

IOWA DEPARTMENT OF

INSPECTIONS & APPEALS

Steven K. Young, Director

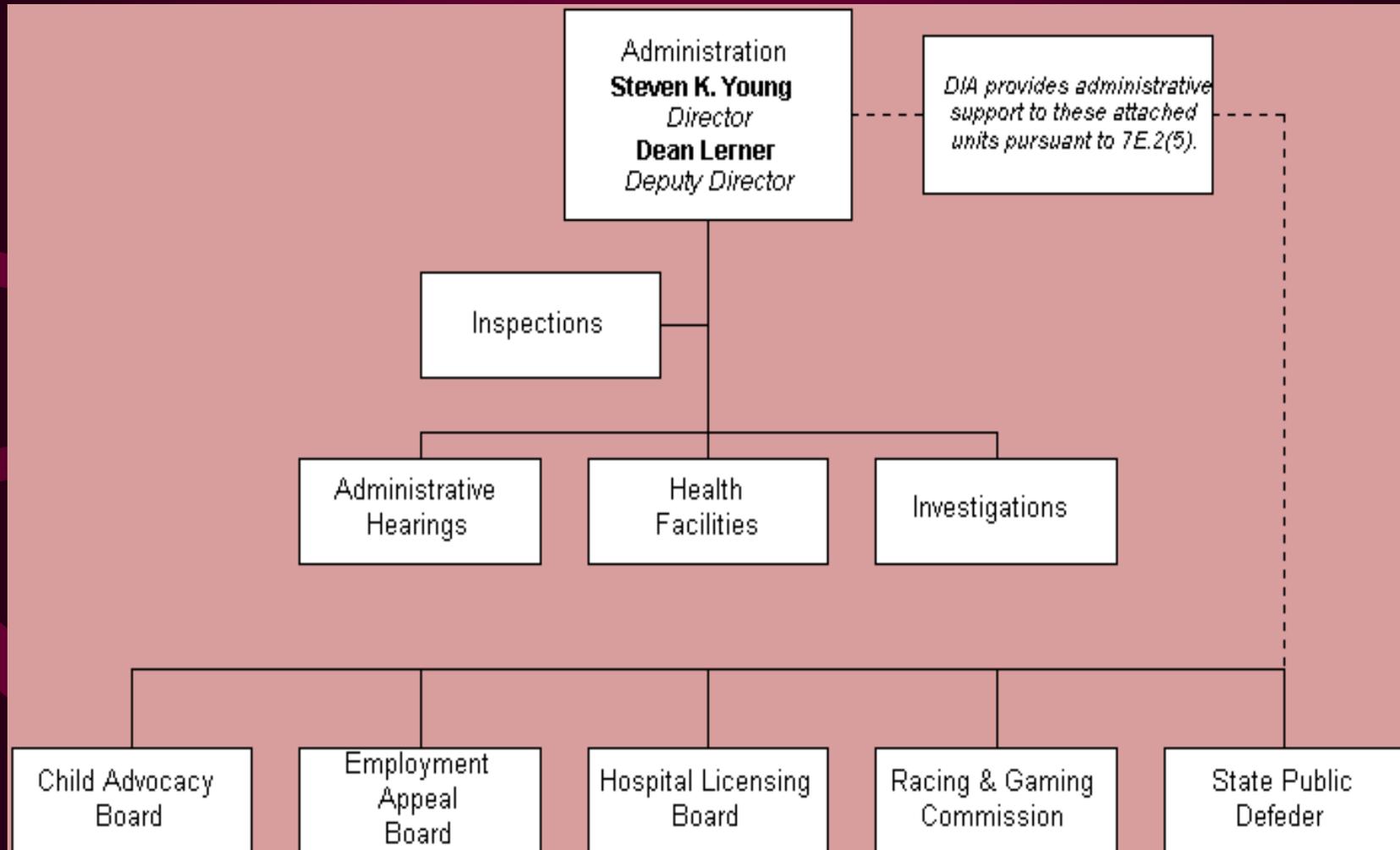
*Administration and Regulation Joint
Appropriations Subcommittee Presentation*

January 29, 2003

Mission Statement

“The Department of Inspections and Appeals will administer and enforce state and federal laws to provide for the protection of the public interests and ensure program integrity in programs and services administered by the executive branch.”

Organizational Structure



Operational Units

Administration

- Sets policy for department, coordinates DIA's programs and services
- Responsibilities include
 - strategic planning
 - administrative rules coordination
 - program review
 - analytical, special project support
 - policy development
 - human resources, personnel functions
 - legislative & congressional liaison

Administration cont'd.

- Responsibilities (continued)
 - public information activities
 - provide administrative and fiscal services to the attached entities
- Division also includes five bureaus, units
 - Administrative Services Bureau
 - Fiscal Services Bureau
 - Food & Consumer Safety Bureau
 - Social & Charitable Gambling Unit
 - Targeted Small Business Certification Unit

Administration cont'd.

Administrative Services Bureau

- Provides essential department-wide support services
 - facilities & fleet management
 - centralized mail & purchasing functions
 - travel coordination

Administration cont'd.

Fiscal Services Bureau

- Provides department-wide accounting, budgeting and financial services
 - processes all expense claims and travel vouchers for department employees and programs
 - processes Indigent Defense Fund claims on behalf of State Public Defender's Office
 - coordinates and monitors all grant resources for DIA programs

Administration cont'd.

Food and Consumer Safety Bureau

- Provides food and sanitation safety for the general public in order to reduce the incidence of serious disease and injury
 - conducted nearly 6,600 inspections and issued more than 2,900 food establishment licenses during FY 02 in the 19 counties under state jurisdiction
 - coordinated restaurant, grocery store, egg handlers, processing plant, barber and beauty shops, and hotel and motel inspections statewide
 - administered contracts with 30 local health departments to conduct food safety inspections

Administration cont'd.

Social & Charitable Gambling Unit

- Enforces the provisions of Iowa Code chapter 99B to reduce the incidence of fraudulent and illegal social and charitable gaming in Iowa.
 - Issued 3,186 licenses to qualified organizations during FY 02, allowing charitable organizations to conduct bingo, raffles, and games of skill or chance

Administration cont'd.

Targeted Small Business Certification Unit

- Protects the public while maintaining and enforcing standards to certify bona fide companies as Iowa targeted small businesses owned and operated by women, minorities, persons with disabilities
 - certified nearly 177 companies in FY 02 as new targeted small businesses
 - recertified more than 330 companies in FY 02 as targeted small businesses

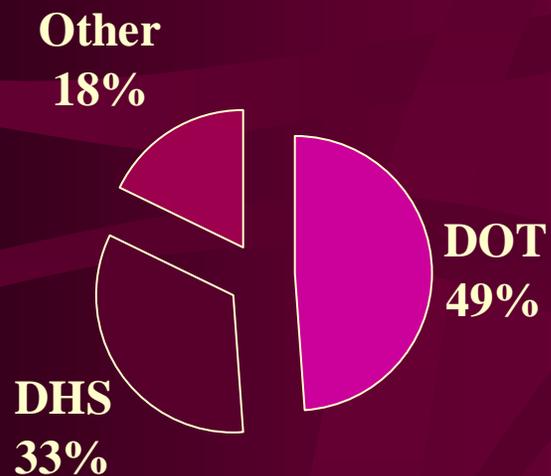
Administrative Hearings

- Conduct hearings for 48 agencies, boards and commissions
- Division's administrative law judges conducted 9,781 contested case hearings in FY 02
 - nearly 50 percent of the cases involved Iowans seeking to restore their driving privileges
 - approximately one-third of the cases involved Iowans in dispute with the Department of Human Services (DHS) regarding entitlements to public assistance programs
 - remaining cases divided among all executive branch agencies

Administrative Hearings cont'd.

Contested Cases Handled by ALJs

- DOT cases
- DHS cases
- Other agency appeals



Health Facilities

- Inspects, licenses and/or certifies more than 4,200 health care providers and suppliers in Iowa, including:
 - 419 nursing facilities
 - 126 intermediate care facilities for the mentally retarded
 - 135 residential care facilities
 - 93 residential care facilities for the mentally retarded or persons with mental illness
 - 123 hospitals
 - 29 psychiatric institutions for children

Health Facilities cont'd.

- Assisted Living Regulatory Oversight
 - 28E Agreement with Elder Affairs beginning late April, 2002
 - 160+ currently certified programs
 - Governor's DEA Task Force unanimously recommended regulatory oversight of assisted living programs and adult day care be statutorily transferred from DEA to DIA

Investigations

- Investigates allegations of fraud in Iowa's public assistance programs
 - Family Investment Program (FIP)
 - Medical Assistance Program
 - Food Stamp Program
 - Child Care Assistance Program

Investigations cont'd.

- DIA personnel also investigate
 - allegations of abuse or neglect of residents in long-term care facilities receiving Medicaid reimbursements
 - illegal divestiture of assets to qualify individuals for Medicaid
 - professional practice matters on behalf of the state's licensing boards
- Auditing Bureau
 - Responsible for enforcing financial accountability in nursing facilities receiving Medicaid reimbursements

Attached Entities

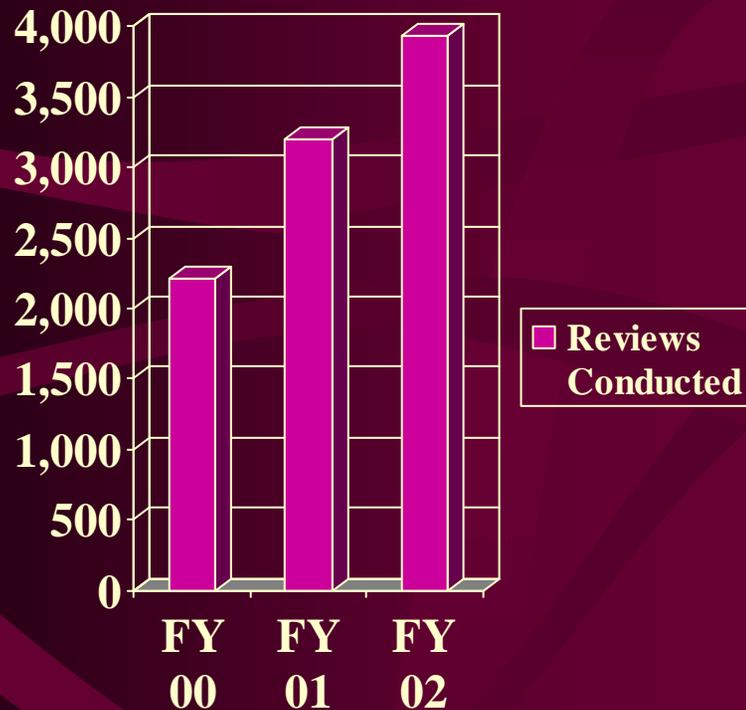
Attached Entities

Pursuant to Iowa Code Chapter 7E.2(5), five units are attached to the department for administrative purposes such as the payment of claims and personnel functions. Each attached unit is headed by or comprised of individuals appointed by the Governor and each entity has its own Code-specified mission and responsibility. The attached units include the Child Advocacy Board, the Employment Appeal Board, the Hospital Licensing Board, the Iowa Racing and Gaming Commission, and the State Public Defender's Office.

Child Advocacy Board

- The Child Advocacy Board oversees the state's local foster care review boards and the Court Appointed Special Advocate (CASA) program
 - The local foster care review boards help ensure that Iowa's foster care system is working to place each foster child in a permanent home
 - The CASA program is designed to protect the rights of Iowa's foster children in all court-related activities

Child Advocacy Board cont'd.



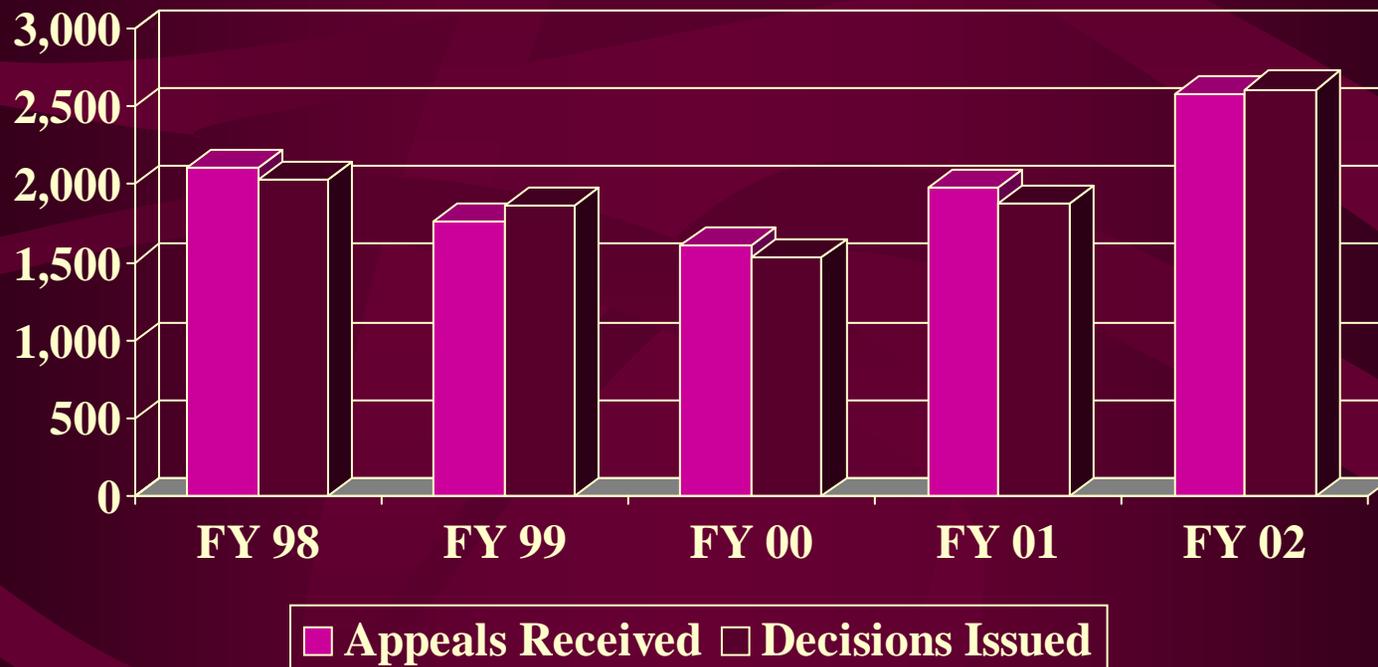
- During FY 02, 366 volunteers conducted more than 3,900 reviews in 53 Iowa counties
- The number of counties covered by local foster care review boards has increased from 30 in FY 00 to 53 in FY 02

Employment Appeal Board

- The EAB is composed of three members appointed by the Governor who represent labor, management and the general public's interest in cases where Iowans have been denied unemployment insurance benefits
- The Board provides top-level administrative review of decisions rendered by other agencies and departments, including Iowa Occupational Safety and Health Administration (IOSHA) violations, Iowa Department of Personnel (IDOP) decisions, State Peace Officer and Capital (SPOC) disciplinary action, and contractor registration cases.

Employment Appeal Board cont'd.

Unemployment Insurance Appeals



Hospital Licensing Board

The Hospital Licensing Board, a five-member board appointed by the Governor, consults with and advises the department on matters affecting hospital administration. The Board reviews and approves rules and standards authorized by law prior to approval by DIA.

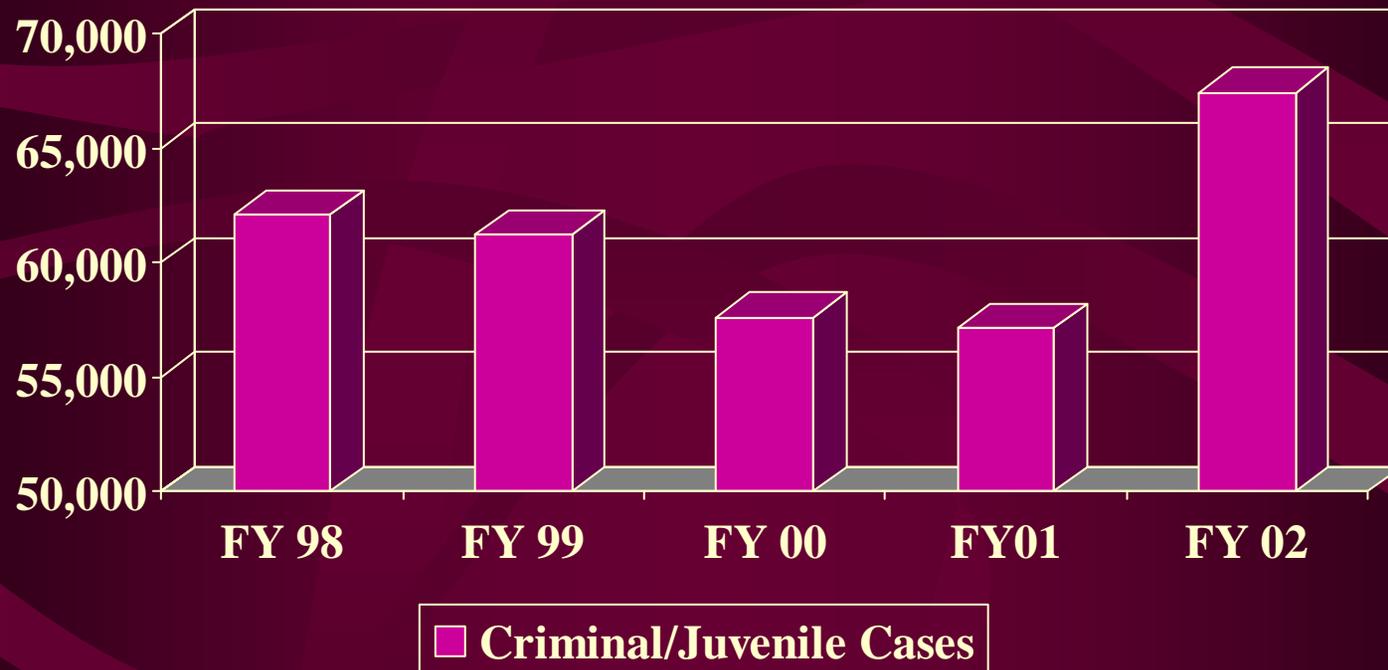
State Public Defender

The State Public Defender's Office coordinates the provision of legal services to indigent persons at trial and appellate levels in criminal, juvenile and certain civil cases

Funding for the State Public Defender comes through the Justice System Appropriations Subcommittee

State Public Defender cont'd.

Summary of Cases Handled



Racing and Gaming Commission

- The Racing and Gaming Commission regulates
 - Pari-mutuel dog and horse racing
 - Riverboat gambling activities
- The Commission also adopts standards for the licensing of racing industry occupations, as well as standards for the operation of all race meeting and facilities and excursion gambling boats