DEPARTMENT OF INSPECTIONS AND APPEALS

Steven K. Young, Director

PRESENTATION BEFORE THE

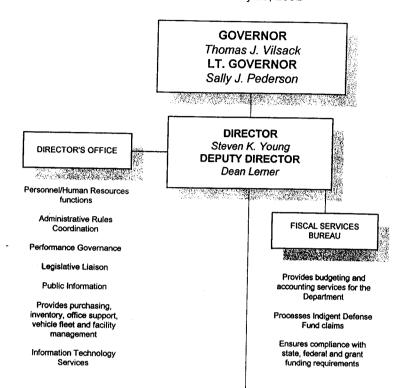
ADMINISTRATION & REGULATION APPROPRIATIONS SUBCOMMITTEE

February 28, 2002

Mission: "To administer and enforce state and federal laws to provide for the protection of the public interests and ensure program integrity in programs and services administered by the executive branch."

Table of Organization - As of July 1, 2001

February 25, 2002



ADMINISTRATIVE HEARINGS DIVISION

Conducts hearings for the following agencies: Agriculture and Land Stewardship Commerce Education Elder Affairs General Services **Human Rights Human Services** Inspections and Appeals Natural Resources Personnel Public Health **Public Safety** Transportation Workforce Development

Conducts hearings for professional licensing boards, and for the Alcoholic Beverages Division of the Department of Commerce

Conducts hearings for other state agencies upon request

AUDITS DIVISION

Conducts audits of health care facilities

Conducts audits of state medical assistance programs

Conducts audits of state supplemental assistance programs

Conducts audits of Department of Human Services field offices

Certifies businesses for participation in the Targeted Small Business program

HEALTH FACILITIES DIVISION

(2.7)

Inspects and certifies nursing facilities and various intermediate care facilities for participation in the Medicaid Program

Inspects and certifies health care providers, such as skilled nursing facilities, home health agencies and hospitals, for participation in the Medicare Program

Inspects and licenses hospitals, long-term care facilities and hospices

Maintains the statewide Nurse Aide Registry

Inspects private institutions caring for dependent or neglected children, as well as PMIC facilities

Inspects and reviews private adoption investigators and child placement agencies

Reviews and approves construction/renovation plans for long-term care facilities

INSPECTIONS DIVISION

Inspects and licenses restaurants, grocery stores, and food processing plants

Inspects and licenses food and beverage machines

Inspects and licenses hotel and motels

Inspects barber and cosmetology shops

Inspects USDA egg handlers and federal wholesale food establishments

Licenses and regulates bingo, raffles, and games of skill or chance

INVESTIGATIONS DIVISION

The state of

Investigates Medicaid fraud by providers

Investigates welfare fraud

Reviews error-prone applications for Food Stamps, FIP, and Medicaid

Collects overpayments made to individuals from the state's public assistance programs

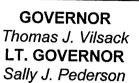
Conducts investigations of the practices of hospitals, hospices, and health care providers

Investigates allegations of abuse or neglect of residents in health care facilities receiving Medicaid reimbursements

Conducts special Executive Branch investigations upon request

Detects and recovers divested assets from individuals who have received Medicaid funds

Table of Organization - Attached Units February 25, 2002



DIRECTOR Steven K. Young DEPUTY DIRECTOR Dean Lerner

Department provides administrative support to these attached units pursuant to Iowa Code Chapter 7E.2(5)

Employment Appeal Board

Machine Co.

Conducts higher level appeal hearings for Job Service Division of the Department of Workforce Development

Conducts appeal hearings for the Labor Services Division of the Workforce Development Department, the Department of Personnel, and the Department of Public Safety Hospital Licensing Board

Advises the Department on matters and proposed rules affecting the licensure and regulation of lowa hospitals Citizen Foster Care Review Board

Reviews foster care placements to ensure permanency planning occurs

Maintains statewide Foster Care Registry

Prepares reports that identify barriers to the delivery of quality foster care services Racing and Gaming Commission

Coordinates the supervision and regulation of pari-mutuel wagering

AND SHEET

Coordinates the supervision and regulation of excursion boat gambling and gambling places at pari-mutuel race tracks State Public Defender

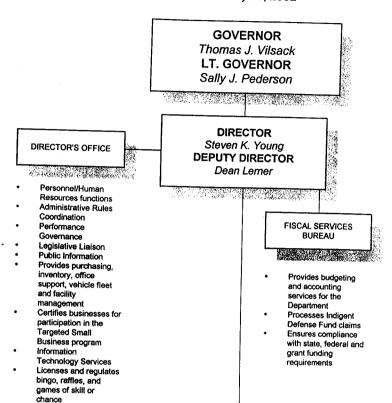
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Represents indigent clients in criminal, juvenile and related cases where the program is in effect

Administers the State's Indigent Defense Fund

Table of Organization - As of July 1, 2002

February 25, 2002



ADMINISTRATIVE HEARINGS DIVISION

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Commerce Education **Elder Affairs** General Services **Human Rights Human Services** Inspections and Appeals Natural Resources Personnel Public Health Public Safety Transportation Workforce Development Conducts hearings for professional licensing boards, and for the Alcoholic

Beverages Division of the Department of Commerce Conducts hearings for other state agencies upon request CHILD CARE DIVISION

Inspection, investigations, and other child care licensing and registration activities under Chapter 237A Inspections, investigations,

and other licensing, certification, registration, and accreditation activities for the care of dependent, neglected, or delinquent children under section 232.142 and Chapters 135H, 237, and 238

Record checks and evaluation of the record checks relating to facility and program applicants, licensees, or employees of the facilities and programs subject to regulation by the division.

HEALTH FACILITIES

Inspects and certifies nursing facilities and various intermediate care facilities for participation in the Medicaid Program

Inspects and certifies health care providers, such as skilled nursing facilities, home health agencies and hospitals, for participation in the Medicare Program

Inspects and licenses hospitals, hospices, birth centers, long-term care facilities, providers of mental health service providers, community living programs, and case management services for persons with mental retardation, a developmental disability, or chronic mental illness under the medical assistance

program

Maintains the statewide Nurse Aide Registry

Inspects private institutions caring for dependent or neglected children, as well as PMIC facilities

Inspects and reviews private adoption investigators and child placement agencies

Reviews and approves construction/renovation plans for long-term care facilities

INSPECTIONS DIVISION

Inspects and licenses restaurants, grocery stores, and food processing plants Inspects and licenses food

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INVESTIGATIONS DIVISION

Investigates Medicaid fraud by providers

Investigates welfare fraud Reviews error-prone

applications for Food Stamps, FIP, and Medicaid

Collects overpayments made to individuals from the state's public assistance programs

Conducts investigations of the practices of hospitals hospices, and health care Investigates allegations of

abuse or neglect of residents in health care facilities receiving Medicaid reimbursements

Conducts special Executive Branch investigations upon request

Detects and recovers divested assets from individuals who have received Medicaid funds

Conducts audits of health care facilities

Conducts audits of state medical assistance programs

Conducts audits of state supplemental assistance

programs Conducts audits of Department of Human Services field offices

Evaluation of record checks section 135C.33

Table of Organization - Attached Units February 25, 2002

GOVERNOR Thomas J. Vilsack LT. GOVERNOR Sally J. Pederson

DIRECTOR Steven K. Young DEPUTY DIRECTOR Dean Lerner

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DEPARTMENT OF INSPECTIONS AND APPEALS PROPOSED REORGANIZATION (Including a shift of funding from DHS of \$674,218 in General Fund Dollars and 19.00 FTE in FY03)

	Dept. Budget - FY02 (After the 4.3% Cut)			Governor's Recom FY03			FY02 -	FY03 Compariso	on	
Appropriation	GF Approp.	Other Revenue	FTE	GF Approp.	Other Revenue	FTE	GF Approp.	Other Revenue	FTE	Comments
Foster Care Review Board	\$801,499	\$687,929	19.00	\$794,489	\$677,284	19.00	(\$7,010)	(\$10,645)	0.00	Carries forward the 4.3% ATB, plus enterprise-wide efficiencie cut.
Employment Appeal Board	\$35,534	\$856,178	15.00	\$35,223	\$856,925	15.00	(\$311)	\$747	0.00	Carries forward the 4.3% ATB, plus enterprise-wide efficiencies cut.
Child Advocacy Division	\$0	\$0	0.00	\$628,283	\$998,138	19.00	\$628,283	\$998,138	19.00	Internal transfer of child facilities inspections from Health Facilities. Div. Shift of child care licensing and registration, and child facilities licensing and certification functions from DHS.
Administration Division	\$ 578,489	\$756,150	21.00	\$793,908	\$722,817	24.00	\$215,419	(\$33,333)	3.00	Carries forward the 4.3% ATB. Internal shift of Targeted Small Business and Social and Charitable gambling administration to Administration Div., plus enterprise-wide efficiency cut.
Audits Division	\$459,903	\$141,800	10.00	\$0	\$0	0.00	(\$459,903)	(\$141,800)	(10.00)	Division is eliminated in FY03 to meet the department-wide 4.3' reduction. DHS Audits function is transferred to Investigations Division and TSB function is transferred to Administration Division. 3.00 FTE were eliminated in FY02 including Division Administrator.
Administrative Hearings	\$492,537	\$1,662,007	30.00	\$510,166	\$1,637,535	30.00	\$17,629	(\$24,472)	0.00	Carries forward the 4.3% ATB, plus enterprise-wide efficiencies reduction.
Investigations Division	\$1,048,860	\$1,656,226	40.00	\$1,453,443	\$1,709,916	47.00	\$404,583	\$53,690	7.00	Addition of 135C record check evaluations from DHS (2.00 FTE). Carries forward the department-wide 4.3% ATB which eliminated 1.00 FTE in FY02, plus enterprise-wide efficiencies reduction.
Health Facilities Division	\$2,367,246	\$7,029,930	108.00	\$2,386,778	\$7,166,253	106.00	\$19,532	\$136,323	(2.00)	internal transfer of child facilities inspections to Child Advocacy Div. Also transfers MH/DD accreditation functions from DHS, plus enterprise-wide efficiencies reduction.
Inspections Division	\$971,945	\$4 7,655	17.00	\$768,849	\$41, 701	13.00	(\$203,096)	(\$5,954)	(4.00)	Internal shift of Social and Charitable gambling to Administration Division. Carries forward the department-wide 4.3% ATB; 2.00 FTE including Division Administrator were in eliminated in Fy02 in order to meet the 4.3% reduction, plus applied the enterpris wide efficiencies reduction.
Sub-Total	\$6,756,013	\$12,837,875	260.00	\$7,371,139	\$13,810,569	273.00	\$615,126	\$972,694	13.00	
, Noti	E: FY03 increase for Di	A Operations is re	duced by	LS9 092 for enterm	ise afficiency red	luctions.	· · · · · · · · · · · · · · · · · · ·			,
				hanings in angely	iee armiency 180	WARDING.				
Racing & Gaming Commission Pari-Mutuel Regulation	\$2,114,683	€ 2 220	24 70	£0 114 600	#40	04.70	•	(80.040)	0.00	louis tour tour tour
xcursion Boat Regulation	\$1,630,134	\$2,228 \$218	24.78 30.97	\$2,114,683 \$1,630,134	\$10 \$10	24.78 30.97	\$0 \$0	(\$2,218) (\$208)	0.00	Carries forward the 4.3% ATB Carries forward the 4.3% ATB
Total Racing and Gaming	\$3,744,817	\$0.446	EE 70	£2.744.047	#00					
Grand Total		\$2,446 \$12,840,321	55.75 315.75	\$3,744,817 \$11,115,956	\$20 \$13,810,589	55.75 328.75	\$0 \$615,126	(\$2,426) \$070.268	0.00	
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