

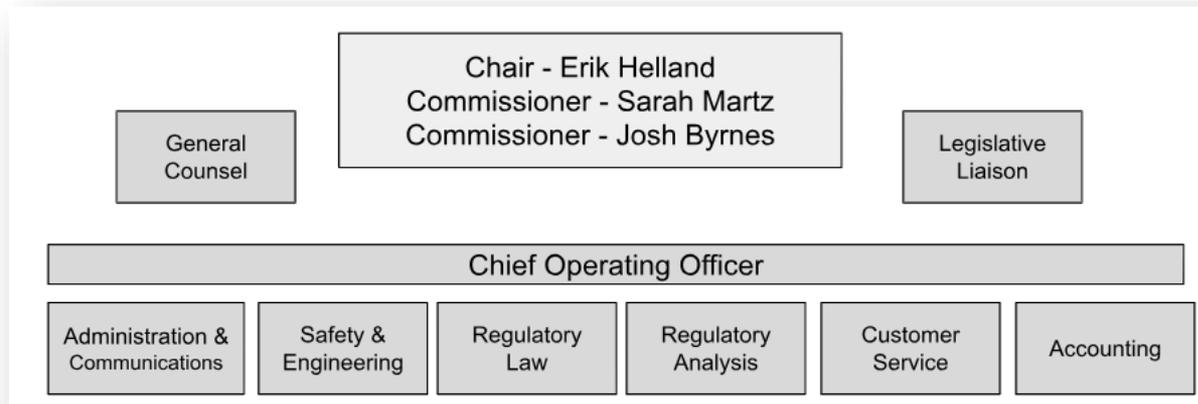


Iowa Utilities Commission

Update for Administration and Regulations
Appropriations Subcommittee
Erik Helland, Chair

Organization of the IUC

- Independent, quasi-judicial, quasi-legislative body
- Three commissioners appointed by Governor, confirmed by Senate
- Supported by staff of ~80 FTEs organized into bureaus



Areas of Oversight

- Electric
- Natural Gas
- Water, Storm Water, Sanitary Sewer
- Telecommunications
- Infrastructure protection/safety
- Other

Regulated Entities

- Utility Service Providers
 - Electric, natural gas, water
 - Jurisdiction varies per entity type
 - Investor-owned utilities more heavily regulated than municipal utilities or cooperatives
 - Minimal jurisdiction over telecommunications
- Generation and Infrastructure Developers and Operators (transmission, pipeline, others)

Dockets

A docket is a group of filings required by compliance or a case before the Commission.

- Contains documents and actions related to a regulatory proceeding
- Proceedings include public meetings, formal hearings, issuance of orders
- Maintain documents for external parties in EFS

Electronic Filing System

Search Criteria

Docket Number:	<input type="text"/>
Docket Type:	<input type="text" value="Select a Docket Type"/>
Filing Title:	<input type="text"/>
Date Filed:	<input type="text" value="Select Start Date (MM/DD/YYYY)"/>
Document Title:	<input type="text"/>
Document Type:	<input type="text" value="Select a Document Type"/>
Submitter Name:	<input type="text"/>
Submitting Parties:	<input type="text"/>
Industry Type:	<input type="text" value="Select an Industry Type"/>

Revenue

- Invoices to regulated entities for assessments
 - Vast majority of IUC revenue
 - IUC and Office of Consumer Advocate (OCA) staff time related to a docket or project for a specific entity or to work within industry type
 - Assessments based on each company's net assessable revenues and a billing rate
- Other revenue (less than \$1M)
 - Grants through federal Pipeline and Hazardous Materials Safety Administration in the US DOT, focused on pipeline safety
 - One Call grant to coordinate enforcement and education with the Iowa Attorney General's office
 - Services rendered to the OCA

Expenses

- Highest expense is personnel.
- Other expenses are related to the IUC office, external professional services, travel, public meetings/hearings, etc.
- IUC expenses for dockets can be difficult to predict due to filings initiated by regulated entities. Uncertainty exists regarding volume, scope, complexity, and timing of filings.
- Budget estimate is based on recent activity.

Governor's IUC Budget Recommendation

FY 2026: \$11,659,071

Administration and Regulation — Governor's Recommendations
Other Funds

	Actual FY 2024 (1)	Estimated FY 2025 (2)	Gov Rec FY 2026 (3)	Gov Rec vs Est FY 2025 (4)
<u>Utilities Commission</u>				
Utilities Commission				
Utilities Division - CMRF	\$ 11,951,289	\$ 11,659,071	\$ 11,659,071	\$ 0
Total Utilities Commission	\$ 11,951,289	\$ 11,659,071	\$ 11,659,071	\$ 0

Continuous Improvement

- Workflow challenges
 - Information is manually input and siloed across file storage systems
 - Limited performance metric tracking and reporting capabilities
 - Manual process to determine staff workload, limited planning capabilities
- Improvement strategies
 - Analyzed workflow, processes, and desired reporting
 - Acquired new software targeted at internal work activities

Case Management Software Platform

Features

- Task creation and tracking from system docket filings
- High-level dashboards for reporting metrics and KPIs
- Create/manage legal documents
- Streamline order routing

Project Status

- Started in 2023
- Soft launch Q1 CY25
- Complete rollout in Q2 CY25

Vendor: Thomson Reuters

IUC Bill

HF304

- Alternative operator services (476.91)
 - Clarify and limit IUC jurisdiction to what is currently regulated, service to incarcerated persons
- Electric franchise format description (478.7)
 - Addresses required content for electric transmission line franchise
 - Deletes references to a blank form

Questions?

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