IOWA DEPARTMENT OF INSPECTIONS & APPEALS

An effective, efficient, and approachable regulatory agency

Legislative Budget Presentation – FY 21

February 11, 2020

IOWA DEPARTMENT OF INSPECTIONS & APPEALS

Core Divisions

Administration

- Food and Consumer Safety bureau
- Fiscal, Human Resources, Legislative, Legal, Public Information Office
- Indian Gaming Compact

Health Facilities

- Long-Term Care
- Non-Long-Term Care
  - Medicare Services
  - Adult and Special Services

Administrative Hearings

Investigations

- Economic Fraud Control Unit
- Medicaid Fraud Control Unit (MFCU)
- Abuse Coordinating Unit
- Audit Unit
- Public Assistance Debt Recovery Unit
- Professional Standards Unit

Attached Units

Child Advocacy Board

Employment Appeal Board

Iowa Racing & Gaming Commission

Office of the State Public Defender (Justice)

Administration Division

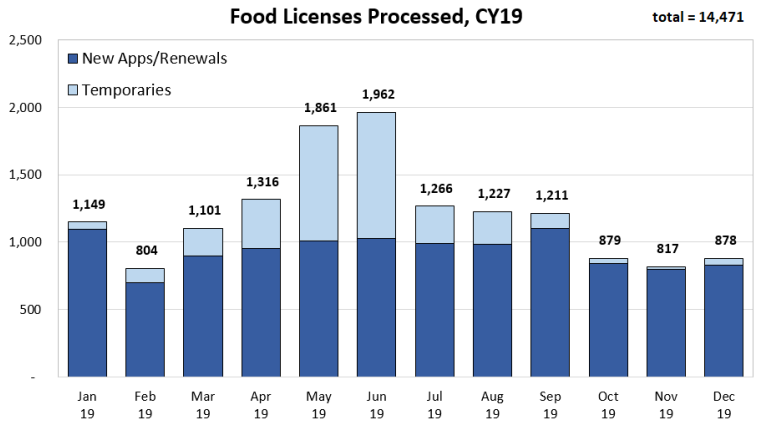
	FY19 Actual	FY20 Estimate	FY21 Governor Recommended
Administration Division	**$511,580**	**$546,312**	**$552,673**

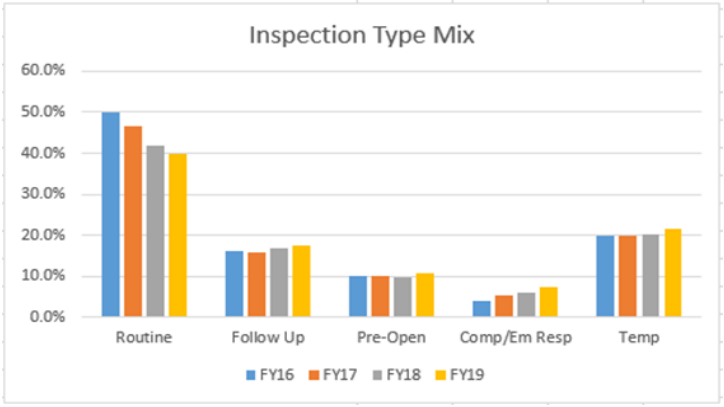
- Full Time Equivalents (FTEs) – 12
- Launching of new intranet and website
- Indian Gaming
  - Sports betting
  - Compacts

Food & Consumer Safety Bureau

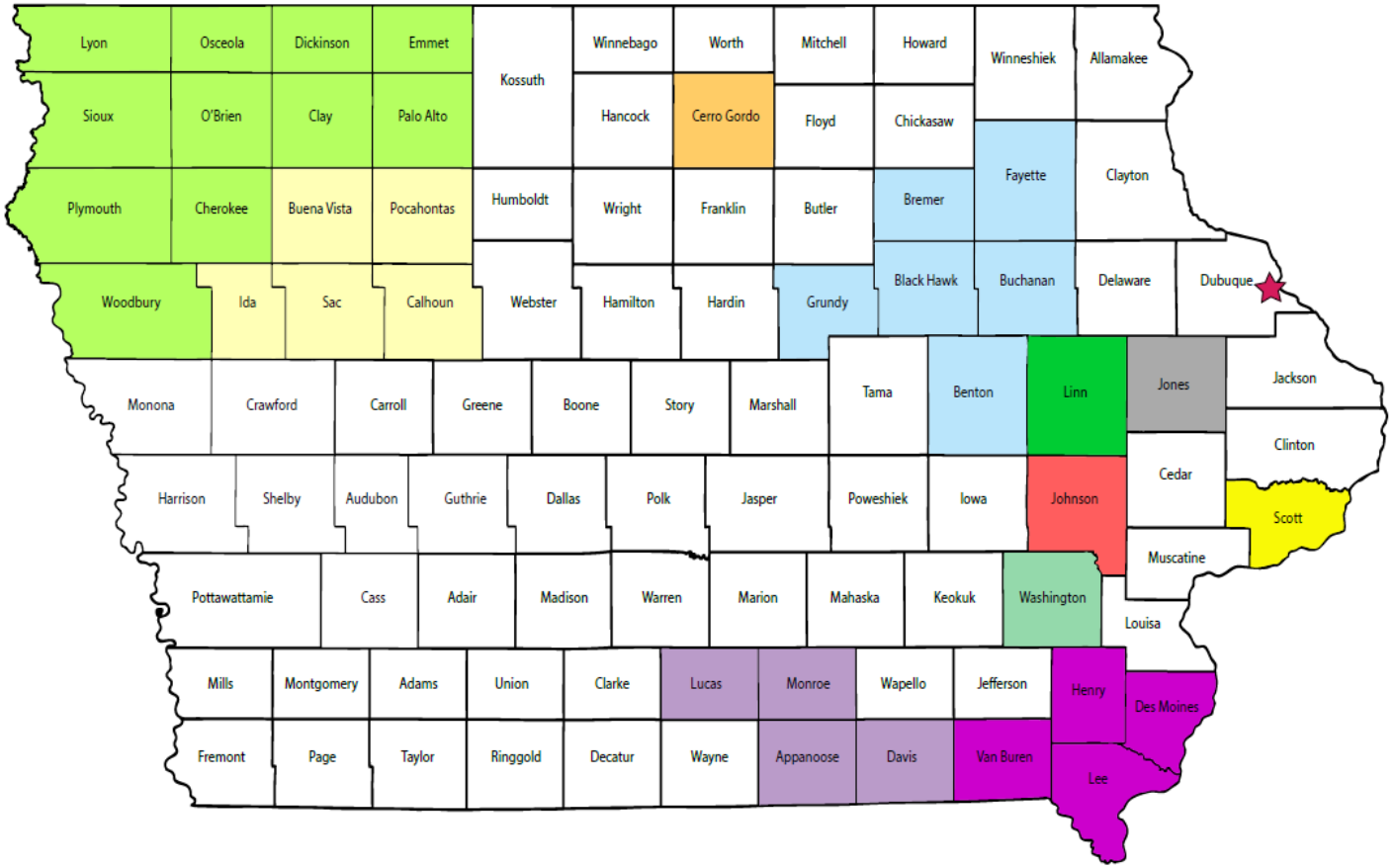
	FY19 Actual	FY20 Estimate	FY21 Governor Recommended
Food & Consumer Safety Bureau	**$574,819**	**$574,819**	**$611,319**

- FTEs – 35
  - 26 Food Inspectors (21 Environmental Specialists (including Shelby County, 3 senior inspectors, 2 supervisors))
- Online Application
  - Food and Consumer Safety
  - Amusement Devices
  - Social Charitable Gambling
- IDR Modernization
  - Partnership with Dept. of Revenue





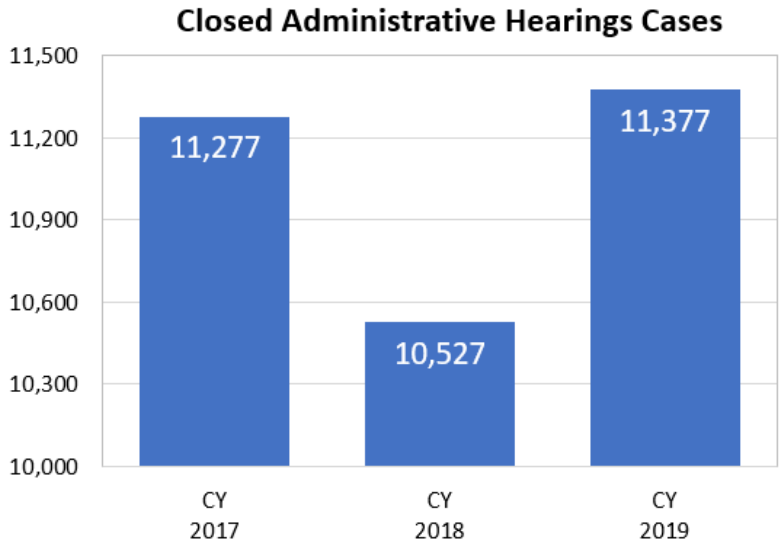
Food Safety – State Inspections/Local Government Partners



Administrative Hearings Division

	FY19 Actual	FY20 Estimate	FY21 Governor Recommended
Administrative Hearings Division	**$625,827**	**$625,827**	**$643,032**

- FTEs – 23
  - 19 Administrative Law Judges
  - 4 Administrative Support
- FY21 Budget Request
  - GF - $643,032
  - Other - $2,648,676
  - TOTAL - **$3,291,708**
- E-filing
- New Contract with City of West Des Moines (nuisance cases)

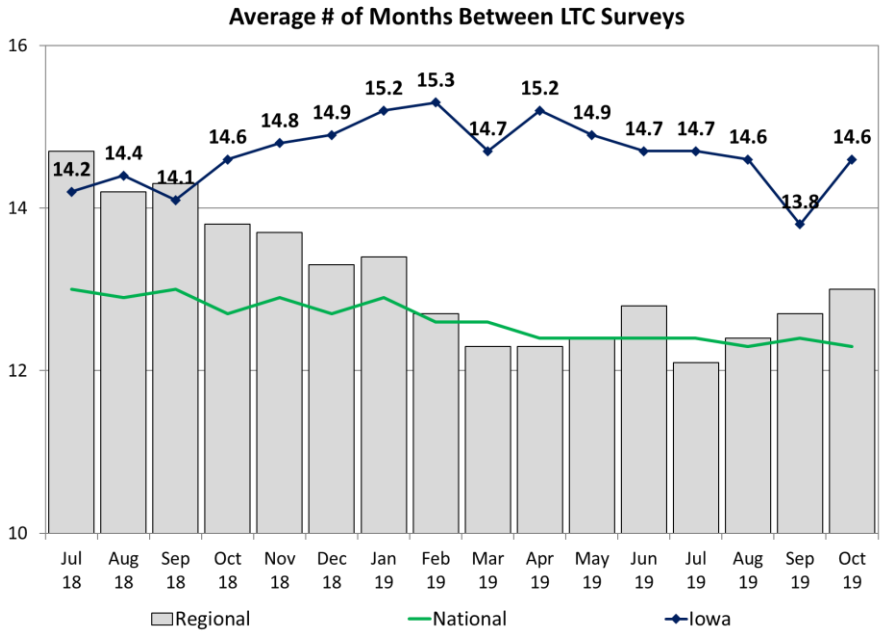


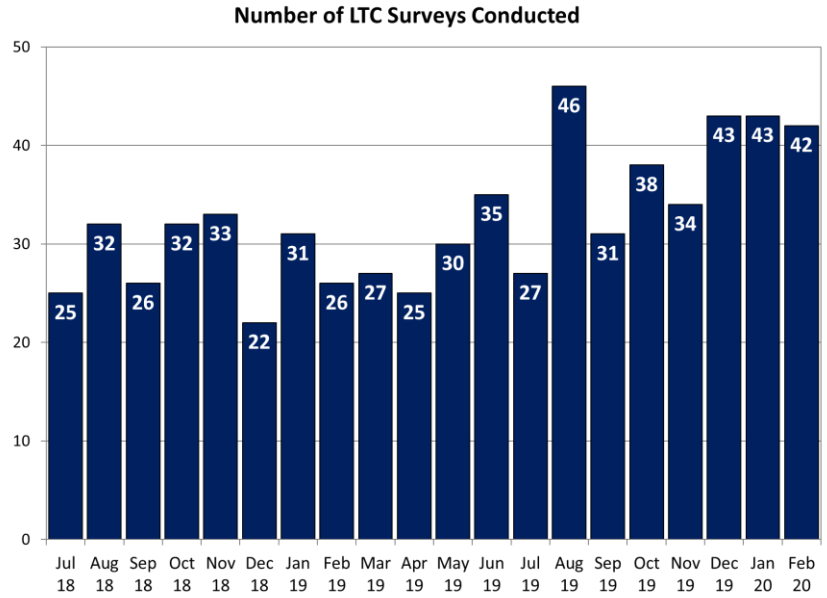
Health Facilities Division

	FY19 Actual	FY20 Estimate	FY21 Governor Recommended
Health Facilities Division	**$4,734,682**	**$4,734,682**	**$5,063,148**

- FTEs – 112
  - 48 Long Term care surveyors
  - 36 Non-Long Term care surveyors
- Top Goal: Timely surveys
- CMS new LTC Survey launched November 2017 and fully implemented
- Website redesign – launching July 1, 2020
- HFD database:
  - Facilities: Licensing, complaint, and regulatory information
  - Direct Care Workers: 125,000 workers
  - Budget request: $850,000
  - Stakeholder group input into design and key information gathered

HFD – Long Term Care Annual Surveys

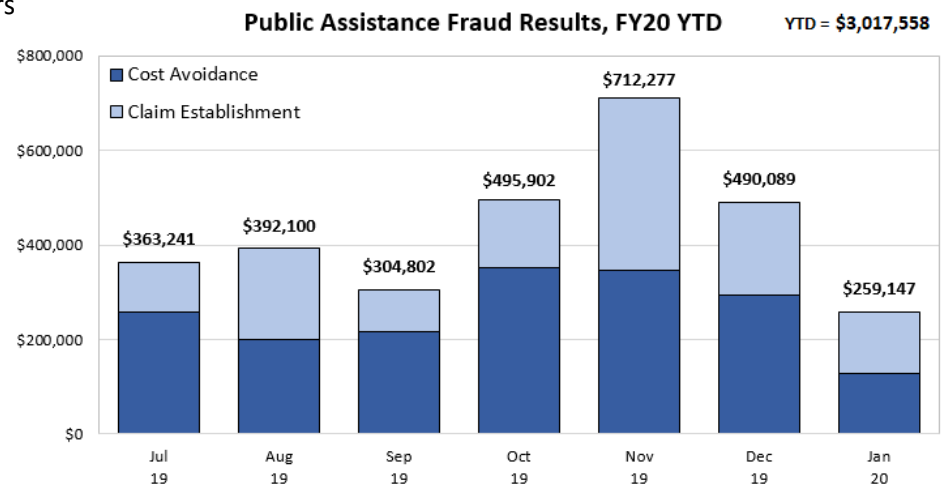




Investigations Division

	FY19 Actual	FY20 Estimate	FY21 Governor Recommended
Investigations Division	**$2,471,791**	**$2,471,791**	**$2,507,818**

- FTEs – 53
  - 33 Investigators
    - 22 Economic Fraud Investigators
    - 2 Public Assistance Debt Recovery Unit (PADRU)
    - 7 MFCU Investigators
    - 2 Professional Standards Investigators
- Case Management system
- Iowa Department of Revenue MOU



Investigations Division

FOR IMMEDIATE RELEASE — Thursday, January 16, 2020

Northern Iowa Doctor Sentenced to Federal Prison for Making False Statements and Will Pay More Than $315,000 to Resolve False Claims Act Allegations Relating to Nursing Facility Residents

Falsely Stated He Was Spending More Time with Patients in Nursing Homes Than He Was Actually Spending With Them

FOR IMMEDIATE RELEASE — Tuesday, September 3, 2019

Nurse Who Stole Pain Medication From Her Elderly Patients at Two Area Nursing Homes Sentenced to Federal Prison

Also Burglarized Sister's Home While Awaiting Her Sentencing

FOR IMMEDIATE RELEASE — Sunday, October 27, 2019

Aberdeen Woman Sentenced for Theft of Government Funds

Nursing home worker sentenced to probation

Jeff Reinitz Jan 10, 2020 0

FOR IMMEDIATE RELEASE — Tuesday, September 3, 2019

Nurse Pleads Guilty To Drug Theft and Tampering

FOR IMMEDIATE RELEASE — Wednesday, September 4, 2019

Fort Dodge Woman Sentenced to Repay Iowa Department of Human Services Nearly $35,000 in Supplemental Nutrition Program (SNAP) Funds

Martinez-Roque obtained the funds by knowingly failing to report household income

'It's concerning': Investigator says pilfering painkillers at Iowa nursing homes is too common

21 Shares

KCCI DES MOINES — Updated: 9:21 PM CST Mar 7, 2019

Child Advocacy Board

	FY19 Actual	FY20 Estimate	FY21 Governor Recommended
Child Advocacy Board	**$2,570,605**	**$2,582,454**	**$2,626,167**

Advocating for the Protection of Iowa's children and strengthening the child welfare system

- ICAB is dedicated to advocating for abused and neglected children's best interests in the juvenile Court's jurisdiction.
  - CASA: The Court Appointed Special Advocate (CASA) program aligns concerned citizens with abused and neglected children in their community. CASA speaks for children who cannot speak for themselves.
  - FCRB: The Foster Care Review Board provides independent 3rd-party review of cases in Iowa's foster care system. FCRB provides to the Court and other system officials advisory recommendations of what is in foster children's best interests.

Employment Appeal Board

	FY19 Actual	FY20 Estimate	FY21 Governor Recommended
Employment Appeal Board	**$38,912**	**$38,912**	**$39,439**

Final administrative law forum for state and federal unemployment benefit appeals

- FTEs – 11 (including 3 Board members appointed by the Governor)
- Board hears:
  - Unemployment compensation insurance decisions
  - Occupational Safety and Health Administration violations
  - Department of Administrative Services (HR) decisions involving disqualification, restriction, or removal from eligible lists
  - IPERs decisions
  - Peace Officer and Capitol Security disciplinary actions
  - Labor Commissioner's citations or proposed penalties for violations of construction contractors registration laws

Iowa Racing & Gaming Commission

	FY19 Actual	FY20 Estimate	FY21 Governor Recommended
IRGC Revolving Fund	**$6,492,010**	**$6,796,481**	**$6,796,481**

- FTEs – 53.7
- Implementation of Sports Wagering and Fantasy Sports
  - Rules effective July 31, 2019 with wagering and contests beginning no earlier than August 15, 2019

IRGC – Sports Waging Revenue Report

	Ameristar II	Casino Queen - Marquette[1]	Catfish Bend Casino	Diamond Jo - Dubuque	Diamond Jo - Worth	Grand Falls Casino Resort	Hard Rock Casino
Sports Wagering Net Receipts	$2,569,339.92	$0.00	$393,985.64	$805,428.87	$2,094,857.50	$1,009,953.45	$610,774.43
Sports Wagering Handle	$21,871,854.08	$0.00	$4,391,806.77	$5,933,894.50	$19,442,867.75	$8,181,202.19	$6,638,690.26
Sports Wagering Payouts	$19,302,514.16	$0.00	$3,997,821.13	$5,128,465.63	$17,348,010.25	$7,171,248.77	$6,027,915.83
Retail Net Receipts	$2,569,339.92	$0.00	$346,115.31	$805,428.87	$2,094,857.50	$768,561.30	$502,960.28
Retail Handle	$21,871,854.08	$0.00	$3,194,965.91	$5,933,894.50	$19,442,867.75	$5,090,175.39	$5,668,893.28
Retail Payouts	$19,302,514.16	$0.00	$2,848,850.60	$5,128,465.63	$17,348,010.25	$4,321,614.09	$5,165,936.00
Internet Net Receipts	$0.00	$0.00	$47,870.33	$0.00	$0.00	$241,392.12	$107,817.15
Internet Handle	$0.00	$0.00	$1,196,840.86	$0.00	$0.00	$3,091,026.80	$969,796.98
Internet Payouts	$0.00	$0.00	$1,148,970.53	$0.00	$0.00	$2,849,634.68	$861,979.83
State Tax	$173,430.44	$0.00	$26,594.03	$54,366.44	$141,402.88	$68,172.46	$41,227.27

	Harrah's Council Bluffs Casino & Hotel	Horseshoe Casino Council Bluffs	Isle of Capri - Bettendorf	Isle Casino Hotel Waterloo	Lakeside Casino	Prairie Meadows Racetrack & Casino	Q Casino
Sports Wagering Net Receipts	$789,724.18	$1,433,509.22	$601,419.46	$1,868,499.01	$782,980.54	$6,752,230.23	$480,995.71
Sports Wagering Handle	$6,582,116.76	$16,010,743.22	$14,139,385.26	$22,955,418.35	$8,806,040.99	$95,642,419.84	$7,093,678.02
Sports Wagering Payouts	$5,792,392.58	$14,577,234.00	$13,537,965.80	$21,086,919.34	$8,023,060.45	$88,890,189.61	$6,612,682.31
Retail Net Receipts	$789,724.18	$1,433,509.22	$174,612.41	$331,322.05	$356,262.91	$1,185,907.66	$347,973.57
Retail Handle	$6,582,116.76	$16,010,743.22	$5,008,150.81	$2,879,598.20	$2,742,064.81	$8,734,976.96	$4,760,451.92
Retail Payouts	$5,792,392.58	$14,577,234.00	$4,833,538.40	$2,548,276.15	$2,385,801.90	$7,549,069.30	$4,412,478.35
Internet Net Receipts	$0.00	$0.00	$426,807.05	$1,537,176.96	$426,717.63	$5,566,322.57	$133,022.14
Internet Handle	$0.00	$0.00	$9,131,234.45	$20,075,820.15	$6,063,976.18	$86,907,442.88	$2,333,226.10
Internet Payouts	$0.00	$0.00	$8,704,427.40	$18,538,643.19	$5,637,258.55	$81,341,120.31	$2,200,203.96
State Tax	$53,306.69	$96,761.87	$47,290.53	$126,123.67	$52,851.19	$455,775.56	$32,467.22

	Rhythm City Casino	Riverside Casino and Golf Resort	Wild Rose - Clinton	Wild Rose - Emmetsburg	Wild Rose - Jefferson		TOTALS
Sports Wagering Net Receipts	$713,763.60	$1,400,167.95	$101,785.15	$60,387.18	$48,680.26		$22,518,482.30
Sports Wagering Handle	$8,628,359.81	$21,653,124.85	$714,292.62	$611,962.79	$954,950.48		$270,252,808.54
Sports Wagering Payouts	$7,914,596.21	$20,252,956.90	$612,507.47	$551,575.61	$906,270.22		$247,734,326.27
Retail Net Receipts	$381,967.56	$365,323.86	$101,785.15	$60,387.18	$48,680.26		$12,664,719.19
Retail Handle	$4,183,327.65	$3,500,845.48	$714,292.62	$611,962.79	$954,950.48		$117,886,132.61
Retail Payouts	$3,801,360.09	$3,135,521.62	$612,507.47	$551,575.61	$906,270.22		$105,221,416.42
Internet Net Receipts	$331,796.04	$1,034,844.09	$0.00	$0.00	$0.00		$9,853,766.08
Internet Handle	$4,445,032.16	$18,152,279.37	$0.00	$0.00	$0.00		$152,366,675.93
Internet Payouts	$4,113,236.12	$17,117,435.28	$0.00	$0.00	$0.00		$142,512,909.85
State Tax	$48,178.75	$94,511.32	$6,870.50	$4,076.14	$4,012.03		$1,527,418.99

[1]Sports wagers were not accepted during the reported period

*Sports wagering operations were first authorized on August 15, 2019. Not all facilities commenced sports wagering operations on the same day.

IOWA DEPARTMENT OF INSPECTIONS & APPEALS

Questions?

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Thank you for your time!