

**HEALTH AND HUMAN SERVICES  
APPROPRIATIONS SUBCOMMITTEE**

**JANUARY 2019**

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## Health and Human Services Subcommittee Members

### Analysis of the Governor's Budget Recommendations

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### MEDICAL ASSISTANCE PROGRAM (MEDICAID)

#### Fiscal Year 2019 through 2021 Governor's Recommendations

The Governor is recommending a supplemental appropriation of \$141.1 million for fiscal year (FY) 2019. This is \$2.4 million below the Medicaid Forecasting Group's estimate. The reduction in funding compared to the estimate is due to the Governor using the Department of Human Services (DHS) estimate and adjusted rates for the managed care organizations (MCOs) as they shift from a fiscal year to a calendar year contract beginning January 1, 2019. The major contributors toward the need for a supplemental appropriation include the increase in capitation rates for the MCOs, a number of one-time payments related to the MCOs, and reductions in revenue from the Health Care Trust Fund.

The Governor is recommending a General Fund increase of \$72.1 million for FY 2020. The increase funds Medicaid at \$8.9 million below the Forecasting Group's estimated need. The reduction in funding compared to the estimate is due to the Governor using the DHS estimate and adjusted rates for the MCOs as they shift from a fiscal year to a calendar year contract beginning January 1, 2019. The estimate also includes \$13.4 million to reimburse nursing facilities for the increased provider assessment, \$8.5 million to rebase nursing facilities, and \$1.2 million to address the waiting list for the Children's Mental Health Home and Community-Based Services Waiver.

For FY 2021, the Governor is recommending an additional \$46.3 million over the FY 2020 recommendation. The recommendation for FY 2020 reflects a half-year adjustment for capitation rates for the MCOs and FY 2021 does not reflect any changes in capitation rates.

The recommended changes are illustrated in **Table 1** below.

**Table 1**  
**Medicaid Funding**  
**Governor's Recommended Changes**  
**FY 2019 through FY 2021**

	FY 2019	FY 2020	FY 2021
<b>Revenue Changes</b>			
General Fund Appropriation Change	\$ 141,125,445	\$ 72,088,520	\$ 46,293,994
Nursing Facility Quality Assurance Assessment Increase	0	21,865,189	0
<b>Total Revenue Changes</b>	\$ 141,125,445	93,953,709	46,293,994
<b>Expenditure Changes</b>			
Adjustment to DHS Estimate and CY 2019 MCO Rates	\$ -2,374,555	\$ -8,916,480	\$ 0
Adjustment due to FY 2019 line-item veto	\$ 0	\$ -195,000	
Nursing Facility Increase Due To Assessment	0	13,385,247	0
Nursing Facility Increase Due To Rebase	0	8,479,942	0
CMH Waiver Wait List Reduction	0	1,200,000	0
<b>Total Expenditure Changes</b>	\$ -2,374,555	\$ 13,953,709	\$ 0
<b>Forecasting Group Estimated Need*</b>	\$ 143,500,000	\$ 80,000,000	
<b>Surplus/Shortfall</b>	\$ 0	\$ 0	

\*The Forecasting Group estimated need and the Governor's Recommendation do not include FY 2020 and FY 2021 capitation rate increases for the MCOs.

**Medicaid Forecast FY 2019 and FY 2020**

**Table 2** below shows actual and estimated revenues and expenditures for FY 2018 through FY 2020. The Medicaid Forecasting Group, consisting of staff members from the DHS, the Department of Management (DOM), and the Fiscal Services Division of the Legislative Services Agency (LSA) met on December 18, 2018, to discuss estimated Medicaid expenditures for FY 2019 and FY 2020. The Medicaid Forecasting Group meets periodically to discuss revenues and expenditures and agree on estimates for the current and upcoming fiscal years.

Medicaid ended FY 2018 with a \$36.2 million surplus. Of that, \$32.9 million was due to funds set aside for payments to the two MCOs as part of the habilitation risk pool and the pharmacy carve-out, which both will be paid in FY 2019. Additional factors that led to the surplus include savings due to lower enrollment trends and the elimination of a \$3.0 million audit payback to the federal government.

**Table 2  
Medicaid Balance Sheet**

	<i>Actual FY 2018</i>	<i>Estimated FY 2019</i>	<i>Estimated FY 2020</i>
<b>Medicaid Funding</b>			
Carryforward from Previous Year	\$ 44,841,621	\$ 36,170,463	\$ 0
Carryforward Due to Shift in Emerging Trends Payment	54,664,353	0	0
Palo Replacement Generation Tax	1,152,046	1,408,668	1,220,387
Health Care Trust Fund	213,193,427	214,574,549	213,380,000
Nursing Facility Quality Assurance Fund	35,701,241	36,705,208	36,705,208
Hospital Trust Fund	33,920,554	33,920,554	33,920,554
Medicaid Fraud Fund	610,032	75,000	75,000
Transfer Decat. Reversion	1,016,278	500,000	500,000
<b>Total Non-General Fund Sources</b>	<b>\$ 385,099,552</b>	<b>\$ 323,354,442</b>	<b>\$ 285,801,149</b>
<b>Total General Fund Sources</b>	<b>\$ 1,282,992,417</b>	<b>\$ 1,337,646,375</b>	<b>\$ 1,337,646,375</b>
<b>Total Medicaid Funding</b>	<b>\$ 1,668,091,969</b>	<b>\$ 1,661,000,817</b>	<b>\$ 1,623,447,524</b>
<b>Estimated State Medicaid Need</b>	<b>\$ 1,620,575,055</b>	<b>\$ 1,662,069,068</b>	<b>\$ 1,739,668,080</b>
FMAP Changes	-66,600,000	-58,940,360	-53,120,556
Health and Wellness Program Expenditures	17,300,000	7,200,000	16,900,000
MCO Capitation Increase	60,646,451	105,907,245	0
Risk Corridor Payments	0	21,817,026	0
Habilitation Risk Pool Adjustment	0	40,425,283	0
MCO Incentives	0	11,400,000	0
CY 2018 Health Insurer Fee Payment	0	14,622,555	0
<b>Total Estimated Medicaid Need</b>	<b>\$ 1,631,921,506</b>	<b>\$ 1,804,500,817</b>	<b>\$ 1,703,447,524</b>
<b>Balance (Underfunded If Negative)</b>	<b>\$ 36,170,463</b>	<b>\$ -143,500,000</b>	<b>\$ -80,000,000</b>
MCO – Managed Care Organization		FMAP – Federal Medical Assistance Percentage	

For FY 2019, the Forecasting Group agreed Medicaid will have an estimated need of \$143.5 million. Some of the factors driving increased costs in FY 2019 are the estimated \$102.8 million increase in capitation payments to the MCOs for FY 2019, \$21.8 million in risk corridor payments, \$11.4 million in MCO incentives, \$14.6 million for the calendar year (CY) 2018 Health Insurer Fee, \$32.9 million in payments carried forward from FY 2018, and all of the changes approved by the Legislature and signed into law by the Governor in [SF 2418](#) (FY 2019 Health and Human Services Appropriations Act).



For FY 2020, the Forecasting Group agreed Medicaid will have an estimated need of \$80.0 million. This does not include an increase in capitation payments to the MCOs for FY 2020, but does include the following:

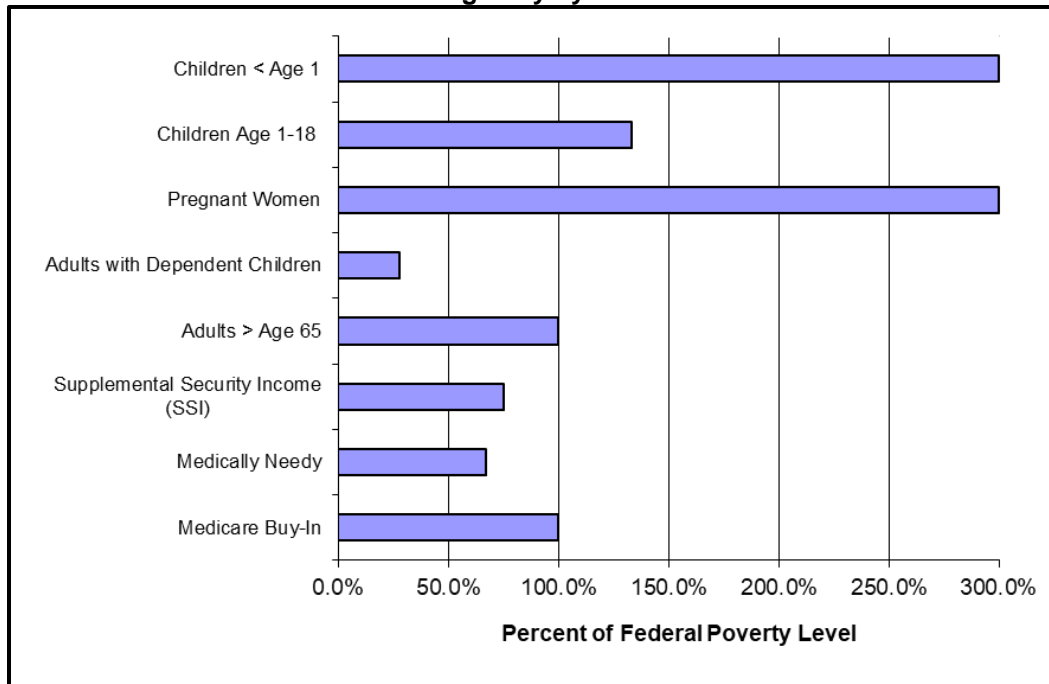
- An increase of \$64.3 million to replace the unfunded need from FY 2019.
- An increase of \$6.0 million to replace one-time funding in FY 2019.
- An increase of \$19.6 million due to an increase in the MCO withhold payment due to the shift to a calendar year MCO contract.
- An increase of \$28.7 million for increased cost of services and enrollment growth.
- An increase of \$5.2 million due to funding related to [HF 2456](#) (FY 2018 Mental Health Complex Needs Act).
- A decrease of \$7.6 million due to increased drug rebates and other recoveries.
- An increase of \$16.9 million to replace federal funding due to a reduction in the Iowa Health and Wellness Plan (I-HAWP) Federal Medical Assistance Percentage (FMAP) rate.
- A decrease of \$53.1 million to reflect the new regular Medicaid FMAP rate.

**Medicaid Income and Eligibility**

Medicaid is funded jointly by State and federal funds to provide health care services to low-income persons who are elderly, blind, disabled, pregnant, under age 21, or members of families with dependent children.

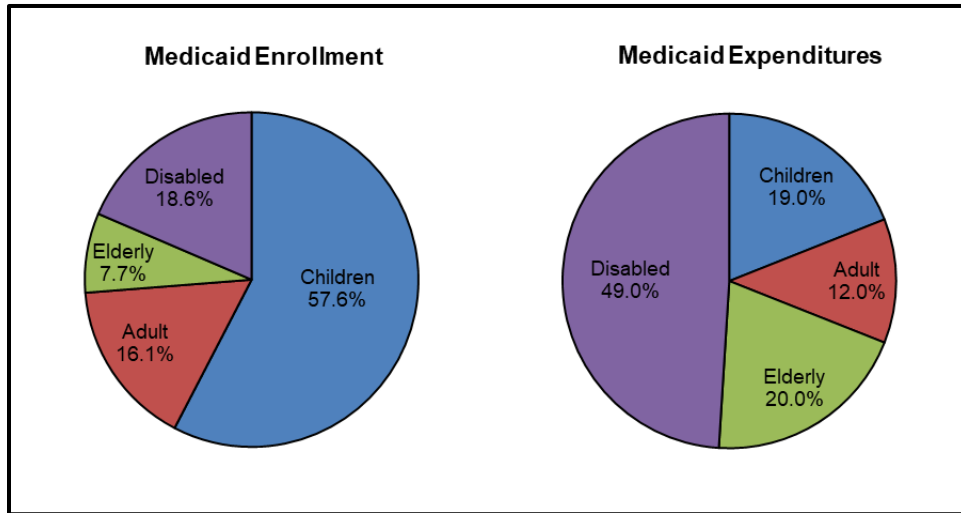
The Iowa Medicaid program covers individuals at various levels of income as allowed under federal law. To be eligible for Medicaid, an individual must meet income requirements and qualify as a member of an eligible category. **Chart 1** shows the maximum income level for children, pregnant women, adults with dependent children, adults over age 65, recipients of Supplemental Security Income (SSI), the Medically Needy Program, and the Medicare Buy-In Program. The income levels are based on the percentage of the Federal Poverty Level (FPL) calculated annually by the federal government and vary by the size of the household. The FPL for a family of four was \$25,100 for 2018. The 2019 amount will be released at the end of January.

**Chart 1  
Medicaid Eligibility by Income Level**



**Chart 2** shows a comparison of enrollment and expenditures by eligibility category. In FY 2018, an average of 423,924 lowans were enrolled in Medicaid. Of the total, 57.6% were children, 16.1% were adults with dependent children, 18.6% were disabled, and 7.7% were elderly. Medicaid expenditures for FY 2018 totaled \$4.839 billion. Of the total expenditures, 19.0% was for children, 12.0% was for adults with dependent children, 49.0% was for the disabled, and 20.0% was for the elderly. While children account for 57.6% of the enrollment, they consume only 19.0% of Medicaid expenditures. Elderly and disabled individuals account for 26.3% of enrollment and utilize 69.0% of expenditures.

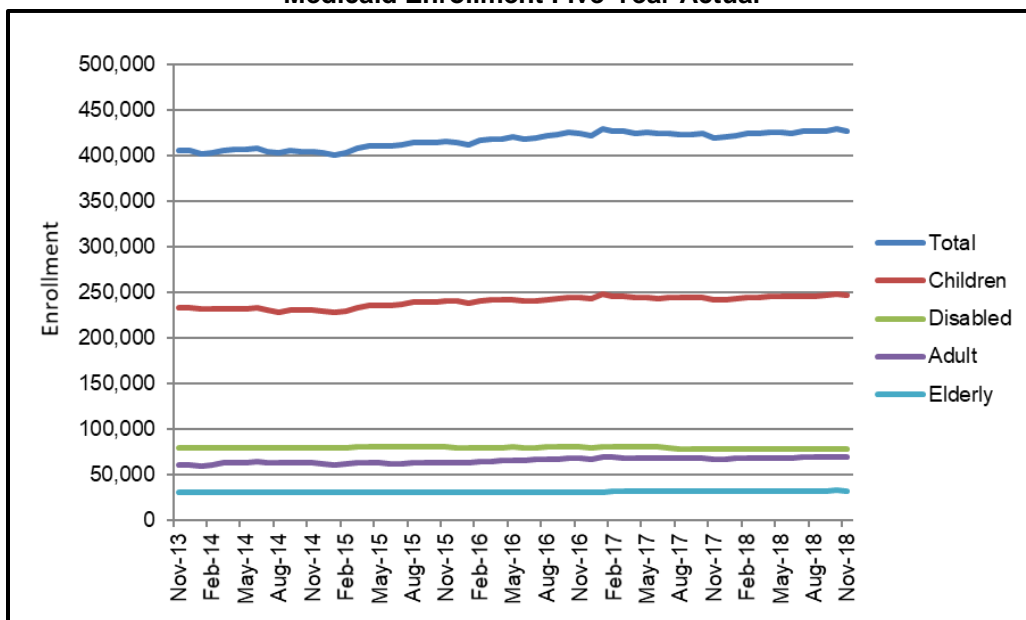
**Chart 2**  
**FY 2018 Medicaid Enrollment vs. Expenditures**



**Enrollment**

**Table 3** shows that Medicaid enrollment growth over the past five years has remained slow and steady. Medicaid enrollment grew by 1.1% in FY 2014 and 0.7% in FY 2015. The growth rate was 1.9% in FY 2016 and 1.3% in both FY 2017 and FY 2018.

**Table 3**  
**Medicaid Enrollment Five-Year Actual**



Current enrollment through November 2018 was 427,506, an increase of 2,073 individuals year-to-date. Enrollment tends to fluctuate by several thousand members each month as members enter and exit the Program. The Fiscal Services Division of the LSA is projecting Medicaid enrollment will continue to grow slowly, with enrollment growth of 1.2% in FY 2019 and 0.8% in FY 2020.

**Managed Care**

Amerigroup and United Healthcare of the River Valley have agreed to new managed care contract rates with the DHS for FY 2019. The new rates are an increase of \$105.9 million State share (7.7%) over FY 2018. The updated rates are included in the FY 2019 estimates in **Table 4**.

A third MCO, Iowa Total Care, Inc., signed a contract to begin providing services effective beginning July 1, 2019. Members enrolled with the other two MCOs will be reassigned at random, with an emphasis on not reassigning high-needs individuals. If a reassigned individual would prefer to stay with the individual's current MCO, that person may notify DHS of that preference and the individual will not be reassigned.

All managed care reports and a variety of other reports compiled by the DHS are available here: [dhs.iowa.gov/ime/about/performance-data](https://dhs.iowa.gov/ime/about/performance-data). In addition, the General Assembly established a Health Policy Oversight Committee to receive updates; review data, public input, and concerns; and make recommendations for improvements to and changes in law or rule regarding Medicaid managed care. The Committee is required to meet at least twice annually during the legislative interim. For more information, please visit the committee website at [www.legis.iowa.gov/perma/010920193216](http://www.legis.iowa.gov/perma/010920193216).

**Revenues and Expenditures**

**Table 4** shows actual Medicaid expenditures for FY 2016 through FY 2018 and projected expenditures for FY 2019 and FY 2020. The four largest categories that drive Medicaid costs are hospitals (inpatient and outpatient treatment), nursing homes, pharmaceuticals, and physician costs.

**Table 4**  
**State Medicaid Expenditures — All State Funds**  
(Dollars in Millions)

	State Actual	FMAP Cost	Adjusted State Total
FY 2016 Actual	\$ 1,640.5	\$ 56.1	\$ 1,696.6
FY 2017 Actual	1,576.3	-45.6	1,530.7
FY 2018 Actual	1,698.5	-66.6	1,631.9
FY 2019 Projected Need	1,863.4	-58.9	1,804.5
FY 2020 Projected Need	1,756.6	-53.1	1,703.5

\*Note: Projected expenditures reflect the estimates of the Medicaid Forecasting Group for FY 2019 and FY 2020. The FY 2019 estimate does not include increases in capitation rates for MCOs that may occur due to the switch to calendar year rates, and the FY 2020 estimate does not include any rate increases for the MCOs.

As **Table 4** illustrates, Medicaid expenditures fluctuated significantly from FY 2016 to FY 2018. One of the driving factors of this fluctuation was the change in the FMAP rate, which is the federal/state funding formula for Medicaid and is based on a rolling three-year average of per capita income. As a result of the decrease in the FMAP rate in FY 2016, Iowa was required to pay \$56.1 million more for the Medicaid program, decreasing the federal government's share. In FY 2017 through FY 2020, the FMAP rate has shifted back in the State's favor by 5.81%, saving the State an estimated \$224.2 million. In addition to the FMAP change, a number of other factors have contributed to significant changes in Medicaid expenditures, including:

- Beginning April 1, 2016, the majority of the Medicaid program was shifted under the management of three MCOs. The move to managed care was estimated to save the State in excess of \$100.0 million through the first contract period, which ran through FY 2017. In FY 2018 and FY 2019, there have been \$166.6 million in capitation rate increases for the MCOs.
- There has been significant shifting of payments from one fiscal year to another over the past several years due to the State not being able to process payments within the fiscal year. This has led to significant carryforward and expenditures being depressed in one year and inflated in the next year.
- The FMAP rate for the newly eligible population covered by the Iowa Health and Wellness Plan decreased from 100.0% federal match to 97.5% federal match in FY 2017, to 94.5% in FY 2018, to 93.5% in FY 2019, and to 91.5% in FY 2020. The shift in funding from the federal to the State government means Iowa was responsible for approximately \$17.1 million more in health care costs in FY 2017 and for an additional \$17.3 million in FY 2018, and will be responsible for \$7.2 million in FY 2019, and \$16.9 million in FY 2020. Beginning in FY 2021, the rate will shift to 90.0%, where it will remain unless changed by the federal government.
- The General Assembly has enacted a number of rate increases for providers, including increases of \$17.0 million for nursing facilities, \$1.0 million for home health care providers, and \$1.0 million for Home and Community-Based Services (HCBS) waiver providers in FY 2016. For FY 2017, the General Assembly provided a \$2.2 million rate increase for HCBS waiver providers and \$2.0 million to reduce the waiting lists for HCBS services. For FY 2019, \$3.0 million was provided for tiered rates for HCBS waiver providers and \$1.0 million was provided for home health care providers.

**FY 2020 FMAP Rate**

The Bureau of Economic Analysis released final state personal per capita income data for 2016 on September 27, 2018. This allows states to calculate their final FY 2020 FMAP rates. The FY 2020 FMAP rates are based on per capita personal incomes for calendar years 2015 through 2017. These rates are calculated on a federal fiscal year basis, but the numbers in this article have been blended to reflect SFY 2019. Iowa’s FY 2020 FMAP rate increased by 1.31% to 60.88%. This means for every dollar spent on the Medicaid program, the federal government pays \$0.6088 and Iowa pays \$0.3912. The FMAP rate is 0.17% higher than the preliminary estimate that was released in March 2018.

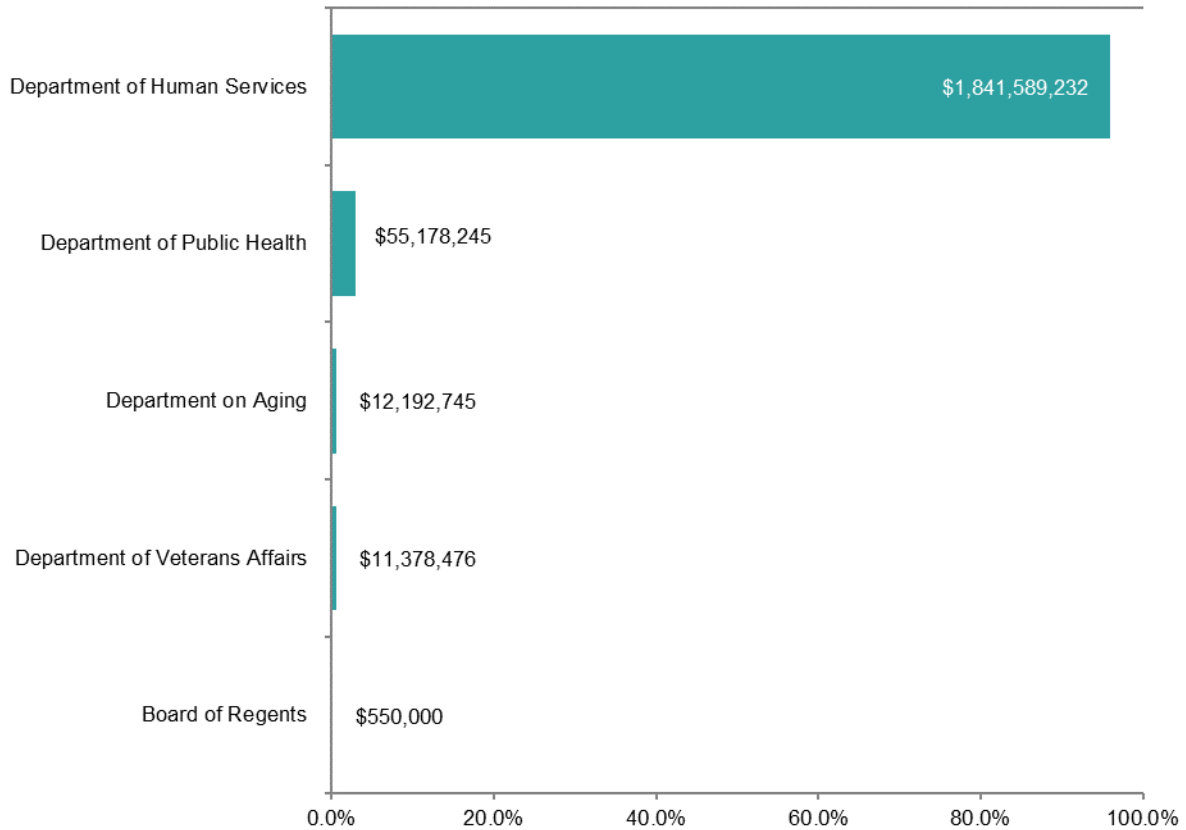
The FMAP increase indicates that Iowa’s economy is not doing as well compared to other states, resulting in a larger share of the total available FMAP funding for Iowa. This is the fourth year in a row that the FMAP rate has moved back in the State’s favor, meaning Iowa pays less. Prior to that, the rate declined 8.2% from FY 2010 to FY 2016.

**Table 5  
Regular State Medicaid FMAP Rate**

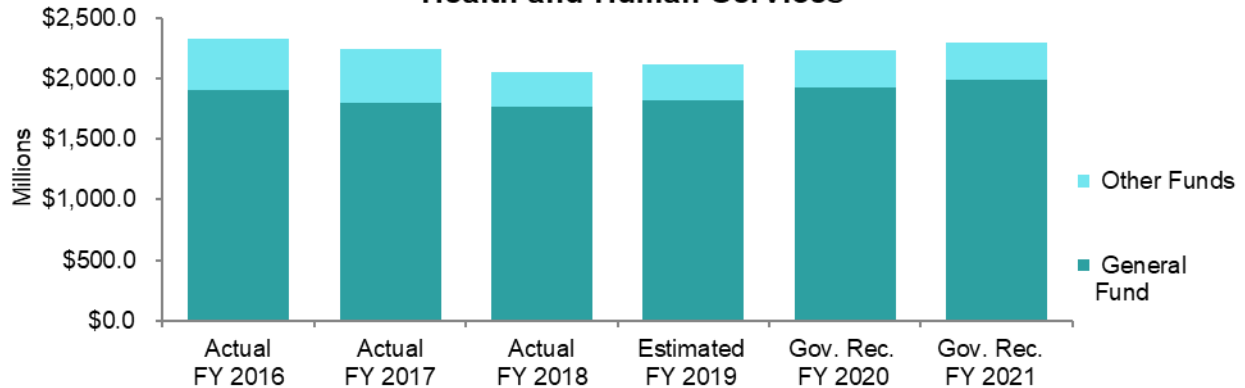
Federal Fiscal Year	Federal Share	State Share	Federal % Change
FY 2016	55.07%	44.93%	-1.07%
FY 2017	56.28%	43.72%	1.21%
FY 2018	58.05%	41.95%	1.77%
FY 2019	59.57%	40.43%	1.52%
FY 2020	60.88%	39.12%	1.31%

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**FY 2020 General Fund Governor's Recommendations  
 Total: \$1,920,888,698**



**Funding History by Appropriations Subcommittee —  
 Health and Human Services**



**DEPARTMENT ON AGING**

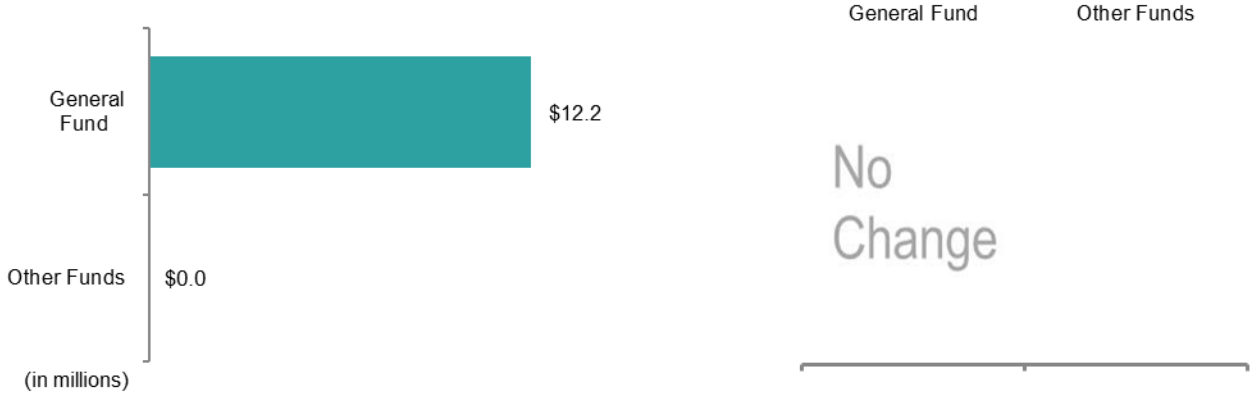
**Overview and Funding History**

**Agency Overview:** The [Department on Aging](#) is designated as the State Unit on Aging. The Department advocates for lowans age 60 and older and is responsible for developing a comprehensive and coordinated system of services and activities for older lowans through the 13 local Area Agencies on Aging across the State.

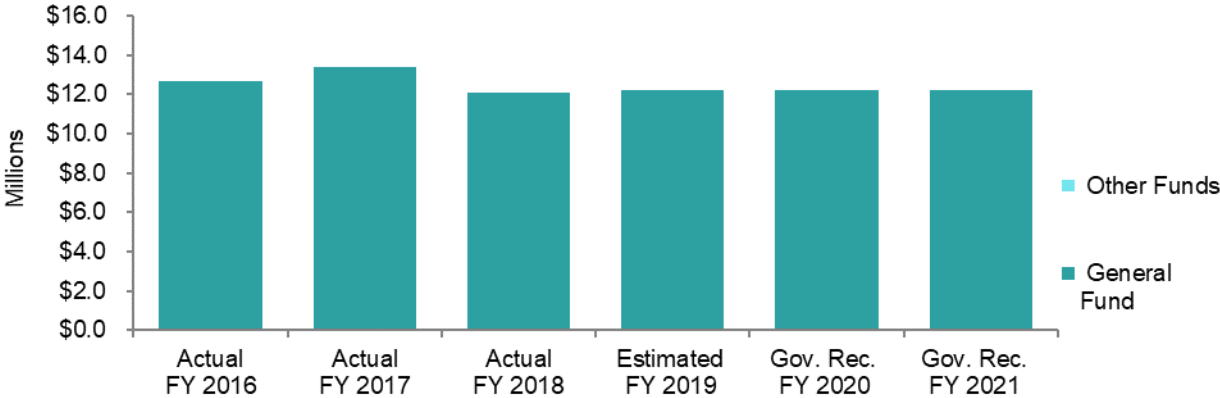
The [Office of the State Long-Term Care Ombudsman](#) is authorized by the federal Older Americans Act and the State Older lowans Act. The Office operates as an independent entity within the Iowa Department on Aging and advocates for residents of nursing facilities and residential care facilities, as well as for tenants of assisted living programs and elder group homes.

**FY 2020 Governor's Recommendations  
Total: \$12,192,745**

**Governor's Recommendations  
Compared to FY 2019**



**Funding History**



**General Fund Recommendations**

	Actual FY 2018 <u>(1)</u>	Estimated FY 2019 <u>(2)</u>	Gov Rec FY 2020 <u>(3)</u>	Gov Rec FY 20 vs Est FY 19 <u>(4)</u>
<b><u>Aging, Iowa Department on</u></b>				
<b>Aging, Dept. on</b>				
Aging Programs	\$ 10,942,924	\$ 11,042,924	\$ 11,042,924	\$ 0
Office of LTC Ombudsman	1,149,821	1,149,821	1,149,821	0
<b>Total Aging, Iowa Department on</b>	<b>\$ 12,092,745</b>	<b>\$ 12,192,745</b>	<b>\$ 12,192,745</b>	<b>\$ 0</b>

**Discussion Item**

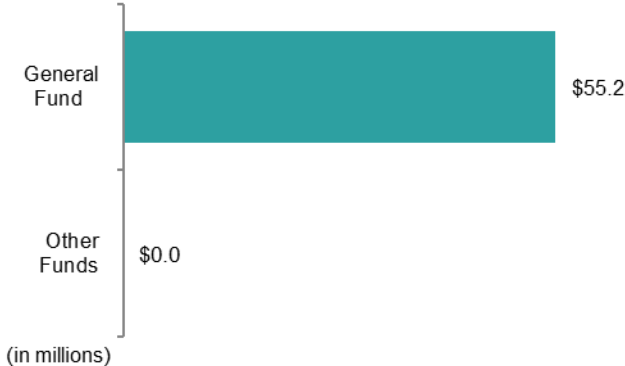
**Pre-Medicaid Pilot Project** — The Department on Aging received \$100,000 in FY 2019 to establish a pilot project that provides long-term care support planning to assist non-Medicaid-eligible seniors who want to return to their homes or communities following a nursing facility or hospital stay. The goal of the project is to gather data on potential savings to Medicaid and apply for a Section 1115 Medicaid waiver to draw down federal matching funds for a statewide program. The General Assembly may wish to receive an update on the implementation of this project.

**DEPARTMENT OF PUBLIC HEALTH**

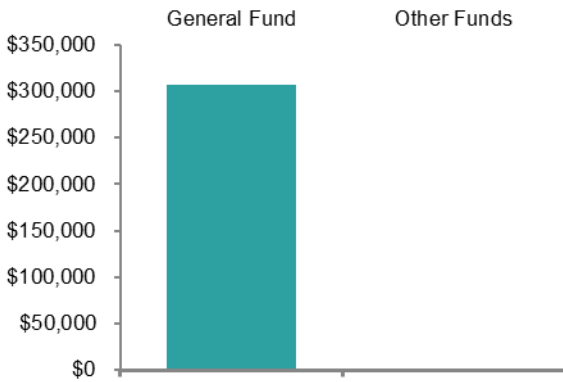
**Overview and Funding History**

**Agency Overview:** The [Department of Public Health](#) (DPH) works with local public health agencies to ensure quality health services in Iowa communities through contracts with more than 700 entities, in all 99 counties, to provide population-based health services and a limited number of personal health services. The Department provides administrative support to 24 licensure boards, preventing epidemics and the spread of disease, protecting against environmental hazards, preventing injuries and violence, promoting and encouraging healthy behaviors and mental health, preparing for and responding to public health emergencies and assisting communities in recovery, and assuring the quality and accessibility of health services.

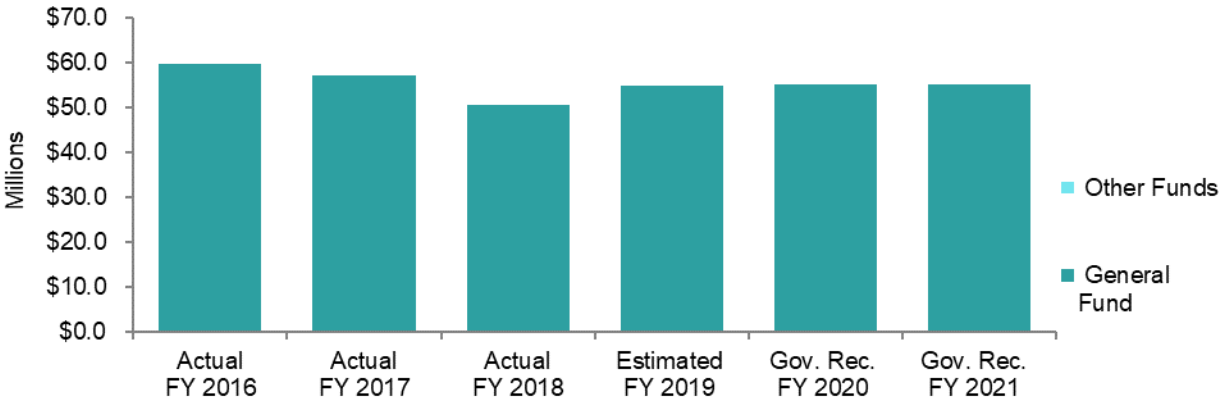
**FY 2020 Governor's Recommendations**  
Total: \$55,178,245



**Governor's Recommendations Compared to FY 2019**



**Funding History**





**General Fund Recommendations**

	Actual FY 2018 <u>(1)</u>	Estimated FY 2019 <u>(2)</u>	Gov Rec FY 2020 <u>(3)</u>	Gov Rec FY 20 vs Est FY 19 <u>(4)</u>
<b>Public Health, Department of</b>				
<b>Public Health, Dept. of</b>				
Addictive Disorders	\$ 24,804,344	\$ 24,804,344	\$ 25,110,594	\$ 306,250
Healthy Children and Families	5,284,599	5,820,625	5,820,625	0
Chronic Conditions	3,546,757	4,528,109	4,228,109	-300,000
Community Capacity	2,351,879	4,970,152	4,970,152	0
Essential Public Health Services	7,660,942	7,662,464	7,962,464	300,000
Infectious Diseases	1,752,786	1,796,426	1,796,426	0
Public Protection	4,083,887	4,095,139	4,095,139	0
Resource Management	971,215	971,215	971,215	0
Congenital & Inherited Disorders Registry	242,066	223,521	223,521	0
<b>Total Public Health, Department of</b>	<b>\$ 50,698,475</b>	<b>\$ 54,871,995</b>	<b>\$ 55,178,245</b>	<b>\$ 306,250</b>

**Governor’s Recommendations FY 2020 — Significant Changes**

**Addictive Disorders \$306,250**

An increase to expand the Your Life Iowa call referral system to include information, support, and referrals for children and families experiencing mental health issues.

The Governor is recommending a decrease of \$61,050 for FY 2021 compared to the FY 2020 funding recommendation. The decrease is due to one-time costs in FY 2020 associated with adding information to the referral system.

**Chronic Conditions \$-300,000**

A decrease to the Office of Medical Cannabidiol. The Medical Cannabidiol Program is designed to be sustained by fees, but the program required funding to get started in FY 2018 and FY 2019. This decrease maintains an allocation of \$22,100 from the General Fund for the program.

**Essential Public Health Services \$300,000**

An increase to restore funding transferred out in FY 2018.

**Discussion Item**

**Substance Use Disorder Provider Reimbursement** — The FY 2019 Health and Human Services (HHS) Appropriations Act (2018 Iowa Acts, ch. [1165](#)) directed the DPH, in collaboration with the Department of Human Services (DHS), to work with stakeholders to review reimbursement for substance use disorder service providers. The DPH filed a [report](#) on the findings of the work group on December 18, 2018. The work group filed the following conclusions:

- Current reimbursement provisions are not adequate.
- It is necessary to rebase reimbursement for substance use disorder treatment services.
- There is no equity in reimbursement for substance use disorder treatment service providers compared to providers of similar behavioral health services.
- Access is not sufficient to substance use disorder services providers.

Relative to these findings, the work group made the following recommendations:

- Establish equity in Medicaid reimbursement rates for similar mental health and substance use disorder services and providers.
- Study service reimbursement for substance use disorder intensive outpatient and residential treatment using a projected cost report agreed upon by the DPH, the DHS, and the Integrated Provider Network. Use the results of this study to adjust rates for services for FY 2020.

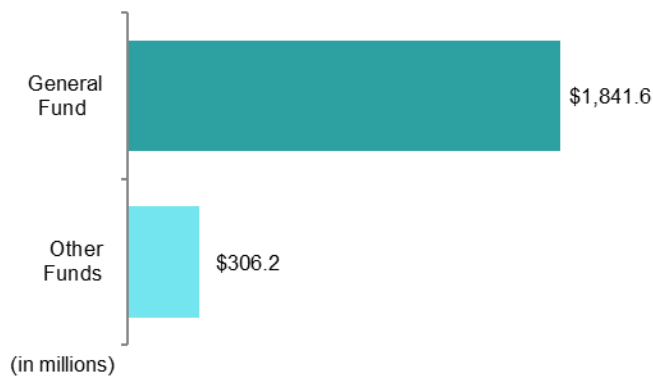
- Ensure access to treatment by reviewing and revising preauthorization requirements from Medicaid managed care organizations (MCOs) for opioid use disorder; in addition, establish a new panel to further study and resolve barriers to access.

**DEPARTMENT OF HUMAN SERVICES**

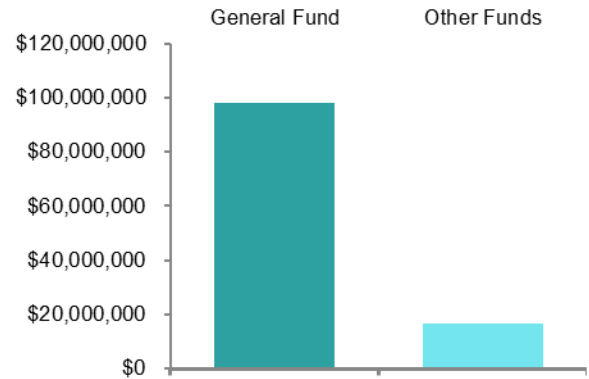
**Overview and Funding History**

**Agency Overview:** The [Department of Human Services](#) (DHS) is responsible for administering cash assistance for needy families (Family Investment Program), food assistance, Medicaid, child support enforcement, adoption subsidies, child abuse assessments, dependent adult abuse assessments, foster care, various family preservation and strengthening programs, child care registration and subsidy, one institution for juveniles, refugee services, and mental health and disability services, including the operation of four mental health institutes and two resource centers for individuals with intellectual disabilities.

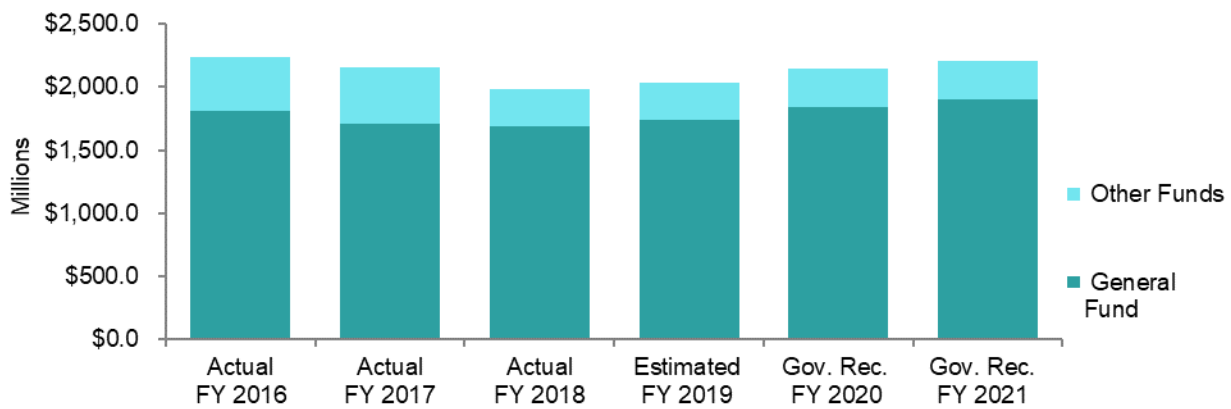
**FY 2020 Governor's Recommendations  
Total: \$2,147,769,376**



**Governor's Recommendations  
Compared to FY 2019**



**Funding History**



**Governor’s General Fund Recommendations — Department of Human Services**

	Actual FY 2018 <u>(1)</u>	Estimated FY 2019 <u>(2)</u>	Gov Rec FY 2020 <u>(3)</u>	Gov Rec FY 20 vs Est FY 19 <u>(4)</u>
<b>Human Services, Department of</b>				
<b>Assistance</b>				
Family Investment Program/PROMISE JOBS	\$ 41,167,177	\$ 40,365,715	\$ 40,365,715	\$ 0
Medical Assistance	1,282,992,417	1,337,841,375	1,409,929,895	72,088,520
Medical Contracts	17,312,207	16,603,198	17,992,530	1,389,332
State Supplementary Assistance	9,872,658	10,250,873	7,812,909	-2,437,964
State Children’s Health Insurance	8,418,452	7,064,057	19,361,112	12,297,055
Child Care Assistance	39,343,616	40,816,931	40,816,931	0
Child and Family Services	85,880,913	84,939,774	89,078,185	4,138,411
Adoption Subsidy	40,777,910	40,445,137	40,596,007	150,870
Family Support Subsidy	969,282	949,282	949,282	0
Conners Training	33,632	33,632	33,632	0
Volunteers	84,686	84,686	84,686	0
Child Abuse Prevention	207,437	232,570	232,570	0
<b>Assistance</b>	<b>\$ 1,527,060,387</b>	<b>\$ 1,579,627,230</b>	<b>\$ 1,667,253,454</b>	<b>\$ 87,626,224</b>
<b>Eldora Training School</b>				
Eldora Training School	\$ 11,350,443	\$ 12,762,443	\$ 13,920,907	\$ 1,158,464
<b>Cherokee</b>				
Cherokee MHI	\$ 13,870,254	\$ 13,870,254	\$ 14,216,149	\$ 345,895
<b>Independence</b>				
Independence MHI	\$ 17,513,621	\$ 17,513,621	\$ 19,165,110	\$ 1,651,489
<b>Glenwood</b>				
Glenwood Resource Center	\$ 17,887,781	\$ 16,858,523	\$ 16,048,348	\$ -810,175
<b>Woodward</b>				
Woodward Resource Center	\$ 12,077,034	\$ 11,386,679	\$ 10,872,356	\$ -514,323
<b>Cherokee CCUSO</b>				
Civil Commitment Unit for Sexual Offenders	\$ 9,464,747	\$ 10,864,747	\$ 12,053,093	\$ 1,188,346
<b>Field Operations</b>				
Child Support Recovery	\$ 12,586,635	\$ 14,586,635	\$ 14,749,697	\$ 163,062
Field Operations	48,484,435	49,074,517	53,923,195	4,848,678
<b>Field Operations</b>	<b>\$ 61,071,070</b>	<b>\$ 63,661,152</b>	<b>\$ 68,672,892</b>	<b>\$ 5,011,740</b>
<b>General Administration</b>				
General Administration	\$ 14,033,040	\$ 13,833,040	\$ 13,833,040	\$ 0
DHS Facilities	2,879,274	2,879,274	2,879,274	0
Commission of Inquiry	1,394	1,394	1,394	0
Nonresident Mental Illness Commitment	22,802	142,802	142,802	0
Corporate Technology	0	0	2,530,413	2,530,413
<b>General Administration</b>	<b>\$ 16,936,510</b>	<b>\$ 16,856,510</b>	<b>\$ 19,386,923</b>	<b>\$ 2,530,413</b>
<b>Total Human Services, Department of</b>	<b>\$ 1,687,231,847</b>	<b>\$ 1,743,401,159</b>	<b>\$ 1,841,589,232</b>	<b>\$ 98,188,073</b>

**Governor’s Recommendations FY 2020 — Significant Changes**

**Medicaid \$72,088,520**

- An increase of \$72,283,520 to fund Medicaid at the Governor’s recommended funding level. For more information on Medicaid, please see the **Medicaid** section of this document.
- A decrease of \$195,000 to reflect a line-item veto in FY 2019.

The Governor is recommending an increase of \$46,293,994 for FY 2021 compared to the FY 2020 funding recommendation. The increase is due to program growth and the federal health insurer fee.

**Medical Contracts** **\$1,389,332**

- An increase of \$1,212,073 due to a lower-than-expected transfer from the Pharmaceutical Settlement Fund.
- An increase of \$177,259 for Medicaid Management Information System (MMIS) reprocurement costs.

The Governor is recommending an increase of \$272,457 for FY 2021 compared to the FY 2020 funding recommendation. The increase is due to MMIS reprocurement costs.

**State Supplementary Assistance** **\$-2,437,964**

A decrease due to a reduction in caseloads.

The Governor is recommending a decrease of \$636,303 for FY 2021 compared to the FY 2020 funding recommendation. The decrease is due to a reduction in caseloads.

**State Children’s Health Insurance** **\$12,297,055**

- An increase of \$528,778 to replace a one-time carryforward from FY 2018 to FY 2019.
- An increase of \$171,540 due a 2.50% projected growth in enrollment.
- An increase of \$8,620 due to a 3.00% increase in administrative spending.
- A decrease of \$150,350 for the federal health insurer fee based on the total number of enrollees.
- An increase of \$11,609,260 due to the phasedown of the 23.00% enhanced FMAP rate to 11.50%.
- A decrease of \$177,478 due to an adjustment in the FMAP rate.
- An increase of \$306,685 to adjust to the Medicaid Forecasting Group estimate.

The Governor is recommending an increase of \$18,906,341 for FY 2021 compared to the FY 2020 funding recommendation. The increase is due to an adjustment in the FMAP rate and to program growth.

**Child and Family Services** **\$4,138,411**

- A decrease of \$153,810 due to an adjustment in the FMAP rate.
- An increase of \$4,292,221 to fund core services and program growth.

**Adoption Subsidy** **\$150,870**

- An increase of \$1,433,892 to fund reinvestment savings requirements.
- A decrease of \$823,199 due to an adjustment in the FMAP rate.
- A decrease of \$459,823 to match the Forecasting Group estimated need.

The Governor is recommending an increase of \$156,389 for FY 2021 compared to the FY 2020 funding recommendation. The increase is due to program growth.

**Eldora Training School** **\$1,158,464**

- An increase of \$900,027 to replace one-time funding.
- An increase of \$42,311 due to increased cost of food, pharmaceuticals, transportation, and utilities.
- An increase of \$52,354 to hire a Youth Services Technician.
- An increase of \$91,222 to hire a Clinical Supervisor.
- An increase of \$72,550 to hire a Registered Nurse.

The Governor is recommending an increase of \$44,899 for FY 2021 compared to the FY 2020 funding recommendation. The increase is due to increased cost of food, pharmaceuticals, transportation, and utilities.

**Cherokee Mental Health Institute **\$345,895****

- An increase of \$74,270 due to increased cost of food, pharmaceuticals, transportation, and utilities.
- An increase of \$148,456 for 3.50 full-time equivalent (FTE) security staff positions.
- An increase of \$123,169 for 3.00 FTE support staff positions.

The Governor is recommending an increase of \$77,609 for FY 2021 compared to the FY 2020 funding recommendation. The increase is due to increased cost of food, pharmaceuticals, transportation, and utilities.

**Independence Mental Health Institute **\$1,651,489****

- An increase of \$1,409,375 to replace one-time funding.
- An increase of \$93,658 due to increased cost of food, pharmaceuticals, transportation, and utilities.
- An increase of \$148,456 for 3.50 FTE security staff positions.

The Governor is recommending an increase of \$96,931 for FY 2021 compared to the FY 2020 funding recommendation. The increase is due to increased cost of food, pharmaceuticals, transportation, and utilities.

**Glenwood Resource Center **\$-810,175****

- An increase of \$54,351 due to increased cost of food, pharmaceuticals, transportation, and utilities.
- A decrease of \$864,526 due to an adjustment in the FMAP rate.

The Governor is recommending an increase of \$57,616 for FY 2021 compared to the FY 2020 funding recommendation. The increase is due to increased cost of food, pharmaceuticals, transportation, and utilities.

**Woodward Resource Center **\$-514,323****

- An increase of \$37,479 due to increased cost of food, pharmaceuticals, transportation, and utilities.
- A decrease of \$551,802 due to an adjustment in the FMAP rate.

The Governor is recommending an increase of \$40,356 for FY 2021 compared to the FY 2020 funding recommendation. The increase is due to increased cost of food, pharmaceuticals, transportation, and utilities.

**Civil Commitment Unit for Sexual Offenders **\$1,188,346****

- An increase of \$549,205 to replace one-time funding.
- An increase of \$31,415 due to increased cost of food, pharmaceuticals, transportation, and utilities.
- An increase of \$89,082 due to patient per diem growth.
- An increase of \$473,956 for 7.00 FTE clinical and support staff positions due to increased clientele.
- An increase of \$44,688 due to increased costs related to individuals being released with supervision.

The Governor is recommending an increase of \$260,884 for FY 2021 compared to the FY 2020 funding recommendation. The increase is due to increased cost of food, pharmaceuticals, transportation, and utilities; and increased costs related to individuals being released with supervision.

**Child Support Recoveries **\$163,062****

An increase due to increased cost of services from inflation.

The Governor is recommending an increase of \$194,301 for FY 2021 compared to the FY 2020 funding recommendation. The increase is due to increased cost of services from inflation.

**Field Operations **\$4,848,678****

- An increase of \$1,526,289 to increase staffing to relieve caseloads. The total staff increase is budgeted for 29.00 FTE positions distributed among Social Worker 3s, Social Worker 2s, supervisors, and clerical support.
- An increase of \$2,913,166 to maintain FY 2020 staffing levels at the FY 2019 projected level.

- An increase of \$409,223 to replace funding for staff currently being paid for through the Eligibility Integrated Application Solution (ELIAS) upgrade.

**Corporate Technology \$2,530,413**

A new appropriation to fund computer hardware and software needs. The Governor is also recommending \$2,530,413 for FY 2021.

**Other Fund Recommendations**

	Actual FY 2018 <u>(1)</u>	Estimated FY 2019 <u>(2)</u>	Gov Rec FY 2020 <u>(3)</u>	Gov Rec FY 20 vs Est FY 19 <u>(4)</u>
<b>Human Services, Department of</b>				
<b>Assistance</b>				
Medical Assistance - HCTF	\$ 221,900,000	\$ 217,130,000	\$ 213,380,000	\$ -3,750,000
Medical Contracts - PSA	864,257	1,446,266	234,193	-1,212,073
Medical Assistance - QATF	36,705,208	36,705,208	58,570,397	21,865,189
Medical Assistance - HHCAT	33,920,554	33,920,554	33,920,554	0
Medicaid Supplemental - MFF	610,032	500,000	75,000	-425,000
<b>Total Human Services, Department of</b>	<b>\$ 294,000,051</b>	<b>\$ 289,702,028</b>	<b>\$ 306,180,144</b>	<b>\$ 16,478,116</b>

**Governor’s Recommendations FY 2020 — Significant Changes**

**Medical Assistance — Health Care Trust Fund \$-3,750,000**

A decrease due to fewer receipts in the Fund. The Fund receives all revenues from taxes on the sale of tobacco.

The Governor is recommending a decrease of \$1,470,000 for FY 2021 compared to the FY 2020 funding recommendation. The decrease is due to fewer receipts in the Fund.

**Medical Contracts — Pharmaceutical Settlement Account \$-1,212,073**

A decrease due to fewer receipts in the Account. The Account collects moneys from legal settlements with prescription drug manufacturers relating to pharmaceuticals provided by the Medicaid program.

**Medical Assistance — Quality Assurance Trust Fund \$21,865,189**

An increase due to an increase in the assessment fee. Revenues in the Fund are used to provide a supplemental appropriation to the Medicaid program, which, matched with federal financial participation, is intended to increase nursing facility reimbursement.

**Medicaid Supplemental — Medicaid Fraud Fund \$-425,000**

A decrease due to fewer receipts in the Fund. Moneys in the Fund are collected from penalties and investigative costs recouped by the Medicaid Fraud Control Unit under the Department of Inspections and Appeals.

**Discussion Items**

**Children’s Health Insurance Program Match Rate** — The Affordable Care Act provided the Children’s Health Insurance Program, known as *hawk-i* in Iowa, with a 23.00% enhanced FMAP rate from FFY 2016 through FFY 2019 and an 11.50% enhanced FMAP rate for FFY 2020. With the enhanced rate set to expire, the decrease will have an \$11,609,260 impact on the State budget in SFY 2020 and an additional \$17,704,472 impact in SFY 2021.

**Medicaid** — For information and discussion items for Medicaid, see the **Medicaid** section of this document.

**Child Care Assistance (CCA) Provider Rate Increases** — Last year, the General Assembly directed the DHS to utilize up to \$3,000,000 of the amount appropriated to the DHS for child care assistance increase provider reimbursement rates (2018 Iowa Acts, ch. 1165, sec. 42 – FY 2019 Health and Human Services Appropriations Act). This rate increase was directed to take place January 1, 2019; therefore, the increase

will have an annualized impact of \$6,000,000 for the program. The DHS completed the 2017 market rate survey (MRS) in July 2018. The table below provides a comparison of the half-day reimbursement rates for different age groups and facilities before and after the rate change, and approximately where the rate falls based on the 2017 MRS percentile.

<b>Child Care Provider Rates Based on 2017 Market Rate Survey (MRS) Percentile</b>						
	<b>July 1, 2018</b>			<b>January 1, 2019</b>		
	<b>2017 MRS</b>		<b>Amount</b>	<b>2017 MRS</b>		<b>Change</b>
<b>Center</b>	<b>Amount</b>	<b>Equivalent</b>		<b>Amount</b>	<b>Equivalent</b>	
Infants	\$ 16.78	≈35th	\$ 17.00	≈40th	\$ 0.22	
Preschool	13.53	≈20th	14.75	≈40th	1.22	
School	12.18	≈45th	12.18	≈45th	0.00	
<b>A/B Home</b>						
Infants	\$ 12.98	60th	\$ 12.98	60th	\$ 0.00	
Preschool	12.18	40th	12.50	55th	0.32	
School	10.82	55th	10.82	55th	0.00	
<b>C Home</b>						
Infants	\$ 12.44	≈10th	\$ 13.00	40th	\$ 0.56	
Preschool	12.18	15th	12.50	35th	0.32	
School	10.82	40th	11.25	45th	0.43	
Note: ≈ denotes "approximately equal to." Rates are per half day.						

The same section also directed the DHS to increase provider reimbursement rates for infant and toddler child care providers participating in the Quality Rating System (QRS) Program. This rate increase was directed to take place January 1, 2019, utilizing federal funding that would be required to fit within the infant and toddler quality improvement expenditures. The table below shows the new half-day reimbursement rates for different age groups and facilities, and approximately where the rate falls based on the 2017 MRS percentile. All the providers in the table below would have been paid the basic rate from the table above. The DHS has reported an increase in the number of providers enrolling in the QRS Program.



**Child Care QRS 1-4 Enhanced Provider Rates Effective January 2019**

	QRS 1 and 2			QRS 3 and 4		
	2017 MRS			2017 MRS		
	Amount	Equivalent	Change	Amount	Equivalent	Change
<b>Center</b>						
Infants	\$ 19.75	≈65th	\$ 2.97	\$ 20.50	≈70th	\$ 3.72
Preschool	15.50	50th	1.97	16.40	≈55th	2.87
School	12.50	45th	0.32	13.50	55th	1.32
<b>A/B Home</b>						
Infants	\$ 13.50	≈70th	\$ 0.52	\$ 13.75	70th	\$ 0.77
Preschool	12.75	≈60th	0.57	13.00	70th	0.82
School	11.25	≈60th	0.43	12.00	70th	1.18
<b>C Home</b>						
Infants	\$ 14.00	55th	\$ 1.56	\$ 14.50	≈60th	\$ 2.06
Preschool	13.00	45th	0.82	13.50	50th	1.32
School	12.00	≈50th	1.18	12.50	60th	1.68

Note: ≈ denotes "approximately equal to."  
Rates are per half day.

**Child Care Assistance (CCA) Plus** — Final federal rules around the exit eligibility component of the CCA require that there be no time limit for eligibility, only an income limitation. Iowa’s CCA Plus program currently has a 12-month eligibility limit and an income limit of 85.00% of the State median income (approximately \$49,800). The DHS has applied for a federal waiver to maintain the 12-month eligibility limit rather than only utilizing an income limitation. The federal Administration for Children and Families (ACF) Office of Child Care has not formally replied to Iowa’s waiver application yet. If the waiver is not approved, the long-term cost and parameters of the CCA Plus eligibility will need to be assessed.

**Children’s Mental Health Report** — In the FY 2019 HHS Appropriations Act (2018 Iowa Acts, ch. 1165), the General Assembly requested a copy of the [Strategic Plan for a Children’s Mental Health System](#) required by the Governor’s [Executive Order Number Two](#), which created a Children’s System State Board. The report included recommendations that could be acted on in the short- and long-term. The short-term initial funding needs for services included:

- Eliminating the Children’s Mental Health Home and Community-Based Services waiver waiting list. The waiver is currently capped at 1,014 children served, and the report notes that as of August 2018, 882 children are on the waiting list. It should be noted that children are placed on the waiting list before eligibility for the waiver is determined. *The Governor is recommending \$1,200,000 to reduce the waiting list.*
- Expanding system of care programs. Currently, there are four systems of care programs in Iowa, serving 531 children in 14 counties.

The Board went on to envision a system that would be built upon the current regional mental health and disability adult system and that could have combined or separate boards, depending on funding. Funding from the General Fund or property tax levies would determine the overall representation and voting membership on the regional boards. Services for children would begin with a behavioral health screening. The timing, responsibility, and location of the screenings would be determined through the administrative

rules process. The system would also be built to incorporate a full array of behavioral health core services and supports for children and their families.

**Families First Fostering Prevention Services Act** — A component of the federal Bipartisan Budget Act of 2018 (Public Law [115-123](#)) was the Family First Prevention Services Act (FFPSA), which will significantly change the child welfare system. The FFPSA will restructure how the federal government funds child welfare. Two of the major changes will be:

- Permitting funds to be used on evidence-based family preservation services for children at risk of entering the child welfare system.
- No longer paying for placements that are not in foster family homes.

The DHS is utilizing services from the Annie E. Case Foundation to analyze data, administer fiscal planning, and crosswalk approved evidence-based model programs with current Iowa needs and services. States are allowed some flexibility on when to transition to the new federal requirements, and the DHS has currently indicated that Iowa's transition will take place July 1, 2020.

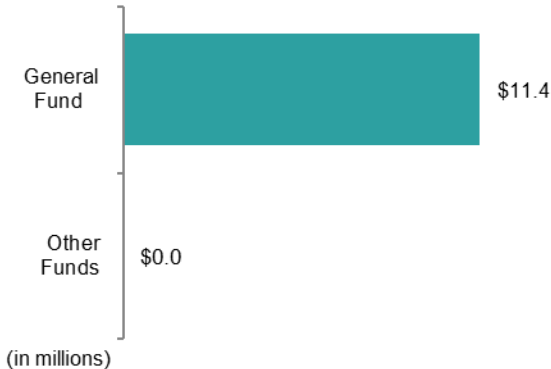
**Title IV-E Adoption Subsidy Savings** — An enhanced federal eligibility match for Title IV-E funding required that states reinvest savings into other child welfare services. The current projected total of unfunded IV-E Adoption Subsidy Reinvestment Savings is estimated at \$2,900,000. The Health and Human Services Appropriations Subcommittee may want to request that the DHS provide a list of one-time projects that could be completed to meet this expenditure requirement.

**DEPARTMENT OF VETERANS AFFAIRS**

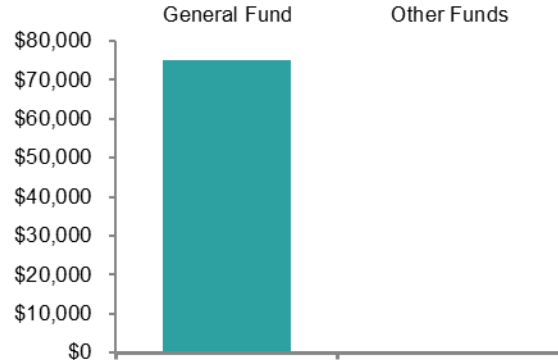
**Overview and Funding History**

**Agency Overview:** The [Department of Veterans Affairs](#) includes the Department and the Iowa Veterans Home. The Department provides services to veterans regarding federal pension applications and identifies services to reimburse from the Veterans Trust Fund. The Department also established and operates the Veterans Cemetery and provides assistance for the County Veterans Grant Program, the Injured Veterans Grant Program, and the Vietnam Veterans Bonus Program. The Iowa Veterans Home, located in Marshalltown, provides services to veterans, including domiciliary, residential, and pharmaceutical services.

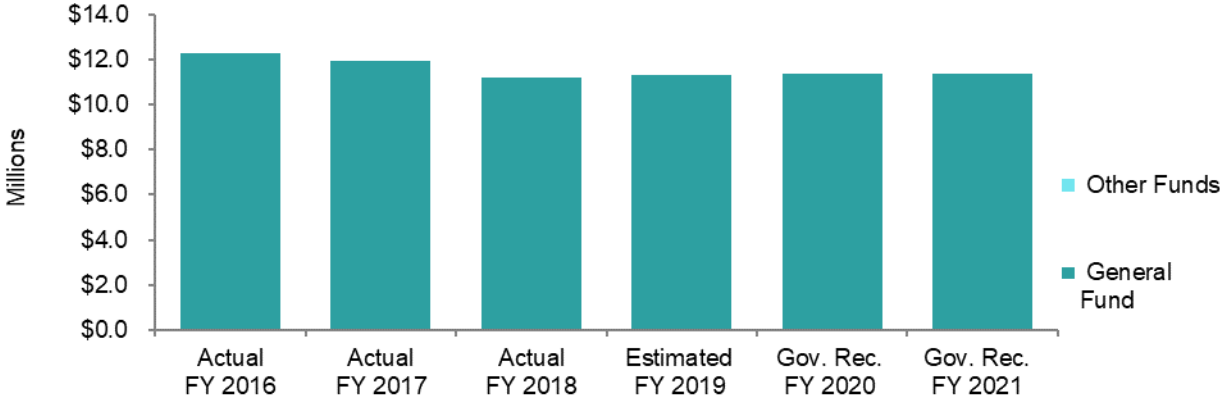
**FY 2020 Governor's Recommendations  
Total: \$11,378,476**



**Governor's Recommendations  
Compared to FY 2019**



**Funding History**



**General Fund Recommendations**

	Actual FY 2018 <u>(1)</u>	Estimated FY 2019 <u>(2)</u>	Gov Rec FY 2020 <u>(3)</u>	Gov Rec FY 20 vs Est FY 19 <u>(4)</u>
<b>Veterans Affairs, Department of</b>				
<b>Veterans Affairs, Dept. of</b>				
General Administration	\$ 1,115,580	\$ 1,150,500	\$ 1,225,500	\$ 75,000
Home Ownership Assistance Program	2,000,000	2,000,000	2,000,000	0
Veterans County Grants	938,025	990,000	990,000	0
<b>Veterans Affairs, Dept. of</b>	<b>\$ 4,053,605</b>	<b>\$ 4,140,500</b>	<b>\$ 4,215,500</b>	<b>\$ 75,000</b>
<b>Veterans Affairs, Dept. of</b>				
Iowa Veterans Home	\$ 7,162,976	\$ 7,162,976	\$ 7,162,976	\$ 0
<b>Total Veterans Affairs, Department of</b>	<b>\$ 11,216,581</b>	<b>\$ 11,303,476</b>	<b>\$ 11,378,476</b>	<b>\$ 75,000</b>

**Governor’s Recommendations FY 2020 — Significant Changes**

**General Administration** **\$75,000**

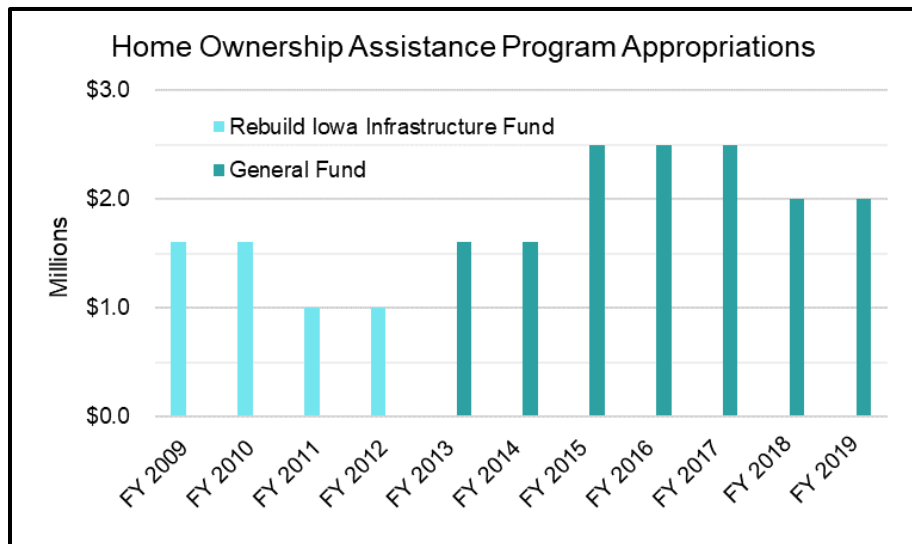
An increase to cover additional costs associated with general department operations.

**Discussion Item**

**Home Ownership Assistance Program** — The General Assembly may want to review the funding demands of the Program. A \$5,000 once-in-a-lifetime grant is available to a military service member to be used for a down payment and closing costs toward the purchase of a new home in the State of Iowa. The home must be a primary residence. Recipients must have served 90 days’ active duty between August 2, 1990, and April 6, 1991, or after September 11, 2001, or be a surviving spouse of an honorably discharged service member.

Funds are available through June 30 of the fiscal year, or when the available funds are obligated. Funds are considered obligated if the Iowa Finance Authority and the Department of Veterans Affairs receive an application and the veteran is qualified for the Program. Funds have generally been obligated by the following months:

- FY 2017: Funding fully obligated by April 2017, waiting list started.
- FY 2018: Waiting list of \$95,000 funded in July 2017. The remaining appropriation was fully obligated by January 2018.
- FY 2019: Waiting list of \$535,000 funded in July 2018. The remaining appropriation was fully obligated by October 2018. As of January 2019, the current waiting list has 39 applicants (\$195,000).



**BOARD OF REGENTS**

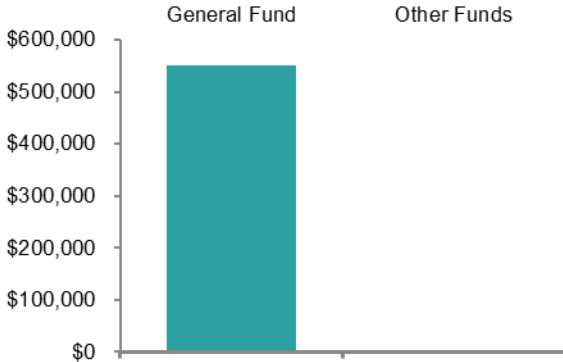
**Overview and Funding History**

**Agency Overview:** The [Board of Regents](#) serves as the trustees of the University of Iowa Hospitals & Clinics (UIHC). The UIHC can trace its history back to 1873, when patient services began through a partnership between the University’s small medical department and the Sisters of Mercy Catholic order. The UIHC currently delivers services by utilizing more than 13,000 employees, students, and volunteers at the 811-bed hospital and 200 outpatient clinics. Along with a history of excellent patient care, UIHC and its doctors have been part of many important advances in medical science.

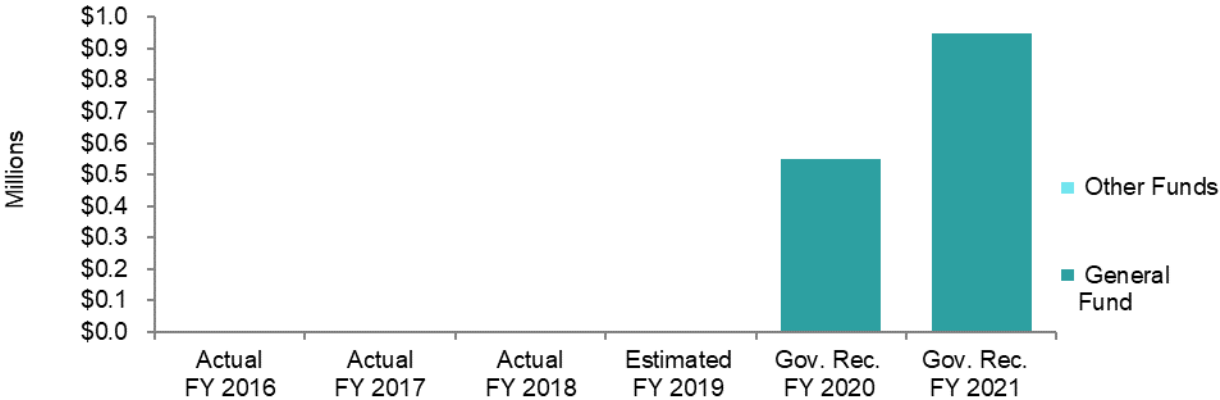
**FY 2020 Governor's Recommendations**  
Total: \$550,000



**Governor's Recommendations Compared to FY 2019**



**Funding History**



**General Fund Recommendations**

	Actual FY 2018 <u>(1)</u>	Estimated FY 2019 <u>(2)</u>	Gov Rec FY 2020 <u>(3)</u>	Gov Rec FY 20 vs Est FY 19 <u>(4)</u>
<b>Regents, Board of</b>				
<b>Regents, Board of</b>				
UIHC - Rural Psychiatry Residencies	\$ 0	\$ 0	\$ 400,000	\$ 400,000
UIHC - Psychiatric Training	<u>0</u>	<u>0</u>	<u>150,000</u>	<u>150,000</u>
<b>Total Regents, Board of</b>	<u>\$ 0</u>	<u>\$ 0</u>	<u>\$ 550,000</u>	<u>\$ 550,000</u>

**Governor’s Recommendations FY 2020 — Significant Changes**

**Rural Psychiatry Residencies **\$400,000****

Provides funding to support the addition of four residency training positions within the University of Iowa Psychiatry Residency Program.

The Governor is recommending an increase of \$400,000 for FY 2021 compared to the FY 2020 funding recommendation. The increase is to support the addition of four more residency training positions within the Program, bringing the total funded residencies to eight in FY 2021.

**Psychiatric Training **\$150,000****

Provides funding for specialized psychiatric training to physician assistants and nurse practitioners.

**Discussion Items**

**Rural Psychiatry Residencies** — *The Governor is recommending funding of \$400,000 in FY 2020 to support the addition of four residency training positions within the University of Iowa Psychiatric Residency Program. The four residents will work in underserved areas of Iowa. The Program will seek to grow the number of psychiatry residency positions by four each year for four years, resulting in the establishment of 16 residency positions. The Governor’s recommendation for FY 2021 funding is \$800,000.*

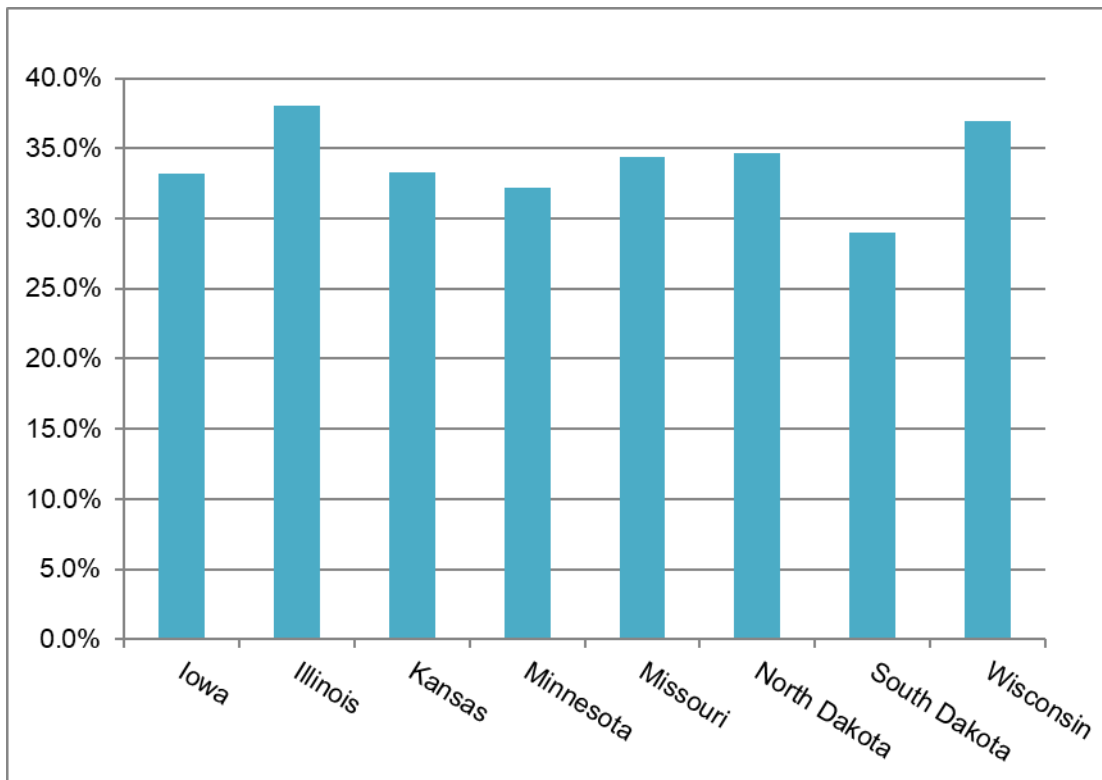
**Psychiatric Training** — *The Governor is recommending funding of \$150,000 in FY 2020 to provide specialized training to expand the Iowa mental health workforce to improve Iowans’ access to mental health services. The University of Iowa Psychiatry Department and the College of Nursing will provide specialized training in psychiatry to physician assistant and nurse practitioners. Previous efforts similar to this have received appropriations and been transferred through the Department of Public Health.*

**Comparisons to Other States — Adult Mental Health**

The chart below represents the percentage of adults who reported that their mental health was “not good” for between 1 and 30 days in the past 30 days in Iowa and the surrounding states. The data is reflected as a weighted percentage of the population. The information was collected by the Behavioral Risk Factor Surveillance System, which is an ongoing, state-based, random-digit-dialed telephone survey of noninstitutionalized civilian adults aged 18 years and older conducted by the Centers for Disease Control and Prevention (CDC).

Compared to surrounding states, Iowa has the third lowest rate of reported poor mental health among adults, at 33.20%. Adults in South Dakota are the least likely to report poor mental health at 29.00% and Illinois has the highest prevalence at 38.00%.

**Percentage of Adults Reporting Poor Mental Health**

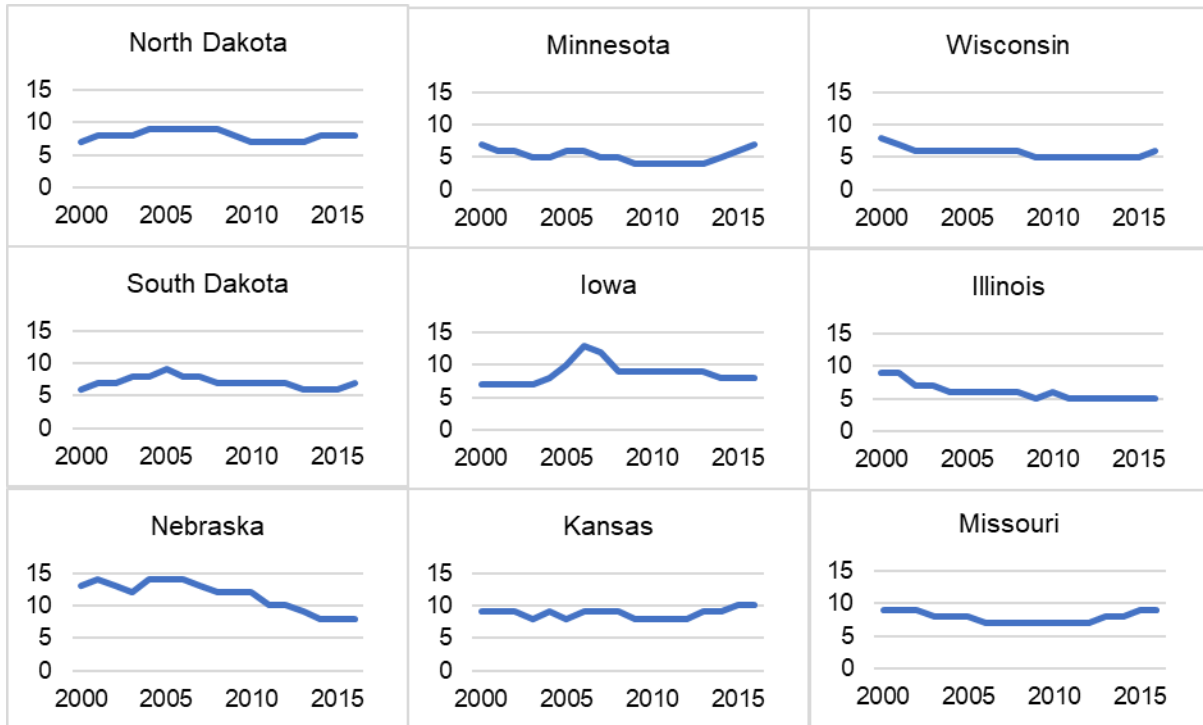


**Comparison to Other States — Children in Foster Care**

The National Data Archive on Child Abuse and Neglect used data from the Adoption and Foster Care Analysis and Reporting System to track the rate of children in foster care per 1,000 children under age 17. Children are categorized as being in foster care if they entered foster care prior to the end of the current fiscal year and have not been discharged from their latest foster care spell by the end of the current fiscal year. Census numbers indicate population estimates of children age 17 and under in each state as of July 1 of the respective year.

The average rate of children in foster care in Iowa and contiguous states for 2016 was 7.6 per 1,000. Iowa was above the average rate at 8.0 per 1,000, while the highest reporting state was Kansas at 10.0 per 1,000. The lowest rate was 5.0 per 1,000 for Illinois. The national rate was 6.0 per 1,000.

**Children in Foster Care Per 1,000  
2000 to 2016**



**LSA Publications**

Publications that have been published by the LSA Fiscal Services Division that relate to the Health and Human Services Appropriations Subcommittee can be found on the [Fiscal Analysis webpage](#).

- **Issue Reviews:**  
*Adult Mental Health and Disability Services System Funding History (to be published in January)*  
*Federal Medical Assistance Percentage Match Rates (to be published in January)*
- **Presentation:** [Medicaid Overview](#)

**Reports Required to be Filed with General Assembly**

Reports and other documents required to be filed with the General Assembly are organized by submitting department and by date and are published on this [webpage](#).

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## Appendix A – Tracking

### Analysis of the Governor’s Budget Recommendations

This appendix contains tracking for the following:

- General Fund
- Other Funds
- Full-Time Equivalent (FTE) Positions

The Legislative Services Agency publishes **Budget Unit Briefs** that discuss the purpose of particular appropriations and programs. If a publication is available, it has been hyperlinked in the title of the appropriation.

# Health and Human Services

## General Fund

	Actual FY 2018 (1)	Estimated FY 2019 (2)	Gov Rec Adj. FY 2019 (3)	Revised Gov Rec FY 2019 (4)	Gov Rec FY 2020 (5)	Gov FY 20 vs Rev Gov FY 19 (6)	Gov Rec Yr2 FY 2021 (7)	Gov FY 21 vs Gov FY 20 (8)
<b><u>Aging, Iowa Department on</u></b>								
<b>Aging, Dept. on</b>								
Aging Programs	\$ 10,942,924	\$ 11,042,924	\$ 0	\$ 11,042,924	\$ 11,042,924	\$ 0	\$ 11,042,924	\$ 0
Office of LTC Ombudsman	1,149,821	1,149,821	0	1,149,821	1,149,821	0	1,149,821	0
<b>Total Aging, Iowa Department on</b>	<b>\$ 12,092,745</b>	<b>\$ 12,192,745</b>	<b>\$ 0</b>	<b>\$ 12,192,745</b>	<b>\$ 12,192,745</b>	<b>\$ 0</b>	<b>\$ 12,192,745</b>	<b>\$ 0</b>
<b><u>Public Health, Department of</u></b>								
<b>Public Health, Dept. of</b>								
Addictive Disorders	\$ 24,804,344	\$ 24,804,344	\$ 0	\$ 24,804,344	\$ 25,110,594	\$ 306,250	\$ 25,049,544	\$ -61,050
Healthy Children and Families	5,284,599	5,820,625	0	5,820,625	5,820,625	0	5,820,625	0
Chronic Conditions	3,546,757	4,528,109	0	4,528,109	4,228,109	-300,000	4,228,109	0
Community Capacity	2,351,879	4,970,152	0	4,970,152	4,970,152	0	4,970,152	0
Essential Public Health Services	7,660,942	7,662,464	0	7,662,464	7,962,464	300,000	7,962,464	0
Infectious Diseases	1,752,786	1,796,426	0	1,796,426	1,796,426	0	1,796,426	0
Public Protection	4,083,887	4,095,139	0	4,095,139	4,095,139	0	4,095,139	0
Resource Management	971,215	971,215	0	971,215	971,215	0	971,215	0
Congenital & Inherited Disorders Registry	242,066	223,521	0	223,521	223,521	0	223,521	0
<b>Total Public Health, Department of</b>	<b>\$ 50,698,475</b>	<b>\$ 54,871,995</b>	<b>\$ 0</b>	<b>\$ 54,871,995</b>	<b>\$ 55,178,245</b>	<b>\$ 306,250</b>	<b>\$ 55,117,195</b>	<b>\$ -61,050</b>
<b><u>Veterans Affairs, Department of</u></b>								
<b>Veterans Affairs, Dept. of</b>								
General Administration	\$ 1,115,580	\$ 1,150,500	\$ 0	\$ 1,150,500	\$ 1,225,500	\$ 75,000	\$ 1,225,500	\$ 0
Home Ownership Assistance Program	2,000,000	2,000,000	0	2,000,000	2,000,000	0	2,000,000	0
Veterans County Grants	938,025	990,000	0	990,000	990,000	0	990,000	0
<b>Veterans Affairs, Dept. of</b>	<b>\$ 4,053,605</b>	<b>\$ 4,140,500</b>	<b>\$ 0</b>	<b>\$ 4,140,500</b>	<b>\$ 4,215,500</b>	<b>\$ 75,000</b>	<b>\$ 4,215,500</b>	<b>\$ 0</b>
<b>Veterans Affairs, Dept. of</b>								
Iowa Veterans Home	\$ 7,162,976	\$ 7,162,976	\$ 0	\$ 7,162,976	\$ 7,162,976	\$ 0	\$ 7,162,976	\$ 0
<b>Total Veterans Affairs, Department of</b>	<b>\$ 11,216,581</b>	<b>\$ 11,303,476</b>	<b>\$ 0</b>	<b>\$ 11,303,476</b>	<b>\$ 11,378,476</b>	<b>\$ 75,000</b>	<b>\$ 11,378,476</b>	<b>\$ 0</b>
<b><u>Human Services, Department of</u></b>								
<b>Assistance</b>								
Family Investment Program/PROMISE JOBS	\$ 41,167,177	\$ 40,365,715	\$ 0	\$ 40,365,715	\$ 40,365,715	\$ 0	\$ 40,365,715	\$ 0
Medical Assistance	1,282,992,417	1,337,841,375	141,125,445	1,478,966,820	1,409,929,895	-69,036,925	1,456,223,889	46,293,994
Medical Contracts	17,312,207	16,603,198	0	16,603,198	17,992,530	1,389,332	18,264,987	272,457
State Supplementary Assistance	9,872,658	10,250,873	0	10,250,873	7,812,909	-2,437,964	7,176,606	-636,303
State Children's Health Insurance	8,418,452	7,064,057	0	7,064,057	19,361,112	12,297,055	38,267,453	18,906,341
Child Care Assistance	39,343,616	40,816,931	0	40,816,931	40,816,931	0	40,816,931	0
Child and Family Services	85,880,913	84,939,774	0	84,939,774	89,078,185	4,138,411	89,078,185	0
Adoption Subsidy	40,777,910	40,445,137	0	40,445,137	40,596,007	150,870	40,752,396	156,389
Family Support Subsidy	969,282	949,282	0	949,282	949,282	0	949,282	0
Connors Training	33,632	33,632	0	33,632	33,632	0	33,632	0

# Health and Human Services

## General Fund

	Actual FY 2018 (1)	Estimated FY 2019 (2)	Gov Rec Adj. FY 2019 (3)	Revised Gov Rec FY 2019 (4)	Gov Rec FY 2020 (5)	Gov FY 20 vs Rev Gov FY 19 (6)	Gov Rec Yr2 FY 2021 (7)	Gov FY 21 vs Gov FY 20 (8)
Volunteers	84,686	84,686	0	84,686	84,686	0	84,686	0
Child Abuse Prevention	207,437	232,570	0	232,570	232,570	0	232,570	0
<b>Assistance</b>	<b>\$ 1,527,060,387</b>	<b>\$ 1,579,627,230</b>	<b>\$ 141,125,445</b>	<b>\$ 1,720,752,675</b>	<b>\$ 1,667,253,454</b>	<b>\$ -53,499,221</b>	<b>\$ 1,732,246,332</b>	<b>\$ 64,992,878</b>
<b>Eldora Training School</b>								
Eldora Training School	\$ 11,350,443	\$ 12,762,443	\$ 0	\$ 12,762,443	\$ 13,920,907	\$ 1,158,464	\$ 13,965,806	\$ 44,899
<b>Cherokee</b>								
Cherokee MHI	\$ 13,870,254	\$ 13,870,254	\$ 0	\$ 13,870,254	\$ 14,216,149	\$ 345,895	\$ 14,293,758	\$ 77,609
<b>Independence</b>								
Independence MHI	\$ 17,513,621	\$ 17,513,621	\$ 0	\$ 17,513,621	\$ 19,165,110	\$ 1,651,489	\$ 19,262,041	\$ 96,931
<b>Glenwood</b>								
Glenwood Resource Center	\$ 17,887,781	\$ 16,858,523	\$ 0	\$ 16,858,523	\$ 16,048,348	\$ -810,175	\$ 16,105,964	\$ 57,616
<b>Woodward</b>								
Woodward Resource Center	\$ 12,077,034	\$ 11,386,679	\$ 0	\$ 11,386,679	\$ 10,872,356	\$ -514,323	\$ 10,912,712	\$ 40,356
<b>Cherokee CCUSO</b>								
Civil Commitment Unit for Sexual Offenders	\$ 9,464,747	\$ 10,864,747	\$ 0	\$ 10,864,747	\$ 12,053,093	\$ 1,188,346	\$ 12,313,977	\$ 260,884
<b>Field Operations</b>								
Child Support Recovery	\$ 12,586,635	\$ 14,586,635	\$ 0	\$ 14,586,635	\$ 14,749,697	\$ 163,062	\$ 14,943,998	\$ 194,301
Field Operations	48,484,435	49,074,517	0	49,074,517	53,923,195	4,848,678	53,923,195	0
<b>Field Operations</b>	<b>\$ 61,071,070</b>	<b>\$ 63,661,152</b>	<b>\$ 0</b>	<b>\$ 63,661,152</b>	<b>\$ 68,672,892</b>	<b>\$ 5,011,740</b>	<b>\$ 68,867,193</b>	<b>\$ 194,301</b>
<b>General Administration</b>								
General Administration	\$ 14,033,040	\$ 13,833,040	\$ 0	\$ 13,833,040	\$ 13,833,040	\$ 0	\$ 13,833,040	\$ 0
DHS Facilities	2,879,274	2,879,274	0	2,879,274	2,879,274	0	2,879,274	0
Commission of Inquiry	1,394	1,394	0	1,394	1,394	0	1,394	0
Nonresident Mental Illness Commitment	22,802	142,802	0	142,802	142,802	0	142,802	0
Corporate Technology	0	0	0	0	2,530,413	2,530,413	2,530,413	0
<b>General Administration</b>	<b>\$ 16,936,510</b>	<b>\$ 16,856,510</b>	<b>\$ 0</b>	<b>\$ 16,856,510</b>	<b>\$ 19,386,923</b>	<b>\$ 2,530,413</b>	<b>\$ 19,386,923</b>	<b>\$ 0</b>
<b>Total Human Services, Department of</b>	<b>\$ 1,687,231,847</b>	<b>\$ 1,743,401,159</b>	<b>\$ 141,125,445</b>	<b>\$ 1,884,526,604</b>	<b>\$ 1,841,589,232</b>	<b>\$ -42,937,372</b>	<b>\$ 1,907,354,706</b>	<b>\$ 65,765,474</b>

# Health and Human Services

## General Fund

	Actual FY 2018 (1)	Estimated FY 2019 (2)	Gov Rec Adj. FY 2019 (3)	Revised Gov Rec FY 2019 (4)	Gov Rec FY 2020 (5)	Gov FY 20 vs Rev Gov FY 19 (6)	Gov Rec Yr2 FY 2021 (7)	Gov FY 21 vs Gov FY 20 (8)
<b>Regents, Board of</b>								
<b>Regents, Board of</b>								
UIHC - Rural Psychiatry Residencies	\$ 0	\$ 0	\$ 0	\$ 0	\$ 400,000	\$ 400,000	\$ 800,000	\$ 400,000
UIHC - Psychiatric Training	0	0	0	0	150,000	150,000	150,000	0
<b>Total Regents, Board of</b>	<b>\$ 0</b>	<b>\$ 0</b>	<b>\$ 0</b>	<b>\$ 0</b>	<b>\$ 550,000</b>	<b>\$ 550,000</b>	<b>\$ 950,000</b>	<b>\$ 400,000</b>
<b>Total Health and Human Services</b>	<b>\$ 1,761,239,648</b>	<b>\$ 1,821,769,375</b>	<b>\$ 141,125,445</b>	<b>\$ 1,962,894,820</b>	<b>\$ 1,920,888,698</b>	<b>\$ -42,006,122</b>	<b>\$ 1,986,993,122</b>	<b>\$ 66,104,424</b>

# Health and Human Services

## Other Funds

	Actual FY 2018 (1)	Estimated FY 2019 (2)	Gov Rec FY 2020 (3)	Gov Rec FY 20 vs Est FY 19 (4)	Gov Rec Yr2 FY 2021 (5)	Gov Rec FY 21 vs Gov Rec FY 20 (6)
<b>Human Services, Department of</b>						
<b>Assistance</b>						
Medical Assistance - HCTF	\$ 221,900,000	\$ 217,130,000	\$ 213,380,000	\$ -3,750,000	\$ 211,910,000	\$ -1,470,000
Medical Contracts - PSA	864,257	1,446,266	234,193	-1,212,073	234,193	0
Medical Assistance - QATF	36,705,208	36,705,208	58,570,397	21,865,189	58,570,397	0
Medical Assistance - HHCAT	33,920,554	33,920,554	33,920,554	0	33,920,554	0
Medicaid Supplemental - MFF	610,032	500,000	75,000	-425,000	75,000	0
<b>Total Human Services, Department of</b>	<b>\$ 294,000,051</b>	<b>\$ 289,702,028</b>	<b>\$ 306,180,144</b>	<b>\$ 16,478,116</b>	<b>\$ 304,710,144</b>	<b>\$ -1,470,000</b>
<b>Total Health and Human Services</b>	<b>\$ 294,000,051</b>	<b>\$ 289,702,028</b>	<b>\$ 306,180,144</b>	<b>\$ 16,478,116</b>	<b>\$ 304,710,144</b>	<b>\$ -1,470,000</b>



## Explanation of FTE Position Data

### Analysis of the Governor's Budget Recommendations

The following is an explanation of the Full-Time Equivalent (FTE) position information provided on the following tables. The columns of FTE data represent different points in time that the numbers were compiled. For additional information on the State's FTE positions, see the [Issue Review](#) entitled [State of Iowa FY 2017 FTE Positions and Personnel Costs](#).

**Actual FY 2018:** This data represents the actual FTE utilization calculated at the close of the fiscal year. The FTE usage is calculated by taking the actual hours worked during the fiscal year and dividing the number by 2,080 hours. For example, if a department has budgeted a full-time position (equating to 1.0 FTE) and this position is vacant for six months of the fiscal year, at the close of the fiscal year, the calculation of the actual FTE would be 0.5 ( $1,040 \div 2,080 = 0.5$ ). The calculation of the actual FTE factors out the portion of the FTE that was vacant during the fiscal year.

**Estimated FY 2019:** This data represents the estimated FTE positions that were budgeted by the departments at the beginning of FY 2019 and incorporates any revisions that would have been made to the budget by the departments through (approximately) December 2018. Changes to the estimates can occur for a variety of reasons. For example, if departments are not provided funding for salary adjustment to cover the costs of funding collective bargaining contracts, the departments will often reduce the number of FTE positions in order to cover costs.

**Gov Rec FY 2020:** This is the Governor's recommendation for FY 2020.

**Gov Rec FY 2020 vs Est FY 2019:** Represents the difference between the Governor's recommended FTE positions for FY 2020 and the most recent estimates for FY 2019.

**Gov Rec FY 2021:** This is the Governor's recommendation for FY 2021.

**Gov Rec FY 2021 vs Gov Rec FY 2020:** Represents the difference between the Governor's recommended FTE positions for FY 2021 and the Governor's recommended FTE positions for FY 2020.

**Bill Number:** Denotes nonappropriated FTE positions by "NONAPPR." These FTE positions are not subject to an appropriated cap.

# Health and Human Services

## FTE Positions

	Actual FY 2018 <u>(1)</u>	Estimated FY 2019 <u>(2)</u>	Gov Rec FY 2020 <u>(3)</u>	Gov Rec FY 20 vs Est FY 19 <u>(4)</u>	Gov Rec Yr2 FY 2021 <u>(5)</u>	Gov Rev FY20 vs Gov Rec FY21 <u>(6)</u>
<b><u>Human Services, Department of</u></b>						
<b>Assistance</b>						
Family Investment Program/PROMISE JOBS	8.51	8.00	8.00	0.00	8.00	0.00
Medical Assistance	10.57	11.01	11.00	-0.01	11.00	0.00
Medical Contracts	2.54	0.00	0.00	0.00	0.00	0.00
Child Care Assistance	2.30	0.00	0.00	0.00	0.00	0.00
Child and Family Services	4.76	0.00	0.00	0.00	0.00	0.00
<b>Assistance</b>	<u>28.68</u>	<u>19.01</u>	<u>19.00</u>	<u>-0.01</u>	<u>19.00</u>	<u>0.00</u>
<b>Eldora Training School</b>						
Eldora Training School	166.02	189.30	192.30	3.00	192.30	0.00
<b>Cherokee</b>						
Cherokee MHI	147.28	149.00	155.50	6.50	155.50	0.00
<b>Independence</b>						
Independence MHI	180.39	179.85	183.35	3.50	183.35	0.00
<b>Glenwood</b>						
Glenwood Resource Center	702.98	771.50	771.50	0.00	771.50	0.00
<b>Woodward</b>						
Woodward Resource Center	500.96	545.00	545.00	0.00	545.00	0.00
<b>Cherokee CCUSO</b>						
Civil Commitment Unit for Sexual Offenders	100.26	124.00	131.00	7.00	131.00	0.00
<b>Field Operations</b>						
Child Support Recovery	408.84	417.00	417.00	0.00	417.00	0.00
Field Operations	1,440.75	1,438.00	1,473.50	35.50	1,473.50	0.00
<b>Field Operations</b>	<u>1,849.59</u>	<u>1,855.00</u>	<u>1,890.50</u>	<u>35.50</u>	<u>1,890.50</u>	<u>0.00</u>
<b>General Administration</b>						
General Administration	242.62	279.90	273.90	-6.00	273.90	0.00
<b>Total Human Services, Department of</b>	<u>3,918.76</u>	<u>4,112.56</u>	<u>4,162.05</u>	<u>49.49</u>	<u>4,162.05</u>	<u>0.00</u>
<b><u>Aging, Iowa Department on</u></b>						
<b>Aging, Dept. on</b>						
Aging Programs	16.89	18.00	21.00	3.00	21.00	0.00
Office of LTC Ombudsman	12.25	11.00	11.00	0.00	11.00	0.00
<b>Total Aging, Iowa Department on</b>	<u>29.15</u>	<u>29.00</u>	<u>32.00</u>	<u>3.00</u>	<u>32.00</u>	<u>0.00</u>

# Health and Human Services

## FTE Positions

	Actual FY 2018 <u>(1)</u>	Estimated FY 2019 <u>(2)</u>	Gov Rec FY 2020 <u>(3)</u>	Gov Rec FY 20 vs Est FY 19 <u>(4)</u>	Gov Rec Yr2 FY 2021 <u>(5)</u>	Gov Rev FY20 vs Gov Rec FY21 <u>(6)</u>
<b><u>Public Health, Department of</u></b>						
<b>Public Health, Dept. of</b>						
Addictive Disorders	7.91	11.00	11.00	0.00	11.00	0.00
Healthy Children and Families	10.12	13.00	13.00	0.00	13.00	0.00
Chronic Conditions	4.87	9.00	9.00	0.00	9.00	0.00
Community Capacity	6.59	13.00	13.00	0.00	13.00	0.00
Infectious Diseases	1.74	4.00	4.00	0.00	4.00	0.00
Public Protection	132.20	141.50	140.50	-1.00	140.50	0.00
Resource Management	3.52	4.00	4.00	0.00	4.00	0.00
<b>Total Public Health, Department of</b>	<u>166.95</u>	<u>195.50</u>	<u>194.50</u>	<u>-1.00</u>	<u>194.50</u>	<u>0.00</u>
<b><u>Regents, Board of</u></b>						
<b>Regents, Board of</b>						
UIHC - Rural Psychiatry Residencies	0.00	0.00	4.00	4.00	8.00	4.00
UIHC - Psychiatric Training	0.00	0.00	1.00	1.00	1.00	0.00
<b>Total Regents, Board of</b>	<u>0.00</u>	<u>0.00</u>	<u>5.00</u>	<u>5.00</u>	<u>9.00</u>	<u>4.00</u>
<b><u>Veterans Affairs, Department of</u></b>						
<b>Veterans Affairs, Dept. of</b>						
General Administration	12.60	13.50	13.50	0.00	13.50	0.00
<b>Total Veterans Affairs, Department of</b>	<u>12.60</u>	<u>13.50</u>	<u>13.50</u>	<u>0.00</u>	<u>13.50</u>	<u>0.00</u>
<b>Total Health and Human Services</b>	<u><u>4,127.45</u></u>	<u><u>4,350.56</u></u>	<u><u>4,407.05</u></u>	<u><u>56.49</u></u>	<u><u>4,411.05</u></u>	<u><u>4.00</u></u>





## Appendix B – Appropriations Activity

### Analysis of the Governor’s Budget Recommendations

The following information provides a summary of the FY 2018 General Fund and non-General Fund appropriations for the departments under the purview of the Administration and Regulation Appropriations Subcommittee. Appropriations are adjusted for several factors throughout the fiscal year, including supplemental appropriations, deappropriations, and adjustments to standing appropriations to account for actual expenditures. Other activity associated with appropriated funds includes: balances brought forward, transfers, and reversions. The tables show the departments’ appropriations and the changes that occurred throughout the fiscal year.

- **Original Appropriation:** This is the amount appropriated from the General Fund in individual appropriation bills during the 2017 Legislative Session.
- **Adjustment to Standings:** These adjustments represent changes that are made to budgeted standing unlimited appropriations for the purpose of balancing the year-end amount. There are numerous standing unlimited appropriations established in the Iowa Code. The exact amount for each of these appropriations is not known until the close of the fiscal year. As the General Assembly develops the annual budget, an estimated amount is included for budgeting purposes. This estimated appropriation is then adjusted to reflect actual expenditures.
- **Session Law Adjustments (General Fund Only):** During the 2017 Legislative Session there were two appropriation bills enacted that required certain State agencies to allocate a general reduction across their line-item appropriations: [House File 640](#) (FY 2018 Administration and Regulation Appropriations Act) and [HF 653](#) (FY 2018 Health and Human Services Appropriations Act). House File 640 required the Department of Inspections and Appeals to reduce its General Fund appropriations by a total of \$230,000. House File 653 required the Department of Public Health and the Department of Human Services (DHS) to reduce their General Fund appropriations by a total of \$1.3 million and \$1.5 million, respectively.
- **Supplemental/Deappropriations:** These changes represent the supplemental appropriations and deappropriations enacted during the 2018 Legislative Session.
- **Salary Adjustment (Other Funds Only):** Several non-General Fund appropriations were authorized to receive appropriation adjustments to fund salary increases for FY 2018.
- **Total Appropriation:** This is the sum of all of the above numbers and represents the final appropriation amount after the above changes were applied.



## Appendix B – Appropriations Activity

### Analysis of the Governor’s Budget Recommendations

- **Balance Brought Forward:** These are the appropriated funds allowed to carry forward from FY 2017 to FY 2018. These funds provided additional spendable dollars for FY 2018.
- **Transfers In and Out:** These adjustments represent transferred appropriation spending authority between enacted appropriations. These transfers are usually implemented by the Governor through the authority of Iowa Code section [8.39](#).
- **Balance Carryforward:** These are appropriated funds that are allowed to carry forward from FY 2018 to FY 2019. These funds provide additional spendable dollars for FY 2019.
- **Reversions:** These are the unspent appropriated funds that revert back to the fund from which they were appropriated.
- **Total Adjustments:** This is the sum of the Balance Brought Forward, Transfers, Balance Carryforward, and reversions. The total represents the final appropriation amount after the above changes were applied.
- **Total Expended:** This number represents the appropriation after all of the above adjustments have been made. The result is the total appropriated funds that were expended in FY 2018.

# FY 2018 GENERAL FUND APPROPRIATIONS

## Health and Human Services Appropriations Subcommittee

	Original Approp	Adj to Standings	Session Law Adj	Suppl. & Deapprop.	Total Approp	Balance Brought Forward	Transfer In	Transfer Out	Balance Carry Forward	Reversion	Total Adjustments	Total Expended
<b>Health and Human Services</b>												
<b>Aging, Iowa Department of</b>												
Aging Programs	\$ 11,042,476	\$ 0	\$ 0	\$ -99,552	\$ 10,942,924	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 10,942,924
Office of Long-Term Care Ombudsman	1,160,281	0	0	-10,460	1,149,821	0	0	0	0	0	0	1,149,821
<b>Aging, Iowa Department of Total</b>	<b>12,202,757</b>	<b>0</b>	<b>0</b>	<b>-110,012</b>	<b>12,092,745</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>12,092,745</b>
<b>Human Services, Department of</b>												
Adoption Subsidy	40,777,910	0	0	0	40,777,910	622,291	0	0	0	0	622,291	41,400,201
Cherokee MHI	13,870,254	0	0	0	13,870,254	0	0	0	-60,321	0	-60,321	13,809,933
Child Abuse Prevention	232,570	-25,133	0	0	207,437	200,874	0	0	-207,437	0	-6,563	200,874
Child and Family Services	87,279,375	0	0	-1,398,462	85,880,913	0	0	0	0	-124,404	-124,404	85,756,509
Child Care Assistance	39,343,616	0	0	0	39,343,616	1,946	0	0	0	-1,946	0	39,343,616
Child Support Recoveries	12,586,635	0	0	0	12,586,635	0	0	0	0	-5,006	-5,006	12,581,629
Children's Health Insurance	8,518,452	0	0	-100,000	8,418,452	0	0	0	0	0	0	8,418,452
Civil Commitment Unit for Sexual Offenders	9,464,747	0	0	0	9,464,747	0	0	0	-3,142	0	-3,142	9,461,605
Commission of Inquiry	1,394	0	0	0	1,394	0	0	0	0	-1,394	-1,394	0
Connors Training	33,632	0	0	0	33,632	0	0	0	0	-505	-505	33,127
DHS - Department Wide Duties	2,879,274	0	0	0	2,879,274	0	0	0	0	0	0	2,879,274
DHS - Legislative General Reduction	-1,467,303	0	1,467,303	0	0	0	0	0	0	0	0	0
Eldora Training School	11,350,443	0	0	0	11,350,443	0	0	0	-838	0	-838	11,349,605
Family Investment Program/JOBS	43,004,480	0	-1,467,303	-370,000	41,167,177	0	0	0	0	-9,956	-9,956	41,157,221
Family Support Subsidy	1,069,282	0	0	-100,000	969,282	60,458	0	0	-46,541	0	13,917	983,199
Field Operations	48,484,435	0	0	0	48,484,435	0	0	0	0	-31,767	-31,767	48,452,668
General Administration	14,033,040	0	0	0	14,033,040	0	0	0	-320,316	-520,316	-840,632	13,192,408
Glenwood Resource Center	17,887,781	0	0	0	17,887,781	499,707	0	0	-1,388,556	0	-888,849	16,998,932
Independence MHI	17,513,621	0	0	0	17,513,621	0	0	0	-26,068	0	-26,068	17,487,553
Medical Assistance	1,284,405,740	0	0	-1,413,323	1,282,992,417	99,505,974	0	0	-36,170,463	0	63,335,512	1,346,327,929
Medical Contracts	17,626,464	0	0	-314,257	17,312,207	0	0	0	0	-344,285	-344,285	16,967,922
Non Resident Commitment Mill	142,802	0	0	-120,000	22,802	0	0	0	0	-18,833	-18,833	3,969
State Supplementary Assistance	10,372,658	0	0	-500,000	9,872,658	0	0	0	-607,056	0	-607,056	9,265,602
Volunteers	84,686	0	0	0	84,686	0	0	0	0	-19,252	-19,252	65,434
Woodward Resource Center	12,077,034	0	0	0	12,077,034	621,168	0	0	-840,478	0	-219,310	11,857,724
<b>Human Services, Department of Total</b>	<b>1,691,573,022</b>	<b>-25,133</b>	<b>0</b>	<b>-4,316,042</b>	<b>1,687,231,847</b>	<b>101,512,418</b>	<b>0</b>	<b>0</b>	<b>-39,671,216</b>	<b>-1,077,666</b>	<b>60,763,537</b>	<b>1,747,995,384</b>
<b>Public Health, Department of</b>												
Addictive Disorders	24,985,831	0	0	-181,487	24,804,344	0	0	0	0	-161,820	-161,820	24,642,524
Chronic Conditions	4,170,750	0	-560,282	-63,711	3,546,757	0	0	0	0	-21,599	-21,599	3,525,158
Community Capacity	2,907,776	0	-471,085	-84,812	2,351,879	0	0	0	0	-4,245	-4,245	2,347,634
Essential Public Health Services	8,197,878	0	-300,000	-236,936	7,660,942	0	0	0	0	-3,564	-3,564	7,657,378
Healthy Children and Families	5,325,632	0	0	-41,033	5,284,599	0	0	0	0	0	0	5,284,599
Infectious Diseases	1,646,426	0	150,000	-43,640	1,752,786	0	0	0	0	0	0	1,752,786
Iowa Registry for Congenital & Inherited Disorders	223,521	18,545	0	0	242,066	0	0	0	0	0	0	242,066
Legislative General Reduction	-1,281,367	0	1,281,367	0	0	0	0	0	0	0	0	0
Public Protection	4,195,139	0	-100,000	-11,252	4,083,887	0	0	0	0	-32,565	-32,565	4,051,322
Resource Management	971,215	0	0	0	971,215	0	0	0	0	0	0	971,215
<b>Public Health, Department of Total</b>	<b>51,342,801</b>	<b>18,545</b>	<b>0</b>	<b>-662,871</b>	<b>50,698,475</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>-223,793</b>	<b>-223,793</b>	<b>50,474,682</b>
<b>Veterans Affairs, Department of</b>												
General Administration	1,142,557	0	0	-26,977	1,115,580	0	0	0	0	-5	-5	1,115,575
Injured Veterans Grant Program	0	0	0	0	0	233,897	0	0	-213,897	0	20,000	20,000
Iowa Veterans Home	7,228,140	0	0	-65,164	7,162,976	3,522,907	0	0	-6,068,696	0	-2,545,790	4,617,186
Veterans County Grants	947,925	0	0	-9,900	938,025	223,970	0	0	-210,474	0	13,496	951,521
Vets Home Ownership Program	2,000,000	0	0	0	2,000,000	0	0	0	0	0	0	2,000,000
<b>Veterans Affairs, Department of Total</b>	<b>11,318,622</b>	<b>0</b>	<b>0</b>	<b>-102,041</b>	<b>11,216,581</b>	<b>3,980,774</b>	<b>0</b>	<b>0</b>	<b>-6,493,068</b>	<b>-5</b>	<b>-2,512,299</b>	<b>8,704,282</b>
<b>Health and Human Services Total</b>	<b>\$ 1,766,437,202</b>	<b>\$ -6,588</b>	<b>\$ 0</b>	<b>\$ -5,190,966</b>	<b>\$ 1,761,239,648</b>	<b>\$ 105,493,193</b>	<b>\$ 0</b>	<b>\$ 0</b>	<b>\$ -46,164,284</b>	<b>\$ -1,301,464</b>	<b>\$ 58,027,445</b>	<b>\$ 1,819,267,093</b>

# FY 2018 OTHER FUNDS APPROPRIATIONS

## Health and Human Services Appropriations Subcommittee

	Original Approp	Adj to Standings	Supp & Deapprop	Salary Adj	Total Approp	Balance Brought Forward	Balance Carry Forward	Reversion	Total Adjustments	Total Expended
<b>Health and Human Services</b>										
<b>Human Services, Department of</b>										
<i>Hospital Health Care Access Trust</i>										
Medical Assistance Supplemental	\$ 33,920,554	\$ 0	\$ 0	\$ 0	\$ 33,920,554	\$ 0	\$ 0	\$ 0	\$ 0	\$ 33,920,554
<i>Medicaid Fraud Account Fund</i>										
Medicaid - Medicaid Fraud Account	500,000	110,032	0	0	610,032	0	0	0	0	610,032
<i>Pharmaceutical Settlement</i>										
Medical Contracts Supplement	800,000	0	64,257	0	864,257	0	0	0	0	864,257
<i>Quality Assurance Trust Fund</i>										
Medical Assistance Supplemental	36,705,208	0	0	0	36,705,208	0	0	-1,003,967	-1,003,967	35,701,241
<i>Health Care Trust Fund</i>										
Medical Assistance - HCTF	221,900,000	0	0	0	221,900,000	0	0	-8,706,573	-8,706,573	213,193,427
<b>Human Services, Department of Total</b>	<b>293,825,762</b>	<b>110,032</b>	<b>64,257</b>	<b>0</b>	<b>294,000,051</b>	<b>0</b>	<b>0</b>	<b>-9,710,540</b>	<b>-9,710,540</b>	<b>284,289,511</b>
<b>Health and Human Services Total</b>	<b>\$ 293,825,762</b>	<b>\$ 110,032</b>	<b>\$ 64,257</b>	<b>\$ 0</b>	<b>\$ 294,000,051</b>	<b>\$ 0</b>	<b>\$ 0</b>	<b>\$ -9,710,540</b>	<b>\$ -9,710,540</b>	<b>\$ 284,289,511</b>



# Appendix C – Sample of Budget Schedules

## Analysis of the Governor’s Budget Recommendations

Schedule 1 shows the “decision packages” used by the Executive Branch to arrive at a department’s annual budget request (dollars and full-time equivalent (FTE) positions) and the Governor’s recommendations for a budget unit.

SPECIAL DEPARTMENT: (460) Human Services, Department of  
 Budget Unit: (413N200001) Medical Assistance  
 Schedule 1

Rank	Description	Funding Source	Fiscal Year 2020 Department Request	Fiscal Year 2020 Governor's Recommendations
Base		Appropriation	1,337,646,336	1,337,646,336
		FTE	11.00	11.00
0001	State Revenue Changes	Appropriation	9,212,490	9,212,490
0002	FMAP Change	Appropriation	-29,204,824	-29,204,824
0003	Final FY20 FMAP Change	Appropriation	-7,015,731	-7,015,731
<b>Total Budget Unit Funding</b>		Fiscal Year 2019 Estimated	Fiscal Year 2020 Department Request	Fiscal Year 2020 Governor's Recommendations
	Appropriation	\$ 1,337,841,375	\$ 1,310,638,271	\$ 1,310,638,271
	Total FTE	11.01	11.00	11.00

Department name & budget unit number

Fiscal year

Base appropriation and FTE positions plus “decision packages”

Total appropriation and FTE positions

41

Schedule 1 provides a summary of funding available for a department. The schedule shows General Fund and total funds plus FTE positions. The Schedule shows, by priority, any changes to current law (or budget).

Budget schedules are available at: [www.legis.iowa.gov/publications/fiscal/budgetSchedules](http://www.legis.iowa.gov/publications/fiscal/budgetSchedules)

**Schedule 6 Example**

Schedule 6 shows all of the resources for a particular budget unit, including appropriations, federal funds, etc.

**STATE OF IOWA**  
 Fiscal Year 2020 Annual Budget  
 SPECIAL DEPARTMENT: (460) Human Services, Department of  
 Budget Unit: (402M100001) Field Operations  
 Schedule 6

	Fiscal Year 2018 Actual	Fiscal Year 2019 Estimated	Fiscal Year 2020 Department Request	Fiscal Year 2020 Governor's Recomm	
<b>Resources</b>					
<b>Appropriations</b>					
Appropriation	\$ 48,484,435	\$ 49,074,517	\$ 49,074,517	\$ 49,074,517	← Department name & budget unit number
<b>Receipts</b>					
Federal Support	85,135,838	80,931,277	80,931,277	80,931,277	← Fiscal year
Intra State Receipts	4,836,515	4,271,238	4,271,238	4,271,238	
	<u>89,972,353</u>	<u>85,202,515</u>	<u>85,202,515</u>	<u>85,202,515</u>	← Appropriations
<b>Total Resources</b>	<u>\$ 138,456,788</u>	<u>\$ 134,277,032</u>	<u>\$ 134,277,032</u>	<u>\$ 134,277,032</u>	← Budget unit receipts
<b>FTE</b>	<u>1,440.75</u>	<u>1,438.00</u>	<u>1,438.00</u>	<u>1,438.00</u>	← FTE positions
<b>Disposition of Resources</b>					
Personal Services-Salaries	\$ 129,352,954	\$ 123,461,344	\$ 123,461,344	\$ 123,461,344	← Budget unit expenditures
Personal Travel In State	1,354,152	1,536,519	1,536,519	1,536,519	
State Vehicle Operation	282,920	327,144	327,144	327,144	
Depreciation	272,480	356,360	356,360	356,360	
Personal Travel Out of State	21,474	59,746	59,746	59,746	
Office Supplies	77,571	151,464	151,464	151,464	
Facility Maintenance Supplies	298	483	483	483	
Other Supplies	0	6	6	6	
Printing & Binding	159,243	188,901	188,901	188,901	
Postage	304,206	408,433	408,433	408,433	
Communications	466,985	492,960	492,960	492,960	
Rentals	360,837	426,530	426,530	426,530	
Utilities	734	1,051	1,051	1,051	
Professional & Scientific Services	1,066,925	2,017,151	2,017,151	2,017,151	
Outside Services	86,214	316,224	316,224	316,224	

Schedule 6 provides a detailed budget for all appropriated accounts or funds under the control of a department. Resources include the appropriation, the salary adjustment (if applicable), across-the-board reductions, supplemental appropriations, intrastate receipts from other agencies, receipts from local governments, and other receipts such as fees. Expenditures include all expenses related to the operating budget, such as salary, travel, contracts, etc. Expenditures also include reversions or balance brought forward.

Budget schedules are available at: [www.legis.iowa.gov/publications/fiscal/budgetSchedules](http://www.legis.iowa.gov/publications/fiscal/budgetSchedules)



## Appendix D – Report on Federal Grants

### Analysis of the Governor’s Budget Recommendations

#### Grants Enterprise Management Report

The Grants Enterprise Management System (GEM\$) was established by the Iowa Legislature in 2003 to simplify the grant identification and application process for State customers and to provide a unified grants management approach within State government.

The Office of Grants Enterprise Management under the Department of Management (DOM) is required to submit a report to the Fiscal Services Division by January 31 of each year, with a listing of all grants received during the previous calendar year with a value over \$1,000 and the funding entity and purpose for each grant.

The Tables below are the report received by the DOM.

**2018 Competitive Grant Report**  
**Report Required by Iowa Code Section 8.9**  
**Iowa Department of Management**  
**Source: GEM\$ Database as entered by Agencies**

State Agency	Title of Application	Amount Awarded	Match Amount	MOE?	Funding Start Date	Funding End Date	FTE's Associated with Grant
DPH	Centers for Disease Control and Prevention, National Center on Birth Defects and Developmental Disabilities	\$150,000	\$0	No	7/1/2017	6/30/2018	1.55
DPH	Diagnostic and Early Intervention Surveillance	\$150,000	\$0	No	7/1/2017	6/30/2018	1.55
DPH	Pregnancy Assistance Fund: Support for Expectant and Parenting Teens, Women, Fathers, and their Families	\$1,350,592	\$287,500	No	7/1/2017	6/30/2018	1.00
DPH	Prevention of Opioid Misuse in Women	\$100,000	\$0	No	8/1/2017	6/30/2018	0.25
DPH	Promoting Integration of Primary and Behavioral Health Care in Iowa	\$2,025,001	\$0	No	9/30/2018	9/29/2019	1.50
DPH	IDPH AmeriCorps Substance Abuse Program	\$294,003	\$89,273	No	9/1/2017	8/31/2018	1.00
DPH	Lead Poisoning Prevention-Childhood Lead Poisoning Prevention—financed partially by	\$347,820	\$0	No	9/30/2017	9/29/2018	2.50
DPH	Enhancing Innovation and Capabilities of the Environmental Public Health Tracking Network	\$874,920	\$0	No	8/1/2017	7/31/2018	3.95
DPH	Integrated HIV Surveillance and Prevention Programs for Health Departments	\$560,560	\$0	No	1/1/2018	12/31/2018	5.50
DPH	Improving Timeliness in Newborn Screening	\$30,000	\$0	No	9/1/2017	8/31/2018	0.50
DPH	IDPH AmeriCorps Substance Abuse Program	\$324,400	\$127,383	No	9/1/2018	8/31/2019	1.00
DPH	Cooperative Agreements to Implement Zero Suicide in Health Systems	\$700,000	\$0	No	9/30/2018	9/29/2019	2.00
DPH	Support for Newborn Screening Implementation of New RUSP Disorders	\$123,568	\$0	No	9/1/2017	8/31/2018	0.00
DPH	Iowa Youth Risk Behavior Survey (YRBS)	\$100,000	\$0	No	8/1/2018	7/31/2019	0.35
DPH	Iowa EMS for Children	\$61,555	\$0	No	4/1/2018	3/31/2019	0.90
DPH	State Systems Development Initiative Grant Program	\$100,000	\$0	No	12/1/2017	11/30/2018	0.50
DPH	Ryan White Part B Supplemental Awards	\$10,760,441	\$0	No	9/30/2018	9/29/2019	4.00



**2018 Noncompetitive Grant Report**  
**Report Required by Iowa Code Section 8.9**  
**Iowa Department of Management**  
**Source: GEM\$ Database as entered by Agencies**

State Agency	Title of Application	Amount Awarded	Match Amount	MOE?	Funding Start Date	Funding End Date	FTE's Associated with Grant
DPH	Strategic Prevention Framework for Prescription Drugs	\$371,616	\$0	No	9/1/2018	8/31/2019	0.40
DPH	Title X Family Planning Service Project	\$1,299,000	\$129,900	No	7/1/2017	6/30/2018	1.55
DPH	Approaches to Increase Colorectal Cancer Screening	\$722,167	\$0	No	6/30/2017	6/29/2018	3.10
DPH	State Oral Disease Prevention Program	\$310,600	\$0	No	9/1/2017	8/31/2018	2.50
DPH	State Offices of Rural Health Grant Program (SORH)	\$172,000	\$516,000	No	7/1/2017	6/30/2017	1.20
DPH	Rural Hospital Flexibility Program	\$610,811	\$0	No	9/1/2017	8/31/2018	1.40
DPH	Grants to States for Loan Repayment- State Loan Repayment Program (SLRP)	\$140,000	\$140,000	No	9/1/2017	8/31/2018	0.00
DPH	State Indoor Radon Program	\$181,359	\$120,906	No	7/1/2017	6/30/2018	0.25
DPH	Lead Training and Certification	\$317,841	\$240,000	No	10/1/2017	9/30/2018	2.25
DPH	Older Adult Oral Health Basic Screening Survey	\$24,500	\$0	No	8/3/2017	8/8/2017	0.00
DPH	Improving Hepatitis B and C Care Cascades, Focus on Increased Testing and Diagnosis	\$180,535	\$0	No	11/1/2017	10/31/2018	0.70
DPH	Maternal, Infant and Early Childhood Home Visiting Program	\$5,640,587	\$0	Yes	9/30/2017	9/29/2019	3.55
DPH	Title V Maternal and Child Health Services Block Grant to States Program	\$0	\$4,883,780	Yes	10/1/2017	9/30/2019	10.65
DPH	Supplemental Nutrition Program for Women Infants and Children, WIC	\$0	\$0	No	10/1/2017	9/30/2018	14.00
DPH	Behavioral Risk Factor Surveillance System (BRFSS)	\$349,155	\$0	No	3/29/2018	3/28/2018	1.00
DPH	Tobacco Use Prevention and Control	\$878,000	\$0	No	3/29/2018	3/28/2019	6.20
DPH	Tuberculosis Elimination and Laboratory Program	\$201,646	\$0	No	1/1/2018	12/31/2018	2.00
DPH	Universal Hearing Screening & Intervention Program	\$250,000	\$0	No	4/1/2018	3/31/2019	1.25
DPH	Prevention of Opioid Misuse in Women	\$100,000	\$0	No	7/1/2018	6/30/2018	0.25
DPH	Enhancing Innovation and Capabilities of the Environmental Public Health Tracking Network	\$874,920	\$0	No	8/1/2018	7/31/2019	3.45

**2018 Noncompetitive Grant Report**  
**Report Required by Iowa Code Section 8.9**  
**Iowa Department of Management**  
*Source: GEM\$ Database as entered by Agencies*

<b>State Agency</b>	<b>Title of Application</b>	<b>Amount Awarded</b>	<b>Match Amount</b>	<b>MOE?</b>	<b>Funding Start Date</b>	<b>Funding End Date</b>	<b>FTE's Associated with Grant</b>
DPH	Inclusive Health and Wellness Iowa	\$390,000	\$0	No	7/1/2018	6/30/2019	1.30
DPH	Iowa Prevention Collaborative	\$1,626,000	\$0	No	9/30/2018	9/29/2019	1.60
DPH	1701-01 BP1 Supplemental Iowa HPP & PHEP	\$8,837,077	\$875,081	No	7/1/2018	6/30/2019	16.15
DPH	Iowa Opioid STR Project	\$2,728,077	\$0	No	5/1/2018	4/30/2018	0.90
DPH	Iowa Primary Care Office	\$191,644	\$0	No	4/1/2018	3/31/2018	0.95
DPH	Pregnancy Risk Assessment Monitoring System (PRAMS)	\$157,500	\$0	No	5/1/2018	4/30/2019	1.15
DPH	State Indoor Radon Grant (SIRG)	\$174,152	\$116,101	No	7/1/2018	6/30/2019	0.25

**2018 Noncompetitive Grant Report**  
**Report Required by Iowa Code Section 8.9**  
**Iowa Department of Management**  
**Source: DHS**

State Agency	Title of Application	Amount Awarded	Match Amount	MOE?	Funding Start Date	Funding End Date	FTE's Associated with Grant
DHS	Access & Visitation	\$100,000	N/A	No	10/1/2017	9/30/2019	0.00
DHS	Adoption Assistance (Title IV-E)	\$39,770,667	N/A	No	7/1/2017	6/30/2018	0.00
DHS	Adoption Incentive Program	\$1,203,500	N/A	No	10/1/2016	9/30/2018	0.00
DHS	CFSP	\$256,257	N/A	No	10/1/2017	9/30/2018	0.00
DHS	Child Care & Development Fund	\$9,011,413	N/A	Yes	10/1/2016	9/30/2017	0.00
DHS	Child Care & Development Fund	\$58,613,052	N/A	Yes	10/1/2017	9/30/2019	0.00
DHS	Child Support (IV-D)	\$25,285,291	N/A	No	7/1/2017	6/30/2018	0.00
DHS	Child Support (IV-D) Incentive Payments	\$7,875,000	N/A	No	7/1/2017	6/30/2018	0.00
DHS	Child Welfare (IV-B)	\$2,773,001	N/A	No	10/1/2017	9/30/2019	0.00
DHS	Children's Justice Act	\$148,110	N/A	No	10/1/2016	9/30/2019	0.00
DHS	Community Mental Health Block Grant	\$2,245,489	N/A	No	10/1/2016	9/30/2018	0.00
DHS	Community Mental Health Block Grant	\$4,099,344	N/A	No	10/1/2017	9/30/2019	0.00
DHS	Developmental Disabilities	\$795,050	N/A	No	10/1/2016	9/30/2019	0.00
DHS	E&T 100% Admin	\$581,531	N/A	No	10/1/2016	9/30/2018	0.00
DHS	E&T 50%	\$117,505	N/A	No	10/1/2016	9/30/2018	0.00
DHS	E&T Participant Costs x390	\$169,088	N/A	No	10/1/2016	9/30/2018	0.00
DHS	Education and Training Vouchers	\$307,842	N/A	No	7/1/2017	9/30/2019	0.00
DHS	Family Resources	\$406,148	N/A	No	10/1/2016	9/30/2019	0.00
DHS	Foster Care (Title IV-E)	\$20,187,505	N/A	No	7/1/2016	6/30/2018	0.00
DHS	Guardianship	\$4,240	N/A	No	10/1/2013	6/30/2018	0.00
DHS	Health Information Technology (HIT) Administrative Payments	\$1,844,843	N/A	No	10/1/2016	9/30/2018	0.00
DHS	HIT Incentive	\$9,948,323	N/A	No	1/1/2017	6/30/2018	0.00
DHS	Maternal & Infant Outcomes	\$97,500	N/A	No	9/10/2015	9/9/2019	0.00
DHS	Medical Administration	\$121,790,732	N/A	No	1/1/2017	6/30/2018	0.00
DHS	Medical Program	\$2,779,480,144	N/A	No	1/1/2017	6/30/2018	0.00
DHS	Child Abuse Basic	\$904,548	N/A	No	10/1/2017	9/30/2022	0.00
DHS	Nutrition Education	\$3,772,127	N/A	No	10/1/2016	9/30/2019	0.00
DHS	PATH	\$334,444	N/A	No	7/1/2017	6/30/2018	0.00
DHS	Promoting Safe & Stable Families	\$1,132,378	N/A	No	10/1/2016	9/30/2018	0.00
DHS	Promoting Safe & Stable Families	\$1,482,804	N/A	No	10/1/2017	9/30/2019	0.00

**2018 Noncompetitive Grant Report**  
**Report Required by Iowa Code Section 8.9**  
**Iowa Department of Management**  
*Source: DHS*

State Agency	Title of Application	Amount Awarded	Match Amount	MOE?	Funding Start Date	Funding End Date	FTE's Associated with Grant
DHS	Promoting Safe & Stable Families - Case Worker	\$158,465	N/A	No	10/1/2017	9/30/2019	0.00
DHS	Refugee Cash & Medical Administrative	\$777,135	N/A	No	10/1/2015	9/30/2019	0.00
DHS	Refugee Social Services	\$947,884	N/A	No	10/1/2016	9/30/2019	0.00
DHS	Refugee Targeted Assistance	\$125,372	N/A	No	10/1/2016	9/30/2018	0.00
DHS	Refugee Health Promotion	\$99,000	N/A	No	8/15/2017	8/14/2018	0.00
DHS	SNAP Administration	\$19,178,073	N/A	No	10/1/2015	9/30/2018	0.00
DHS	Social Services Block Grant	\$3,824,115	N/A	No	10/1/2016	9/30/2018	0.00
DHS	Social Services Block Grant	\$11,503,674	N/A	No	10/1/2017	9/30/2019	0.00
DHS	State Children's Health Insurance Program	\$155,667,098	N/A	No	10/1/2016	9/30/2019	0.00
DHS	State Exchange EBT	\$1,868	N/A	No	10/1/2016	9/30/2018	0.00
DHS	State Exchange Regular	\$5,746	N/A	No	10/1/2016	9/30/2017	0.00
DHS	State Innovation	\$12,875,046	N/A	No	2/1/2015	4/30/2019	0.00
DHS	Survey & Certification	\$3,921,933	N/A	No	10/1/2016	6/30/2018	0.00
DHS	TEFAP and TEFAP Farm Bill	\$523,204	N/A	No	10/1/2017	9/30/2018	0.00
DHS	Temporary Assistance for Needy Families	\$31,653,721	N/A	Yes	10/1/2016	9/30/2018	0.00
DHS	Temporary Assistance for Needy Families	\$98,466,166	N/A	Yes	10/1/2017	9/30/2018	0.00



## Appendix E – Fee Project

### Analysis of the Governor’s Budget Recommendations

The Fee Project acts as reference guide to fees charged by departments and agencies. The information reflects FY 2017 and FY 2018 and when possible, includes the number of persons who paid each fee and the amount of revenue generated by each fee. The information is reported by State agencies as of December 2018. The Fee Project workbooks for each subcommittee are available on each respective subcommittee webpage at the links listed below. Hard copies of these reports are available from the Fiscal Services Division upon request.

Admin. and Regulation – [www.legis.iowa.gov/docs/publications/SF/1034224.pdf](http://www.legis.iowa.gov/docs/publications/SF/1034224.pdf)

Agriculture and DNR – [www.legis.iowa.gov/docs/publications/SF/1034223.pdf](http://www.legis.iowa.gov/docs/publications/SF/1034223.pdf)

Economic Development – [www.legis.iowa.gov/docs/publications/SF/1034225.pdf](http://www.legis.iowa.gov/docs/publications/SF/1034225.pdf)

Education – [www.legis.iowa.gov/docs/publications/SF/1034227.pdf](http://www.legis.iowa.gov/docs/publications/SF/1034227.pdf)

Human Services – [www.legis.iowa.gov/docs/publications/SF/1034228.pdf](http://www.legis.iowa.gov/docs/publications/SF/1034228.pdf)

Justice System – [www.legis.iowa.gov/docs/publications/SF/1034229.pdf](http://www.legis.iowa.gov/docs/publications/SF/1034229.pdf)

Transportation – [www.legis.iowa.gov/docs/publications/SF/1034226.pdf](http://www.legis.iowa.gov/docs/publications/SF/1034226.pdf)



## Appendix F – General Fund Health and Human Services Spreadsheet

### Analysis of the Governor’s Budget Recommendations

This spreadsheet is used to demonstrate the incremental change in the appropriations from one fiscal year to the next. Similar to the Schedule 1 in the State Accounting System, these are the “decision packages” used to show the incremental change to an appropriation.

# Health and Human Services

## General Fund

	Estimated FY 2019 (1)	Gov Rec FY 2020 (2)	Gov Rec v. FY 2019 (3)
<b><u>Aging, Iowa Department on</u></b>			
<b>Total Aging Programs</b>	\$ 11,042,924	\$ 11,042,924	\$ 0
<b>Total Office of LTC Ombudsman</b>	\$ 1,149,821	\$ 1,149,821	\$ 0
<b>Total Aging, Iowa Department on</b>	<u>\$ 12,192,745</u>	<u>\$ 12,192,745</u>	<u>\$ 0</u>
<b><u>Public Health, Department of</u></b>			
<b>Addictive Disorders</b>	\$ 24,804,344	\$ 24,804,344	\$ 0
Children's Mental Health (Your Life Iowa Expansion)	0	306,250	306,250
<b>Total Addictive Disorders</b>	<u>\$ 24,804,344</u>	<u>\$ 25,110,594</u>	<u>\$ 306,250</u>
<b>Total Healthy Children and Families</b>	\$ 5,820,625	\$ 5,820,625	\$ 0
<b>Chronic Conditions</b>	\$ 4,528,109	\$ 4,528,109	\$ 0
Medical Cannabidiol Program (Fee Sustainable)	0	-300,000	-300,000
<b>Total Chronic Conditions</b>	<u>\$ 4,528,109</u>	<u>\$ 4,228,109</u>	<u>\$ -300,000</u>
<b>Total Community Capacity</b>	\$ 4,970,152	\$ 4,970,152	\$ 0
<b>Essential Public Health Services</b>	\$ 7,662,464	\$ 7,662,464	\$ 0
Funding Restoration from Medical Cannabidiol Transfer	0	300,000	300,000
<b>Total Essential Public Health Services</b>	<u>\$ 7,662,464</u>	<u>\$ 7,962,464</u>	<u>\$ 300,000</u>
<b>Total Infectious Diseases</b>	\$ 1,796,426	\$ 1,796,426	\$ 0
<b>Total Public Protection</b>	\$ 4,095,139	\$ 4,095,139	\$ 0
<b>Total Resource Management</b>	\$ 971,215	\$ 971,215	\$ 0
<b>Total Congenital &amp; Inherited Disorders Registry</b>	223,521	223,521	0
<b>Total Public Health, Department of</b>	<u>\$ 54,871,995</u>	<u>\$ 55,178,245</u>	<u>\$ 306,250</u>

# Health and Human Services

## General Fund

	<u>Estimated FY 2019</u>	<u>Gov Rec FY 2020</u>	<u>Gov Rec v. FY 2019</u>
<b><u>Veterans Affairs, Department of</u></b>			
<b>Veterans Affairs, Dept. of</b>			
<b>General Administration</b>	\$ 1,150,500	\$ 1,150,500	\$ 0
General Department Operations	0	75,000	75,000
<b>Total General Administration</b>	<u>\$ 1,150,500</u>	<u>\$ 1,225,500</u>	<u>\$ 75,000</u>
<b>Total Home Ownership Assistance Program</b>	\$ 2,000,000	\$ 2,000,000	\$ 0
<b>Total Veterans County Grants</b>	990,000	990,000	0
<b>Veterans Affairs, Dept. of</b>	<u>\$ 4,140,500</u>	<u>\$ 4,215,500</u>	<u>\$ 75,000</u>
<b>Iowa Veterans Home</b>			
<b>Total Iowa Veterans Home</b>	\$ 7,162,976	\$ 7,162,976	\$ 0
<b>Total Veterans Affairs, Department of</b>	<u>\$ 11,303,476</u>	<u>\$ 11,378,476</u>	<u>\$ 75,000</u>
<b><u>Human Services, Department of</u></b>			
<b>Family Investment Program (FIP)/PROMISE JOBS</b>	\$ 40,365,715	\$ 40,365,715	\$ 0
Decreasing Caseloads/Costs	0	-2,857,425	-2,857,425
Replace CSRU Collections Revenue	0	515,672	515,672
PROMISE JOBS Decreased Referrals	0	-121,563	-121,563
Ongoing DHS Technology Projects	0	2,463,316	2,463,316
<b>Total Family Investment Program (FIP)/PROMISE JOBS</b>	<u>\$ 40,365,715</u>	<u>\$ 40,365,715</u>	<u>\$ 0</u>
<b>Medical Assistance</b>	1,337,841,375	1,337,841,375	\$ 0
Governor's Recommendation (See Medicaid Balance Sheet)	0	72,283,520	72,283,520
Reflect Governor's Item Veto	0	-195,000	-195,000
<b>Total Medical Assistance</b>	<u>\$ 1,337,841,375</u>	<u>\$ 1,409,929,895</u>	<u>\$ 72,088,520</u>
<b>Medical Contracts</b>	\$ 16,603,198	\$ 16,603,198	\$ 0
Pharmaceutical Settlement Fund Replacement	0	1,212,073	1,212,073
MMIS Core Reprourement Costs	0	177,259	177,259
<b>Total Medical Contracts</b>	<u>\$ 16,603,198</u>	<u>\$ 17,992,530</u>	<u>\$ 1,389,332</u>
<b>State Supplementary Assistance</b>	\$ 10,250,873	\$ 10,250,873	\$ 0
Decreasing Caseloads	0	-2,437,964	-2,437,964
<b>Total State Supplementary Assistance</b>	<u>\$ 10,250,873</u>	<u>\$ 7,812,909</u>	<u>\$ -2,437,964</u>



# Health and Human Services

## General Fund

	Estimated FY 2019	Gov Rec FY 2020	Gov Rec v. FY 2019
<b>State Children's Health Insurance</b>	\$ 7,064,057	\$ 7,064,057	\$ 0
Replace Carryforward from FY 2018 to FY 2019	0	528,778	528,778
Enrollment Growth 2.5%	0	171,540	171,540
Administrative Cost Increases	0	8,620	8,620
Health Insurer Fees	0	-150,350	-150,350
Phasedown of 23.0% enhanced ACA FMAP to 11.5%	0	11,609,260	11,609,260
FMAP Adjustment	0	-177,478	-177,478
Match Forecast Group Estimated Need	0	306,685	306,685
<b>Total State Children's Health Insurance</b>	<b>\$ 7,064,057</b>	<b>\$ 19,361,112</b>	<b>\$ 12,297,055</b>
<b>Child Care Assistance</b>	\$ 40,816,931	\$ 40,816,931	\$ 0
Annualize Provider Rate Increase	0	3,000,000	3,000,000
Program Growth	0	4,045,763	4,045,763
CCDBG Revenue Increase	0	-8,054,835	-8,054,835
CCDBG Quality Set Aside Requirement	0	1,009,072	1,009,072
<b>Total Child Care Assistance</b>	<b>\$ 40,816,931</b>	<b>\$ 40,816,931</b>	<b>\$ 0</b>
<b>Child and Family Services</b>	\$ 84,939,774	\$ 84,939,774	\$ 0
FMAP Adjustment	0	-153,810	-153,810
Core Services and Program Growth	0	4,292,221	4,292,221
<b>Total Child and Family Services</b>	<b>\$ 84,939,774</b>	<b>\$ 89,078,185</b>	<b>\$ 4,138,411</b>
<b>Adoption Subsidy</b>	40,445,137	40,445,137	\$ 0
FY 2019 Unfunded Need	0	166,290	166,290
Reinvestment Savings Requirements	0	974,888	974,888
FMAP Adjustment	0	-823,199	-823,199
Match Forecast Group Estimated Need	0	-167,109	-167,109
<b>Total Adoption Subsidy</b>	<b>\$ 40,445,137</b>	<b>\$ 40,596,007</b>	<b>\$ 150,870</b>
<b>Family Support Subsidy</b>	\$ 949,282	\$ 949,282	\$ 0
Family Support Subsidy Caseload Age Out	0	-31,775	-31,775
Children at Home Increase	0	31,775	31,775
<b>Total Family Support Subsidy</b>	<b>\$ 949,282</b>	<b>\$ 949,282</b>	<b>\$ 0</b>
<b>Total Conners Training</b>	\$ 33,632	\$ 33,632	\$ 0
<b>Total Volunteers</b>	84,686	84,686	0

# Health and Human Services

## General Fund

	Estimated FY 2019	Gov Rec FY 2020	Gov Rec v. FY 2019
<b>Eldora Training School</b>	\$ 12,762,443	\$ 12,762,443	\$ 0
Replace One-Time Funding	0	900,027	900,027
Pharmaceuticals, Food, Transportation, & Utilities	0	42,311	42,311
Youth Services Technician	0	52,354	52,354
Clinical Supervisor	0	91,222	91,222
Registered Nurse	0	72,550	72,550
<b>Total Eldora Training School</b>	<u>\$ 12,762,443</u>	<u>\$ 13,920,907</u>	<u>\$ 1,158,464</u>
<b>Cherokee MHI</b>	\$ 13,870,254	\$ 13,870,254	\$ 0
Pharmaceuticals, Food, Transportation, & Utilities	0	74,270	74,270
Security Staff (3.5 FTE positions)	0	148,456	148,456
Support Staff (3.0 FTE positions)	0	123,169	123,169
<b>Total Cherokee MHI</b>	<u>\$ 13,870,254</u>	<u>\$ 14,216,149</u>	<u>\$ 345,895</u>
<b>Independence MHI</b>	\$ 17,513,621	\$ 17,513,621	\$ 0
Replace One-Time Funding	0	1,409,375	1,409,375
Pharmaceuticals, Food, Transportation, & Utilities	0	93,658	93,658
Security Staff (3.5 FTE positions)	0	148,456	148,456
<b>Total Independence MHI</b>	<u>\$ 17,513,621</u>	<u>\$ 19,165,110</u>	<u>\$ 1,651,489</u>
<b>Glenwood Resource Center</b>	\$ 16,858,523	\$ 16,858,523	\$ 0
Pharmaceuticals, Food, Transportation, & Utilities	0	54,351	54,351
FMAP Adjustment	0	-864,526	-864,526
<b>Total Glenwood Resource Center</b>	<u>\$ 16,858,523</u>	<u>\$ 16,048,348</u>	<u>\$ -810,175</u>
<b>Woodward Resource Center</b>	\$ 11,386,679	\$ 11,386,679	\$ 0
Pharmaceuticals, Food, Transportation, & Utilities	0	37,479	37,479
FMAP Adjustment	0	-551,802	-551,802
<b>Total Woodward Resource Center</b>	<u>\$ 11,386,679</u>	<u>\$ 10,872,356</u>	<u>\$ -514,323</u>
<b>Civil Commitment Unit for Sexual Offenders</b>	\$ 10,864,747	\$ 10,864,747	\$ 0
Replace One-Time Funding	0	549,205	549,205
Pharmaceuticals, Food, Transportation, & Utilities	0	31,415	31,415
Patient Per Diem Growth	0	89,082	89,082
Clinical & Support Staff Expansion (7.0 FTE Positions)	0	473,956	473,956
Release With Supervision Program	0	44,688	44,688
<b>Total Civil Commitment Unit for Sexual Offenders</b>	<u>\$ 10,864,747</u>	<u>\$ 12,053,093</u>	<u>\$ 1,188,346</u>

# Health and Human Services

## General Fund

	Estimated FY 2019	Gov Rec FY 2020	Gov Rec v. FY 2019
<b>Child Support Recovery</b>	\$ 14,586,635	\$ 14,586,635	\$ 0
Increased Costs	0	163,062	163,062
<b>Total Child Support Recovery</b>	<u>\$ 14,586,635</u>	<u>\$ 14,749,697</u>	<u>\$ 163,062</u>
<b>Field Operations</b>	\$ 49,074,517	\$ 49,074,517	\$ 0
Staffing Increase to Relieve Caseloads (29.0 FTE positions)	0	1,526,289	1,526,289
Maintain Current FTE Levels (1,438.0 FTE positions)	0	2,913,166	2,913,166
Replace ELIAS Funding (6.0 FTE positions)	0	409,223	409,223
<b>Total Field Operations</b>	<u>\$ 49,074,517</u>	<u>\$ 53,923,195</u>	<u>\$ 4,848,678</u>
<b>Total General Administration</b>	\$ 13,833,040	\$ 13,833,040	\$ 0
<b>Total DHS Facilities</b>	2,879,274	2,879,274	0
<b>Corporate Technology</b>	\$ 0	\$ 0	\$ 0
Department-Wide Technology Needs	0	2,530,413	2,530,413
<b>Total Corporate Technology</b>	<u>\$ 0</u>	<u>\$ 2,530,413</u>	<u>\$ 2,530,413</u>
<b>Standing Appropriations</b>			
<b>Total Child Abuse Prevention</b>	\$ 232,570	\$ 232,570	\$ 0
<b>Total Commission of Inquiry</b>	1,394	1,394	0
<b>Total Nonresident Mental Illness Commitment</b>	142,802	142,802	0
<b>Total Human Services, Department of</b>	<u>\$ 1,743,401,159</u>	<u>\$ 1,839,058,819</u>	<u>\$ 95,657,660</u>
<b><u>Regents, Board of</u></b>			
<b>Total UIHC - Rural Psychiatry Residencies</b>	\$ 0	\$ 400,000	\$ 400,000
<b>Total UIHC - Psychiatric Training</b>	\$ 0	\$ 150,000	\$ 150,000
<b>Total Regents, Board of</b>	<u>\$ 0</u>	<u>\$ 550,000</u>	<u>\$ 550,000</u>
<b>Total Health and Human Services</b>	<u><u>\$ 1,821,769,375</u></u>	<u><u>\$ 1,918,358,285</u></u>	<u><u>\$ 96,588,910</u></u>



## Appendix G – Temporary Assistance For Needy Families Balance Sheet

### Analysis of the Governor's Budget Recommendations

The federal government implemented Federal Welfare Reform on August 22, 1996. Federal Welfare Reform changed the funding for the Family Investment Program (FIP) from a matching program to a federal block grant. The Temporary Assistance for Needy Families (TANF) Program was reauthorized on February 8, 2006, with work participation rates extended to separate State programs and the elimination of high performance bonuses. Iowa's federal TANF grant is \$130,558,068 per year.

The balance sheet in this appendix shows the distribution of the TANF block grant.

## Temporary Assistance for Needy Families Fund

	<u>Actual FY 2017</u>	<u>Actual FY 2018</u>	<u>Estimated FY 2019</u>	<u>Gov Rec FY 2019</u>	<u>Gov Rec FY 2020</u>
<b>Revenues</b>					
Beginning Balance	\$ 26,689,298	\$ 5,782,372	\$ 251,315	\$ 251,315	\$ 45,685
TANF Payment	130,673,409	130,558,068	130,558,068	130,558,068	130,558,068
<b>Total Revenues</b>	<u>\$ 157,362,707</u>	<u>\$ 136,340,440</u>	<u>\$ 130,809,383</u>	<u>\$ 130,809,383</u>	<u>\$ 130,603,753</u>
<b>Appropriations</b>					
Family Investment Program					
Family Investment Program	\$ 12,713,680	\$ 4,539,006	\$ 4,539,006	\$ 4,025,108	\$ 4,524,006
FaDSS	2,867,816	2,883,980	2,883,980	2,898,980	2,898,980
JOBS Program	4,038,729	5,412,060	5,412,060	5,192,060	5,412,060
Training and Technology	319,814	294,155	1,037,186	862,186	1,037,186
Child Abuse Prevention	125,000	125,000	125,000	125,000	125,000
Pregnancy Prevention	1,860,183	1,913,203	1,913,203	1,890,203	1,913,203
Child Care Assistance	49,866,826	53,603,561	47,166,826	47,166,826	47,166,826
Child and Family Services	37,256,581	32,380,654	32,380,654	32,380,654	32,380,654
General Administration	3,744,000	3,744,000	3,744,000	3,744,000	3,744,000
Field Operations	38,774,330	31,296,232	31,296,232	32,465,681	31,296,232
MH/DD Comm. Services	0	0	0	0	0
Expanded Categorical Eligibility for SNAP	13,376	14,236	14,236	13,000	14,236
FIP Eligibility System	0	0	0	0	0
<b>Total Appropriations</b>	<u>\$ 151,580,335</u>	<u>\$ 136,206,087</u>	<u>\$ 130,512,383</u>	<u>\$ 130,763,698</u>	<u>\$ 130,512,383</u>
<b>Reversions</b>	0	(116,962)			
<b>Ending Balance</b>	<u>\$ 5,782,372</u>	<u>\$ 251,315</u>	<u>\$ 297,000</u>	<u>\$ 45,685</u>	<u>\$ 91,370</u>

Notes:

- TANF - Temporary Assistance for Needy Families
- FaDSS - Family Development and Self-Sufficiency Program
- MH/DD - Mental Health and Developmental Disabilities
- FIP - Family Investment Program