

Iowa Division of Banking

LSA Survey January, 2013

1. How many employees do you have? What's the five year trend for staffing levels?
 - Authorized FTE was reduced from 76 down to 70.5 last year. As of 2/1/2013, 67.5 FTE.
 - Total FTE ranged from 71 to 67 in last five years.
2. How are the above employees classified? How many are exempt, non-exempt and collective bargaining?
 - All IDOB employees are exempt.
3. How many of your FTE's are federal funded?
 - None
4. How many Federal grants does your agency receive and what's the matching rate? What's the future matching rate anticipated to be? How much Federal \$?
 - NA
5. How many early retirements were taken?
 - None
6. Of those openings, how many have been filled?
 - IDOB has 3 vacancies due to budget constraint. We would like to hire more examiners when possible.
7. What are your ideas make service delivery in your Department more efficient?
 - IDOB is a "self-funded" agency. Our operation expense is supported by the industry. We need a flexible budgetary policy to support examination travels, training, IT system overhaul, etc.
8. Share the progress of your Department's "Lean" projects.
 - We eliminated/combined two senior management positions and saved more than \$266K annually.
9. How much of your revenue comes from other state agencies?
 - NA
10. How much of your revenue comes from fees?
 - 100%
11. What has been your five year budget trend?
 - Almost status quote
12. Regarding current FY 13, how does your current budget situation look? Do you expect any savings?
 - No
13. Have there been any recent reclassifications of individuals in your department? How many and what were the changes?
 - None
14. In regard to monetary bonuses, how do you measure employee outcomes?
 - No bonuses had been given

Professional Licensing Bureau of IDOB – Dept. 217

January, 2013

1. How many employees do you have? 12-FTE
- 1a) What's the five year trend for staffing levels? For 2008 -2012 it is as follows, respectively:
14, 14, 13, 12, & 12
2. How are the above employees classified? How many are exempt, non-exempt and collective bargaining? All are non-contract employees covered by the merit system
3. How many of your FTE's are federal funded? none
4. How many Federal grants does your agency receive and what's the matching rate? None
What's the future matching rate anticipated to be? How much Federal \$? Not applicable
5. How many early retirements were taken? 1 SERIP was taken in 2010
6. Of those openings, how many have been filled? The one position was not filled.
7. What are your ideas make service delivery in your Department more efficient? We plan to review present operating procedures for the licensing and regulation of 7 different professions and see if we can develop more uniform processes and establish performance measures
8. Share the progress of your Department's "Lean" projects. PLB last reported on a project in 2008
9. How much of your revenue comes from other state agencies? We receive \$62,317 from the Iowa Finance Authority
10. How much of your revenue comes from fees? Fees paid by the regulated professions comprise about 98% of PLB revenue.
11. What has been your five year budget trend? Expenditures have been as follows for 2008 to 2012: \$1,909,924; \$1,851,524; \$1,904,989; \$1,729,750, and \$1,847,988.
12. Regarding current FY 13, how does your current budget situation look? Do you expect any savings? There may be some savings due to retirement of the Professional Licensing Bureau Chief (PLBC). Some duties were assigned to the Finance Bureau Chief (FBC) with other duties assigned to the On-site Manager (PLBC was reclassified from PSE4 to PSE2 and retitled). On-site Manager had been an EO1 in the PLB and her position has not been filled at this time. Total savings won't be known until cost of the FBC are allocated to the PLB.
13. Have there been any recent reclassifications of individuals in your department? How many and what were the changes? One PSE4 position was reclassified as a PSE2.
14. In regard to monetary bonuses, how do you measure employee outcomes? No bonuses