Senate File 396 - Introduced

SENATE FILE 396

BY COMMITTEE ON STATE

GOVERNMENT

(SUCCESSOR TO SSB 1106)

A BILL FOR

- 1 An Act relating to government operations and efficiency
- 2 and other related matters, making an appropriation, and
- 3 including effective date and applicability provisions.
- 4 BE IT ENACTED BY THE GENERAL ASSEMBLY OF THE STATE OF IOWA:

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1 DIVISION I

- 2 GOVERNMENT INFORMATION TECHNOLOGY SERVICES
- 3 Section 1. Section 8A.101, unnumbered paragraph 1, Code
- 4 2013, is amended to read as follows:
- 5 As used in this chapter and chapter 8B, unless the context
- 6 otherwise requires:
- 7 Sec. 2. Section 8A.103, unnumbered paragraph 1, Code 2013,
- 8 is amended to read as follows:
- 9 The department is created for the purpose of managing and
- 10 coordinating the major resources of state government including
- 11 the human, financial, and physical, and information resources
- 12 of state government.
- 13 Sec. 3. Section 8A.104, Code 2013, is amended by adding the
- 14 following new subsection:
- 15 NEW SUBSECTION. 6A. Provide accounting and fiscal services
- 16 and such additional assistance and administrative support
- 17 services to the office of the chief information officer,
- 18 created in section 8B.2, as the department and the office
- 19 determines maximizes the efficiency and effectiveness of both
- 20 the department and office.
- 21 Sec. 4. Section 8A.111, subsection 3, Code 2013, is amended
- 22 by striking the subsection.
- 23 Sec. 5. NEW SECTION. 8B.1 Definitions.
- 24 As used in this chapter, unless the context otherwise
- 25 requires:
- 26 1. "Information technology" means computing and electronics
- 27 applications used to process and distribute information in
- 28 digital and other forms and includes information technology
- 29 devices, telecommunications devices, internet protocol
- 30 solutions and devices, mobile devices, information technology
- 31 services, infrastructure services, and value-added services.
- 32 2. "Information technology device" means equipment or
- 33 associated software, including programs, languages, procedures,
- 34 or associated documentation, used in operating the equipment
- 35 which is designed for utilizing information stored in an

- 1 electronic format. "Information technology device" includes
- 2 but is not limited to computer systems, computer networks, and
- 3 equipment used for input, output, processing, storage, display,
- 4 scanning, and printing.
- 5 3. "Information technology services" means services designed
- 6 to do any of the following:
- 7 a. Provide functions, maintenance, and support of
- 8 information technology devices.
- 9 b. Provide services including but not limited to any of the
- 10 following:
- 11 (1) Computer systems application development and
- 12 maintenance.
- 13 (2) Systems integration and interoperability.
- 14 (3) Operating systems maintenance and design.
- 15 (4) Computer systems programming.
- 16 (5) Computer systems software support.
- 17 (6) Planning and security relating to information
- 18 technology devices.
- 19 (7) Data management consultation.
- 20 (8) Information technology education and consulting.
- 21 (9) Information technology planning and standards.
- 22 (10) Establishment of local area network and workstation
- 23 management standards.
- 4. "Information technology staff" includes any employees
- 25 performing information technology services, including but
- 26 not limited to agency employees in information technology
- 27 classifications, contractors, temporary workers, and any other
- 28 employees providing information technology services.
- 29 5. "Infrastructure services" includes all of the following:
- 30 a. Data centers used to support mainframe and other
- 31 computers and their associated components including servers,
- 32 information networks, storage systems, redundant or backup
- 33 power systems, redundant data communications connections,
- 34 environmental controls, and security devices.
- 35 b. Servers, mainframes, or other centralized processing

- 1 systems.
- c. Storage systems, including but not limited to disk, tape,
- 3 optical, and other structured repositories for storing digital
- 4 information.
- 5 d. Computer networks commonly referred to as local area
- 6 networks.
- 7 e. Network services, including equipment and software
- 8 which support local area networks, campus area networks, wide
- 9 area networks, and metro area networks. Network services
- 10 also include data network services such as routers, switches,
- 11 firewalls, virtual private networks, intrusion detection
- 12 systems, access control, internet protocol load balancers,
- 13 event logging and correlation, and content caching. Network
- 14 services do not include services provided by the public
- 15 broadcasting division of the department of education.
- 16 f. Groupware applications used to facilitate collaboration,
- 17 communication, and workflow, including electronic mail,
- 18 directory services, calendaring and scheduling, and imaging
- 19 systems.
- 20 g. Information technology help desk services.
- 21 h. Cyber security functions and equipment.
- 22 i. Digital printing and printing procurement services.
- 23 j. Data warehouses, including services that assist in
- 24 managing and locating digital information.
- k. Disaster recovery technology and services.
- 26 1. Other similar or related services as determined by the
- 27 chief information officer.
- 28 6. "Office" means the office of the chief information
- 29 officer created in section 8B.2.
- 30 7. "Participating agency" means any state agency, except
- 31 the state board of regents and institutions operated under the
- 32 authority of the state board of regents.
- 33 8. "Technology advisory council" means the council
- 34 established in section 8B.8.
- 35 9. "Value-added services" means services that offer or

- 1 provide unique, special, or enhanced value, benefits, or
- 2 features to the customer or user including but not limited to
- 3 services in which information technology is specially designed,
- 4 modified, or adapted to meet the special or requested needs
- 5 of the user or customer; services involving the delivery,
- 6 provision, or transmission of information or data that require
- 7 or involve additional processing, formatting, enhancement,
- 8 compilation, or security; services that provide the customer
- 9 or user with enhanced accessibility, security, or convenience;
- 10 research and development services; and services that are
- 11 provided to support technological or statutory requirements
- 12 imposed on participating agencies and other governmental
- 13 entities, businesses, and the public.
- 14 Sec. 6. NEW SECTION. 8B.2 Office created chief
- 15 information officer appointed.
- 16 l. The office of the chief information officer is created
- 17 as an independent agency and is attached to the department of
- 18 administrative services for accounting and fiscal services.
- 19 The department of administrative services shall provide such
- 20 additional assistance and administrative support services to
- 21 the office as the department of administrative services and the
- 22 office determines maximizes the efficiency and effectiveness of
- 23 both the department and office.
- 24 2. The chief information officer, who shall be the head
- 25 of the office, shall be appointed by the governor to serve at
- 26 the pleasure of the governor and is subject to confirmation by
- 27 the senate. If the office becomes vacant, the vacancy shall
- 28 be filled in the same manner as provided for the original
- 29 appointment.
- 30 3. The person appointed as the chief information officer
- 31 for the state shall be professionally qualified by education
- 32 and have no less than five years' experience in the field of
- 33 information technology, and a working knowledge of financial
- 34 management. The chief information officer shall not be
- 35 a member of any local, state, or national committee of a

- 1 political party, an officer or member of a committee in
- 2 any partisan political club or organization, or hold or be
- 3 a candidate for a paid elective public office. The chief
- 4 information officer is subject to the restrictions on political
- 5 activity provided in section 8A.416.
- 6 Sec. 7. NEW SECTION. 8B.3 Office purpose
- 7 mission.
- The office is created for the purpose of leading,
- 9 directing, managing, coordinating, and providing accountability
- 10 for the information technology resources of state government.
- 11 2. The mission of the office is to provide high-quality,
- 12 customer-focused information technology services and business
- 13 solutions to government and to citizens.
- 14 Sec. 8. NEW SECTION. 8B.4 Powers and duties of the chief
- 15 information officer.
- 16 The chief information officer shall do all of the following:
- 1. Direct the internal operations of the office and develop
- 18 and implement policies, procedures, and internal organization
- 19 measures designed to ensure the efficient administration of the
- 20 office.
- 2. Appoint all information technology staff deemed
- 22 necessary for the administration of the office's functions as
- 23 provided in this chapter. For nonprofessional employees of
- 24 the office, employment shall be consistent with chapter 8A,
- 25 subchapter IV. The employment of professional employees of
- 26 the office shall be exempt from the provisions of chapter 8A,
- 27 subchapter IV, and chapter 20.
- 28 3. Manage, in consultation with the applicable
- 29 participating agency, the information technology staff
- 30 of participating agencies, to include directing the work
- 31 of information technology staff, assigning information
- 32 technology staff as required to support information technology
- 33 requirements and initiatives of the office, and to review and
- 34 recommend approval of information technology staff employment
- 35 decisions in coordination with the department of management.

- 1 4. Prepare an annual budget for the office. Adopt rules
- 2 for the approval of information technology budgets for
- 3 participating agencies in conjunction with the department of
- 4 management.
- 5. Adopt rules deemed necessary for the administration of
- 6 this chapter in accordance with chapter 17A.
- 7 6. Prescribe and adopt information technology standards and
- 8 rules.
- 9 7. Develop and recommend legislative proposals deemed
- 10 necessary for the continued efficiency of the office in
- 11 performing information technology functions, and review
- 12 legislative proposals generated outside of the office which are
- 13 related to matters within the office's purview.
- 14 8. Provide advice to the governor on issues related to
- 15 information technology.
- 9. Consult with agencies and other governmental entities on
- 17 issues relating to information technology.
- 18 10. Work with all governmental entities in an effort to
- 19 achieve the information technology goals established by the
- 20 office.
- 21 11. Develop systems and methodologies to review, evaluate,
- 22 and prioritize information technology projects.
- 23 12. Administer all accounting, billing, and collection
- 24 functions required by the department of administrative services
- 25 pursuant to policies adopted by the chief information officer
- 26 after consultation and in cooperation with the director of the
- 27 department of administrative services.
- 28 13. Utilize, in a manner determined by the chief information
- 29 officer, such assistance and administrative support services as
- 30 provided by the department of administrative services as the
- 31 office determines to maximize the efficiency and effectiveness
- 32 of the office.
- 33 14. Enter into contracts for the receipt and provision of
- 34 services as deemed necessary. The chief information officer
- 35 and the governor may obtain and accept grants and receipts

- 1 to or for the state to be used for the administration of the
- 2 office's functions as provided in this chapter.
- 3 15. Exercise and perform such other powers and duties as may
- 4 be prescribed by law.
- 5 Sec. 9. NEW SECTION. 8B.5 Prohibited interests
- 6 penalty.
- 7 The chief information officer shall not have any pecuniary
- 8 interest, directly or indirectly, in any contract for supplies
- 9 furnished to the state, or in any business enterprise involving
- 10 any expenditure by the state. A violation of the provisions
- 11 of this section is a serious misdemeanor, and upon conviction,
- 12 the chief information officer shall be removed from office in
- 13 addition to any other penalty.
- 14 Sec. 10. NEW SECTION. 8B.6 Acceptance of funds.
- 15 The office may receive and accept donations, grants, gifts,
- 16 and contributions in the form of moneys, services, materials,
- 17 or otherwise, from the United States or any of its agencies,
- 18 from this state or any of its agencies, or from any other
- 19 person, and expend such moneys, services, materials, or other
- 20 contributions, or issue grants, in carrying out the operations
- 21 of the office. All federal grants to and the federal receipts
- 22 of the office are appropriated for the purpose set forth in
- 23 such federal grants or receipts. The office shall report
- 24 annually to the general assembly on or before September 1 the
- 25 donations, grants, gifts, and contributions with a monetary
- 26 value of one thousand dollars or more that were received during
- 27 the most recently concluded fiscal year.
- 28 Sec. 11. NEW SECTION. 8B.7 Federal funds.
- 29 1. Neither the provisions of this chapter nor rules adopted
- 30 pursuant to this chapter shall apply in any situation where
- 31 such provision or rule is in conflict with a governing federal
- 32 regulation or where the provision or rule would jeopardize the
- 33 receipt of federal funds.
- 34 2. If it is determined by the attorney general that
- 35 any provision of this chapter would cause denial of funds

- 1 or services from the United States government which would
- 2 otherwise be available to an agency of this state, such
- 3 provision shall be suspended as to such agency, but only to the
- 4 extent necessary to prevent denial of such funds or services.
- 5 Sec. 12. NEW SECTION. 8B.8 Technology advisory council.
- 6 l. Definitions. For purposes of this section, unless the
- 7 context otherwise requires:
- 8 a. "Large agency" means a participating agency with more
- 9 than seven hundred full-time, year-round employees.
- 10 b. "Medium-sized agency" means a participating agency with
- 11 at least seventy or more full-time, year-round employees, but
- 12 not more than seven hundred permanent employees.
- 13 c. "Small agency" means a participating agency with less
- 14 than seventy full-time, year-round employees.
- 15 2. Membership.
- 16 a. The technology advisory council is composed of ten
- 17 members as follows:
- 18 (1) The chief information officer.
- 19 (2) The director of the department of management, or the
- 20 director's designee.
- 21 (3) Eight members appointed by the governor as follows:
- 22 (a) Three representatives from large agencies.
- 23 (b) Two representatives from medium-sized agencies.
- 24 (c) One representative from a small agency.
- 25 (d) Two public members who are knowledgeable and have
- 26 experience in information technology matters.
- 27 b. (1) Members appointed pursuant to paragraph "a",
- 28 subparagraph (3), shall serve two-year staggered terms. The
- 29 office shall provide, by rule, for the commencement of the
- 30 term of membership for the nonpublic members. The terms of
- 31 the public members shall be staggered at the discretion of the
- 32 governor.
- 33 (2) Sections 69.16, 69.16A, and 69.19 shall apply to the
- 34 public members of the council.
- 35 (3) Public members appointed by the governor are subject to

- 1 senate confirmation.
- 2 (4) Public members appointed by the governor may be eligible
- 3 to receive compensation as provided in section 7E.6.
- 4 (5) Members shall be reimbursed for actual and necessary
- 5 expenses incurred in performance of the members' duties.
- 6 (6) A director, deputy director, or employee of an agency
- 7 who has information technology expertise is preferred as an
- 8 appointed representative for each of the agency categories of
- 9 membership pursuant to paragraph "a", subparagraph (3).
- 10 c. The technology advisory council annually shall elect a
- 11 chair and a vice chair from among the members of the council,
- 12 by majority vote, to serve one-year terms.
- 13 d. A majority of the members of the council shall constitute
- 14 a quorum.
- 15 e. Meetings of the council shall be held at the call of the
- 16 chairperson or at the request of three members.
- 17 3. Powers and duties of the council. The powers and
- 18 duties of the technology advisory council as they relate to
- 19 information technology services shall include but are not
- 20 limited to all of the following:
- 21 a. Make recommendations to the chief information officer
- 22 regarding all of the following:
- 23 (1) Information technology standards to be applicable to
- 24 all participating agencies.
- 25 (2) Technology utility services to be implemented by the
- 26 office.
- 27 (3) Improvements to information technology service
- 28 levels and modifications to the business continuity plan for
- 29 information technology operations developed by the office for
- 30 agencies, and to maximize the value of information technology
- 31 investments by the state.
- 32 (4) Technology initiatives for the executive branch.
- 33 b. Advise the office regarding rates to be charged for
- 34 access to and for value-added services performed through
- 35 IowAccess.

- 1 Sec. 13. NEW SECTION. 8B.9 Reports required.
- 2 The office shall provide all of the following reports:
- An annual report of the office.
- 4 2. Internal service fund service business plans and
- 5 financial reports as required under section 8B.13, subsection
- 6 5, paragraph "a", and an annual internal service fund
- 7 expenditure report as required under section 8B.13, subsection
- 8 5, paragraph "b".
- 9 3. An annual report regarding total spending on technology
- 10 as required under section 8B.21, subsection 6.
- 11 4. An annual report of expenditures from the IowAccess
- 12 revolving fund as provided in section 8B.33.
- 13 Sec. 14. NEW SECTION. 8B.12 Services to governmental
- 14 entities and nonprofit organizations.
- 15 l. The chief information officer shall enter into
- 16 agreements with state agencies, and may enter into agreements
- 17 with any other governmental entity or a nonprofit organization,
- 18 to furnish services and facilities of the office to the
- 19 applicable governmental entity or nonprofit organization. The
- 20 agreement shall provide for the reimbursement to the office of
- 21 the reasonable cost of the services and facilities furnished.
- 22 All governmental entities of this state may enter into such
- 23 agreements. For purposes of this subsection, "nonprofit
- 24 organization" means a nonprofit entity which is exempt from
- 25 federal income taxation pursuant to section 501(c)(3) of the
- 26 Internal Revenue Code and which is funded in whole or in part
- 27 by public funds.
- 28 2. This chapter does not affect any city civil service
- 29 programs established under chapter 400.
- 30 3. The state board of regents shall not be required to
- 31 obtain any service for the state board of regents or any
- 32 institution under the control of the state board of regents
- 33 that is provided by the office pursuant to this chapter without
- 34 the consent of the state board of regents.
- 35 Sec. 15. NEW SECTION. 8B.13 Office internal service funds.

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- 1 1. Activities of the office shall be accounted for
 2 within the general fund of the state, except that the chief
 3 information officer may establish and maintain internal
 4 service funds in accordance with generally accepted accounting
 5 principles, as defined in section 8.57, subsection 4, for
 6 activities of the office which are primarily funded from
 7 billings to governmental entities for services rendered by
 8 the office. The establishment of an internal service fund is
 9 subject to the approval of the director of the department of
 10 management and the concurrence of the auditor of state. At
 11 least ninety days prior to the establishment of an internal
 12 service fund pursuant to this section, the chief information
 13 officer shall notify in writing the general assembly, including
- 2. Internal service funds shall be administered by the office and shall consist of moneys collected by the office 18 from billings issued in accordance with section 8B.15 and any 19 other moneys obtained or accepted by the office, including 20 but not limited to gifts, loans, donations, grants, and 21 contributions, which are designated to support the activities 22 of the individual internal service funds.

14 the legislative council, legislative fiscal committee, and the

15 legislative services agency.

- 3. The proceeds of an internal service fund established pursuant to this section shall be used by the office for the operations of the office consistent with this chapter. The chief information officer may appoint the personnel necessary to ensure the efficient provision of services funded pursuant to an internal service fund established under this section. However, this usage requirement shall not limit or restrict the office from using proceeds from gifts, loans, donations, grants, and contributions in conformance with any conditions, directions, limitations, or instructions attached or related thereto.
- 4. Section 8.33 does not apply to any moneys in internal service funds established pursuant to this section.

- 1 Notwithstanding section 12C.7, subsection 2, interest or
- 2 earnings on moneys deposited in these funds shall be credited
- 3 to these funds.
- 4 5. a. The chief information officer shall annually provide
- 5 internal service fund service business plans and financial
- 6 reports to the department of management and the general
- 7 assembly. The business plans may include the recommendation
- 8 that a portion of unexpended net income be periodically
- 9 returned to the appropriate funding source.
- 10 b. The office shall submit an annual report not later
- 11 than October 1 to the members of the general assembly and the
- 12 legislative services agency of the activities funded by and
- 13 expenditures made from an internal service fund established
- 14 pursuant to this section during the preceding fiscal year.
- 15 Sec. 16. NEW SECTION. 8B.14 Additional personnel.
- 16 The office may employ, upon the approval of the department
- 17 of management, additional personnel in excess of the number
- 18 of full-time equivalent positions authorized by the general
- 19 assembly if such additional personnel are reasonable and
- 20 necessary to perform such duties as required to meet the
- 21 needs of the office to provide services to other governmental
- 22 entities and as authorized by this chapter. The chief
- 23 information officer shall notify in writing the department
- 24 of management, the legislative fiscal committee, and the
- 25 legislative services agency of any additional personnel
- 26 employed pursuant to this section.
- 27 Sec. 17. NEW SECTION. 8B.15 Billing credit card
- 28 payments.
- 29 1. The chief information officer may bill a governmental
- 30 entity for services rendered by the office in accordance with
- 31 the duties of the office as provided in this chapter. Bills
- 32 may include direct, indirect, and developmental costs which
- 33 have not been funded by an appropriation to the office. The
- 34 office shall periodically render a billing statement to a
- 35 governmental entity outlining the cost of services provided to

- 1 the governmental entity. The amount indicated on the statement
- 2 shall be paid by the governmental entity and amounts received
- 3 by the office shall be considered repayment receipts as defined
- 4 in section 8.2, and deposited into the accounts of the office.
- 2. In addition to other forms of payment, a person may pay
- 6 by credit card for services provided by the office, according
- 7 to rules adopted by the treasurer of state. The credit card
- 8 fees to be charged shall not exceed those permitted by statute.
- 9 A governmental entity may adjust its payment to reflect the
- 10 costs of processing as determined by the treasurer of state.
- 11 The discount charged by the credit card issuer may be included
- 12 in determining the fees to be paid for completing a financial
- 13 transaction under this section by using a credit card. All
- 14 credit card payments shall be credited to the fund used to
- 15 account for the services provided.
- 16 Sec. 18. NEW SECTION. 8B.16 Office debts and liabilities
- 17 appropriation request.
- 18 If a service provided by the office and funded from an
- 19 internal service fund established under section 8B.13 ceases
- 20 to be provided and insufficient funds remain in the internal
- 21 service fund to pay any outstanding debts and liabilities
- 22 relating to that service, the chief information officer shall
- 23 notify the department of management and the general assembly
- 24 and request that moneys be appropriated from the general fund
- 25 of the state to pay such debts and liabilities.
- 26 Sec. 19. NEW SECTION. 8B.21 Information technology services
- 27 office powers and duties responsibilities.
- 28 1. Powers and duties of office. The powers and duties of
- 29 the office as it relates to information technology services
- 30 shall include but are not limited to all of the following:
- 31 a. Approving information technology for use by agencies and
- 32 other governmental entities.
- 33 b. Implementing the strategic information technology plan.
- 34 c. Developing and implementing a business continuity plan,
- 35 as the chief information officer determines is appropriate, to

- 1 be used if a disruption occurs in the provision of information
- 2 technology to participating agencies and other governmental
- 3 entities.
- 4 d. Prescribing standards and adopting rules relating to
- 5 cyber security, geospatial systems, application development,
- 6 and information technology and procurement, including but
- 7 not limited to system design and systems integration, and
- 8 interoperability, which shall apply to all participating
- 9 agencies except as otherwise provided in this chapter. The
- 10 office shall implement information technology standards as
- ll established pursuant to this chapter which are applicable to
- 12 information technology procurements for participating agencies.
- 13 e. Establishing an enterprise strategic and project
- 14 management function for oversight of all information
- 15 technology-related projects and resources of participating
- 16 agencies.
- 17 f. (1) Developing and maintaining security policies and
- 18 systems to ensure the integrity of the state's information
- 19 resources and to prevent the disclosure of confidential
- 20 records. The office shall ensure that the security policies
- 21 and systems be consistent with the state's data transparency
- 22 efforts by developing and implementing policies and systems for
- 23 the sharing of data and information by participating agencies.
- 24 (2) Establishing statewide standards, to include periodic
- 25 review and compliance measures, for information technology
- 26 security to maximize the functionality, security, and
- 27 interoperability of the state's distributed information
- 28 technology assets, including but not limited to communications
- 29 and encryption technologies.
- 30 (3) Requiring all information technology security services,
- 31 solutions, hardware, and software purchased or used by a
- 32 participating agency to be subject to approval by the office in
- 33 accordance with security standards.
- 34 g. Developing and implementing effective and efficient
- 35 strategies for the use and provision of information technology

- 1 and information technology staff for participating agencies and 2 other governmental entities.
- 3 h. Coordinating and managing the acquisition of information
- 4 technology services by participating agencies in furtherance
- 5 of the purposes of this chapter. The office shall institute
- 6 procedures to ensure effective and efficient compliance with
- 7 the applicable standards established pursuant to this chapter.
- 8 i. Entering into contracts, leases, licensing agreements,
- 9 royalty agreements, marketing agreements, memorandums of
- 10 understanding, or other agreements as necessary and appropriate
- 11 to administer this chapter.
- 12 j. Determining and implementing statewide efforts
- 13 to standardize data elements, determine data ownership
- 14 assignments, and implement the sharing of data.
- 15 k. Requiring that a participating agency provide such
- 16 information as is necessary to establish and maintain an
- 17 inventory of information technology used by participating
- 18 agencies, and such participating agency shall provide such
- 19 information to the office in a timely manner. The form and
- 20 content of the information to be provided shall be determined
- 21 by the office.
- 22 1. Requiring participating agencies to provide the full
- 23 details of the agency's information technology and operational
- 24 requirements upon request, report information technology
- 25 security incidents to the office in a timely manner, provide
- 26 comprehensive information concerning the information technology
- 27 security employed by the agency to protect the agency's
- 28 information technology, and forecast the parameters of the
- 29 agency's projected future information technology security needs
- 30 and capabilities.
- 31 m. Charging reasonable fees, costs, expenses, charges,
- 32 or other amounts to an agency, governmental entity, public
- 33 official, or person or entity related to the provision, sale,
- 34 use, or utilization of, or cost sharing with respect to,
- 35 information technology and any intellectual property interests

- 1 related thereto; research and development; proprietary
- 2 hardware, software, and applications; and information
- 3 technology architecture and design. The office may enter
- 4 into nondisclosure agreements and take any other legal action
- 5 reasonably necessary to secure a right to an interest in
- 6 information technology development by or on behalf of the
- 7 state of Iowa and to protect the state of Iowa's proprietary
- 8 information technology and intellectual property interests.
- 9 The provisions of chapter 23A relating to noncompetition
- 10 by state agencies and political subdivisions with private
- 11 enterprise shall not apply to office activities authorized
- 12 under this paragraph.
- 13 n. Charging reasonable fees, costs, expenses, charges,
- 14 or other amounts to an agency, governmental entity, public
- 15 official, or other person or entity to or for whom information
- 16 technology or other services have been provided by or on behalf
- 17 of, or otherwise made available through, the office.
- 18 o. Providing, selling, leasing, licensing, transferring, or
- 19 otherwise conveying or disposing of information technology, or
- 20 any intellectual property or other rights with respect thereto,
- 21 to agencies, governmental entities, public officials, or other
- 22 persons or entities.
- 23 p. Entering into partnerships, contracts, leases, or other
- 24 agreements with public and private entities for the evaluation
- 25 and development of information technology pilot projects.
- 26 q. Initiating and supporting the development of electronic
- 27 commerce, electronic government, and internet applications
- 28 across participating agencies and in cooperation with
- 29 other governmental entities. The office shall foster joint
- 30 development of electronic commerce and electronic government
- 31 involving the public and private sectors, develop customer
- 32 surveys and citizen outreach and education programs and
- 33 material, and provide for citizen input regarding the state's
- 34 electronic commerce and electronic government applications.
- 35 2. Responsibilities. The responsibilities of the office

- 1 as it relates to information technology services include the
 2 following:
- 3 a. Coordinate the activities of the office in promoting,
- 4 integrating, and supporting information technology in all
- 5 business aspects of state government.
- 6 b. Provide for server systems, including mainframe and
- 7 other server operations, desktop support, and applications
- 8 integration.
- 9 c. Provide applications development, support, and training,
- 10 and advice and assistance in developing and supporting business
- 11 applications throughout state government.
- 12 3. Information technology charges. The office shall
- 13 render a statement to an agency, governmental entity, public
- 14 official, or other person or entity to or for whom information
- 15 technology, value-added services, or other items or services
- 16 have been provided by or on behalf of, or otherwise made
- 17 available through, the office. Such an agency, governmental
- 18 entity, public official, or other person or entity shall pay
- 19 an amount indicated on such statement in a manner determined
- 20 by the office.
- 21 4. Dispute resolution. If a dispute arises between the
- 22 office and an agency for which the office provides or refuses
- 23 to provide information technology, the dispute shall be
- 24 resolved as provided in section 679A.19.
- 25 5. Waivers.
- 26 a. The office shall adopt rules allowing for participating
- 27 agencies to seek a temporary or permanent waiver from any of
- 28 the requirements of this chapter concerning the acquisition,
- 29 utilization, or provision of information technology. The rules
- 30 shall provide that a waiver may be granted upon a written
- 31 request by a participating agency and approval of the chief
- 32 information officer. A waiver shall only be approved if the
- 33 participating agency shows that a waiver would be in the best
- 34 interests of the state.
- 35 b. Prior to approving or denying a request for a waiver, the

- 1 chief information officer shall consider all of the following:
- 2 (1) Whether the failure to grant a waiver would violate
- 3 any state or federal law or any published policy, standard,
- 4 or requirement established by a governing body other than the 5 office.
- 6 (2) Whether the failure to grant a waiver would result in 7 the duplication of existing services, resources, or support.
- 8 (3) Whether the waiver would obstruct the state's
- 9 information technology strategic plan, enterprise architecture,
- 10 security plans, or any other information technology policy,
- 11 standard, or requirement.
- 12 (4) Whether the waiver would result in excessive
- 13 expenditures or expenditures above market rates.
- 14 (5) The life cycle of the system or application for which
- 15 the waiver is requested.
- 16 (6) Whether the participating agency can show that it can
- 17 obtain or provide the information technology more economically
- 18 than the information technology can be provided by the office.
- 19 For purposes of determining if the participating agency can
- 20 obtain or provide the information technology more economically,
- 21 the chief information officer shall consider the impact on
- 22 other participating agencies if the waiver is granted or
- 23 denied.
- 24 (7) Whether the failure to grant a waiver would jeopardize
- 25 federal funding.
- 26 c. Rules adopted pursuant to this subsection relating to a
- 27 request for a waiver, at a minimum, shall provide for all of
- 28 the following:
- 29 (1) The request shall be in writing and signed by the head
- 30 of the participating agency seeking the waiver.
- 31 (2) The request shall include a reference to the specific
- 32 policy, standard, or requirement for which the waiver is
- 33 submitted.
- 34 (3) The request shall include a statement of facts including
- 35 a description of the problem or issue prompting the request;

- 1 the participating agency's preferred solution; an alternative
- 2 approach to be implemented by the participating agency intended
- 3 to satisfy the waived policy, standard, or requirement; the
- 4 business case for the alternative approach; a third party audit
- 5 or report that compares the participating agency's preferred
- 6 solution to the information technology solution that can be
- 7 provided by the office; the economic justification for the
- 8 waiver or a statement as to why the waiver is in the best
- 9 interests of the state; the time period for which the waiver is
- 10 requested; and any other information deemed appropriate.
- 11 d. A participating agency may appeal the decision of the
- 12 chief information officer to the director of the department of
- 13 management within seven calendar days following the decision of
- 14 the chief information officer. The director of the department
- 15 of management shall respond within fourteen days following the
- 16 receipt of the appeal.
- 17 e. The department of public defense shall not be required
- 18 to obtain any information technology services pursuant to this
- 19 chapter for the department of public defense that is provided
- 20 by the office pursuant to this chapter without the consent of
- 21 the adjutant general.
- 22 6. Annual report. On an annual basis, prepare a report to
- 23 the governor, the department of management, and the general
- 24 assembly regarding the total spending on technology for the
- 25 previous fiscal year, the total amount appropriated for the
- 26 current fiscal year, and an estimate of the amount to be
- 27 requested for the succeeding fiscal year for all agencies. The
- 28 report shall include a five-year projection of technology cost
- 29 savings, an accounting of the level of technology cost savings
- 30 for the current fiscal year, and a comparison of the level of
- 31 technology cost savings for the current fiscal year with that
- 32 of the previous fiscal year. The report shall be filed as soon
- 33 as possible after the close of a fiscal year, and by no later
- 34 than the second Monday of January of each year.
- 35 Sec. 20. NEW SECTION. 8B.22 Digital government.

- 1 l. The office is responsible for initiating and
- 2 supporting the development of electronic commerce, electronic
- 3 government, mobile applications, and internet applications
- 4 across participating agencies and in cooperation with other
- 5 governmental entities.
- 6 2. In developing the concept of digital government, the
- 7 office shall do all of the following:
- 8 a. Establish standards, consistent with other state law, for
- 9 the implementation of electronic commerce, including standards
- 10 for electronic signatures, electronic currency, and other items
- ll associated with electronic commerce.
- 12 b. Establish guidelines for the appearance and functioning
- 13 of applications.
- c. Establish standards for the integration of electronic
- 15 data across state agencies.
- 16 d. Foster joint development of electronic commerce and
- 17 electronic government involving the public and private sectors.
- 18 e. Develop customer surveys and citizen outreach and
- 19 education programs and material, and provide for citizen input
- 20 regarding the state's electronic commerce and electronic
- 21 government applications.
- 22 f. Assist participating agencies in converting printed
- 23 government materials to electronic materials which can be
- 24 accessed through an internet searchable database.
- 25 g. Encourage participating agencies to utilize duplex
- 26 printing and a print on demand strategy to reduce printing
- 27 costs, publication overruns, excessive inventory, and obsolete
- 28 printed materials.
- 29 Sec. 21. NEW SECTION. 8B.23 Information technology
- 30 standards.
- 31 1. The office shall develop and adopt information
- 32 technology standards applicable to the procurement of
- 33 information technology by all participating agencies. Such
- 34 standards, unless waived by the office, shall apply to all
- 35 information technology procurements for participating agencies.

- 1 2. The office of the governor or the office of an elective
- 2 constitutional or statutory officer shall consult with the
- 3 office prior to procuring information technology and consider
- 4 the information technology standards adopted by the office, and
- 5 provide a written report to the office relating to the other
- 6 office's decision regarding such acquisitions.
- 7 Sec. 22. NEW SECTION. 8B.24 Procurement of information
- 8 technology.
- 9 1. Standards established by the office, unless waived by the
- 10 office, shall apply to all information technology procurements
- 11 for participating agencies.
- 12 2. The office shall institute procedures to ensure
- 13 effective and efficient compliance with standards established
- 14 by the office.
- 3. The office shall develop policies and procedures
- 16 that apply to all information technology goods and services
- 17 acquisitions, and shall ensure the compliance of all
- 18 participating agencies. The office shall also be the sole
- 19 provider of infrastructure services for participating agencies.
- 20 4. The office, by rule, may implement a prequalification
- 21 procedure for contractors with which the office has entered or
- 22 intends to enter into agreements regarding the procurement of
- 23 information technology.
- 24 5. Notwithstanding the provisions governing purchasing as
- 25 provided in chapter 8A, subchapter III, the office may procure
- 26 information technology as provided in this section. The
- 27 office may cooperate with other governmental entities in the
- 28 procurement of information technology in an effort to make such
- 29 procurements in a cost-effective, efficient manner as provided
- 30 in this section. The office, as deemed appropriate and cost
- 31 effective, may procure information technology using any of the
- 32 following methods:
- 33 a. Cooperative procurement agreement. The office may
- 34 enter into a cooperative procurement agreement with another
- 35 governmental entity relating to the procurement of information

- 1 technology, whether such information technology is for the use
- 2 of the office or other governmental entities. The cooperative
- 3 procurement agreement shall clearly specify the purpose of
- 4 the agreement and the method by which such purpose will be
- 5 accomplished. Any power exercised under such agreement shall
- 6 not exceed the power granted to any party to the agreement.
- 7 b. Negotiated contract. The office may enter into an
- 8 agreement for the purchase of information technology if any of
- 9 the following applies:
- 10 (1) The contract price, terms, and conditions are pursuant
- 11 to the current federal supply contract, and the purchase order
- 12 adequately identifies the federal supply contract under which
- 13 the procurement is to be made.
- 14 (2) The contract price, terms, and conditions are no less
- 15 favorable than the contractor's current federal supply contract
- 16 price, terms, and conditions; the contractor has indicated
- 17 in writing a willingness to extend such price, terms, and
- 18 conditions to the office; and the purchase order adequately
- 19 identifies the contract relied upon.
- 20 (3) The contract is with a vendor who has a current
- 21 exclusive or nonexclusive price agreement with the state for
- 22 the information technology to be procured, and such information
- 23 technology meets the same standards and specifications as the
- 24 items to be procured and both of the following apply:
- 25 (a) The quantity purchased does not exceed the quantity
- 26 which may be purchased under the applicable price agreement.
- 27 (b) The purchase order adequately identifies the price
- 28 agreement relied upon.
- 29 c. Contracts let by another governmental entity. The
- 30 office, on its own behalf or on the behalf of another
- 31 participating agency or governmental entity, may procure
- 32 information technology under a contract let by another agency
- 33 or other governmental entity, or approve such procurement in
- 34 the same manner by a participating agency or governmental
- 35 entity. The office, on its own behalf or on the behalf of

- 1 another participating agency or governmental entity, may also
- 2 procure information technology by leveraging an existing
- 3 competitively procured contract, other than a contract
- 4 associated with the state board of regents or an institution
- 5 under the control of the state board of regents.
- 6 d. Reverse auction.
- 7 (1) The office may enter into an agreement for the purchase
- 8 of information technology utilizing a reverse auction process.
- 9 Such process shall result in the purchase of information
- 10 technology from the vendor submitting the lowest responsible
- 11 bid amount for the information technology to be acquired. The
- 12 office, in establishing a reverse auction process, shall do all
- 13 of the following:
- 14 (a) Determine the specifications and requirements of the
- 15 information technology to be acquired.
- 16 (b) Identify and provide notice to potential vendors
- 17 concerning the proposed acquisition.
- 18 (c) Establish prequalification requirements to be met by a
- 19 vendor to be eligible to participate in the reverse auction.
- 20 (d) Conduct the reverse auction in a manner as deemed
- 21 appropriate by the office and consistent with rules adopted by
- 22 the office.
- 23 (2) Prior to conducting a reverse auction, the office
- 24 shall establish a threshold amount which shall be the maximum
- 25 amount that the office is willing to pay for the information
- 26 technology to be acquired.
- 27 (3) The office shall enter into an agreement with a
- 28 vendor who is the lowest responsible bidder which meets the
- 29 specifications or description of the information technology
- 30 to be procured, or the office may reject all bids and begin
- 31 the process again. In determining the lowest responsible
- 32 bidder, the office may consider various factors including but
- 33 not limited to the past performance of the vendor relative
- 34 to quality of product or service, the past experience of the
- 35 office in relation to the product or service, the relative

- 1 quality of products or services, the proposed terms of
- 2 delivery, and the best interest of the state.
- 3 e. Competitive bidding. The office may enter into an
- 4 agreement for the procurement or acquisition of information
- 5 technology in the same manner as provided under chapter 8A,
- 6 subchapter III, for the purchasing of service.
- 7 f. Other agreement. In addition to the competitive bidding
- 8 procedure provided for under paragraph "e", the office may
- 9 enter into an agreement for the purchase, disposal, or other
- 10 disposition of information technology in the same manner and
- ll subject to the same limitations as otherwise provided in
- 12 this chapter. The office, by rule, shall provide for such
- 13 procedures.
- 14 6. The office shall adopt rules pursuant to chapter 17A to
- 15 implement the procurement methods and procedures provided for
- 16 in subsections 2 through 5.
- 17 Sec. 23. NEW SECTION. 8B.31 IowAccess office duties and
- 18 responsibilities.
- 19 1. IowAccess. The office shall establish IowAccess as
- 20 a service to the citizens of this state that is the gateway
- 21 for one-stop electronic access to government information and
- 22 transactions, whether federal, state, or local. Except as
- 23 provided in this section, IowAccess shall be a state-funded
- 24 service providing access to government information and
- 25 transactions. The office, in establishing the fees for
- 26 value-added services, shall consider the reasonable cost of
- 27 creating and organizing such government information through
- 28 IowAccess.
- 29 2. Duties. The office shall do all of the following:
- 30 a. Establish rates to be charged for access to and for
- 31 value-added services performed through IowAccess.
- 32 b. Approve and establish the priority of projects
- 33 associated with IowAccess. The determination may also include
- 34 requirements concerning funding for a project proposed by
- 35 a political subdivision of the state or an association,

- 1 the membership of which is comprised solely of political
- 2 subdivisions of the state. Prior to approving a project
- 3 proposed by a political subdivision, the office shall verify
- 4 that all of the following conditions are met:
- 5 (1) The proposed project provides a benefit to the state.
- 6 (2) The proposed project, once completed, can be shared
- 7 with and used by other political subdivisions of the state, as
- 8 appropriate.
- 9 (3) The state retains ownership of any final product or is
- 10 granted a permanent license to the use of the product.
- c. Establish expected outcomes and effects of the use of
- 12 IowAccess and determine the manner in which such outcomes are
- 13 to be measured and evaluated.
- 14 d. Establish the IowAccess total budget request and
- 15 ensure that such request reflects the priorities and goals of
- 16 IowAccess as established by the office.
- 17 e. Advocate for access to government information and
- 18 services through IowAccess and for data privacy protection,
- 19 information ethics, accuracy, and security in IowAccess
- 20 programs and services.
- 21 f. Receive status and operations reports associated with
- 22 IowAccess.
- 3. Data purchasing. This section shall not be construed
- 24 to impair the right of a person to contract to purchase
- 25 information or data from the Iowa court information system
- 26 or any other governmental entity. This section shall not be
- 27 construed to affect a data purchase agreement or contract in
- 28 existence on April 25, 2000.
- 29 Sec. 24. NEW SECTION. 8B.32 Financial transactions.
- 30 1. Moneys paid to a participating agency from persons who
- 31 complete an electronic financial transaction with the agency by
- 32 accessing IowAccess shall be transferred to the treasurer of
- 33 state for deposit in the general fund of the state, unless the
- 34 disposition of the moneys is specifically provided for under
- 35 other law. The moneys may include all of the following:

- 1 a. Fees required to obtain an electronic public record as 2 provided in section 22.3A.
- 3 b. Fees required to process an application or file a
- 4 document, including but not limited to fees required to obtain
- 5 a license issued by a licensing authority.
- 6 c. Moneys owed to a governmental entity by a person
- 7 accessing IowAccess in order to satisfy a liability
- 8 arising from the operation of law, including the payment of
- 9 assessments, taxes, fines, and civil penalties.
- 2. Moneys transferred using IowAccess may include amounts
- 11 owed by a governmental entity to a person accessing IowAccess
- 12 in order to satisfy a liability of the governmental entity.
- 13 The moneys may include the payment of tax refunds, and the
- 14 disbursement of support payments as defined in section 252D.16
- 15 or 598.1 as required for orders issued pursuant to section
- 16 252B.14.
- 3. In addition to other forms of payment, credit cards shall
- 18 be accepted in payment for moneys owed to or fees imposed by a
- 19 governmental entity in the same manner as provided in section
- 20 8B.15.
- 21 Sec. 25. NEW SECTION. 8B.33 IowAccess revolving fund.
- 22 l. An IowAccess revolving fund is created in the state
- 23 treasury. The revolving fund shall be administered by the
- 24 office and shall consist of moneys collected by the office as
- 25 fees, moneys appropriated by the general assembly, and any
- 26 other moneys obtained or accepted by the office for deposit in
- 27 the revolving fund. The proceeds of the revolving fund are
- 28 appropriated to and shall be used by the office to maintain,
- 29 develop, operate, and expand IowAccess consistent with this
- 30 chapter, and for the support of activities of the technology
- 31 advisory council pursuant to section 8B.8.
- 32 2. The office shall submit an annual report not later than
- 33 January 31 to the members of the general assembly and the
- 34 legislative services agency of the activities funded by and
- 35 expenditures made from the revolving fund during the preceding

- 1 fiscal year. Section 8.33 does not apply to any moneys in the
- 2 revolving fund, and, notwithstanding section 12C.7, subsection
- 3 2, earnings or interest on moneys deposited in the revolving
- 4 fund shall be credited to the revolving fund.
- 5 Sec. 26. Section 8D.4, Code 2013, is amended to read as
- 6 follows:
- 7 8D.4 Executive director appointed.
- 8 The commission, in consultation with the director of
- 9 the department of administrative services and the chief
- 10 information officer, shall appoint an executive director of
- 11 the commission, subject to confirmation by the senate. Such
- 12 individual shall not serve as a member of the commission.
- 13 The executive director shall serve at the pleasure of the
- 14 commission. The executive director shall be selected primarily
- 15 for administrative ability and knowledge in the field, without
- 16 regard to political affiliation. The governor shall establish
- 17 the salary of the executive director within range nine as
- 18 established by the general assembly. The salary and support of
- 19 the executive director shall be paid from funds deposited in
- 20 the Iowa communications network fund.
- 21 Sec. 27. Section 12C.1, subsection 2, paragraph e,
- 22 subparagraph (6), Code 2013, is amended to read as follows:
- 23 (6) Moneys placed in a depository for the purpose of
- 24 completing an electronic financial transaction pursuant to
- 25 section 8A.222 8B.32 or 331.427.
- Sec. 28. Section 12C.4, Code 2013, is amended to read as
- 27 follows:
- 28 12C.4 Location of depositories.
- 29 Deposits by the treasurer of state shall be in depositories
- 30 located in this state; by a county officer or county public
- 31 hospital officer or merged area hospital officer, in
- 32 depositories located in the county or in an adjoining county
- 33 within this state; by a memorial hospital treasurer, in a
- 34 depository located within this state which shall be selected
- 35 by the memorial hospital treasurer and approved by the

- 1 memorial hospital commission; by a city treasurer or other
- 2 city financial officer, in depositories located in the county
- 3 in which the city is located or in an adjoining county, but
- 4 if there is no depository in the county in which the city is
- 5 located or in an adjoining county then in any other depository
- 6 located in this state which shall be selected as a depository
- 7 by the city council; by a school treasurer or by a school
- 8 secretary in a depository within this state which shall be
- 9 selected by the board of directors or the trustees of the
- 10 school district; by a township clerk in a depository located
- 11 within this state which shall be selected by the township
- 12 clerk and approved by the trustees of the township. However,
- 13 deposits may be made in depositories outside of Iowa for the
- 14 purpose of paying principal and interest on bonded indebtedness
- 15 of any municipality when the deposit is made not more than ten
- 16 days before the date the principal or interest becomes due.
- 17 Further, the treasurer of state may maintain an account or
- 18 accounts outside the state of Iowa for the purpose of providing
- 19 custodial services for the state and state retirement fund
- 20 accounts. Deposits made for the purpose of completing an
- 21 electronic financial transaction pursuant to section 8A.222
- $22 \ \underline{8B.32}$ or 331.427 may be made in any depository located in this
- 23 state.
- Sec. 29. Section 23A.2, subsection 10, paragraph o, Code
- 25 2013, is amended to read as follows:
- 26 o. The performance of an activity authorized pursuant to
- 27 section 8A.202 8B.21, subsection 2 1, paragraph "j" "m".
- 28 Sec. 30. Section 262.9B, subsection 3, paragraph a, Code
- 29 2013, is amended to read as follows:
- 30 a. The board shall direct institutions under its control
- 31 to cooperate with the chief information officer of the state
- 32 in efforts to cooperatively obtain information technology
- 33 and related services that result in mutual cost savings
- 34 and efficiency improvements, and shall seek input from the
- 35 department of administrative services and the chief information

- 1 officer of the state regarding specific areas of potential
- 2 cooperation between the institutions under the control of the
- 3 board and the department of administrative services office of
- 4 the chief information officer.
- 5 Sec. 31. REPEAL. Sections 8A.201, 8A.201A, 8A.202, 8A.203,
- 6 8A.204, 8A.205, 8A.206, 8A.207, 8A.221, 8A.222, and 8A.224,
- 7 Code 2013, are repealed.
- 8 Sec. 32. ADMINISTRATIVE RULES TRANSITION
- 9 PROVISIONS. Any rule, regulation, form, order, or directive
- 10 promulgated by the department of administrative services as
- 11 it relates to information technology and in effect on the
- 12 effective date of this Act shall continue in full force and
- 13 effect until amended, repealed, or supplemented by affirmative
- 14 action of the office of the chief information officer as
- 15 established in this Act.
- 16 Sec. 33. MISCELLANEOUS TRANSITION PROVISIONS.
- 17 l. Any personnel in the state merit system of employment
- 18 who are mandatorily transferred due to the effect of this Act
- 19 shall be so transferred without any loss in salary, benefits,
- 20 or accrued years of service.
- 21 2. Any funds in any account or fund of the department of
- 22 administrative services as it relates to information technology
- 23 shall be transferred to the comparable fund or account as
- 24 established and provided by this Act.
- 25 3. Any cause of action or statute of limitation relating to
- 26 the information technology duties provided by the department
- 27 of administrative services that are transferred to the office
- 28 of the chief information officer as provided by this Act shall
- 29 not be affected as a result of the transfer and such cause or
- 30 statute of limitation shall apply to the successor office.
- 31 Sec. 34. OFFICE OF THE CHIEF INFORMATION OFFICER —
- 32 INFORMATION TECHNOLOGY DEVICE INVENTORY.
- 33 1. The office of the chief information officer shall
- 34 complete an inventory of information technology devices
- 35 utilized by the office and participating agencies, as defined

- 1 in section 8B.1, as enacted by this Act. The office shall
- 2 conduct the inventory with the goal of identifying potential
- 3 information technology device upgrades, changes, or other
- 4 efficiencies that will meet the information technology needs
- 5 of the applicable department or agency at reduced cost to the
- 6 state.
- 7 2. The office shall submit a report to the general assembly
- 8 by January 1, 2014, describing the office's actions as required
- 9 by this section. The report shall, if applicable, identify
- 10 any statutory barriers or needed technology investments for
- ll pursuing efforts described in this section and shall include in
- 12 the report its findings and any recommendations for legislative
- 13 action.
- 14 Sec. 35. OFFICE OF THE CHIEF INFORMATION OFFICER —
- 15 INFORMATION TECHNOLOGY COORDINATION AND MANAGEMENT.
- 16 l. The office of the chief information officer, in
- 17 accordance with the requirements of Code section 8B.21,
- 18 subsection 1, paragraph "h", as enacted by this Act, shall
- 19 coordinate and manage information technology services within
- 20 the office, shall establish a schedule by which all departments
- 21 subject to the requirements of that Act and chapter 8B, as
- 22 enacted by this Act, shall comply with these requirements. The
- 23 schedule shall provide for implementation of the requirements
- 24 to all affected state agencies and departments by December 31,
- 25 2014. The office shall submit a copy of the schedule to the
- 26 general assembly by July 31, 2013, and shall provide periodic
- 27 updates to the general assembly on the progress of meeting the
- 28 time deadlines contained in the schedule.
- 29 2. In procuring information technology as provided in
- 30 section 8B.24, as enacted by this Act, the office should
- 31 explore strategies of procuring information technology through
- 32 leasing.
- 33 DIVISION II
- 34 PHASED RETIREMENT PROGRAM
- 35 Sec. 36. Section 70A.30, Code 2013, is amended to read as

- 1 follows:
- 2 70A.30 Establishment of phased retirement program.
- There is established The department of administrative
- 4 services may establish a voluntary employee phased retirement
- 5 incentive program for full-time state employees who are at
- 6 least sixty years of age and have completed at least twenty
- 7 years as full-time state employees.
- The A phased retirement incentive program established
- 9 by the department of administrative services is a retirement
- 10 system for purposes of section 20.9, but is not retirement
- 11 for purposes of chapter 97A, 97B, or 602 or for the
- 12 employees who are members of the teachers insurance annuity
- 13 association-college retirement equities fund (TIAA-CREF).
- 14 Sec. 37. REPEAL. Sections 70A.31, 70A.32, 70A.33, and
- 15 70A.34, Code 2013, are repealed.
- 16 Sec. 38. PHASED RETIREMENT PROGRAM TRANSITION PROVISIONS
- 17 STANDING APPROPRIATION.
- 18 1. State employees who are participating in the phased
- 19 retirement program established by sections 70A.30 through
- 20 70A.34, Code 2013, as of the effective date of this Act shall
- 21 remain in the program and be eligible for the benefits of the
- 22 program as provided prior to the effective date of this Act.
- 23 2. For state employees who became participants in the phased
- 24 retirement program prior to the effective date of this Act, the
- 25 department of administrative services shall, annually after
- 26 June 30 of each fiscal year, determine the cost during the
- 27 preceding fiscal year to the Iowa public employees' retirement
- 28 fund of continued participation of such state employees in
- 29 the phased retirement program as authorized by this section.
- 30 Annually, there is appropriated from the fund from which
- 31 the participating employees are paid to the Iowa public
- 32 employees' retirement fund an amount sufficient to reimburse
- 33 the retirement fund for the costs of the phased retirement
- 34 program for those state employees who became participants in
- 35 the program prior to the effective date of this Act.

1 DIVISION III

- 2 HUMAN RESOURCE MANAGEMENT
- 3 Sec. 39. Section 8A.402, subsection 1, Code 2013, is amended
- 4 by adding the following new paragraph:
- 5 NEW PARAGRAPH. i. The development and implementation of
- 6 a plan to centralize the human resource management functions
- 7 for state executive branch agencies within the department,
- 8 except for institutions under the control of the state board
- 9 of regents.
- 10 Sec. 40. DEPARTMENT OF ADMINISTRATIVE SERVICES —
- 11 CENTRALIZED HUMAN RESOURCE MANAGEMENT.
- 12 1. The director of the department of administrative
- 13 services shall develop and implement a plan to centralize
- 14 the human resource management functions for executive branch
- 15 agencies under the department of administrative services,
- 16 except for institutions under the control of the state board of
- 17 regents, by December 15, 2015.
- 18 2. The centralized human resource management plan shall do
- 19 all of the following:
- 20 a. Identify the human resource duties and processes being
- 21 utilized by each agency.
- 22 b. Identify the positions being utilized by the agencies to
- 23 perform the human resource duties.
- 24 c. Establish best practices for a consolidated human
- 25 resources model and identify the estimated cost savings that
- 26 will result from implementation of the plan.
- 27 d. Detail and implement an organizational structure to
- 28 support a fully consolidated human resources model.
- 29 e. Identify space, technology, and equipment needs, and
- 30 acquire and implement such tools and resources in support of
- 31 the consolidated human resources model. Such efforts shall be
- 32 done in collaboration with the state chief information officer
- 33 and the general services, state accounting, and information
- 34 technology enterprises of the department of administrative
- 35 services.

- 1 f. Establish a comprehensive budget to be used and establish
- 2 the utility rate to be charged each agency as a result of the
- 3 consolidation.
- 4 q. Establish detailed timelines for transition and
- 5 communicate the timelines to the agencies.
- State executive branch agencies, except for institutions
- 7 under the control of the state board of regents, shall do all
- 8 of the following:
- 9 a. Provide the department of administrative services with
- 10 all of the following information:
- 11 (1) Information regarding the human resource duties and
- 12 responsibilities being performed by agency staff.
- 13 (2) The direct and indirect costs associated with agency
- 14 staff performing human resource duties.
- 15 (3) Information about the human resource information and
- 16 records storage systems being used to perform human resource
- 17 work.
- 18 b. Adjust internal staffing as required in the centralized
- 19 human resource management plan developed by the department of
- 20 administrative services.
- 21 c. Agencies outside of the department of administrative
- 22 services shall not hire or replace any staff for the
- 23 purposes of conducting human resource work. The department
- 24 of administrative services shall partner with agencies
- 25 to transition and consolidate work in the human resource
- 26 enterprise of the department of administrative services.
- 27 d. Transition to the human resources and payroll systems
- 28 selected and operated by the department of administrative
- 29 services pursuant to timelines identified by the department of
- 30 administrative services.
- 31 e. Adhere to all objectives and timelines required in the
- 32 centralized human resource management plan developed by the
- 33 department of administrative services.
- 34 DIVISION IV
- 35 STATE PHYSICAL RESOURCES

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1
      Sec. 41.
                STATE EMPLOYEE WORK ENVIRONMENT ANALYSIS
 2 AND REPORT. By September 30, 2013, the department of
 3 administrative services shall conduct a high level needs
 4 analysis of state employee work stations and office standards,
 5 assessing adequate square footage needs, and creating healthy,
 6 productive, and efficient work environments in an economical
 7 manner. Overall objectives of the analysis shall include
 8 improving employee density; properly allocating space for
 9 individual and group work; improving worker health and
10 safety; improving technology integration; and improving energy
11 efficiency and sustainability in state offices. The department
12 shall submit findings and recommendations to the capitol
13 planning commission and to the legislative fiscal committee by
14 October 30, 2013.
15
                              DIVISION V
16
                                AUDITS
      Sec. 42.
17
               Section 11.6, subsection 10, Code 2013, is amended
18 to read as follows:
          The auditor of state shall adopt rules in accordance
20 with chapter 17A to establish and collect a filing fee for
21 the filing of each report of audit or examination conducted
22 pursuant to subsections 1 through 3 subsection 1, paragraphs "a"
23 and "c", subsection 2, and subsection 3. The funds collected
24 shall be maintained in a segregated account for use by the
25 office of the auditor of state in performing audits conducted
26 pursuant to subsection 4 and for work paper reviews conducted
27 pursuant to subsection 5. Any funds collected by the auditor
28 pursuant to subsection 4 shall be deposited in this account.
29 Notwithstanding section 8.33, the funds in this account shall
30 not revert at the end of any fiscal year.
      Sec. 43. EFFECTIVE UPON ENACTMENT. This division of this
31
32 Act, being deemed of immediate importance, takes effect upon
33 enactment.
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ec/rj

DIVISION VI ELECTRONIC COMMUNICATIONS

34

35

- 1 Sec. 44. Section 22.7, Code 2013, is amended by adding the
- 2 following new subsection:
- 3 NEW SUBSECTION. 65. Electronic mail addresses of
- 4 individuals collected by state departments and agencies for the
- 5 sole purpose of disseminating routine information and notices
- 6 through electronic communications that are not prepared for a
- 7 specific recipient.
- 8 Sec. 45. STATE DEPARTMENT AND AGENCY LIMITATIONS ON
- 9 MAIL. Notwithstanding any provision of the law to the
- 10 contrary, a state department or agency shall provide
- 11 departmental or agency notices or information through the
- 12 department's or agency's internet site or through electronic
- 13 mail to the fullest extent possible. This requirement shall
- 14 not apply to department and agency communications required for
- 15 purposes of pursuing legal action or to comply with federal
- 16 law. Departments and agencies shall have rulemaking authority
- 17 to implement this section and to collect electronic mail
- 18 addresses for the purpose of electronic communications.
- 19 DIVISION VII
- 20 STATE RECORDS
- 21 Sec. 46. Section 96.11, subsection 11, Code 2013, is amended
- 22 to read as follows:
- 23 11. Destruction of records. The department may destroy
- 24 or dispose of such original reports or records as have been
- 25 properly recorded or summarized in the permanent records of
- 26 the department and are deemed by the director and the state
- 27 records commission department of cultural affairs to be no
- 28 longer necessary to the proper administration of this chapter.
- 29 Wage records of the individual worker or transcripts therefrom
- 30 may be destroyed or disposed of, if approved by the state
- 31 records commission department of cultural affairs, two years
- 32 after the expiration of the period covered by such wage records
- 33 or upon proof of the death of the worker. Such destruction
- 34 or disposition shall be made only by order of the director in
- 35 consultation with the state records commission department of

- 1 cultural affairs. Any moneys received from the disposition of
- 2 such records shall be deposited to the credit of the employment
- 3 security administration fund, subject to rules promulgated by
- 4 the department.
- 5 Sec. 47. Section 305.2, subsection 2, Code 2013, is amended
- 6 to read as follows:
- 7 2. "Archives" means records that have been appraised by
- 8 the state records commission department as having sufficient
- 9 historical, research, evidential, or informational value to
- 10 warrant permanent preservation and that have been transferred
- 11 to the custody of the state archives.
- 12 Sec. 48. Section 305.2, subsections 3 and 5, Code 2013, are
- 13 amended by striking the subsections.
- 14 Sec. 49. Section 305.2, Code 2013, is amended by adding the
- 15 following new subsection:
- 16 NEW SUBSECTION. 4A. "Department" means the department of
- 17 cultural affairs.
- 18 Sec. 50. Section 305.2, subsection 12, Code 2013, is amended
- 19 to read as follows:
- 20 12. "Records series retention and disposition schedule"
- 21 means a timetable established by the state records commission
- 22 department that describes the length of time a records series
- 23 of an agency or multiple agencies must be retained in active
- 24 and inactive status and provides authorization for a final
- 25 disposition of the records series by destruction or permanent
- 26 retention.
- 27 Sec. 51. Section 305.4, unnumbered paragraph 1, Code 2013,
- 28 is amended to read as follows:
- 29 The commission department shall adopt government information
- 30 policies, standards, and guidelines to do all of the following:
- 31 Sec. 52. Section 305.8, subsection 1, unnumbered paragraph
- 32 1, Code 2013, is amended to read as follows:
- 33 The commission department shall do all of the following:
- 34 Sec. 53. Section 305.8, subsection 1, Code 2013, is amended
- 35 by adding the following new paragraph:

- 1 NEW PARAGRAPH. Oe. Provide training, advice, and counsel
- 2 to agencies on government information policies, standards, and
- 3 quidelines.
- 4 Sec. 54. Section 305.8, subsection 1, Code 2013, is amended
- 5 by adding the following new paragraphs:
- 6 NEW PARAGRAPH. Of. Develop and distribute operating
- 7 procedures for agencies to use to implement the plans,
- 8 policies, standards, and guidelines adopted by the department.
- 9 NEW PARAGRAPH. 00f. Manage any centralized records storage
- 10 facility established by the department for the temporary
- 11 storage of agency records prior to their final disposition by
- 12 destruction or permanent preservation in accordance with the
- 13 records series retention and disposition schedules.
- 14 NEW PARAGRAPH. 000f. Appoint a state archivist to head the
- 15 state archives and records program.
- 16 NEW PARAGRAPH. 0000f. Manage the state archives and develop
- 17 operating procedures for the transfer, accession, arrangement,
- 18 description, preservation, protection, and public access of
- 19 those records the department identifies as having permanent
- 20 value.
- 21 NEW PARAGRAPH. 00000f. Maintain physical custody and legal
- 22 custody of archives that have been transferred and delivered
- 23 to the state archives.
- 24 (1) Upon receipt by the state archivist, the archives shall
- 25 not be removed without the state archivist's consent except in
- 26 response to a subpoena of a court of record or in accordance
- 27 with approved records series retention and disposition
- 28 schedules or after review and approval of the department.
- 29 (2) Upon request, the state archivist shall make a certified
- 30 copy of any record in the legal custody or in the physical
- 31 custody of the state archivist, or a certified transcript
- 32 of any record if reproduction is inappropriate because of
- 33 legal or physical considerations. If a copy or transcript is
- 34 properly authenticated, it has the same legal effect as though
- 35 certified by the officer from whose office it was transferred

- 1 or by the secretary of state. The department shall establish
- 2 reasonable fees for certified copies or certified transcripts
- 3 of records in the legal custody or physical custody of the
- 4 state archivist.
- 5 NEW PARAGRAPH. 000000f. Establish, maintain, and administer
- 6 an archive of records created and maintained in electronic
- 7 format in order to preserve and provide public access to state
- 8 government records identified as having permanent historical
- 9 value by the department.
- 10 Sec. 55. Section 305.8, subsection 1, Code 2013, is amended
- 11 by adding the following new paragraph:
- 12 NEW PARAGRAPH. Oi. Establish rates to be charged an agency
- 13 by the department for storage and retention of records of
- 14 the agency in a records storage facility maintained by the
- 15 department. Rates established shall be reviewed annually by
- 16 the department and shall be reasonably related to the cost of
- 17 storing and retaining records of an agency.
- 18 Sec. 56. Section 305.8, subsection 2, unnumbered paragraph
- 19 1, Code 2013, is amended to read as follows:
- 20 The commission department may do all of the following:
- 21 Sec. 57. Section 305.8, subsection 2, Code 2013, is amended
- 22 by adding the following new paragraph:
- 23 NEW PARAGRAPH. Og. Upon written consent of the state
- 24 archivist, accept records of political subdivisions that are
- 25 voluntarily transferred to the state archives.
- Sec. 58. Section 305.8, subsection 2, paragraph e, Code
- 27 2013, is amended to read as follows:
- 28 e. Make, or cause to be made, preservation duplicates of
- 29 records, which may include existing copies of original state
- 30 records. Any preservation duplicate record shall be durable,
- 31 accurate, complete, and clear, and shall be made by means
- 32 designated by the commission department.
- 33 Sec. 59. NEW SECTION. 305.8A Records retention and storage
- 34 costs billing internal service fund.
- 35 1. The department may bill an agency for records storage

- 1 and retention services rendered by the department pursuant to
- 2 the rates established by the department for these services.
- 3 The department shall periodically render a billing statement
- 4 to an agency outlining the cost of services provided. The
- 5 amount indicated on the statement shall be paid by the agency
- 6 and amounts received by the department shall be considered
- 7 repayment receipts as defined in section 8.2, and deposited
- 8 into the accounts of the department.
- 9 2. a. The department may establish and maintain an internal
- 10 service fund in accordance with generally accepted accounting
- 11 principles, as defined in section 8.57, for the records storage
- 12 and retention activities of the department which are primarily
- 13 funded from billings to agencies for services rendered by the
- 14 department.
- 15 b. The internal service fund shall be administered by
- 16 the department and shall consist of moneys collected by the
- 17 department from billings issued in accordance with this section
- 18 and any other moneys obtained or accepted by the department,
- 19 including but not limited to gifts, loans, donations, grants,
- 20 and contributions, which are designated to support the
- 21 activities of the internal service fund.
- 22 c. The proceeds of the internal service fund established
- 23 pursuant to this section shall be used by the department
- 24 for the operations of the department in records storage and
- 25 retention consistent with this chapter.
- 26 d. Section 8.33 does not apply to any moneys in the
- 27 internal service fund established pursuant to this section.
- 28 Notwithstanding section 12C.7, subsection 2, interest or
- 29 earnings on moneys deposited in the fund shall be credited to
- 30 the fund.
- 31 e. The director of the department shall annually provide
- 32 financial information and reports relative to the internal
- 33 service fund established pursuant to this section to the
- 34 department of management and the general assembly. The
- 35 information provided may include the recommendation that a

- 1 portion of unexpended net income be periodically returned to
- 2 the appropriate funding source.
- 3 Sec. 60. Section 305.10, subsection 1, paragraphs c, d, e,
- 4 f, and j, Code 2013, are amended to read as follows:
- 5 c. Cooperate with the state records commission department
- 6 and the state archives and records program in the development
- 7 and implementation of government information policies,
- 8 standards, and quidelines, and in the development and
- 9 implementation of records series retention and disposition
- 10 schedules.
- 11 d. Comply with requests from the state records commission
- 12 or department and the state archives and records program to
- 13 examine records in the possession, constructive possession, or
- 14 control of the agency in order to carry out the purposes of
- 15 this chapter.
- 16 e. Inventory agency records in accordance with state
- 17 records commission department policies to draft records series
- 18 retention and disposition schedules.
- 19 f. Identify vital operating records in accordance with
- 20 the policies, standards, and guidelines of the state records
- 21 commission department.
- 22 j. Provide for compliance with this chapter and the rules
- 23 adopted by the state records commission department.
- Sec. 61. Section 305.10, subsection 2, Code 2013, is amended
- 25 to read as follows:
- 26 2. Agency heads may petition the state records commission
- 27 department to create or modify government information policies,
- 28 standards, and guidelines, and to create or modify records
- 29 series retention and disposition schedules.
- 30 Sec. 62. Section 305.11, Code 2013, is amended to read as
- 31 follows:
- 32 305.11 Termination of state agency records transfer.
- 33 Upon the termination of a state agency whose functions have
- 34 not been transferred to another agency, custody of the records
- 35 of the agency shall transfer to the commission department.

- 1 Sec. 63. Section 305.14, Code 2013, is amended to read as 2 follows:
- 3 305.14 Liability precluded.
- 4 No member An employee of the commission department or head
- 5 of an agency shall not be held liable for damages or loss, or
- 6 civil or criminal liability, because of the destruction of
- 7 public records pursuant to the provisions of this chapter or
- 8 any other law authorizing their destruction.
- 9 Sec. 64. Section 305.15, Code 2013, is amended to read as 10 follows:
- 11 305.15 Exemptions duties of state department of
- 12 transportation and state board of regents.
- 13 The state department of transportation and the agencies and
- 14 institutions under the control of the state board of regents
- 15 are exempt from the state records manual and the provisions of
- 16 this chapter. However, the state department of transportation
- 17 and the state board of regents shall adopt rules pursuant to
- 18 chapter 17A for their employees, agencies, and institutions
- 19 that are consistent with the objectives of this chapter.
- 20 The rules shall be approved by the state records commission
- 21 department.
- Sec. 65. Section 305.16, subsection 6, paragraph b,
- 23 subparagraph (1), Code 2013, is amended to read as follows:
- 24 (1) Serve in an advisory capacity to the state records
- 25 commission department, the state archives and records program,
- 26 and other statewide archival or records agencies.
- 27 Sec. 66. Section 321.31, subsection 1, paragraph b, Code
- 28 2013, is amended to read as follows:
- 29 b. The department may make photostatic, microfilm, or other
- 30 photographic copies of certificates of title, registration
- 31 receipts, or other records, reports or documents which are
- 32 required to be retained by the department. When copies have
- 33 been made, the department may destroy the original records in
- 34 such manner as prescribed by the director. The photostatic,
- 35 microfilm, or other photographic copies, when no longer of use,

- 1 may be destroyed in the manner prescribed by the director,
- 2 subject to the approval of the state records commission
- 3 department of cultural affairs. Photostatic, microfilm, or
- 4 other photographic copies of records shall be admissible in
- 5 evidence when duly certified and authenticated by the officer
- 6 having custody and control of the copies of records. Records
- 7 of vehicle certificates of title may be destroyed seven years
- 8 after the date of issue.
- 9 Sec. 67. REPEAL. Sections 305.3, 305.5, 305.6, 305.7, and
- 10 305.9, Code 2013, are repealed.
- 11 Sec. 68. ADMINISTRATIVE RULES TRANSITION PROVISIONS.
- 12 l. Any rule, regulation, form, order, or directive
- 13 promulgated by the state records commission relative to the
- 14 provisions of this division of this Act in existence on the
- 15 effective date of this division of this Act shall continue in
- 16 full force and effect until amended, repealed, or supplemented
- 17 by affirmative action of the department of cultural affairs
- 18 under the duties and powers established in this division of
- 19 this Act and under the procedure established in subsection 2.
- 20 2. In regard to updating references and format in the Iowa
- 21 administrative code in order to correspond to the transferring
- 22 of duties as established in this division of this Act, the
- 23 administrative rules coordinator and the administrative rules
- 24 review committee, in consultation with the administrative code
- 25 editor, shall jointly develop a schedule for the necessary
- 26 updating of the Iowa administrative code.
- 27 DIVISION VIII
- 28 PUBLIC HEALTH
- 29 Sec. 69. Section 135.11, subsection 24, Code 2013, is
- 30 amended by striking the subsection.
- 31 Sec. 70. Section 135.11, subsection 28, Code 2013, is
- 32 amended to read as follows:
- 33 28. In consultation with the advisory committee for
- 34 perinatal guidelines, develop Develop and maintain the
- 35 statewide perinatal program based on the recommendations of

- 1 the American academy of pediatrics and the American college
- 2 of obstetricians and gynecologists contained in the most
- 3 recent edition of the guidelines for perinatal care, and shall
- 4 adopt rules in accordance with chapter 17A to implement those
- 5 recommendations. Hospitals within the state shall determine
- 6 whether to participate in the statewide perinatal program,
- 7 and select the hospital's level of participation in the
- 8 program. A hospital having determined to participate in the
- 9 program shall comply with the guidelines appropriate to the
- 10 level of participation selected by the hospital. Perinatal
- 11 program surveys and reports are privileged and confidential
- 12 and are not subject to discovery, subpoena, or other means
- 13 of legal compulsion for their release to a person other than
- 14 the affected hospital, and are not admissible in evidence in a
- 15 judicial or administrative proceeding other than a proceeding
- 16 involving verification of the participating hospital under this
- 17 subsection.
- 18 Sec. 71. Section 147A.24, subsection 1, paragraph q, Code
- 19 2013, is amended by striking the paragraph and inserting in
- 20 lieu thereof the following:
- 21 q. Iowa's Medicare quality improvement organization.
- 22 Sec. 72. Section 147A.24, subsection 4, Code 2013, is
- 23 amended by adding the following new paragraph:
- NEW PARAGRAPH. h. Develop, implement, and conduct trauma
- 25 care system evaluation, quality assessment, and quality
- 26 improvement.
- Sec. 73. Section 147A.24, Code 2013, is amended by adding
- 28 the following new subsection:
- 29 NEW SUBSECTION. 5. Proceedings, records, and reports
- 30 developed pursuant to this section constitute peer review
- 31 records under section 147.135, and are not subject to discovery
- 32 by subpoena or admissible as evidence. All information and
- 33 documents received from a hospital or emergency care facility
- 34 under this subchapter shall be confidential pursuant to section
- 35 272C.6, subsection 4.

- 1 Sec. 74. Section 147A.26, subsection 1, Code 2013, is 2 amended to read as follows:
- The department shall maintain a statewide trauma
- 4 reporting system by which the system evaluation and quality
- 5 improvement committee, the trauma system advisory council, and
- $\ensuremath{\mathbf{6}}$ the department may monitor the effectiveness of the statewide
- 7 trauma care system.
- 8 Sec. 75. REPEAL. Section 147A.25, Code 2013, is repealed.
- 9 Sec. 76. REPEAL. Chapter 135N, Code 2013, is repealed.
- 10 DIVISION IX
- 11 PUBLIC SAFETY PEACE OFFICERS' RETIREMENT SYSTEM
- 12 Sec. 77. Section 97A.6, subsection 7, paragraph a,
- 13 subparagraph (1), Code 2013, is amended to read as follows:
- 14 (1) Should any beneficiary for either ordinary or
- 15 accidental disability, except a beneficiary who is fifty-five
- 16 years of age or over and would have completed twenty-two years
- 17 of service if the beneficiary had remained in active service,
- 18 be engaged in a gainful occupation paying more than the
- 19 difference between the member's net retirement allowance and
- 20 one two and one-half times the current earnable compensation
- 21 of an active member at the same position on the salary scale
- 22 within the member's rank as the member held at retirement, then
- 23 the amount of the retirement allowance shall be reduced to an
- 24 amount such that the member's net retirement allowance plus the
- 25 amount earned by the member shall equal one two and one-half
- 26 times the amount of the current earnable compensation of an
- 27 active member at the same position on the salary scale within
- 28 the member's rank as the member held at retirement. Should
- 29 the member's earning capacity be later changed, the amount of
- 30 the retirement allowance may be further modified, provided
- 31 that the new retirement allowance shall not exceed the amount
- 32 of the retirement allowance originally granted adjusted by
- 33 annual readjustments of pensions pursuant to subsection 14 of
- 34 this section nor an amount which would cause the member's net
- 35 retirement allowance, when added to the amount earned by the

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1 beneficiary, to equal one two and one-half times the amount
 2 of the current earnable compensation of an active member at
 3 the same position on the salary scale within the member's rank
 4 as the member held at retirement. A beneficiary restored
 5 to active service at a salary less than the average final
 6 compensation upon the basis of which the member was retired at
 7 age fifty-five or greater, shall not again become a member of
 8 the retirement system and shall have the member's retirement
 9 allowance suspended while in active service. If the rank or
10 position held by the retired member is subsequently abolished,
11 adjustments to the allowable limit on the amount of income
12 which can be earned in a gainful occupation shall be computed
13 in the same manner as provided in subsection 14, paragraph c,
14 of this section for readjustment of pensions when a rank or
15 position has been abolished. If the salary scale associated
16 with a member's rank at retirement is changed after the member
17 retires, earnable compensation for purposes of this section
18 shall be based upon the salary an active member currently
19 would receive at the same rank and with seniority equal to
20 that of the retired member at the time of retirement.
21 purposes of this paragraph, "net retirement allowance" means
22 the amount determined by subtracting the amount paid during the
23 previous calendar year by the beneficiary for health insurance
24 or similar health care coverage for the beneficiary and the
25 beneficiary's dependents from the amount of the member's
26 retirement allowance paid for that year pursuant to this
27 chapter. The beneficiary shall submit sufficient documentation
28 to the board of trustees to permit the system to determine the
29 member's net retirement allowance for the applicable year.
30
                              DIVISION X
31
                   REPORT - STATE DEBT COORDINATOR
               DEPARTMENT OF REVENUE AND OFFICE OF THE STATE
32
33 DEBT COORDINATOR - REPORT. The director of revenue shall
34 develop and recommend legislative proposals deemed necessary
35 for the continued efficiency of the functions of the office of
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- 1 the state debt coordinator established in section 421C.1, and
- 2 shall prepare and file a report detailing the recommendations.
- 3 The report shall be filed by the director of revenue with
- 4 the department of management, the governor, and the general
- 5 assembly no later than January 13, 2014.
- 6 DIVISION XI
- 7 ONGOING PROGRAM REVIEW
- 8 Sec. 79. Section 2.69, subsection 4, Code 2013, is amended
- 9 by adding the following new paragraph:
- 10 NEW PARAGRAPH. Oc. Comprehensively review on a regular
- 11 basis the programs and projects administered by state
- 12 government to determine whether each program and project
- 13 reviewed is effectively and efficiently meeting the needs for
- 14 which created, and whether the needs remain applicable. The
- 15 review shall consider whether modifications to the program or
- 16 project reviewed could better meet the needs identified in a
- 17 more effective manner.
- 18 DIVISION XII
- 19 BOARDS AND COMMISSIONS
- Sec. 80. Section 190A.3, subsection 3, Code 2013, is amended
- 21 to read as follows:
- 22 3. The farm-to-school council department of agriculture
- 23 and land stewardship and the department of education shall
- 24 seek to establish partnerships with public agencies and
- 25 nonprofit organizations to implement a structure to facilitate
- 26 communication between farmers and schools.
- 27 Sec. 81. Section 190A.3, subsection 4, Code 2013, is amended
- 28 to read as follows:
- 29 4. The farm-to-school council department of agriculture and
- 30 land stewardship and the department of education shall actively
- 31 seek financial or in-kind contributions from organizations or
- 32 persons to support the program.
- 33 Sec. 82. Section 256.9, subsection 55, paragraph j, Code
- 34 2013, is amended by striking the paragraph.
- 35 Sec. 83. REPEAL. Section 190A.2, Code 2013, is repealed.

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1 DIVISION XIII 2 **OBSOLETE PROVISIONS** 3 Sec. 84. REPEAL. Section 15.112, Code 2013, is repealed. REPEAL. 4 Sec. 85. Chapters 15C and 15D, Code 2013, are 5 repealed. **EXPLANATION** 7 This bill relates to government efficiency, including other 8 matters related to the operation of state and local government. DIVISION I - GOVERNMENT INFORMATION TECHNOLOGY SERVICES. 10 This division transfers the information technology 11 functions and chief information officer of the department of 12 administrative services (DAS) to a new independent office 13 of the chief information officer that is attached to the 14 department of administrative services. 15 Code section 8A.101, the definitions provision for DAS, is 16 amended to provide that the definitions also apply to the Code 17 chapter creating the new office. Code section 8A.104, describing the powers and duties of the 18 19 director of DAS, is amended to provide that the director shall 20 provide assistance and administrative support services to the 21 new office as necessary. 22 New Code chapter 8B establishes the office of the chief 23 information officer directed by the chief information officer 24 of the state. The new Code chapter includes provisions of Code chapter 26 8A that apply generally to DAS to include provisions in Code 27 chapter 8A on prohibited interests, acceptance of funds, 28 federal funds, services to governmental entities and nonprofit 29 organizations, internal service funds, additional personnel, 30 billing, debts, and liabilities. Concerning information technology, the provisions in 31 32 Code chapter 8A, subchapter II, providing for, in part, the

33 appointment of a chief information officer and the information 34 technology duties and services provided by DAS, are moved to

35 new Code chapter 8B.

- 1 In addition, the powers and duties of the chief information
- 2 officer, currently described in Code section 8A.203 and moved
- 3 to new Code section 8B.4 in the bill, are expanded from current
- 4 law to give the chief information officer the authority to
- 5 adopt rules, prepare a budget, adopt rules regarding the
- 6 approval of information technology budgets of other agencies,
- 7 and administer all accounting, billing, and collection
- 8 functions required by DAS.
- 9 New Code section 8B.21, concerning information technology
- 10 services currently described in Code section 8A.202, also
- ll includes new provisions directing the new office to establish
- 12 an enterprise strategic and project management function for
- 13 oversight of all information technology-related projects and
- 14 resources of participating agencies and requiring that security
- 15 policies and systems developed by the new office be consistent
- 16 with the state's data transparency efforts.
- 17 The bill also includes transition provisions governing
- 18 administrative rules, personnel moved from DAS to the new
- 19 office, transfer of funds to the new office, and information
- 20 technology-related causes of action.
- 21 The division directs the new office to conduct an inventory
- 22 of information technology devices utilized by state agencies
- 23 with the goal of identifying possibilities to reduce costs.
- 24 The new office is required to submit a report to the general
- 25 assembly by January 1, 2014, concerning the results of the
- 26 inventory.
- 27 The division also directs the new office to establish a
- 28 schedule for departments to comply with information technology
- 29 coordination and management requirements of Code chapter
- 30 8B. In addition, the new office is encouraged to procure
- 31 information technology for participating agencies through
- 32 leasing.
- 33 DIVISION II PHASED RETIREMENT PROGRAM. This division
- 34 repeals the phased retirement program for state employees.
- 35 Code section 70A.30 is amended to authorize, but not require,

- 1 the department of administrative services to establish a phased
- 2 retirement program. A transition provision allows those state
- 3 employees currently participating in the phased retirement
- 4 program repealed by the bill to continue participation in
- 5 the program after the effective date of this division of
- 6 the bill. The bill provides for continuation of a standing
- 7 appropriation to the Iowa public employees' retirement fund for
- 8 such continued participation.
- 9 DIVISION III HUMAN RESOURCE MANAGEMENT. This division
- 10 concerns human resource management for state executive branch
- 11 agencies. The bill requires the department of administrative
- 12 services to centralize the human resource management functions
- 13 for executive branch agencies under the department of
- 14 administrative services, except for institutions under the
- 15 control of the state board of regents, by December 15, 2015.
- 16 The bill amends Code section 8A.402(1) by requiring that the
- 17 department develop and implement the plan. The bill provides
- 18 the elements that must be included in the centralized human
- 19 resource management plan and describes what applicable state
- 20 executive branch agencies must do relative to developing and
- 21 implementing the centralized plan.
- 22 DIVISION IV STATE PHYSICAL RESOURCES. This division
- 23 of the bill requires that DAS conduct an analysis of state
- 24 employee workstations and office standards by September 30,
- 25 2013. The division further requires the department to submit
- 26 findings and recommendations to the capitol planning commission
- 27 and the legislative fiscal committee by October 30, 2013.
- 28 DIVISION V AUDITS. This division concerns audit costs and
- 29 filing fees for the filing of certain audits or examinations
- 30 conducted by the auditor of state.
- 31 Code section 11.6(10) is amended to eliminate the authority
- 32 of the auditor to establish and collect a filing fee relative
- 33 to certain audits conducted on certain mental health centers,
- 34 substance abuse programs, and community action agencies.
- 35 The division takes effect upon enactment.

- 1 DIVISION VI ELECTRONIC COMMUNICATIONS. This division
- 2 provides that each state department and agency shall provide
- 3 departmental or agency notices or information through the
- 4 department's or agency's internet site or through electronic
- 5 mail to the fullest extent possible. Code section 22.7,
- 6 concerning confidential public records, is amended to provide
- 7 that electronic mail addresses of individuals collected
- 8 by state departments and agencies for the sole purpose
- 9 of disseminating routine information and notices through
- 10 electronic communications that are not prepared for a specific
- 11 recipient shall be considered confidential.
- 12 DIVISION VII STATE RECORDS. This division eliminates
- 13 the state records commission and transfers the duties and
- 14 responsibilities of the state records commission to the
- 15 department of cultural affairs. The division includes a
- 16 transition provision that any rule promulgated by the state
- 17 records commission shall continue until changed by the
- 18 department of cultural affairs.
- 19 The division also authorizes the department of cultural
- 20 affairs to bill agencies for records storage and retention.
- 21 Code section 305.8 is amended to provide that the department
- 22 of cultural affairs establish rates to charge agencies for
- 23 providing records storage and retention services. New Code
- 24 section 305.8A authorizes the department of cultural affairs
- 25 to bill agencies for records storage and retention services,
- 26 establish an internal service fund for receipt of moneys from
- 27 agencies billed for this purpose, and authorizes the department
- 28 to utilize moneys received and deposited in the fund for the
- 29 operations of the department in records storage and retention.
- 30 DIVISION VIII PUBLIC HEALTH. This division concerns the
- 31 department of public health.
- Code section 135.11 is amended to eliminate the requirement
- 33 of the department to establish an abuse education review panel
- 34 and the advisory committee for perinatal guidelines.
- 35 Code section 147A.25, establishing a system evaluation and

- 1 quality improvement committee, is repealed and the duties
- 2 transferred to the trauma system advisory council. The bill
- 3 also adds a representative to the trauma system advisory
- 4 council from Iowa's Medicare quality improvement organization
- 5 in lieu of the state emergency medical services medical
- 6 director.
- 7 Code chapter 135N, establishing a hemophilia advisory
- 8 committee, is repealed.
- 9 DIVISION IX PUBLIC SAFETY PEACE OFFICERS' RETIREMENT
- 10 SYSTEM. This division concerns the recalculation of an
- 11 accidental or ordinary disability retirement benefit for a
- 12 beneficiary under 55 years of age under the Public Safety
- 13 Peace Officers' Retirement, Accident, and Disability system
- 14 (PORS). The bill provides that a beneficiary shall have
- 15 their disability retirement benefit reduced equal to the
- 16 difference in income the beneficiary is receiving from other
- 17 work and two and one-half times the amount of the current
- 18 earnable compensation of an active member of PORS at the same
- 19 position on the salary scale as the disability beneficiary less
- 20 the disability beneficiary's net retirement allowance. Net
- 21 retirement allowance is defined as the disability beneficiary's
- 22 retirement allowance minus certain health insurance costs.
- 23 Current law reduces the disability benefit if the disability
- 24 beneficiary's income from other employment is one and one-half
- 25 times the amount of an active member of PORS minus the net
- 26 retirement allowance.
- 27 DIVISION X REPORT STATE DEBT COORDINATOR. This
- 28 division establishes a report to be prepared and filed by the
- 29 director of revenue. The director will develop and recommend
- 30 legislative proposals deemed necessary for the office of the
- 31 state debt coordinator, which shall be compiled in a report and
- 32 filed with the department of management, the governor, and the
- 33 general assembly no later than January 13, 2014.
- 34 DIVISION XI ONGOING PROGRAM REVIEW. This division
- 35 amends Code section 2.69, establishing the legislative

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- 1 state government efficiency review committee, to provide
- 2 that the committee also conduct a comprehensive review on a
- 3 regular basis of programs and projects administered by state
- 4 government.
- 5 DIVISION XII BOARDS AND COMMISSIONS. The division
- 6 repeals the farm-to-school council.
- 7 DIVISION XIII OBSOLETE PROVISIONS. This division repeals
- 8 Code section 15.112, relating to matching funds for a farmworks
- 9 national demonstration project; Code chapter 15C, relating to
- 10 a world trade center; and Code chapter 15D, relating to the
- 11 midwest nuclear compact, which contains provisions relating to
- 12 repeal and withdrawal from the compact.