

**Senate File 2379 - Introduced**

SENATE FILE 2379  
BY COMMITTEE ON STATE  
GOVERNMENT

(SUCCESSOR TO SSB 3145)

(COMPANION TO HF 2538 BY  
COMMITTEE ON STATE GOVERNMENT)

**A BILL FOR**

1 An Act relating to state agency strategic planning and data  
2 collection.

3 BE IT ENACTED BY THE GENERAL ASSEMBLY OF THE STATE OF IOWA:

1 Section 1. Section 8.22, subsection 4, Code 2024, is amended  
2 to read as follows:

3 4. *Part IV — Strategic plan.* Part IV shall include an  
4 explanation that correlates the budget with the enterprise  
5 ~~strategic plan adopted~~ priorities established pursuant to  
6 section ~~8E.204~~ 8E.205. The budget shall provide an explanation  
7 of appropriations recommended for the administration and  
8 maintenance of an agency as defined in [section 8E.103](#) with  
9 the general evaluation of the agency in meeting enterprise  
10 ~~strategic goals~~ priorities, including identifying goals that  
11 require legislation.

12 Sec. 2. Section 8.23, subsection 1, paragraph b, Code 2024,  
13 is amended to read as follows:

14 b. The budget estimates for an agency as defined in  
15 section 8E.103 shall be based on achieving goals contained  
16 in the enterprise ~~strategic plan~~ priorities and the agency's  
17 strategic plan as provided for in [chapter 8E](#). The estimates  
18 shall be accompanied by a description of the measurable and  
19 other results to be achieved by the agency. Performance  
20 measures shall be based on the goals developed pursuant to  
21 sections 8E.204, 8E.205, ~~8E.206~~, and 8E.208. The estimates  
22 shall be accompanied by an explanation of the manner in which  
23 appropriations requested for the administration and maintenance  
24 of the agency meet goals contained in the enterprise ~~strategic~~  
25 ~~plan~~ priorities and the agency's strategic plan, including  
26 identifying goals that require legislation.

27 Sec. 3. Section 8.35A, subsection 5, Code 2024, is amended  
28 to read as follows:

29 5. The department shall transmit the enterprise ~~strategic~~  
30 ~~plan~~ priorities and related information and an agency shall  
31 transmit its agency strategic plan, performance report,  
32 and related information as required by [chapter 8E](#) to the  
33 legislative services agency.

34 Sec. 4. Section 8E.103, Code 2024, is amended by striking  
35 the section and inserting in lieu thereof the following:

1     **8E.103 Definitions.**

2     As used in this chapter, unless the context otherwise  
3 requires:

4     1. "Agency" means all departments or boards within the  
5 executive branch, excluding agencies associated with elected  
6 officials.

7     2. "Department" means the department of management.

8     Sec. 5. Section 8E.104, Code 2024, is amended to read as  
9 follows:

10    **8E.104 Administration.**

11    The department shall oversee the administration of this  
12 chapter ~~in cooperation with agencies as provided in this~~  
13 ~~chapter. The department and~~ shall adopt rules, guidance, and  
14 guidelines as necessary in order to administer [this chapter](#).  
15 However, the state board of regents shall oversee and implement  
16 the provisions of this chapter for institutions governed under  
17 chapter 262. For purposes of this chapter, where records and  
18 data are specifically required to support enterprise-wide  
19 initiatives, planning or policy development, cross-agency  
20 collaboration, or performance or risk assessments, each agency  
21 shall share records or data with the department notwithstanding  
22 any other provision of law restricting or limiting such  
23 sharing. To the extent records obtained by the department  
24 pursuant to this chapter are otherwise confidential under  
25 law, the department shall maintain the confidentiality of the  
26 records and shall only release statistical information derived  
27 from the records, and shall be subject to the same penalties as  
28 the lawful custodian of the information, records, or data for  
29 unlawful dissemination of the information, records, or data.

30    Sec. 6. Section 8E.201, Code 2024, is amended to read as  
31 follows:

32    **8E.201 Agency duties and powers.**

33    Each agency shall administer the application of [this chapter](#)  
34 to the agency in cooperation with the department. Each agency  
35 shall measure and monitor operations and progress toward

1 achieving goals which relate to programs administered by the  
2 agency pursuant to the enterprise ~~strategic plan~~ priorities,  
3 the agency strategic plan, and the agency ~~performance~~  
4 operational plan.

5 Sec. 7. Section 8E.202, Code 2024, is amended by striking  
6 the section and inserting in lieu thereof the following:

7 **8E.202 Access.**

8 The department and each agency shall provide for the widest  
9 possible dissemination of and access to agency strategic plans,  
10 agency operational plans, data, and reports, while assuring  
11 adequate protections are in place to safeguard sensitive  
12 and confidential information and data. This section does  
13 not require the department or an agency to publicly release  
14 information which is classified as a confidential record under  
15 law.

16 Sec. 8. Section 8E.204, Code 2024, is amended to read as  
17 follows:

18 ~~8E.204 Adoption and revision of an enterprise strategic plan~~  
19 ~~and agency~~ Agency strategic plans.

20 1. ~~The department, in consultation with agencies, shall~~  
21 ~~adopt an enterprise strategic plan.~~ Each agency shall adopt  
22 an agency strategic plan aligned with the enterprise ~~strategic~~  
23 ~~plan~~ priorities where possible. Plans must follow a format  
24 and include elements as determined by the department in  
25 consultation with agencies. The purposes of agency strategic  
26 plans are to promote long-term and broad thinking, focus on  
27 results for Iowans, and facilitate implementation of enterprise  
28 priorities.

29 2. ~~The department or an~~ Each agency shall adopt and  
30 revise a strategic plan which includes input from customers  
31 and stakeholders following an opportunity for broad public  
32 participation in strategic planning. ~~The department or an~~  
33 agency developing or revising a strategic plan shall include  
34 input from state employees, including written and oral  
35 comments. ~~Upon adoption of the enterprise strategic plan by~~

1 ~~the department, the plan shall be disseminated to each agency~~  
2 ~~and made available to all state employees.~~ Upon adoption of  
3 the agency's strategic plan, the agency shall provide the  
4 department with a copy of the agency strategic plan and make  
5 the strategic plan available to all agency employees. ~~The~~  
6 ~~enterprise strategic plan and all~~ All agency strategic plans  
7 shall be available to the public.

8 3. ~~The department and agencies shall annually review~~  
9 ~~the enterprise strategic plan.~~ An agency shall conduct an  
10 annual review of its agency strategic plan. Revisions in the  
11 strategic plan may be prompted by a reexamination of enterprise  
12 or agency priorities or the need to redirect state resources  
13 based on new circumstances, including events or trends.

14 Sec. 9. Section 8E.205, Code 2024, is amended by striking  
15 the section and inserting in lieu thereof the following:

16 **8E.205 Enterprise priorities.**

17 The enterprise priorities, as established annually by the  
18 governor, shall serve as a launch point for developing and  
19 updating agency strategic plans and identifying the major areas  
20 of focus in achieving the governor's vision for the state of  
21 Iowa.

22 Sec. 10. Section 8E.207, Code 2024, is amended by striking  
23 the section and inserting in lieu thereof the following:

24 **8E.207 Agency operational plans.**

25 Each agency shall develop a plan to guide its day-to-day  
26 operations. The plan must outline the agency's organizational  
27 structure, the general duties of its organizational subunits,  
28 and metrics and measures used to monitor operations, as well as  
29 highlight initiatives and targets related to agency strategic  
30 plan goals or other improvement goals. Agencies shall update  
31 operational plans annually.

32 Sec. 11. Section 8E.208, Code 2024, is amended to read as  
33 follows:

34 **8E.208 Performance Metrics and measures, ~~performance targets,~~**  
35 **~~and performance data.~~**

1     ~~1. The department, in consultation with agencies, shall~~  
2 ~~establish guidelines that will be used to create performance~~  
3 ~~measures, performance targets, and data sources for each agency~~  
4 ~~and each agency's functions. Each agency shall identify,~~  
5 ~~collect, and maintain data for metrics and measures critical to~~  
6 ~~monitoring and assessing the performance of their operations.~~

7     ~~2. Performance measurement~~ Measurement is essential to  
8 monitoring operations and ensuring adequate accountability  
9 ~~over public resources and the exchange of public resources~~  
10 ~~for desirable and acceptable public benefits. Performance~~  
11 ~~measurement must include an assessment of whether agencies~~  
12 Each agency shall have adequate control procedures in place,  
13 and be able to assess whether those control procedures are  
14 operating effectively, to determine that agencies are receiving  
15 or providing services of adequate quality, public resources are  
16 being used effectively and efficiently, and public resources  
17 are being used for appropriate and meaningful activities.

18     Sec. 12. Section 8E.209, Code 2024, is amended by striking  
19 the section and inserting in lieu thereof the following:

20     **8E.209 Records and data.**

21     Each agency is responsible for classifying records and data  
22 to facilitate safeguarding its own information and making that  
23 information available to appropriate audiences. The department  
24 may review any records and data an agency collects, creates,  
25 and maintains for purposes of monitoring their operations and  
26 assessing performance. Upon the department's request, an  
27 agency shall provide the department with and routinely update  
28 such records and data that support enterprise-wide initiatives,  
29 planning or policy development, cross-agency collaboration, or  
30 performance or risk assessments. Data must be as granular as  
31 possible and include key dates and characteristics critical to  
32 monitoring and assessing performance and facilitating insights.  
33 Each agency shall provide data in a format required by the  
34 department and update the data on a schedule appropriate for  
35 the data.

1 Sec. 13. Section 8E.210, Code 2024, is amended to read as  
2 follows:

3 **8E.210 Reporting requirements.**

4 1. Each agency shall prepare ~~an annual performance report~~  
5 ~~stating the agency's reports highlighting progress in meeting~~  
6 ~~performance targets and achieving its goals consistent with~~  
7 ~~the enterprise strategic plan,~~ based on measures and targets  
8 outlined in its agency strategic plan, and its performance  
9 operational plan using data. ~~An annual performance report~~  
10 ~~shall include a description of how the agency has reallocated~~  
11 ~~human and material resources in the previous fiscal year.~~  
12 ~~The department, in conjunction with agencies, shall develop~~  
13 ~~guidelines for annual performance reports, including but not~~  
14 ~~limited to a reporting schedule. An agency may incorporate its~~  
15 ~~annual performance report into another report that the agency~~  
16 ~~is required to submit to the department.~~

17 2. The ~~annual performance reporting~~ Reporting required  
18 under [this section](#) shall be used to improve performance,  
19 improve strategic planning and policy decision making, better  
20 allocate human and material resources, recognize superior  
21 performance, and inform Iowans about their return from  
22 investment in state government.

23 Sec. 14. REPEAL. Sections 8E.105, 8E.203, and 8E.206, Code  
24 2024, are repealed.

25 EXPLANATION

26 The inclusion of this explanation does not constitute agreement with  
27 the explanation's substance by the members of the general assembly.

28 This bill authorizes the department of management (DOM) to  
29 create guidance and guidelines to administer the accountable  
30 government Act (Code chapter 8E).

31 The bill requires agencies to share records and data with  
32 DOM as necessary for enterprise-wide initiatives, planning  
33 or policy development, cross-agency collaboration, and  
34 performance or risk assessments. DOM must maintain the  
35 confidentiality of records shared by an agency and is subject

1 to the same penalties as the lawful custodian for the unlawful  
2 dissemination of the information, records, or data.

3 The bill replaces the term "enterprise strategic plan"  
4 with "enterprise priorities" and replaces the term "agency  
5 performance plan" with "agency operational plan".

6 The bill strikes the requirement that DOM provide employees  
7 and the public a way to give input on DOM and agency strategic  
8 plans, strikes DOM's obligation to adopt, develop, revise,  
9 and disseminate a strategic plan, and strikes DOM's and  
10 the agencies' obligation to review the enterprise strategic  
11 plan. The bill also strikes the requirement that an agency  
12 align individual performance instruments with the agency's  
13 operational plan.

14 The bill strikes DOM's obligation to establish guidelines  
15 for performance measures, performance targets, and data  
16 sources for each agency and each agency's functions and instead  
17 requires each agency to identify, collect, and maintain data  
18 necessary for monitoring and assessing their operations.

19 The bill eliminates DOM's obligation to consult with the  
20 legislative services agency, the auditor of state, and agencies  
21 when establishing and implementing a system of periodic  
22 performance audits. The bill also eliminates DOM's obligation  
23 to provide for an analysis of the performance data's integrity  
24 and validity in conjunction with the legislative services  
25 agency and the auditor of state. Instead, the bill requires  
26 each agency to safeguard its own records and data and provide  
27 DOM with data and records upon DOM's request. The bill also  
28 requires an agency to routinely update the data and records to  
29 allow for DOM to monitor the agency's performance.

30 The bill strikes the requirement that each agency annually  
31 submit a report to DOM that assesses progress in the agency's  
32 goals.

33 The bill repeals the requirement that DOM conduct an  
34 evaluation of and report on the effectiveness of its strategic  
35 planning by January 2006.



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1 By operation of law, the bill affects the term "agency" as  
2 used in Code sections 8.22 (governor's budget) and 8.23 (annual  
3 departmental budget estimates).