

Transportation Appropriations Bill Senate File 2314

Last Action:

Senate Floor

March 6, 2012

An Act relating to and making transportation and other infrastructure-related appropriations to the department of transportation, including allocation and use of moneys from the road use tax fund and the primary road fund.

**Fiscal Services Division
Legislative Services Agency**

NOTES ON BILLS AND AMENDMENTS (NOBA)

Available at <http://www.legis.iowa.gov/LSAReports/noba.aspx>

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FUNDING SUMMARY

- Appropriates a total of \$350.8 million to the Department of Transportation (DOT). This includes \$47.6 million from the Road Use Tax Fund (RUTF), \$303.2 million from the Primary Road Fund (PRF), and 2,870.0 FTE positions. This is a net increase of \$4.9 million compared to estimated FY 2012. There is no change in FTE positions compared to estimated net FY 2012.

NOTE: Total funding for FY 2013 includes the previously enacted appropriations for FY 2013 and new appropriation amounts proposed in this Bill.

MAJOR INCREASES, DECREASES, OR TRANSFERS OF EXISTING PROGRAMS

- Appropriates \$47.2 million for the Operations budget. This is an increase of \$250,000 compared to estimated FY 2012. The increase reflects a transfer of 3.0 FTE positions from the Highway budget to consolidate civil rights monitoring within the Operations budget. Page 1, Line 19
- Appropriates \$1.6 million for payments to the Department of Administrative Services (DAS) for personnel and utility services. This is a net increase of \$19,000 compared to estimated FY 2012. Page 1, Line 28
- Appropriates \$3.0 million for the payment of workers' compensation costs. This is a net increase of \$45,000 compared to estimated FY 2012. Page 1, Line 35
- Appropriates \$232.7 million from the Primary Road Fund for the Highways budget. This is a net increase of \$1.8 million compared to estimated FY 2012. The changes include: Page 3, Line 27
 - A transfer of \$250,000 and 3.0 FTE positions from the Highway budget to the Operations budget unit to consolidate all civil rights monitoring activities within the Operations Division of the DOT.
 - An increase of \$1.3 million for salt costs.
 - An increase of \$330,000 for traffic line marking paint.
 - An increase of \$203,000 for equipment depreciation.
 - An increase of \$130,000 for additional lane miles to be added to the State road system.

STUDIES AND INTENT LANGUAGE

- Designates reporting requirements regarding the implementation of efficiency measures identified in the January 2012 *Road Use Tax Fund Efficiency Report*. Requires the DOT to submit quarterly electronic Page 5, Line 23

EXECUTIVE SUMMARY
TRANSPORTATION APPROPRIATIONS BILL

SENATE FILE 2314

reports to various related legislative committees and the Legislative Services Agency concerning the activities taken in the previous quarter regarding one-time and long-term efficiencies and partnerships. The first report is to be submitted by October 1, 2012.

1 1 Section 1. 2011 Iowa Acts, chapter 125, section 3, is
 1 2 amended to read as follows:
 1 3 SEC. 3. ROAD USE TAX FUND. There is appropriated from the
 1 4 road use tax fund created in section 312.1 to the department of
 1 5 transportation for the fiscal year beginning July 1, 2012, and
 1 6 ending June 30, 2013, the following amounts, or so much thereof
 1 7 as is necessary, to be used for the purposes designated:

Section 1 appropriates from the Road Use Tax Fund to the Department of Transportation (DOT) for FY 2013.

1 8 1. For the payment of costs associated with the production
 1 9 of driver's licenses, as defined in section 321.1, subsection
 1 10 20A:
 1 11 \$ 3,876,000

Road Use Tax Fund appropriation to the DOT for costs associated with the production of driver's licenses.

DETAIL: Maintains the current level of funding compared to estimated FY 2012. The DOT states that the appropriation will be used to provide electronic processing (use of debit or credit cards) for payment of driver's licenses, nonoperator identification cards, and civil penalties. The appropriation also includes costs for the lease of the Driver's License Digitized Photo Imaging System. The cost of the lease for the Imaging System is based on the number of licenses issued and the FY 2012 estimate of the cost per driver's license is \$3.30.

1 12 Notwithstanding section 8.33, moneys appropriated in this
 1 13 subsection that remain unencumbered or unobligated at the close
 1 14 of the fiscal year shall not revert but shall remain available
 1 15 for expenditure for the purposes specified in this subsection
 1 16 until the close of the succeeding fiscal year.

Requires nonreversion of funds appropriated for production of driver's licenses for an additional year. Funds will remain available through the end of FY 2014.

1 17 2. For salaries, support, maintenance, and miscellaneous
 1 18 purposes:
 1 19 a. Operations:
 1 20 \$ 3,285,000
 1 21 6,570,000

Road Use Tax Fund appropriation to the Operations budget unit.

DETAIL: Maintains the current level of funding compared to estimated FY 2012. The Operations budget unit also receives an appropriation of \$40,607,023 and 282.00 FTE positions from the Primary Road Fund in Section 2, for a total appropriation of \$47,177,023. This combined funding represents an increase of \$250,494 compared to estimated FY 2012. The increase reflects a transfer of 3.00 FTE positions from the Highway budget to consolidate civil rights monitoring within the Operations budget.

1 22 b. Planning:
 1 23 \$ 229,000
 1 24 458,000

Road Use Tax Fund appropriation to the Planning budget unit.

DETAIL: Maintains the current level of funding compared to estimated FY 2012. The Planning budget unit also receives an appropriation of \$8,697,095 and 113.00 FTE positions from the Primary Road Fund in

Section 2, for a total appropriation of \$9,155,095. The Planning and Programming budget unit decreased by 8.00 FTE positions compared to the FY 2012 enacted amount due to the lack of funding for salary adjustments in FY 2012. The Planning budget unit includes the Planning, Programming and Modal Division that has the Offices of Aviation, Rail Transportation, Program Management, Systems Planning, Public Transit, and Transportation Data.

| | | | | | |
|------|--------------------|--|----|-------------------|--|
| 1 25 | c. Motor vehicles: | | | | |
| 1 26 | | | \$ | 46,960,500 | |
| 1 27 | | | | <u>33,921,000</u> | |

Road Use Tax Fund appropriation to the Motor Vehicles budget unit.

DETAIL: Maintains the current level of funding compared to estimated FY 2012. The Motor Vehicles budget unit also receives an appropriation of \$1,413,540 and 410.00 FTE positions from the Primary Road Fund in Section 2, for a total appropriation of \$35,334,540. The Motor Vehicles budget unit decreased by 35.00 FTE positions compared to the FY 2012 enacted amount due to the lack of FY 2012 funding for salary adjustments.

| | | | | | |
|------|---|--|----|----------------|--|
| 1 28 | 3. For payments to the department of administrative | | | | |
| 1 29 | services for utility services: | | | | |
| 1 30 | | | \$ | 112,500 | |
| 1 31 | | | | <u>228,000</u> | |

Road Use Tax Fund appropriation for payment to the Department of Administrative Services (DAS) for personnel and utility services.

DETAIL: This is a \$3,000 increase compared to estimated FY 2012. The Department also receives an appropriation from the Primary Road Fund of \$1,404,000 for DAS Utility Services in Section 2, for a total appropriation of \$1,632,000. Departments are required to purchase utility services (personnel and other services) through DAS. Utility services include: Human Resources services, General Services such as DOT office space in Lucas, and Information Technology services such as directory service, the Information Security Office, and authentication and authorization. The utility costs also include funding for use of the 1/3 budget system and marketplace services offered by DAS.

| | | | | | |
|------|-------------------------------|--|----|--------------|--|
| 1 32 | 4. Unemployment compensation: | | | | |
| 1 33 | | | \$ | 3,500 | |
| 1 34 | | | | <u>7,000</u> | |

Road Use Tax Fund appropriation for the payment of unemployment compensation costs.

DETAIL: Maintains the current level of funding compared to estimated FY 2012. The Department also receives an appropriation of \$138,000 for unemployment compensation from the Primary Road Fund in Section 2, for a total appropriation of \$145,000. This combined funding represents no change compared to estimated FY 2012.

1 35 5. For payments to the department of administrative
 2 1 services for paying workers' compensation claims under chapter
 2 2 85 on behalf of employees of the department of transportation:
 2 3\$ 59,500
 2 4121,000

Road Use Tax Fund appropriation for the payment of workers' compensation costs.

DETAIL: This is an increase of \$2,000 compared to estimated FY 2012. The Department also receives an appropriation of \$2,889,000 for workers' compensation costs from the Primary Road Fund in Section 2, for a total appropriation of \$3,010,000. This combined funding represents a net increase of \$45,000 compared to estimated FY 2012.

2 5 6. For payment to the general fund of the state for indirect
 2 6 cost recoveries:
 2 7\$ 39,000
 2 878,000

Road Use Tax Fund appropriation for payment of indirect cost recoveries to the General Fund.

DETAIL: Maintains the current level of funding compared to estimated FY 2012. The Department also receives an appropriation of \$572,000 for indirect cost recoveries from the Primary Road Fund in Section 2, for a total appropriation of \$650,000. This combined funding represents no change compared to estimated FY 2012.

Iowa Code section 8A.505 requires the DOT to make payments to the General Fund for recovery of indirect costs associated with centralized services provided by other State agencies that receive funding from the General Fund, such as services from the Treasurer of State for cash receipt collection and warrant redemption.

2 9 7. For reimbursement to the auditor of state for audit
 2 10 expenses as provided in section 11.5B:
 2 11\$ 33,660
 2 1267,319

Road Use Tax Fund appropriation for State Auditor reimbursement.

DETAIL: Maintains the current level of funding compared to estimated FY 2012. The Department also receives an appropriation of \$415,181 for State Auditor expenses from the Primary Road Fund in Section 2, for a total appropriation of \$482,500. This combined funding represents no change compared to estimated FY 2012.

2 13 8. For automation, telecommunications, and related costs
 2 14 associated with the county issuance of driver's licenses and
 2 15 vehicle registrations and titles:
 2 16\$ 703,000
 2 171,406,000

Road Use Tax Fund appropriation to support the issuance of driver's licenses, vehicle registrations, and titles at county treasurer offices throughout the State.

DETAIL: Maintains the current level of funding compared to estimated FY 2012. The DOT states that the appropriation is used for electronic processing of debit and credit cards for payments of driver's licenses, nonoperator identification cards, and civil penalties at county treasurer sites for FY 2013. Also, the appropriation is used for Information

Technology expenditures such as Iowa Communications Network (ICN) connection to issuance machines, servers, and databases for issuance activity.

In addition to this appropriation and in accordance with Iowa Code section 312.2, the DOT receives an annual Road Use Tax Fund standing appropriation of \$650,000 for the purchase of automation and telecommunications equipment, and support for issuing vehicle registrations, titles, and driver's licenses at county treasurer offices.

| | | | |
|------|---|----|----------------|
| 2 18 | 9. For transfer to the department of public safety for | | |
| 2 19 | operating a system providing toll-free telephone road and | | |
| 2 20 | weather conditions information: | | |
| 2 21 | | \$ | 50,000 |
| 2 22 | | | <u>100,000</u> |

Road Use Tax Fund appropriation for costs associated with the 511 toll-free telephone road and weather reporting system that is operated by the Department of Public Safety.

DETAIL: Maintains the current level of funding compared to estimated FY 2012. The Iowa 511 Travel Information Service provides weather-related road conditions, traffic incidents, and highway construction information 24 hours a day, seven days a week. A consortium of states pooled costs to develop the 511 system including Iowa, Alaska, Kentucky, Maine, Minnesota, New Hampshire, New Mexico and Vermont. The Iowa 511 service includes interstates, U.S. routes, and portions of some State highways. It currently does not include county roads or city streets.

| | | | |
|------|--|----|---------------|
| 2 23 | 10. For costs associated with the participation in the | | |
| 2 24 | Mississippi river parkway commission: | | |
| 2 25 | | \$ | 20,000 |
| 2 26 | | | <u>40,000</u> |

Road Use Tax Fund appropriation for the Mississippi River Parkway Commission.

DETAIL: Maintains the current level of funding compared to estimated FY 2012. The Mississippi River Parkway Commission is a multistate organization that is comprised of the states bordering the Mississippi River, including Arkansas, Illinois, Iowa, Kentucky, Louisiana, Minnesota, Mississippi, Missouri, Tennessee, and Wisconsin. Each state has its own individual commission. Iowa's Mississippi Parkway Planning Commission is established in Iowa Code section 308.1 and is responsible for promoting transportation and tourism along the 326-mile Iowa Great River Road. Specifically, the Commission develops and implements a Corridor Management Plan. The Plan includes establishing signage requirements, restrictions on outdoor advertising, methods to market and promote the Corridor, and actions to involve the public. This appropriation will fund annual organization dues and operational costs.

2 27 11. For motor vehicle division field facility maintenance
 2 28 projects at various locations:
 2 29 \$ 200,000

Road Use Tax Fund appropriation for Motor Vehicle Division field facility maintenance projects at various locations throughout the State.

DETAIL: Maintains current law. This appropriation was enacted during the 2011 Legislative Session and maintains the same funding level as FY 2012. Funds are used to maintain weigh scales and driver's license stations.

2 30 12. For scale replacement projects at various locations:
 2 31 \$ 550,000

Road Use Tax Fund appropriation for scale replacement projects.

DETAIL: Maintains current law. This appropriation was enacted during the 2011 Legislative Session and maintains the same funding level as FY 2012. The DOT identified five scales that are in need of replacement and are older than the expected service life of 15 years. They are on I-80 westbound in Jasper County, I-80 eastbound in Dallas County, I-380 northbound and southbound near Brandon, and U.S. 71 near Early. The amount reflects average costs for replacing one large 90-foot scale and one smaller 40-foot scale. The appropriation replaces the Dallas County 90-foot scale and the Brandon 40-foot scale. The Dallas scale is in need of replacement because the scale platform is dropping and the pit walls are cracking and shifting. The Brandon southbound scale is in need of replacement because the pit walls at this site are starting to fail and the scale platform is cracking and shifting.

2 32 For purposes of section 8.33, unless specifically provided
 2 33 otherwise, moneys appropriated in subsections 11 and 12 that
 2 34 remain unencumbered or unobligated shall not revert but shall
 2 35 remain available for expenditure for the purposes designated
 3 1 until the close of the fiscal year that ends three years after
 3 2 the end of the fiscal year for which the appropriation was
 3 3 made. However, if the projects for which the appropriation
 3 4 was made are completed in an earlier fiscal year, unencumbered
 3 5 or unobligated moneys shall revert at the close of that same
 3 6 fiscal year.

Requires nonreversion of funds appropriated for the Motor Vehicle Division field facility maintenance and scale replacement projects through the end of FY 2016.

3 7 Sec. 2. 2011 Iowa Acts, chapter 125, section 4, is amended
 3 8 to read as follows:
 3 9 SEC. 4. PRIMARY ROAD FUND. There is appropriated from the
 3 10 primary road fund created in section 313.3 to the department of
 3 11 transportation for the fiscal year beginning July 1, 2012, and
 3 12 ending June 30, 2013, the following amounts, or so much thereof
 3 13 as is necessary, to be used for the purposes designated:

Section 2 appropriates from the Primary Road Fund to the DOT for FY 2013.

| | | | |
|------|---|----|------------|
| 3 14 | 1. For salaries, support, maintenance, miscellaneous | | |
| 3 15 | purposes, and for not more than the following full-time | | |
| 3 16 | equivalent positions: | | |
| 3 17 | a. Operations: | | |
| 3 18 | | \$ | 20,178,265 |
| 3 19 | | | 40,607,023 |
| 3 20 | FTEs | | 296.00 |
| 3 21 | | | 282.00 |

Primary Road Fund appropriation to the Operations budget unit.

DETAIL: This is an increase of \$250,494 compared to estimated FY 2012. The Operations budget unit also receives an appropriation of \$6,570,000 from the Road Use Tax Fund in Section 1, for a total appropriation of \$47,177,023. This combined funding represents an increase of \$250,494 compared to estimated FY 2012. However, the increase reflects a transfer from the Highway budget to consolidate civil rights monitoring within the Operations budget. The consolidation also includes the transfer of 3.00 FTE positions to the Operations budget. The Operations budget includes the Operations and Finance Division, Information Technology Division, Director's Office, Transportation Commission, and General Counsel.

| | | | |
|------|--------------|----|-----------|
| 3 22 | b. Planning: | | |
| 3 23 | | \$ | 4,348,548 |
| 3 24 | | | 8,697,095 |
| 3 25 | FTEs | | 124.00 |
| 3 26 | | | 113.00 |

Primary Road Fund appropriation to the Planning budget unit.

DETAIL: Maintains the current level of funding compared to estimated FY 2012. The Planning budget unit also receives an appropriation of \$458,000 from the Road Use Tax Fund in Section 1.2(b) for a total appropriation of \$9,155,095. The Planning and Programming budget unit decreased by 8.00 FTEs compared to the FY 2012 enacted amount due to the lack of funding for salary adjustments in FY 2012.

| | | | |
|------|--------------|----|-------------|
| 3 27 | c. Highways: | | |
| 3 28 | | \$ | 115,456,996 |
| 3 29 | | | 232,672,498 |
| 3 30 | FTEs | | 2,247.00 |
| 3 31 | | | 2,065.00 |

Primary Road Fund appropriation to the Highways budget unit.

DETAIL: This is a net increase of \$1,758,506 compared to estimated FY 2012. The changes include:

- A transfer of \$250,494 and 3.00 FTE positions from the Highway budget to the Operations budget unit to consolidate all civil rights monitoring activities within the Operations Division of the DOT.
- An increase of \$1,346,000 for salt costs.
- An increase of \$330,000 for traffic line marking paint.
- An increase of \$203,000 for equipment depreciation.
- An increase of \$130,000 to support 70.6 additional lane miles added to the State road system. Lane miles are being added on US 20 in Calhoun County, on US 63 in Bremer County, on I-29 in Mills County, on I-80 in Polk and Johnson County, on US 218 and US 63 in Bremer County, on TJ-N-14 in Sac County, on

US 34 and I-29 in Mills County, and on IA 74 in Scott County.

| | | | | |
|---|----|--------------------|----|------------------|
| 3 | 32 | d. Motor vehicles: | | |
| 3 | 33 | | \$ | 706,770 |
| 3 | 34 | | | <u>1,413,540</u> |
| 3 | 35 | FTEs | | 445.00 |
| 4 | 1 | | | <u>410.00</u> |

Primary Road Fund appropriation to the Motor Vehicles budget unit.

DETAIL: Maintains the current level of funding compared to estimated FY 2012. The Motor Vehicles budget unit also receives an appropriation from the Road Use Tax Fund in Section 1, for a total appropriation of \$35,335,540. The Motor Vehicles budget unit also receives an appropriation of 410.00 FTE positions from the Primary Road Fund. The Motor Vehicles budget unit decreased by 35.00 FTE positions compared to the FY 2012 enacted amount due to the lack of funding for salary adjustments in FY 2012.

| | | | | |
|---|---|---|----|------------------|
| 4 | 2 | 2. For payments to the department of administrative | | |
| 4 | 3 | services for utility services: | | |
| 4 | 4 | | \$ | 694,000 |
| 4 | 5 | | | <u>1,404,000</u> |

Primary Road Fund appropriation for payment to the DAS for personnel and utility services.

DETAIL: This is an increase of \$16,000 compared to estimated FY 2012. The Department also receives an appropriation from the Road Use Tax Fund for DAS Utility Services in Section 1.3 for a total appropriation of \$1,632,000. This combined funding represents a net increase of \$19,000 compared to estimated FY 2012.

| | | | | |
|---|---|-------------------------------|----|----------------|
| 4 | 6 | 3. Unemployment compensation: | | |
| 4 | 7 | | \$ | 69,000 |
| 4 | 8 | | | <u>138,000</u> |

Primary Road Fund appropriation for the payment of unemployment compensation costs.

DETAIL: Maintains the current level of funding compared to estimated FY 2012. The Department also receives an appropriation for unemployment compensation from the Road Use Tax Fund in Section 1, for a total appropriation of \$145,000. This combined funding represents no change compared to estimated FY 2012.

| | | | | |
|---|----|--|----|------------------|
| 4 | 9 | 4. For payments to the department of administrative | | |
| 4 | 10 | services for paying workers' compensation claims under | | |
| 4 | 11 | chapter 85 on behalf of the employees of the department of | | |
| 4 | 12 | transportation: | | |
| 4 | 13 | | \$ | 1,423,000 |
| 4 | 14 | | | <u>2,889,000</u> |

Primary Road Fund appropriation for the payment of workers' compensation costs.

DETAIL: This is an increase of \$43,000 compared to estimated FY 2012. The Department also receives an appropriation for workers' compensation costs from the Road Use Tax Fund in Section 1 for a total net appropriation of \$3,010,000. This combined funding represents a net increase of \$45,000 compared to estimated FY 2012.

4 15 5. For disposal of hazardous wastes from field locations and
 4 16 the central complex:
 4 17\$ 400,000
 4 18800,000

Primary Road Fund appropriation for costs associated with the disposal of hazardous wastes.

DETAIL: Maintains the current level of funding compared to estimated FY 2012. The appropriation will be used for costs associated with hazardous waste resulting from the day-to-day operations of the Department to comply with environmental regulations. The DOT contracts with the private sector for hazardous waste disposal services.

4 19 6. For payment to the general fund of the state for indirect
 4 20 cost recoveries:
 4 21\$ 286,000
 4 22572,000

Primary Road Fund appropriation for payment of indirect cost recoveries to the General Fund.

DETAIL: Maintains the current level of funding compared to estimated FY 2012. The Department also receives an appropriation from the Road Use Tax Fund for indirect cost recoveries in Section 1 for a total appropriation of \$650,000. This combined funding represents no change compared to estimated FY 2012.

Iowa Code section 8A.505 requires the DOT to make payments to the General Fund for recovery of indirect costs associated with centralized services provided by other State agencies that receive funding from the General Fund, such as services from the Treasurer of State for cash receipt collection and warrant redemption.

4 23 7. For reimbursement to the auditor of state for audit
 4 24 expenses as provided in section 11.5B:
 4 25\$ 207,594
 4 26415,181

Primary Road Fund appropriation for State Auditor reimbursement.

DETAIL: Maintains the current level of funding compared to estimated FY 2012. The Department also receives an appropriation from the Road Use Tax Fund for State Auditor expenses in Section 1 for a total appropriation of \$482,500. The combined funding represents no change compared to estimated FY 2012.

4 27 8. For costs associated with producing transportation maps:
 4 28\$ 121,000
 4 29242,000

Primary Road Fund appropriation for costs associated with the production of State transportation maps.

DETAIL: Maintains the current level of funding compared to estimated FY 2012. The Department produces approximately 1.4 to 1.5 million transportation maps that are available at the DOT, driver's license stations, district offices, and rest areas. In addition, the DOT provides a portion of the maps to the Department of Economic Development for distribution to the State's welcome centers, tourist locations, and travel

| | | | | |
|------|--|----|------------------|---|
| | | | | packets that are available on request. |
| 4 30 | 9. For inventory and equipment replacement: | | | Primary Road Fund appropriation for inventory and equipment replacement. |
| 4 31 | | \$ | 2,683,000 | |
| 4 32 | | | <u>5,366,000</u> | DETAIL: Maintains the current level of funding compared to estimated FY 2012. The funds are deposited in the Materials and Equipment Revolving Fund, established in Iowa Code section 307.47. The Fund pays for materials and supplies, inventoried stock supplies, maintenance and operational costs of equipment, and equipment replacements. The DOT did not request an appropriation for inventory and equipment from FY 2007 through FY 2011 as a way to keep budget increases down. The amount appropriated was held constant at \$2,250,000. The funding has not been sufficient to replace the equipment on schedule and a backlog of equipment needs exists. The appropriation will help the Department address the needs over the next few fiscal years so that a normal replacement schedule can resume. |
| | | | | The additional FY 2013 funding will purchase six medium duty trucks (total cost \$913,000), eight heavy duty trucks (total cost \$1,410,000), two snow blowers (\$750,000), and two medium duty loaders (total cost \$212,000). The last purchase of a snow blower was 20 years ago and parts are no longer available from the manufacturer. The Department advises that funding for inventory and equipment will need to remain at this higher level for a few years in order to get through the backlog of equipment needs. |
| 4 33 | 10. For utility improvements at various locations: | | | Primary Road Fund appropriation for utility improvements. |
| 4 34 | | \$ | 400,000 | DETAIL: Maintains current law. This appropriation was enacted in the 2011 Legislative Session and maintains the same funding level as FY 2012. The funds are used for utility improvements at various locations throughout the State. The improvements upgrade existing electrical systems that are being used beyond the original load design for the facilities. The existing electrical systems were not designed for power requirements of new equipment and tools for processes like brine operations. The DOT typically tries to upgrade six facilities a year. |
| 4 35 | 11. For roofing projects at various locations: | | | Primary Road Fund appropriation for garage roofing projects. |
| 5 1 | | \$ | 200,000 | DETAIL: Maintains current law. This appropriation was enacted during the 2011 Legislative Session and maintains the same funding level as FY 2012. Funding is used for roofing improvements at various garage |

locations throughout the State. There are 109 maintenance garages around the State.

5 2 12. For heating, cooling, and exhaust system improvements
5 3 at various locations:
5 4 \$ 200,000

Primary Road Fund appropriation for heating, cooling, and exhaust system improvements.

DETAIL: Maintains current law. This appropriation was enacted during the 2011 Legislative Session and reflects a decrease of \$200,000 compared to estimated FY 2012. These funds are used for heating, ventilation, and air conditioning (HVAC) improvements at various locations throughout the State.

5 5 13. For deferred maintenance projects at field facilities
5 6 throughout the state:
5 7 \$ 1,000,000

Primary Road Fund appropriation for deferred maintenance projects at various field facilities statewide.

DETAIL: Maintains current law. This appropriation was enacted during the 2011 Legislative Session and maintains the same funding level as FY 2012.

5 8 14. For wastewater treatment improvements at various
5 9 locations:
5 10 \$ 1,000,000

Primary Road Fund appropriation for wastewater treatment improvements at maintenance garages.

DETAIL: Maintains current law. This appropriation was enacted during the 2011 Legislative Session and maintains the same funding level as FY 2012. This is the third of seven years of funding for the project. The DOT identified 20 maintenance garages that need wastewater sewer hookups to municipal sanitary sewer systems or reclamation systems in order to comply with the federal National Pollutant Discharge Elimination System (NPDES) program and its corresponding State wastewater permit requirements in relation to the level of Total Dissolved Solids in the water. Total cost for the project is estimated to be \$6,815,000. The DOT will complete the effort over seven fiscal years and will request approximately \$1,000,000 each year during that time. The garages identified are: Carlisle, Davenport, Denison, Dyersville, Malcom, Newhall, Dubuque, Maquoketa, West Burlington, Carroll, Independence, Knoxville, Correctionville, Elkader, Hanlontown, Latimer, Tipton, Chariton, Osage, and Oskaloosa.

5 11 15. For replacement of the New Hampton combined facility:
5 12 \$ 5,200,000

Primary Road Fund appropriation for costs associated with a new maintenance garage and administration building in New Hampton.

DETAIL: Maintains current law. This appropriation was enacted during

the 2011 Legislative Session. Typically, the DOT receives an annual appropriation for a new maintenance garage. The Department has 109 maintenance garages and typically replaces one per year.

5 13 For purposes of section 8.33, unless specifically provided
 5 14 otherwise, moneys appropriated in subsections 10 through 15
 5 15 that remain unencumbered or unobligated shall not revert
 5 16 but shall remain available for expenditure for the purposes
 5 17 designated until the close of the fiscal year that ends
 5 18 three years after the end of the fiscal year for which the
 5 19 appropriation was made. However, if the project or projects
 5 20 for which such appropriation was made are completed in an
 5 21 earlier fiscal year, unencumbered or unobligated moneys shall
 5 22 revert at the close of that same fiscal year.

Requires nonreversion of funds appropriated for capital improvements in Section 2 for four fiscal years. Funds are available through the end of FY 2016.

5 23 Sec. 3. ROAD USE TAX FUND EFFICIENCY MEASURES ———
 5 24 QUARTERLY REPORTS. The department of transportation shall
 5 25 submit quarterly reports in an electronic format to the
 5 26 co-chairpersons of the joint appropriations subcommittee on
 5 27 transportation, infrastructure, and capitals, the chairpersons
 5 28 of the senate and house standing committees on transportation,
 5 29 the department of management, and the legislative services
 5 30 agency regarding the implementation of efficiency measures
 5 31 identified in the “Road Use Tax Fund Efficiency Report”,
 5 32 January 2012. The reports shall provide details of activities
 5 33 undertaken in the previous quarter relating to one-time and
 5 34 long-term program efficiencies and partnership efficiencies.
 5 35 Issues to be covered in the reports shall include but are
 6 1 not limited to savings realized from the implementation of
 6 2 particular efficiency measures; updates concerning measures
 6 3 that have not been implemented; efforts involving cities,
 6 4 counties, other jurisdictions, or stakeholder interest groups;
 6 5 any new efficiency measures identified or undertaken; and
 6 6 identification of any legislative action that may be required
 6 7 to achieve efficiencies. The first report shall be submitted
 6 8 by October 1, 2012.

Requires reporting requirements regarding the implementation of efficiency measures identified in the January 2012 *Road Use Tax Fund Efficiency Report*.

DETAIL: This section requires the DOT to submit quarterly electronic reports to various related legislative committees and the Legislative Services Agency concerning the activities taken in the previous quarter regarding one-time and long-term efficiencies and partnerships. The first report is to be submitted by October 1, 2012.

Summary Data

Other Fund

| | <u>Actual FY 2011 (1)</u> | <u>Estimated FY 2012 (2)</u> | <u>Enacted FY 2013 (3)</u> | <u>Senate Action FY 2013 (4)</u> | <u>FY 2013 Senate Action (5)</u> | <u>Senate Act vs FY 12 Est (6)</u> |
|--|-----------------------------------|--------------------------------------|------------------------------------|--|--|--|
| Transportation, Infrastructure, and Capitals | \$ 350,697,726 | \$ 345,965,656 | \$ 180,695,830 | \$ 170,142,826 | \$ 350,838,656 | \$ 4,873,000 |
| Grand Total | <u>\$ 350,697,726</u> | <u>\$ 345,965,656</u> | <u>\$ 180,695,830</u> | <u>\$ 170,142,826</u> | <u>\$ 350,838,656</u> | <u>\$ 4,873,000</u> |

Transportation, Infrastructure, and Capitals Other Fund

| | Actual FY 2011 (1) | Estimated FY 2012 (2) | Enacted FY 2013 (3) | Senate Action FY 2013 (4) | FY 2013 Senate Action (5) | Senate Act vs FY 12 Est (6) |
|--|--------------------------|-----------------------------|---------------------------|---------------------------------|---------------------------------|-----------------------------------|
| Transportation, Dept. of | | | | | | |
| Transportation, Dept. of | | | | | | |
| RUTF-Drivers' Licenses | \$ 3,876,000 | \$ 3,876,000 | \$ 3,876,000 | \$ 0 | \$ 3,876,000 | \$ 0 |
| RUTF-Operations | 6,654,962 | 6,570,000 | 3,285,000 | 3,285,000 | 6,570,000 | 0 |
| RUTF-Planning & Programming | 506,127 | 458,000 | 229,000 | 229,000 | 458,000 | 0 |
| RUTF-Motor Vehicle | 35,604,012 | 33,921,000 | 16,960,500 | 16,960,500 | 33,921,000 | 0 |
| RUTF-DAS | 225,000 | 225,000 | 112,500 | 115,500 | 228,000 | 3,000 |
| RUTF-Unemployment Compensation | 7,000 | 7,000 | 3,500 | 3,500 | 7,000 | 0 |
| RUTF-Workers' Compensation | 137,000 | 119,000 | 59,500 | 61,500 | 121,000 | 2,000 |
| RUTF-Indirect Cost Recoveries | 78,000 | 78,000 | 39,000 | 39,000 | 78,000 | 0 |
| RUTF-Auditor Reimbursement | 67,319 | 67,319 | 33,660 | 33,659 | 67,319 | 0 |
| RUTF-County Treasurers Support | 1,406,000 | 1,406,000 | 703,000 | 703,000 | 1,406,000 | 0 |
| RUTF-Road/Weather Conditions Info | 100,000 | 100,000 | 50,000 | 50,000 | 100,000 | 0 |
| RUTF-Mississippi River Park. Comm. | 40,000 | 40,000 | 20,000 | 20,000 | 40,000 | 0 |
| PRF-Operations | 40,951,274 | 40,356,529 | 20,178,265 | 20,428,758 | 40,607,023 | 250,494 |
| PRF-Planning & Programming | 9,610,960 | 8,697,095 | 4,348,548 | 4,348,547 | 8,697,095 | 0 |
| PRF-Highway | 237,565,726 | 230,913,992 | 115,456,996 | 117,215,502 | 232,672,498 | 1,758,506 |
| PRF-Motor Vehicle | 1,555,005 | 1,413,540 | 706,770 | 706,770 | 1,413,540 | 0 |
| PRF-DAS | 1,382,000 | 1,388,000 | 694,000 | 710,000 | 1,404,000 | 16,000 |
| PRF-DOT Unemployment | 138,000 | 138,000 | 69,000 | 69,000 | 138,000 | 0 |
| PRF-DOT Workers' Compensation | 3,278,000 | 2,846,000 | 1,423,000 | 1,466,000 | 2,889,000 | 43,000 |
| PRF-Garage Fuel & Waste Mgmt. | 777,160 | 800,000 | 400,000 | 400,000 | 800,000 | 0 |
| PRF-Indirect Cost Recoveries | 572,000 | 572,000 | 286,000 | 286,000 | 572,000 | 0 |
| PRF-Auditor Reimbursement | 415,181 | 415,181 | 207,591 | 207,590 | 415,181 | 0 |
| PRF-Transportation Maps | 231,000 | 242,000 | 121,000 | 121,000 | 242,000 | 0 |
| PRF-Inventory & Equip. | 2,250,000 | 5,366,000 | 2,683,000 | 2,683,000 | 5,366,000 | 0 |
| PRF-Field Facility Deferred Maint. | 1,000,000 | 1,000,000 | 1,000,000 | 0 | 1,000,000 | 0 |
| RUTF-N. America Super Corridor Coalition | 50,000 | 0 | 0 | 0 | 0 | 0 |
| Total Transportation, Dept. of | \$ 348,477,726 | \$ 341,015,656 | \$ 172,945,830 | \$ 170,142,826 | \$ 343,088,656 | \$ 2,073,000 |

Transportation, Infrastructure, and Capitals Other Fund

| | Actual FY 2011 <u>(1)</u> | Estimated FY 2012 <u>(2)</u> | Enacted FY 2013 <u>(3)</u> | Senate Action FY 2013 <u>(4)</u> | FY 2013 Senate Action <u>(5)</u> | Senate Act vs FY 12 Est <u>(6)</u> |
|---|---------------------------------|------------------------------------|----------------------------------|--|--|--|
| Transportation Capitals | | | | | | |
| Transportation Capital | | | | | | |
| RUTF-Scale/MVD Facilities Maint. | \$ 200,000 | \$ 200,000 | \$ 200,000 | \$ 0 | \$ 200,000 | \$ 0 |
| RUTF-Scale Replacement | 0 | 550,000 | 550,000 | 0 | 550,000 | 0 |
| PRF-Utility Improvements | 400,000 | 400,000 | 400,000 | 0 | 400,000 | 0 |
| PRF-Garage Roofing Projects | 200,000 | 200,000 | 200,000 | 0 | 200,000 | 0 |
| PRF-HVAC Improvements | 200,000 | 400,000 | 200,000 | 0 | 200,000 | -200,000 |
| PRF-Ames Elevator Upgrade | 100,000 | 100,000 | 0 | 0 | 0 | -100,000 |
| PRF-Waste Water Treatment | 1,000,000 | 1,000,000 | 1,000,000 | 0 | 1,000,000 | 0 |
| PRF-Swea City Garage | 0 | 2,100,000 | 0 | 0 | 0 | -2,100,000 |
| PRF-ADA Improvements | 120,000 | 0 | 0 | 0 | 0 | 0 |
| PRF-New Hampton Garage | 0 | 0 | 5,200,000 | 0 | 5,200,000 | 5,200,000 |
| Total Transportation Capitals | \$ 2,220,000 | \$ 4,950,000 | \$ 7,750,000 | \$ 0 | \$ 7,750,000 | \$ 2,800,000 |
| Total Transportation, Infrastructure, and Capitals | \$ 350,697,726 | \$ 345,965,656 | \$ 180,695,830 | \$ 170,142,826 | \$ 350,838,656 | \$ 4,873,000 |

Summary Data

FTE

| | Actual FY 2011 <u>(1)</u> | Estimated FY 2012 <u>(2)</u> | Enacted FY 2013 <u>(3)</u> | Senate Action FY 2013 <u>(4)</u> | FY 2013 Senate Action <u>(5)</u> | Senate Act vs FY 12 Est <u>(6)</u> |
|--|---------------------------------|------------------------------------|----------------------------------|--|--|--|
| Transportation, Infrastructure, and Capitals | 2,772.37 | 2,870.00 | 3,109.00 | -239.00 | 2,870.00 | 0.00 |
| Grand Total | <u>2,772.37</u> | <u>2,870.00</u> | <u>3,109.00</u> | <u>-239.00</u> | <u>2,870.00</u> | <u>0.00</u> |

Transportation, Infrastructure, and Capitals FTE

| | Actual FY 2011 <u>(1)</u> | Estimated FY 2012 <u>(2)</u> | Enacted FY 2013 <u>(3)</u> | Senate Action FY 2013 <u>(4)</u> | FY 2013 Senate Action <u>(5)</u> | Senate Act vs FY 12 Est <u>(6)</u> |
|---|---------------------------------|------------------------------------|----------------------------------|--|--|--|
| <u>Transportation, Dept. of</u> | | | | | | |
| Transportation, Dept. of | | | | | | |
| Operations | 276.82 | 279.00 | 296.00 | -14.00 | 282.00 | 3.00 |
| Planning | 101.64 | 113.00 | 121.00 | -8.00 | 113.00 | 0.00 |
| Highway | 1,977.62 | 2,068.00 | 2,247.00 | -182.00 | 2,065.00 | -3.00 |
| Motor Vehicle Division | 416.30 | 410.00 | 445.00 | -35.00 | 410.00 | 0.00 |
| Total Transportation, Dept. of | <u>2,772.37</u> | <u>2,870.00</u> | <u>3,109.00</u> | <u>-239.00</u> | <u>2,870.00</u> | <u>0.00</u> |
| Total Transportation, Infrastructure, and Capitals | <u>2,772.37</u> | <u>2,870.00</u> | <u>3,109.00</u> | <u>-239.00</u> | <u>2,870.00</u> | <u>0.00</u> |

DEPARTMENT OF TRANSPORTATION
FY 2013 Senate File 2314

| | <u>Estimated</u> <u>FY 2012</u> | <u>SF 2314</u> <u>FY 2013</u> | <u>SF 2314</u> <u>vs. Est. FY 2012</u> |
|---|------------------------------------|----------------------------------|---|
| Drivers' License Equipment Lease/ | | | |
| Central Issuance | | | |
| Road Use Tax Fund | \$ 3,876,000 | \$ 3,876,000 | \$ 0 |
| Operations | | | |
| Road Use Tax Fund | \$ 6,570,000 | \$ 6,570,000 | \$ 0 |
| Primary Road Fund | <u>40,356,529</u> | <u>40,607,023</u> | <u>250,494</u> |
| Total Operations | \$ 46,926,529 | \$ 47,177,023 | \$ 250,494 |
| FTEs | <u>279.0</u> | <u>282.0</u> | <u>3.0</u> |
| Planning & Programming | | | |
| Road Use Tax Fund | \$ 458,000 | \$ 458,000 | \$ 0 |
| Primary Road Fund | <u>8,697,095</u> | <u>8,697,095</u> | <u>0</u> |
| Total Planning & Programming | \$ 9,155,095 | \$ 9,155,095 | \$ 0 |
| FTEs | <u>113.0</u> | <u>113.0</u> | <u>0.0</u> |
| Motor Vehicles | | | |
| Road Use Tax Fund | \$ 33,921,000 | \$ 33,921,000 | \$ 0 |
| Primary Road Fund | <u>1,413,540</u> | <u>1,413,540</u> | <u>0</u> |
| Total Motor Vehicles | \$ 35,334,540 | \$ 35,334,540 | \$ 0 |
| FTEs | <u>410.0</u> | <u>410.0</u> | <u>0.0</u> |
| Highway | | | |
| Primary Road Fund | \$ 230,913,992 | \$ 232,672,498 | \$ 1,758,506 |
| FTEs | <u>2,068.0</u> | <u>2,065.0</u> | <u>-3.0</u> |
| Dept. of Administrative Services (DAS) | | | |
| Road Use Tax Fund | \$ 225,000 | \$ 228,000 | \$ 3,000 |
| Primary Road Fund | <u>1,388,000</u> | <u>1,404,000</u> | <u>16,000</u> |
| Total DAS | \$ 1,613,000 | \$ 1,632,000 | \$ 19,000 |
| Unemployment Compensation | | | |
| Road Use Tax Fund | \$ 7,000 | \$ 7,000 | \$ 0 |
| Primary Road Fund | <u>138,000</u> | <u>138,000</u> | <u>0</u> |
| Total Unemployment Comp. | \$ 145,000 | \$ 145,000 | \$ 0 |
| Workers' Compensation | | | |
| Road Use Tax Fund | \$ 119,000 | \$ 121,000 | \$ 2,000 |
| Primary Road Fund | <u>2,846,000</u> | <u>2,889,000</u> | <u>43,000</u> |
| Total Workers' Comp | \$ 2,965,000 | \$ 3,010,000 | \$ 45,000 |
| Indirect Cost Recoveries | | | |
| Road Use Tax Fund | \$ 78,000 | \$ 78,000 | \$ 0 |
| Primary Road Fund | <u>572,000</u> | <u>572,000</u> | <u>0</u> |
| Total Indirect Cost Recoveries | \$ 650,000 | \$ 650,000 | \$ 0 |
| Auditor Reimbursement | | | |
| Road Use Tax Fund | \$ 67,319 | \$ 67,319 | \$ 0 |
| Primary Road Fund | <u>415,181</u> | <u>415,181</u> | <u>0</u> |
| Total Auditor Reimbursement | \$ 482,500 | \$ 482,500 | \$ 0 |
| County Treasurers Support | | | |
| Road Use Tax Fund | \$ 1,406,000 | \$ 1,406,000 | \$ 0 |

**DEPARTMENT OF TRANSPORTATION
FY 2013 Senate File 2314**

| | <u>Estimated FY 2012</u> | <u>SF 2314 FY 2013</u> | <u>SF 2314 vs. Est. FY 2012</u> |
|---|------------------------------|------------------------------|-------------------------------------|
| 511 Road/Weather Conditions | | | |
| Road Use Tax Fund | \$ 100,000 | \$ 100,000 | \$ 0 |
| Mississippi River Parkway Commission | | | |
| Road Use Tax Fund | \$ 40,000 | \$ 40,000 | \$ 0 |
| MVD Field Facility Maintenance | | | |
| Road Use Tax Fund | \$ 200,000 | \$ 200,000 | \$ 0 |
| Scale Replacement | | | |
| Road Use Tax Fund | \$ 550,000 | \$ 550,000 | \$ 0 |
| Garage Fuel & Waste Management | | | |
| Primary Road Fund | \$ 800,000 | \$ 800,000 | \$ 0 |
| Transportation Maps | | | |
| Primary Road Fund | \$ 242,000 | \$ 242,000 | \$ 0 |
| Inventory & Equipment Replacement | | | |
| Primary Road Fund | \$ 5,366,000 | \$ 5,366,000 | \$ 0 |
| Utility Improvements | | | |
| Primary Road Fund | \$ 400,000 | \$ 400,000 | \$ 0 |
| Garage Roofing Projects | | | |
| Primary Road Fund | \$ 200,000 | \$ 200,000 | \$ 0 |
| HVAC Improvements | | | |
| Primary Road Fund | \$ 400,000 | \$ 200,000 | \$ -200,000 |
| Field Facility Deferred Maintenance | | | |
| Primary Road Fund | \$ 1,000,000 | \$ 1,000,000 | \$ 0 |
| Ames Elevator Upgrade | | | |
| Primary Road Fund | \$ 100,000 | \$ 0 | \$ -100,000 |
| Wastewater Treatment Upgrades -Garages | | | |
| Primary Road Fund | \$ 1,000,000 | \$ 1,000,000 | \$ 0 |
| Swea City Garage | | | |
| Primary Road Fund | \$ 2,100,000 | \$ 0 | \$ -2,100,000 |
| New Hampton Garage | | | |
| Primary Road Fund | \$ 0 | \$ 5,200,000 | \$ 5,200,000 |
| Subtotal Road Use Tax Fund | <u>\$ 47,617,319</u> | <u>\$ 47,622,319</u> | <u>\$ 5,000</u> |
| Subtotal Primary Road Fund | <u>\$ 298,348,337</u> | <u>\$ 303,216,337</u> | <u>\$ 4,868,000</u> |
| TOTAL DOT | <u>\$ 345,965,656</u> | <u>\$ 350,838,656</u> | <u>\$ 4,873,000</u> |
| TOTAL FTEs | 2,870.0 | 2,870.0 | 0.0 |