

receiver in the event of liquidation of any Iowa insurance company.

All domestic and foreign insurance companies must, before engaging in the insurance business in this state, obtain a certificate of authority from the commissioner of insurance. These certificates expire annually on May 1, and action is taken on the renewals after analysis of complete financial statements filed covering the condition of the company as of the previous year end. There are approximately 1,600 insurance companies authorized to do business in the state.

A state statute requires casualty insurance companies to file policy forms and rates with the insurance commissioner for approval, and a rating division has been set up with the insurance department to handle form and rate filings together with supporting statistical information. There are approximately 36,000 filings each year.

In addition, the commissioner supervises the business of life and health insurers, health maintenance organizations and mutual hospital and health service corporations, including rates and forms used by them. There are approximately 25,000 of these filings each year.

The commissioner conducts examinations of all domestic insurance organizations at least once every three years. The commissioner may also make an examination of any foreign insurance company authorized or seeking to be authorized to do business within the state.

The Consumer and Legal Affairs Bureau handles citizens complaints and inquiries and investigates/prosecutes any wrongdoing by insurance agents and companies. Also regulated by this bureau is agents licensing. Each individual insurance agent must be licensed and maintain yearly continuing education eligibility. There are approximately 40,000 licensed agents.

Securities Bureau

The Iowa securities law is administered by the commissioner of insurance through the superintendent of securities. Chapter 502 of the *Code of Iowa* empowers the commissioner to regulate the sale of securities within the state of Iowa and provides for the licensing of securities salesmen and dealers.

The commissioner also has jurisdiction over the sale of stock on the installment plan and sale of memberships or certificates of membership entitling the holder thereof to purchase merchandise, materials, or services on a discount or cot-plus basis.

The Regulated Industries Unit of the Securities Bureau is responsible for regulatory oversight of 9 areas which are neither insurance nor securities. These areas include Motor Vehicle Service Contract, Membership Sales Act, Funeral Services and Merchandise, Sale of Cemetery Merchandise, Business Opportunity, Residential Service contract; Loan Brokers, Retirement facilities and Invention Developers.

Professional Licensing and Regulation Division

Roger Halvorson, administrator; 1918 S.E. Hulsizer Ave., Ankeny 50021; 515/281-5596

The Professional Licensing and Regulation division shall administer and coordinate the licensing and regulation of several professions by bringing together the following licensing boards; the engineering and land surveying examining board, accountancy examining board, real estate commission, architectural examining board, the landscape architectural examining board, and the real estate appraiser examining board.

The division is headed by the administrator of professional licensing and regulation, who shall be appointed by the governor subject to confirmation by the Senate and shall serve a four-year term.

The licensing and regulation boards and commission included in the division retain the powers granted them pursuant to the chapters in which they are created, except for budgetary and personnel matters, which shall be handled by the administrator.

Accountancy Examining Board

DAVID A. VAUDT, chair, Des Moines; term expires 2000
 MARY ACKERMAN, Waverly; term expires 2000
 DOROTHY DUNPHY, Creston; term expires 1999
 LINDA CRIM HOPKINS, Iowa City; term expires 1998
 RONALD E. NIELSEN, West Des Moines, term expires 2000
 JOHN M. SKLENAR, Carroll; term expires 1998
 PAUL S. STAVE, Spencer; term expires 1999
 DOROTHY VOTROUBEK, Davenport; term expires 1998
 William M. Schroeder, executive secretary

The purpose of Accountancy Examining Board is to administer and enforce the provisions of Chapter 542C, *Code of Iowa* (Public Accountancy Act of 1974) with regard

to the practice of accountancy in the state of Iowa. This includes the examining of candidates, issuing of certificates and licenses, granting permits to practice accountancy, investigating violations and infractions of the accountancy law, and revoking, suspending or refusing to renew certificates, licenses, or permits.

The commission consists of eight members, five of whom shall be practicing certified public accountants, two members representing the general public, and one AP who serves a one-year term. All other commission members are appointed to three-year staggered terms by the governor.

Architectural Examining Board

GLEN D. HUNTINGTON, chair, Storm Lake; term expires 2000
 NORMAN H. RUDI, vice-chair, Ames; term expires 1998
 GWEN ECKLUND, Denison; term expires 1999
 GORDON E. MILLS, Dubuque; term expires 1999
 KEVIN W. MONSON, Iowa City; term expires 2000
 CAROLYN ELAINE OLSEN, Minden; term expires 1998
 KATE SCHWENNSEN, Des Moines; term expires 1999
 Glenda Loving, executive secretary

The law for the registration of architects and appointment of the Architectural Examining Board was enacted in 1927. The 61st General Assembly amended the law to provide a practice act. The board consists of seven members; two of whom shall be public members and five members who possess a certificate of architect registration and who have been in active practice for not less than five years. The board meets annually in July and at various other times.

The Architectural Registration Examination (ARE) is administered at least once a year. The board is a member of the National Council of Architectural Registration Boards and collaborates closely with the council.

Engineering and Land Surveying Examining Board

TERRY L. MARTIN, chair, Des Moines; term expires 2000
 NICHOLAS R. KONRADY, vice-chair, Webster City; term expires 1999
 GWENDOLYN BOEKE, Cresco; term expires 1999
 KENNETH BREWER, Ames; term expires 1999
 DWAYNE C. GARBER, Marshalltown; term expires 1998
 SUSAN M. LONG, Fairfield; term expires 2000
 VACANT
 Caroline Finch, Executive Secretary

The Engineering and Land Surveying Examining Board was created by the 38th General Assembly in 1919. No person can practice professional engineering or land surveying without first being registered with this board. The board meets regularly and conducts examinations of applicants for registration twice each year.

The law provides that the membership of the commission shall consist of seven members who shall be appointed by the governor and confirmed by the Senate. Five of these members shall be professional engineers and two, representatives of the general public.

Landscape Architectural Examining Board

GREG JONES, chair, Des Moines; term expires 1999
 DENNIS AUSENHUS, vice-chair, Ankeny; term expires 1999
 ALFRED C. BOHLING, Columbus Junction; term expires 1998
 DIANA E. HOOGESTRAAT, Glenwood; term expires 1998
 MONTY MITCHELL, Davenport; term expires 2000
 JOAN VITALE, Indianola; term expires 1999
 Glenda Loving, executive secretary

The Landscape Architectural Examining Board was first enacted in 1975. A person shall not use the title of landscape architect or any title or device indicating or representing in any manner that such person is a landscape architect or is practicing landscape architecture unless such person is a registered landscape architect. The commission shall conduct examinations of applicants for certificates of registration as landscape architects at least once each year and shall meet annually at the seat of government.