



# Iowa Racing and Gaming Commission

- Michael Mahaffey, Chair
- Diane Hamilton, Vice-Chair
- Kate Cutler, Commissioner
- Joyce Jarding, Commissioner
- Steve Chapman, Commissioner
- Jack P. Ketterer, Administrator

[www3.state.ia.us/irgc/](http://www3.state.ia.us/irgc/)

# Application for Track Licenses and Racing Dates

Any qualified nonprofit corporation as defined in Iowa Code section 99B.1(10) organized to promote those purposes enumerated in section 99B.7(3)"b," or a nonprofit corporation which conducts a livestock exposition for the promotion of the livestock, horse, or dog breeding industries of the state, may apply to the commission for a license to conduct horse or dog racing.



# Application for Track Licenses and Racing Dates

- *Pursuant to Iowa Code 99D.8 & 99D.9:*
  - The Commission may issue a license for a period of not more than three years.
  - The Commission shall decide the number, location, and type of all racetracks licensed under this chapter.
  - A license is not transferable or assignable.



# Application for Racetrack Enclosure Gaming License

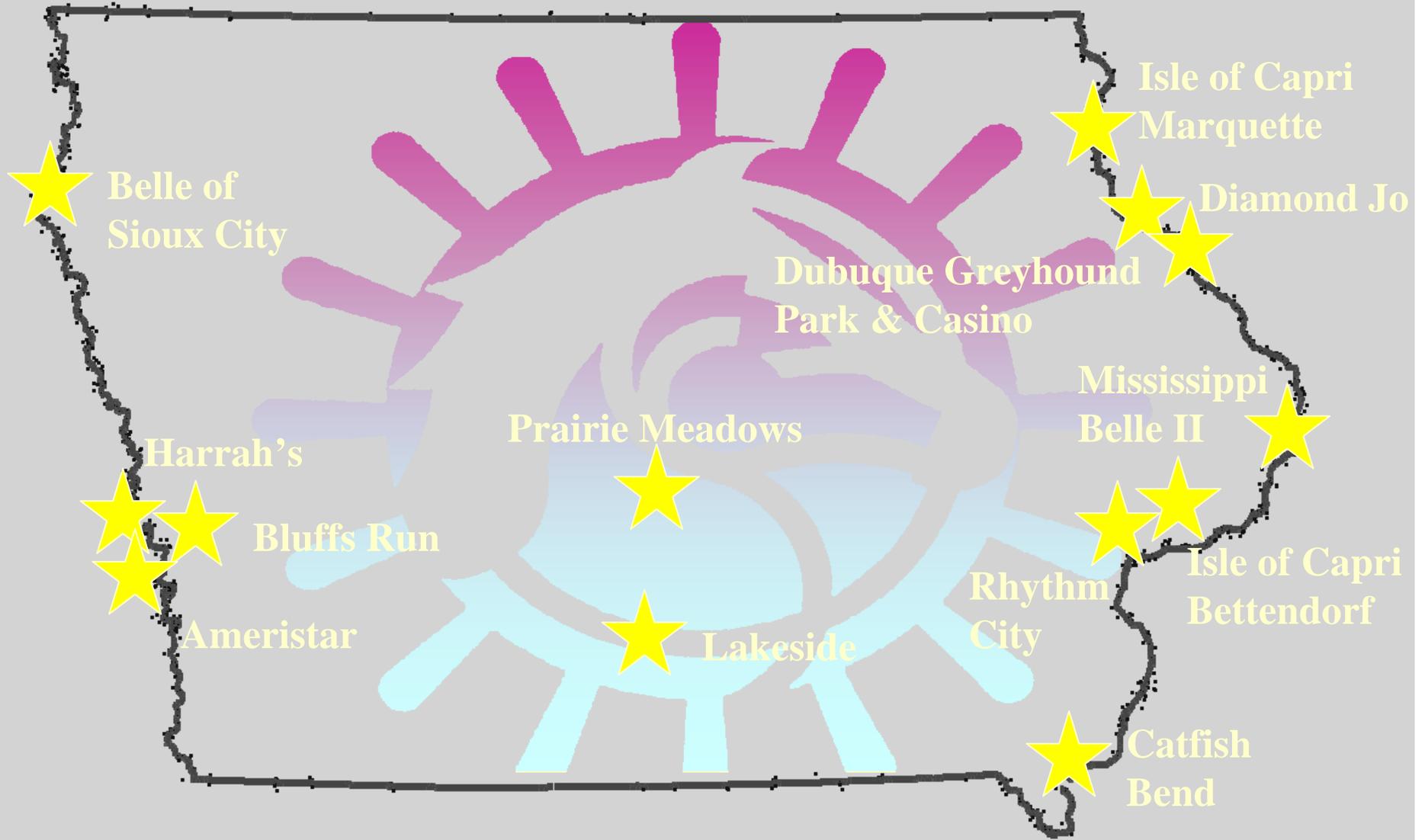
- Upon application, the commission shall license the licensee of a pari-mutuel dog or horse racetrack to operate gambling games at a pari-mutuel racetrack enclosure subject to the provisions of this chapter and rules adopted pursuant to this chapter relating to gambling except as otherwise provided in code 99F.
- Issued Pursuant to Iowa Code 99F.4A.
  - A license to operate gambling games shall be issued only to a licensee holding a valid license to conduct pari-mutuel dog or horse racing pursuant to chapter 99D on January 1, 1994.

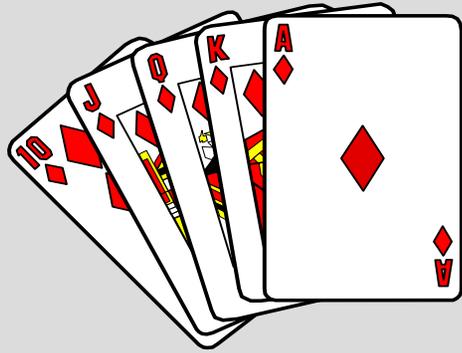


# Application for Excursion Boat Gaming License

- *Pursuant to Iowa Code 99F.5, a qualified sponsoring organization may apply to the commission for a license to conduct gambling games on an excursion gambling boat as provided in this chapter. A person may apply to the commission for a license to operate an excursion gambling boat.*
- *The application shall be filed with the administrator of the commission at least ninety days before the first day of the next excursion season as determined by the commission, shall identify the excursion gambling boat upon which gambling games will be authorized, shall specify the exact location where the excursion gambling boat will be docked, and shall be in a form and contain information as the commission prescribes.*

# 2003 Licensed Facilities





# Manufacturers and Distributors

- It is unlawful for any person or entity to be involved in operating, carrying on, conducting or maintaining any form of manufacture, sale or distribution of any gambling device for use or play in Iowa without having first procured and maintained all required federal and state licenses.
- Currently there are approximately 22 entities licensed as either a **Manufacturer, Distributor, or both.**



# Commission Field Staff



## •Gaming Representatives

- Responsible for monitoring the daily operations of a facility.
- Some auditing functions.
- Screen all occupational license applicants.

## •State Stewards

- Responsible for all racing matters on a daily basis.
- Screen all occupational license applicants.
- Officiate all pari-mutuel races.

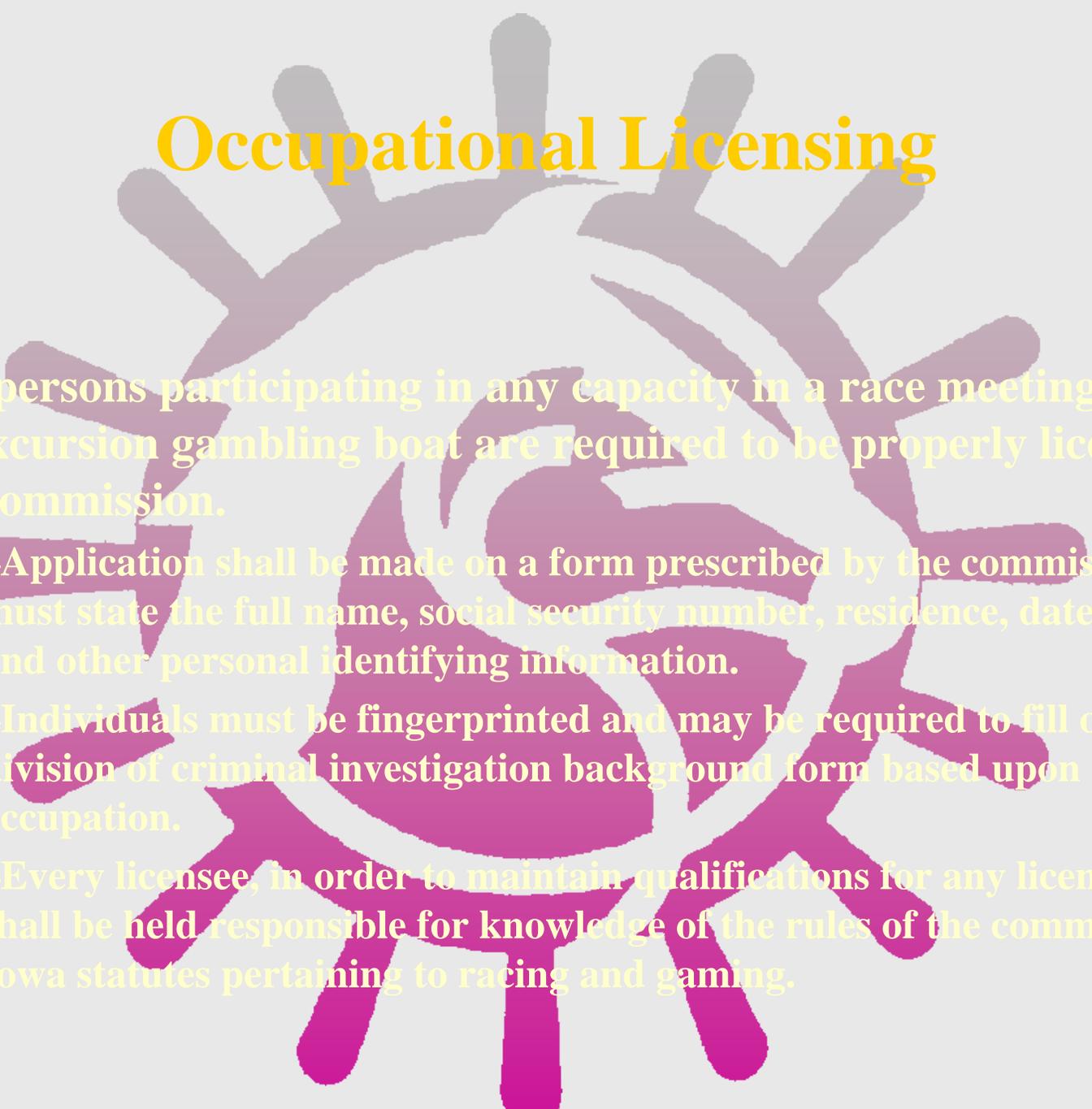
## •State Veterinarians

- Responsible for the health and welfare of the racing animals.

## •Licensing Assistants

- Responsible for issuing occupational licenses.
- Some auditing functions.

# Occupational Licensing



- All persons participating in any capacity in a race meeting or with an excursion gambling boat are required to be properly licensed by the commission.
  - Application shall be made on a form prescribed by the commission. It must state the full name, social security number, residence, date of birth, and other personal identifying information.
  - Individuals must be fingerprinted and may be required to fill out a division of criminal investigation background form based upon their occupation.
  - Every licensee, in order to maintain qualifications for any license held, shall be held responsible for knowledge of the rules of the commission and Iowa statutes pertaining to racing and gaming.

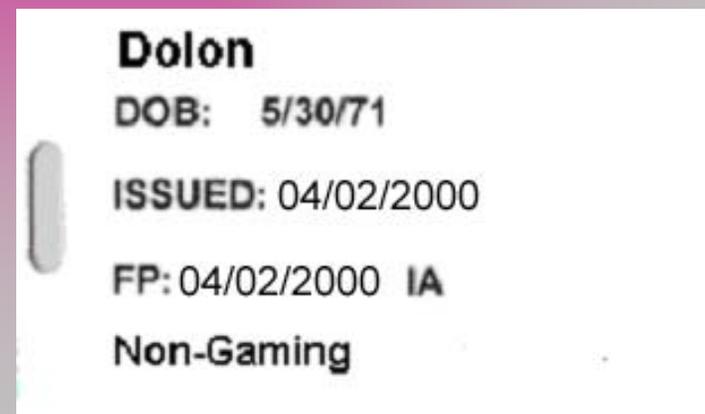
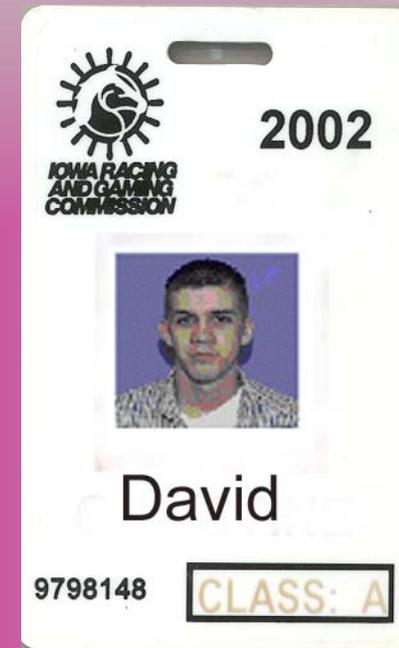
# Occupational Licensing

- **Facility Licensees**

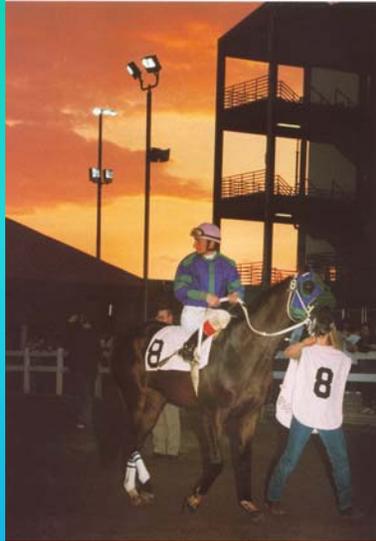
- Non Gaming
- Gaming
- Key Employee
- Owner/For Profit BOD
- Vendor Employee
- Non Profit Board Member

- **Racing Industry Participants**

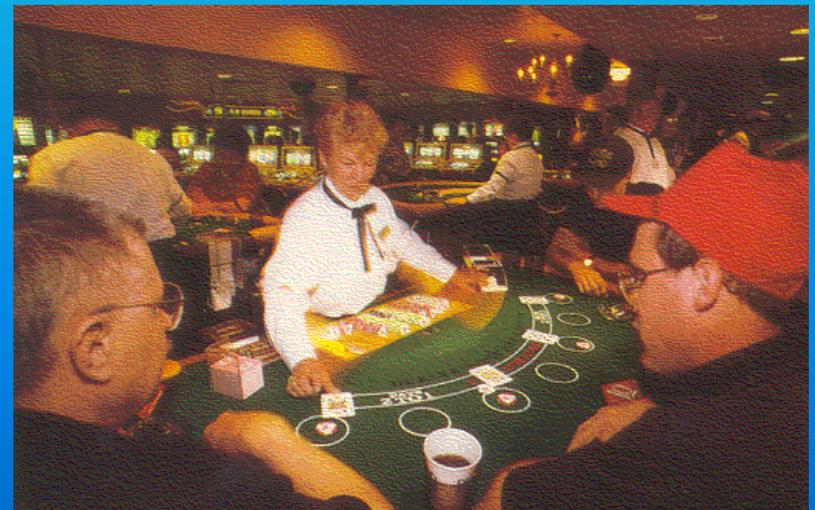
- Apprentice Jockey
- Assistant Trainer
- Exercise Rider
- Farrier



# Occupational Licensing

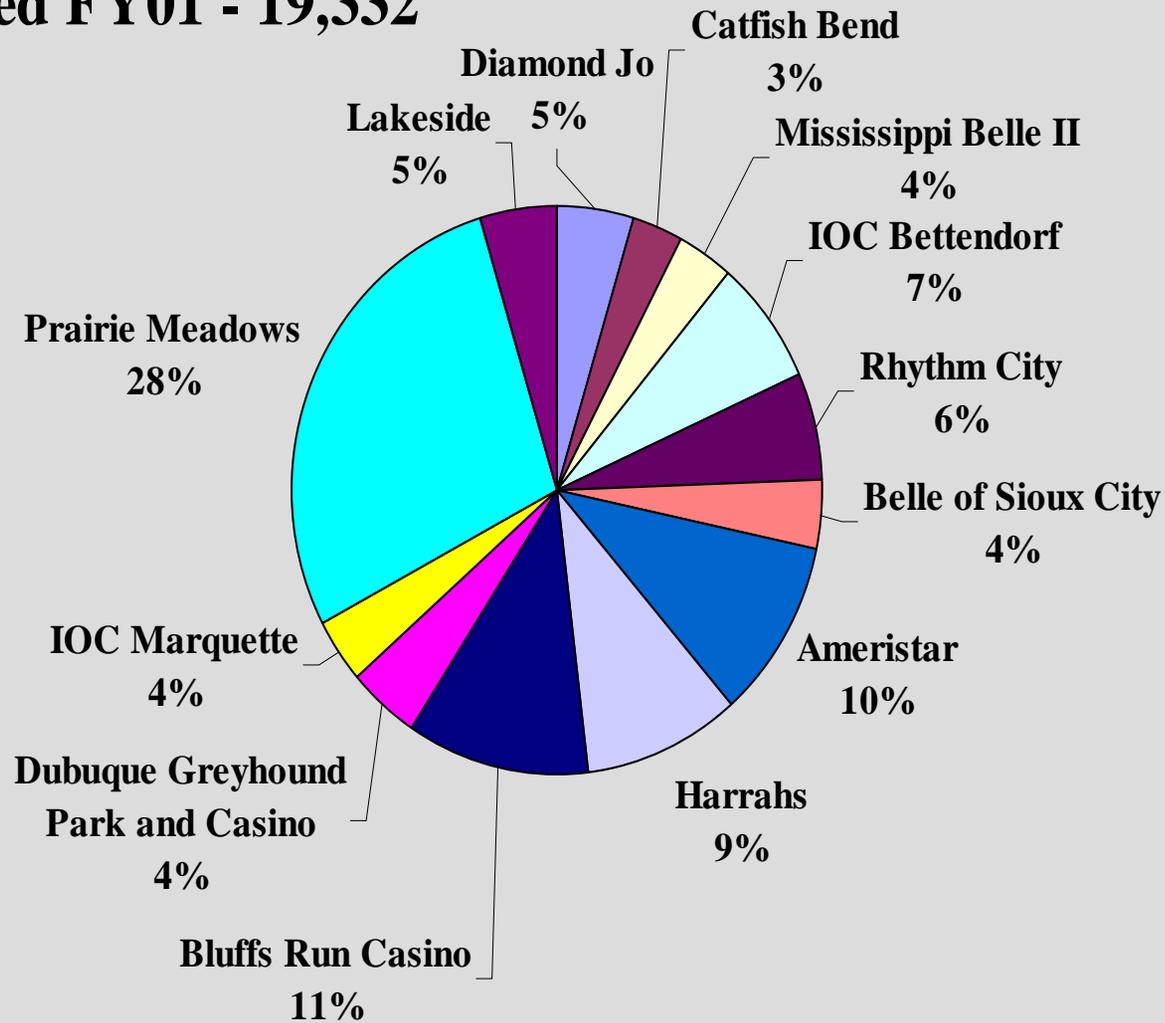


- Groom
- Jockey
- Jockey Agent
- Kennel Helper
- Outrider
- Owner (Racing Animal)
- Racing Personnel
- Pony Rider
- Trainer
- Veterinarian
- Contact Kennel Owner
- Track Official



# Occupational Licensees

Total Licensed FY01 - 19,332



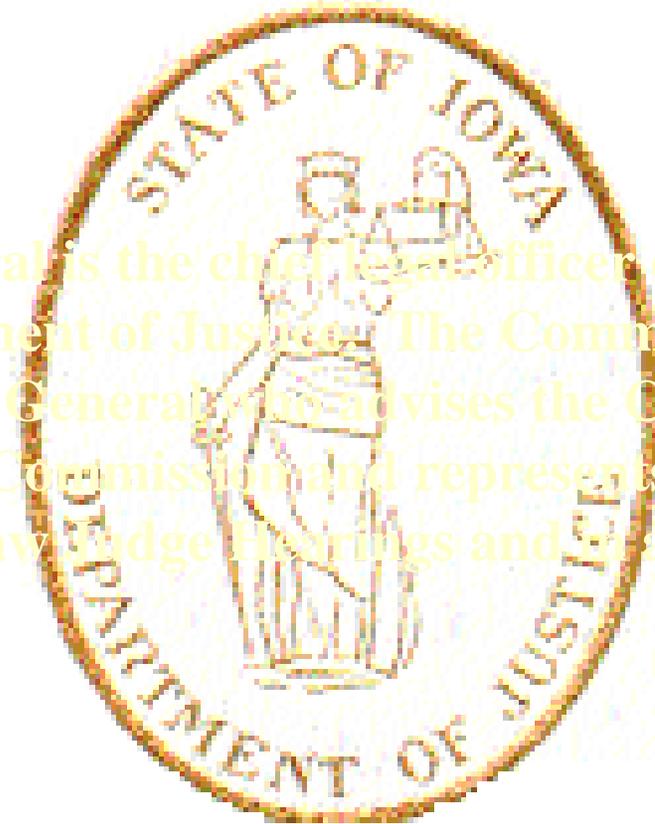
# **DIVISION OF CRIMINAL INVESTIGATION**

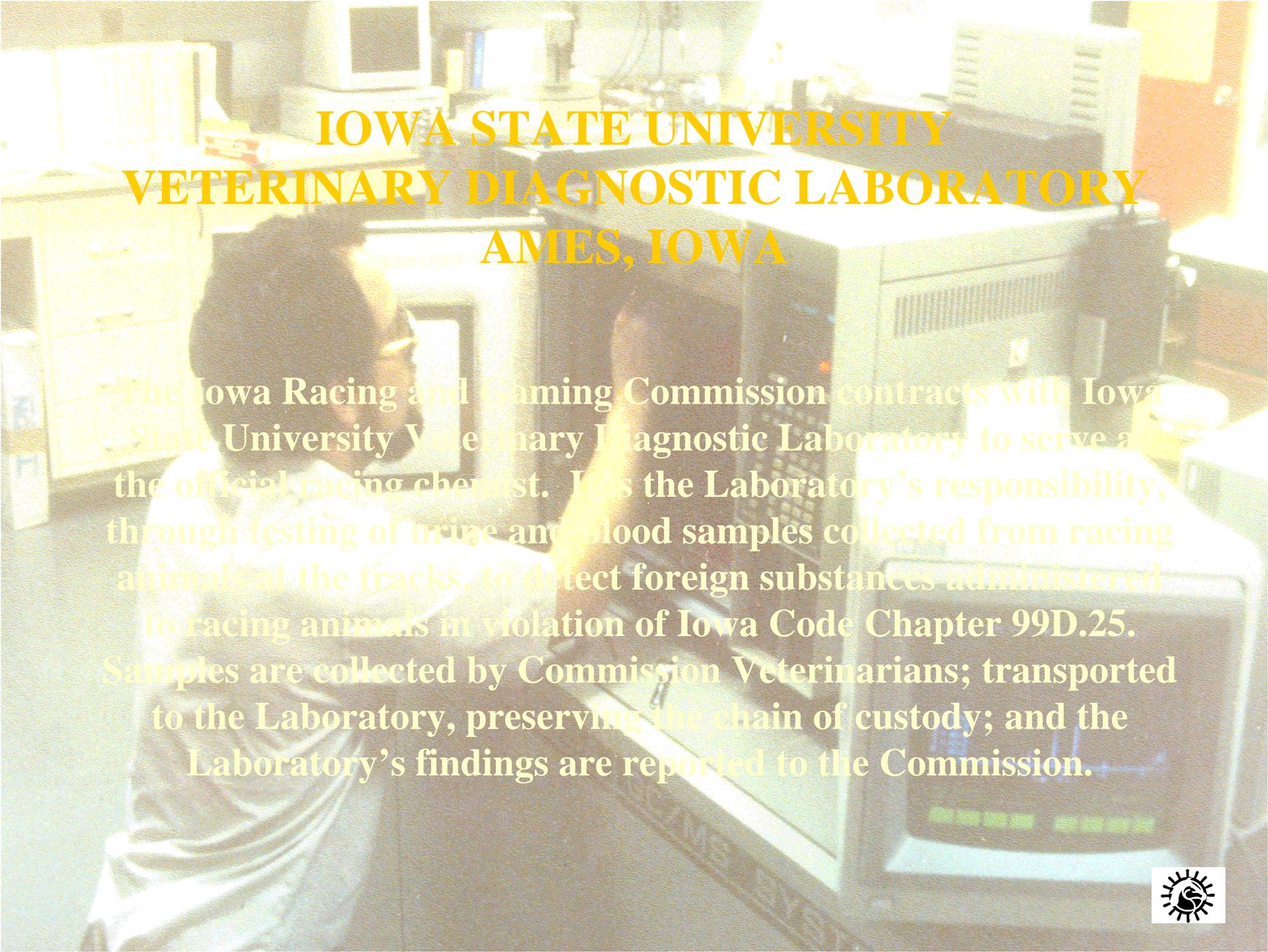
**The Division of Criminal Investigation is responsible for criminal enforcement at licensed gambling facilities in Iowa. The DCI has an on-site presence at the racetracks and excursion gambling boats. Special Agents and Gaming Enforcement Officers conduct background investigations for IRGC and notify IRGC of any rule violations they find.**



# IOWA ATTORNEY GENERAL

The Attorney General is the chief legal officer of the state and head of the Iowa Department of Justice. The Commission is assigned an Assistant Attorney General who advises the Commission on legal matters before the Commission and represents the Commission at Administrative Law Judge Hearings and many Court Actions.





# IOWA STATE UNIVERSITY VETERINARY DIAGNOSTIC LABORATORY AMES, IOWA

The Iowa Racing and Gaming Commission contracts with Iowa State University Veterinary Diagnostic Laboratory to serve as the official racing chemist. It is the Laboratory's responsibility, through testing of urine and blood samples collected from racing animals at the tracks, to detect foreign substances administered to racing animals in violation of Iowa Code Chapter 99D.25. Samples are collected by Commission Veterinarians; transported to the Laboratory, preserving the chain of custody; and the Laboratory's findings are reported to the Commission.



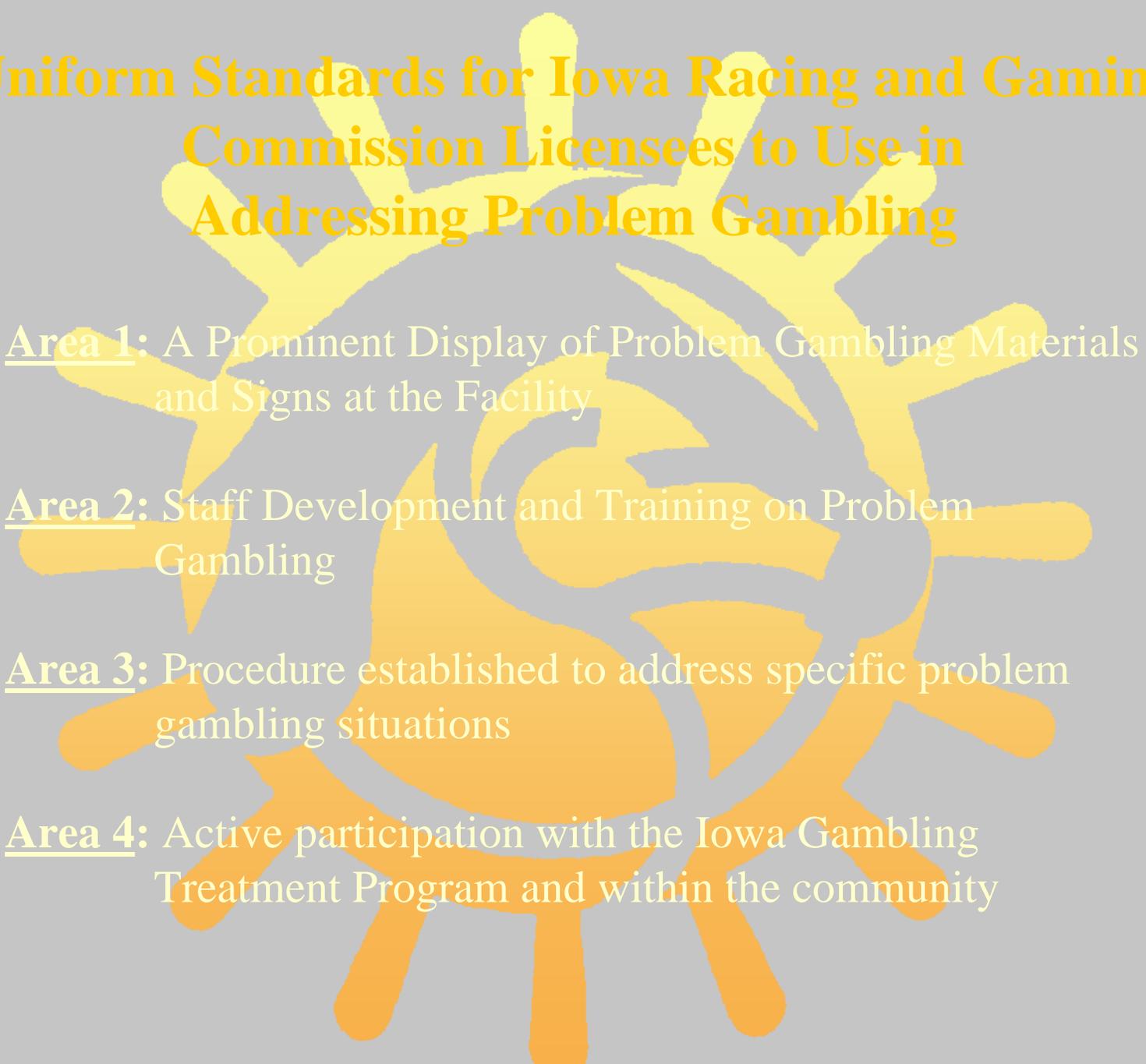
# IOWA GAMBLING TREATMENT PROGRAM

- The Iowa Racing and Gaming Commission supports the efforts of the Iowa Gambling Treatment Program.
- The Program is funded by 0.3% of gaming revenue and 0.3% of pari-mutuel revenue (\$2.97 million in FY02), plus 0.3% of lottery sales.

**CALL 1-800-BETS OFF**

Iowa Gambling Treatment Program  
Outside Iowa, call 1-800-522-4700





# Uniform Standards for Iowa Racing and Gaming Commission Licensees to Use in Addressing Problem Gambling

Area 1: A Prominent Display of Problem Gambling Materials  
and Signs at the Facility

Area 2: Staff Development and Training on Problem  
Gambling

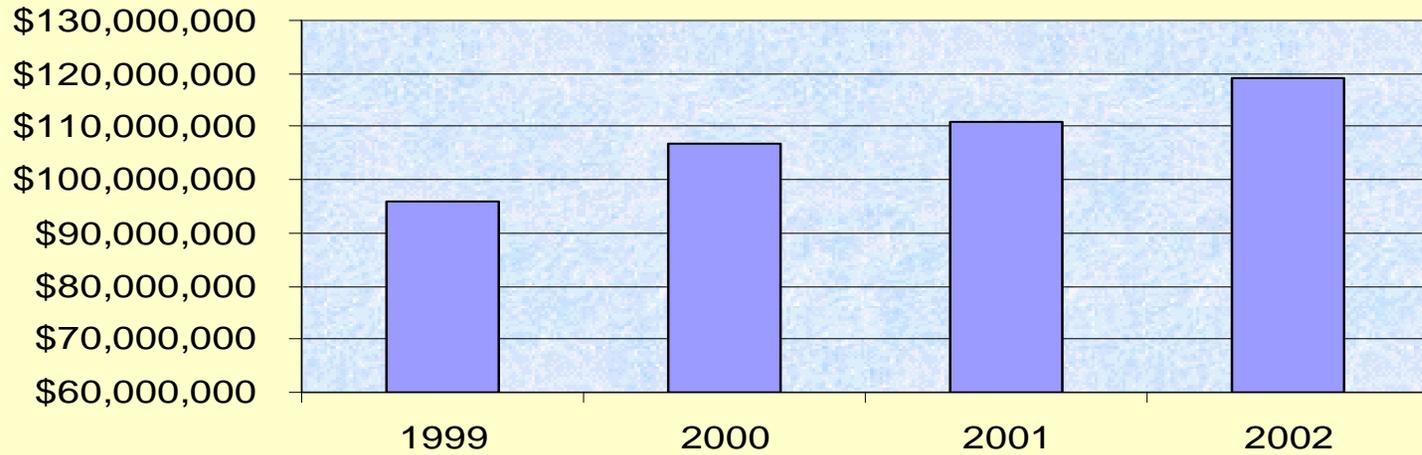
Area 3: Procedure established to address specific problem  
gambling situations

Area 4: Active participation with the Iowa Gambling  
Treatment Program and within the community

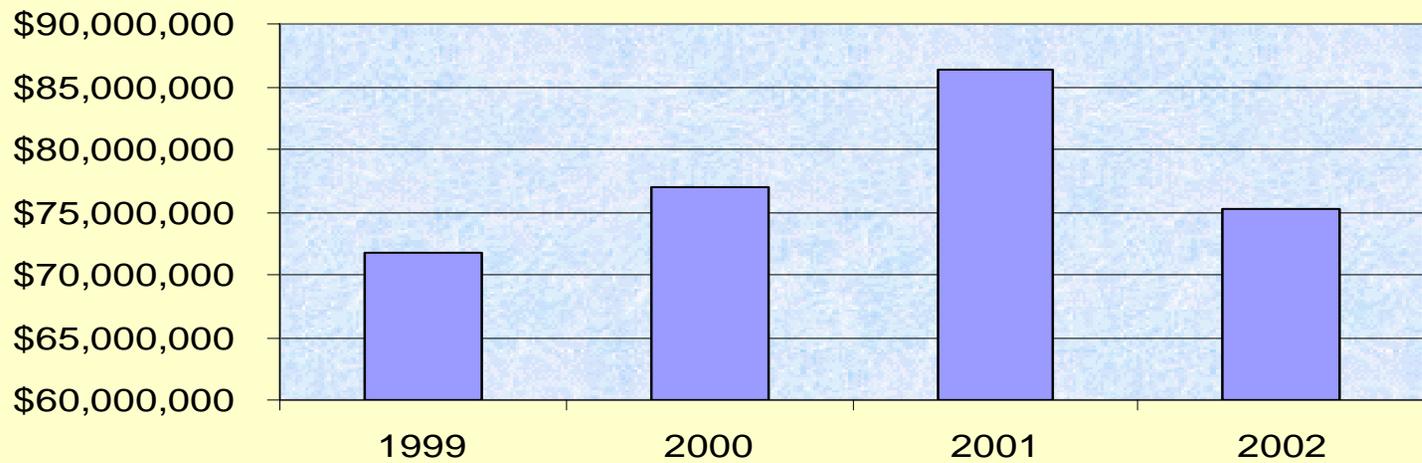
# Gaming Tax

- A tax is imposed on the adjusted gross receipts received annually from gambling games.
  - 5% on the first one million dollars of adjusted gross receipts.
  - 10% on the next two million dollars of adjusted gross receipts.
  - 20% on any amount of adjusted gross receipts over three million dollars.
  - Beginning January 1, 1997, the rate on any amount of adjusted gross receipts over three million dollars from gambling games at racetrack enclosures is 22% and shall increase 2% each succeeding calendar year until the rate is 36%.
- Distributed as follows:
  - 1/2% to the city.
  - 1/2% to the county.
  - 0.3% to the gambling treatment fund.

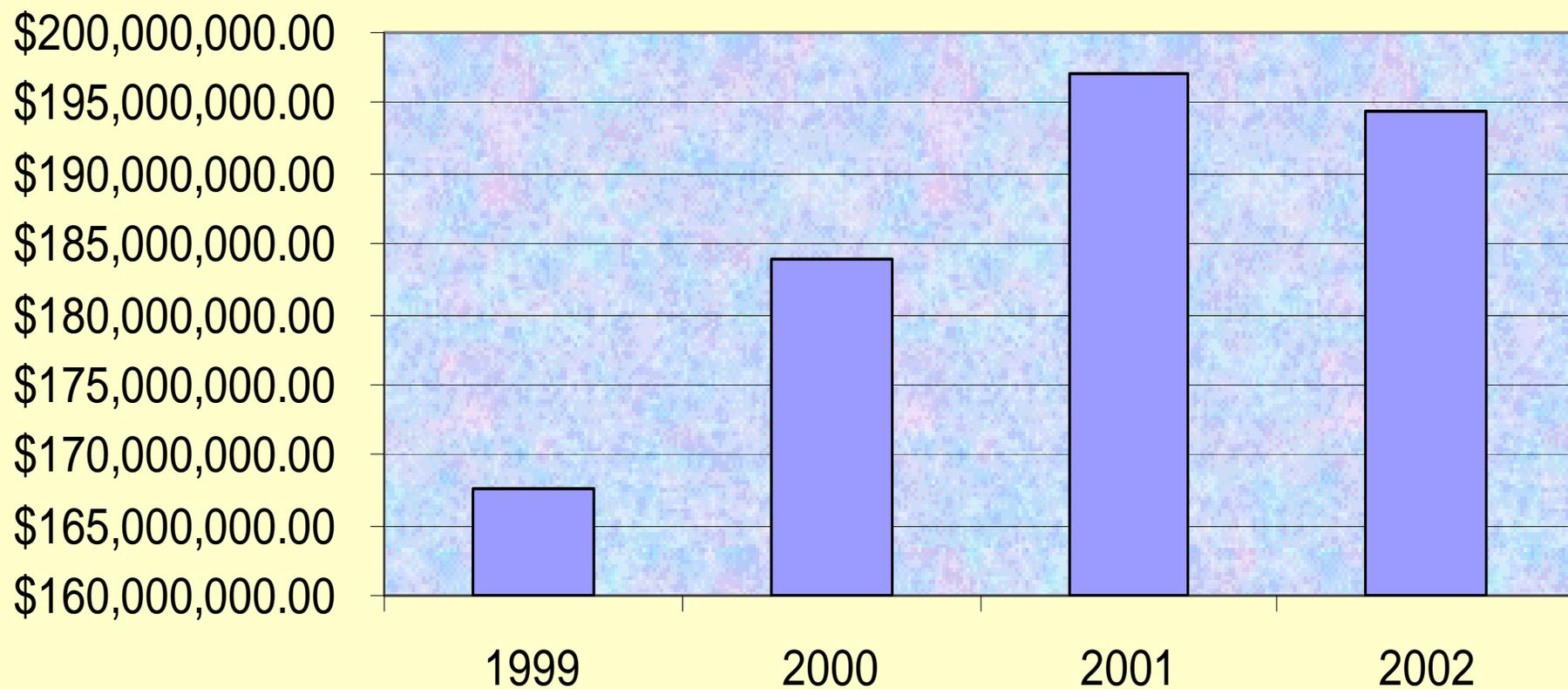
## RIVERBOAT CASINOS WAGERING TAXES



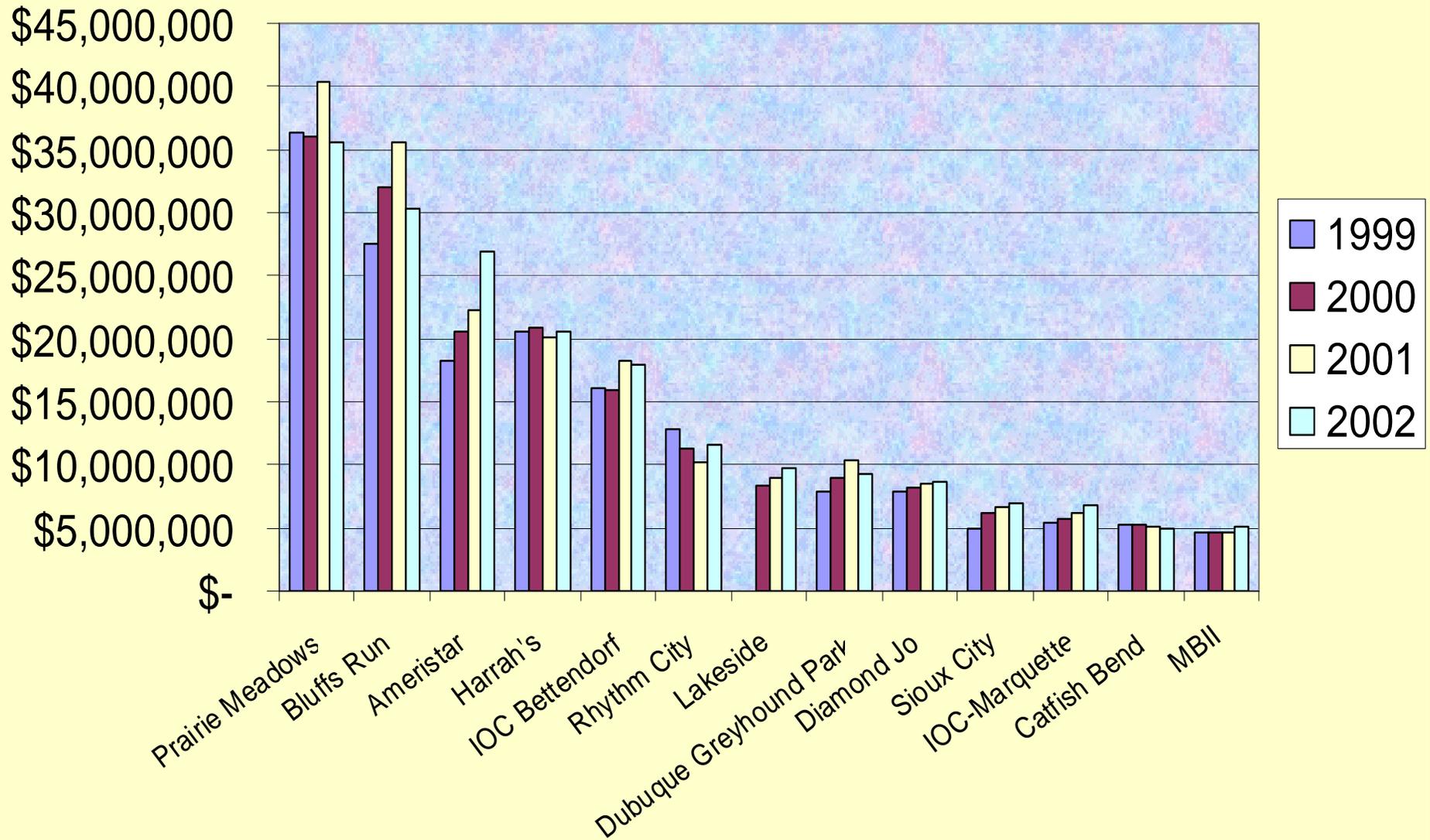
## RACETRACK CASINOS WAGERING TAXES



# TOTAL WAGERING TAX BY YEAR



# ANNUAL WAGERING TAX PER FACILITY



## Admission Tax

• *Racetrack Enclosures*: A licensee shall pay to the commission the sum of fifty cents for each person entering the grounds or enclosure of the licensee upon a ticket of admission.

## Admission Tax

• *Excursion Boats:* In determining the license fees and state admission fees to be charged as provided under section 99F.4 and section 99F.10, the commission shall use the amount appropriated to the commission plus the cost of salaries for no more than two special agents and no more than four gaming enforcement officers for each excursion gambling boat for the division of criminal investigation's excursion gambling boat activities as the basis for determining the amount of revenue to be raised from the license fees and admission fees.



QUESTIONS?