

Iowa Department of Corrections

Presentation to Iowa Board of Corrections and Justice System and Transportation, Infrastructure and Capitals Appropriations Subcommittees

Durrant in association with Pulitzer/Bogard, LLC and CJI

February 14, 2008

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Introduction _ Overview

- **Judi Regina-Whiteley**
 - Focus Group Overview – Treatment, Mental Health, Policy Standards
- **Patricia Hardyman**
 - Classification – Methodology, Validation, Preliminary Instruments, Benefits and Process, Next Steps
- **Curtiss Pulitzer**
 - Operating Principles and Macro Programming
- **Michael Lewis**
 - Infrastructure – ICIW Masterplanning, Capacity Projections and Configurations
 - ISP – Distribution and Process Update
 - FY Draft Budgets
- **Questions and Discussion**

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Treatment

- Focus groups with institution and CBC recognize ultimate goal in identifying and improving treatment delivery is Reentry
- New reentry initiatives are being explored by: Reentry, Women Offenders, Substance Abuse, Sex Offenders, MH-Institutions and CBC, CBC-Beds, Expanded EBP, and Quality Assurance Focus Group
- Resource manuals developed by CBC staff
- Addressed full community to institution to community continuum no matter what level of correctional supervision
- Partnerships developed among key stakeholders improving service/treatment delivery to offender
- Parole Board recognized as critical partner in reentry and release process; unmet treatment impacts length of incarceration as well as recidivism rates

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Treatment

- Developed an Institutional Mental Health Policy Standards working document
- Identified number of offenders with mental health and co-occurring substance abuse treatment needs
- Surveyed CBC personnel identifying counties where mental health and co-occurring substance abuse services are available
- Determined that substance abuse treatment will begin with a thorough assessment identifying level of treatment necessary
- Exploring the efficacy of placing offenders into treatment based on assessed level of SOT need
- Collaborated with Policy Standards Advisory Committee to develop draft policy standards to measure performance and recommend improvements

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Treatment

- Worked with ICIW staff to develop an operational/architectural program for incarcerated women
- Completing evaluation of current programs and developing corrective action plans to meet EBP standards
- Quality Assurance Focus Group identifying critical areas, good quality assurance processes, and quality assurance monitoring

Implications: Classification Affects Many Correctional Functions



Benefits of a Sound Custody Classification System

- ✓ Improve staff and inmate safety;
- ✓ Enhance discipline and order;
- ✓ Provide equity and consistency in inmate management;
- ✓ Allow use of least restrictive housing required, which saves money;
- ✓ Support treatment, rehabilitation and reentry programming; and
- ✓ Inform resource allocation and planning efforts.

Process for Updating Iowa's Classification System

1. **Conducted Assessment of IDOC's Existing System;**
2. **Worked with IDOC Classification and Women Offender Focus Groups to Develop Preliminary Gender-Specific External Classification System for IDOC;**
3. **Identified Data Requirements, Sources, & Samples;**
4. **Analyzed Data; and**
5. **Presented Findings and Recommendations to IDOC Classification and Women Offender Focus Groups.**

Methodology – Design of New

1. Initial Classification

- **Samples – Assessment between** October 1, 2005 and September 30, 2006
 - **Male N = 3919; Female N = 574**
- **Outcome Indicators:** # of Predatory DRs, Number of A, B, and C DRs in 1st year of incarceration

2. Reclassification

- **Samples – Assessment between** October 1, 2005 and September 30, 2006
 - **Male N = 7788; Female N = 966**
- **Outcome Indicators:** # of Predatory DRs, Number of A, B, and C DRs since re-assessment

Validity Terms

- 1. Valid** – custody instrument and process accurately assesses the risk to institutional safety and security posed by the inmate
 - Not measure community risk/recidivism
- 2. Predictive Power** – (measured by correlation) assesses the relationship between the risk factor or custody scale and institutional predatory behavior and overall adjustment
- 3. Valid Custody Scale** – system identifies distinct groups of inmates, i.e., behavior of minimum custody inmates is different from medium custody inmates; medium different from maximum, etc.

Preliminary Instruments

- Initial Classification Risk Factors
(example instrument on following page)

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Iowa Department of Corrections DRAFT Revised Custody Reclassification Score Sheet – Females

Offender Name:	Offender Number:	Age:
Assessed Date:	Assessed Reason:	
Work Unit:	Staff Assessing:	

Risk Factor	Categories	Points	Score
1. Offense Severity:	None	0	<input type="text"/>
	Threats of harm	2	
	Death, injury, or threat with use of weapon	3	
2. Severity of Prior Felony/Aggr Misdemeanor Convictions	None, Public Order, Drug	0	<input type="text"/>
	Property/Other	2	
	Violent	3	
3. Time to Serve	W/in 2 yrs parole or discharge	0	<input type="text"/>
	> 2 yrs to parole, discharge, or life	1	
4. Severity of Past Institutional Behavior	None or Nuisance	0	<input type="text"/>
	Non-Compliance w/in 6 mo	2	
	Management Problem within 1 year	4	
	Predatory within 3 years	6	
	Predatory within 1 year	8	
	2+ Predatory w/in 1 year	10	
5. Number of Reports for A, B and C infractions during last 12 months	None in 12 months	-2	<input type="text"/>
	None in 6 months	0	
	One	1	
	2 or 3	4	
	4 or more	6	
6. Current Age	44+ years	1	<input type="text"/>
	33 thru 43 yrs	2	
	24 thru 32 yrs	3	
	Lo thru 23 yrs	4	
7. Escape History	None	0	<input type="text"/>
	Walk away < 3yrs	4	
	Escape-Secure 5 yrs	6	
8. Elements of Escape	None	0	<input type="text"/>
	1 or more	2	
9. STG Validated Membership	Non-member	0	<input type="text"/>
	Member	1	
10. Compliance with Mental Health Treatment Plan	None or Compliant	0	<input type="text"/>
	Non-Compliant	2	
11. Compliance with Work or Program Plan	Success/Compliant	-2	<input type="text"/>
	Satisfactory	0	
	Refused/Revoked	2	
Total Score			<input type="text"/>
Result Custody Level	Minimum	0 – 13	<input type="text"/>
	Medium	14 - 23	
	Maximum	24+	

Male Initial Classification

➤ Risk Factors:

- Current Age based on Offense Type
- Number of Violent Current Charges
- Severity of Prior Felony and Aggr. Misd Violent Charges
- Time to Serve
- Severity of Prior Disciplinary Reports – 3 years
- Number of Prior Disciplinary Reports – 3 years
- Current Age
- Escape History
- Escape Factors
- Stability Factors – as measured thru LSI –R
- STG Membership

➤ Custody Scale:

- Minimum: 0 – 12
- Medium: 13 – 23
- Maximum: 24+

Female Initial Classification

➤ Risk Factors:

- Current Age based on Offense Type
- Number of Violent Current Charges
- Severity of Prior Felony and Aggr. Misd Violent Charges
- Time to Serve
- Severity of Prior Disciplinary Reports – 3 years
- Number of Prior Disciplinary Reports – 3 years
- Current Age
- Escape History
- Escape Factors
- Stability Factors – as measured thru LSI –R
- STG Membership

➤ Custody Scale:

- Minimum: 0 – 10
- Medium: 11 – 29
- Maximum: 20+

Male Reclassification

➤ Risk Factors:

- Current Age based on Offense Type
- Number of Violent Current Charges
- Severity of Prior Felony and Aggr. Misd Violent Charges
- Time to Serve – (2 years)
- Severity of Prior Disciplinary Reports – 1 year
- Number of Prior Disciplinary Reports – 1 year
- Current Age
- Escape History
- Escape Factors
- Mental Health Compliance
- Program Compliance
- STG Membership

➤ Custody Scale:

- Minimum: 0 – 13
- Medium: 14 – 28
- Maximum: 29+

Female Reclassification

➤ Risk Factors:

- Current Age based on Offense Type
- Number of Violent Current Charges
- Severity of Prior Felony and Aggr. Misd Violent Charges
- Time to Serve – (2 years)
- Severity of Prior Disciplinary Reports – 1 year
- Number of Prior Disciplinary Reports – 1 year
- Current Age
- Escape History
- Escape Factors
- Mental Health Compliance
- Program Compliance
- STG Membership

➤ Custody Scale:

- Minimum: 0 – 13
- Medium: 14 – 23
- Maximum: 24+

Next Steps for Classification Design Process:

- **Revise gender-specific risk factors as per Work Focus's feedback;**
- **Develop custody scales and classification policies;**
- **Estimate impact of new custody scales on current and 2017 populations; and**
- **Meet with Focus Groups finalize instruments and process.**

Operating Principles and Macro Programming

- Developed draft operating principles which are a series of broad assumptions about how a facility will operate and the space needed to implement the operation.
- Identifying gender-responsive approaches to classification, treatment, programming, reentry and supervision will impact the design of ICIW.
- The operating principles and systemic changes guided the pre-design and master planning phase for identifying:
 - **Preliminary functional and space requirements**
 - **Basic Delivery Strategies**
 - **Options to meet the future bed and programming needs for the institution**

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Macro space programming addresses:

- Options and alternatives for the number of beds to be constructed
- Required security levels
- Size of housing units
- Treatment and program needs

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Mitchellville

- **Proposed Mitchellville Capacity** **888**
- **Current Operational Capacity (02/05/2008)** **719**
(ICIW (557), IMCC (70), MWU (92))
- **New Female Beds** **169**

Note:

The system will gain 162 male beds. Mt. Pleasant beds will be used for the projected increase in sex offenders sentenced to prison. Oakdale will put back in place the 70 reception beds they stood down to accommodate the female offender. The beds are currently counted in our operational capacity numbers.

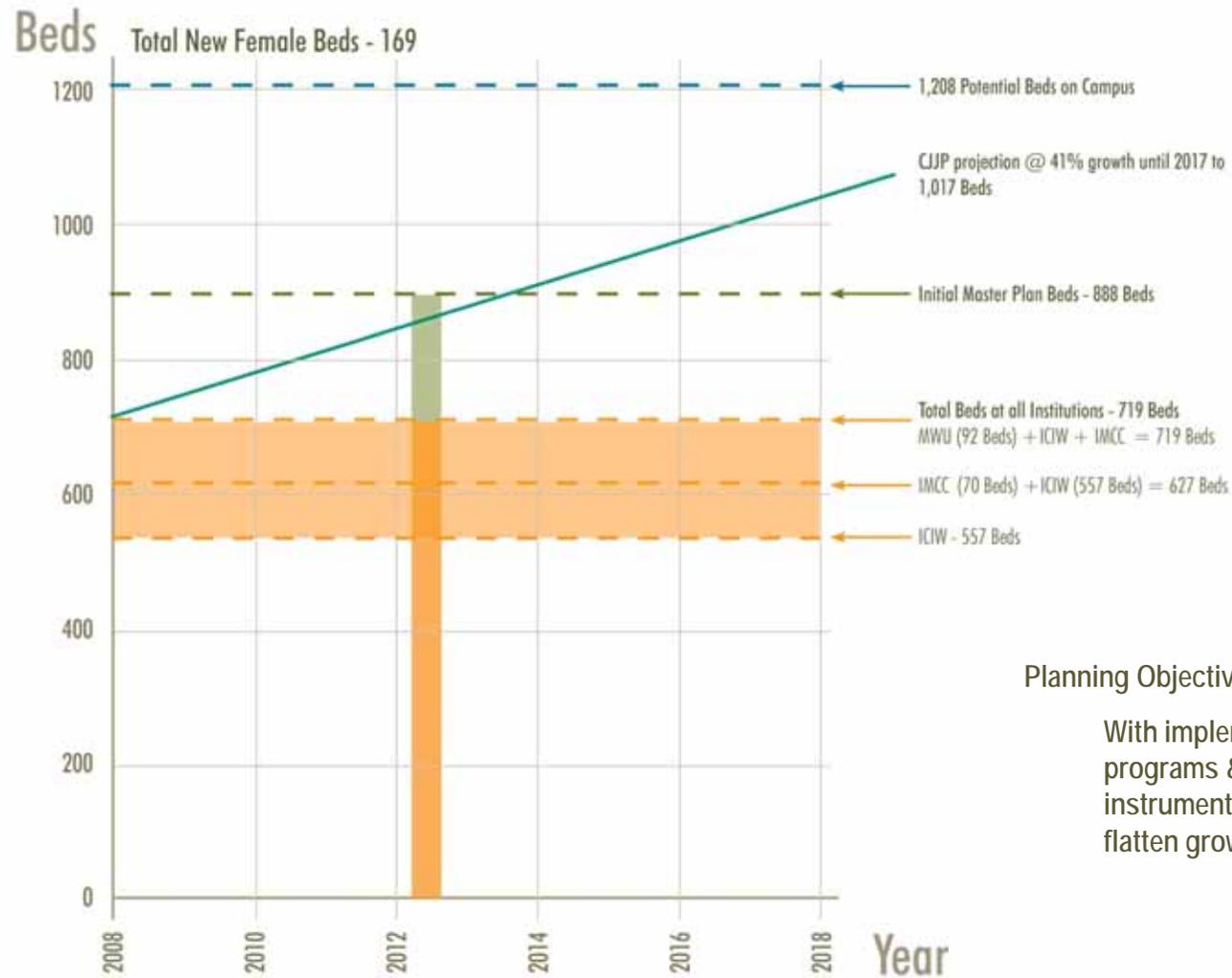
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Iowa Correctional Institution for Women



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“ICIW” Capacity Projections - Female Offenders

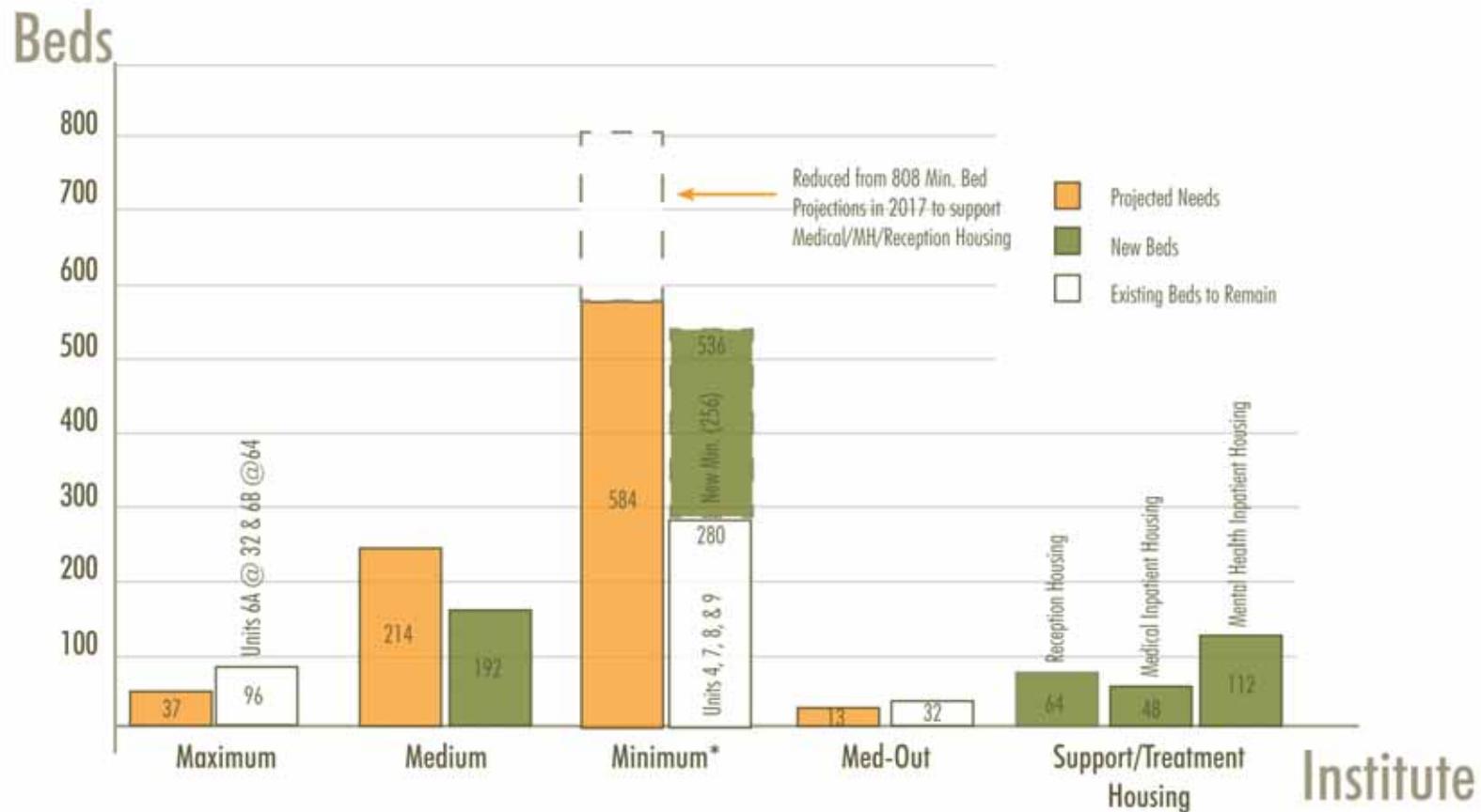


Planning Objective:

With implementation of programs & classification instrument our goal is to flatten growth.

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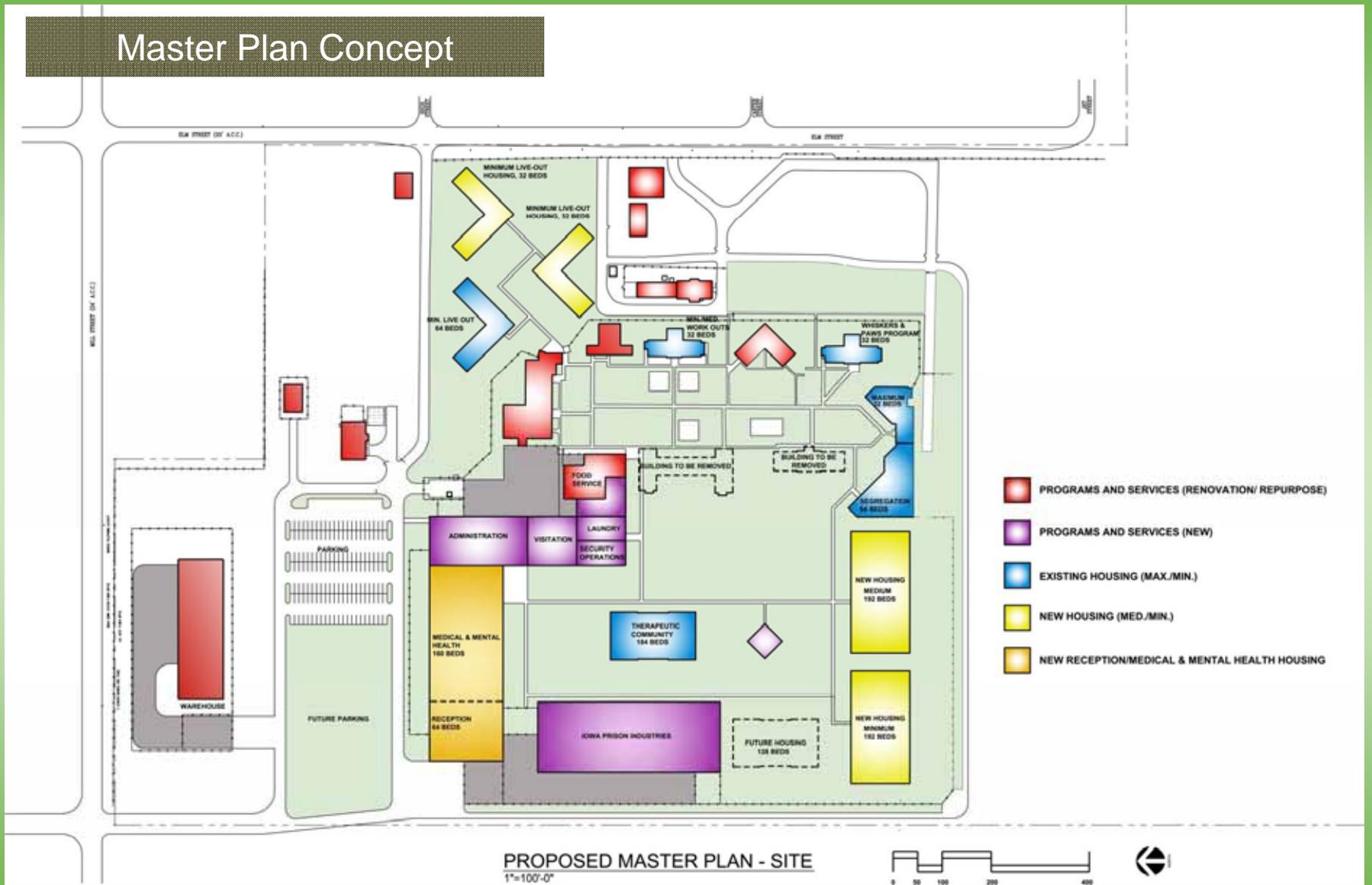
“ICIW” Master Plan Housing Configuration



*Includes 126 projected min-workout & min-liveout beds.

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Master Plan Concept



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Iowa State Penitentiary



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Fort Madison

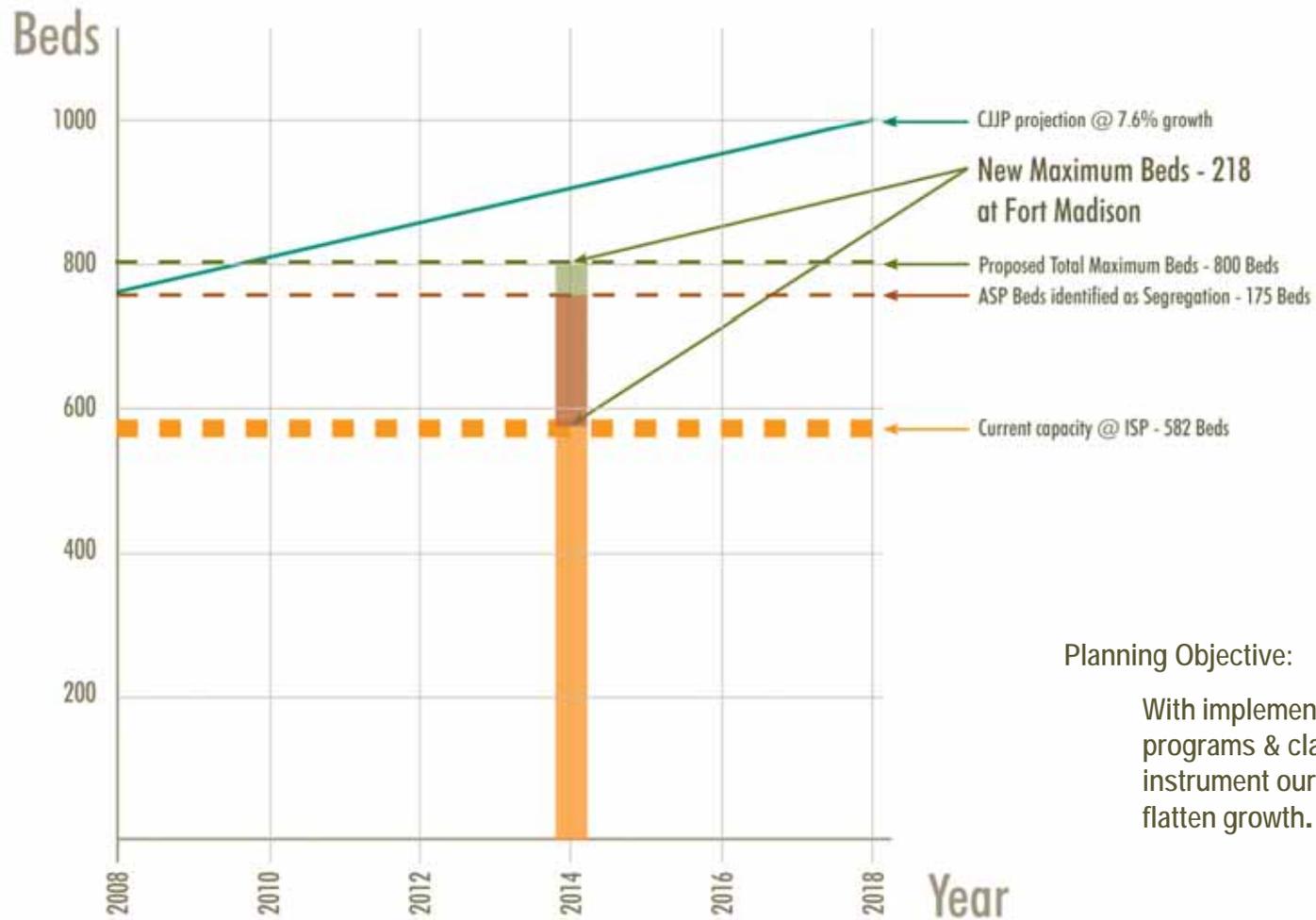
- **Proposed Fort Madison Capacity** **800**
(exact number to be determined within a range of 768 – 800)
- **Current Operational Capacity (02/05/2008)** **582**
- **New maximum bed** **218**

Why an increase in maximum beds?

- ✓ Sentencing trends of lifers
- ✓ Over 1,000 maximum custody offenders in today's prison system
- ✓ The prison system is projected to grow over 9,700 offenders by 2012.

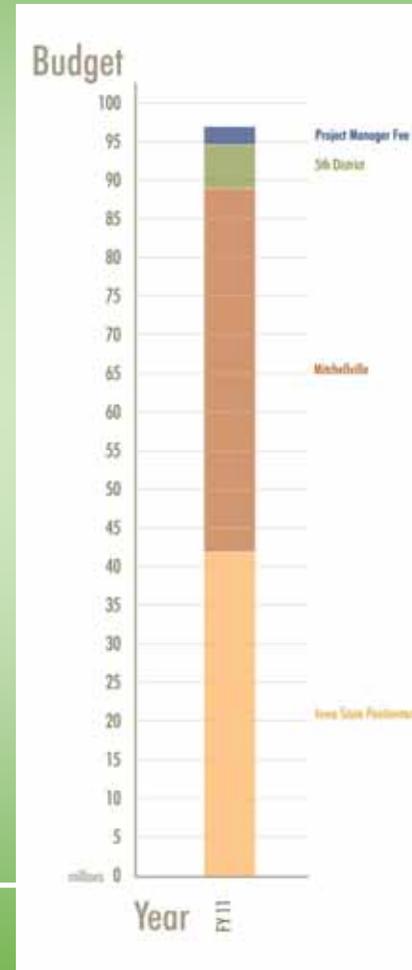
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Maximum Security Bed Distribution – Male Offenders



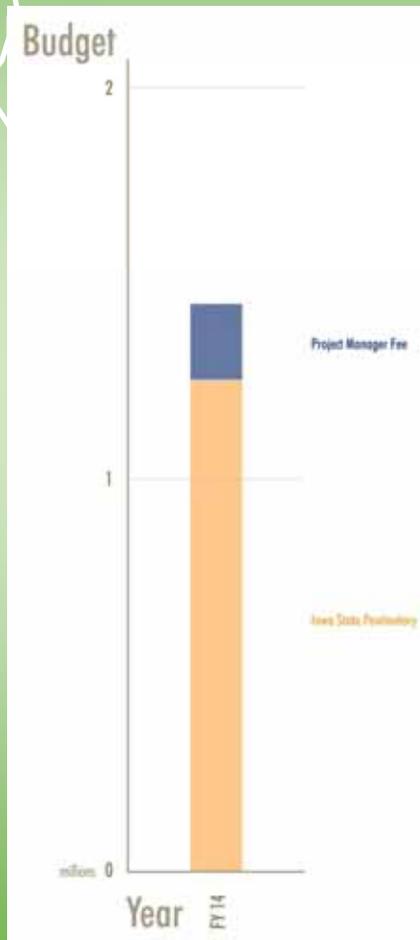
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FY Draft Budgets



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FY Draft Budgets





Questions

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1st Judicial District – Waterloo Residential Facility



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3rd Judicial District – Sioux City Complex Facility



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5th Judicial District – Fort Des Moines Community Corrections Complex



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5th Judicial District – Des Moines Women's Residential Facility



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8th Judicial District – Ottumwa Residential Facility

