

**Senate File 2198 - Introduced**

SENATE FILE 2198  
BY COMMITTEE ON HUMAN  
RESOURCES

(SUCCESSOR TO SSB 3071)

**A BILL FOR**

1 An Act relating to the Iowa prescription monitoring program,  
2 including by establishing an advisory committee, authorizing  
3 a registration surcharge, expanding information collection  
4 and reporting requirements, and making penalties applicable.  
5 BE IT ENACTED BY THE GENERAL ASSEMBLY OF THE STATE OF IOWA:

1 Section 1. Section 124.550, Code 2018, is amended by adding  
2 the following new subsection:

3 NEW SUBSECTION. 3. "*Proactive notification*" means  
4 a notification by the board, generated based on factors  
5 determined by the board and issued to a specific prescribing  
6 practitioner or pharmacist, indicating that a patient may  
7 be practitioner shopping or pharmacy shopping or at risk of  
8 abusing or misusing a controlled substance.

9 Sec. 2. Section 124.551, subsection 1, Code 2018, is amended  
10 to read as follows:

11 1. Contingent upon the receipt of funds pursuant to  
12 section 124.557 sufficient to carry out the purposes of  
13 this subchapter, the board, in conjunction with the advisory  
14 ~~council~~ committee created in [section 124.555](#), shall establish  
15 and maintain an information program for drug prescribing and  
16 dispensing.

17 Sec. 3. Section 124.552, Code 2018, is amended to read as  
18 follows:

19 **124.552 Information reporting.**

20 1. ~~Each~~ Unless otherwise prohibited by federal or state law,  
21 each licensed pharmacy that dispenses controlled substances  
22 identified pursuant to [section 124.554, subsection 1](#), paragraph  
23 "g", to patients in the state, ~~and~~ each licensed pharmacy  
24 located in the state that dispenses such controlled substances  
25 identified pursuant to [section 124.554, subsection 1](#),  
26 paragraph "g", to patients inside or outside the state, unless  
27 specifically excepted in [this section](#) or by rule, and each  
28 prescribing practitioner furnishing, dispensing, or supplying  
29 controlled substances to the prescribing practitioner's  
30 patient, shall submit the following prescription information  
31 to the program:

- 32 a. Pharmacy identification.
- 33 b. Patient identification.
- 34 c. Prescribing practitioner identification.
- 35 d. The date the prescription was issued by the prescribing

1 practitioner.

2 e. The date the prescription was dispensed.

3 f. An indication of whether the prescription dispensed is  
4 new or a refill.

5 g. Identification of the drug dispensed.

6 h. Quantity of the drug dispensed.

7 i. The number of days' supply of the drug dispensed.

8 j. Serial or prescription number assigned by the pharmacy.

9 k. Type of payment for the prescription.

10 l. Other information identified by the board ~~and advisory~~  
11 ~~council~~ by rule.

12 2. Information shall be submitted electronically in a  
13 secure format specified by the board unless the board has  
14 granted a waiver and approved an alternate secure format.

15 3. Information shall be timely transmitted as designated by  
16 the board ~~and advisory council~~ by rule, unless the board grants  
17 an extension. The board may grant an extension if either of  
18 the following occurs:

19 a. The pharmacy or prescribing practitioner suffers  
20 a mechanical or electronic failure, or cannot meet the  
21 deadline established by the board for other reasons beyond the  
22 pharmacy's or practitioner's control.

23 b. The board is unable to receive electronic submissions.

24 4. **This section** shall not apply to a ~~prescribing~~  
25 ~~practitioner furnishing, dispensing, supplying, or~~  
26 ~~administering drugs to the prescribing practitioner's patient,~~  
27 ~~or to~~ dispensing by a licensed pharmacy for the purposes of  
28 inpatient hospital care, inpatient hospice care, or long-term  
29 residential facility patient care.

30 Sec. 4. Section 124.553, subsection 1, paragraph b, Code  
31 2018, is amended to read as follows:

32 b. An individual who requests the individual's own program  
33 information in accordance with the procedure established in  
34 rules of the board ~~and advisory council~~ adopted under section  
35 124.554.

1     Sec. 5. Section 124.553, subsection 1, Code 2018, is amended  
2 by adding the following new paragraph:

3     NEW PARAGRAPH. *g.* A prescribing practitioner or pharmacist  
4 through the use of a targeted distribution of proactive  
5 notifications.

6     Sec. 6. Section 124.553, subsections 2, 3, and 7, Code 2018,  
7 are amended to read as follows:

8     2. The board shall maintain a record of each person that  
9 requests information from the program and of all proactive  
10 notifications distributed to prescribing practitioners and  
11 dispensing pharmacists as provided in subsection 1, paragraph  
12 "g". Pursuant to rules adopted by the board ~~and advisory~~  
13 ~~council~~ under [section 124.554](#), the board may use the records  
14 to document and report statistical information, and may  
15 provide program information for statistical, public research,  
16 public policy, or educational purposes, after removing  
17 personal identifying information of a patient, prescribing  
18 practitioner, dispenser, or other person who is identified in  
19 the information.

20     3. Information contained in the program and any information  
21 obtained from it, and information contained in the records  
22 of requests for information from the program and information  
23 distributed to prescribing practitioners and dispensing  
24 pharmacists as provided in subsection 1, paragraph "g",  
25 is privileged and strictly confidential information. Such  
26 information is a confidential public record pursuant to section  
27 22.7, and is not subject to discovery, subpoena, or other  
28 means of legal compulsion for release except as provided in  
29 this subchapter. Information from the program shall not be  
30 released, shared with an agency or institution, or made public  
31 except as provided in [this subchapter](#).

32     7. ~~The~~ Except as allowed in section 124.557, the  
33 board shall not charge a fee to a pharmacy, pharmacist, or  
34 prescribing practitioner for the establishment, maintenance,  
35 or administration of the program, including costs for forms

1 required to submit information to or access information from  
2 the program, ~~except that the~~. The board may charge a fee  
3 to an individual who requests the individual's own program  
4 information. A fee charged to an individual pursuant to this  
5 subsection shall not exceed the actual cost of providing the  
6 requested information and shall be considered a repayment  
7 receipt as defined in [section 8.2](#).

8 Sec. 7. Section 124.554, Code 2018, is amended to read as  
9 follows:

10 **124.554 Rules and reporting.**

11 1. The board ~~and advisory council~~, in consultation with the  
12 advisory committee, shall jointly adopt rules in accordance  
13 with [chapter 17A](#) to carry out the purposes of, and to enforce  
14 the provisions of, [this subchapter](#). The rules shall include  
15 but not be limited to the development of procedures relating  
16 to:

17 *a.* Identifying each patient about whom information is  
18 entered into the program.

19 *b.* An electronic format for the submission of information  
20 from pharmacies and prescribing practitioners.

21 *c.* A waiver to submit information in another format for  
22 a pharmacy or prescribing practitioner unable to submit  
23 information electronically.

24 *d.* An application by a pharmacy or prescribing practitioner  
25 for an extension of time for transmitting information to the  
26 program.

27 *e.* The submission by an authorized requestor of a request  
28 for information and a procedure for the verification of the  
29 identity of the requestor.

30 *f.* Use by the board or advisory ~~council~~ committee of the  
31 program request records required by section 124.553, subsection  
32 2, to document and report statistical information.

33 *g.* Including all schedule II, schedule III, schedule IV,  
34 ~~controlled substances and those substances in schedules III~~  
35 ~~and IV that the advisory council and board determine can be~~

1 ~~addictive or fatal if not taken under the proper care and~~  
2 ~~direction of a prescribing practitioner~~ schedule V controlled  
3 substances except when dispensed by a pharmacist without a  
4 prescription.

5 *h.* Access by a pharmacist or prescribing practitioner to  
6 information in the program ~~pursuant to a written agreement with~~  
7 ~~the board and advisory council.~~

8 *i.* The correction or deletion of erroneous information in  
9 the program.

10 *j.* The establishment of thresholds or other criteria or  
11 measures to be used in identifying an at-risk patient as  
12 provided in section 124.553, subsection 1, paragraph "g", and  
13 the targeted distribution of proactive notifications suggesting  
14 review of the patient's prescription history.

15 *k.* User registration processes and requirements.

16 2. Beginning January ~~1, 2007~~ 15, 2019, and annually by  
17 January ~~1~~ 15 thereafter, the board and advisory ~~council~~  
18 committee shall present to the general assembly and the  
19 governor a report prepared consistent with section 124.555,  
20 subsection 3, paragraph "d", which shall include but not be  
21 limited to the following:

22 *a.* The cost to the state of implementing and maintaining the  
23 program.

24 *b.* Information from pharmacies, prescribing practitioners,  
25 the board, the advisory ~~council~~ committee, and others regarding  
26 the benefits or detriments of the program.

27 *c.* Information from pharmacies, prescribing practitioners,  
28 the board, the advisory ~~council~~ committee, and others regarding  
29 the board's effectiveness in providing information from the  
30 program.

31 Sec. 8. Section 124.555, Code 2018, is amended to read as  
32 follows:

33 **124.555 Advisory ~~council~~ committee established.**

34 An advisory ~~council~~ committee shall be established to  
35 provide oversight to the board and the program and to comanage

1 program activities. The board ~~and~~, in consultation with  
2 the advisory council committee, shall ~~jointly~~ adopt rules  
3 specifying the duties and activities of the advisory ~~council~~  
4 committee and related matters.

5 1. The ~~council~~ committee shall consist of ~~eight~~ a minimum  
6 of four members appointed by the ~~governor~~ board. The members  
7 shall include but not be limited to at least one member from  
8 each of the following categories: ~~three~~ licensed pharmacists,  
9 ~~four~~ physicians licensed under chapter 148, ~~and one~~ licensed  
10 prescribing ~~practitioner~~ practitioners who ~~is~~ are not a  
11 ~~physician~~ physicians, and public members who are not health  
12 care professionals. The ~~governor shall~~ board may solicit  
13 recommendations for ~~council~~ committee members from Iowa health  
14 professional licensing boards, associations, ~~and~~ societies,  
15 and other interested groups. The license of each health care  
16 professional member appointed to and serving on the advisory  
17 ~~council~~ committee shall be current and in good standing with  
18 the professional's licensing board.

19 2. The ~~council~~ committee shall advance the goals of the  
20 program, which include identification of misuse and diversion  
21 of controlled substances identified pursuant to section  
22 124.554, subsection 1, paragraph "g", reduction of overdoses  
23 and deaths as a result of prescription controlled substance  
24 use and abuse, and enhancement of the quality of health care  
25 delivery in this state.

26 3. Duties of the ~~council~~ committee shall include but not be  
27 limited to the following:

28 a. Ensuring the confidentiality of the patient, prescribing  
29 practitioner, and dispensing pharmacist and pharmacy.

30 b. Respecting and preserving the integrity of the patient's  
31 treatment relationship with the patient's health care  
32 providers.

33 c. Encouraging and facilitating cooperative efforts  
34 among health care practitioners and other interested and  
35 knowledgeable persons in developing best practices for

1 prescribing and dispensing controlled substances and in  
2 educating health care practitioners and patients regarding  
3 controlled substance use and abuse.

4 *d.* Making recommendations regarding the continued benefits  
5 of maintaining the program in relationship to cost and other  
6 burdens to the patient, prescribing practitioner, pharmacist,  
7 and the board. The ~~council's~~ committee's recommendations shall  
8 be included in reports required by section 124.554, subsection  
9 2.

10 ~~*e.* One physician and one pharmacist member of the council~~  
11 ~~shall include in their duties the responsibility for monitoring~~  
12 ~~and ensuring that patient confidentiality, best interests, and~~  
13 ~~civil liberties are at all times protected and preserved during~~  
14 ~~the existence of the program.~~

15 4. Members of the advisory ~~council~~ committee shall  
16 be eligible to request and receive actual expenses for  
17 their duties as members of the advisory ~~council~~ committee,  
18 subject to reimbursement limits imposed by the department of  
19 administrative services, and shall also be eligible to receive  
20 a per diem compensation as provided in section 7E.6, subsection  
21 1.

22 Sec. 9. Section 124.556, Code 2018, is amended to read as  
23 follows:

24 **124.556 Education and treatment.**

25 The program for drug prescribing and dispensing shall  
26 include education initiatives and outreach to consumers,  
27 prescribing practitioners, and pharmacists, and shall also  
28 include assistance for identifying substance abuse treatment  
29 programs and providers. The board and advisory ~~council~~  
30 committee shall adopt rules, as provided under [section 124.554](#),  
31 to implement [this section](#).

32 Sec. 10. Section 124.557, Code 2018, is amended to read as  
33 follows:

34 **124.557 Drug information program fund.**

35 The drug information program fund is established to be used



1 by the board to fund or assist in funding the program. The  
2 board may make deposits into the fund from any source, public  
3 or private, including grants or contributions of money or other  
4 items of value, which it determines necessary to carry out the  
5 purposes of [this subchapter](#). The board may add a surcharge  
6 of not more than twenty-five percent to the applicable fee  
7 for a registration issued pursuant to section 124.302 and the  
8 surcharge shall be deposited into the fund. Moneys received  
9 by the board to establish and maintain the program must  
10 be used for the expenses of administering [this subchapter](#).  
11 Notwithstanding [section 8.33](#), amounts contained in the fund  
12 that remain unencumbered or unobligated at the close of the  
13 fiscal year shall not revert but shall remain available for  
14 expenditure for the purposes designated in future years.

15 Sec. 11. Section 124.558, subsection 1, Code 2018, is  
16 amended to read as follows:

17 1. *Failure to comply with requirements.* A pharmacist,  
18 pharmacy, prescribing practitioner, or agent of a pharmacist  
19 or prescribing practitioner who knowingly fails to comply  
20 with the confidentiality requirements of [this subchapter](#)  
21 or who delegates program information access to another  
22 individual except as provided in [section 124.553](#), is subject to  
23 disciplinary action by the appropriate professional licensing  
24 board. A pharmacist, or pharmacy, or prescribing practitioner  
25 that knowingly fails to comply with other requirements of this  
26 subchapter is subject to disciplinary action by the board.  
27 Each licensing board may adopt rules in accordance with chapter  
28 17A to implement the provisions of [this section](#).

29 Sec. 12. TRANSITION — APPOINTMENT AND TERMS OF ADVISORY  
30 COMMITTEE MEMBERS. This Act's amendments changing the name of  
31 the "advisory council" to the "advisory committee" shall not  
32 affect the appointment of a member who served on the advisory  
33 council immediately prior to the effective date of this Act.  
34 That member shall continue to serve on the advisory committee  
35 at the pleasure of the governor.



1 identification of patients who are potentially misusing or  
2 abusing prescription controlled substances and authorizes the  
3 board to proactively notify the pharmacists and prescribing  
4 practitioner involved in the patient's care of its concerns.

5 The bill authorizes the collection of dispensing records for  
6 all schedule II, III, IV, and V controlled substances except  
7 when the schedule V controlled substance is dispensed by a  
8 pharmacist without a prescription. The bill also authorizes,  
9 but does not require, the board to impose a surcharge to  
10 be deposited into the drug information program fund on  
11 controlled substances Act registrations, which any person who  
12 manufactures, distributes, or dispenses a controlled substance  
13 must obtain and maintain, to be used for the expenses of  
14 administering the PMP.

15 The bill adds to the goals of the program the reduction of  
16 overdoses and deaths as a result of prescription controlled  
17 substance use and abuse. The bill also changes the due  
18 date for annual reports to the governor and the legislature  
19 regarding the program from January 1 to January 15.

20 The bill makes prescribing practitioners subject to  
21 disciplinary action by the board under Code section 124.558 for  
22 failure to comply with the reporting requirement.