



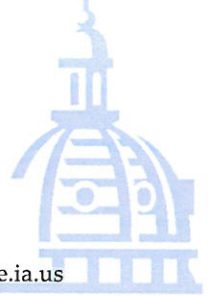
**LEGISLATIVE  
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To: Legislative Fiscal Committee  
From: Holly M. Lyons, Fiscal Services Division Director  
Subject: Report on FY 2013 Balances Brought Forward to FY 2014  
Date: December 9, 2014

Pursuant to Iowa Code section [8.62\(3\)](#), departments are permitted to carry forward 50.0% of the previous budget year's reversions. The funds must be used for training and technology or purchases of products from Iowa Prison Industries (IPI), and must be spent by June 30.

The table below shows \$6.1 million in General Fund money was brought forward from FY 2013 to FY 2014 to be used for purchases designated under Iowa Code section 8.62(3). The majority, 77.3%, was brought forward by departments funded through the Health and Human Services Appropriations Subcommittee. The amount brought forward from FY 2013 to FY 2014 by the Office of the State Public Defender was \$73,803. In the table below, this amount was subtracted from the balance of the Administration and Regulation Subcommittee and added to the Justice System Subcommittee to reflect that the Subcommittee has oversight of the appropriation.

<b>Training and Technology Balances Brought Forward to FY 2014</b>	
Subcommittee	Training & Technology
ADMINISTRATION AND REGULATION	\$ 808,297
AGRICULTURE AND NATURAL RESOURCES	6,399
ECONOMIC DEVELOPMENT	88,582
EDUCATION	7,660
HEALTH AND HUMAN SERVICES	4,735,755
JUSTICE SYSTEM	480,348
TOTAL GENERAL FUND APPROPRIATION	<u>\$ 6,127,041</u>

Of the total \$6.1 million available, the departments spent \$5.8 million on training, technology, or purchases from IPI. The unspent balance of \$325,736 reverted back to the General Fund at the end of FY 2014. The largest reversion came from the Department of Inspections and Appeals (\$184,752).

The attachment summarizes reports from State departments regarding the expenditure of the funds brought forward into FY 2014. Unspent balances are indicated by the department's name. Individual department reports are available on the LSA website, under Reports Filed With General Assembly: <https://www.legis.iowa.gov/publications/otherResources/reportsFiled>.

Each department's report is entitled, "Reversions Report – Training and Technology Expenditures Report".

The Department of Transportation (DOT) and Community-Based Corrections (CBC) brought forward other funds from FY 2013 to FY 2014.

The DOT, under Iowa Code section [307.46\(2\)](#), brought forward \$500,000 to FY 2014 from the Road Use Tax Fund/Primary Road Fund appropriations. The DOT spent \$406,240 for desktop and server software, information technology professional services, training and computer equipment. Pursuant to Iowa Code section [307.46\(1\)](#), the unspent balance of \$93,760 reverted to the Fund from which the FY 2013 appropriation was made.

The CBC District Departments brought forward approximately \$1.6 million in local income from FY 2013 to FY 2014. The funds were generated by local income (e.g., offender fees, federal Bureau of Prisons contracts, or residential rent) and by cost reductions such as not filling or delayed filling of vacant positions. The funds were used for operating costs, such as salaries as well as salary adjustment costs, information technology, and equipment. The District Departments also used the funds for renovation or building repairs. The table below shows the amount brought forward by each of the eight CBC District Departments.

**CBC Local Income Carried Forward to FY 2014**

District Department	Amount	Expenditure
First	\$ 42,565	Building repairs.
Second	242,214	Heating, Ventilation, And Cooling (HVAC) replacement; personnel costs, and replacement of expired grants.
Third	228,515	Modernize existing equipment and systems at the residential facilities.
Fourth	87,101	Purchase and renovation of transition housing.
Fifth	316,839	Personnel costs of locally funded positions.
Sixth	49,344	Drug forfeiture funds - restricted use to enhance law enforcement purposes pursuant to Iowa Code section 809A.17.
Seventh	313,343	Residential facility roof replacement, personnel costs, replaced loss of county funding, and general operations.
Eighth	327,995	Personnel costs and updating information technology programs and equipment.
	<u>\$ 1,607,916</u>	

Please contact Beth Lenstra at 281-6301 for further assistance.

**Training and Technology**  
Balances Brought Forward to FY 2014

Department/ Appropriation	FY 2014	Comments
<b><u>ADMINISTRATION AND REGULATION</u></b>		
<b>Department of Administration (DAS)</b>		
DAS Operations	\$ 19,123.14	Website redesign development (\$18,885) and HP printer (\$239).
Terrace Hill Operations	755.76	Software purchase (\$637) and technology equipment (UHF radio leases - \$119).
Mercy Building Operations	191,002.83	Removal and set of Sprint cell tower (\$163,183). Ending balance of \$27,820.
<b>Total Department of Administration</b>	<b>\$ 210,881.73</b>	
<b>Auditor of State</b>		
General Office	\$ 304.50	Partial payment of audit training.
<b>Total Auditor of State</b>	<b>\$ 304.50</b>	
<b>Ethics &amp; Campaign Disclosure</b>		
General Office	\$ 267.84	Partial payment to Iowa Prison Industries (IPI) for library shelving.
<b>Total Ethics and Campaign Disclosure</b>	<b>\$ 267.84</b>	
<b>Department of Commerce</b>		
Professional Licensing Division	\$ 120.32	Staff training.
<b>Total Department of Commerce</b>	<b>\$ 120.32</b>	
<b>Governor's Office</b>		
Governor/Lt. Governor's Office	\$ 39,888.02	Information Technology (IT) hardware, software, and services (\$16,017), office equipment (\$13,548), and IPI (\$2,224). Ending balance of \$8,099.
<b>Total Governor's Office</b>	<b>\$ 39,888.02</b>	
<b>Department of Human Rights</b>		
Community Advocacy and Services	\$ 19,159.91	Software purchase and licensing agreements and IT equipment. Ending balance of \$10,179.
Criminal & Juvenile Justice	475.28	Web based software purchase.
<b>Total Department of Human Rights</b>	<b>\$ 19,635.19</b>	
<b>Department of Inspections and Appeals</b>		

**Training and Technology**  
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Department/ Appropriation	FY 2014	Comments
Child Advocacy Board	\$ 24,098.16	IT upgrades and equipment replacement.
Administration Division	10,664.34	IT upgrades and equipment replacement (\$173,949) for five Divisions: Administration, Administrative Hearings, Investigations, Health Facilities, and Food and Consumer Safety. Ending balance of \$184,752.
Administrative Hearings Division	111,476.54	
Investigations Division	11,748.90	
Health Facilities Division	177,117.25	
Food and Consumer Safety	47,693.23	
<b>Total Department of Inspections and Appeals</b>	<b>\$ 382,798.42</b>	
<b>Department of Management</b>		
Operations	\$ 12,170.58	Local government IT enhancements (\$11,859) and computer software license (\$312).
<b>Total Department of Management</b>	<b>\$ 12,170.58</b>	
<b>Department of Revenue</b>		
Operations	\$ 130,560.58	Software maintenance agreement renewal and software licenses.
<b>Total Department of Revenue</b>	<b>\$ 130,560.58</b>	
<b>Treasurer of State</b>		
General Office	\$ 11,670.26	Update Debt Report website (\$4,470) and update outdated warrant display for website (\$7,200).
<b>Total Treasurer of State</b>	<b>\$ 11,670.26</b>	
<b>TOTAL ADMINISTRATION AND REGULATION</b>	<b>\$ 808,297.44</b>	
<b>AGRICULTURE AND NATURAL RESOURCES</b>		
<b>Department of Agriculture and Land Stewardship</b>		
Administration Division	\$ 6,398.98	Partial payment of a new file server. Total cost of the server was \$9,566.
<b>Total Department of Agricultural and Land Stewardship</b>	<b>\$ 6,398.98</b>	
<b>TOTAL AGRICULTURE AND NATURAL RESOURCES</b>	<b>\$ 6,398.98</b>	



**Training and Technology**  
Balances Brought Forward to FY 2014

Department/ Appropriation	FY 2014	Comments
<b><u>ECONOMIC DEVELOPMENT</u></b>		
<b>Department of Cultural Affairs</b>		
Iowa Arts Council	\$ 46,393.37	IPI purchases of office chairs, furniture, and plaques (\$8,318), website and graphic design and support, licensing agreement renewals, and IT equipment (\$38,076).
<b>Total Department of Cultural Affairs</b>	<u>\$ 46,393.37</u>	
<b>Public Employment Relations Board</b>		
General Office	\$ 42,188.27	Software design.
<b>Total Public Employment Relations Board</b>	<u>\$ 42,188.27</u>	
<b>TOTAL ECONOMIC DEVELOPMENT</b>	<u><u>\$ 88,581.64</u></u>	
<b><u>EDUCATION</u></b>		
<b>Board of Regents</b>		
Regents Board Office	\$ 7,660.48	Partial payment of seven workstations. Total cost was \$8,048.
<b>Total Board of Regents</b>	<u>\$ 7,660.48</u>	
<b>TOTAL EDUCATION</b>	<u><u>\$ 7,660.48</u></u>	
<b><u>HEALTH &amp; HUMAN SERVICES</u></b>		
<b>Department on Aging</b>		
Operations	\$ 18,965.07	Technology enhancement - Iowa Aging Information System.
<b>Total Department on Aging</b>	<u>\$ 18,965.07</u>	
<b>Department of Human Services</b>		
Field Operations	\$ 4,249,941.76	IPI supplies, printing, and chairs (\$130,714); ELIAS charges (\$2,695,457), and IT hardware and software purchases (\$2,195,311). Total costs reported were \$5,021,482. State share was \$4,249,942.
<b>Toledo Juvenile Home</b>	225,905.63	IPI purchase (\$17,815), IT hardware and software services (\$174,352), and equipment (\$542). Ending balance of \$33,197.
<b>Cherokee</b>	48,587.06	IT software and hardware (\$8,171) and IPI purchases - supplies, signs, and beds (\$40,416).

**Training and Technology**  
Balances Brought Forward to FY 2014

<b>Department/ Appropriation</b>	<b>FY 2014</b>	<b>Comments</b>
Clarinda	21,640.54	IPI purchases - supplies (\$2,072), and IT hardware and software (\$19,568). Total amount reported as spent was \$21,774 that exceeds the balance brought forward by \$133.
Independence	27,347.29	IPI purchases - supplies and furniture.
Mt. Pleasant	56,336.73	IPI supplies, chairs, and furniture repair (\$4,129), and IT supplies and equipment (\$52,208).
Woodward	80,927.26	Purchased computers.
<b>Total Department of Human Services</b>	<b>\$ 4,710,686.27</b>	
<b>Department of Veteran's Affairs</b>		
Veteran's Affairs Commission	6,103.38	Technology enhancements.
<b>Total Department of Veteran's Affairs</b>	<b>\$ 6,103.38</b>	
<b>TOTAL HUMAN SERVICES</b>	<b>\$ 4,735,754.72</b>	
<b><u>JUSTICE SYSTEM</u></b>		
<b>Iowa Civil Rights Commission</b>		
Operations	\$ 49,675.71	Staff training (\$5,200) and laptops (\$2,205). Ending balance of \$42,271.
<b>Total Iowa Civil Rights Commission</b>	<b>\$ 49,675.71</b>	
<b>Department of Corrections</b>		
CBC District 5	\$ 71,954.00	Staff training, cell phones, copier and laptop purchases, installed three kiosks, and expanded security camera coverage.
CBC District 7	30,446.00	Residential facility phone replacement and upgrade, server software upgrade. Ending balance of \$19,418.
Central Office	3,105.99	Hardware purchase to house statewide e-Learning Management System.
Ft. Madison	166.48	Staff IT training.
Anamosa	105.61	IPI supplies - soap.
Oakdale	47,007.38	Purchased 60 Personal Digital Assistants (PDAs) and chargers/power supplies to implement new population count procedures.
Mt. Pleasant	5,588.60	IPI supplies - socks.
Rockwell City	201.78	Computer monitors.

**Training and Technology**  
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Department/ Appropriation	FY 2014	Comments
Clarinda	117,888.69	PDA's and accessories, laptops, security cameras, computer monitors, badge printer, webcams, tag system for laundry.
Ft Dodge	823.24	Purchased a computer.
<b>Total Department of Corrections</b>	<u>\$ 277,287.77</u>	
<b>Law Enforcement Academy</b>		
Operations	\$ 6,200.00	Technology enhancement.
<b>Total Law Enforcement Academy</b>	<u>\$ 6,200.00</u>	
<b>Board of Parole</b>		
Parole Board	\$ 27,133.91	Continued integration of revocation process into Iowa Corrections Offender Network (ICON) so the Board and DOC share one IT platform.
<b>Total Board of Parole</b>	<u>\$ 27,133.91</u>	
<b>Department of Inspections and Appeals</b>		
Office of the State Public Defender	\$ 73,802.66	Software development costs to upgrade the claims review software system, including development costs of an online claim submission system.
<b>Total Department of Inspections and Appeals</b>	<u>\$ 73,802.66</u>	
<b>Department of Public Safety</b>		
Administration	\$ 401.89	IT software and accessories.
Investigations - DCI	20,078.81	IT hardware purchases.
Narcotics Enforcement	887.17	IT printers.
Fire Marshall	97.48	IT accessories.
Highway Patrol	24,782.47	In-car video equipment (\$23,766) and IPI purchases (\$1,017).
<b>Total Department of Public Safety</b>	<u>\$ 46,247.82</u>	
<b>TOTAL JUSTICE SYSTEMS</b>	<u><u>\$ 480,347.87</u></u>	