicial Vacancies

The Judicial Branch averages between four to six judicial vacancies a year. During the 2003 Legislative Session, HF 694 (Court Practices and Procedures Act) permitted the Chief Justice to delay, up to 180 days for budgetary reasons, the nomination process of a judge for appointment to the Iowa Supreme Court, Court of Appeals, or District Court. The 180-day delay provision sunset on July 1, 2006. House File 414 (FY 2009 Appropriations Adjustment Act) again authorized a 180-day delay for the periods of March 16, 2009, through June 30, 2009. Senate File 478 (FY 2010 Standing Appropriations Act) extended the 180-day delay language from May 26, 2009, through June 30, 2010.

Judicial Vacancies Veto

Senate File 2343 (Judicial Officers Bill) included language beginning July 1, 2010, through July 1, 2013, for each of the first five delays ordered by the Chief Justice in each fiscal year, the delay was not to exceed 180 days. For each delay beginning July 1, 2010, through July 1, 2013, in excess of five, the delay was not to exceed one year. This Bill was vetoed by the Governor on April 28, 2010. In the veto letter, the Governor stated he felt this would substantially alter the process for filling judicial vacancies by allowing the Chief Justice to have the authority to delay the appointment of judges and associate judges for up to one year. This would have allowed the Chief Justice the ability to determine the number of judges in each judicial district by deciding which judicial openings are filled and which are delayed. Under Article V, Section 10, of the Constitution of the State of Iowa, the responsibility for determining judicial districts and the number of judges within a judicial district is assigned to the General Assembly. Assuming a normal number of judicial vacancies in a fiscal year, the impact of the veto ranges from \$417,000 to \$1.0 million.

BUDGET IMPACT

Judicial Vacancies Veto

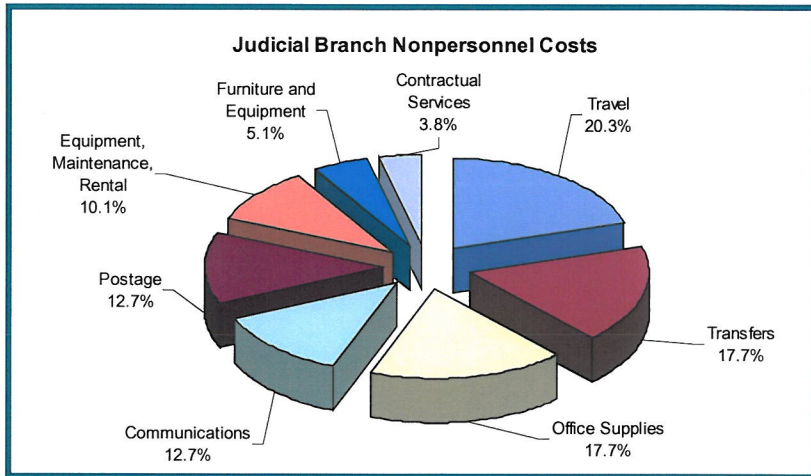
The veto of SF 2343 did not have as large an impact on the Judicial Branch budget as first expected, for a couple of reasons. The first is that there was a significantly higher than normal number of departures in FY 2010, several of these will remain vacant due to hiring delays beyond July 1. The second is that Section 19 of HF 2531 (FY 2011 Standing Appropriations Act) specified that the FY 2011 pay plans for noncontract employees of the Judicial Branch would not be increased. These two factors helped mitigate the impact of the veto of SF 2343.

General Fund Budget

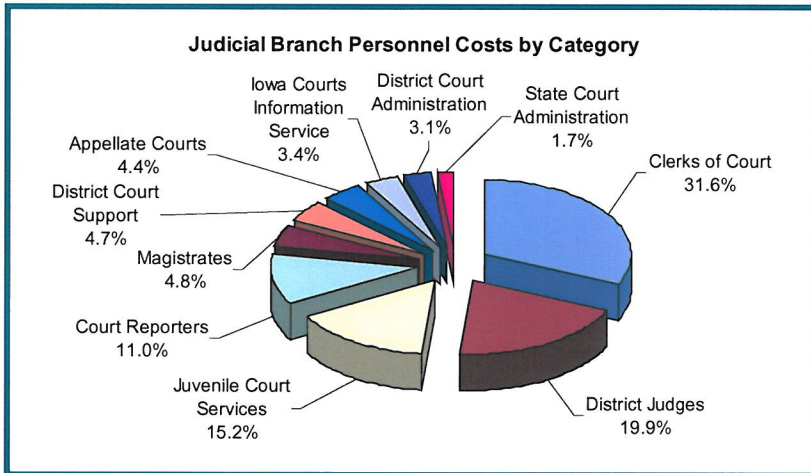
Since the Judicial Branch is 95.0% personnel with no programs to eliminate, any budget reduction in excess of 1.0% to 2.0% affects personnel. Although court closures save the Judicial Branch operating money, it costs the General Fund money through the reduction in fine collections. Delays in case processing create backlogs in county jails increasing costs to local law enforcement.

For additional savings in FY 2010, the Judicial Branch reduced their mileage reimbursement rate from \$0.40 per mile to \$0.35 per mile. The mileage reimbursement rate for the Legislative and Executive Branch agencies is \$0.39 per mile. The Judicial Branch is also in the process of ranking cases based on the order of priority for the assignment of duties. Business practices and court rules are being examined for streamlining efforts and efficiencies.

The following chart shows the breakout of nonpersonnel services within the Judicial Branch:



The following chart shows the breakout of personnel funding by category within the Judicial Branch:



ALTERNATIVES

Electronic Document Management System (EDMS)

The Electronic Document Management System (EDMS) will allow lawyers and citizens to electronically file court documents with the Judicial Branch using personal computers via the Internet. The EDMS will integrate with the Iowa Court Information System (ICIS), enabling public access via the Internet to the court docket and court documents 24 hours a day, seven days a week. In addition, court notices will be emailed to lawyers, litigants, and officials. The EDMS will allow the Judicial Branch to operate with reduced staff and resources and still maintain statewide access to the court system. The EDMS will help understaffed Clerks of Court offices keep up with the caseload and allow smaller counties to assist the larger counties with caseload processing.

The pilot began February 22, 2010 in Plymouth County; however, due to some technical issues, it was delayed from its original January 1, 2010 implementation date. The Judicial Branch plans

to expand the pilot of EDMS in Story County in October 2010. After the completion of the Story County pilot project, the appellate courts will begin their pilot and district courts will begin implementation with approximately two counties per month being brought on-line. Statewide implementation of the EDMS project is scheduled to take approximately four years. The Judicial Branch is exploring ways to expedite the pilot project and implementation to help reduce difficulties caused by the November 2009 12.0% reduction in its workforce.

According to the Judicial Branch, once EDMS is operating in all 99 counties, the cost savings will be both qualitative and quantitative. The need for document storage space will be reduced or eliminated, reducing rental expenses for outside storage spaces and freeing up internal storage space for conference rooms and office space. Staff time in the Clerks of Court offices will be better utilized and more efficient as a result of time savings from locating and delivering files, and minimized duplication of efforts.

Work also continues on the Judicial interface that allows Judges to view cases while sitting on the bench. Since January 1, 2010, judges are interfacing with electronically-filed cases and documents through the Criminal Justice Information Network (CJIN) allowing orders to be approved electronically rather than manually. All cases initiated since January 1, 2010, are electronic and additional filings are being handled electronically.

STAFF CONTACT: Jennifer Acton (515-281-7846) jennifer.acton@legis.state.ia.us

Narrowband Mandate and State Agency Communications Systems

ISSUE

The federal government mandated that all non-federal public safety license holders on frequencies ranging from 150-512 MHz reduce their operating bandwidth from 25 kHz to 12.5 kHz narrowband channels and update their operating licenses by January 1, 2013. Failure to do so will result in the loss of communication capabilities and fines. This ***Issue Review*** analyzes the impact to State agencies of the federal mandate requiring all two-way radio systems and some paging networks, including those used by public safety agencies, to meet the new narrowband requirements by January 1, 2013. This ***Issue Review*** does not address the impact to local communications systems. Narrowbanding analog channels to comply with the FY 2013 federal mandate may result in gaps in tower coverage due to the reduction of bandwidth and may need to be addressed. Narrowbanding channels is an issue separate from the issue of upgrading to P-25 (digital) radios. (NOTE: A definition of key concepts and acronyms is included at the end of this document.)

AFFECTED AGENCIES

Departments of Public Safety, Transportation, Natural Resources, Public Health, and Corrections; Homeland Security and Emergency Management Division; educational institutions; and local public safety and public works entities

CODE AUTHORITY

Chapters 80 and 693

BACKGROUND

Blocks of radio spectrum are called channels or talk groups. In Iowa, there are an estimated 26,000 users of public safety communication channels or talk groups including fire, law enforcement, emergency management, medical services, and communications agencies. Per the federal mandate, by January 1, 2013, all two-way radio system licenses in the VHF band (150-174 MHz) and the UHF band (421-512 MHz) must be modified to narrowband emissions and all two-way radios must cease operating in the wide-band mode and only operate in the narrowband mode. Radios that operate in the 700 and 800 band are not affected by this federal mandate. Narrowbanding channels from 25.0 kHz (wideband mode) to 12.5 kHz (narrowband mode) allow additional channels to exist within the same limited radio spectrum. By January 1, 2017, the federal government is mandating that public safety agencies using 700 MHz systems must be operating with 6.25 KHz emissions/equivalency.

Currently, most public safety radio systems in Iowa use 25.0 kHz channels and 85.0% of the systems are a combination of VHF (75.0%) and UHF (10.0%) frequencies. Depending on the age of the radio equipment, radio users can remain on their current frequencies and continue to operate in analog as long as they comply with the federal mandate to reduce channel bandwidth

and update their operating license. It is this group of radio users that are impacted by the narrowbanding mandate.

State and local agencies need to assess their current radio equipment to determine if it is narrowband-capable (usually only newer equipment) or if it needs to be replaced (older, nonconvertible equipment). Agencies that do not migrate to narrowband 12.5 kHz channels by January 1, 2013, face the loss of communications capabilities, cancellation of their Federal Communications Commission (FCC) communication license, and a possible federal fine. This will impact radios used in State government including the Departments of Public Safety, Transportation, Natural Resources, Public Health, and Corrections.

Reducing the bandwidth from 25.0 kHz to 12.5 kHz will reduce radio and pager coverage. Agencies that narrowband in analog will have further reductions in coverage. However, agencies may use this opportunity to upgrade to digital technology. Narrowbanding the current DPS analog system may result in approximately one-fourth of the State being without radio coverage unless some corrective steps are taken to ensure continued coverage such as converting all DPS tower sites to digital. Federal Engineering, the consultant retained through a competitive bid process, developed a master communications plan for the state of Iowa. The plan includes building a statewide, 700 MHz, P-25 (digital) system that will provide 95.0% coverage on a county by county basis. The 700 MHz system calls for a total of 265 tower sites. It is estimated that 35 additional/new tower sites would need to be added to the existing 230 State, local, and private tower sites.

Narrowbanding may also affect two-tone paging systems and monitoring by scanners. Agencies need to assess their current tone paging and scanner equipment to determine if it is capable of receiving narrowband communications. This will primarily affect local agencies and volunteer fire departments.

Digital radios can be dual mode capable allowing for operation in wideband analog as well as narrowband analog and digital. Dual band radios can operate on both the 700 MHz/800 MHz frequencies. Digital is also more immune to adjacent channel interference than analog. Both digital and analog systems are capable of providing enhanced features such as emergency alert, caller ID, and short data messaging.

Frequency Bands

The most common system frequency band currently used by State and local agencies is VHF (high-band). This band has a limited number of channels available compared to the 700 or 800 MHz band and is also subject to more atmospheric interference. This frequency band carries the statewide mutual aid channels and is commonly known as the Sheriff's channel. This band is also used by volunteer fire departments for day-to-day operations and many agencies reserve use of this frequency as a backup mechanism.

Ambulances, hospitals, and some law enforcement and emergency services providers tend to use the UHF band.

Iowa Statewide Interoperable Communications System Board (ISICSB)

The ISICSB, created by the General Assembly in 2007, has developed a statewide communications Master Plan to increase interoperability among public safety agencies

throughout Iowa.¹ This Plan provides for a migration to or interconnection with the 700 MHz band and is not affected by the federal narrowbanding mandate. The Master Plan also focuses on a migration towards P-25 (digital) equipment.

P-25 Requirements

The majority of radios in State government can be reprogrammed to operate in narrowband. However, the majority of radios in State government are not P-25 (digital) radios. The P-25 suite of standards for digital radio communications provides an open interface to the radio frequency and allows the interlinking of different systems enabling communication with other agencies and mutual aid response teams in emergencies. The P-25 standard is a common platform that allows greater interoperability regardless of the manufacturer of the equipment. For example, radios from one manufacturer that are not P-25 compliant may not be able to communicate with another manufacturer's non-P-25 radio.

The ISICSB's Master Plan recommends P-25 (digital) equipment. Although the equipment may cost more, it has more capabilities. Public safety agencies wishing to purchase P-25 equipment may use the State contract for purchasing found on the Department of Administrative Services (DAS) website.

Interconnect Systems

An interconnect system allows radios to communicate even if they are on different frequencies or have the same frequency band but operate under different protocols. This equipment can link older radio systems with officers using new technology or radios from a different vendor. Gateway is one type of interconnect system that can serve as a link between disparate or legacy systems (VHF, UHF, 700 MHz, and 800 MHz). Gateways transmit and receive audio through multiple externally connected communication devices. There are several manufacturers who provide these types of services.

States Surrounding Iowa

Federal Engineering reviewed the communication systems in states surrounding Iowa as a part of the process when developing the Iowa Statewide Interoperable Communications System Master Plan. The results are summarized below.

- **Illinois** – The State of Illinois operates a 700/800 MHz statewide P-25 (digital) trunked system called STARCOM21 allowing for interoperability among State agencies, counties, and cities that have joined the System. Three essential VHF mutual aid channels are used for interoperability throughout the State. Interoperability on the UHF frequency is implemented at the local and regional level. There is currently no FCC-approved 700 MHz channel plan. There are also five channels on the 800 MHz frequency used for interoperability.
- **Minnesota** – The Statewide Radio Board (SRB) was created by the Minnesota General Assembly in 2004 to implement a statewide interoperable public safety radio and communication system plan. The plan evolved out of the implementation of a regional interoperable radio system in the Minneapolis/St. Paul metropolitan area in 2001. The statewide public safety radio system commonly referred to as ARMER (Allied Radio Matrix for Emergency Response) is an 800 MHz digital trunked system that allows frequencies to

¹ Full text of the Master Plan is available at: http://isicsb.iowa.gov/documents/federal-reports/11-08-2009-ISICS%20Master%20Plan_V1.pdf

be pooled and shared. Interoperability on the VHF and UHF frequencies is currently implemented at the local and regional levels. The 700 MHz system is currently in the planning stages and five channels are being utilized on the 800 MHz frequency.

- **Missouri** – The State has both VHF and UHF public safety radio bands including six channels on VHF and five channels on UHF. In the 700 MHz frequency, 34 specific channels have been identified and five channels in the 800 MHz frequency. Missouri has a State Interoperability Executive Committee and requires a Memorandum of Understanding (MOU) to use channels designated for public safety purposes.
- **Nebraska** – The Nebraska State Interoperability Executive Committee was created on November 26, 2001, by the Governor with the intent to administer the interoperability spectrum. Nebraska operates on five VHF channels and five UHF channels. The 700 MHz system is currently in the planning phases and no interoperability channels are in use on the frequency to date. There are also five channels on the 800 MHz frequency. In addition, mutual aid frequency assignments are shared by State and local jurisdictions.
- **South Dakota** – The State operates a 54-site digital trunked VHF statewide radio system which provides statewide interoperability. The system links State and county agencies, and municipalities, with the exception of some campus facilities, with other agencies in South Dakota. Interoperability is provided statewide on the VHF frequency and through four channels on the UHF frequency. There are no interoperability channels on the 700 MHz or 800 MHz frequencies.
- **Wisconsin** – The State of Wisconsin does not have an interoperable statewide communications system. There are three mutual aid channels in Wisconsin. Interoperability on the VHF and UHF frequencies is currently implemented at the local and regional levels. One of Wisconsin's regions has submitted and gained approval to operate on the 700 MHz system frequency and there are five channels being utilized on the 800 MHz frequency.

CURRENT SITUATION

700 MHz Frequency

As part of the Master Plan, the ISICSB petitioned the Federal Communications Commission (FCC) for permission to use the 700 MHz broadband spectrum for wireless data communications to compliment its 700 MHz voice channels authority. On May 12, 2010, Iowa was one of 21 city, county, and State governments granted a conditional waiver by the FCC to use the 700 MHz frequency in the D-block for data channels. Data channels include text messaging, electronic mail (e-mail), computer-aided dispatching, latitude/longitude mapping, global positioning (GPS), and video and database transfer. Other States that received the waiver include Alabama, Hawaii, Mississippi, New Jersey, New Mexico, New York, and Oregon as well as the District of Columbia. The 700 MHz channels are a key component in a national master plan that have been dedicated by the FCC for the nationwide use of public safety users allowing interoperability between disparate systems using a common frequency band that will reduce channel interference from commercial and nonpublic safety entities. The FCC is requiring the 21 entities to build broadband networks that operate under a common interoperability framework ensuring they are technically compatible and fully interoperable on a national level. An additional 25 entities have applied for the same waiver including four other States: Maryland, Florida, Oklahoma, and Texas. Iowa applied for federal funding through the Broadband Technology Opportunities Program (BTOP) but no funding was awarded.

Current Radio Equipment Needs:**Department of Public Safety (DPS)**

The DPS consists of the following divisions: Administrative Services; Criminal Investigation; Narcotics Enforcement; State Fire Marshal; and the State Patrol.

The Department is divided into six communication regions using 28 tower sites across the State. There are approximately 1,400 DPS radios including 600 portable (hand-held) and 800 mobile (vehicle-mounted) devices. In addition, there are approximately 400 vehicle repeaters used in the State Patrol vehicles. The vehicle repeaters serve as a link between the Trooper's hand-held portable device and the mobile radio mounted in the car to allow communications to be broadcast out to others when an officer is away from the vehicle.

In April 2011, the Department will begin the process of narrowbanding the DPS operations channel commonly known as BASE including programming the radios and site equipment. The plan is to begin in the northwest corner of the State and proceed clockwise east and south finishing up in the northwest corner. The estimated completion of this update is August 2011. In addition to DPS, the BASE channel is utilized by the Departments of Natural Resources and Transportation as well as federal agencies.

In April 2012, the Department will begin narrowbanding the LEA channel. The LEA channel has a large user base including local law enforcement. The goal is to have this process completed by September of 2012. This includes Mutual Aid, Point-to-Point, the I.O.W.A. Channel, LINC in Polk County, and Area 11 in Linn County. The same implementation plan will be used for this process beginning in the northwest corner of the State continuing clockwise around the State.

Department of Natural Resources (DNR)

The DNR recently completed an inventory of all its radio equipment across the State. The majority of radios in use by the DNR are contained within the State Parks Bureau and the Law Enforcement Bureau. The DNR radios need to be narrowbanded by April 2011. The DNR uses VHF equipment and operates on the DPS infrastructure for dispatching purposes. In the Parks Bureau, there are 83 mobile and 141 portable radios (224 total). Of these radios, 24 cannot be narrowbanded (nine mobile and 15 portables). In the Law Enforcement Bureau, there are 109 mobile radios and 105 portable, handheld radios. Of these radios, approximately 87 mobiles cannot be reprogrammed to narrowband.

Department of Agriculture and Land Stewardship (DALs)

The Department uses a limited number of radios. Communications are provided by RACOM, a commercial service provider operating on the 800 MHz frequency band. There are 24 800 MHz portable radios and six mobile radios (800 MHz) installed in the veterinarian trucks for contact with local jurisdictions, as well as the DPS, through the LEA channel on the DPS network. There are also two VHF mobile radios installed and four radios awaiting installation in the veterinarian trucks. All the VHF mobile radios are narrowband compliant. None of the radios in the Department of Agriculture meet the P-25 requirements.

Department of Transportation (DOT)

The Iowa DOT has two divisions that utilize radio communications: the Motor Vehicle Enforcement and Highway Support (maintenance workers) Divisions. The Information Technology Division purchases all radios for the DOT. The Iowa DOT uses 175 tower sites throughout the State. The DOT owns approximately 1,450 radios includes 1,250 mobile (vehicle-mounted) and 200 portable (hand-held) devices. In addition, there are approximately 125 vehicle repeaters to allow portable radios to communicate via mobile radio broadcasting to

other officers. All of these radios can be reprogrammed to narrowband. The DOT radio equipment is purchased with Road Use Tax Funds.

The DOT is in year two of an eight-year project to upgrade all the radios. Approximately 400 radios have been replaced so far. These radios are capable of operating in VHF, the 700 MHz and 800 MHz frequencies, and are P-25 capable. In April 2011, the DOT will begin the process of narrowbanding tower sites. The plan is to coordinate efforts with the DPS and follow their implementation plan. As the DPS tower sites are upgraded, the DOT sites and radios will be upgraded at the same time.

Department of Public Health (DPH)

The Iowa DPH coordinates emergency medical services across the State. Public Health has 66 VHF radios and 389 RACOM radios (commercial service provider network in the 800 MHz band) of which 55 are in the actual Department. The RACOM radios are also in hospitals, local public health agencies, community health centers, and other partner agencies. Each of the 117 hospitals is equipped with VHF and RACOM radio equipment. All of the VHF radios can be reprogrammed to narrowband. None of the DPH radios meet the P-25 requirements.

Homeland Security and Emergency Management Division (HSEMD)

The Division uses a limited amount of radio equipment since primary communications are handled through the DPS and the Iowa National Guard. The Division has approximately 11 radios operating on a radio lease agreement through RACOM. This includes eight portable and three mobile encryption-capable radios operating on the 800 MHz band. All the current radios in the Homeland Security Division have been reprogrammed to narrowband and are interoperable-capable.

Iowa National Guard

The Iowa National Guard is interconnected by high frequency radios throughout the State at approximately 42 armories and three Air Units. The Iowa Air National Guard has 300 radios that are P-25 and narrowband compliant. In FY 2007, the Air National Guard purchased 56 VHF radios totaling \$130,000, completing the P-25 upgrade.

The Iowa Army National Guard has approximately 500 Combat Land Mobile Radios (CLMR) and 920 Land Mobile Radios (LMR) provided by the federal government since 2006 and valued at approximately \$3.0 million. The LMR is a non-tactical, hand-held radio that meets narrowband and P-25 requirements that can be used for Homeland Security and to support civilian agencies. Joint Forces Headquarters also has 61 VHF radios and 12 RACOM radios available.

Department of Corrections (DOC)

The DOC has nine institutions and eight Community-Based Corrections Districts with 20 locations for residential facilities across the State of Iowa. Inmate transportation between facilities and to work sites requires the use of radios. The Department does not have its own wide area radio system, but uses a combination of mutual-aid frequencies and commercial services. Vehicles equipped with VHF (high-band) radios operating on the LEA channel support the inmate transport process.

The DOC has 2,146 radios and approximately 923 (43.0%) are noncompliant and cannot be reprogrammed to narrowband. The number of radios (2,146) may or may not include the eight CBC District Departments. Approximately 85.0% of the DOC radios are 10 to 30 years old and

in need of replacement. The Fort Dodge Correctional Facility and Mitchellville are both on an 800 MHz system (RACOM) and are not affected by the FCC narrowband mandate since they are on a higher frequency than the 150 to 512 MHz range (VHF) affected by the mandate.

BUDGET IMPACT

All State agencies are being encouraged to inventory their radio equipment. With tight budgets, funding for radios and overtime is scarce and agencies are being encouraged to set up schedules to program all public safety VHF/UHF mobiles and control stations to narrow BASE and narrow LEA+ channels using current staff and resources. The cost of a P-25 (digital) radio is approximately \$5,000 compared to the cost of a standard radio at approximately \$1,500. Per the FCC Narrowband Mandate, by January 1, 2011, all new radios sold in the United States must be narrowband capable.

The total cost for State agency compliance in FY 2013 is approximately \$8.2 million to meet the narrowband mandate. Of the \$8.2 million, \$810,000 is being funded from the Road Use Tax Fund (RUTF). The remaining \$7.4 million would need to come from another funding source.

The overall radio cost, including the narrowband mandate and the P-25 (digital) radios, is estimated to be \$40.5 million at today's cost. Of the \$40.5 million total, \$10.5 million is being funded from RUTF dollars. The remaining \$30.0 million would need to come from another funding source .

The table below is based on current radio cost estimates as of October 2010. Costs will vary depending on the radio manufacturer and what options and accessories are chosen. Prices may change due to inflation (potential increased cost), bulk purchasing (potential decreased cost), or any change in the number of radios purchased by the various State agencies (potential increased or decreased cost if agencies reduce or add radios to the number currently provided to the LSA).

State Agency Radio Communications Estimate

Department	Cost Estimate for FY 2012 to comply with the FY 2013 Narrowband Mandate	Total Cost Estimate Including P-25 Radios
Public Safety	\$ 5,400,000	\$ 15,800,000
Natural Resources	154,000	900,000
Public Health	0	2,275,000
Agriculture	0	180,000
Corrections	1,800,000	10,790,000
Transportation	* 810,000 *	10,530,000
	\$ 8,164,000	\$ 40,475,000
Homeland Security and Emergency Management Division		Complete
National Guard		Complete
Notes:		
* Already has an identified funding source - Road Use Tax Fund (RUTF)		
The total cost column includes the FY 2013 column figures.		
The radios in Public Health and Agriculture are already operating on an 800 MHz frequency.		

- **Department of Public Safety**

The UHF Crossband Vehicular Repeaters in the State Highway Patrol cars are not narrowband capable and will need to be replaced by FY 2013 to be in compliance with the federal mandate. In addition, the mobile radio that communicates through the vehicular repeaters needs to be replaced. The Department of Public Safety is proposing purchase of dual band radios that are P-25 compliant. The estimated cost to replace 419 vehicle repeaters and 419 mobile radios is \$5.4 million, an average of \$6,400 per unit.

The Department does not have an equipment line-item budget for radios. In the past, the Department has used Asset Forfeiture Funds and grants to purchase radio equipment on an as-needed basis. The most recent purchase was made in FY 2010 for 390 portable handheld radios for the Patrol totaling \$390,000. Of this amount, \$15,000 was received from a federal Weapons of Mass Destruction grant and the remainder (\$375,000) was funded from Asset Forfeiture Funds. The Department of Public Safety estimates the total cost to replace all their current radios with P-25 (digital) radios to be \$16.0 million, which includes vehicle repeaters, tower changes, remote site repeater upgrades, mobile radios for all Public Safety staff, and additional portable handheld radios for all DPS staff, except the Patrol which already received new portable radios in FY 2010.

- **Department of Natural Resources**

The DPS plans to begin narrowbanding the BASE channel in April of 2011. Since DNR operates off the same channel, the radios in DNR will need to be narrowbanded before that time.

The estimated total cost to purchase replacement radios that can be narrowbanded for the Parks Bureau is \$23,000. The Parks Bureau is funded from the General Fund and does have an equipment line item in the budget; however, radio replacement/reprogramming costs have not been included in the FY 2011 budget.

The Law Enforcement Bureau is funded from the Fish and Wildlife Trust Fund. Radio replacement/reprogramming costs were not included in the FY 2011 budget. The estimated cost to purchase replacement radios that can be narrowbanded for the Law Enforcement Bureau is \$131,000. The DNR estimates the cost to replace all their radios with P-25 (digital) radios to be approximately \$900,000.

- **Department of Corrections**

The DOC indicates as of October 2010, 835 portable radios, 52 mobile radios, 24 base stations, and 12 vehicle repeaters cannot be reprogrammed to narrowband. The estimated cost to replace this equipment with equipment that can be narrowbanded is approximately \$1.8 million; however, these radios would not be P-25 compliant.

- **Department of Transportation**

In FY 2010, the Department purchased 203 radios totaling \$805,000 funded from the Road Use Tax Fund (RUTF). In FY 2011, 233 radios were purchased for approximately \$810,000. The Department has established an eight-year radio replacement plan to replace all radios with P-25 (digital) radios in the DOT radio fleet. The radios the DOT is purchasing can broadcast in VHF and are dual band radios that can operate on the 700 MHz and 800 MHz frequencies. If the price of radios comes down, the period of time to purchase the radios will be reduced.

ALTERNATIVES

Purchasing New Radios – Division VII of SF 2088 (Government Reorganization and Efficiency Act) requires agencies to purchase goods and services as negotiated in a master contract through the Department of Administrative Services. Since the majority of radios within State government are not P-25 compatible and a large quantity of radios need to be purchased, the State may save money by purchasing all radios, for all State agencies, at the same time through one master contract.

Leasing New Radios – Leasing new radios may result in a lower cost and allow the equipment to be replaced on a more frequent basis to keep up with changing technology. Since July 1, 2005, the Kansas Department of Transportation (KDOT) has accepted applications allowing their public agencies to lease new, multifrequency 800 MHz radios and equipment as well as tower sites.² However, the Kansas lease program was initiated as a method to upgrade communication technology rather than a cost-saving measure.

RELATED WEBSITES

Issue Review: [Narrowband Mandate and Statewide Interoperability](#)

<http://isicsb.iowa.gov/narrow-banding.html>

<http://isicsb.iowa.gov/documents/education/ECommsInteroperabilityTechnology101Slides.pdf>

<http://wirelessradio.net/>

KEY CONCEPTS

Narrowbanding – To decrease the radio frequency bandwidth from 25 kHz to 12.5 kHz.

APCO – The Association of Public Safety Communication Officials (APCO) developed the P-25 standard.

P-25 – An FCC accepted digital format used for both voice and data two-way wireless communication devices providing an open standard available to all vendors.

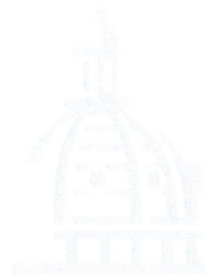
UHF/VHF – The group of frequencies used by most public safety radio systems in Iowa. This is the group of frequencies required to meet the federal narrowbanding requirements.

700/800 MHz - The group of frequencies that will be utilized for radio interoperability.

Dual-Band Radio – A radio that operates on both the VHF frequency and the 700/800 frequency and will operate under the interoperability plan.

STAFF CONTACT: Jennifer Acton (515-281-7846) jennifer.acton@legis.state.ia.us

² See information regarding the Kansas Communication System Revolving Fund: <http://www.ksdot.org/burConsMain/Connections/Radio/default.asp> and Kansas Statutes 75-5073 through 75-5077: <http://www.kslegislature.org/legsrv-statutes/getStatuteInfo.do;jsessionid=79855DC23A6867342A5576583F2D130B>



Corrections Construction and Capacity

ISSUE

This **Issue Review** provides information on the Department of Corrections' current and projected offender population, facility capacity, construction update, and impact on the General Fund.

AFFECTED AGENCIES

Department of Corrections and Community-Based Corrections

CODE AUTHORITY

Chapters 904 and 905, and the Criminal Code (Chapters 701 to 728)

BACKGROUND

Two offenders escaped from the maximum-security section of the Iowa State Penitentiary in 2005. The offenders were later captured in Illinois and Missouri. The escape prompted the General Assembly to appropriate \$500,000 per year in FY 2007 and FY 2008 from the Rebuild Iowa Infrastructure Fund (RIIF) for a systemic study of the corrections system, including physical structures, staffing, treatment needs, and the prison population forecasting model. The study is referred to as the [Durrant Report](#).

The Durrant Report included multiple recommendations regarding facilities, including replacing the maximum-security beds at Fort Madison with a new prison; revamping the Mitchellville prison to add beds and make it more gender-specific; and adding Community-Based Corrections (CBC) residential beds to reduce the number of offenders waiting in the prison system for a residential bed. Based on recommendations in the Durrant Report, the General Assembly made the following appropriations:

- \$130.7 million from the FY 2009 Prison Bond Fund (PBF) to build a new 800-bed maximum-security prison at Fort Madison. This complex will replace 588 maximum-security beds and add 92 medical/segregation beds, for a net gain of 120 beds. Future capacities are based on design work to date and may be subject to change.
- \$68.0 million from various sources for the period FY 2009 through FY 2013 to replace and add beds at the Iowa Correctional Institution for Women at Mitchellville. The plan is to build 888 beds distributed as follows: 792 general population, 48 segregation, 10 infirmary, 30 medical and assisted living, and eight hospice. The net gain is estimated to be 337 beds. Future capacities are based on design work to date and may be subject to change.
- \$11.5 million from various funding sources for the period FY 2009 through FY 2014 for architectural and engineering services, a correctional specialist, and project management for the Mitchellville and Fort Madison locations.

- \$12.6 million from various funding sources for the period FY 2005 through FY 2009 to replace an existing 81-bed facility with a 120-bed facility in Davenport.
- \$6.0 million from the Revenue Bonds Capitals (RBC) Fund in FY 2009 for a 45-bed residential facility for women in Waterloo.
- \$5.3 million from the RBC Fund in FY 2009 to add 42 beds at a residential facility in Sioux City.
- \$4.1 million from the RBC Fund in FY 2009 to add 25 beds to a residential facility in Ottumwa.
- \$2.3 million from the Restricted Capitals 2 Fund and the RIIF in FY 2007 and FY 2008 for a 26-bed mental health residential facility in Cedar Rapids (ANCHOR Center).
- \$96,654 from the RIIF in FY 2009 to provide additional information on infrastructure needs and potential locations for an additional 170 beds for the Fifth CBC District Department.

CURRENT SITUATION

CBC District Departments

The First (\$256,000), Third (\$275,000), Seventh (\$815,000), and Eighth (\$172,000) CBC District Departments received one-time funding for start-up costs in FY 2011 from the RBC Fund. Construction of these facilities is scheduled to be completed in 2011. Construction of the Sixth CBC District Facility was completed in 2009 and the District Department received a General Fund appropriation of \$200,000 for one-time costs in FY 2008. Any expansion plans for the Fifth CBC District Department are on hold.

- The CBC residential facilities were operating at 97.4% of capacity on September 1, 2010, with 1,462 offenders housed in facilities designed for 1,501 beds.
- Approximately 700 offenders are waiting for a CBC residential bed at any given time. These offenders are waiting in county jails, the State prison system, the federal Bureau of Prisons facilities, or in field services (parole and probation supervision).
- Capacity upon completion of all facilities is anticipated to be 1,678 residential beds. **Table 1** shows the current locations, population as of September 1, 2010, plus current and future capacity of CBC residential facilities.

**Table 1
CBC Residential Beds – Current and Projected Capacity**

District	Facility	Current Population	Current Capacity	Current Percent	Projected Capacity	Projected Percent
1	Dubuque Residential	79	80	98.8%	80	98.8%
1	Waterloo Residential	78	74	105.4%	74	105.4%
1	Waterloo Work Release	68	76	89.5%	76	89.5%
1	Waterloo Women's Facility				45	
1	West Union Residential	49	48	102.1%	48	102.1%
2	Ft. Dodge Residential	51	60	85.0%	60	85.0%
2	Ames Residential	41	45	91.1%	45	91.1%
2	Marshalltown Residential	52	51	102.0%	51	102.0%
2	Mason City Residential	49	51	96.1%	51	96.1%
3	Sioux City Residential	54	57	94.7%	99	54.5%
3	Sheldon Residential	30	30	100.0%	30	100.0%
4	Council Bluffs Residential	73	71	102.8%	71	102.8%
4	Council Bluffs Womens' Facility	11	26	42.3%	26	42.3%
5	Des Moines Residential/Work Release/Substance Abuse Treatme	300	300	100.0%	300	100.0%
5	Des Moines Womens' Facility	61	48	127.1%	48	127.1%
6	Cedar Rapids Residential	74	83	89.2%	83	89.2%
6	Cedar Rapids ANCHOR Center				26	
6	Cedar Rapids Work Release	105	90	116.7%	90	116.7%
6	Coralville Residential	48	55	87.3%	55	87.3%
7	Davenport Residential	60	64	93.8%	64	93.8%
7	Davenport Work Release	81	81	100.0%	120	67.5%
8	Burlington Residential	51	60	85.0%	60	85.0%
8	Ottumwa Residential	47	51	92.2%	76	61.8%
Total		<u>1,462</u>	<u>1,501</u>	97.4%	<u>1,678</u>	87.1%

Institutions – FY 2010

Prison capacity and population varies daily across the prison system. Beds may be taken out of use for cell repairs; offenders enter and exit the prison system daily. By policy, the DOC only adjusts official capacity when housing units are permanently opened or closed. For example, the DOC did not reduce official capacity when it closed the North 3 Housing Unit at Clarinda (64 beds) in May 2010. It reopened that housing unit in June 2010.

The Department of Corrections (DOC) was operating at 115.8% of capacity at the end of FY 2010 (June 30) with 8,587 offenders housed in facilities with 7,414 beds. The number of beds does not include those designated for medical/segregation/disciplinary detention needs (637 beds) because the DOC considers these beds to be for short term housing needs. It does include beds no longer in use because the DOC temporarily closed housing units primarily due to budget reductions (337 beds). **Table 2** shows housing unit closures during FY 2009 and FY 2010. Including housing unit closures, the prison system was operating at 121.3% of capacity on June 30, 2010.

**Table 2
Prison Housing Units Closed During FY 2009 and FY 2010**

<u>Facility</u>	<u>Housing Unit</u>	<u>Security Type</u>	<u>Capacity</u>	<u>Date Closed</u>
Anamosa	Living Unit A	Medium	-57	5/7/2009
Anamosa	Living Unit D-B	Medium	-31	4/30/2010
Mitchellville	Living Unit 3	Medium	-40	12/8/2009
Mitchellville	Living Unit 5	Medium	-30	12/1/2009
Oakdale	Temporary Holding Unit	Medium	-24	10/23/2009
Fort Madison	Farm Three	Minimum	-100	6/29/2010
Mount Pleasant	West 2B	Medium	-55	1/28/2010
Total			-337	

Notes:

- 1) The DOC reopened Living Unit Three at Mitchellville in September 2010.
- 2) Living Unit 5 at Mitchellville is permanently closed. The building will be demolished during the construction process.
- 3) The DOC reopened Housing Unit West 2B at Mount Pleasant in September 2010.
- 4) The DOC reopened the Temporary Holding Unit (Unit M) at Oakdale in September 2010.

Institutions – FY 2011

The DOC reduced official capacity by 180 beds in July 2010 to reflect the closure of Farms One and Three at Fort Madison. Senate File 2088 (State Government Reorganization and Efficiency Act) directed the DOC to close both farms at Fort Madison. The DOC planned to close Farm One because the new maximum-security prison is being built at that location.

- The revised official capacity was 7,234 beds from July 20 through September 24, 2010.
- The DOC conducted an audit of existing prison beds during the first quarter of FY 2011. **Table 3** shows the changes to capacity by location and reason, and reflects changes the DOC made on September 24, 2010. The farms at Fort Madison are not included in **Table 3** because July 2010 capacity (7,234 beds) reflects those closures.

**Table 3
Prison Capacity Changes by Facility**

<u>Facility</u>	<u>Housing Unit</u>	<u>Security Type</u>	<u>Capacity</u>	<u>Med/Seg Beds</u>	<u>Reason for Change</u>
Anamosa	Living Unit D-1	Medium	-2		Cells no longer in housing.
Mitchellville	Living Unit 5	Various	-30		Permanent closure due to construction.
Mitchellville	Living Unit 6B	Various	8	-8	No longer medical/segregation beds.
Mitchellville	Living Unit 8	Various	34		Convert Violator Program to general population beds.
Fort Madison	Clinical Care Unit - Living Unit A	Maximum	-16		Convert general population to disciplinary detention/segregation beds.
Fort Madison	Clinical Care Unit Living Unit B	Maximum	-24		Convert general population to disciplinary detention/segregation beds.
Fort Madison	Cell House 218	Maximum	-5		Permanent closure due to shower renovation.
Fort Madison	Cell House 220	Maximum	48	-8	Convert segregation to general population beds.
Fort Madison	Cell House 318	Maximum	-4		Permanent closure due to shower renovation.
Fort Madison	John Bennett Unit	Medium	0	0	Convert to minimum-security beds.
Mount Pleasant	East 3D	Medium	-1		Convert to disciplinary detention bed.
Oakdale	East	Medium	-23	25	Forensic psychiatric hospital beds.
Oakdale	Infirmary Medical Unit	Medium	-24	24	Short term medical unit.
Oakdale	Short Term Ambulatory	Medium	-32	32	Short term medical unit.
Oakdale	Temporary Holding Unit	Medium	-24	24	Temporary medical beds.
Newton	Release Center - Dorm 5	Minimum	70	-70	Convert Violator Program to general population beds.
Rockwell City	Unit DLU	Minimum	0	-1	Cell 5 is a single bed cell.
Total			-25	18	

Table 4 shows the net change to capacity by security type. The changes took effect September 24, 2010.

Table 4
September 2010 Net Change to Bed County by Security Type

Security Type	Capacity	Disciplinary	Violator	
	Beds	Detention	Medical	Program
Maximum	-1	- 8	0	0
Medium	-280	- 8	105	0
Minimum	152	- 1	0	0
Min. Live-Out	104	0	0	-70
Total	-25	- 17	105	-70

After the DOC changes in September 2010, official capacity is 7,209 beds, a reduction of 25 beds. There are 655 beds designated for medical/segregation/disciplinary detention, an increase of 18 beds. Table 5 shows the prison population as of September 29, 2010, and September 24 official capacity of prison facilities. The prison system was operating at 121.1% of official capacity on September 21, 2010, with 8,730 offenders housed in facilities with 7,209 beds.

Table 5
September 2010 Official Capacity

Prison	Location	9/29/2010	9/24/2010	Percent of
		Population	Capacity	Capacity
Anamosa	Anamosa	1,063	911	116.7%
Anamosa	Luster Heights	86	88	97.7%
Clarinda	Clarinda	875	750	116.7%
Clarinda	Lodge	201	225	89.3%
Fort Dodge	Fort Dodge	1,272	1,162	109.5%
Mitchellville	Mitchellville	564	455	124.0%
Oakdale	Oakdale	977	585	167.0%
Fort Madison	Fort Madison	587	588	99.8%
	John Bennett Unit	178	152	117.1%
	Clinical Care Unit	208	160	130.0%
Mount Pleasant	Men	909	774	117.4%
	Women	89	100	89.0%
Newton	Medium	891	762	116.9%
	Minimum	330	252	131.0%
Rockwell City	Rockwell City	500	245	204.1%
Total		8,730	7,209	121.1%

Table 6 shows the housing units that remain closed due to a lack of staffing. Including housing unit closures, the prison system was operating at 124.3% of capacity on September 29, 2010.

Table 6
Prison Housing Units Remain Closed During FY 2011

Facility	Housing Unit	Security Type	Capacity	Date Closed
Anamosa	Living Unit A	Medium	-57	5/7/2009
Anamosa	Living Unit D-B	Medium	-31	4/30/2010
Fort Madison	Farm Three	Minimum	-100	6/29/2010
Total			-188	

The Criminal and Juvenile Justice Planning Division (CJJPD) of the Department of Human Rights releases an annual [Iowa Prison Population Forecast](#). According to the CJJPD forecast dated November 2009, if policies and practices remain the same, the prison population is projected to reach approximately 9,025 offenders by FY 2019. **Table 7** shows the prison population and capacity projections on June 30 by fiscal year, using the proposed capacity of 7,209 beds as the base, and includes the additional beds under construction at Fort Madison and Mitchellville. Future capacities are based on design work to date and may be subject to change.

**Table 7
Forecasted Prison Population and Capacity**

<u>Fiscal Year</u>	<u>Population</u>	<u>Capacity</u>	<u>Population as a % of Capacity</u>	<u>Notes</u>
2011	8,522	7,209	118.2%	Official capacity as of September 24, 2010.
2012	8,601	7,209	119.3%	
2013	8,678	7,546	115.0%	337 new beds open at Mitchellville.
2014	8,801	7,666	114.8%	120 new beds open at Fort Madison.
2015	8,852	7,666	115.5%	
2016	8,912	7,666	116.3%	
2017	8,958	7,666	116.9%	
2018	8,999	7,666	117.4%	
2019	9,025	7,666	117.7%	

- If the population reaches 9,025 offenders, the prison system will reach approximately 117.7% of capacity. This figure is based on the assumption that housing units currently closed due to budget reductions will remain closed.
- Official capacity upon completion of both facilities is expected to be 7,666 prison beds, not including any medical or segregation beds. This number does include beds closed due to budget constraints, but does not include 100 beds at Farm Three that was closed by legislative action. Actual capacity is expected to be 7,578 beds, to reflect the closure of 88 beds due to budget issues. Using that number of beds, the prison system will be at 119.1% of actual capacity.
- Note that the CJJPD is in the process of revising its annual prison population forecast. The current prison population is greater than was projected for this time last year. It is reasonable to assume the forecast may be revised upward when CJJPD's report is published in late 2010.
- The prison system routinely operates between 110.0% and 120.0% of capacity. If the prison population reaches 9,025 offenders or more, overcrowding may reach a point where the General Assembly may want to consider making changes to the corrections system.

ALTERNATIVES

Below are several options that may reduce the need for prison beds in the future.

- Appropriate funds to open previously closed housing units. This could add approximately 188 beds to the prison system at Anamosa (Living Units A and D-B) and Fort Madison (Farm Three). However, official capacity would increase by only 100 beds at Fort Madison because 88 beds at Anamosa are already included in the official count.

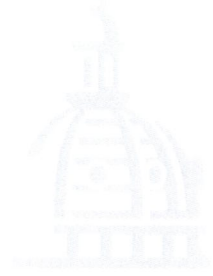
- Enhance intermediate criminal sanctions plans. Iowa Code Chapter 901B requires each CBC District Department to adopt a plan “designed to reduce probation revocations to prison through the use of incremental, community-based sanctions for probation violations.”
- Improve communication between the DOC and the Board of Parole. The Board’s expectations for offender treatment should be communicated early in an offender’s sentence, so the DOC may provide those treatment services before the offender is eligible for parole.
- The DOC’s emphasis on evidence-based practices and offender reentry may decrease the rate of return to prison and limit prison population growth.
- Sentencing changes or fewer direct court commitments to prison. Several states have increased the monetary threshold for property crimes, including California, Delaware, Montana, Oregon, and Washington. Several states have recently increased the amount of earned time or good time credits, thereby decreasing the length of stay in prison for certain offenders. These states include Nevada, Kansas, Pennsylvania, Colorado, and Mississippi.
- Send prisoners out of state. The General Assembly appropriated \$2.2 million to the DOC to house 100 women prisoners out of state in FY 2000 to ease overcrowding while additional beds were constructed at Mitchellville.
- Funding treatment at the community level through existing mechanisms and allocating funds to the CBC District Departments may serve as alternatives to incarceration.
- Examine Iowa’s sentencing policies and practices for drug offenses. There is a possibility that offenders in prison may receive substance abuse treatment safely in a community-based setting. Drug courts may divert some offenders from prison. Other states have recently amended their drug laws, including Arizona, Kentucky, Maryland, Minnesota, New York, and Rhode Island.
- Use private prisons. Senate File 2378 (FY 2011 Justice System appropriations Act) prohibits the DOC from entering into a contract for new privatized services costing in excess of \$100,000 without prior notification to the affected State employee organizations and the Chairpersons and Ranking Members of the Justice System Appropriations Subcommittee. Comparisons of private and state-operated prisons are complicated since private prisons tend to be mainly for minimum-security or medium-security inmates, while state-run prisons must deal with all security levels.

BUDGET IMPACT

According to the DOC, the estimated operating cost for FY 2012 for the new CBC facilities is approximately \$6.3 million. Estimated one-time costs (furniture, fixtures, and equipment) are expected to range up to approximately \$30.0 million for the new Mitchellville and Fort Madison facilities. The DOC is estimating it requires \$10.8 million for these one-time costs in FY 2012. The DOC estimates it needs \$1.8 million in transitional staffing during construction at Fort Madison and Mitchellville.

The DOC may request additional funds to reopen closed housing units at Fort Madison and Anamosa in the future. Additional funds may be required to staff the new facilities at Fort Madison and Mitchellville at the level recommended in the Durrant Report. These funds may be requested in FY 2013 or FY 2014, depending on the construction progress.

STAFF CONTACT: Beth Lenstra (515-281-6301) beth.lenstra@legis.state.ia.us



Electrical Examining Board

ISSUE

The Electrical Examining Board is responsible for overseeing the licensing, permitting, inspecting, and continuing education requirements of the statewide Electrician and Electrical Contractor Program in Iowa. This *Issue Review* provides a summary of the Program and a review of the current situation.

AFFECTED AGENCIES

Department of Public Safety, political subdivisions

CODE AUTHORITY

Code Chapter 103
Administrative Code 661 – Chapters 500-504, 550-553, and 559

BACKGROUND

During the 2007 Legislative Session, HF 897 (Electrician Licensure Act) created a statewide system of licensure and certification for electricians and electrical contractors. For administrative purposes, the Electrical Examining Board was attached to the Fire Marshal Division in the Department of Public Safety (DPS).

The Electrician Program is governed by an 11-member Electrical Examining Board appointed by the Governor. Board members consist of the following:

- Two journeymen electricians (one union member and one nonunion member).
- Two members that are master electricians or electrical contractors (one union member and one nonunion member).
- One electrical inspector.
- Two members representing the general public (one union member and one nonunion member).
- The State Fire Marshal (or a designated representative from the Fire Marshal Division).
- One local building official employed by a political subdivision to perform electrical inspections for that subdivision.
- A representative of a public utility.
- One licensed professional engineer with a background in electrical engineering.

The provisions of the law requiring licensing of electricians and electrical contractors took effect on January 1, 2008. Most licenses are issued for three years; however, licenses for the

categories of Apprentice and Unclassified are issued annually. License fees are prorated by the month for applications made mid-cycle.

The provisions of the law requiring inspections took effect March 1, 2009. After December 31, 2013, a political subdivision may choose to discontinue performing its own inspections and permit the Electrical Examining Board to have jurisdiction over inspections. As of July 15, 2010, there are 180 political subdivisions performing their own inspections out of a total of 1,113.

All licensing and permitting fees are deposited in the Electrician and Installer Licensing and Inspection Fund and made available to the Board, in consultation with the Fire Marshal's Office. The balance does not revert to the General Fund.

CURRENT SITUATION

Examination

House File 897 permitted the Board to choose between developing and administering their own electrical license exam or utilizing a testing service. The Board chose to utilize Prometric, a testing service provider. As of July 15, 2010, a total of 925 applicants have received sponsorship by the Electrical Examining Board to take the Prometric examination and 542 have completed the exam. The sponsorship is good for 180 days or two attempts. An examination is required for those that obtain a new Class A license or for those that let their original license expire.

The Board adopted a resolution stating the intent to accept licenses that were issued by a local jurisdiction based on a score of 75 or above on a supervised examination offered by Prometric, Thompson Prometric, or their predecessors. Persons applying for a State license under the terms of the resolution are also required to meet other criteria such as years of experience and the payment of licensing fees. The following website provides a complete listing of cities and license types: <http://www.dps.state.ia.us/fm/electrician/examinations/cityexams.shtml>.

The Board signed a reciprocity agreement with South Dakota on May 20, 2010 and is in the process of establishing reciprocity with Minnesota and Nebraska. The Board may grant licenses, without examination, of the same grade and class to an electrician that has been licensed with another state for at least one year. The applicant must furnish proof that the qualifications they possess are equal to the qualifications of holders of similar licenses in Iowa. The Board has also applied to become a member of the Multi-State Group Agreement, a group that specifies the minimum requirements to be met for each state rather than setting up individual reciprocity agreements on a state by state basis. A decision on whether Iowa will be allowed to join the Multi-State Group will occur at the meeting on August 2nd and 3rd.

Licensing

Since the statewide licensing program began on January 1, 2008, a total of 14,598 licenses have been issued. The following chart shows the total active licenses in Iowa, the duration of the licenses, and the fee amounts.

Electrical License Summary

(As of July 15, 2010)

Type	Total Active Licenses	Duration	Fee
Master A	1,681	3 years	\$375
Master B	1,880	3 years	\$375
Journeyman A	3,675	3 years	\$75
Journeyman B	1,559	3 years	\$75
Contractor	2,057	3 years	\$375
Special			
Residential	773	3 years	\$75
Signs	109	3 years	\$75
Air Conditioner disconnect/reconnect	1,832	3 years	\$75
Irrigation systems	83	3 years	\$75
Unclassified	264	1 year	\$20
Apprentice	685	1 year	\$20
	<u>14,598</u>		

Source: Department of Public Safety

All licenses will expire on December 31, 2010. Upon completion of 18 hours of continuing education, a license can be renewed. For the next round of licenses (2011-2013), there will be three additional license categories added to the list above that will take effect beginning January 2011. They include an Inactive Master License, Residential Electrician, and Residential Contractor.

There are currently four clerks and one temporary person in the DPS to process license renewals. With the hiring freeze implemented by the Department of Management (DOM) effective December 12, 2008, the Board will need DOM approval to hire part-time help to assist with licensing.

The Board is in the process of addressing how to handle the large amount of expiring licenses with minimal staff.

Inspections

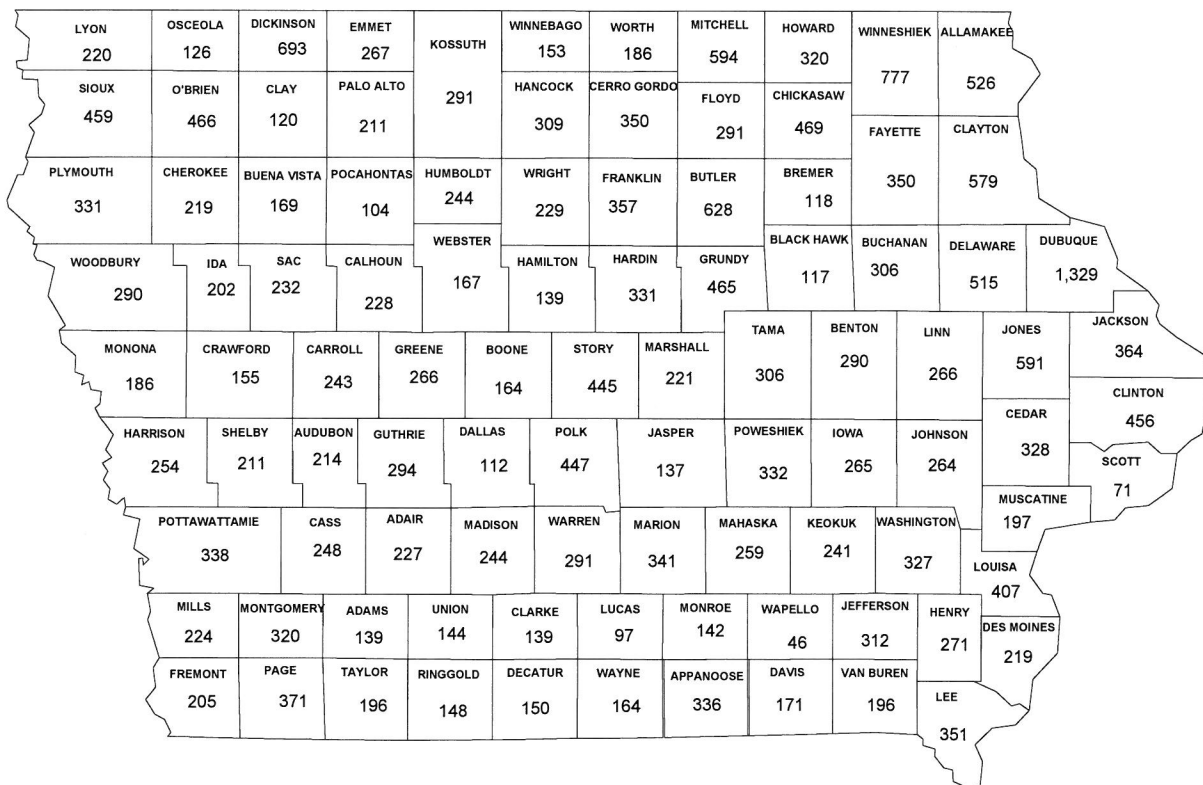
Since the statewide inspection program began on March 1, 2009, a total of 25,474 inspections have been requested and 25,257 inspections (99.1%) have been completed as of July 15, 2010. The Program is averaging 1,700 new inspections per month. The total number of permits issued since the Program began in March 1, 2009, is 21,162 and 17,213 permits (81.3%) have been closed as of July 15, 2010. The program is averaging 1,400 new permits per month. The number of inspections is larger than the number of permits due to the fact that one permit can have many inspection requests. Permits are closed after all the inspections and re-inspections required for the permit have been completed. In addition, property owners can request an inspection if there is a concern regarding their electrical system; however, this is not required by law. As of July 15, 2010, a total of 216 inspections of this nature have been completed.

Electrical permits are required for all new electrical installations including, residential, commercial, and industrial (except routine maintenance) in all areas of the State that are not currently under the jurisdiction of a political subdivision that performs electrical inspections. Permits are also required for work on all existing electrical installations (except routine maintenance) unless the work is done under the "small jobs exemption." The "small jobs

exemption” requires work to be performed under the direct supervision of a licensed electrician; prohibits work within a new or existing switchboard or panel board; specifies the line to ground installation cannot exceed 30 amperes; and the line to ground installation cannot exceed 277 volts per single phase. Electrical permits are not required for routine maintenance defined as “the repair or replacement of an existing electrical apparatus or equipment, including, but not limited to, wires, cables, switches, receptacles, outlets, fuses, circuit breakers, and fixtures of the same size and type for which no changes in wiring are made, but does not include any new electrical installation or the expansion of any circuit.”

There are currently 16 full-time inspectors and four supervisors each working 40 hours per week. Four additional temporary part-time staff have been approved to address the seasonal workload. Territories were redrawn into 16 districts earlier this year in an attempt to reduce overtime. The following website lists the inspection districts and name and phone number of each inspector: https://iowaelectrical.gov/app/webroot/docs/electrical_inspectors.jpg. The northeast part of the State has the majority of the inspections followed by the northwest and southeast. The following map shows 28,820 inspections by county:

State Inspections by County
March 1, 2009 – July 15, 2010



Note: The information on the map was gathered manually by county and will not equal the total inspections completed.

BUDGET IMPACT

The following chart shows the revenues and expenditures received through the Electrician and Installers Licensing and Inspection Fund.

Electrician and Installers Licensing and Inspection Fund

(As of June 30, 2010)

	Actual FY 2008	Actual FY 2009	Year to Date Actual FY 2010
Revenues	\$ 1,390,670	\$1,626,118	\$ 2,082,688
Interest	19,383	34,344	9,659
Balance Brought Forward	0	1,292,164	1,263,515
Total	\$ 1,410,053	\$2,952,626	\$ 3,355,862
Expenditures	\$ 117,888	\$1,689,112	\$ 2,524,431
Total	\$ 1,292,165	\$1,263,514	\$ 831,431
FTE Positions	2.59	15.25	33.00
Totals may not add due to rounding			
Source: I/3 System			

As of July 1, 2010, the Department of Public Safety changed how the fees are deposited in the Electrician and Installers Licensing and Inspection Fund. Licensing fees are deposited under a separate revenue source code than the inspection fees. This will allow for better tracking of the revenue and better monitoring of the current fee structures for both programs.

Fees can be adjusted through Administrative Rule within the bounds of statutory limits provided in Code Chapter 103. Based on the current number of licenses and the fee structure, the license renewal process should generate approximately \$2.7 million in revenue. This will be reflected in the second half of FY 2011. However, approximately 2,200 currently licensed electricians have not completed any of the 18 required continuing education requirements. This would impact the Program by approximately \$825,000. Under the current structure, the revenue for the licensing program is received during the first year of a three-year cycle.

STAFF CONTACT: Jennifer Acton (515-281-7846) jennifer.acton@legis.state.ia.us