LEGISLATIVE SERVICES AGENCY
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ANALYSIS OF THE GOVERNOR'S BUDGET RECOMMENDATIONS

FY 2022 and FY 2023

HEALTH AND HUMAN SERVICES APPROPRIATIONS SUBCOMMITTEE

JANUARY 2021

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Health and Human Services Subcommittee Members

Analysis of the Governor's Budget Recommendations

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Steven Bradley

Timi Brown-Powers

Molly Donahue

Monica Kurth

Shannon Lundgren

Ann Meyer

LEGISLATIVE SERVICES AGENCY

Fiscal Services Division

Jess Benson (515.281.4611)
jess.benson@legis.iowa.gov

Chris Ubben (515.725.0134)
chris.ubben@legis.iowa.gov

Legal Services Division

Patty Funaro (515.281.3040)
patty.funaro@legis.iowa.gov

Dan Gough (515.725.0479)
dan.gough@legis.iowa.gov

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Fiscal Year 2021 Through FY 2023 Governor's Recommendations

The Governor did not recommend any changes for Medicaid in FY 2021, and there is a surplus carryforward of $228.4 million estimated by the Medicaid Forecasting Group after including the capitation rate increase of $6.2 million recently agreed to by the Department of Human Services (DHS) and the managed care organizations (MCOs). The Governor is recommending a General Fund increase of $21.9 million for FY 2022. The recommendation includes several provider rate increases, including: $10.0 million for nursing facility rebasing, $8.0 million for Home and Community-Based Services (HCBS) program increases, and $3.9 million for Psychiatric Medical Institution for Children (PMIC) provider rate increases. The Governor is recommending an additional $10.0 million for nursing facility rebasing in FY 2023. A detailed list of the Governor's FY 2022 and FY 2023 recommendations is illustrated in ***Figure 1*** *below.*

Figure 1
Medicaid Funding
Governor's Recommended Changes FY 2022 and FY 2023

Revenue Changes	**FY 2021**	**FY 2022**	**FY 2023**
General Fund Appropriation Change	$ 0	$ 21,900,000	$ 10,000,000
Total Revenue Changes	$ 0	$ 21,900,000	$ 10,000,000
Expenditure Changes			
Nursing Facility Rebase	$ 0	$ 10,000,000	$ 10,000,000
HCBS Provider Rate Increase	0	8,000,000	0
PMIC Provider Rate Increase	0	3,900,000	0
Total Expenditure Changes	$ 0	$ 21,900,000	$ 10,000,000
Grand Total	$ 0	$ 0	$ 0
Forecasting Group Estimated Surplus/-Shortfall*	$ 228,409,507	$ 60,561,604	$ -40,748,837
Estimated Surplus/-Shortfall After Governor's Changes	$ 228,409,507	$ 60,561,604	$ -40,748,837

* Forecasting Group estimates do not include increases in capitation rates for the managed care organizations for FY 2022 or FY 2023.

Medicaid Forecast FY 2021 and FY 2022

Figure 2 below shows actual and estimated revenues and expenditures for Actual FY 2020 through Estimated FY 2022. The Medicaid Forecasting Group, consisting of staff members from the DHS, the Department of Management (DOM), and the Fiscal Services Division of the Legislative Services Agency (LSA), met on December 18, 2020, to discuss estimated Medical Assistance (Medicaid) expenditures for FY 2021 and FY 2022. The Forecasting Group meets periodically to discuss revenues and expenditures and agree on estimates for the current and upcoming fiscal years.

Figure 2

Medicaid Forecast Balance Sheet

	Actual FY 2020	Estimated FY 2021	Estimated FY 2022
Medicaid Funding			
Carryforward from Previous Year	$ 87,888,114	$ 185,769,260	$ 228,409,507
Palo Replacement Generation Tax	1,204,161	1,397,043	615,923
Health Care Trust Fund	211,757,745	200,436,069	203,140,000
Nursing Facility Quality Assurance Fund	53,353,966	56,305,139	56,305,139
Hospital Trust Fund	33,920,554	33,920,554	33,920,554
Medicaid Fraud Fund	211,278	150,000	150,000
Transfer Decategorization Reversion	907,404	0	0
Total Non-General Fund Sources	$ 389,243,222	$ 477,978,065	$ 522,541,123
General Fund Appropriation	1,427,381,675	1,459,599,409	1,459,599,409
General Fund Supplemental	88,982,734	0	0
Total General Fund Sources	$ 1,516,364,409	$ 1,459,599,409	$ 1,459,599,409
Total Medicaid Funding	**$ 1,905,607,631**	**$ 1,937,577,474**	**$ 1,982,140,532**
Estimated State Medicaid Need	$ 1,781,756,972	$ 1,997,869,425	$ 1,926,531,009
FMAP Changes	-53,120,556	-32,412,311	-19,455,091
6.2% FMAP Adjustment — COVID-19	-134,650,000	-288,130,758	0
Health and Wellness Program FMAP Phase-In	16,900,000	0	0
Adjustment Due to Gov. Line Item Veto	-195,000	0	0
MCO Capitation Increase	67,834,927	6,228,317	14,503,010
Health Insurer Fee Payment	0	25,613,294	0
FY 2020 Appropriations Act Changes	27,926,781	0	0
Nursing Facility Rebase	13,385,247	0	0
Total Estimated Medicaid Need	$ 1,719,838,371	$ 1,709,167,967	$ 1,921,578,928
Balance (Underfunded If Negative)	**$ 185,769,260**	**$ 228,409,507**	**$ 60,561,604**

MCO – Managed Care Organization
FMAP – Federal Medical Assistance Percentage
CFS – Child and Family Services
MH – Mental Health

Final FY 2020. The Medicaid Program ended FY 2020 with a surplus of $185.8 million, which is a $65.2 million increase from the projected surplus at the end of the 2020 Legislative Session. The increase in the surplus was a result of $14.0 million in increased revenue from the Health Care Trust Fund, $9.0 million due to the DHS being allowed to claim additional COVID-19-related Federal Medical Assistance Percentage (FMAP) on Medicare-related payments, $37.0 million in revenue and expenditure changes that are shifting from FY 2020 to FY 2021, and $5.2 million in other revenue and expenditure changes. The overall driver of the $185.8 million surplus is the 6.2% FMAP increase provided by the federal COVID-19 economic stimulus package, which decreased State Medicaid expenditures by $134.7 million in FY 2020.

Fiscal Year 2021 Estimate. For FY 2021, the Forecasting Group estimates Medicaid will have a surplus of $228.4 million above what was appropriated in HF 2643 (FY 2021 Omnibus Appropriations Act). The surplus is due to the 6.2% FMAP increase, which is currently scheduled to expire June 30, 2021. The FMAP increase provided approximately $72.0 million per quarter beginning January 1, 2020. As a

condition of receiving the enhanced FMAP, the State is not allowed to disenroll anyone from Medicaid during the national emergency caused by COVID-19. The estimate also includes an increase of $6.2 million for capitation payments for the two MCOs for the second half of FY 2021.

Fiscal Year 2022 Estimate. For FY 2022, the Forecasting Group estimates Medicaid will have a surplus of $60.6 million, assuming the June 30, 2021, expiration of the enhanced FMAP. The estimate does not include an increase in capitation payments to the MCOs for FY 2022, but annualizes the FY 2021 increase, increasing FY 2022 expenditures by $14.5 million.

MCO Capitation Payments. The COVID-19 pandemic has significantly changed the way that individuals receive health care and limited care in some cases and, as a result, has made interpreting cost data a challenge for setting MCO capitation rates. Discussion between the DHS and the MCOs is ongoing for the FY 2022 rates, but any increases in rates will reduce surplus estimates.

Medicaid Income and Eligibility

Medicaid is funded jointly by State and federal funds to provide health care services to low-income persons who are elderly, blind, disabled, pregnant, under age 21, or members of families with dependent children.

The Iowa Medicaid Program covers individuals at various levels of income as allowed under federal law. To be eligible for Medicaid, an individual must meet income requirements and qualify as a member of an eligible category.

Figure 3
Medicaid Eligibility Income Level by Category

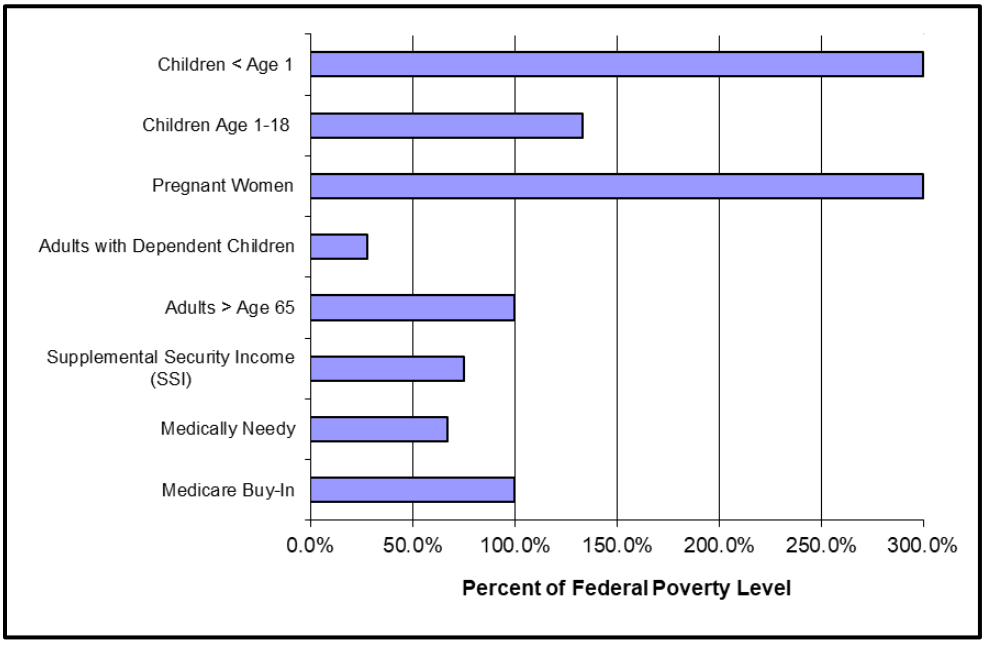
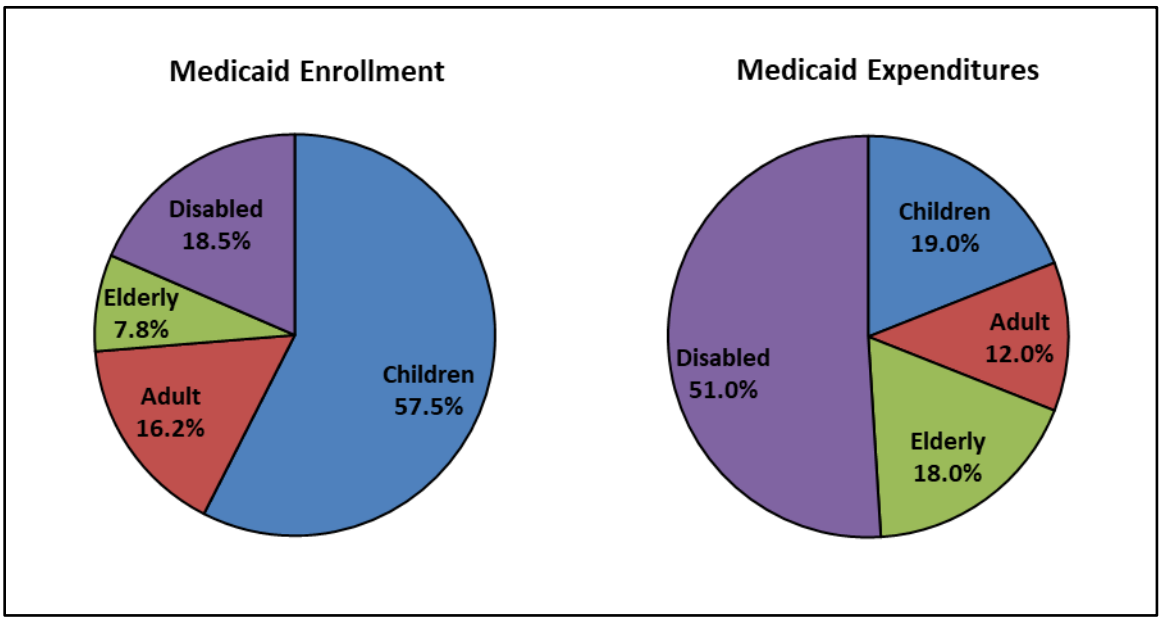


Figure 3 shows the maximum income level for children, pregnant women, adults with dependent children, adults over age 65, recipients of Supplemental Security Income (SSI), the Medically Needy Program, and the Medicare Buy-In Program. The income levels are based on the percentage of the federal poverty level (FPL) calculated annually by the federal government and vary by the size of the household. The FPL for a family of four was $26,200 for 2020. The 2021 amount will be released at the end of January 2021.

Figure 4 shows a comparison of enrollment and expenditures by eligibility category. In FY 2020, an average of 427,797 Iowans were enrolled in Medicaid. Of the total, 57.5% were children, 16.2% were

adults with dependent children, 18.5% were disabled, and 7.8% were elderly. Medicaid expenditures for FY 2020 totaled $5.725 billion. Of the total expenditures, 19.0% was for children, 12.0% was for adults with dependent children, 51.0% was for the disabled, and 18.0% was for the elderly. While children account for 57.5% of the enrollment, they consume only 19.0% of Medicaid expenditures. Elderly and disabled individuals account for 26.3% of enrollment and utilize 69.0% of expenditures.

Figure 4
FY 2020 Medicaid Enrollment vs. Expenditures



Medicaid Enrollment. **Figure 5** shows Medicaid and Iowa Health and Wellness Plan (IHAWP) enrollment changes by month. Enrollment tends to fluctuate on a monthly basis, and those fluctuations can be as large as 3,000 individuals in Medicaid and 1,500 in IHAWP in a normal month. Since March 2020, Medicaid and IHAWP have grown on average by 8,742 individuals per month.

Figure 5

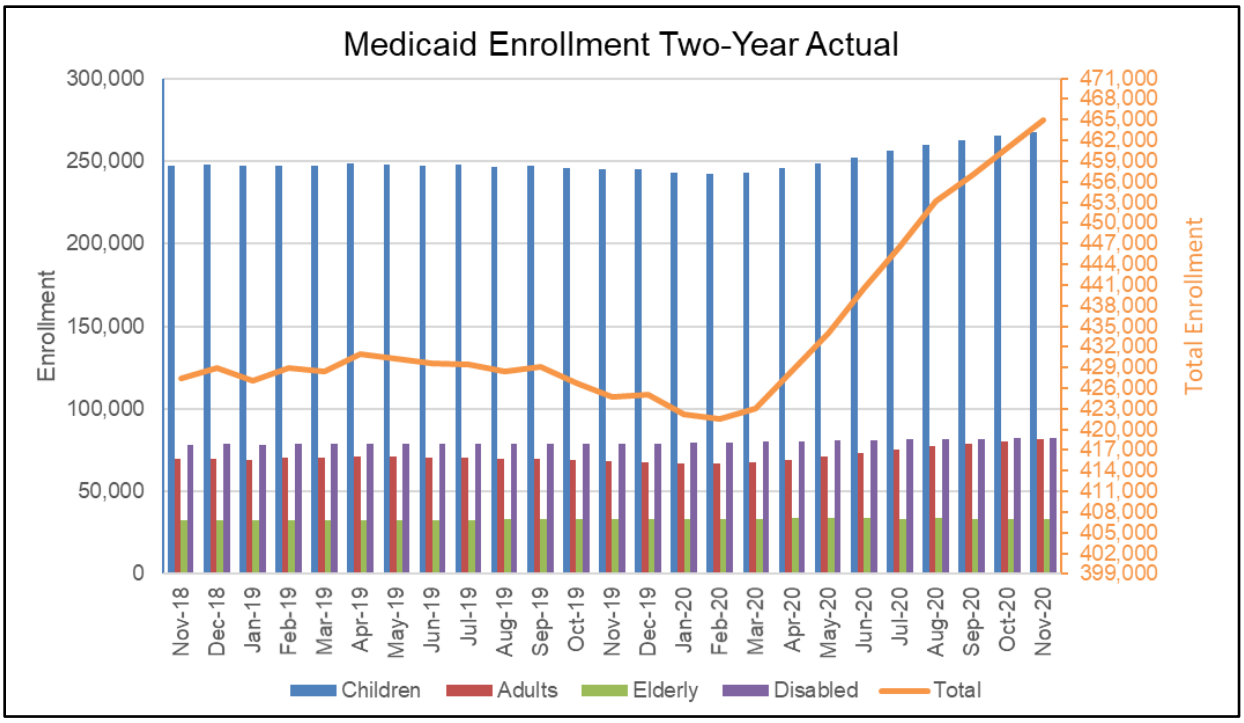
Changes in Medicaid and IHAWP Enrollment — FY 2021

	Regular Medicaid					IHAWP
FY 2021	Children	Adults	Aged	Disabled	Total	Total
July	3,613	2,133	-130	388	6,004	2,255
August	4,058	2,213	58	243	6,572	3,310
September	2,353	1,348	-121	94	3,674	2,640
October	2713	1376	-112	70	4,047	3,078
November	2,495	1,451	-16	116	4,046	3,802
Total FY 2021	15,232	8,521	-321	911	24,343	15,085
Grand Total	267,508	81,899	33,387	82,121	464,915	197,210

Figure 6 shows monthly Medicaid enrollment over the past two years. The Medicaid Program has seen a large spike in enrollment over the past six months as a result of suspending disenrollment. The overwhelming majority of the monthly increases are associated with the maintenance-of-effort requirement for receiving the COVID-19-related 6.2% enhanced FMAP rate. The requirement does not allow the State to disenroll anyone while the federal Public Health Emergency is in effect. The requirement has currently been extended through January 21, 2021, but it is anticipated that there will be

an additional extension of at least three months. It is estimated that enrollment growth of 7,300 per month will continue through the end of the Public Health Emergency.

Figure 6



Revenues and Expenditures

Figure 7 shows actual Medicaid expenditures for FY 2018 through FY 2020 and projected expenditures for FY 2021 and FY 2022. The four largest categories that drive Medicaid costs are hospitals (inpatient and outpatient treatment), nursing homes, pharmaceuticals, and physician costs.

Figure 7
State Medicaid Expenditures — All State Funds
(Dollars in Millions)

	State Expenditures	FMAP Cost	Adjusted State Total
FY 2018 Actual	$ 1,698.5	$ -66.6	$ 1,631.9
FY 2019 Actual	1,775.9	-58.9	1,716.9
FY 2020 Actual	1,907.6	-187.8	1,719.8
FY 2021 Estimated	2,029.7	-320.5	1,709.2
FY 2022 Estimated	1,941.0	-19.5	1,921.6

Note: Estimated expenditures reflect the estimate of the Medicaid forecasting group for FY 2021 and FY 2022. The FY 2020 and FY 2021 FMAP includes the 6.2% enhanced FMAP rate due to COVID-19.

As **Figure 7** illustrates, Medicaid expenditures fluctuated significantly from FY 2018 to estimated FY 2022. One of the driving factors of this fluctuation was the change in the regular FMAP rate, which is the federal/state funding formula for Medicaid and is based on a rolling three-year average of per capita income. Over the five-year time period shown, the FMAP rate has shifted back in the State's favor by

5.76%, saving the State an estimated $230.5 million. In addition to the FMAP change, a number of other factors have contributed to significant changes in Medicaid expenditures, including:

- Beginning April 1, 2016, the majority of the Iowa Medicaid Program was shifted under the management of three MCOs. The move to managed care was estimated to save the State in excess of $100.0 million through the first contract period, which ran through FY 2017. From FY 2018 through FY 2021, there has been $240.2 million in capitation rate increases for the MCOs. MCO capitation rates have not yet been negotiated for FY 2022.
- There has been significant shifting of payments from one fiscal year to another over the past several years due to the State not being able to process payments within the fiscal year. This has led to significant carryforward and expenditures being depressed in one year and inflated in the next year.
- Over the past five years, the General Assembly has enacted a number of rate increases for providers, including increases for nursing facilities, home health care providers, and HCBS waiver providers. The General Assembly has also provided funds to reduce the waiting lists for HCBS and for children's and adult mental health services.
- Due to the maintenance-of-effort requirement that does not allow the State to disenroll anyone while the federal Public Health Emergency is in effect, approximately 110,000 individuals will be enrolled in the Program between March 2020 and June 2021 who would normally have been disenrolled from the Program. This is driving significant increases in expenditures, but those expenditures are being offset by $422.8 million in revenues the State will receive as part of the 6.2% enhanced FMAP rate.

FY 2022 FMAP Rate

The federal Bureau of Economic Analysis released final state personal income per capita data for 2019 on September 24, 2020. This allows states to calculate the final federal fiscal year (FFY) 2022 FMAP rates. The FFY 2022 FMAP rates are based on per capita personal incomes for calendar years 2017 through 2019. These rates are calculated on an FFY basis, but the numbers in this article have been blended to reflect State Fiscal Year (SFY) 2022 unless otherwise noted. Iowa's FFY 2022 FMAP rate increased by 0.39%, but due to the difference between federal and state fiscal years, the federal share of Iowa's SFY 2022 FMAP increased by 0.41% to 62.04% (**Figure 8**). This means for every dollar spent on the Medicaid Program, the federal government pays $0.6204 and Iowa pays $0.3796.

Fiscal Impact. The 0.43% change in the FMAP rate means that the State will be responsible for approximately $19.5 million less in Medicaid expenditures in SFY 2022 compared to SFY 2021.

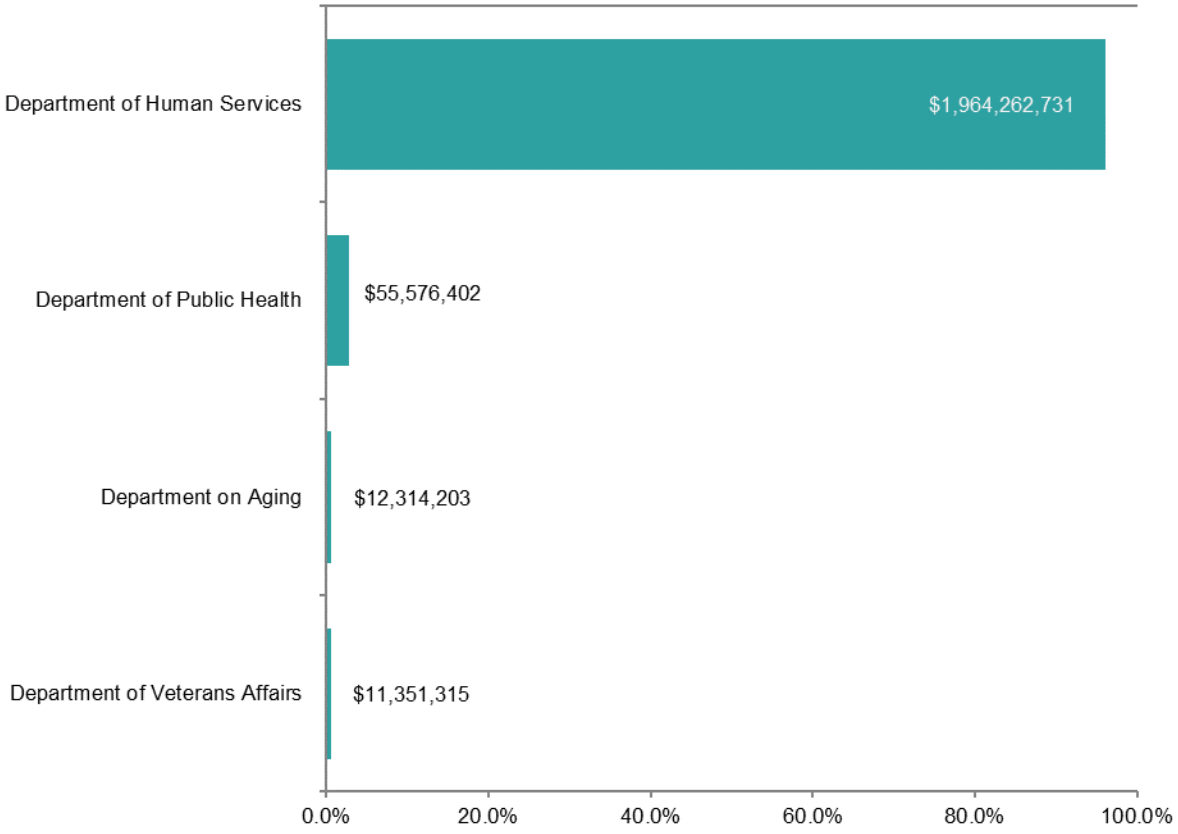
Figure 8

Five-Year State Regular Medicaid FMAP

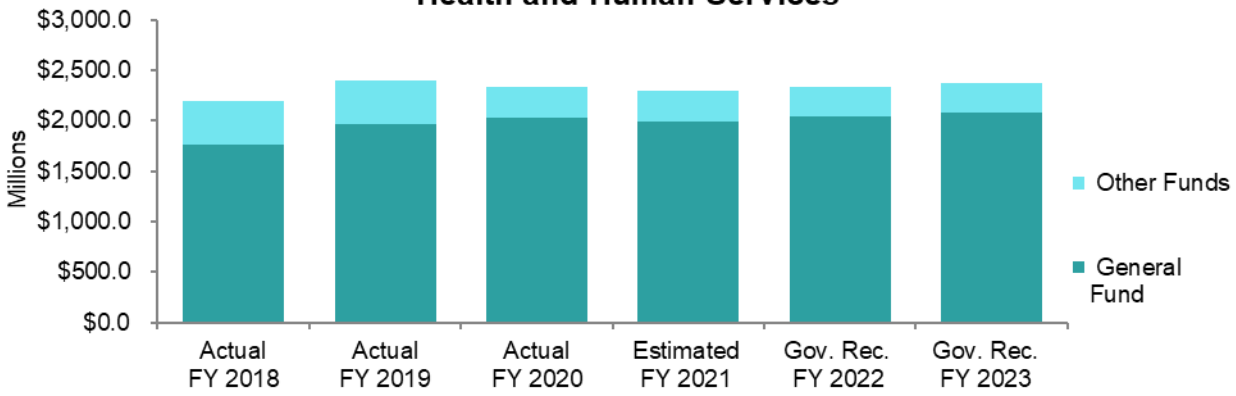
State Fiscal Year	Federal Share	State Share	Federal % Change
FY 2018	58.05%	41.95%	1.77%
FY 2019	59.57%	40.43%	1.52%
FY 2020	60.88%	39.12%	1.31%
FY 2021	61.61%	38.39%	0.73%
FY 2022	62.04%	37.96%	0.43%

LSA Staff Contact: Jess R. Benson (515.281.4611) jess.benson@legis.iowa.gov

FY 2022 General Fund Governor's Recommendations
Total: $2,043,504,651



Funding History by Appropriations Subcommittee — Health and Human Services



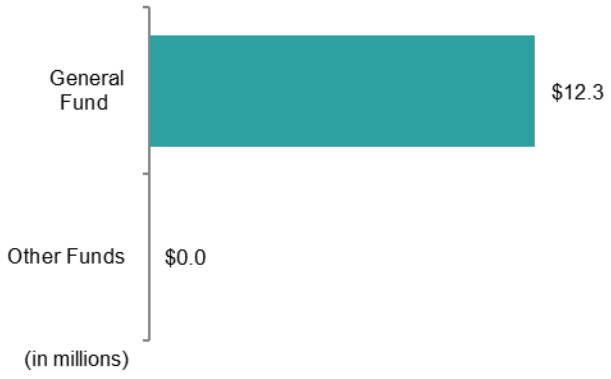
DEPARTMENT ON AGING

Overview and Funding History

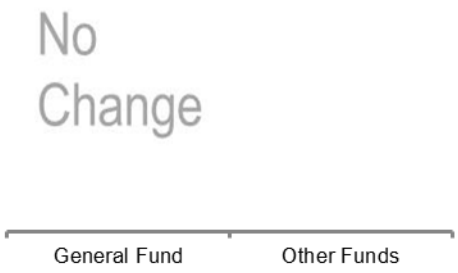
Agency Overview: The Department on Aging is designated as the State Unit on Aging. The Department advocates for Iowans age 60 and older and is responsible for developing a comprehensive and coordinated system of services and activities for older Iowans through the 13 local Area Agencies on Aging across the State.

The Office of the State Long-Term Care Ombudsman is authorized by the federal Older Americans Act and the State Older Iowans Act. The Office operates as an independent entity within the Department on Aging and advocates for residents of nursing facilities and residential care facilities, as well as for tenants of assisted living programs and elder group homes.

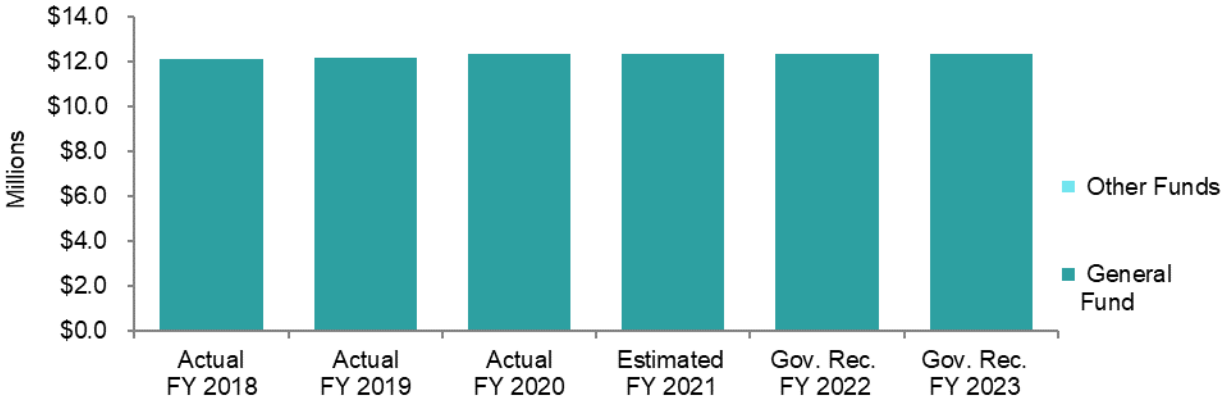
FY 2022 Governor's Recommendations
Total: $12,314,203



Governor's Recommendations Compared to FY 2021



Funding History



General Fund Recommendations

	Actual FY 2020 (1)	Estimated FY 2021 (2)	Gov Rec FY 2022 (3)	Gov Rec FY 22 vs Est FY 21 (4)
Aging, Iowa Department on				
Aging, Dept. on				
Aging Programs	$ 11,164,382	$ 11,164,382	$ 11,164,382	$ 0
Office of LTC Ombudsman	1,149,821	1,149,821	1,149,821	0
Total Aging, Iowa Department on	$ 12,314,203	$ 12,314,203	$ 12,314,203	$ 0

Discussion Items

Office of Public Guardian — The Office of Public Guardian may act as an individual's guardian; conservator; attorney-in-fact under a health care power of attorney document; agent under a financial power of attorney document; personal representative; or representative payee when there is no appropriate or responsible person to serve as an individual's substitute decision-maker. At the beginning of FY 2021, the Office was serving 64 individuals across the State, but the entire FY 2021 allocation ($213,756) has been obligated for the year. There are 78 individuals currently on the waiting list for a guardian. The General Assembly may wish to receive an update on the Office and review funding levels for the Office. The annual report from the Office is available here.

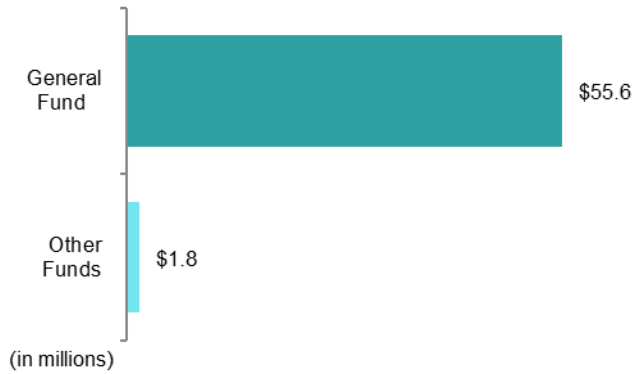
Iowa Return to Community (IRTC) Pilot Project — The Department on Aging received $250,000 in FY 2021 to continue its IRTC pilot project, which provides long-term care support planning to assist non-Medicaid-eligible seniors who want to return to their homes or communities following a nursing facility or hospital stay. The goal of the pilot project is to gather data on potential savings to Medicaid due to the avoidance of long-term care and apply for a Section 1115 Medicaid waiver to draw down federal matching funds for a statewide program. The pilot project served 210 individuals in FY 2020. The Department filed its annual report with the General Assembly on December 14, 2020. The General Assembly may wish to review the report and receive an update on the pilot project from the Department.

DEPARTMENT OF PUBLIC HEALTH

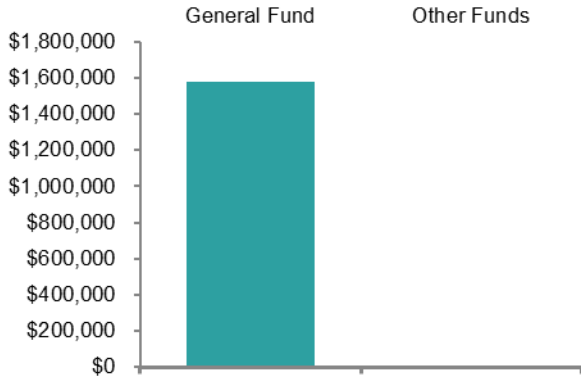
Overview and Funding History

Agency Overview: The Department of Public Health (DPH) works with local public health agencies to ensure quality health services in Iowa communities through contracts with more than 550 entities, in all 99 counties, to provide population-based health services and a limited number of personal health services. The Department provides administrative support to 24 licensure boards, preventing epidemics and the spread of disease, protecting against environmental hazards, preventing injuries and violence, promoting and encouraging healthy behaviors and mental health, preparing for and responding to public health emergencies and assisting communities in recovery, and ensuring the quality and accessibility of health services.

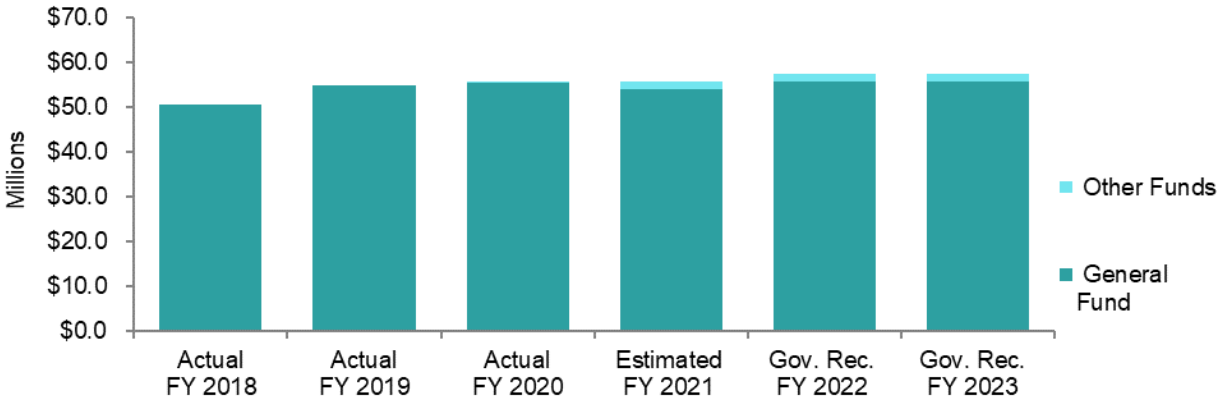
FY 2022 Governor's Recommendations
Total: $57,326,402



Governor's Recommendations Compared to FY 2021



Funding History



General Fund Recommendations

	Actual FY 2020 (1)	Estimated FY 2021 (2)	Gov Rec FY 2022 (3)	Gov Rec FY 22 vs Est FY 21 (4)
Public Health, Department of				
Public Health, Dept. of				
Addictive Disorders	$ 25,109,379	$ 23,659,379	$ 23,659,379	$ 0
Healthy Children and Families	5,816,681	5,816,681	5,816,681	0
Chronic Conditions	4,223,373	4,223,373	4,223,373	0
Community Capacity	5,594,306	5,594,306	6,794,306	1,200,000
Essential Public Health Services	7,662,464	7,662,464	7,662,464	0
Infectious Diseases	1,796,206	1,796,206	1,796,206	0
Public Protection	4,085,220	4,085,220	4,466,601	381,381
Resource Management	933,871	933,871	933,871	0
Congenital & Inherited Disorders Registry	188,428	223,521	223,521	0
Total Public Health, Department of	$ 55,409,928	$ 53,995,021	$ 55,576,402	$ 1,581,381

Governor's Recommendations FY 2022 — Significant Changes

Community Capacity **$1,200,000**

- An increase of $200,000 for the Psychiatric Residency Training Program.
- An increase of $1,000,000 for a Regional Centers of Excellence Pilot Project. Please see the **Discussion Items** section for more details.

Public Protection **$381,381**

An increase of $381,381 for 3.00 additional full-time equivalent (FTE) positions for the State Medical Examiner's Office.

Other Funds Recommendations

	Actual FY 2020 (1)	Estimated FY 2021 (2)	Gov Rec FY 2022 (3)	Gov Rec FY 22 vs Est FY 21 (4)
Public Health, Department of				
Public Health, Dept. of				
Gambling Treatment Program - SWRF	$ 300,000	$ 1,750,000	$ 1,750,000	$ 0
Total Public Health, Department of	$ 300,000	$ 1,750,000	$ 1,750,000	$ 0

Discussion Items

Mental Health Residency *— The Governor is recommending a $200,000 expansion for the Psychiatric Residency Program. This would increase the Program's funding to $600,000 and allow for additional residency opportunities in mental health.*

Regional Centers of Excellence Pilot Project *— The Governor is recommending $1,000,000 from the General Fund for a matching grant program that would encourage innovation and collaboration among regional health care providers to improve care delivery. This recommendation is part of the Governor's Supporting Strong and Healthy Families program initiative. The pilot project would fund two $500,000 grant proposals to demonstrate regional collaboration in assessing targeted medical needs of local residents. The project would facilitate collaboration between rural hospitals and health systems to leverage resources and develop a business model for long-term sustainability.*

Professional Licensing Reform *— The Governor is recommending additional policy changes that would impact professional licensing boards. This recommendation continues an initiative that began in FY 2020 is part of the Governor's Preparing a Future Ready Iowa program initiative.*

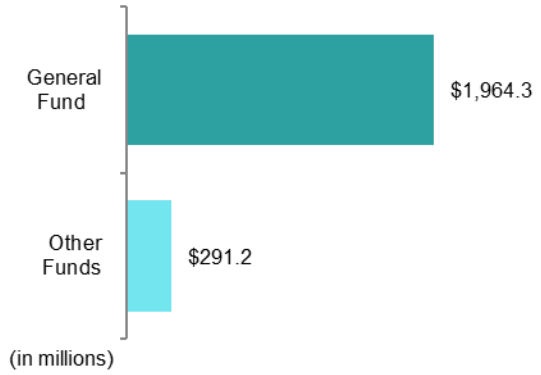
COVID-19 Vaccination — The DPH released a draft of its Iowa COVID-19 Vaccination Strategy on December 4, 2020. As of January 12, 2021, Iowa has been allocated approximately 226,000 doses. The vaccine must be taken in two doses with several weeks between each dose. The amount of vaccine allotted for the State of Iowa was determined by the federal government, and the Centers for Disease Control and Prevention (CDC) has instructed the DPH to administer all doses to individuals as they are received, with the expectation that additional doses will be available for second doses. The CDC will provide the DPH with weekly updates on the number of doses of the vaccine that will be available. The DPH will then work with the local boards of health to distribute the vaccine in accordance with its vaccine strategy. The DPH has stated that residents and staff of long-term care facilities will receive the vaccine first, followed by health care workers who work with those infected or exposed to COVID-19. The vaccine will be administered to the public through a public-private partnership with local boards of health, medical providers, and Iowa pharmacies, and Iowans will be given the opportunity to receive the vaccine at no direct cost to them.

DEPARTMENT OF HUMAN SERVICES

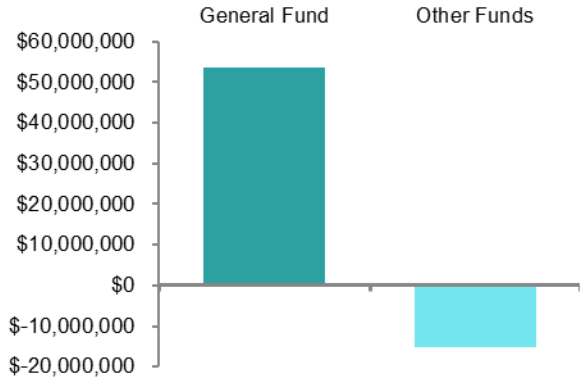
Overview and Funding History

Agency Overview: The Department of Human Services (DHS) is responsible for administering cash assistance for needy families (Family Investment Program), food assistance, Medicaid, child support enforcement, adoption subsidies, child abuse assessments, dependent adult abuse assessments, foster care, various family preservation and strengthening programs, child care registration and subsidy, one institution for juveniles, refugee services, and mental health and disability services, including the operation of four mental health institutes and two resource centers for individuals with intellectual disabilities.

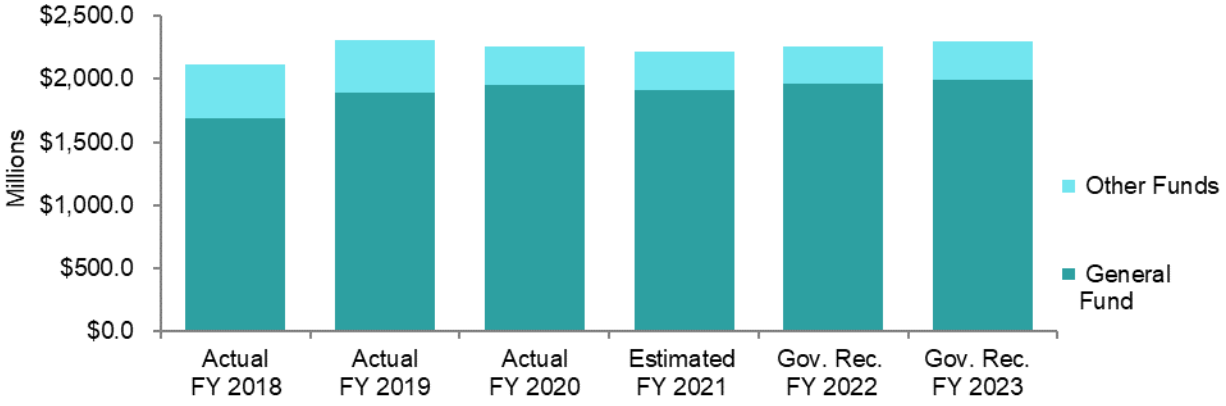
FY 2022 Governor's Recommendations
Total: $2,255,442,617



Governor's Recommendations Compared to FY 2021



Funding History



Governor's General Fund Recommendations — Department of Human Services

	Actual FY 2020 (1)	Estimated FY 2021 (2)	Gov Rec FY 2022 (3)	Gov Rec FY 22 vs Est FY 21 (4)
Human Services, Department of				
Assistance				
Family Investment Program/PROMISE JOBS	$ 40,003,978	$ 40,003,978	$ 40,003,978	$ 0
Medical Assistance	1,516,364,409	1,459,599,409	1,481,499,409	21,900,000
Health Program Operations	17,831,343	17,831,343	17,831,343	0
State Supplementary Assistance	7,812,909	7,349,002	7,349,002	0
State Children's Health Insurance	21,098,426	37,598,984	40,460,555	2,861,571
Child Care Assistance	40,816,931	40,816,931	40,816,931	0
Child and Family Services	89,071,930	89,071,930	89,071,930	0
Adoption Subsidy	40,596,007	40,596,007	40,596,007	0
Family Support Subsidy	949,282	949,282	949,282	0
Conner Training	33,632	33,632	33,632	0
Volunteers	84,686	84,686	84,686	0
Child Abuse Prevention	205,835	420,998	232,570	-188,428
MHDS Regional Services	0	0	15,000,000	15,000,000
Assistance	$ 1,774,869,368	$ 1,734,356,182	$ 1,773,929,325	$ 39,573,143
Eldora Training School				
Eldora Training School	$ 13,950,961	$ 16,029,488	$ 17,397,068	$ 1,367,580
Cherokee				
Cherokee MHI	$ 14,245,968	$ 14,245,968	$ 16,058,356	$ 1,812,388
Independence				
Independence MHI	$ 19,201,644	$ 19,201,644	$ 20,628,077	$ 1,426,433
Glenwood				
Glenwood Resource Center	$ 17,033,867	$ 16,700,867	$ 14,802,873	$ -1,897,994
Woodward				
Woodward Resource Center	$ 10,913,360	$ 10,913,360	$ 13,698,094	$ 2,784,734
Cherokee CCUSO				
Civil Commitment Unit for Sexual Offenders	$ 12,070,565	$ 12,070,565	$ 13,643,727	$ 1,573,162
Field Operations				
Child Support Recovery	$ 14,867,813	$ 14,867,813	$ 15,942,885	$ 1,075,072
Field Operations	55,600,398	55,600,398	60,596,667	4,996,269
Field Operations	$ 70,468,211	$ 70,468,211	$ 76,539,552	$ 6,071,341
General Administration				
General Administration	$ 13,772,533	$ 13,772,533	$ 14,542,189	$ 769,656
DHS Facilities	2,879,274	2,879,274	2,879,274	0
Commission of Inquiry	1,394	1,394	1,394	0
Nonresident Mental Illness Commitment	142,802	142,802	142,802	0
General Administration	$ 16,796,003	$ 16,796,003	$ 17,565,659	$ 769,656
Total Human Services, Department of	$ 1,949,549,947	$ 1,910,782,288	$ 1,964,262,731	$ 53,480,443

Governor's Recommendations FY 2022 — Significant Changes

Medicaid **$21,900,000**

- An increase of $10,000,000 to rebase nursing facilities. *The Governor is recommending an additional $10,000,000 for FY 2023 for the same purpose.*
- An increase of $8,000,000 to increase Home and Community-Based Services (HCBS) provider rate increases.
- An increase of $3,900,000 to increase provider rates for Psychiatric Medical Institutions for Children (PMIC).

State Children's Health Insurance **$2,861,571**

- An increase of $1,641,408 to fund the Program at the Forecasting Group's projected need amount for FY 2022. *The Governor is recommending an additional $7,866,308 for FY 2023 for the same purpose.*
- An increase of $1,220,163 to annualize the FY 2021 capitation rates for the managed care organizations (MCOs) in FY 2022.

Child Abuse Prevention **$-188,428**

A decrease of $188,428 due to a timing issue and an administrative adjustment in the accounting system.

Mental Health and Disability Services (MHDS) Regional Services **$15,000,000**

An increase of $15,000,000 to provide sustainable mental health funding for the MHDS Regions. *The Governor is recommending an additional $15,000,000 in FY 2023 for the same purpose.*

Eldora Training School **$1,367,580**

- An increase of $326,679 to replace one-time carryforward funding.
- An increase of $80,178 to annualize FY 2021 increases in salary and benefit costs in FY 2022.
- An increase of $290,520 for administrative costs.
- An increase of $670,203 for staffing retention and recruitment.

Cherokee Mental Health Institute **$1,812,388**

- An increase of $1,046,132 to replace one-time carryforward funding.
- An increase of $600,759 to replace provider relief funds related to COVID-19.
- An increase of $63,404 to annualize FY 2021 increases in salary and benefit costs in FY 2022.
- An increase of $102,093 for administrative costs.

Independence Mental Health Institute **$1,426,433**

- An increase of $367,740 to replace one-time carryforward funding.
- An increase of $975,698 to replace provider relief funds related to COVID-19.
- An increase of $61,218 to annualize FY 2021 increases in salary and benefit costs in FY 2022.
- An increase of $41,210 for administrative costs.
- A decrease of $19,433 for staffing adjustments.

Glenwood Resource Center **$-1,897,994**

- A decrease of $284,748 from a carryforward adjustment.
- An increase of $2,304,288 due to an adjustment in the COVID-19 Enhanced Federal Medical Assistance Percentage (FMAP) rate.
- An increase of $261,966 to annualize FY 2021 increases in salary and benefit costs in FY 2022.
- A decrease of $519,080 for administrative cost adjustments.
- A decrease of $4,101,700 for staffing adjustments.
- An increase of $441,280 for revenue adjustments.

Woodward Resource Center **$2,784,734**

- A decrease of $992,164 from a carryforward adjustment.
- An increase of $1,460,157 due to an adjustment in the COVID-19 Enhanced FMAP rate.
- An increase of $160,982 to annualize FY 2021 increases in salary and benefit costs in FY 2022.
- An increase of $1,132,516 for administrative cost adjustments.
- An increase of $1,023,243 for revenue adjustments.

Civil Commitment Unit for Sexual Offenders **$1,573,162**

- An increase of $1,718,762 to replace one-time carryforward funding.
- An increase of $77,698 to annualize FY 2021 increases in salary and benefit costs in FY 2022.
- A decrease of $12,468 for administrative cost adjustments.
- A decrease of $210,830 for staffing adjustments.

Child Support Recovery **$1,075,072**

- An increase of $762,079 for administrative cost adjustments.
- An increase of $312,993 to replace federal incentives.

Field Operations **$4,996,269**

- An increase of $2,245,801 to replace carryforward funding to cover FY 2021 salary increases.
- An increase of $382,899 to annualize FY 2021 increases in salary and benefit costs in FY 2022.
- An increase of $1,301,137 to replace one-time revenue used for 32.00 new FTE positions in FY 2021.
- An increase of $765,685 to increase staffing to relieve caseloads. *The Governor is recommending an additional $765,684 for FY 2023 for the same purpose.*
- An increase of $300,747 for administrative cost adjustments.

General Administration **$769,656**

An increase of $769,656 for administrative cost adjustments.

Other Funds Recommendations

	Actual FY 2020 (1)	Estimated FY 2021 (2)	Gov Rec FY 2022 (3)	Gov Rec FY 22 vs Est FY 21 (4)
Human Services, Department of				
Assistance				
Medical Assistance - HCTF	$ 211,757,745	$ 208,460,000	$ 200,570,000	$ -7,890,000
Health Prog Operations Supplement - PSA	234,193	234,193	234,193	0
Medical Assistance - QATF	58,570,397	58,570,397	56,305,139	-2,265,258
Medical Assistance - HHCAT	33,920,554	33,920,554	33,920,554	0
Medicaid Supplemental - MFF	215,000	75,000	150,000	75,000
Polk County MHDS Grant - GIVF	0	5,000,000	0	-5,000,000
Total Human Services, Department of	$ 304,697,889	$ 306,260,144	$ 291,179,886	$ -15,080,258

Governor's Recommendations FY 2022 — Significant Changes

Medical Assistance — Health Care Trust Fund **$-7,890,000**

A decrease due to fewer receipts in the Fund. The Fund receives all revenues from taxes on the sale of tobacco.

Medical Assistance — Quality Assurance Trust Fund **$-2,265,258**

A decrease due to fewer receipts in the Fund. The Fund collects a quality assurance assessment (tax) on nursing facilities for each patient day.

Medicaid Supplemental — Medicaid Fraud Fund $75,000

An increase due to additional revenue in the Fund. Moneys in the Fund are collected from penalties and investigative costs recouped by the Medicaid Fraud Control Unit under the Department of Inspections and Appeals.

Polk County MHDS Grant — Grow Iowa Values Fund $-5,000,000

A decrease associated with a one-time appropriation to Polk County Health Services to supplement its MHDS funding for FY 2021.

Discussion Items

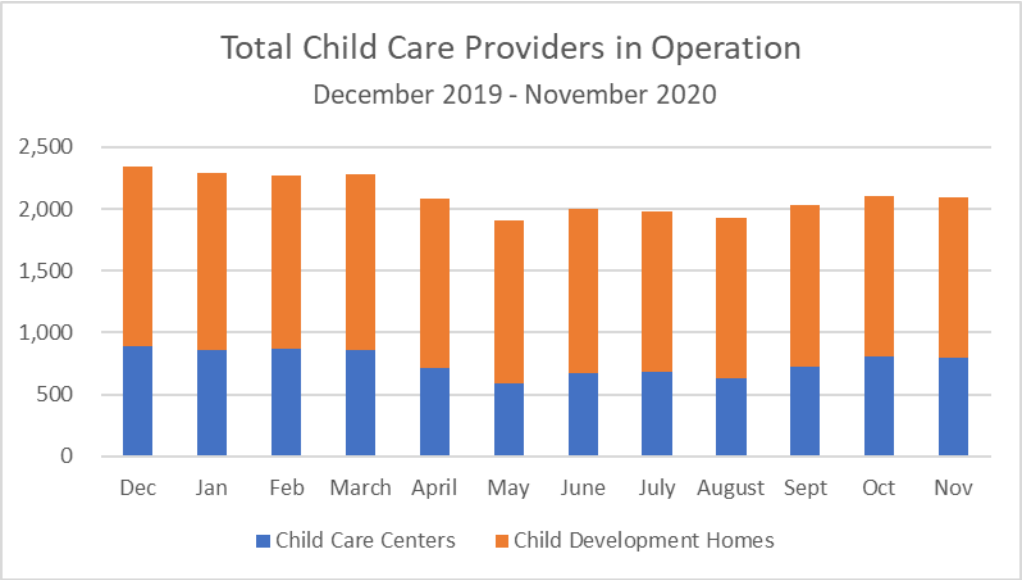
Medicaid — For information and discussion items for Medicaid, see the **Medicaid** section of this document.

Children's Behavioral Health System State Board — The Children's Behavioral Health System State Board (Children's Board) is the single point of responsibility in the implementation and management of a Children's Mental Health System (Children's System) that is committed to improving children's well-being, building healthy and resilient children, providing for educational growth, and coordinating medical and mental health care for those in need. The Board was approved during the 2019 Legislative Session by HF 690 (FY 2020 Children's Behavioral Health System Act).

The Children's Board met several times throughout summer and fall 2020 and filed an annual report available here. The General Assembly may wish to review the report and discuss any Children's System recommendations.

Title IV-E Adoption Subsidy Savings — An enhanced federal eligibility match for Title IV-E funding required that states reinvest savings into other child welfare services. The current projected total of unfunded IV-E Adoption Subsidy Reinvestment Savings is estimated at $6,200,000. The Health and Human Services Appropriations Subcommittee may want to request that the DHS provide a list of projects and initiatives that could be completed to meet this expenditure requirement. Some of this unfunded liability may be offset by spending for new services related to implementing the federal Family First Prevention Services Act.

Child Care Provider Availability — In December 2019, the DHS reported that there were 2,342 total child care providers in the State with 886 child care centers and 1,456 child development homes. Once the COVID-19 pandemic reached community spread in Iowa, the number of child care providers in Iowa decreased to a low of 1,906 in May 2020. At the time of this publication, the most recent number reported by the DHS shows 2,095 child care providers currently active in the State.



Child Care Challenge Fund *— The Governor launched the Child Care Challenge in FY 2020 to encourage employers, community leaders, and other stakeholders to find solutions to Iowa's child care shortage. The Governor is recommending an appropriation of $3,000,000 for FY 2023 for this program.*

Child Care Tax Credits *— The Governor is proposing increasing the maximum net income amount for eligibility for the child care tax credit programs from $45,000 to $90,000 to increase the number of families who qualify for the Early Childhood Development and Child and Dependent Care Tax Credit programs.*

Family First Prevention Services Act — A component of the federal Bipartisan Budget Act of 2018 (Public Law 115-123) was the Family First Prevention Services Act (FFPSA), which will significantly change the child welfare system. The FFPSA will restructure how the federal government funds child welfare. Two of the major changes will be:

- Permitting funds to be used for evidence-based family preservation services for children at risk of entering the child welfare system. These services are being published at the Title IV-E Prevention Services Clearinghouse. Some of the evidence-based programs or services that are currently present in Iowa to some extent include:
  - Functional Family Therapy: A short-term prevention program for at-risk youth and their families that aims to address risk and protective factors that impact the adaptive development of 11-to-18 year-old youth who have been referred for behavioral or emotional problems.
  - Healthy Families America: A home-visiting program for new and expectant families with children who are at risk for maltreatment or adverse childhood experiences.
  - Motivational Interviewing: A method of counseling clients designed to promote behavior change and improve physiological, psychological, and lifestyle outcomes.
- No longer paying for placements that are not in foster family homes.

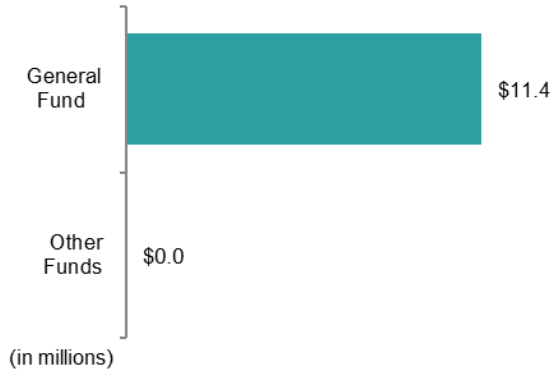
The DHS is utilizing services from the Annie E. Casey Foundation to analyze data, administer fiscal planning, and crosswalk approved evidence-based model programs with current Iowa needs and services. States are allowed some flexibility on when to transition to the new federal requirements, and the DHS is currently implementing Iowa's transition through the administrative rules review process.

DEPARTMENT OF VETERANS AFFAIRS

Overview and Funding History

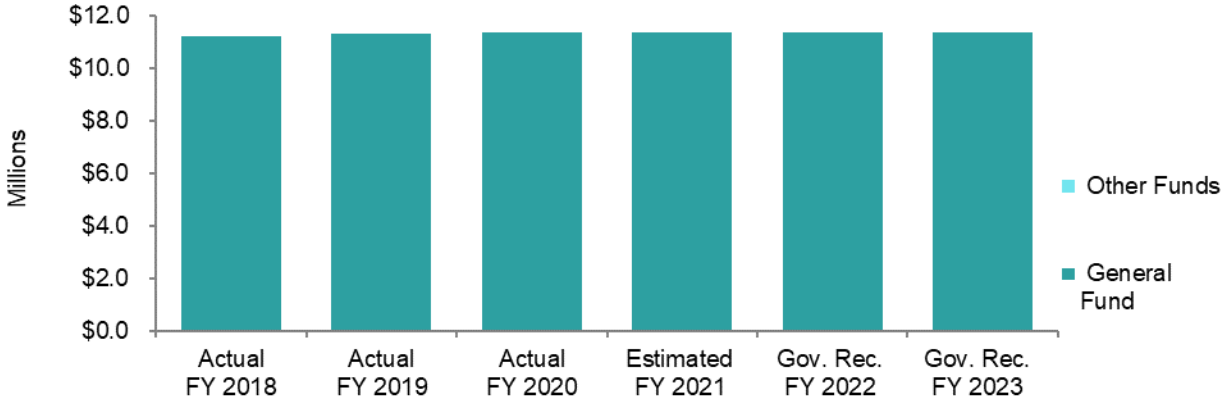
Agency Overview: The Department of Veterans Affairs includes the Department and the Iowa Veterans Home. The Department provides services to veterans regarding federal pension applications and identifies services to reimburse from the Veterans Trust Fund. The Department also established and operates the Veterans Cemetery and provides assistance for the County Veterans Grant Program and the Injured Veterans Grant Program. The Iowa Veterans Home, located in Marshalltown, provides services to veterans, including domiciliary, residential, and pharmaceutical services.

FY 2022 Governor's Recommendations
Total: $11,351,315



Governor's Recommendations Compared to FY 2021

Funding History



General Fund Recommendations

	Actual FY 2020 (1)	Estimated FY 2021 (2)	Gov Rec FY 2022 (3)	Gov Rec FY 22 vs Est FY 21 (4)
Veterans Affairs, Department of				
Veterans Affairs, Dept. of				
General Administration	$ 1,229,763	$ 1,229,763	$ 1,229,763	$ 0
Home Ownership Assistance Program	2,000,000	2,000,000	2,000,000	0
Veterans County Grants	990,000	990,000	990,000	0
Veterans Affairs, Dept. of	$ 4,219,763	$ 4,219,763	$ 4,219,763	$ 0
Veterans Affairs, Dept. of				
Iowa Veterans Home	$ 7,131,552	$ 7,131,552	$ 7,131,552	$ 0
Total Veterans Affairs, Department of	$ 11,351,315	$ 11,351,315	$ 11,351,315	$ 0

Discussion Items

Iowa Veterans Home Census — The census at the Iowa Veterans Home has dropped significantly due to the COVID-19 pandemic, from 501 in FY 2019 to 456 in FY 2020. The General Assembly may wish to review the impact the pandemic has had on the facility, including the care that is provided, modifications that have been made, and the impact on revenues and expenditures.

Iowa Veterans Trust Fund — The Iowa Veterans Trust Fund (IVTF) provides assistance to veterans and their families who have less than $15,000 in liquid assets and have a household income below 200.00% of the federal poverty guidelines. The Iowa General Assembly has enumerated 12 areas of eligible expenditures for Iowa veterans, and eligibility is judged on a program-by-program basis.

Since 2008, a portion of the Iowa Lottery proceeds has been dedicated to the IVTF, and under current law, the amount of that transfer has been set at $2,500,000 annually. As of December 31, 2020, the Trust Fund had a spendable balance of approximately $1,522,125 and a total balance of $35,706,855. Total expenditures from the fund in CY 2019 totaled approximately $632,000 through 523 approved areas of assistance, and in CY 2020 that amount decreased to approximately $573,000 through 432 approved areas of assistance.

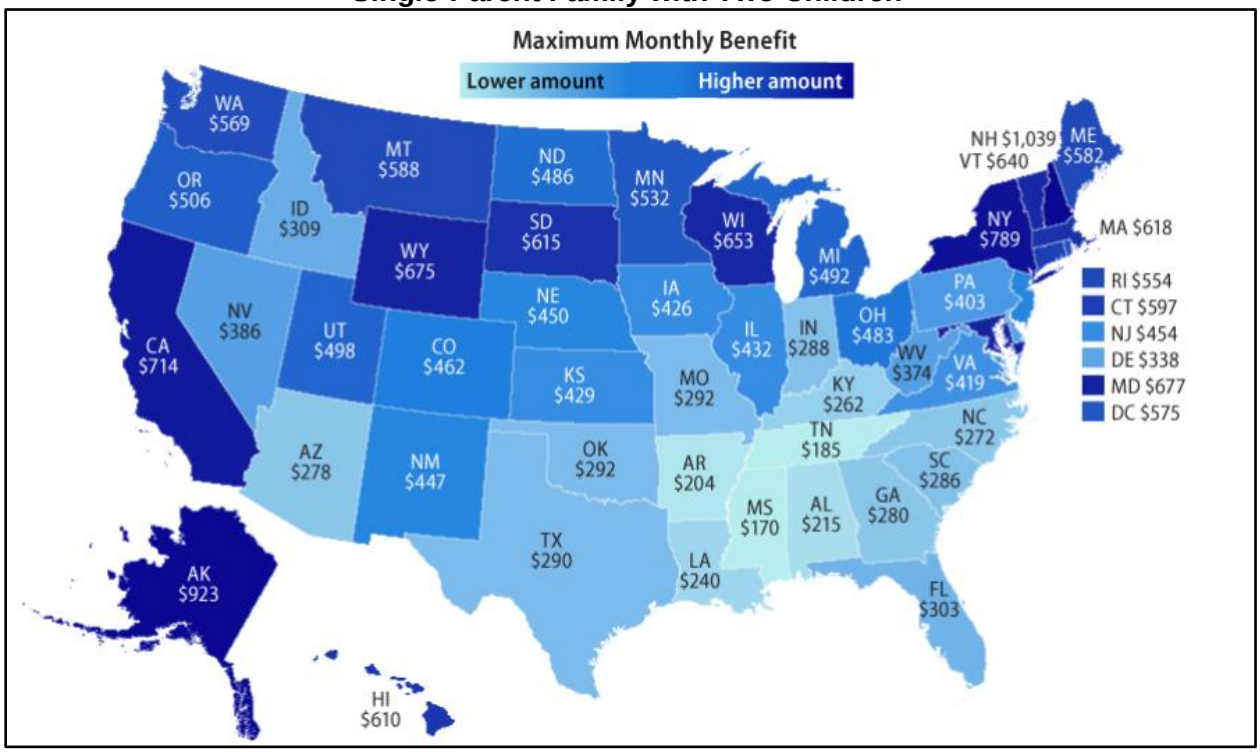
Injured Veterans Grant Program Report — The General Assembly requested a report in 2019 Iowa Acts, chapter 9 (Injured Veterans Grant Program Act), on the sustainability of future funding for the Injured Veterans Grant Program. Injured veterans or their family members can receive grants of up to $10,000. The funds provide financial assistance that allows family members to be with the veteran during the veteran's recovery. The Program has been funded with periodic General Fund appropriations totaling $4,000,000 since FY 2006. The most recent appropriation occurred in FY 2011. The appropriations do not revert to the General Fund and are permitted to carry forward. As of December 2020, there is $184,000 available for payments. At the time of the report, the Department reported that no appropriation was needed in FY 2020, and no grants were awarded during FY 2021. A more thorough review of the funding history and expenditures can be found in this ***Issue Review***.

Comparisons to Other States — Monthly Maximum TANF Benefit

The map below represents the monthly maximum Temporary Assistance for Needy Families (TANF) benefit for a single parent with two children. Benefits are set by the states, and in Iowa, the maximum benefit is set at $426 per month. In FY 2020, there were an average of 7,004 families per month on the Family Investment Program (FIP), which is the program that provides the cash benefit.

Compared to surrounding states, Iowa has the second lowest maximum benefit, with only Missouri providing a lower benefit at $292 per month. Iowa has a comparable benefit level to Kansas, Illinois, and Nebraska. South Dakota, Minnesota, and Wisconsin have a significantly larger maximum benefit compared to Iowa. Wisconsin has the highest benefit in the Midwest at $653 per month.

TANF Cash Assistance Maximum Monthly Benefit Amounts — Single-Parent Family with Two Children



Source: Congressional Research Service, The Temporary Assistance for Needy Families (TANF) Block Grant: Responses to Frequently Asked Questions, November 17, 2020.

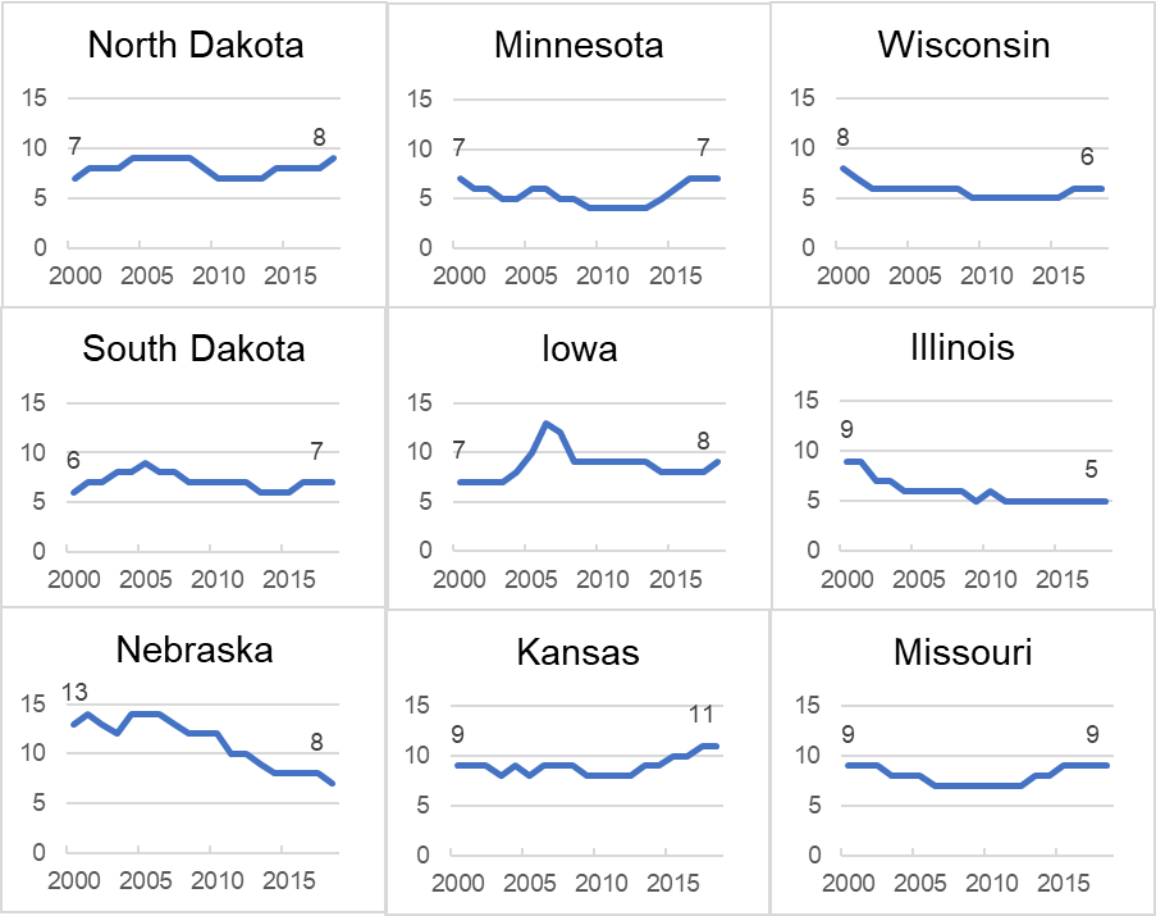
Comparison to Other States — Children in Foster Care

The National Data Archive on Child Abuse and Neglect uses data from the Adoption and Foster Care Analysis and Reporting System to track the rate of children in foster care per 1,000 children age 17 and under. Children are categorized as being in foster care if they entered foster care prior to the end of the current fiscal year and have not been discharged from their latest period of time in foster care by the end of the current fiscal year. Census numbers indicate population estimates of children age 17 and under in each state as of July 1 of the respective year.

The rate of children in foster care in Iowa and contiguous states for 2018 was 8.0 per 1,000. Iowa was lower than the regional average rate at 9.0 per 1,000, while the highest reporting state in the region was Kansas at 11.0 per 1,000. The lowest rate in the region was Illinois at 5.0 per 1,000.

The national rate was 6.0 per 1,000. The highest rate in the nation was West Virginia at 19.0 per 1,000, and Delaware, Maryland, New Jersey, Utah, and Virginia shared the lowest rate at 3.0 per 1,000.

Children in Foster Care Per 1,000
2000 to 2018



LSA Publications

Publications that have been published by the LSA Fiscal Services Division that relate to the Health and Human Services Appropriations Subcommittee can be found on the Fiscal Analysis webpage.

Issue Reviews: Iowa Health and Wellness Plan

Medicaid Work Requirements

Reports Required to be Filed with General Assembly

Reports and other documents required to be filed with the General Assembly are organized by submitting department and by date and are published on this webpage.

LSA Staff Contacts: Jess Benson (515.281.4611) jess.benson@legis.iowa.gov
Chris Ubben (515.725.0134) chris.ubben@legis.iowa.gov

Appendix A – Tracking

This Appendix contains tracking for the following:

- General Fund
- Other Funds
- Full-Time Equivalent (FTE) Positions

The Legislative Services Agency publishes ***Budget Unit Briefs*** that discuss the purpose of particular appropriations and programs. If a publication is available, it has been hyperlinked in the title of the appropriation.

Health and Human Services

General Fund

	Actual FY 2020 (1)	Estimated FY 2021 (2)	Gov Rec FY 2022 (3)	Gov Rec FY 22 vs Est FY 21 (4)	Gov Rec Yr2 FY 2023 (5)	Gov Rec FY 23 vs Gov Rec FY 22 (6)
Aging, Iowa Department on						
Aging, Dept. on						
Aging Programs	$ 11,164,382	$ 11,164,382	$ 11,164,382	$ 0	$ 11,164,382	$ 0
Office of LTC Ombudsman	1,149,821	1,149,821	1,149,821	0	1,149,821	0
Total Aging, Iowa Department on	$ 12,314,203	$ 12,314,203	$ 12,314,203	$ 0	$ 12,314,203	$ 0
Public Health, Department of						
Public Health, Dept. of						
Addictive Disorders	$ 25,109,379	$ 23,659,379	$ 23,659,379	$ 0	$ 23,659,379	$ 0
Healthy Children and Families	5,816,681	5,816,681	5,816,681	0	5,816,681	0
Chronic Conditions	4,223,373	4,223,373	4,223,373	0	4,223,373	0
Community Capacity	5,594,306	5,594,306	6,794,306	1,200,000	6,794,306	0
Essential Public Health Services	7,662,464	7,662,464	7,662,464	0	7,662,464	0
Infectious Diseases	1,796,206	1,796,206	1,796,206	0	1,796,206	0
Public Protection	4,085,220	4,085,220	4,466,601	381,381	4,466,601	0
Resource Management	933,871	933,871	933,871	0	933,871	0
Congenital & Inherited Disorders Registry	188,428	223,521	223,521	0	223,521	0
Total Public Health, Department of	$ 55,409,928	$ 53,995,021	$ 55,576,402	$ 1,581,381	$ 55,576,402	$ 0
Veterans Affairs, Department of						
Veterans Affairs, Dept. of						
General Administration	$ 1,229,763	$ 1,229,763	$ 1,229,763	$ 0	$ 1,229,763	$ 0
Home Ownership Assistance Program	2,000,000	2,000,000	2,000,000	0	2,000,000	0
Veterans County Grants	990,000	990,000	990,000	0	990,000	0
Veterans Affairs, Dept. of	$ 4,219,763	$ 4,219,763	$ 4,219,763	$ 0	$ 4,219,763	$ 0
Veterans Affairs, Dept. of						
Iowa Veterans Home	$ 7,131,552	$ 7,131,552	$ 7,131,552	$ 0	$ 7,131,552	$ 0
Total Veterans Affairs, Department of	$ 11,351,315	$ 11,351,315	$ 11,351,315	$ 0	$ 11,351,315	$ 0
Human Services, Department of						
Assistance						
Family Investment Program/PROMISE JOBS	$ 40,003,978	$ 40,003,978	$ 40,003,978	$ 0	$ 40,003,978	$ 0
Medical Assistance	1,516,364,409	1,459,599,409	1,481,499,409	21,900,000	1,491,499,409	10,000,000
Health Program Operations	17,831,343	17,831,343	17,831,343	0	17,831,343	0
State Supplementary Assistance	7,812,909	7,349,002	7,349,002	0	7,349,002	0
State Children's Health Insurance	21,098,426	37,598,984	40,460,555	2,861,571	48,326,863	7,866,308
Child Care Assistance	40,816,931	40,816,931	40,816,931	0	40,816,931	0
Child and Family Services	89,071,930	89,071,930	89,071,930	0	89,071,930	0
Adoption Subsidy	40,596,007	40,596,007	40,596,007	0	40,596,007	0
Family Support Subsidy	949,282	949,282	949,282	0	949,282	0
Conner Training	33,632	33,632	33,632	0	33,632	0

Health and Human Services

General Fund

	Actual FY 2020	Estimated FY 2021	Gov Rec FY 2022	Gov Rec FY 22 vs Est FY 21	Gov Rec Yr2 FY 2023	Gov Rec FY 23 vs Gov Rec FY 22
	(1)	(2)	(3)	(4)	(5)	(6)
Volunteers	84,686	84,686	84,686	0	84,686	0
Child Abuse Prevention	205,835	420,998	232,570	-188,428	232,570	0
MHDS Regional Services	0	0	15,000,000	15,000,000	30,000,000	15,000,000
Assistance	$ 1,774,869,368	$ 1,734,356,182	$ 1,773,929,325	$ 39,573,143	$ 1,806,795,633	$ 32,866,308
Eldora Training School						
Eldora Training School	$ 13,950,961	$ 16,029,488	$ 17,397,068	$ 1,367,580	$ 17,397,068	$ 0
Cherokee						
Cherokee MHI	$ 14,245,968	$ 14,245,968	$ 16,058,356	$ 1,812,388	$ 16,058,356	$ 0
Independence						
Independence MHI	$ 19,201,644	$ 19,201,644	$ 20,628,077	$ 1,426,433	$ 20,628,077	$ 0
Glenwood						
Glenwood Resource Center	$ 17,033,867	$ 16,700,867	$ 14,802,873	$ -1,897,994	$ 14,802,873	$ 0
Woodward						
Woodward Resource Center	$ 10,913,360	$ 10,913,360	$ 13,698,094	$ 2,784,734	$ 13,698,094	$ 0
Cherokee CCUSO						
Civil Commitment Unit for Sexual Offenders	$ 12,070,565	$ 12,070,565	$ 13,643,727	$ 1,573,162	$ 13,643,727	$ 0
Field Operations						
Child Support Recovery	$ 14,867,813	$ 14,867,813	$ 15,942,885	$ 1,075,072	$ 15,942,885	$ 0
Field Operations	55,600,398	55,600,398	60,596,667	4,996,269	61,362,351	765,684
Field Operations	$ 70,468,211	$ 70,468,211	$ 76,539,552	$ 6,071,341	$ 77,305,236	$ 765,684
General Administration						
General Administration	$ 13,772,533	$ 13,772,533	$ 14,542,189	$ 769,656	$ 14,542,189	$ 0
DHS Facilities	2,879,274	2,879,274	2,879,274	0	2,879,274	0
Commission of Inquiry	1,394	1,394	1,394	0	1,394	0
Nonresident Mental Illness Commitment	142,802	142,802	142,802	0	142,802	0
General Administration	$ 16,796,003	$ 16,796,003	$ 17,565,659	$ 769,656	$ 17,565,659	$ 0
Total Human Services, Department of	$ 1,949,549,947	$ 1,910,782,288	$ 1,964,262,731	$ 53,480,443	$ 1,997,894,723	$ 33,631,992
Total Health and Human Services	$ 2,028,625,393	$ 1,988,442,827	$ 2,043,504,651	$ 55,061,824	$ 2,077,136,643	$ 33,631,992

Health and Human Services

Other Funds

	Actual FY 2020 (1)	Estimated FY 2021 (2)	Gov Rec FY 2022 (3)	Gov Rec FY 22 vs Est FY 21 (4)	Gov Rec Yr2 FY 2023 (5)	Gov Rec FY 23 vs Gov Rec FY 22 (6)
Public Health, Department of						
Public Health, Dept. of						
Gambling Treatment Program - SWRF	$ 300,000	$ 1,750,000	$ 1,750,000	$ 0	$ 1,750,000	$ 0
Total Public Health, Department of	$ 300,000	$ 1,750,000	$ 1,750,000	$ 0	$ 1,750,000	$ 0
Human Services, Department of						
Assistance						
Medical Assistance - HCTF	$ 211,757,745	$ 208,460,000	$ 200,570,000	$ -7,890,000	$ 206,470,000	$ 5,900,000
Health Prog Operations Supplement - PSA	234,193	234,193	234,193	0	234,193	0
Medical Assistance - QATF	58,570,397	58,570,397	56,305,139	-2,265,258	56,305,139	0
Medical Assistance - HHCAT	33,920,554	33,920,554	33,920,554	0	33,920,554	0
Medicaid Supplemental - MFF	215,000	75,000	150,000	75,000	150,000	0
Polk County MHDS Grant - GIVF	0	5,000,000	0	-5,000,000	0	0
Total Human Services, Department of	$ 304,697,889	$ 306,260,144	$ 291,179,886	$ -15,080,258	$ 297,079,886	$ 5,900,000
Total Health and Human Services	$ 304,997,889	$ 308,010,144	$ 292,929,886	$ -15,080,258	$ 298,829,886	$ 5,900,000

Explanation of FTE Position Data

Analysis of the Governor's Budget Recommendations

The following is an explanation of the full-time equivalent (FTE) position information provided on the following tables. The columns of FTE position data represent different points in time that the numbers were compiled. For additional information on the State's FTE positions, see the ***Issue Review*** entitled *FY 2017 FTE Positions and Personnel Costs*.

Actual FY 2020: This data represents the actual FTE position utilization calculated at the close of the fiscal year. The FTE position usage is calculated by taking the actual hours worked during the fiscal year and dividing the number by 2,080 hours. For example, if a department has budgeted a full-time position (equating to 1.00 FTE) and this position is vacant for six months of the fiscal year, at the close of the fiscal year the calculation of the actual FTE position would be 0.50 (1,040 ÷ 2,080 = 0.50). The calculation of the actual FTE position factors out the portion of the position that was vacant during the fiscal year.

Estimated FY 2021: This data represents the estimated FTE positions that were budgeted by the departments at the beginning of FY 2021 and incorporates any revisions made to the budget by the departments through (approximately) December 2020. Changes to the estimates can occur for a variety of reasons. For example, if departments are not provided funding for salary adjustments to cover the costs of funding collective bargaining contracts, the departments will often reduce the number of FTE positions in order to cover costs.

Gov Rec FY 2022: This is the Governor's recommendation for FY 2022.

Gov Rec FY 2022 vs Est FY 2021: This represents the difference between the Governor's recommended FTE positions for FY 2022 and the most recent estimates for FY 2021.

Gov Rec FY 2023: This is the Governor's recommendation for FY 2023.

Gov Rec FY 2023 vs Gov Rec FY 2022: This represents the difference between the Governor's recommended FTE positions for FY 2023 and FY 2022.

Health and Human Services

FTE Positions

	Actual FY 2020 (1)	Estimated FY 2021 (2)	Gov Rec FY 2022 (3)	Gov Rec FY 22 vs Est FY 21 (4)	Gov Rec Yr2 FY 2023 (5)	Gov Rec FY 23 vs Gov Rec FY 22 (6)
Human Services, Department of						
Assistance						
Family Investment Program/PROMISE JOBS	21.20	32.00	28.00	-4.00	28.00	0.00
Medical Assistance	7.57	11.10	11.10	0.00	11.10	0.00
Health Program Operations	2.02	2.00	2.00	0.00	2.00	0.00
Child Care Assistance	2.55	2.50	2.50	0.00	2.50	0.00
Child and Family Services	3.92	4.00	4.00	0.00	4.00	0.00
Health Care Facility Fines	0.35	1.00	1.00	0.00	1.00	0.00
Hawk-I Trust Fund	0.00	1.00	0.00	-1.00	0.00	0.00
Child Care Facility Fund	0.67	1.00	1.00	0.00	1.00	0.00
Assistance	38.26	54.60	49.60	-5.00	49.60	0.00
Eldora Training School						
Eldora Training School	184.53	206.30	206.30	0.00	206.30	0.00
Cherokee						
Cherokee MHI	149.93	162.00	160.00	-2.00	160.00	0.00
Independence						
Independence MHI	180.49	186.20	186.20	0.00	186.20	0.00
Glenwood						
Glenwood Resource Center	670.84	724.00	724.00	0.00	724.00	0.00
Woodward						
Woodward Warehouse Revolving Fund	5.87	6.10	6.10	0.00	6.10	0.00
Woodward Resource Center	514.71	519.70	518.70	-1.00	518.70	0.00
Woodward	520.58	525.80	524.80	-1.00	524.80	0.00
Cherokee CCUSO						
Civil Commitment Unit for Sexual Offenders	126.08	132.10	132.10	0.00	132.10	0.00
Field Operations						
Child Support Recovery	413.41	430.00	430.00	0.00	430.00	0.00
MI/MR/DD Case Management	53.66	53.90	53.90	0.00	53.90	0.00
Iowa Refugee Service Center	13.42	13.00	13.00	0.00	13.00	0.00
Child Support Grants	0.07	0.00	0.00	0.00	0.00	0.00
Field Operations	1,432.44	1,471.00	1,471.00	0.00	1,471.00	0.00
Field Operations	1,912.99	1,967.90	1,967.90	0.00	1,967.90	0.00
General Administration						
Child Abuse Project	4.03	4.00	4.00	0.00	4.00	0.00
Community MH Block Grant	0.74	2.00	1.00	-1.00	1.00	0.00
IV-E Independent Living Grant	5.04	5.00	5.00	0.00	5.00	0.00
FEMA	0.00	1.00	0.00	-1.00	0.00	0.00
General Administration	247.55	286.37	263.37	-23.00	263.37	0.00
General Administration	257.36	298.37	273.37	-25.00	273.37	0.00
Total Human Services, Department of	4,041.06	4,257.27	4,224.27	-33.00	4,224.27	0.00

Health and Human Services

FTE Positions

	Actual FY 2020 (1)	Estimated FY 2021 (2)	Gov Rec FY 2022 (3)	Gov Rec FY 22 vs Est FY 21 (4)	Gov Rec Yr2 FY 2023 (5)	Gov Rec FY 23 vs Gov Rec FY 22 (6)
Aging, Iowa Department on						
Aging, Dept. on						
Aging Programs	17.29	19.00	19.00	0.00	19.00	0.00
Office of LTC Ombudsman	10.26	11.30	9.50	-1.80	9.50	0.00
Total Aging, Iowa Department on	27.56	30.30	28.50	-1.80	28.50	0.00
Public Health, Department of						
Public Health, Dept. of						
Vital Records Modernization	23.99	26.10	26.10	0.00	26.10	0.00
Health Care Workforce Shortage	0.00	2.00	2.00	0.00	2.00	0.00
IDPH Gifts & Grants Fund	240.10	274.45	272.85	-1.60	272.85	0.00
Rx Prof / Tech Recovery Fd	0.98	1.00	1.00	0.00	1.00	0.00
Drug Information Program	0.79	1.00	1.00	0.00	1.00	0.00
Addictive Disorders	10.69	12.00	12.00	0.00	12.00	0.00
Healthy Children and Families	10.89	14.00	14.00	0.00	14.00	0.00
Chronic Conditions	8.78	10.00	10.00	0.00	10.00	0.00
Community Capacity	7.92	13.00	13.00	0.00	13.00	0.00
Infectious Diseases	2.89	4.00	4.00	0.00	4.00	0.00
Public Protection	133.80	142.00	142.00	0.00	142.00	0.00
Resource Management	2.53	4.00	4.00	0.00	4.00	0.00
Total Public Health, Department of	443.37	503.55	501.95	-1.60	501.95	0.00
Veterans Affairs, Department of						
Veterans Affairs, Dept. of						
Iowa Veterans Cemetery Fund	2.00	3.00	3.00	0.00	3.00	0.00
General Administration	12.53	12.00	12.00	0.00	12.00	0.00
Veterans Affairs, Dept. of	14.52	15.00	15.00	0.00	15.00	0.00
Veterans Affairs, Dept. of						
Iowa Veterans Home Canteen	3.60	4.00	4.00	0.00	4.00	0.00
Iowa Veterans Home	760.93	774.56	775.56	1.00	775.56	0.00
Veterans Affairs, Dept. of	764.53	778.56	779.56	1.00	779.56	0.00
Total Veterans Affairs, Department of	779.05	793.56	794.56	1.00	794.56	0.00
Total Health and Human Services	5,291.05	5,584.68	5,549.28	-35.40	5,549.28	0.00

Appendix B – Appropriations Activity

Analysis of the Governor's Recommendations

The following information provides a summary of the FY 2020 General Fund and non-General Fund appropriations for the departments under the purview of the Appropriations Subcommittee. Appropriations are adjusted for several factors throughout the fiscal year, including supplemental appropriations, deappropriations, and adjustments to standing appropriations to account for actual expenditures. Other activity associated with appropriated funds includes: balances brought forward, transfers, and reversions. The tables show each of the departments' appropriations and the changes that occurred throughout the fiscal year.

- Original Appropriation: This is the amount appropriated from the General Fund in individual appropriations bills during the 2019 Legislative Session.
- Adjustment to Standings: These adjustments represent changes that are made to budgeted standing unlimited appropriations for the purpose of balancing the year-end amount. There are numerous standing unlimited appropriations established in the Iowa Code. The exact amount for each of these appropriations is not known until the close of the fiscal year. As the General Assembly develops the annual budget, an estimated amount is included for budgeting purposes. This estimated appropriation is then adjusted to reflect actual expenditures.
- Session Law Adjustments (General Fund Only): During the 2019 Legislative Session there were two appropriation bills enacted that provided increases to certain State agencies and allowed the agencies to allocate the increase across their line-item appropriations: HF 758 (Education Appropriations Act) appropriated $12.0 million to the Board of Regents for allocation to the three State universities, and SF 615 (Justice System Appropriations Act) appropriated $3.3 million to the Department of Corrections (DOC) for allocation across the DOC institutions and Community-Based Corrections (CBC) and $1.6 million to the Department of Public Safety for allocation to its divisions.
- Supplemental Appropriations/Deappropriations: These changes represent the supplemental appropriations and deappropriations enacted during the 2019 Legislative Session.
- OCIO Adjustment: During the 2019 Legislative Session, HF 759 (FY 2020 Administration and Regulation Appropriations Act) appropriated $1.3 million to the Department of Management (DOM) for an adjustment to department appropriations in FY 2020 for technology-related expenditures charged by the Office of the Chief Information Officer (OCIO) to State agencies. The adjustment was based upon a rolling 12-month average of the OCIO charges for departments.
- Salary Adjustment (Other Funds Only): Several non-General Fund appropriations were authorized to receive appropriation adjustments to fund salary increases for FY 2020.
- Total Appropriation: This is the sum of all of the above numbers and represents the final appropriation amount after the above changes were applied.

- Balance Brought Forward: These are the appropriated funds allowed to carry forward from FY 2019 to FY 2020. These funds provided additional spendable dollars for FY 2020.
- Transfers In and Out (General Fund Only): These adjustments represent transferred appropriation spending authority between enacted appropriations. These transfers are usually implemented by the Governor through the authority provided in Iowa Code section 8.39.
- Balance Carryforward: These are appropriated funds that are allowed to carry forward from FY 2020 to FY 2021. These funds provide additional spendable dollars for FY 2021.
- Reversions: These are the unspent appropriated funds that revert back to the fund from which they were appropriated.
- Total Adjustments: This is the sum of the Balance Brought Forward, Transfers, Balance Carryforward, and Reversions.
- Total Expended: This number represents the appropriation after all of the above adjustments have been made. The result is the total appropriated funds that were expended in FY 2020.

FY 2020 GENERAL FUND APPROPRIATIONS

Health and Human Services Appropriations Subcommittee

	Original Approp	Adj to Standings	Session Law Adj	Supp & Deapprop	OCIO Adjustment	Total Approp	Balance Brought Forward	Transfer In	Transfer Out	Balance Carry Forward	Reversion	Total Adjustments	Grand Total
Human Services													
Aging, Iowa Department of													
Aging Programs	$ 11,191,441	$ 0	$ 0	$ 0	$ -27,059	$ 11,164,382	$ 0	$ 0	$ 0	$ 0	$ 0	$ 0	$ 11,164,382
Office of Long-Term Care Ombudsman	1,149,821	0	0	0	0	1,149,821	0	0	0	0	0	0	1,149,821
Aging, Iowa Department of Total	**12,341,262**	**0**	**0**	**0**	**-27,059**	**12,314,203**	**0**	**0**	**0**	**0**	**0**	**0**	**12,314,203**
Human Services, Department of													
Adoption Subsidy	40,596,007	0	0	0	0	40,596,007	336,410	0	0	-3,434,836	0	-3,098,426	37,497,581
Cherokee MHI	14,216,149	0	0	0	29,819	14,245,968	0	0	0	0	0	0	14,245,968
Child Abuse Prevention	232,570	-26,735	0	0	0	205,835	0	0	0	0	0	0	205,835
Child and Family Services	89,071,761	0	0	0	169	89,071,930	0	0	0	-11,171,109	0	-11,171,109	77,900,821
Child Care Assistance	40,816,931	0	0	0	0	40,816,931	0	0	0	0	0	0	40,816,931
Child Support Recoveries	14,749,368	0	0	0	118,445	14,867,813	0	0	0	0	-8,705	-8,705	14,859,108
Children's Health Insurance	19,361,112	0	0	1,737,294	20	21,098,426	0	0	0	0	0	0	21,098,426
Civil Commitment Unit for Sexual Offenders	12,053,093	0	0	0	17,472	12,070,565	0	0	0	0	0	0	12,070,565
Commission Of Inquiry													
Conners Training	33,632	0	0	0	0	33,632	0	0	0	0	-147	-147	33,485
DHS - Department Wide Duties	2,879,274	0	0	0	0	2,879,274	0	0	0	0	0	0	2,879,274
Eldora Training School	13,920,757	0	0	0	30,204	13,950,961	4,297	0	0	0	0	4,297	13,955,258
Family Investment Program/JOBS	40,365,037	0	0	0	-361,059	40,003,978	3,515,261	0	0	-9,028,372	0	-5,513,110	34,490,868
Family Support Subsidy	949,282	0	0	0	0	949,282	121,976	0	0	-151,846	0	-29,870	919,412
Field Operations	55,396,906	0	0	0	203,492	55,600,398	492,757	0	0	-5,226,469	0	-4,733,712	50,866,686
General Administration	13,833,040	0	0	0	-60,507	13,772,533	149,941	0	0	-220,941	0	-71,000	13,701,533
Glenwood Resource Center	16,048,348	0	0	928,608	56,911	17,033,867	3,428,060	0	0	-2,871,250	0	556,810	17,590,677
Independence MHI	19,165,110	0	0	0	36,534	19,201,644	0	0	0	0	0	0	19,201,644
Medical Assistance	1,427,379,707	0	0	88,982,734	1,968	1,516,364,409	87,888,114	0	0	-185,769,260	0	-97,881,146	1,418,483,263
Medical Contracts	17,992,530	0	0	0	-161,187	17,831,343	0	0	0	0	-250,447	-250,447	17,580,896
Non Resident Commitment M.III	142,802	0	0	0	0	142,802	0	0	0	0	-127,953	-127,953	14,849
State Supplementary Assistance	7,812,909	0	0	0	0	7,812,909	2,456,564	0	0	-1,888,492	0	568,072	8,380,981
Volunteers	84,686	0	0	0	0	84,686	0	0	0	0	-29,764	-29,764	54,922
Woodward Resource Center	10,872,356	0	0	0	41,004	10,913,360	2,897,274	0	0	-2,864,975	0	32,300	10,945,660
Human Services, Department of Total	**1,857,974,761**	**-26,735**	**0**	**91,648,636**	**-46,715**	**1,949,549,947**	**101,290,656**	**0**	**0**	**-222,627,551**	**-418,411**	**-121,755,306**	**1,827,794,641**
Public Health, Department of													
Addictive Disorders	25,110,000	0	0	0	-621	25,109,379	0	0	0	0	-169,265	-169,265	24,940,114
Chronic Conditions	4,223,519	0	0	0	-146	4,223,373	0	0	0	0	-2,741	-2,741	4,220,632
Community Capacity	5,594,677	0	0	0	-371	5,594,306	0	0	0	0	-372,036	-372,036	5,222,270
Essential Public Health Services	7,662,464	0	0	0	0	7,662,464	0	0	0	0	-8,125	-8,125	7,654,339
Healthy Children and Families	5,817,057	0	0	0	-376	5,816,681	0	0	0	0	-18,899	-18,899	5,797,782
Infectious Diseases	1,796,426	0	0	0	-220	1,796,206	0	0	0	0	0	0	1,796,206
Iowa Registry for Congenital & Inherited Disorders	223,521	-35,093	0	0	0	188,428	0	0	0	0	0	0	188,428
Public Protection	4,093,383	0	0	0	-8,163	4,085,220	0	0	0	0	0	0	4,085,220
Resource Management	971,215	0	0	0	-37,344	933,871	0	0	0	0	0	0	933,871
Public Health, Department of Total	**55,492,262**	**-35,093**	**0**	**0**	**-47,241**	**55,409,928**	**0**	**0**	**0**	**0**	**-571,066**	**-571,066**	**54,838,862**
Veterans Affairs, Department of													
General Administration	1,225,500	0	0	0	4,263	1,229,763	0	0	0	0	-6	-6	1,229,757
Injured Veterans Grant Program	0	0	0	0	0	0	183,897	0	0	-183,897	0	0	0
Iowa Veterans Home	7,162,976	0	0	0	-31,424	7,131,552	11,573,040	0	0	-15,974,810	0	-4,401,770	2,729,782
Veterans County Grants	990,000	0	0	0	0	990,000	183,815	0	0	-170,340	0	13,475	1,003,475
Vets Home Ownership Program	2,000,000	0	0	0	0	2,000,000	0	0	0	0	0	0	2,000,000
Veterans Affairs, Department of Total	**11,378,476**	**0**	**0**	**0**	**-27,161**	**11,351,315**	**11,940,753**	**0**	**0**	**-16,329,048**	**-6**	**-4,388,301**	**6,963,014**
Human Services Total	**$1,937,186,761**	**$ -61,828**	**$ 0**	**$ 91,648,636**	**$ -148,176**	**$2,028,625,393**	**$113,231,409**	**$ 0**	**$ 0**	**$-238,956,598**	**$ -989,484**	**$ -126,714,673**	**$1,901,910,720**

FY 2020 OTHER FUNDS APPROPRIATIONS

Health and Human Services Appropriations Subcommittee

	Original Approp	Adj to Standings	Supp & Deapprop	Salary Adjustment	OCIO Adjustment	Total Approp	Balance Brought Forward	Balance Carry Forward	Reversion	Total Adjustments	Total Expended
Health and Human Services											
Human Services, Department of											
Health Care Trust Fund											
Medical Assistance - HCTF	$ 208,460,000	$ 3,297,745	$ 0	$ 0	$ 0	$ 211,757,745	$ 0	$ 0	$ 0	$ 0	$ 211,757,745
Hospital Health Care Access Trust											
Medical Assistance Supplemental	33,920,554	0	0	0	0	33,920,554	0	0	0	0	33,920,554
Medicaid Fraud Account Fund											
Medicaid - Medicaid Fraud Account	75,000	140,000	0	0	0	215,000	0	0	-3,722	-3,722	211,278
Pharmaceutical Settlement											
Medical Contracts Supplement	234,193	0	0	0	0	234,193	0	0	-124,496	-124,496	109,697
Quality Assurance Trust Fund											
Medical Assistance Supplemental	58,570,397	0	0	0	0	58,570,397	0	0	-5,216,431	-5,216,431	53,353,966
Human Services, Department of Total	**301,260,144**	**3,437,745**	**0**	**0**	**0**	**304,697,889**	**0**	**0**	**-5,344,650**	**-5,344,650**	**299,353,240**
Public Health, Department of											
Sports Wagering Receipts Fund											
Gambling Treatment Program	300,000	0	0	0	0	300,000	0	0	0	0	300,000
Public Health, Department of Total	**300,000**	**0**	**0**	**0**	**0**	**300,000**	**0**	**0**	**0**	**0**	**300,000**
Health and Human Services Total	**$ 301,560,144**	**$ 3,437,745**	**$ 0**	**$ 0**	**$ 0**	**$ 304,997,889**	**$ 0**	**$ 0**	**$ -5,344,650**	**$ -5,344,650**	**$ 299,653,240**

Schedule 1 Example

Schedule 1 shows the "decision packages" used by the Executive Branch to arrive at a department's annual budget request (dollars and FTE positions) and the Governor's recommendations for a budget unit.

STATE OF IOWA
Fiscal Year 2021 Annual Budget
SPECIAL DEPARTMENT: (420) Public Health, Department of
Budget Unit: (588K010001) Addictive Disorders
Schedule 1

Rank	Description	Funding Source	Fiscal Year 2022 Department Request	Fiscal Year 2022 Governor's Recommendations
Base	Reducing the use of tobacco, alcohol, and other drugs and treating individuals affected by addictive behaviors, including gambling.	Appropriation	25,109,380	25,109,380
		FTE	12.00	12.00
0001	Governor's recommended salary adjustment for FY2022	Salary Adju	0	19,633

Total Budget Unit Funding	Fiscal Year 2021 Estimated	Fiscal Year 2022 Department Request	Fiscal Year 2022 Governor's Recommendations
Appropriation	$ 25,110,000	$ 25,109,379	$ 25,109,379
Salary Adjustment	0	0	19,633
Total Appropriations	$ 25,110,000	$ 25,109,379	$ 25,129,012
Total FTE	12.00	12.00	12.00

Department name & budget unit number

Fiscal year

Base appropriation and FTE positions plus "decision packages"

Total appropriation and FTE positions

Budget schedules are available at: www.legis.iowa.gov/publications/fiscal/budgetSchedules.

Schedule 6 Example

Schedule 6 provides a detailed budget for all appropriated accounts or funds under the control of a department. Resources include the appropriation, the salary adjustment (if applicable), across-the-board reductions, supplemental appropriations, intrastate receipts from other agencies, receipts from local governments, and other receipts such as fees. Expenditures include all expenses related to the operating budget, such as salary, travel, contracts, etc. Expenditures also include reversions or balance brought forward.

STATE OF IOWA

Fiscal Year 2021 Annual Budget

SPECIAL DEPARTMENT: (420) Public Health, Department of

Budget Unit: (588K010001) Addictive Disorders

Schedule 6

Department name & budget unit number

	Fiscal Year 2020 Actual	Fiscal Year 2021 Estimated	Fiscal Year 2022 Department Request	Fiscal Year 2022 Governor's Recomm
Resources				
Appropriations				
Appropriation	$ 24,804,344	$ 25,110,000	$ 25,109,379	$ 25,109,379
Salary Adjustment	0	0	0	19,633
OCIO Rate Adjustment	0	-621	0	0
	24,804,344	25,109,379	25,109,379	25,129,012
Receipts				
Intra State Receipts	920,979	931,000	931,000	931,000
Gov Fund Type Transfers - Other A	44,542	2,000	2,000	2,000
	965,521	933,000	933,000	933,000
Total Resources	$ 25,769,865	$ 26,042,379	$ 26,042,379	$ 26,062,012
FTE	7.97	12.00	12.00	12.00
Disposition of Resources				
Personal Services-Salaries	$ 931,041	$ 1,290,111	$ 1,295,118	$ 1,314,751
Personal Travel In State	33,501	19,100	19,100	19,100
State Vehicle Operation	854	880	880	880
Depreciation	613	524	524	524
Personal Travel Out of State	12,400	13,000	13,000	13,000
Office Supplies	12,408	36,500	36,500	36,500
Professional & Scientific Supplies	0	200	200	200
Other Supplies	348	200	200	200
Printing & Binding	27,661	19,000	19,000	19,000
Drugs & Biologicals	4	1,000	1,000	1,000
Postage	5,244	4,500	4,500	4,500
Communications	18,904	17,300	17,300	17,300

Fiscal year

Appropriations

Budget unit receipts

FTE positions

Budget unit expenditures

Budget schedules are available at: www.legis.iowa.gov/publications/fiscal/budgetSchedules.

Grants Enterprise Management Report

The Grants Enterprise Management System (GEM$) was established by the Iowa Legislature in 2003 to simplify the grant identification and application process for State customers and to provide a unified grants management approach within State government.

The Office of Grants Enterprise Management under the DOM is required to submit a report to the Fiscal Services Division by January 31 of each year, with a listing of all grants received during the previous calendar year with a value over $1,000 and the funding entity and purpose for each grant.

The tables below are the report received by the DOM.

2021 Competitive Grant Report
Report Required by Iowa Code Section 8.9
Iowa Department of Management
Source: GEM$ Database as entered by Agencies

State Agency	Title of Application	Amount Awarded	Actual Match	MOE Required?	Funding Start Date	Funding End Date	FTEs Associated with Grant
DPH	Promoting Integration of Primary and Behavioral Health Care in Iowa	$ 2,025,001	No	No	9/30/2018	9/29/2019	1.5
DPH	IDPH AmeriCorps Substance Abuse Program	324,400	Yes	No	9/1/2018	8/31/2019	1
DPH	Cooperative Agreements to Implement Zero Suicide in Health Systems	700,000	No	No	9/30/2018	9/29/2019	2
DPH	Iowa First Responders Addiction & Recovery	1,596,294	No	No	9/30/2018	9/29/2020	1
DPH	Treatment for Individuals Experiencing Homelessness	1,000,000	No	No	1/15/2020	1/14/2021	1
DPH	Iowa Youth Risk Behavior Survey (YRBS)	100,000	No	No	8/1/2018	7/31/2019	0.35
DPH	Ryan White Part B Supplemental Awards	10,760,441	No	No	9/30/2018	9/29/2019	4
DPH	ADAP ERF	3,907,443	No	No	4/1/2019	3/31/2020	1
DPH	Eliminating Hepatitis C in Iowa	90,034	No	No	2/15/2019	7/31/2019	0
DPH	Public Health Approaches to Violence Against Women	127,321	Yes	No	10/1/2019	9/30/2022	1.8
DPH	Iowa Youth Risk Behavioral Survey (YRBS)	100,000	No	No	8/1/2019	7/31/2020	0.35
DPH	Ryan White Part B and ADAP	3,370,953	No	No	4/1/2019	3/31/2020	3.8
DPH	Iowa Violent Death Reporting System	230,432	No	No	9/1/2019	8/31/2020	0.5
DPH	Prevention of Opioid Misuse in Women	100,000	No	No	7/1/2019	6/30/2020	0.25
DPH	Youth in Agriculture	45,000	No	No	12/10/2018	7/31/2019	0
DPH	2019 Epidemiology & Laboratory Capacity for Prevention and Control of Emerging Infectious Diseases	3,521,812	No	No	8/1/2019	7/31/2020	29.2
DPH	Promoting Integration of Primary and Behavioral Health Care in Iowa	1,785,075	No	No	9/30/2019	9/29/2020	1.3
DPH	Ryan White Part B Supplemental Award	4,640,925	No	No	9/30/2019	9/29/2020	3.5
DPH	Influenza Population-Based Hospitalization Surveillance Project	96,710	No	No	9/1/2019	7/31/2020	0.4
DPH	FY2019 Linking Actions for Unmet Needs in Children's Health	3,821,113	No	No	8/31/2019	8/30/2024	1
DPH	EPA Lead Certification	403,132	Yes	No	10/1/2019	9/30/2020	2.6
DPH	Centers for Disease Control and Prevention, National Center on Birth Defects and Development Disabilities	0	No	No	7/1/2019	6/30/2020	0.65
DPH	Demonstration Project to Increase Gynecologic Oncologist Treatment for Ovarian Cancer	75,000	No	No	5/1/2019	4/30/2020	0.2

2021 Noncompetitive Grant Report
Report Required by Iowa Code Section 8.9
Iowa Department of Management

State Agency	Title of Application	Amount Awarded	Match Amount	MOE Required?	Funding Start Date	Funding End Date	FTEs Associated with Grant
DHS	Family First Transition Act	$ 5,173,229	N/A	No	10/1/2019	9/30/2025	0
DHS	Money Follows the Person	167,117	N/A	No	1/1/2007	9/30/2024	0
DHS	Child Abuse Basic	912,469	N/A	No	10/1/2018	9/30/2024	0
DHS	Child Care Development Block Grant	31,899,093	N/A	Yes	3/27/2020	9/30/2023	0
DHS	Adoption Incentive Program	1,376,000	N/A	No	10/1/2018	9/30/2022	0
DHS	Child Care & Development Fund - Discretionary	42,834,667	N/A	Yes	10/1/2019	9/30/2022	0
DHS	Child Care & Development Fund - Mandatory	8,507,792	N/A	Yes	10/1/2018	9/30/2022	0
DHS	Child Care & Development Fund - Matching	17,075,108	N/A	Yes	10/1/2018	9/30/2022	0
DHS	Children's Justice Act	189,632	N/A	No	10/1/2019	9/30/2022	0
DHS	Nutrition Education	3,038,419	N/A	No	10/1/2019	9/30/2022	0
DHS	Family Resources	489,117	N/A	No	10/1/2018	12/29/2021	0
DHS	Access & Visitation	100,000	N/A	No	10/1/2019	9/30/2021	0
DHS	Child Welfare (IV-B)	2,875,317	N/A	No	10/1/2019	9/30/2021	0
DHS	Child Welfare Services Grant	476,722	N/A	No	3/27/2020	9/30/2021	0
DHS	Community Mental Health Block Grant	3,984,228	N/A	No	10/1/2019	9/30/2021	0
DHS	EBT Farm To Market	63,180	N/A	No	9/25/2019	9/30/2021	0
DHS	Education and Training Vouchers	753,141	N/A	No	10/1/2018	9/30/2021	0
DHS	Guardianship	4,454	N/A	No	7/1/2019	9/30/2021	0
DHS	Independent Living	2,227,594	N/A	No	10/1/2018	9/30/2021	0
DHS	Promoting Safe & Stable Families	1,860,764	N/A	No	10/1/2019	9/30/2021	0
DHS	Promoting Safe & Stable Families - Case Worker	158,961	N/A	No	10/1/2019	9/30/2021	0
DHS	Refugee Social Services	3,233,040	N/A	No	10/1/2018	9/30/2021	0
DHS	Social Services Block Grant	11,522,375	N/A	No	10/1/2018	9/30/2021	0
DHS	State Children's Health Insurance Program	137,325,212	N/A	No	4/1/2020	9/30/2021	0

Appendix E – Fee Project

Analysis of the Governor's Budget Recommendations

The Fee Project acts as reference guide to fees charged by departments and agencies. The information reflects FY 2019 and FY 2020 and, when possible, includes the number of persons who paid each fee and the amount of revenue generated by each fee. The information is reported by State agencies as of December 2020. The Fee Project workbooks for each subcommittee are available from each respective subcommittee webpage at the links listed below. Hard copies of these reports are available from the Fiscal Services Division upon request.

Administration and Regulation — www.legis.iowa.gov/docs/publications/FEES/1208653.xlsx

Agriculture and Natural Resources — www.legis.iowa.gov/docs/publications/FEES/1208654.xlsx

Economic Development — www.legis.iowa.gov/docs/publications/FEES/1208517.xlsx

Education — www.legis.iowa.gov/docs/publications/FEES/1208655.xlsx

Human Services — www.legis.iowa.gov/docs/publications/FEES/1208652.xlsx

Justice System — www.legis.iowa.gov/docs/publications/FEES/1208656.xlsx

Transportation — www.legis.iowa.gov/docs/publications/FEES/1208518.xlsx

Appendix F – General Fund Health and Human Services Spreadsheet

Analysis of the Governor's Budget Recommendations

This spreadsheet is used to demonstrate the incremental change in the appropriations from one fiscal year to the next. Similar to the Schedule 1 in the State Accounting System, these are the "decision packages" used to show the incremental change to an appropriation.

Health and Human Services

General Fund

	Actual FY 2020 (1)	Estimated FY 2021 (2)	Gov Rec FY 2022 (3)	Gov Rec vs. Est. FY 2021 (4)
Aging, Iowa Department on				
Total Department on Aging - Aging Programs	$ 11,164,382	$ 11,164,382	$ 11,164,382	$ 0
Total Office of LTC Ombudsman	$ 1,149,821	$ 1,149,821	$ 1,149,821	$ 0
Total Aging, Iowa Department on	$ 12,314,203	$ 12,314,203	$ 12,314,203	$ 0
Public Health, Department of				
Total Addictive Disorders	$ 25,109,379	$ 23,659,379	$ 23,659,379	$ 0
Total Healthy Children and Families	$ 5,816,681	$ 5,816,681	$ 5,816,681	$ 0
Total Chronic Conditions	$ 4,223,373	$ 4,223,373	$ 4,223,373	$ 0
Community Capacity	$ 5,594,306	$ 5,594,306	$ 5,594,306	$ 0
Psychiatry Residency Program	0	0	200,000	200,000
Centers of Excellence	0	0	1,000,000	1,000,000
Total Chronic Conditions	$ 5,594,306	$ 5,594,306	$ 6,794,306	$ 1,200,000
Total Essential Public Health Services	$ 7,662,464	$ 7,662,464	$ 7,662,464	$ 0
Total Infectious Diseases	$ 1,796,206	$ 1,796,206	$ 1,796,206	$ 0
Public Protection	$ 4,085,220	$ 4,085,220	$ 4,085,220	$ 0
Three Additional FTE Positions – Medical Examiner's Office	0	0	381,381	381,381
Total Public Protection	$ 4,085,220	$ 4,085,220	$ 4,466,601	$ 381,381
Total Resource Management	$ 933,871	$ 933,871	$ 933,871	$ 0
Total Congenital & Inherited Disorders Registry	$ 188,428	$ 223,521	$ 223,521	$ 0
Total Public Health, Department of	$ 55,409,928	$ 53,995,021	$ 55,576,402	$ 1,581,381

Health and Human Services

General Fund

	Actual FY 2020 (1)	Estimated FY 2021 (2)	Gov Rec FY 2022 (3)	Gov Rec vs. Est. FY 2021 (4)
Veterans Affairs, Department of				
Total General Administration	$ 1,229,763	$ 1,229,763	$ 1,229,763	$ 0
Total Home Ownership Assistance Program	2,000,000	2,000,000	2,000,000	$ 0
Total Veterans County Grants	990,000	990,000	990,000	$ 0
Total Veterans Affairs, Department of	$ 4,219,763	$ 4,219,763	$ 4,219,763	$ 0
Iowa Veterans Home				
Total Veterans Home	$ 7,131,552	$ 7,131,552	$ 7,131,552	$ 0
Human Services, Department of				
Total Family Investment Program/PROMISE JOBS	$ 40,003,978	$ 40,003,978	$ 40,003,978	$ 0
Medical Assistance	$ 1,516,364,409	$ 1,459,599,409	$ 1,459,599,409	$ 0
Nursing Facilities Rebasing	0	0	10,000,000	10,000,000
HCBS Provider Rate Increase	0	0	8,000,000	8,000,000
PMIC Provider Rate Increase	0	0	3,900,000	3,900,000
Total Medical Assistance	$ 1,516,364,409	$ 1,459,599,409	$ 1,481,499,409	$ 21,900,000
Total Health Program Operations	$ 17,831,343	$ 17,831,343	$ 17,831,343	$ 0
Total State Supplementary Assistance	$ 7,812,909	$ 7,349,002	$ 7,349,002	$ 0
State Children's Health Insurance	$ 21,098,426	$ 37,598,984	$ 37,598,984	$ 0
Fully Fund Program Based on Forecast Estimate	0	0	1,641,408	1,641,408
Annualize FY 2021 Capitation Rate Increase	0	0	1,220,163	1,220,163
Total State Children's Health Insurance	$ 21,098,426	$ 37,598,984	$ 40,460,555	$ 2,861,571
Total Child Care Assistance	$ 40,816,931	$ 40,816,931	$ 40,816,931	$ 0

Health and Human Services

General Fund

	Actual FY 2020 (1)	Estimated FY 2021 (2)	Gov Rec FY 2022 (3)	Gov Rec vs. Est. FY 2021 (4)
Total Child and Family Services	$ 89,071,930	$ 89,071,930	$ 89,071,930	$ 0
Total Adoption Subsidy	$ 40,596,007	$ 40,596,007	$ 40,596,007	$ 0
Total Family Support Subsidy	$ 949,282	$ 949,282	$ 949,282	$ 0
Total Conner Training	$ 33,632	$ 33,632	$ 33,632	$ 0
Total Volunteers	$ 84,686	$ 84,686	$ 84,686	$ 0
Child Abuse Prevention	$ 205,835	$ 420,998	$ 420,998	$ 0
Accounting system adjustment	0	0	-188,428	-188,428
Total Child Abuse Prevention	$ 205,835	$ 420,998	$ 232,570	$ -188,428
Eldora Training School	$ 13,950,961	$ 16,029,488	$ 16,029,488	$ 0
Replace Carryforward	0	0	326,679	326,679
Annualization of FY 2021 Salary/Benefit Costs	0	0	80,178	80,178
Administrative Cost Increases	0	0	290,520	290,520
Youth Services Worker Recruitment/Retention	0	0	670,203	670,203
Total Eldora Training School	$ 13,950,961	$ 16,029,488	$ 17,397,068	$ 1,367,580
MHDS Regional Services	$ 0	$ 0	$ 0	$ 0
MHDS Regional Services	0	0	15,000,000	15,000,000
Total MHDS Regional Services	$ 0	$ 0	$ 15,000,000	$ 15,000,000
Cherokee MHI	$ 14,245,968	$ 14,245,968	$ 14,245,968	$ 0
Replace Carryforward	0	0	1,046,132	1,046,132
Replace Provider Relief Funds	0	0	600,759	600,759
Annualization of FY 2021 Salary/Benefit Costs	0	0	63,404	63,404
Administrative Cost Increases	0	0	102,093	102,093
Total Cherokee MHI	$ 14,245,968	$ 14,245,968	$ 16,058,356	$ 1,812,388

Health and Human Services

General Fund

	Actual FY 2020	Estimated FY 2021	Gov Rec FY 2022	Gov Rec vs. Est. FY 2021
	(1)	(2)	(3)	(4)
Independence MHI	$ 19,201,644	$ 19,201,644	$ 19,201,644	$ 0
Replace Carryforward	0	0	367,740	367,740
Replace Provider Relief Funds	0	0	975,698	975,698
Annualization of FY 2021 Salary/Benefit Costs	0	0	61,218	61,218
Administrative Cost Increases	0	0	41,210	41,210
Staffing Adjustment - Overtime Change	0	0	-19,433	-19,433
Total Independence MHI	$ 19,201,644	$ 19,201,644	$ 20,628,077	$ 1,426,433
Glenwood Resource Center	$ 17,033,867	$ 16,700,867	$ 16,700,867	$ 0
Carryforward Adjustment	0	0	-284,748	-284,748
Replace COVID-19 Enhanced FMAP	0	0	2,304,288	2,304,288
Annualization of FY 2021 Salary/Benefit Costs	0	0	261,966	261,966
Administrative Cost Adjustment	0	0	-519,080	-519,080
Staffing Level Adjustment	0	0	-4,101,700	-4,101,700
Other Revenue Adjustments - Per Diem	0	0	441,280	441,280
Total Glenwood Resource Center	$ 17,033,867	$ 16,700,867	$ 14,802,873	$ -1,897,994
Woodward Resource Center	$ 10,913,360	$ 10,913,360	$ 10,913,360	$ 0
Carryforward Adjustment	0	0	-992,164	-992,164
Replace COVID-19 Enhanced FMAP	0	0	1,460,157	1,460,157
Annualization of FY 2021 Salary/Benefit Costs	0	0	160,982	160,982
Administrative Cost Increases	0	0	1,132,516	1,132,516
Other Revenue Adjustments - Per Diem	0	0	1,023,243	1,023,243
Total Woodward Resource Center	$ 10,913,360	$ 10,913,360	$ 13,698,094	$ 2,784,734
Civil Commitment Unit for Sexual Offenders	$ 12,070,565	$ 12,070,565	$ 12,070,565	$ 0
Replace Carryforward	0	0	1,718,762	1,718,762
Annualization of FY 2021 Salary/Benefit Costs	0	0	77,698	77,698
Administrative Cost Adjustment	0	0	-12,468	-12,468
Staffing Adjustment - Overtime Change	0	0	-210,830	-210,830
Total Civil Commitment Unit for Sexual Offenders	$ 12,070,565	$ 12,070,565	$ 13,643,727	$ 1,573,162
Child Support Recovery	$ 14,867,813	$ 14,867,813	$ 14,867,813	$ 0
Administrative Cost Increases	0	0	762,079	762,079
Federal Incentives Replacement	0	0	312,993	312,993
Total Child Support Recovery	$ 14,867,813	$ 14,867,813	$ 15,942,885	$ 1,075,072

Health and Human Services

General Fund

	Actual FY 2020 (1)	Estimated FY 2021 (2)	Gov Rec FY 2022 (3)	Gov Rec vs. Est. FY 2021 (4)
Field Operations	$ 55,600,398	$ 55,600,398	$ 55,600,398	$ 0
Replace Carryforward Used to Cover FY 21 Salary Level	0	0	2,245,801	2,245,801
Annualization of FY 2021 Salary/Benefit Costs	0	0	382,899	382,899
Replace One-Time Revenue for 32 New FTEs in FY 21	0	0	1,301,137	1,301,137
New FTEs to Relieve Caseloads (53 FTEs Over Two Fiscal Years)	0	0	765,685	765,685
Administrative Cost Increases	0	0	300,747	300,747
Total Field Operations	$ 55,600,398	$ 55,600,398	$ 60,596,667	$ 4,996,269
General Administration	$ 13,772,533	$ 13,772,533	$ 13,772,533	$ 0
Administrative Cost Increases	0	0	769,656	769,656
Total General Administration	$ 13,772,533	$ 13,772,533	$ 14,542,189	$ 769,656
Total DHS Facilities	$ 2,879,274	$ 2,879,274	$ 2,879,274	$ 0
Total Commission of Inquiry	$ 1,394	$ 1,394	$ 1,394	$ 0
Total Nonresident Mental Illness Commitment	$ 142,802	$ 142,802	$ 142,802	$ 0
Total Human Services, Department of	$ 1,949,549,947	$ 1,910,782,288	$ 1,964,262,731	$ 53,480,443
Total Health and Human Services	$ 2,028,625,393	$ 1,988,442,827	$ 2,043,504,651	$ 55,061,824

Appendix G – Temporary Assistance For Needy Families Balance Sheet

The federal government implemented Federal Welfare Reform on August 22, 1996. Federal Welfare Reform changed the funding for the Family Investment Program (FIP) from a matching program to a federal block grant. The Temporary Assistance for Needy Families (TANF) Program was reauthorized on February 8, 2006, with work participation rates extended to separate State programs and the elimination of high performance bonuses. Iowa's federal TANF grant is $130,558,068 per year.

The balance sheet in this appendix shows the distribution of the TANF block grant.

TANF Balance Sheet

	Actual FY 2019	Actual FY 2020	Estimated FY 2021	Gov Rec FY 2022	Gov Rec FY 2023
Revenues					
Beginning Balance	$ 251,315	$ 1,067,624	$ 4,532,693	$ 4,110,378	$ 3,688,063
TANF Surplus from CFS	0	1,089,807	0	0	0
TANF Payment	130,558,068	130,558,068	130,558,068	130,558,068	130,558,068
Total Revenues	$ 130,809,383	$ 132,715,499	$ 135,090,761	$ 134,668,446	$134,246,131
Appropriations					
Family Investment Program					
Family Investment Program	$ 3,685,633	$ 3,507,371	$ 5,002,006	$ 5,002,006	$ 5,002,006
FaDSS	2,875,968	2,888,980	5,412,060	5,412,060	5,412,060
JOBS Program	5,192,060	5,225,088	2,888,980	2,888,980	2,888,980
Training and Technology	408,593	738,063	1,037,186	1,037,186	1,037,186
Child Abuse Prevention	72,863	125,000	125,000	125,000	125,000
Pregnancy Prevention	1,737,305	1,878,647	1,913,203	1,913,203	1,913,203
Child Care Assistance	47,166,826	47,166,826	47,166,826	47,166,826	47,166,826
Child and Family Services	32,380,654	32,380,654	32,380,654	32,380,654	32,380,654
General Administration	3,744,000	3,744,000	3,744,000	3,744,000	3,744,000
Field Operations	32,465,681	31,296,232	31,296,232	31,296,232	31,296,232
Expanded Categorical Eligibility for SNAP	12,176	11,284	14,236	14,236	14,236
Total Appropriations	$ 129,741,759	$ 128,962,145	$ 130,980,383	$ 130,980,383	$130,980,383
Reversions	0	-779,339	0	0	0
Ending Balance	$ 1,067,624	$ 4,532,693	$ 4,110,378	$ 3,688,063	$ 3,265,748

Notes:

TANF - Temporary Assistance for Needy Families
FaDSS - Family Development and Self-Sufficiency Program
MH/DD - Mental Health and Developmental Disabilities