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661C 01 MAY 90 HML

FISCAL COMMITTEE VISITATION RECOMMENDATIONS
1990 Interim

SUBCOMMITTEE/DEPARTMENT	PURPOSE	COMMITTEE MEMBERSHIP	# of DAYS	LOCATION
ADMINISTRATION				
Department of Revenue & Finance	* Oversight of department's auditing practices.	6 Members Statutory Visitation Comm	1	Dept. Office in Des Moines
TOTAL ADMINISTRATION		6 Legislators	1 day	
ECONOMIC DEVELOPMENT				
Dept. of Econ. Devel.	* To understand the effectiveness of the Domestic Marketing Program, International Trade Programs, and the Satellite Centers.	5 Members Statutory Visitation Comm (Senate Subcommittee chair is same as standing comm. chair)	1	Dept. Office in Des Moines
TOTAL ECON. DEVELOPMENT		5 Legislators	1 day	
EDUCATION				
Regent Institutions	* Strategic Plans - to discuss and form recommendations from the strategic plans of the Regent Institutions.	6 Member Statutory visitation comm.	2 days	at SUI at ISU at UNI at Capitol at Capitol
Financial Aid Programs	* To receive information on several issues concerning student financial aid and to discuss the possibility of a comprehensive financial aid package.	6 Member Statutory visitation comm.		Student College Aid Commission at Capitol at Capitol
TOTAL EDUCATION		6 Legislators	2 days	(locations and areas of review to be determined by the co-chairs)
HEALTH & HUMAN RIGHTS				
Dept. of Public Health	* Examine the Substance Abuse Treatment/Prevention Centers of the Dept. of Public Health.	6 Member Statutory Visitation Comm	2	Chosen treatment and prevention centers (sites to be determined)
TOTAL HEALTH & HUMAN RTS		6 Legislators	2 days	

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SUBCOMMITTEE/DEPARTMENT	PURPOSE	COMMITTEE MEMBERSHIP	# of DAYS	LOCATION
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HUMAN SERVICES

Mental Health & Child
Welfare Programming

* Overview of mental health and child welfare programming by visiting Clarinda, Glenwood, an enhanced reimbursement group home, and a psychiatric medical institution for children (PMIC).

6 Member Statutory }
Visitation Comm. }

Clarinda Mental Health Inst
Glenwood State Hospital Sch
Enhanced reimbursement
group home (site to be
determined)
Psychiatric medical inst.
for children (PMIC - site
to be determined)

2

Family Foster Care

* Identify concerns of family foster care parents and to develop ways to address these concerns by visiting family foster care parents in Des Moines.

6 Member Statutory }
Visitation Comm. }

Des Moines (site to be
determined)

TOTAL HUMAN SERVICES

6 Legislators

2 days

TRANSPORTATION & SAFETY

Dept. of Public Safety

* To review the expenditures for equipment and laboratory remodeling which have been approved in recent years, particularly for the Division of Criminal Investigation (DCI).

6 Member Statutory
Visitation Comm.

1

Dept. Office in Des Moines

TOTAL TRANS & SAFETY

6 Legislators

1 day

TOTAL VISITATION DAYS

35 Legislators

9 days

HUMAN SERVICES APPROPRIATIONS SUBCOMMITTEE VISITATION
OVERSIGHT REQUEST

Statement of Purpose

The purpose of the visitation is to identify concerns of family foster care parents and to develop ways to address these concerns by visiting family foster care parents in Des Moines.

Objectives

The objective of the visitation is to evaluate family foster care living, identify problem areas, formulate solutions to these problems, and consider suggestions by family foster care parents to address issues to make the family foster care a more effective system.

State Policy Impact

The State policy impact may include the following: cost effectiveness of family foster care, possibility of expanded use of family care and a corresponding reduction of more expensive group/residential care.

Anticipated Outcome

The anticipated outcome of this visitation is insight into problem areas of family foster care, possible solutions to these problems, and a better family foster care program with more satisfied parents and children.

Description of Research Method

The research will involve a number of methods including observation, individual interviews, and group interviews.

Number of Visitation Days/Locations

The visitation is for one day in Des Moines at a site to be determined.

Visitation Committee Membership

The visitation committee membership will consist of Representatives Hammond, Hester and Fey, and Senators Bruner, Corning and Hannon.

511b

HUMAN SERVICES APPROPRIATIONS SUBCOMMITTEE VISITATION
OVERSIGHT REQUEST

Statement of Purpose

The purpose of the visitation is to provide a broader overview of mental health and child welfare programming by going to the Clarinda Mental Health Institute, the Glenwood State Hospital School, an enhanced reimbursement group home, and a psychiatric medical institution for children (PMIC). The visitation will permit members to become more familiar with the needs of the programs, as well as the physical layouts of the institutions.

Objectives

The objective of the visitation is to offer an opportunity for Committee members to meet with the staff and residents of mental health facilities and foster care programs. These visits will be particularly useful in the context of the recently completed consultant's study of staffing needs at the institutions operated by the Department of Human Services. The General Assembly has mandated the DHS to develop proposals for implementing the recommendations contained in the consultant's study.

State Policy Impact

The state policy impacts may include the shifting of personnel at the institutions between supervisory and direct care staff, and continuation of the trend towards decentralized community-based mental health and foster care services, as compared to institutional programming.

Anticipated Outcome

The anticipated outcome of this visitation is increased familiarity with the concerns of staff and residents of the facilities and programs involved, and improved ability to evaluate the needs of these facilities and programs.

Description of Research Method

The research will involve a number of methods including observation, individual and group interviews, and touring of facilities.

Number of Visitation Days/Locations

The visitation is expected to take two days.

Visitation Committee Membership

The visitation committee membership will consist of Representatives Jammond, Hester and Fey, and Senators Bruner, Corning and Hannon.

TRANSPORTATION AND SAFETY SUBCOMMITTEE VISITATION REQUEST

Statement of Purpose

To review the expenditures for equipment and laboratory remodeling that have been approved by the General Assembly over the past several years for the Department of Public Safety (DPS) and especially the Division of Criminal Investigation. This will specifically include:

1. An on-sight visit of the facilities in the Wallace State Office Building to inspect the Automatic Fingerprint Information System (AFIS) including a demonstration of the system and how it interfaces with the remote terminals which were funded this Legislative Session.
2. An inspection of the area being remodeled for a DNA laboratory and a discussion of the equipment needs that will be forthcoming to make use of this new forensic technique.
3. An operational demonstration of the new radar and scanner units being purchased through an FY 1991 appropriation.
4. An operation demonstration of the service monitors being purchased through an FY 1991 appropriation.

Objectives

- A. To assess the impact that the substantial equipment purchases have had on the effectiveness of the Department and the Division.
- B. To determine the priority needs of the Department and Division for additional equipment and space to further strengthen the efforts at solving crime and protecting the public.
- C. To familiarize Committee members with some of the actual uses of equipment being purchased and its purposes and applications.
- D. To tour the existing facility and review future space needs for laboratory space.

Schedule

- A. 9:00 am - Tour offices and laboratories.
- B. 10:30 am - Demonstration of equipment.
- C. 1:30 pm - Discussions with DCI staff of priority needs.

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- D. 3:00 pm - Discussions with DPS Director of overall Departmental equipment priority needs.

Statement of Policy Impact

The Department and Division have requested and received funding for a significant amount of equipment over the past several years and have indicated that there will be a continuing need in order to maintain the current efforts. It is important for the General Assembly to determine the scope of needs and the impact of current and projected equipment and space purchases to distribute the limited funds available in the most cost effective manner.

Anticipated Outcome

Provide a clearer understanding of the equipment and space needs of the Department and Division, which will result in a clearer understanding of the impacts funding various equipment and space requests may have on the goals of the Department and Division.

Description of Research Method

Meet with staff, tour facilities and have actual demonstrations performed for the Committee.

Number of Visitation Days/Location

One day - 9:00 am to 4:30 pm. At the Department office in Des Moines.

Visitation Committee Membership

Statutory. Chairs and Ranking Members of the Subcommittee and Chairs of the related Standing Committees.

(LFB:DPW:4/30/90)

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HEALTH AND HUMAN RIGHTS APPROPRIATIONS SUBCOMMITTEE VISITATION
OVERSIGHT REQUEST

Statement of Purpose

The purpose of the visitation is to examine the Substance Abuse Treatment/Prevention Centers of the Department of Public Health. These centers are the recipients of major funding of the subcommittee.

Objective

The objective of the visitation is to examine Substance Abuse Treatment/Prevention Centers, as related to the budgetary process of the Health and Human Rights Appropriations Subcommittee.

State Policy Impact

With the passage of the Substance Abuse Bill (H.F. 2564) in addition to what is appropriated in the Health and Human Rights Bill (H.F. 2371), the General Assembly appropriated approximately \$10.2 million from the General Fund. There is also several million dollars of federal funds being used by these centers to combat the drug abuse problem.

Anticipated Outcome

For the members of the H&HR Appropriation Subcommittee to continue to make informed decisions, an examination of these programs and where they are operating, is essential.

Description of Research Method

To meet with the full range of individuals associated with the State-licensed treatment and prevention centers. This includes meeting with persons who operate the centers, individuals using the services, and meeting with the staff members of the Division of Substance Abuse responsible for monitoring the Programs.

Number of Visitation Days/Locations

Two days at chosen treatment and prevention centers.

Visitation Committee Membership

The proposed membership of the visitation team would be the statutorily allowed Health and Human Rights Visitation Members.

REVISED VISITATION REQUEST

EDUCATION APPROPRIATIONS SUBCOMMITTEE VISITATION OVERSIGHT REQUEST

I. Strategic Plans of the Regent Institutions

Statement of Purpose

To discuss and form recommendations from the strategic plans of the Regent Institutions. The Board of Regents approved the plans for the University of Iowa and Iowa State University at its April meeting and is scheduled to adopt the plan of the University of Northern Iowa at its May meeting.

Objectives

1. To visit with all three Regent institutions of higher education.
2. To identify the legislative role in implementing the strategic plans.
3. To examine how access and financial aid for students fit in the institution's strategic plans and to discuss the financial aid programs at the institutions.

State Policy Impacts

The results of the visitations will provide legislators with information for developing recommendations for draft legislation for the 1991 General Assembly in the area of higher education.

Description of Research Methods

Fiscal Bureau Staff will analyze the strategic plans and will prepare questions and discussion topics, with input from Subcommittee members, and arrange for site visitation dates.

Number of Visitation Days/Locations

Three days will be needed to visit each of the Regent Institutions. In addition, part of two separate meeting days will be needed for this visitation project. These meetings would be held in a Capitol committee room.

Visitation Committee Membership

Joint Education Appropriations Subcommittee Members

II. Student Loan Reserve Fund

Statement of Purpose

To receive information regarding the Student Loan Reserve Fund and to provide oversight on alternative asset options of the reserve.

Objectives

1. To gather information regarding the revenue sources and expenditures of the Fund.
2. To review options permitted under the federal government regulations for uses of the Fund.
3. To develop recommendations regarding alternative asset options of the Fund.
4. To provide oversight of the Student Loan Reserve Fund.

State Policy Impacts

The results of the visitation will provide members of the Joint Education Appropriations Subcommittee with information to make recommendations for draft legislation and develop priorities for the Student Loan Reserve Fund.

Description of Research Methods

Fiscal Bureau Staff will collect and summarize federal regulations, and history of the expenditures, sources, and investments of the Fund. Staff will prepare questions and discussion topics, with input from Subcommittee members and arrange for the dates of the meetings.

Number of Visitation Days/Locations

One day to visit the College Student Aid Commission and to meet with Commission staff. In addition, part of two separate meeting days will be needed for this visitation project. These meetings would be held in a Capitol committee room.

Visitation Committee Membership

Joint Education Appropriations Subcommittee Members

III. Additional Information.

In addition to the formal topics discussed here, part of the visitation time would also be used to review agency progress in meeting legislative intent, to review progress in several oversight areas, and to begin the Subcommittee process for the 1991 General Assembly.

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EDUCATION APPROPRIATIONS SUBCOMMITTEE VISITATION OVERSIGHT REQUEST

I. Strategic Plans of the Regent Institutions

Statement of Purpose

To discuss and form recommendations from the strategic plans of the Regent Institutions. The Board of Regents approved the plans for the University of Iowa and Iowa State University at its April meeting and is scheduled to adopt the plan of the University of Northern Iowa at its May meeting.

Objectives

1. To visit with all three Regent institutions of higher education.
2. To identify the legislative role in implementing the strategic plans.
3. To examine how access and financial aid for students fit in the institution's strategic plans and to discuss the financial aid programs at the institutions.

State Policy Impacts

The results of the visitations will provide legislators with information for developing recommendations for draft legislation for the 1991 General Assembly in the area of higher education.

Description of Research Methods

Fiscal Bureau Staff will analyze the strategic plans and will prepare questions and discussion topics, with input from Subcommittee members, and arrange for site visitation dates.

Number of Visitation Days/Locations

Three days will be needed to visit each of the Regent Institutions. In addition, part of two separate meeting days will be needed for this visitation project. These meetings would be held in a Capitol committee room.

Visitation Committee Membership

Joint Education Appropriations Subcommittee Members

II. Student Financial Aid Programs

Statement of Purpose

To receive information on several issues concerning student financial aid and to discuss the possibility of a comprehensive financial aid package.

Objectives

1. To determine the source, number, and amount of financial aid available to students.
2. To determine what type of student receives financial aid, who receives the most financial aid, and where is the greatest need of financial aid.
3. To develop recommendations on providing a comprehensive financial aid package.
4. To examine the proliferation of financial aid programs.

State Policy Impacts

The results of the visitation will provide members of the Joint Education Appropriations Subcommittee with information to make recommendations for draft legislation and develop priorities for student financial aid appropriations.

Description of Research Methods

Fiscal Bureau Staff will collect and summarize financial aid information from community colleges, private institutions of higher education, and the Regent institutions of higher education. Staff will prepare questions and discussion topics, with input from Subcommittee members and arrange for the dates of the meetings.

Number of Visitation Days/Locations

One day to visit the Student College Aid Commission and to meet with representatives of the different Higher Education sectors. In addition, part of two separate meeting days will be needed for this visitation project. These meetings would be held in a Capitol committee room.

Visitation Committee Membership

Joint Education Appropriations Subcommittee Members

III. Additional Information.

In addition to the formal topics discussed here, part of the visitation time would also be used to review agency progress in meeting legislative intent, to review progress in several oversight areas, and to begin the Subcommittee process for the 1991 General Assembly.

LFB:jas/255b

ECONOMIC DEVELOPMENT SUBCOMMITTEE VISITATION OVERSIGHT REQUEST

Statement of Purpose

To understand the effectiveness of the Domestic Marketing Program, International Trade Programs, the Satellite Center Network, and the Agricultural Products Advisory Council. Specifically:

1. Small Business Program: To find out the problems faced by small businesses in receiving information regarding licenses, permits, and other related information about programs under the jurisdiction of the Department of Economic Development (DED). Since the Small Business Advocate received much attention during the last Session, it would be relevant for the Subcommittee to learn more about the complaints made by small businesses and how the DED responds to such complaints, and what kind of information is available to small businesses which seek help in obtaining grants and loans.
2. International Programs: The Legislature has initiated new programs in the areas of trade in Eastern Europe, the Pacific Rim Countries, and the European Community. Information is needed to determine the effectiveness of the existing programs and how the DED intends to implement the new initiatives.
3. Satellite Center Network: This program has received increased funding and an added emphasis on international trade and technology outreach programs in FY 1991. A first-hand look at the central computer network and the coordination of satellite programs would help the Legislature understand the functioning of the network.
4. Agricultural Products Advisory Council: The Council has presented its findings to the DED Board of Directors regarding how the DED and the Department of Agriculture and Land Stewardship (DALs) can cooperate in the promotion of Iowa agricultural products in the world market. The Subcommittee would like to learn what concrete steps the two departments are proposing in light of the report presented by the Council.

Objectives and Schedules

- A. 9:00 to 11:00 A.M. Domestic Marketing Program presentation by the Department.
- B. 11:00 A.M. to 12:00 P.M. Satellite Center Computer network demonstration and presentation by the Department.
- C. 1:00 to 2:30 P.M. International Trade Programs. Presentation by the Department.

- D. 2:30 to 3:30 P.M. Ag. Product Advisory Council. Presentation by both departments and the Council, represented by at least one member of the Council.
- E. 3:30 to 4:30 P.M. Discussion.

State Policy Impact

All the above programs have received State funding over a period of several years and the funding level has increased for FY 1991. The programs have Statewide impact in terms of economic development and trade.

Anticipated Outcome

Provide greater insight into the functioning of these programs and greater oversight for the programs by the Legislature.

Description of Research Method

Meet with staff who will provide the basic information and orientation on the programs in question.

Number of Visitation Days/Location

One day - 9:00 A.M. to 4:30 P.M./Department of Economic Development Office in Des Moines.

Visitation Committee Membership

Statutory.

Senator Leonard Boswell, Co-Chair of Economic Development Subcommittee and Chair, Senate Economic Development Standing Committee;
Senator Jack Rife, Ranking member;
Representative Charles Poncy, Co-Chair Economic Development Subcommittee;
Representative Tom Swartz, Chair House Economic Development Standing Committee;
Representative William Harbor, Ranking member.

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ADMINISTRATION APROPRIATIONS SUBCOMMITTEE VISITATION OVERSIGHT REQUEST

Statement of Purpose

The Department of Revenue and Finance (DORF) will be operating under a restricted budget in FY 1991. It appears that DORF views auditing activities as an area suitable for cutbacks during times of budget constraint. If this is done, then the reduced auditing will create some costs savings, while at the same time revenues will be lost to the General Fund because of reduced tax enforcement activity. Oversight in this area will be useful to ensure that commitment to tax enforcement is maintained. Also, the Department's steps to increase efficiency can be examined (e.g., to what extent does DORF use the services of the Multi-State Tax Commission?).

Objectives

The objectives for this visitation are to:

1. Review the Department's auditing practices.
2. Identify the extent to which outside services are utilized.
3. Examine steps that have been taken to enhance operational efficiency while maintaining income for the General Fund.
4. Specifically, examine the use of services such as the Multi-State Tax Commission.

State Policy Impact

It was the intent of the Legislature that DORF maintain its auditing activities. Additional funds (\$250,000) were appropriated to maintain auditing staff (subject to approval of the Department of Management). Direct oversight would be appropriate.

Description of Research Method

DORF will be requested to report on its activities to the visitation committee. The visitation should include a tour of DORF facilities and meetings with appropriate staff.

Number of Visitation Days/Locations

One day. Department of Revenue and Finance Office in Des Moines.

Statutory Visitation Committee Membership

Chairs and Ranking Members of the Administration Appropriations Subcommittee. Chairs of State Government Standing Committee.

155b