SENATE FILE \_\_\_\_\_\_ BY COMMITTEE ON APPROPRIATIONS

(SUCCESSOR TO SF 2378) (SUCCESSOR TO SSB 3164)

 Passed Senate, Date
 Passed House, Date

 Vote:
 Ayes

 Approved
 Vote:

\_\_\_\_\_

## A BILL FOR

1 An Act relating to open records and public meetings and providing 2 an effective date. 3 BE IT ENACTED BY THE GENERAL ASSEMBLY OF THE STATE OF IOWA:

4 TLSB 5233SZ 82 5 rh/rj/14

PAG LIN

Section 1. Section 8A.341, subsection 2, Code 2007, is 1 1 1 2 amended to read as follows: 1 3 2. If money is appropriated for this purpose, by November 4 1 of each year supply a report which contains the name, 5 gender, county, or city of residence when possible, official 6 title, salary received during the previous fiscal year, base 1 1 1 1 7 salary as computed on July 1 of the current fiscal year, and 1 8 traveling and subsistence expense of the personnel of each of 9 the departments, boards, and commissions of the state 1 1 10 government except personnel who receive an annual salary of 1 11 less than one thousand dollars. The number of the personnel 1 12 and the total amount received by them shall be shown for each 1 13 department in the report. All employees who have drawn 1 14 salaries, fees, or expense allowances from more than one 1 15 department or subdivision shall be listed separately under the 1 16 proper departmental heading. On the request of the director, 1 17 the head of each department, board, or commission shall 1 18 furnish the data covering that agency. The report shall be 1 19 distributed upon request without charge in an electronic 1 20 medium to each caucus of the general assembly, the legislative 1 21 services agency, the chief clerk of the house of 1 22 representatives, and the secretary of the senate. Copies 1 23 the report shall be made available to other persons in an Copies of 1 24 electronic medium upon payment of a fee, which shall not 1 25 exceed the cost of providing the copy of the report. Sections 1 26 22.2 through 22.6 22.5 apply to the report. All funds from 1 27 the sale of the report shall be deposited in the printing 1 27 the safe of the report shart at appointer in the principal 1 28 revolving fund established in section 8A.345. 1 29 Sec. 2. Section 8E.202, subsection 1, unnumbered paragraph 1 30 1, Code 2007, is amended to read as follows: The department and each agency shall provide for the widest 1 31 1 32 possible dissemination of information between agencies and the 1 33 public relating to the enterprise strategic plan and agency 1 34 strategic plans, including but not limited to internet access. 1 35 This section does not require the department or an agency to 2 1 release information which is classified as a confidential 2 2 record under this Code, including but not limited to section 2 -3 - 22.7. 2 4 Sec. 3. Section 8E.202, subsection 3, Code 2007, is 2 5 amended to read as follows: 26 3. A record which is confidential under this  $Code_{\tau}$ including but not limited to section 22.7, shall not be 2 7 2 8 released to the public under this section. 29 Sec. 4. Section 10B.5, subsection 2, Code 2007, is amended 2 10 to read as follows: 2 11 2. Information provided in reports required in this 2 12 chapter is a confidential an optional public record as 2 13 provided in section 22.7. The attorney general may have 2 14 access to the reports, and may use information in the reports 2 15 in any action to enforce state law, including but not limited 2 16 to chapters 9H, 9I, and 10C. The reports shall be made 2 17 available to members of the general assembly and appropriate

2 18 committees of the general assembly in order to determine the 2 19 extent that agricultural land is held in this state by 2 20 corporations and other business and foreign entities and the 2 21 effect of such land ownership upon the economy of this state. 2 22 The secretary of state shall assist any committee of the 2 23 general assembly studying these issues. 2 24 Sec. 5. Section 21.2, subsection 1, Code 2007, is amended 2 25 by adding the following new paragraph: 2 26 NEW PARAGRAPH. i. An entity eligible to exercise 27 tax=exempt bonding authority under chapter 7C, including a 2 28 nonprofit tax=exempt bonding authority under chapter 7C 29 designated by the state to serve as a secondary market for 2 2 2 30 student loans and a nonprofit tax=exempt bonding authority 2 31 under chapter 7C whose board of directors is appointed by the 2 32 governor. 2 33 Sec. 6. Section 21.2, subsection 2, Code 2007, is amended 2 34 to read as follows: 2 "Meeting" means a gathering in person or by 2. <u>a.</u> 35 2 electronic means, formal or informal, of a majority of the 2 members of a governmental body where there is deliberation or 3 action upon any matter within the scope of the governmental 3 3 3 4 body's policy=making duties. <u>A "meeting" includes the</u> 5 calculated use of a series of communications, each between 6 less than a majority of the members of a governmental body or 7 their personal intermediaries, that is intended to reach and 9 does in fact reach a majority of the members of a governmental body or 3 3 8 does in fact reach a majority of the members of the 9 governmental body and that is intended to discuss and develop 10 a collective final decision of a majority outside of a meeting 11 with respect to specific action to be taken by the majority at <u>12 a meeting.</u> 3 13 <u>b.</u> Meetings shall A "meeting" does not include any of the following: 14 3 15 (1) a <u>A</u> gathering of members of a governmental body for 3 16 purely ministerial or social purposes when there is no 3 17 discussion of policy or no intent to avoid the purposes of 3 18 this chapter. 3 19 (2) Written electronic communications by one or more 3 20 members of a governmental body or by its chief executive 3 21 officer that are ordinarily preserved and are accessible and 3 22 that are sent to a majority of the members of the governmental 23 body, or a series of such written electronic communications 24 each sent only to a minority of the members of the <u>25 governmental body but that in the aggregate are sent to a</u> 3 majority of its members that do both of the following: 26 3 27 (a) Concern a particular matter within the scope of the governmental body's policy=making duties. 28 3 29 (b) Would otherwise constitute a meeting. However, this exclusion only applies if the written electronic communications, to the extent such communications 3 30 3 31 3 32 are not exempt from disclosure pursuant to section 22.7 or 33 another statute, are either posted on the governmental body's 34 internet site or public bulletin board at least twenty=four 3 35 hours prior to the next regular meeting or copies are made 4 available for public inspection at least twenty=four hours 4 <u>2 prior to the governmental body's next regular meeting. If a</u> 4 3 special meeting is held on the subject matter of the 4 4 communications before the next regular meeting, the 5 communications shall be posted at least twenty=four hours 6 prior to the special meeting or made available for public 4 4 7 inspection at least twenty=four hours prior to that meeting. 4 Sec. 7. Section 21.4, subsections 1 and 3, Code 2007, are 4 8 4 9 amended to read as follows: 1. A Except as provided in subsection 3, a governmental 4 10 4 11 body, except township trustees, shall give notice of the time, 4 12 date, and place of each meeting including a reconvened meeting 13 of the governmental body, and its the tentative agenda of the 4 4 14 meeting, in a manner reasonably calculated to apprise the 4 15 public of that information. Reasonable notice shall include 4 16 advising the news media who have filed a request for notice 4 17 with the governmental body and posting the notice on a 4 18 bulletin board or other prominent place which is easily 4 19 accessible to the public and clearly designated for that 4 20 purpose at the principal office of the body holding the 4 21 meeting, or if no such office exists, at the building in which 4 22 the meeting is to be held. 4 23 3. <u>Subsection 1 does not apply to any of the following:</u> a. A meeting reconvened within four hours of the start of 4 24 its recess, where an announcement of the time, date, and place of the reconvened meeting is made at the original meeting in open session and recorded in the minutes of the meeting and 4 25 4 26 27 28 there is no change in the agenda.

4 2.9 A meeting held by a formally constituted subunit of a b. 4 30 parent governmental body may conduct a meeting without notice 4 31 as required by this section during a lawful meeting of the 4 32 parent governmental body, or during a recess in that meeting 4 33 of up to four hours, or a meeting of that subunit immediately 4 34 following that the meeting of the parent governmental body, if 4 35 the meeting of the that subunit is publicly announced in open session at the parent meeting and the subject of the meeting 5 2 reasonably coincides with the subjects discussed or acted upon 5 3 by the parent governmental body. Sec. 8. Section 21.5, subsection 1, paragraphs j and k, Code Supplement 2007, are amended to read as follows: 5 4 5 5 5 6 j. To discuss the purchase of particular real estate only where premature disclosure could be reasonably expected to increase the price the governmental body would have to pay for 5 5 8 9 that property. The minutes and the tape <u>audio</u> recording of a 10 session closed under this paragraph shall be available for 5 5 5 11 public examination when the transaction discussed is 5 12 completed. 5 13 k. To discuss information contained in records in the 14 custody of a governmental body that are confidential optional 5 5 15 public records pursuant to section 22.7, subsection 50. 5 16 Sec. 9. Section 21.5, subsection 1, Code Supplement 2007, 5 17 is amended by adding the following new paragraph: 5 18 <u>NEW PARAGRAPH</u>. l. To discuss patient care quality and 5 19 process improvement initiatives in a meeting of a public 5 20 hospital or to discuss marketing and pricing strategies or 5 similar proprietary information in a meeting of a public 21 5 22 hospital, where public disclosure of such information would 5 23 harm such a hospital's competitive position when no public 5 24 purpose would be served by public disclosure. The minutes and 5 25 the audio recording of a closed session under this paragraph 5 26 shall be available for public inspection when the public 27 disclosure would no longer harm the hospital's competitive 28 position. For purposes of this paragraph, "public hospital" 5 5 5 29 means the same as defined in section 249J.3. 5 Sec. 10. Section 21.5, subsection 4, Code Supplement 2007, 30 31 is amended to read as follows: 32 4. A governmental body shall keep detailed minutes of all 5 5 32 5 33 discussion, persons present, and action occurring at a closed 34 session, and shall also tape <u>audio</u> record all of the closed 35 session. The detailed minutes and tape <u>audio</u> recording of a 5 5 б 1 closed session shall be sealed and shall not be public records 6 2 open to public inspection. However, upon order of the court 6 3 in an action to enforce this chapter, the detailed minutes and 4 tape audio recording shall be unsealed and examined by the 6 б 5 court in camera. The court shall then determine what part, if 6 6 any, of the minutes should be disclosed to the party seeking 7 enforcement of this chapter for use in that enforcement 6 6 8 proceeding. In determining whether any portion of the minutes 6 9 or recording shall be disclosed to such a party for this 6 10 purpose, the court shall weigh the prejudicial effects to the 6 11 public interest of the disclosure of any portion of the 12 minutes or recording in question, against its probative value 6 б 13 as evidence in an enforcement proceeding. After such a 6 14 determination, the court may permit inspection and use of all 6 15 or portions of the detailed minutes and tape audio recording 6 16 by the party seeking enforcement of this chapter. A 6 17 governmental body shall keep the detailed minutes and tape 6 18 audio recording of any closed session for a period of at least 6 19 one year from the date of that meeting, except as otherwise required by law. Sec. 11. Section 21.6, subsection 3, paragraph a, Code 6 20 6 21 6 22 2007, is amended to read as follows: 6 23 Shall assess each member of the governmental body who a. 6 24 participated in its violation damages in the amount of not 6 25 more than five hundred dollars nor and not less than one 26 hundred dollars. <u>However, if a member of a governmental body</u> 27 knowingly participated in such a violation, damages shall be 6 6 6 28 in the amount of not more than two thousand five hundred 6 29 dollars and not less than one thousand dollars. These damages 6 30 shall be paid by the court imposing it to the state of Iowa, 6 31 if the body in question is a state governmental body, or to 6 32 the local government involved if the body in question is a 33 local governmental body. A member of a governmental body 34 found to have violated this chapter shall not be assessed such 6 6 б 35 damages if that member proves that the member did any of the 7 1 following: 7 (1)Voted against the closed session. 7 3 (2) Had good reason to believe and in good faith believed 7 4 facts which, if true, would have indicated compliance with all

7 5 the requirements of this chapter. (3) Reasonably relied upon a decision of a court, or a 7 6 7 formal opinion of the Iowa public information board, the 7 8 attorney general, or the attorney for the governmental body. 9 given in writing, or as memorialized in the minutes of the 7 10 meeting at which a formal oral opinion was given, or an 7 11 advisory opinion of the Iowa public information board, the 7 12 attorney general, or the attorney for the governmental body, 7 13 given in writing. 7 Sec. 12. Section 21.6, subsection 3, paragraph d, Code 14 7 15 2007, is amended to read as follows: 7 16 d. Shall issue an order removing a member of a 7 17 governmental body from office if that member has engaged in a 7 18 prior violation of this chapter for which damages were 7 19 assessed against the member during the member's term. 7 20 making this determination, the court shall recognize violations for which damages were assessed by the Iowa public 21 7 information board created in section 23.3. Sec. 13. <u>NEW SECTION</u>. 22.0A PURPOSE. 22 7 23 7 24 The purpose of this chapter is to provide as much 7 25 transparency in government operations as possible consistent 7 26 with the need to avoid undue invasions of personal privacy and 7 27 the need to avoid significant interference with the 7 28 achievement of other important and legitimate state 7 29 objectives. 7 30 Section 22.1, Code 2007, is amended to read as Sec. 14. 7 31 follows: 7 32 22.1 DEFINITIONS. 7 33 . "Confidential record" means a government record 34 designated by statute as unavailable for examination and 35 copying by members of the public. 1 1. 2. The term "government "Government body" means this 7 7 8 8 2 state, or any county, city, township, school corporation, 8 3 political subdivision, tax=supported district, nonprofit 8 4 corporation other than a fair conducting a fair event as 5 provided in chapter 174, whose facilities or indebtedness are 8 8 6 supported in whole or in part with property tax revenue and 8 7 which is licensed to conduct pari=mutuel wagering pursuant to 8 8 chapter 99D, an entity eligible to exercise tax=exempt bonding 9 authority under chapter 7C, including a nonprofit tax=exempt 10 bonding authority under chapter 7C designated by the state to 11 serve as a secondary market for student loans and a nonprofit 8 8 8 8 12 tax=exempt bonding authority under chapter 7C whose board of 8 13 directors is appointed by the governor, or other entity of 8 14 this state, or any branch, department, board, bureau, 8 15 commission, council, committee, official, or officer of any of 8 16 the foregoing or any employee delegated the responsibility for 8 17 implementing the requirements of this chapter. 8 18 3. "Government record" means a record owned by, created 19 by, in the possession of, or under the control of, any unit, 20 division, or part of state or local government or the 21 officials or employees of such public bodies in the course of 8 8 8 8 22 the performance of their respective duties. 8 23 <del>2.</del> <u>4.</u> The term "lawful "Lawful custodian" means the 8 24 government body currently in physical possession of the <del>public</del> 8 25 government record. The custodian of a public government 8 26 record in the physical possession of persons outside a 8 27 government body is the government body owning that <u>government</u> 8 28 record. The <u>government</u> records relating to the investment of 8 29 public funds are the property of the public body responsible 8 30 for the public funds. Each government body shall delegate to 8 31 particular officials or employees of that government body the 8 32 responsibility for implementing the requirements of this 8 33 chapter and shall publicly announce the particular officials 34 or employees to whom responsibility for implementing the 35 requirements of this chapter has been delegated. "Lawfu 8 "Lawful 8 9 1 custodian" does not mean an automated data processing unit of 2 a public body if the data processing unit holds the <u>government</u> 3 records solely as the agent of another public body, nor does 9 9 9 4 it mean a unit which holds the government records of other 9 5 public bodies solely for storage. 3. As used in this chapter, "public records" includes all records, documents, tape, or other information, stored or 9 6 8 preserved in any medium, of or belonging to this state or any 9 9 county, city, township, school corporation, political 9 10 subdivision, nonprofit corporation other than a fair 9 11 conducting a fair event as provided in chapter 174, whose 12 facilities or indebtedness are supported in whole or in part 13 with property tax revenue and which is licensed to conduct 14 pari-mutuel wagering pursuant to chapter 99D, or tax-supported 9 15 district in this state, or any branch, department, board,

9 16 bureau, commission, council, or committee of any of the 17 foregoing. 9 18 "Public records" also includes all records relating to the 19 investment of public funds including but not limited to 9 9 20 investment policies, instructions, trading orders, or 9 21 contracts, whether in the custody of the public body 9 22 responsible for the public funds or a fiduciary or other third 0 <del>23 party.</del> 9 24 5. "Optional public record" means a government record 9 25 designated by statute as unavailable for examination and 9 26 copying by members of the public unless otherwise ordered by a 9 27 court, by the lawful custodian of the records, or by another 9 28 person duly authorized to release such information. 29 <u>6. "Public record" means a government record to which</u> 30 members of the public have an unqualified right to examine 9 9 and <u>9 31 copy and includes a government record not designated by</u> <u>32 statute as either a confidential record or an optional public</u> 9 33 record. 9 34 7. "Record" means information of every kind, nature, and 9 34 7. "Record" means information of every kind, nature, and form preserved or stored in any medium including but not <u>10</u> 10 limited to paper, electronic media, or film media. Sec. 15. Section 22.2, subsection 2, Code 2007, is amended 2 10 3 to read as follows: 10 4 2. A government body shall not prevent the examination or 10 5 copying of a public record by contracting with a nongovernment 10 6 body to perform any of its duties or functions. A record 10 7 created by, in the possession of, or under the control of, any 10 8 nongovernment body or person, which is a direct part of the 10 9 execution or performance of duties imposed upon the \_10 10 nongovernment body or person by contract with a government 10 11 body under which the nongovernment body or person performs a 10 12 function of the government body, is a government record. The 10 13 lawful custodian of such a government record is the government 10 14 body with whom the nongovernment body or person has executed 10 15 the contract. 10 16 Sec. 16. NEW SECTION. 22.2A RECORD REQUESTS == TIME 10 17 LIMITS. 10 18 1. Upon receipt of an oral or written request to examine 10 19 or copy a public record, the lawful custodian shall, if 10 20 feasible in the ordinary course of business, permit such 10 21 examination or copying at the time of the request. If it is 10 22 not feasible in the ordinary course of business to permit 10 23 examination or copying of the public record at the time of the 10 24 request, the lawful custodian shall immediately notify the 10 25 requester, orally or in writing, when such examination or 10 26 copying may take place, which shall be no later than five 10 27 business days from the time of the request unless there is 10 28 good cause for further delay. If further delay is necessary 10 29 because of good cause in responding to a request to examine or 10 30 copy a record the lawful custodian knows is a public record, 10 31 the lawful custodian shall provide the requester with a 10 32 written statement detailing the reason or reasons for the 10 33 delay and the date by which the request will be satisfied. 10 34 2. If the lawful custodian is in doubt as to whether the 10 35 record requested is a public record or whether the requester 1 should be permitted to examine or copy an optional public 2 record specified in section 22.7, the lawful custodian shall 11 11 3 make that determination within ten business days from the date 11 4 of the request unless further delay is necessary because of a 11 11 5 pending request by the lawful custodian to the Iowa public 11 6 information board for an opinion regarding the status of the 11 7 record requested, or other good cause, which is communicated 8 in writing to the requester. Examination or copying of the 11 9 government record shall be allowed within five business days 11 11 10 from the date the lawful custodian makes the decision in such 11 11 circumstances to permit examination or copying of the record 11 12 unless there is good cause for further delay in fulfilling the 11 13 request as provided in subsection 1. 11 14 3. If the lawful custodian denies a request to examine or 11 15 copy a public record, the custodian must provide the requester 11 16 at the time of the denial a written statement denying the 11 17 request and detailing the specific reason or reasons for the 11 18 denial. 4. If the lawful custodian does not fulfill a request to 11 19 11 20 examine or copy a public record within the times prescribed in 11 21 this section, the request shall be deemed denied and the 11 22 requester shall be entitled to file a complaint with the Iowa 11 23 public information board pursuant to section 23.7 or file a 11 24 lawsuit against the lawful custodian pursuant to section 11 25 22.10. 11 26 Sec. 17. Section 22.3, subsection 2, Code 2007, is amended

11 27 to read as follows: 2. All expenses of the examination and copying shall be 11 28 11 29 paid by the person desiring to examine or copy. The lawful 11 30 custodian may charge a reasonable fee for the services of the 11 31 lawful custodian or the custodian's authorized designee in 11 32 supervising the examination and copying of the records or in 11 11 <u>33 reviewing the records for optional public record information</u> <u>34 or for confidential record information prior to release</u>. If <u>11</u> <u>12</u> <u>12</u> 35 the lawful custodian is a state executive branch agency, the 1 lawful custodian shall provide such services at no charge to a 2 requester for up to three hours per month. If copy equipment 3 is available at the office of the lawful custodian of any 12 12 4 public records, the lawful custodian shall provide any person 12 5 a reasonable number of copies of any public record in the 12 custody of the office upon the payment of a fee. The fee for 6 the copying service as determined by the lawful custodian 12 7 12 8 shall not exceed the actual cost of providing the service. 12 9 Actual costs shall include only those expenses directly 12 10 attributable to supervising the examination of and making and 12 11 providing copies of public records. Actual costs shall not 12 12 include charges for ordinary expenses or costs such as 12 13 employment benefits, depreciation, maintenance, electricity, 12 14 or insurance associated with the administration of the office 12 15 of the lawful custodian. 12 16 Sec. 18. Section 22.4, Code 2007, is amended to read as 12 17 follows: 12 18 22.4 HOURS WHEN AVAILABLE. 12 19 The rights of persons under this chapter may be exercised 12 20 at any time during the customary office hours of the lawful 12 21 custodian of the <u>government</u> records. However, if the lawful 12 22 custodian does not have customary office hours of at least 12 23 thirty hours per week, such right may be exercised at any time 12 24 from nine o'clock a.m. to noon and from one o'clock p.m. to four o'clock p.m. Monday through Friday, excluding legal holidays, unless the person exercising such right and the 12 25 12 26 12 27 lawful custodian agree on a different time. Sec. 19. Section 22.7, subsection 7, Code Supplement 2007, 12 28 12 29 is amended to read as follows: 12 30 7. Appraisals or appraisal information concerning the 12 31 purchase of real or personal property for public purposes, 12 32 prior to public announcement of a project the submission of 12 33 the appraisal to the property owner or other interest holders 34 as provided in section 6B.45. 35 Sec. 20. Section 22.7, subsection 10, Code Supplement 12 12 35 13 1 2007, is amended by striking the subsection. Sec. 21. Section 22.7, subsection 11, Code Supplement 13 2 2007, is amended to read as follows: 13 3 4 11. <u>a.</u> Personal information in confidential personnel 5 records of <del>public</del> <u>government</u> bodies <del>including but not limited</del> 13 13 13 6 to cities, boards of supervisors and school districts relating
 13 7 to identified or identifiable individuals who are officials,
 13 8 officers, or employees of the government bodies. However, t
 13 9 following information relating to such individuals contained
 13 10 in personnel records shall be public records:
 13 11 (1) The name and compensation of the individual includin 6 to cities, boards of supervisors and school districts relating 7 to identified or identifiable individuals who are officials. 8 officers, or employees of the government bodies. However, the (1) The name and compensation of the individual including 13 11 (1) The name and compensation of the individual including 13 12 any written agreement establishing compensation or any other 13 13 terms of employment excluding any information otherwise 13 14 excludable from public information pursuant to this section or 13 15 any other applicable provision of law. For purposes of this 13 16 paragraph, "compensation" means payment of, or agreement to 13 16 paragraph, "compensation" means payment of, or agreement to 13 17 pay, any money, thing of value, or financial benefit conferred 13 18 in return for labor or services rendered by an officer, 13 19 employee, or other person plus the value of benefits including 13 20 but not limited to casualty, disability, life, or health 13 21 insurance, other health or wellness benefits, vacation, 13 22 holiday, and sick leave, severance payments, retirement 13 23 benefits, and deferred compensation. 13 24 (2) The date the individual was employed by the government 13 24 The date the individual was employed by the government (2) 13 <u>body.</u> 25 13 26 (3) The positions the individual holds or has held with  $\frac{13}{13} \frac{27}{28}$ the government body. (4) The individual's qualifications for the position that the individual holds or has held including but not limited to 13 29 13 30educational background and work experience. (5) Any final disciplinary action taken against the individual that resulted in the individual's discharge. 13 31 13 32 13 33 b. Personal information in confidential personnel records of government bodies relating to student employees shall only be released pursuant to 20 U.S.C. } 1232g. Sec. 22. Section 22.7, subsection 18, Code Supplement 13 34 13 35 14 1 2 2007, is amended to read as follows: 14

3 18. <u>a.</u> Communications not required by law, rule, 4 procedure, or contract that are made to a government body or 14 14 14 5 to any of its employees by identified persons outside of 6 government, to the extent that the government body receiving 7 those communications from such persons outside of government 14 14 14 8 could reasonably believe that those persons would be discouraged from making them to that government body if they 14 9 14 10 were available for general public examination. As used in this subsection, "persons outside of government" does not 14 11 14 12 include persons or employees of persons who are communicating 14 13 with respect to a consulting or contractual relationship with 14 14 a government body or who are communicating with a government 14 15 body with whom an arrangement for compensation exists. 14 16 Notwithstanding this provision: 14 17 a. (1) The communication is a public record to the extent 14 18 that the person outside of government making that 14 19 communication consents to its treatment as a public record. 14 20 b. (2) Information contained in the communication is a 14 21 public record to the extent that it can be disclosed without 14 22 directly or indirectly indicating the identity of the person 14 23 outside of government making it or enabling others to 14 24 ascertain the identity of that person. 14 25 c. (3) Information contained in the communication is a 14 26 public record to the extent that it indicates the date, time, 14 27 specific location, and immediate facts and circumstances 14 28 surrounding the occurrence of a crime or other illegal act, 14 29 except to the extent that its disclosure would plainly and 14 30 seriously jeopardize a continuing investigation or pose a 14 31 clear and present danger to the safety of any person. In any 14 32 action challenging the failure of the lawful custodian to 14 33 disclose any particular information of the kind enumerated in 14 34 this paragraph, the burden of proof is on the lawful custodian 14 35 to demonstrate that the disclosure of that information would jeopardize such an investigation or would pose such a clear 15 1 15 2 and present danger. 15 3 b. This subsection does not apply to information relating <u>15</u> 15 to applications to a government body for employment. Sec. 23. Section 22.7, subsections 40, 43, and 48, Code 4 5 6 Supplement 2007, are amended to read as follows: 15 15 7 40. The portion of a record request that contains an 15 8 internet protocol number which identifies the computer from 15 9 which a person requests a record, whether the person using -15 10 such computer makes the request through the IowAccess network -15 11 or directly to a lawful custodian. However, such record may -15 12 be released with the express written consent of the person -15 13 requesting the record. 15 14 43. Information obtained by the commissioner of insurance 15 15 pursuant to section 502.607, subsection 2. 15 16 48. Sex offender registry records under chapter 692A, 15 17 except shall only be released as provided in section 692A.13. 15 18 Sec. 24. Section 22.7, subsection 52, paragraphs a and c, 15 19 Code Supplement 2007, are amended to read as follows: 15 20 a. The following records relating to a charitable donation 15 21 made to a foundation acting solely for the support of an -1522 institution governed by the state board of regents, to a 15 23 foundation acting solely for the support of an institution 15 24 governed by chapter 260C, to a private foundation as defined 15 25 in section 509 of the Internal Revenue Code organized for the -15 26 support of a government body, or to an endow Iowa qualified -15 27 community foundation, as defined in section 15E.303, organized 15 28 for the support of a government body: 15 29 (1) Portions of records that disclose a donor's or 15 30 prospective donor's personal, financial, estate planning, or 15 31 gift planning matters. 15 32 (2) Records receiv (2) Records received from a donor or prospective donor 15 33 regarding such donor's prospective gift or pledge. 15 34 (3) Records containing information about a donor or a 15 35 prospective donor in regard to the appropriateness of the 16 1 solicitation and dollar amount of the gift or pledge. 16 (4) Portions of records that identify a prospective donor 2  $\boldsymbol{3}$  and that provide information on the appropriateness of the 16 16 4 solicitation, the form of the gift or dollar amount requested 5 by the solicitor, and the name of the solicitor. 16 (5) Portions of records disclosing the identity of a donor 16 6 16 7 or prospective donor, including the specific form of gift or 8 pledge that could identify a donor or prospective donor, 16 16 9 directly or indirectly, when such donor has requested 16 10 anonymity in connection with the gift or pledge. This 16 11 subparagraph does not apply to a gift or pledge from a 16 12 publicly held business corporation. c. Except as provided in paragraphs "a" and "b", portions 16 13

16 14 of records relating to the receipt, holding, and disbursement 16 15 of gifts made for the benefit of regents institutions and made 16 16 through foundations established for support of regents institutions, including but not limited to written 16 17 16 18 fund=raising policies and documents evidencing fund=raising 16 19 practices, shall be subject to this chapter. Unless otherwise 20 provided, the lawful custodian of all records subject to this 21 paragraph is the regents institution to be benefited by such 16 16 16 22 gifts. 16 23 Sec. 25. Section 22.7, subsection 55, Code Supplement 16 24 2007, is amended to read as follows: 16 25 55. An intelligence assessment and intelligence data under 16 26 chapter 692, except shall only be released as provided in 16 27 16 28 section 692.8A. Sec. 26. Section 22.7, Code Supplement 2007, is amended by 16 29 adding the following new subsections: 16 30 16 31 <u>NEW SUBSECTION</u>. 60. PUBLIC EMPLOYMENT APPLICATIONS. a. The identity and qualifications of an applicant for 16 32 employment by a government body if the applicant requests anonymity in writing and the government body determines that 16 33 16 anonymity is necessary to induce the applicant to apply for 34 16 35 the employment position. Such information shall be exempt from disclosure until an applicant is considered by the 17 1 government body to be a finalist for the position. For purposes of this subsection, "finalist" means any applicant 17 2 17 3 17 4 who is determined to be among those who are under final 17 5 consideration for the position, and at least includes the five most qualified applicants as determined by the recommending or selecting authority. If there are five or fewer applicants 17 6 17 7 17 8 for the particular position, all of the applicants shall be 17 9 considered finalists for purposes of this subsection. The 17 10 identities and qualifications of the finalists shall be made 17 11 available for public inspection at least three business days 17 12 prior to a final decision. 17 13 b. Documents relating to a government body's evaluation of 17 14 the qualifications and merits of an applicant for employment 17 15 by that government body. 17 16 <u>NEW SUBSECTION</u>. 62. TENTATIVE, PRELIMINARY, OR DRAFT 17 17 MATERIALS. Tentative, preliminary, draft, speculative, or 17 18 research material, created prior to its completion for the 17 19 purpose for which it is intended and in a form prior to the 17 20 form in which it is submitted for use or used in the actual 17 21 formulation, recommendation, adoption, or execution of any 17 22 official policy or action by a public official authorized to 17 23 make such decisions for the government body. Such materials 17 24 shall be treated as a public record at the time the materials 17 25 are actually used for the final formulation, recommendation, 17 26 adoption, or execution of any official policy or action of a 17 27 government body. 17 28 NEW SUBSECTION. 63. CLOSED SESSION RECORDS. Information 17 29 in records that would permit a governmental body subject to chapter 21 to hold a closed session pursuant to section 21.5 17 30 in order to avoid public disclosure of that information. 17 31 17 32 Sec. 27. Section 22.8, subsection 1, Code 2007, is amended 17 33 to read as follows: 1. The district court may grant an injunction restraining 17 34 17 35 the examination, including copying, of a specific public record or a narrowly drawn class of public records. A hearing shall be held on a request for injunction upon reasonable 18 1 18 2 18 3 notice as determined by the court to persons requesting access to the record which is the subject of the request for 18 4 injunction. It shall be the duty of the lawful custodian and 18 5 6 any other person seeking an injunction to ensure compliance 18 18 with the notice requirement. Such an injunction may be issued 7 18 8 only if the petition supported by affidavit shows and if the court finds both any of the following: 18 9 18 10 a. That the examination would clearly not be in the public 18 11 interest <u>because the potential harm to the public interest</u> 18 12 from disclosure of the particular information involved clearly 18 13 outweighs any potential benefit to the public interest from 18 <u>14 disclosure</u>. 18 15 b. That the examination would substantially and 18 16 irreparably injure any person or persons because it would 18 17 invade the personal privacy of the identified subject of the 18 18 record and the harm to that person from such disclosure is not 18 19 outweighed by the public interest in its disclosure. c. That the record at issue is not a public record. d. That the record at issue is a record exempt from 18 20 18 21 18 22 mandatory disclosure pursuant to section 22.7 and that a 18 23 determination by the custodian to permit inspection of the 18 24 record by one or more members of the public is a violation of

18 25 law or is arbitrary, capricious, unreasonable, or an abuse of 18 26 discretion. 18 27 Sec. 28. Section 22.8, subsection 4, paragraphs c and d, 18 28 Code 2007, are amended to read as follows: 18 29 c. To determine whether the government record in question 18 30 is a public record, an optional public record, or a 18 31 confidential record. 18 32 d. To determine whether a confidential an optional public 18 33 record should be available for inspection and copying to the 18 34 person requesting the right to do so. A reasonable delay for 18 35 this purpose shall not exceed twenty calendar days and -19 ordinarily should not exceed ten business days. 2 Sec. 29. Section 22.10, subsection 3, paragraph b, Code 19 2007, is amended to read as follows: b. Shall assess the persons who 19 3 19 4 Shall assess the persons who participated in its 19 5 violation damages in the amount of not more than five hundred 19 6 dollars nor and not less than one hundred dollars. However, 7 if a member of a governmental body knowingly participated in 8 such a violation, damages shall be in the amount of not more 9 than two thousand five hundred dollars and not less than one 19 10 thousand dollars. These damages shall be paid by the court 19 11 imposing them to the state of Iowa if the body in question is 19 12 a state government body, or to the local government involved 19 13 if the body in question is a local government body. A person 19 14 found to have violated this chapter shall not be assessed such 19 15 damages if that person proves that the person either voted did <u> 19</u> 16 any of the following: 19 17 (1)Voted against the action violating this chapter, 19 18 refused to participate in the action violating this chapter, 19 19 or engaged in reasonable efforts under the circumstances to 19 20 resist or prevent the action in violation of this chapter+ -19 - 21had. 19 22 (2) Had good reason to believe and in good faith believed 19 23 facts which, if true, would have indicated compliance with the 19 24 requirements of this chapter; or reasonably. (3) Reasonably relied upon a decision of a court, or an a 19 25 19 26 formal opinion of the Iowa public information board, the 19 27 attorney general, or the attorney for the government body, 19 28 given in writing, or as memorialized in the minutes of the 19 28 given in writing, or as memorialized in the minutes of 1 19 29 meeting at which a formal oral opinion was given, or an 19 30 advisory opinion 19 31 attorney general, 19 32 given in writing. 19 33 Sec. 30. Sect 30 advisory opinion of the Iowa public information board, the 31 attorney general, or the attorney for the governmental body, Sec. 30. Section 22.10, subsection 3, paragraph d, Code 19 34 2007, is amended to read as follows: 19 35 d. Shall issue an order removing a person from office if 20 1 that person has engaged in a prior violation of this chapter 20 2 for which damages were assessed against the person during the 20 3 person's term. In making this determination, the court shall 20 4 recognize violations for which damages were assessed by the <u>20</u> 20 Iowa public information board created in section 23.3. Sec. 31. Section 22.10, subsection 5, Code 2007, is 5 6 20 7 amended by striking the subsection. Sec. 32. Section 22.13, Code 2007, is amended to read as 20 8 20 9 follows: 20 10 22.13 SETTLEMENTS == GOVERNMENTAL GOVERNMENT BODIES. 20 11 1. A written summary of the terms of settlement, including 20 12 amounts of payments made to or through a claimant, or other 20 13 disposition of any claim for damages made against a 20 14 governmental government body or against an employee, officer, 20 15 or agent of a governmental government body, by an insurer 20 16 pursuant to a contract of liability insurance issued to the 20 17 governmental government body, shall be filed with the 20 18 governmental government body and shall be a public record. 20 19 2. A final binding settlement agreement between any 20 government body of this state or unit or official of such 20 20 21 government body that resolves a legal dispute between such a 20 22 government body and another person or entity shall be filed 23 with the government body. For each such settlement agreement, 20 24 the government body shall prepare and file, together with the 20 20 25 settlement agreement, a brief summary indicating the identity 20 26 of the parties involved, the nature of the dispute, any 20 27 underlying relevant facts, and the terms of the settlement. 20 <u>20 28 The settlement agreement and summary shall be available for</u> public inspection. Sec. 33. Section 22.14, subsection 3, Code 2007, is 20 29 20 30 29 20 31 amended to read as follows: 20 32 3. If a fiduciary or other third party with custody of 20 33 public investment transactions records fails to produce public 20 34 records within a reasonable period of time as requested by the 20 35 public government body, the public government body shall make

21 1 no new investments with or through the fiduciary or other 21 2 third party and shall not renew existing investments upon 3 their maturity with or through the fiduciary or other third 21 4 party. The fiduciary or other third party shall be liable for 5 the penalties imposed under section 22.6 statute, common law, 21 21 \_\_\_\_\_\_ 6 or contract due to the acts or omissions of the fiduciary or 21 7 other third party and any other remedies available under -218 statute, common law, or contract. 21 9 Sec. 34. <u>NEW SECTION</u>. 22.15 JUDICIAL BRANCH == RULES. 21 10 This chapter does not apply to government records owned, 21 11 created, possessed, or under the control of the judicial 21 12 branch related to the performance by the courts of their 21 13 judicial functions. The supreme court shall prescribe rules 21 14 governing access to such records consistent with the purposes 21 15 of this chapter. 21 16 Sec. 35. NEW SECTION. 23.1 CITATION AND PURPOSE. This chapter may be cited as the "Iowa Public Information 21 17 21 18 Board Act". The purpose of this chapter is to provide an 21 19 alternative means by which to secure compliance with and 21 20 enforcement of the requirements of chapters 21 and 22 through 21 21 the provision by the Iowa public information board to all 21 22 interested parties of an efficient, informal, and 21 23 cost=effective process for resolving disputes. Sec. 36. <u>NEW SECTION</u>. 23.2 DEFINITIONS. 1. "Board" means the Iowa public information board created 21 24 21 25 21 26 in section 23.3. 21 27 2. "Complainant" means a person who files a complaint with 21 28 the board. 3. "Complaint" means a written and signed document filed 21 29 21 30 with the board alleging a violation of chapter 21 or 22. 21 31 4. "Custodian" means a government body, government 21 32 official, or government employee designated as the lawful 21 33 custodian of a government record pursuant to section 22.1. 21 34 5. "Government body" means the same as defined in section 21 35 22.1. "Person" means an individual, partnership, association, 22 1 6. 2.2 2 corporation, legal representative, trustee, receiver, 22 3 custodian, government body, or official, employee, agency, or 4 political subdivision of this state. 22 22 5 7. "Respondent" means any agency or other unit of state or local government, custodian, government official, or government employee who is the subject of a complaint. 22 6 22 7 22 Sec. 37. <u>NEW SECTION</u>. 23.3 BOARD APPOINTED. 8 2.2 9 1. An Iowa public information board is created consisting 22 10 of five members appointed by the governor, subject to 22 11 confirmation by the senate. Membership shall be balanced as 22 12 to political affiliation as provided in section 69.16 and 22 13 gender as provided in section 69.16A. Members appointed to 22 14 the board shall serve staggered, four=year terms, beginning 22 15 and ending as provided by section 69.19. A quorum shall 22 16 consist of three members. 22 17 2. A vacancy on the board shall be filled by the governor 22 18 by appointment for the unexpired part of the term. A board 22 19 member may be removed from office by the governor for good 22 20 cause. The board shall select one of its members to serve as 22 21 chair and shall employ a director who shall serve as the 22 22 executive officer of the board. Sec. 38. <u>NEW SECTION</u>. 23.4 COMPENSATION AND EXPENSES Board members shall be paid a per diem as specified in 22 23 23.4 COMPENSATION AND EXPENSES.  $22 \ \overline{24}$ 22 25 section 7E.6 and shall be reimbursed for actual and necessary 22 26 expenses incurred while on official board business. Per diem 22 27 and expenses shall be paid from funds appropriated to the 22 28 board. <u>NEW SECTION</u>. 23.5 ELECTION OF REMEDIES. 22 29 Sec. 39. 22 30 1. An aggrieved person, any taxpayer to or citizen of this 22 31 state, the attorney general, or any county attorney may seek 22 32 enforcement of the requirements of chapters 21 and 22 by electing either to file an action pursuant to section 17A.19, 21.6, or 22.10, whichever is applicable, or in the 22 33 22 34 22 35 alternative, to file a timely complaint with the board. 23 2. If more than one person seeks enforcement of chapter 21 1 23 2 or 22 with respect to the same incident involving an alleged violation, and one or more of such persons elects to do so by 23 3 23 4 filing an action under section 17A.19, 21.6, or 22.10 and one 23 or more of such persons elects to do so by filing a timely complaint with the board, the court in which the action was 23 6 23 7 filed shall dismiss the action without prejudice, authorizing the complainant to file a complaint with respect to the same 23 8 incident with the board without regard to the timeliness of 23 9 23 10 the filing of the complaint at the time the action in court is 23 11 dismissed.

23 12 3. If a person files an action pursuant to section 22.8 23 13 seeking to enjoin the inspection of a public record, the 23 14 respondent or person requesting access to the record which is 23 15 the subject of the request for injunction may remove the 23 16 proceeding to the board for its determination by filing, 23 17 within thirty days of the commencement of the judicial 23 18 proceeding, a complaint with the board alleging a violation of 23 19 chapter 22 in regard to the same matter. 23 20 Sec. 40. <u>NEW SECTION</u>. 23.6 BOARD POWERS AND DUTIES. 23 21 The board shall have all of the following powers and 23 22 duties: 23 23 1. Employ such employees as are necessary to execute its 23 24 authority, including administrative law judges, and attorneys 23 25 to prosecute respondents in proceedings before the board and 23 26 to represent the board in proceedings before a court. 23 27 Notwithstanding section 8A.412, all of the board's employees, 23 28 except for the executive director and attorneys, shall be 23 29 employed subject to the merit system provisions of chapter 8A, 23 30 subchapter IV. 2. Adopt rules with the force of law pursuant to chapter 23 31 23 32 17A calculated to implement, enforce, and interpret the 23 33 requirements of chapters 21 and 22 and to implement any 23 34 authority delegated to the board by this chapter. 23 35 3. Issue, consistent with the requirements of section 17A.9, declaratory orders with the force of law determining 24 1 24 2 the applicability of chapter 21 or 22 to specified fact 24 3 situations and issue informal advice to any person concerning 24 the applicability of chapters 21 and 22. 4 24 5 4. Receive complaints alleging violations of chapter 21 or 24 6 22, seek resolution of such complaints through informal 24 7 assistance or through mediation and settlement, formally 8 investigate such complaints, decide after such an 9 investigation whether there is probable cause to believe a 2.4 24 24 10 violation of chapter 21 or 22 has occurred, and if probable 24 11 cause has been found prosecute the respondent before the board 24 12 in a contested case proceeding conducted according to the 24 13 provisions of chapter 17A. 24 14 Request and receive from a government body assistance 5. 24 15 and information as necessary in the performance of its duties. 24 16 The board may examine a record of a government body that is 24 17 the subject matter of a complaint, including any record that 24 18 is confidential by law. Confidential records provided to the 24 19 board by a governmental body shall continue to maintain their 24 20 confidential status. Any member or employee of the board is 24 21 subject to the same policies and penalties regarding the 24 22 confidentiality of the document as an employee of the 24 23 government body. 24 24 6. Issue subpoenas enforceable in court for the purpose of 24 25 investigating complaints and to facilitate the prosecution and 24 26 conduct of contested cases before the board. 24 27 7. After appropriate board proceedings, issue orders with 24 28 the force of law, determining whether there has been a 24 29 violation of chapter 21 or  $2\overline{2}$ , requiring compliance with 24 30 specified provisions of those chapters, imposing civil 24 31 penalties equivalent to and to the same extent as those 24 32 provided for in section 21.6 or 22.10, as applicable, on a 24 33 respondent who has been found in violation of chapter 21 or 24 34 22, and imposing any other appropriate remedies calculated to 24 35 declare, terminate, or remediate any violation of those 25 1 chapters. 25 Represent itself in judicial proceedings to enforce or 2 8. 25 3 defend its orders and rules through attorneys on its own 25 4 staff, through the office of the attorney general, or through 25 other attorneys retained by the board, at its option. 5 25 6 9. Make training opportunities available to lawful 25 custodians, government bodies, and other persons subject to 7 25 8 the requirements of chapters 21 and 22 and require, in its 25 9 discretion, appropriate persons who have responsibilities in 25 10 relation to chapters 21 and 22 to receive periodic training 25 11 approved by the board. 25 12 10. Disseminate information calculated to inform members of the public about the public's right to access government 25 13 25 14 information in this state including procedures to facilitate 25 15 this access and including information relating to the 25 16 obligations of government bodies under chapter 21 and lawful 25 17 custodians under chapter 22 and other laws dealing with this 25 18 subject. 25 19 Prepare and transmit to the governor and to the 11. 25 20 general assembly, at least annually, reports describing 25 21 complaints received, board proceedings, investigations, 25 22 hearings conducted, decisions rendered, and other work

25 23 performed by the board. 12. Make recommendations to the general assembly proposing 25 24 25 25 legislation relating to public access to government 25 26 information deemed desirable by the board in light of the 25 27 policy of this state to provide as much public access as 25 28 possible to government information as is consistent with the 25 29 public interest and the need to protect individuals against 25 30 undue invasions of personal privacy. 25 31 Sec. 41. <u>NEW SECTION</u>. 23.7 FILING OF COMPLAINTS WITH THE 25 32 BOARD. 25 33 The board shall adopt rules with the force of law and 1. 25 34 pursuant to chapter 17A providing for the timing, form, 25 35 content, and means by which any aggrieved person, any taxpayer to or citizen of this state, the attorney general, or any county attorney may file a complaint with the board alleging a 26 1 2.6 2 26 3 violation of chapter 21 or 22. The complaint must be filed 4 within sixty days from the time the alleged violation occurred 26 26 5 or the complainant could have become aware of the violation 26 with reasonable diligence. 6 26 2. All board proceedings in response to the filing of a 7 26 8 complaint shall be conducted as expeditiously as possible. 9 26 3. The board shall not charge a complainant any fee in 26 10 relation to the filing of a complaint, the processing of a 26 11 complaint, or any board proceeding or judicial proceeding 26 12 resulting from the filing of a complaint. 26 13 Sec. 42. <u>NEW SECTION</u>. 23.8 INITIAL PROCESSING OF 26 14 COMPLAINT. 26 15 Upon receipt of a complaint alleging a violation of chapter 26 16 21 or 22, the board shall do either of the following: 26 17 1. Determine that, on its face, the complaint is within 26 18 the board's jurisdiction, appears legally sufficient, and 26 19 could have merit. In such a case the board shall accept the 26 20 complaint, and shall notify the parties of that fact in 26 21 writing. 26 22 2. I Determine that, on its face, the complaint is outside 26 23 its jurisdiction, is legally insufficient, is frivolous, is 26 24 without merit, involves harmless error, or relates to a 26 25 specific incident that has previously been finally disposed of 26 26 on its merits by the board or a court. In such a case the 26 27 board shall decline to accept the complaint. If the board 26 28 refuses to accept a complaint, the board shall provide the 26 29 complainant with a written order explaining its reasons for 26 30 the action. 26 31 <u>NEW SECTION</u>. 23.9 INFORMAL ASSISTANCE == Sec. 43. 26 32 MEDIATION AND SETTLEMENT. 26 33 1. After accepting a complaint, the board shall promptly 26 34 work with the parties through its employees to reach an informal, expeditious resolution of the complaint. If an 26 35 informal resolution satisfactory to the parties cannot be 27 1 27 2 reached, the board or the board's designee shall offer the 27 3 parties an opportunity to resolve the dispute through 27 4 mediation and settlement. 2. The mediation and settlement process shall enable the 27 5 27 6 complainant to attempt to resolve the dispute with the aid of 27 7 a neutral mediator employed and selected by the board, in its 27 8 discretion, from either its own staff or an outside source. 27 9 3. Mediation shall be conducted as an informal, 27 10 nonadversarial process and in a manner calculated to help the 27 11 parties reach a mutually acceptable and voluntary settlement 27 12 agreement. The mediator shall assist the parties in identifying issues and shall foster joint problem solving and the exploration of settlement alternatives. 27 13 27 14 Sec. 44. <u>NEW SECTION</u>. 23.10 ENFORCEMENT. 1. If any party declines mediation or settlement or if 27 15 27 16 27 17 mediation or settlement fails to resolve the matter to the 27 18 satisfaction of all parties, the board shall initiate a formal 27 19 investigation concerning the facts and circumstances set forth 27 20 in the complaint. The board shall, after an appropriate 27 21 investigation, make a determination as to whether the 27 22 complaint is within the board's jurisdiction and whether there 27 23 is probable cause to believe that the facts and circumstances 27 24 alleged in the complaint constitute a violation of chapter 21 27 25 or 22. 27 26 2. If the board finds the complaint is outside the board's 27 27 jurisdiction or there is no probable cause to believe there 27 28 has been a violation of chapter 21 or 22, the board shall 27 29 issue a written order explaining the reasons for the board's 27 30 conclusions and dismissing the complaint, and shall transmit a 27 31 copy to the complainant and to the party against whom the 27 32 complaint was filed. 27 33 3. a. If the board finds the complaint is within the

27 34 board's jurisdiction and there is probable cause to believe 27 35 there has been a violation of chapter 21 or 22, the board 1 shall issue a written order to that effect and shall commence 28 28 2 a contested case proceeding under chapter 17A against the 3 respondent. An attorney selected by the director of the board 2.8 28 4 shall prosecute the respondent in the contested case 28 5 proceeding. At the termination of the contested case proceeding the board shall, by a majority vote of its members. 28 6 render a final decision as to the merits of the complaint. 28 7 2.8 8 the board finds that the complaint has merit, the board may 28 9 issue any appropriate order to ensure enforcement of chapter 28 10 21 or 22 including but not limited to an order requiring 28 11 specified action or prohibiting specified action and any 28 12 appropriate order to remedy any failure of the respondent to 28 13 observe any provision of those chapters. 28 14 If the board determines, by a majority vote of its b. 28 15 members, that the respondent has violated chapter 21 or 22, 28 16 the board may also do any or all of the following: (1) Require the respondent to pay damages as provided for 28 17 28 18 in section 21.6 or 22.10, whichever is applicable, to the 28 19 extent that provision would make such damages payable if the 28 20 complainant had sought to enforce a violation in court instead 28 21 of through the board. 28 22 (2) Void any action taken in violation of chapter 21 if a 28 23 court would be authorized to do so in similar circumstances 28 24 pursuant to section 21.6. 28 25 c. The board shall not have the authority to remove a 28 26 person from public office for a violation of chapter 21 or 22. 28 27 The board may file an action under chapter 21 or 22 to remove 28 28 a person from office for violations that would subject a 28 29 person to removal under those chapters. 28 30 d. A final board order resulting from such proceedings may 28 31 be enforced by the board in court and is subject to judicial 28 32 review pursuant to section 17A.19. 28 33 DEFENSES IN A CONTESTED CASE Sec. 45. <u>NEW SECTION</u>. 23.11 28 34 PROCEEDING. A respondent may defend against a proceeding before the 28 35 29 board charging a violation of chapter 21 or 22 on the ground 1 29 that if such a violation occurred it was only harmless error 2 29 3 or that clear and convincing evidence demonstrated that 4 grounds existed to justify a court to issue an injunction 5 against disclosure pursuant to section 22.8. 6 Sec. 46. <u>NEW SECTION</u>. 23.12 JURISDICTION. 29 29 29 29 The board shall not have jurisdiction over the judicial or 29 8 legislative branches of state government or any entity, officer, or employee of those branches, or over the governor 29 9 or the office of the governor. 29 10 Sec. 47. Section 34A.7A, subsection 4, Code Supplement 2007, is amended to read as follows: 29 11 29 12 29 13 4. The amount collected from a wireless service provider 29 14 and deposited in the fund, pursuant to section 22.7, 29 15 subsection 6, information provided by a wireless service 29 16 provider to the program manager consisting of trade secrets, 29 17 pursuant to section 22.7, subsection 3, and other financial or 29 18 commercial operations information provided by a wireless 29 19 service provider to the program manager, shall be kept <u>-29 20 confidential an optional public record</u> as provided under 29 21 section 22.7. This subsection does not prohibit the inc This subsection does not prohibit the inclusion 29 22 of information in any report providing aggregate amounts and 29 23 information which does not identify numbers of accounts or 29 24 customers, revenues, or expenses attributable to an individual 29 25 wireless communications service provider. 29 26 Sec. 48. Section 68B.32B, subsection 11, Code Supplement 29 27 2007, is amended to read as follows: 29 28 11. A complaint shall be a public record, but some or all 29 29 of the contents may be treated as confidential an optional <u>29</u> 29 <u>30 public record</u> under section 22.7, subsection 18, to the extent necessary under subsection 3 of this section. Information informally reported to the board and board staff which results 31 29 32 29 33 in a board=initiated investigation shall be a public record 29 34 but may be treated as confidential information an optional <u>29</u> 30 public record consistent with the provisions of section 22.7, 35 subsection 18. If the complainant, the person who provides 1 30 2 information to the board, or the person who is the subject of an investigation publicly discloses the existence of an investigation, the board may publicly confirm the existence of 30 30 4 30 5 the disclosed formal complaint or investigation and, in the 30 6 board's discretion, make the complaint or the informal 30 7 referral public, as well as any other documents that were 30 8 issued by the board to any party to the investigation. 30 9 However, investigative materials may be furnished to the

30 10 appropriate law enforcement authorities by the board at any 30 11 time. Upon the commencement of a contested case proceeding by 30 12 the board, all investigative material relating to that 30 13 proceeding shall be made available to the subject of the 30 14 proceeding. The entire record of any contested case 30 15 proceeding initiated under this section shall be a public 30 16 record. 30 17 Sec. 49. Section 76.11, Code 2007, is amended to read as 30 18 follows: 30 19 CONFIDENTIALITY OF BOND HOLDERS == EXCEPTIONS. 76.11 30 20 Records of identity of owners of public bonds or 30 21 obligations maintained as provided in section 76.10 or by the 30 22 issuer of the bonds are confidential optional public records 30 23 entitled to protection under section 22.7, subsection 17-However, and the issuer of the bonds or a state or federal -30 2.4 30 25 agency may obtain information as necessary. 30 26 Sec. 50. Section 124.553, subsection 3, Code Supplement 30 27 2007, is amended to read as follows: 30 28 3. Information contained in the program and any 30 29 information obtained from it, and information contained in the 30 30 records of requests for information from the program, is 30 31 privileged and strictly confidential information. Such 30 32 information is a confidential an optional public record 30 33 pursuant to section 22.7, and is not subject to discovery, 30 34 subpoena, or other means of legal compulsion for release 30 35 except as provided in this division. Information from the 1 program shall not be released, shared with an agency or 31 31 2 institution, or made public except as provided in this 3 division. 31 4 Sec. 51. 31 Section 135.43, subsection 7, paragraphs a and b, 5 Code Supplement 2007, are amended to read as follows: 31 31 a. The Iowa department of public health and the department 6 31 7 of human services shall adopt rules providing for disclosure 8 of <u>optional public record</u> information which is confidential 9 under chapter 22 or any <u>confidential record information under</u> 31 31 31 10 any other provision of state law, to the review team for 31 11 purposes of performing its child death and child abuse review 31 12 responsibilities. 31 13 b. A person in possession or control of medical, 31 14 investigative, assessment, or other information pertaining to a child death and child abuse review shall allow the inspection and reproduction of the information by the 31 15 31 16 31 17 department upon the request of the department, to be used only 31 18 in the administration and for the duties of the Iowa child 31 19 death review team. Except as provided for a report on a child 31 20 fatality by an ad hoc child fatality review committee under 31 21 subsection 4, information and records produced under this 31 22 section which are confidential optional public records under 31 23 section 22.7 and confidential records under chapter 235A, and 31 24 information or records received from the confidential records, 31 25 remain confidential under this section. A person does not 31 26 incur legal liability by reason of releasing information to 31 27 the department as required under and in compliance with this 31 28 section. 31 29 Section 147A.26, subsection 2, Code 2007, is Sec. 52. 31 30 amended to read as follows: 2. The data collected by and furnished to the department 31 31 31 32 pursuant to this section are confidential optional public 31 33 records of the condition, diagnosis, care, or treatment of 31 34 patients or former patients, including outpatients, pursuant 31 35 to section 22.7. The compilations prepared for release or 32 1 dissemination from the data collected are not confidential 2 under section 22.7, subsection 2. However, information which 32 individually identifies patients shall not be disclosed and 32 3 32 4 state and federal law regarding patient confidentiality shall 32 5 apply. Sec. 53. Section 202A.2, subsection 3, paragraph b, Code 2007, is amended to read as follows: b. The department, in consultation with the office of 32 6 32 7 32 8 32 attorney general, shall designate information in purchase 9 32 10 reports that reveals the identity of a packer or livestock 32 11 seller as confidential optional public records pursuant to 32 12 section 22.7. Sec. 54. Section 232.149, subsection 2, Code 2007, is 32 13 32 14 amended to read as follows: 32 15 2. Records and files of a criminal or juvenile justice 32 16 agency concerning a child involved in a delinquent act are 32 17 public records, except that release of criminal history data, 32 18 intelligence data, and law enforcement investigatory files is 32 19 subject to the provisions of section 22.7 and chapter 692, and 32 20 juvenile court social records, as defined in section 232.2,

32 21 subsection 31, shall be deemed confidential optional <u>public</u> <u>32 22 record</u> criminal identification files under section 22.7, 32 23 subsection 9. The records are subject to sealing under 32 24 section 232.150 unless the juvenile court waives its 32 25 jurisdiction over the child so that the child may be 32 26 prosecuted as an adult for a public offense. 32 27 Sec. 55. Section 252B.24, subsection 3, Code 2007, is 32 28 amended to read as follows: 32 29 3. The records of the state case registry are <del>confidential</del> 32 30 optional public records pursuant to chapter 22 and may only be 32 31 disclosed or used as provided in section 252B.9. 32 32 Sec. 56. Section 252G.5, unnumbered paragraph 1, Code 32 33 2007, is amended to read as follows: 32 34 The records of the centralized employee registry are 32 35 confidential records pursuant to sections 22.7 and section 252B.9, and may be accessed only by state agencies as provided 33 1 33 2 in this section and section 252B.9. When a state agency 33 3 accesses information in the registry, the agency may use the 4 information to update the agency's own records. Access to and 33 use of the information contained in the registry shall be 33 5 33 6 limited to the following: Sec. 57. Section 321.189A, subsection 6, Code 2007, is 33 7 33 8 amended to read as follows: 6. The department shall keep <del>as confidential public</del> records under section 22.7, all records regarding licenses 33 9 -33 10 33 11 issued under this section as optional public records under 33 12 section 22.7. 33 13 Sec. 58. Section 452A.33, subsection 1, paragraph d, Code 33 14 2007, is amended to read as follows: The information included in a report submitted by a 33 15 d. 33 16 retail dealer is deemed to be a trade secret, protected as a -33 17 confidential record and is an optional public record pursuant 33 18 to section 22.7. Sec. 59. Section 452A.33, subsection 2, paragraph c, Code 33 19 33 20 2007, is amended to read as follows: 33 21 c. The report shall not provide information regarding 33 22 motor fuel or biofuel which is sold and dispensed by an 33 23 individual retail dealer or at a particular retail motor fuel 33 24 site. The report shall not include a trade secret <del>protected</del> -33 25 as a confidential record pursuant as referred to in section 33 26 22.7. 33 27 Sec Sec. 60. Section 455K.4, subsection 4, Code 2007, is 33 28 amended to read as follows: 33 29 4. Information that is disclosed under subsection 2, 33 30 paragraph "b", is confidential and is not subject to 33 31 disclosure under chapter 22. A governmental entity, -33 32 governmental employee, or governmental official who discloses -33-33 information in violation of this subsection is subject to the -33 <u>34 penalty provided in section 22.6.</u> 33 35 Sec. 61. Section 476.74, subsection 4, Code 2007, is 1 amended to read as follows: 34 34 2 4. VERIFIED COPIES REQUIRED. Every public utility shall 3 file with the board a verified copy of the contract or 34 34 4 arrangement referred to in this section, or a verified summary 5 of the unwritten contract or arrangement, and also of all the 6 contracts and arrangements or a verified summary of the 34 34 34 7 unwritten contracts or arrangements, whether written or 8 unwritten, entered into prior to July 1, 1989, and in force 9 and effect at that time. Any contract or agreement determined 34 34 34 10 by the board to be a confidential an optional public record 34 11 pursuant to section 22.7 shall be returned to the public 34 12 utility filing the confidential record within sixty days after 34 13 the contract or agreement is filed. Sec. 62. Section 477A.7, subsection 3, paragraph b, Code Supplement 2007, is amended to read as follows: 34 14 34 15 34 16 b. For purposes of this subsection, the number of 34 17 customers of a cable service provider or video service 34 18 provider shall be determined based on the relative number of 34 19 subscribers in that municipality at the end of the prior 34 20 calendar year as reported to the municipality by all incumbent 34 21 cable providers and holders of a certificate of franchise 34 22 authority. Any records showing the number of subscribers 34 23 shall be considered confidential optional public records 34 24 pursuant to section 22.7. The incumbent cable provider shall 34 25 provide to the municipality, on an annual basis, the 34 26 maintenance and support costs of the institutional network, 34 27 subject to an independent audit. A municipality acting under 34 28 this subsection shall notify and present a bill to competitive 34 29 cable service providers or competitive video service providers 34 30 for the amount of such support on an annual basis, beginning 34 31 one year after issuance of the certificate of franchise

34 32 authority. The annual institutional network support shall be 34 33 due and paid by the providers to the municipality in four 34 34 quarterly payments, not later than forty=five days after the 34 35 close of each quarter. The municipality shall reimburse the 35 1 incumbent cable provider for the amounts received from 35 2 competitive cable service providers or competitive video service providers. 35 3 35 Sec. 63. Section 502.607, subsection 2, Code 2007, is 4 amended to read as follows: 35 5 35 2. NONPUBLIC RECORDS OPTIONAL PUBLIC RECORDS. 6 7 Notwithstanding chapter 22, the following records are not 8 optional public records and are not available for public 35 35 35 9 examination under subsection 1: 35 10 a. A record obtained by the administrator in connection with an audit or inspection under section 502.411, subsection 35 11 35 12 4, or an investigation under section 502.602. 35 13 b. A part of a record filed in connection with a 35 14 registration statement under sections 502.301 and 502.303 35 15 through 502.305 or a record under section 502.411, subsection 35 16 4, that contains trade secrets or confidential information if 35 17 the person filing the registration statement or report has 35 18 asserted a claim of confidentiality or privilege that is 35 19 authorized by law. 35 20 35 21 c. A record that is not required to be provided to the administrator or filed under this chapter and is provided to 35 22 the administrator only on the condition that the record will 35 23 not be subject to public examination or disclosure. 35 24 d. A nonpublic record received from a person specified in 35 25 section 502.608, subsection 1. 35 26 e. Any social security number, residential address unless 35 27 used as a business address, and residential telephone number 35 28 unless used as a business telephone number, contained in a 35 29 record that is filed. 35 30 f. A record obtained by the administrator through a 35 31 designee that the administrator determines by rule or order 35 32 has been appropriately expunged from its own records by that 35 33 designee, if the administrator finds that such expungement is 35 34 in the public interest and does not impair investor 35 35 protection. 36 1 Sec. 64. Section 507.14, subsections 1 through 3, 5, and 2 6, Code Supplement 2007, are amended to read as follows: 36 36 3 1. A preliminary report of an examination of a domestic or 36 4 foreign insurer, and all notes, work papers, or other 36 5 documents related to an examination of an insurer are 36 6 confidential optional public records under chapter 22 except when sought by the insurer to whom they relate, an insurance 36 7 36 8 regulator of another state, or the national association of insurance commissioners, and shall be privileged and 36 9 36 10 confidential in any judicial or administrative proceeding 36 11 except any of the following: 36 12 36 13 An action commenced by the commissioner under chapter a. 507C. 36 14 b. An administrative proceeding brought by the insurance 36 15 division under chapter 17A. 36 16 A judicial review proceeding under chapter 17A brought с. 36 17 by an insurer to whom the records relate. 36 18 d. An action or proceeding which arises out of the 36 19 criminal provisions of the laws of this state or the United 36 20 States. 36 21 e. An action brought in a shareholders' derivative suit 36 22 against an insurer. 36 23 An action brought to recover moneys or to recover upon f. 36 24 an indemnity bond for embezzlement, misappropriation, or 36 25 misuse of insurer funds. 36 26 2. A report of an examination of a domestic or foreign insurer which is preliminary under the rules of the division 36 27 36 28 is a confidential <u>an optional public</u> record under chapter 22 36 29 except when sought by the insurer to which the report relates 36 30 or an insurance regulator of another state, and is privileged 36 31 and confidential in any judicial or administrative proceeding. 36 32 3. All work papers, notes, recorded information, 36 33 documents, market conduct annual statements, and copies 36 34 thereof that are produced or obtained by or disclosed to the 36 35 commissioner or any other person in the course of analysis by 37 the commissioner of the financial condition or market conduct 37 of an insurer are confidential optional public records under 2 37 3 chapter 22 and shall be privileged and confidential in any 37 4 judicial or administrative proceeding except any of the 37 5 following: 37 a. An action commenced by the commissioner under chapter 6 507C. 37 7

37 8 b. An administrative proceeding brought by the insurance 37 9 division under chapter 17A. 37 10 c. A judicial review proceeding under chapter 17A brought 37 11 by an insurer to whom the records relate. 37 12 d. An action or proceeding which arises out of the 37 13 criminal provisions of the laws of this state or the United 37 14 States. 37 15 5. A financial statement filed by an employer 37 16 self=insuring workers' compensation liability pursuant to 37 17 section 87.11, or the working papers of an examiner or the 37 18 division in connection with calculating appropriate security 37 19 and reserves for the self=insured employer are confidential 37 20 optional public records under chapter 22 except when sought by 37 21 the employer to which the financial statement or working 37 22 papers relate or an insurance or workers' compensation 37 23 self=insurance regulator of another state, and are privileged 37 24 and confidential in any judicial or administrative proceeding. 37 25 The financial information of a nonpublicly traded employer 37 26 which self=insures for workers' compensation liability 37 27 pursuant to section 87.11 is protected as proprietary trade 37 28 secrets to the extent consistent with the commissioner's 37 29 duties to oversee the security of self=insured workers' 37 30 compensation liability. 37 31 6. Analysis notes, work papers, or other documents related 37 32 to the analysis of an insurer are <del>confidential</del> <u>optional public</u> 37 33 records under chapter 22. 37 34 Sec. 65. Section 507A.4, subsection 10, paragraph b, Code 37 35 Supplement 2007, is amended to read as follows: 38 b. The sponsor of the health benefit plan shall file an 1 38 2 application for waiver from the provisions of this chapter with the commissioner as prescribed by the commissioner and 38 3 4 shall file periodic statements and information as required by 38 38 5 the commissioner. The commissioner shall adopt rules pursuant 6 to chapter 17A implementing this subsection. All statements 38 38 7 and information filed with or disclosed to the commissioner 38 8 pursuant to this subsection are confidential optional public 38 9 records pursuant to chapter 22. 38 10 Sec. 66. Section 507E.5, subsection 1, Code 2007, is 38 11 amended to read as follows: 38 12 1. All investigation files, investigation reports, and all 38 13 other investigative information in the possession of the 38 14 bureau are confidential records under chapter 22 except as 38 15 specifically provided in this section and are not subject to 38 16 discovery, subpoena, or other means of legal compulsion for 38 17 their release until opened for public inspection by the 38 18 bureau, or upon the consent of the bureau, or until a court of 38 19 competent jurisdiction determines, after notice to the bureau 38 20 and hearing, that the bureau will not be unnecessarily 38 21 hindered in accomplishing the purposes of this chapter by 38 22 their opening for public inspection. However, investigative 38 23 information in the possession of the bureau may be disclosed, 38 24 in the commissioner's discretion, to appropriate licensing 38 25 authorities within this state, another state or the District 38 26 of Columbia, or a territory or country in which a licensee is 38 27 licensed or has applied for a license. Sec. 67. Section 515.103, subsection 6, paragraph b, Code 38 28 38 29 Supplement 2007, is amended to read as follows: 38 30 b. Information filed with the commissioner of insurance 38 31 pursuant to this subsection shall be considered a confidential 38 32 record and be recognized and protected as a trade secret 38 33 pursuant to section 22.7, subsection 3. 38 34 Sec. 68. Section 523A.204, subsection 3, Code Supplement 2007, is amended to read as follows: 38 35 39 3. All records maintained by the commissioner under this 1 section shall be confidential optional public records pursuant to section 22.7, subsection 58, and shall not be made 39 2 39 3 39 4 available for inspection or copying except upon the approval 39 of the commissioner or the attorney general. 5 39 Sec. 69. Section 523A.502A, subsection 2, Code Supplement 6 39 2007, is amended to read as follows: 7 39 8 2. All records maintained by the commissioner under this section shall be confidential optional public records pursuant to section 22.7, subsection 58, and shall not be made 39 9 39 10 39 11 available for inspection or copying except upon the approval 39 12 of the commissioner or the attorney general. 39 13 Sec. 70. Section 523C.23, subsection 1, paragraph c, 39 14 unnumbered paragraph 1, Code 2007, is amended to read as 39 15 follows: Information obtained in the course of an investigation is 39 16 39 17 confidential shall be treated as an optional public record as 39 18 provided in section 22.7. However, upon a determination that

39 19 disclosure of the information is necessary or appropriate in 39 20 the public interest or for the protection of consumers, the 39 21 39 22 commissioner may do any of the following: Sec. 71. Section 556.24A, subsection 2, Code Supplement 2007, is amended to read as follows: 39 23 39 24 2. Notwithstanding any other provision of law, any other 39 25 identifying information set forth in any report, record, 39 26 claim, or other document submitted to the treasurer of state 39 27 pursuant to this chapter concerning unclaimed or abandoned 39 28 property <del>is a confidential</del> <u>shall be treated as an optional</u> <u>39 29 public</u> record as provided in section 22.7 and shall be made 39 39 30 available for public examination or copying only in the 39 31 discretion of the treasurer. Sec. 72. Section 692.8A, subsection 4, Code Supplement 2007, is amended to read as follows: 39 32 39 33 39 34 4. An intelligence assessment and intelligence data shall 39 35 be deemed a confidential record of the department under <del>40</del> section 22.7, subsection 55, except as otherwise provided in 2 this subsection. This section shall not be construed to 40 40 3 prohibit the dissemination of an intelligence assessment to 4 any agency or organization if necessary for carrying out the 40 5 official duties of the agency or organization, or to a person 40 40 6 if disseminated for an official purpose, and to a person if 40 7 necessary to protect a person or property from a threat of 40 This section shall also not be 8 imminent serious harm. 9 construed to prohibit the department from disseminating a 40 40 10 public health and safety threat advisory or alert by press 40 11 release or other method of public communication. 40 12 Sec. 73. Section 692A.13, subsection 8, Code 2007, is 40 13 amended to read as follows: 40 14 8. Sex offender registry records are confidential records 40 15 pursuant to section 22.7 and shall only be released as 40 16 provided in this section. Sec. 74. Section 708.2B, unnumbered paragraph 2, Code 2007, is amended to read as follows: 40 17 40 18 40 19 District departments or contract service providers shall 40 20 receive upon request peace officers' investigative reports 40 21 regarding persons participating in programs under this 40 22 section. The receipt of reports under this section shall not 40 23 waive the confidentiality of the reports under section 22.7. Sec. 75. Section 716.6B, subsection 1, paragraph a, Code 2007, is amended to read as follows: 40 24 40 25 40 26 a. An appravated misdemeanor if computer data is accessed 40 27 that contains a confidential an optional public record, as 40 28 defined in section 22.7, operational or support data of a 40 29 public utility, as defined in section 476.1, operational or 40 30 support data of a rural water district incorporated pursuant 31 to chapter 357A or 504, operational or support data of a 40 40 32 municipal utility organized pursuant to chapter 388 or 389 40 33 operational or support data of a public airport, or a trade secret, as defined in section 550.2. Sec. 76. Section 907.4, Code 200 40 34 40 35 Section 907.4, Code 2007, is amended to read as follows: 41 1 907.4 DEFERRED JUDGMENT DOCKET. A deferment of judgment under section 907.3 shall be entered promptly by the clerk of the district court, or the 41 2 41 3 41 4 41 5 clerk's designee, into the deferred judgment database of the 6 state, which shall serve as the deferred judgment docket. The 7 docket shall contain a permanent record of the deferred 41 41 41 8 judgment including the name and date of birth of the 41 9 defendant, the district court docket number, the nature of the 41 10 offense, and the date of the deferred judgment. Before 41 11 granting deferred judgment in any case, the court shall search 41 12 the deferred judgment docket and shall consider any prior 41 13 record of a deferred judgment against the defendant. 41 14 permanent record provided for in this section is  $\frac{1}{2}$ 41 15 confidential an optional public record exempted from public 41 16 access under section 22.7 and shall be available only to 41 17 justices of the supreme court, judges of the court of appeals, 41 41 18 district judges, district associate judges, judicial 41 19 magistrates, clerks of the district court, judicial district 41 20 departments of correctional services, county attorneys, and 41 21 the department of corrections requesting information pursuant 41 22 to this section, or the designee of a justice, judge, 41 23 magistrate, clerk, judicial district department of 41 24 correctional services, or county attorney, or department. Sec. 77. Section 915.90, unnumbered paragraph 1, Code 41 25 41 26 2007, is amended to read as follows: A person in possession or control of investigative or other 41 27 41 28 information pertaining to an alleged crime or a victim filing 41 29 for compensation shall allow the inspection and reproduction

41 30 of the information by the department upon the request of the 41 31 department, to be used only in the administration and 41 32 enforcement of the crime victim compensation program. 41 33 Information and records which are confidential optional public 41 34 records under section 22.7 and information or records received 41 35 from the confidential such information or records remain 42 1 confidential under this section. Sec. 78. Sec. 79. Section 22.6, Code 2007, is repealed. 42 2 42 EFFECTIVE DATE. Except for the section of this 42 4 Act establishing transition provisions for the Iowa public information board, this Act takes effect July 1, 2009. Sec. 80. IOWA PUBLIC INFORMATION BOARD == TRANSITION 42 5 42 6 PROVISIONS. 42 7 1. The initial members of the Iowa public information board established pursuant to this Act shall be appointed by 42 8 42 9 42 10 September 1, 2008. 42 11 2. Notwithstanding any provision of this Act to the contrary, the director of the board and employees of the board shall not be hired prior to July 1, 2009. 42 12 42 13 3. Prior to July 1, 2009, the board shall submit a report 42 14 to the governor and the general assembly. The report shall include a job description for the executive director of the 42 15 42 16 42 17 board, goals for board operations, and performance measures to 42 18 measure achievement of the board's goals. 42 19 Sec. 81. APPROPRIATION == IOWA PUBLIC INFORMATION BOARD. 42 20 There is appropriated from the general fund of the state to 42 21 the department of management for the fiscal year beginning 42 22 July 1, 2008, and ending June 30, 2009, the following amount, 42 23 or so much thereof as is necessary, to be used for the 42 24 following purpose: For the initial expenses of the Iowa public information 42 25 42 26 board as established in this Act: 42 27 .....\$ 6,000 42 28 EXPLANATION 42 29 This bill relates to Iowa's Open Meetings Law (Code chapter 42 30 21) and Iowa's Open Records Law (Code chapter 22). 42 31 DEFINITION OF MEETING. The bill expands the definition of 42 32 "meeting" to include the calculated use of a series of 42 33 communications, each between less than a majority of the 42 34 members of a governmental body or their personal 42 35 intermediaries that is intended to reach and does in fact 43 1 reach a majority of the members and that is intended to 43 2 discuss and develop a collective final decision of a majority 43 3 outside of a meeting with respect to specific action to be 43 4 taken by the majority at a meeting. The bill specifies that a "meeting" does not include written electronic communications 43 5 43 6 by one or more members of a governmental body or by its chief executive officer that are ordinarily preserved and are 43 8 accessible and that are sent to a majority of the members of 43 43 9 the governmental body, or a series of such written electronic 43 10 communications each sent only to a minority of the members of 43 11 the governmental body but that in the aggregate are sent to a 43 12 majority of the members, that both concern a particular matter 43 13 within the scope of the governmental body's policymaking 43 14 duties and would otherwise constitute a meeting, if the 43 15 written electronic communications, to the extent such 43 16 communications are not exempt from disclosure, are either 43 17 posted on the governmental body's internet site or public 43 18 bulletin board at least 24 hours prior to the next regular 43 19 meeting or copies are made available for public inspection at 43 20 least 24 hours prior to the governmental body's next meeting. 43 21 If a special meeting is held on the subject matter of the 43 22 communications before the next regular meeting, the 43 23 communications shall be posted at least 24 hours prior to the 43 24 special meeting or made available for public inspection at 43 25 least 24 hours prior to that meeting. 43 26 RECONVENED MEETINGS. The bill provides that except as 43 27 otherwise provided, a reconvened meeting of a governmental 43 28 body is also subject to the meeting notice requirements 43 29 pursuant to Code section 21.4. This requirement does not 43 30 apply to a meeting of a governmental body that is reconvened 43 31 within four hours of the start of its recess, where an 43 32 announcement of the time, date, and place of the reconvened 43 33 meeting is made at the original meeting in open session and 34 recorded in the minutes of the meeting and there is no change 35 in the agenda. The notice requirement also does not apply to 43 43 35 in the agenda. 1 a meeting held by a formally constituted subunit of a parent 44 44 2 governmental body during a lawful meeting of the parent 3 governmental body or during a recess in that meeting of up to 4 four hours, or a meeting of that subunit immediately following 44 44 44 5 the meeting of the parent governmental body, if the meeting of

44 6 the subunit is publicly announced in open session at the 7 parent meeting and the subject of the meeting reasonably 44 44 8 coincides with the subjects discussed or acted upon by the 9 parent governmental body. 44 44 10 MEETINGS OF PUBLIC HOSPITALS. The bill provides a new 44 11 exemption from the open meetings law relating to meetings of 44 12 public hospitals, as defined in Code section 249J.3. The bill 44 13 provides that a meeting of such a public hospital may be 44 14 closed to discuss patient care quality and process improvement 44 15 initiatives or to discuss marketing and pricing strategies or 44 16 similar proprietary information where public disclosure of 44 17 such information would harm such a hospital's competitive 44 18 position. The minutes and the audio recording of such a 44 19 closed session shall be available for public inspection when 44 20 the public disclosure would no longer harm the hospital's 44 21 competitive position. 44 22 CIVIL AND CRIMINAL PENALTY PROVISIONS. The bill increases 44 23 the civil penalty damage amounts for violations of the open 44 24 meetings and public records laws for each member of the 44 25 governmental body or each person who knowingly participated in 44 26 the violation from not less than \$100 and not more than \$500 44 27 to not less than \$1,000 and not more than \$2,500 subject to 44 28 the existing defenses contained in Code sections 21.6 and 44 29 22.10. 44 30 The The bill repeals the criminal penalty provision for knowing 44 31 violations or attempts to violate any provisions of the public 44 32 records law. RECORDS == DEFINITIONS. The bill provides a purpose 44 33 44 34 provision in the public records law and amends the terms used 44 35 to identify records and different classes of records under the 45 1 public records law. The bill defines a "record" under Code chapter 22 to mean 45 2 3 information of every kind, nature, and form preserved or 4 stored in any medium including but not limited to paper, 5 electronic media, or film media. The bill also designates the 45 45 45 45 6 following categories of records in Code chapter 22: 45 7 1. "Government record" means a record owned by, created 8 by, in the possession of, or under the control of, any unit, 9 division, or part of state or local government or the 45 45 45 10 officials or employees of such bodies in the course of the 45 11 performance of their respective duties. 45 12 2. "Public record" means a government record which a 45 13 member of the public has an unqualified right to examine and 45 14 copy and includes a government record not designated by 45 15 statute as either a confidential record or an optional public 45 16 record. 3. "Confidential record" means a government record 45 17 45 18 designated by statute as unavailable  $\overline{f}$  or examination and 45 19 copying by a member of the public. 45 20 4. "Optional public record" means a government record 45 21 designated by statute as unavailable for examination and 45 22 copying by a member of the public unless otherwise ordered by 45 23 a court, by the lawful custodian of the records, or by another 45 24 person duly authorized to release such information. 45 25 The bill makes conforming changes throughout the Code based 45 26 upon the new identification terms for various classes of 45 27 records established in the bill for Code chapter 22. The 45 28 conforming terminology changes provide for a continuation of 45 29 the current public disclosure status of records. Additional 45 30 conforming changes to these and other Code provisions may be 45 31 necessary to fully implement the new identification terms for 45 32 various classes of records established by the bill. 45 33 RECORDS ACCESS == GOVERNMENT BODY CONTRACTS WITH 45 34 NONGOVERNMENT BODY. Current law provides that a government 45 35 body may not avoid application of the public records law by 46 1 contracting out any of its functions to a nongovernment person 46 2 or entity. The bill provides that a record created by, in the 3 possession of, or under the control of, any nongovernment body 4 or person which is a direct part of the execution or 46 46 46 5 performance of duties imposed upon the nongovernment body or 46 6 person by contract with a government body under which the 46 7 nongovernment body or person performs a function of the 8 government body is a government record. The lawful custodian 46 46 9 of such a government record is the government body with whom 46 10 the nongovernment body or person has executed the contract. 46 11 Consistent with this change, the bill makes a conforming 46 12 amendment relating to records involving charitable donations 46 13 and specifies that, unless otherwise provided, the lawful 46 14 custodian of all records relating to the receipt, holding, and 46 15 disbursement of gifts made for the benefit of regents 46 16 institutions and made through foundations established for the

46 17 support of regents institutions is the regents institution to 46 18 be benefited by such gifts. 46 19 RECORDS REQUESTS == TIME LIMITS. The DIT provides that 46 20 upon receipt of an oral or written request to examine or copy 46 21 a public record, the lawful custodian shall, if the lawful 46 21 a public record the requested is a public record and i: 46 22 custodian knows the record requested is a public record and if 46 23 feasible in the ordinary course of business, permit such 46 24 examination or copying at the time of the request. If it If it is 46 25 not feasible in the ordinary course of business to permit 46 26 examination or copying of the public record at the time of the 46 27 request, the lawful custodian shall immediately notify the 46 28 requester, orally or in writing, when such examination or 46 29 copying may take place which shall be no later than five 46 30 business days from the time of the request unless there is 46 31 good cause for further delay. If further delay is necessary 46 32 because of good cause, the lawful custodian shall provide the 46 33 requester with a written statement detailing the reason or 46 34 reasons for the delay and the date by which the request will 46 35 be satisfied. If the lawful custodian is in doubt as to 47 1 whether the record requested is a public record or whether the 47 2 requester should be permitted to examine or copy a record 3 specified in Code section 22.7, the lawful custodian shall 4 make that determination within 10 business days from the date 47 47 5 of the request unless further delay is necessary because of a 6 pending request by the lawful custodian to the Iowa public 47 47 7 information board, or other good cause. Examination or 8 copying of the record shall be allowed within five business 47 47 47 a days from the date the lawful custodian makes the decision to 47 10 permit examination or copying of the record unless there is 47 11 good cause for further delay in fulfilling the request. Τf 12 the lawful custodian denies a request to examine or copy a 47 47 13 record, the custodian must provide the requester at the time 47 14 of the denial a written statement denying the request and 47 15 detailing the specific reason or reasons for the denial. If 47 16 the lawful custodian does not fulfill a request to examine or 47 17 copy a public record within the time frames prescribed, the 47 18 request shall be deemed denied and the requester shall be 47 19 entitled to file a complaint with the Iowa public information 47 20 board created in Code section 23.7 or may file a lawsuit 47 21 against the lawful custodian pursuant to Code section 22.10. RECORDS REQUESTS == FEES. The bill provides that if a 47 22 47 23 lawful custodian is a state executive branch agency, the 47 24 lawful custodian shall provide services in supervising the 47 25 review, examination, and copying of records at no charge for 47 26 up to three hours per month. 47 27 The bill amends a confidentiality provision of the public 47 28 records law relating to appraisal concerning the purchase of 47 29 real or personal property for a public purpose for consistency 47 30 with changes made to the eminent domain law. 47 31 PERSONAL INFORMATION IN CONFIDENTIAL PERSONNEL RECORDS. 32 Current law provides that personal information in confidential 33 personnel records of government bodies shall be confidential, 47 47 47 34 unless otherwise ordered by a court, by the lawful custodian, 47 35 or by another duly authorized person to release such 48 The bill specifies that the following shall be 1 information. 48 2 public records: the name and compensation of the individual 48 3 including any written compensation or employment agreements 48 except for information otherwise excludable; the date the individual was employed by the government body; the positions 4 48 5 48 the individual holds or has held with the government body; the 6 48 individual's qualifications for the position that the 7 48 8 individual holds or has held including but not limited to 9 educational background and work experience; and any final 48 48 10 disciplinary action taken against the individual that resulted 48 11 in the individual's discharge. The bill provides that 48 12 personal information in confidential personnel records of 48 13 government bodies relating to student employees shall only be 48 14 released as provided by federal law. 48 15 ADDITIONAL OPTIONAL PUBLIC RECORDS DESIGNATIONS. The bill 48 16 provides that the following records shall be confidential 48 17 unless otherwise ordered by a court, by the lawful custodian, 48 18 or by another duly authorized person: 48 19 1. PUBLIC EMPLOYMENT APPLICATIONS. The identity and 48 20 qualifications of an applicant for employment by a government 48 21 body if the applicant requests anonymity in writing and the 48 22 government body determines that anonymity is necessary to 48 23 induce the applicant to apply for the public employment 48 24 position shall be confidential. Such information shall be 48 25 exempt from disclosure until an applicant is considered by the 48 26 government body to be a finalist for a position in public 48 27 employment. "Finalist" means a person who is one of five or

48 28 fewer applicants under final consideration for a public 48 29 employment position. If there are five or fewer applicants 48 30 for the particular position, all of the applicants shall be 48 31 considered finalists. The identities and qualifications of 48 32 the finalists shall be made available for public inspection at 48 33 least three business days prior to the final decision. 48 34 Documents relating to a government body's evaluation of the 48 35 qualifications and merits of an applicant for employment by a 49 1 government body are also confidential records unless otherwise 49 2 released by the appropriate person. 3 2. TENTATIVE, PRELIMINARY, OR DRAFT MATERIALS. Tentative, 4 preliminary, draft, speculative, or research material, created 49 49 49 5 prior to its completion for the purpose for which it is 49 6 intended and in a form prior to the form in which it is 49 7 submitted for use or used in the actual formulation, 8 recommendation, adoption, or execution of any official policy 49 49 9 or action by a public official authorized to make such 49 10 decisions for the government body, are confidential unless 49 11 ordered otherwise by the appropriate official. Such materials 49 12 shall be treated as public record at the time they are 49 13 actually used as the basis for the final formulation, 49 14 recommendation, adoption, or execution of any official policy 49 15 or action of a government body. 49 16 3. CLOSED SESSION RECORDS. Information in records that 49 17 would permit a governmental body subject to Code chapter 21 to 49 18 hold a closed session pursuant to Code section 21.5 in order 49 19 to avoid public disclosure of that information. 49 20 INJUNCTION RESTRAINING EXAMINATION OF PUBLIC RECORDS. 49 21 Current law provides that, under specified circumstances, a 49 22 district court may grant an injunction restraining the 49 23 examination, including copying, of a specific public record or 49 24 a narrowly drawn class of public records. Such an injunction 49 25 may be issued only if the petition supported by affidavit 49 26 shows and if the court finds that the examination would 49 27 clearly not be in the public interest and that the examination 49 28 would substantially and irreparably injure any person or 49 29 persons. The bill amends this provision to provide that the 49 30 district court may grant an injunction upon a finding that the 49 31 examination would clearly not be in the public interest 49 32 because the potential harm to the public interest from 49 33 disclosure of the particular information involved clearly 49 34 outweighs any potential benefit to the public interest from 49 35 disclosure, or that the examination would substantially and 50 1 irreparably injure any person or persons because it would 50 2 invade the personal privacy of the identified subject of the 3 record and the harm to that person from such disclosure is not 50 50 4 outweighed by the public interest in its disclosure, or that 50 the record at issue is not a public record or that a 5 6 determination by the custodian to permit inspection of an 50 50 7 optional public record by one or more members of the public is 50 8 a violation of law or is arbitrary, capricious, unreasonable, 50 9 or an abuse of discretion. 50 10 SETTLEMENT AGREEMENTS. Code chapter 22 currently provides 50 11 that a written summary of the terms of settlement or other 50 12 disposition of any claim for damages made against any 50 13 government body or against an employee, officer, or agent of a 50 14 government body, by an insurer pursuant to a contract of 50 15 liability insurance issued to the governmental body, shall be 50 16 filed with the governmental body and shall be a public record. 50 17 The bill provides that all final binding settlement agreements 50 18 between any agency of this state or other unit or official of 50 19 such a government body that resolves a legal dispute between 50 20 such a government body and another person or entity shall 50 21 include a brief summary indicating the identity of the parties 50 22 involved, the nature of the dispute, any underlying relevant 50 23 facts, and the terms of the settlement, and shall be filed 50 24 with the government body and shall be available for public 50 25 inspection. 50 26 TAX=EXEMPT BONDING AUTHORITY == 7C == MEETINGS AND RECORDS. 50 27 The bill provides that an entity eligible to exercise 50 28 tax=exempt bonding authority under Code chapter 7C designated 50 29 by the state to serve as a secondary market for student loans 50 30 and a nonprofit tax=exempt bonding authority under chapter 7C 50 31 whose board of directors is appointed by the governor is 50 32 subject to the provisions of the open meetings and open 50 33 records laws. 50 34 IOWA PUBLIC INFORMATION BOARD. The bill creates the Iowa 50 35 public information board to provide an alternative means by 51 1 which to secure compliance with and enforcement of the 51 2 requirements of Code chapters 21 and 22, to consist of five 51 3 members appointed by the governor, subject to confirmation by

51 4 the senate, to serve four=year staggered terms. The board 5 shall be balanced as to political affiliation and gender. 51 51 6 Vacancies on the board shall be filled by the governor by appointment for the unexpired part of the term of the vacancy. 51 51 8 Any board member may be removed from office by the governor 51 9 for good cause. The board shall select one of its members to 51 10 serve as chair and shall hire a director who shall serve as 51 11 the executive officer of the board. Board members shall be 51 12 paid a per diem and shall be reimbursed for actual and 51 13 necessary expenses incurred while on official board business. 51 14 All per diem and expense moneys paid to board members shall be 51 15 paid from funds appropriated to the board. The board shall 51 16 not have jurisdiction over the judicial or legislative 51 17 branches of state government or any entity, officer, or 51 18 employee of those branches, or over the governor or the office 51 19 of the governor, but the bill does not alter the current 51 20 applicability of Code chapter 22 and the enforcement 51 21 mechanisms provided in Code chapter 22 to any of those bodies. 51 22 The bill provides that any aggrieved person, any taxpayer 51 23 to or citizen of the state of Iowa, the attorney general, or 51 24 any county attorney, may seek enforcement of the requirements 51 25 of Code chapters 21 and 22 by electing either to file an 51 26 action pursuant to Code section 17A.19, 21.6, or 22.9 51 27 whichever is applicable, or in the alternative, to file a 28 timely complaint with the board. If more than one person 51 51 29 seeks enforcement of Code chapter 21 or 22 with respect to the 51 30 same incident involving an alleged violation, and one or more 51 31 of such persons elects to do so by filing an action under Code 51 32 section 17A.19, 21.6, or 22.9, and one or more of such persons 51 33 elects to do so by filing a timely complaint with the board, 51 34 the court in which the action was filed shall dismiss the 51 35 action without prejudice authorizing the complainant to file a 52 complaint with respect to that same incident with the board 1 2 without regard to the timeliness of the filing of that 52 52 3 complaint at the time the action in court is dismissed. If a 52 4 person files an action seeking to enjoin the inspection of a 52 5 public record, the respondent may remove the proceeding to the 6 board for its determination by filing, within 30 days of the 7 commencement of that judicial proceeding, a complaint with the 52 52 52 8 board alleging a violation of Code chapter 22 in regard to the 52 9 same matter. 52 10 The bill provides that the board shall have the authority 52 11 to employ such employees as are necessary to execute its 52 12 authority, adopt rules with the force of law, interpret the 52 13 requirements of Code chapters 21 and 22, implement any 52 14 authority delegated to the board, issue declaratory orders 52 15 with the force of law, issue informal advice to any person 52 16 concerning the applicability of Code chapters 21 and 22, 52 17 receive complaints alleging violations of Code chapter 21 or 52 18 22, seek resolution of such complaints through mediation and 52 19 settlement, formally investigate such complaints, decide after 52 20 such an investigation whether there is probable cause to 52 21 believe a violation of Code chapter 21 or 22 has occurred, and 52 22 if probable cause has been found, prosecute the respondent 52 23 before the board in a contested case proceeding conducted 52 24 according to the provisions of Code chapter 17A. The board 52 25 shall also have the authority to issue subpoenas enforceable 52 26 in court, issue orders with the force of law, represent itself 52 27 in judicial proceedings, make training opportunities 52 28 available, disseminate information to inform the public about 52 29 the public's right to access government information, prepare 52 30 and transmit reports to the governor and the general assembly, 52 31 at least annually, describing complaints received, board 52 32 proceedings, investigations, hearings conducted, decisions 52 33 rendered, and other work performed by the board, and make 52 34 recommendations to the general assembly concerning legislation 52 35 relating to public information access. 53 The bill provides that a complaint must be filed within 60 1 53 2 days from the time the alleged violation occurred or the 53 complainant could have become aware of the violation with 3 53 4 reasonable diligence. The board shall not charge a complainant any fee in relation to the filing of a complaint, 53 5 the processing of a complaint, or any board proceeding or judicial proceeding resulting from the filing of a complaint. 53 6 53 7 8 The bill provides that upon receipt of a complaint, the 9 board shall either make a determination that, on its face, 53 53 the 53 10 complaint is within the board's jurisdiction, appears legally sufficient, and could have merit, in which case the board 53 11 53 12 shall accept the complaint, or make a determination that, on 53 13 its face, the complaint is outside the board's jurisdiction, 53 14 is legally insufficient, is frivolous, or without merit, or

53 15 involves harmless error, or relates to a specific incident 53 16 that has previously been finally disposed of on its merits by 53 17 the board or a court, in which case the board shall decline to 53 18 accept the complaint. If the board declines to accept the 53 19 complaint, the board shall provide the complainant with a 53 20 written statement detailing the reasons for the denial. 53 21 After accepting a complaint, the board shall work with the 53 22 parties to reach an informal resolution of the complaint, but 53 23 if an informal resolution is not possible, the board shall 53 24 offer the parties the opportunity to resolve the dispute 53 25 through mediation and settlement which shall provide the 53 26 complainant the opportunity to resolve the dispute with the 53 27 aid of a neutral mediator employed and selected by the board. 53 28 If any party declines mediation or settlement or if 53 29 mediation or settlement fails to resolve the matter to the 53 30 satisfaction of all parties, the board shall initiate a formal 53 31 investigation concerning the facts and circumstances set forth 53 32 in the complaint. After investigation, the board shall make a 53 33 determination as to whether the complaint is within the 53 34 board's jurisdiction and whether there is probable cause to 53 35 believe that the complaint states a violation of Code chapter 21 or 22 and if the board finds the complaint is outside the 54 1 54 2 board's jurisdiction or there is not probable cause to believe 3 there has been a violation, the board shall issue a written 4 order explaining the reasons for the board's conclusions and 54 54 5 dismissing the complaint. If the board finds the complaint is 54 6 within the board's jurisdiction and there is probable cause to 54 54 7 believe there has been a violation, the board shall issue a 8 written order to that effect and shall commence a contested 54 54 9 case proceeding against the respondent. An attorney selected 54 10 by the director of the board shall prosecute the respondent in 54 11 the contested case proceeding. At the termination of the 54 12 contested case proceeding the board shall, by a majority vote 54 13 of its members, render a final decision as to the merits of 54 14 the complaint and issue any appropriate order to ensure 54 15 enforcement of Code chapter 21 or 22 or to remedy any failure 54 16 of the respondent to observe any provision of those Code If the board determines, by a majority vote of its 54 17 chapters. 54 18 members, that the respondent has violated Code chapter 21 or 54 19 22, the board may also require the respondent to pay damages 54 20 if such damages would be warranted under either Code chapter 54 21 and may void any action taken in violation of Code chapter 21. 54 22 The board does not have the authority to remove a person from 54 23 public office for a violation of Code chapter 21 or 22 but may 54 24 file an action under either Code chapter to remove a person 54 25 from office for violations that would subject a person to 54 26 removal under those Code chapters. A final board order 54 27 resulting from such proceedings may be enforced by the board 54 28 in court and is subject to judicial review pursuant to Code 54 29 section 17A.19. 54 30 A respondent may defend against a proceeding before the 54 31 board charging a violation of Code chapter 21 or 22 on the 54 32 ground that if such a violation occurred it was only harmless 54 33 error or that clear and convincing evidence demonstrated that 54 34 grounds existed to justify a court to issue an injunction 54 35 against disclosure. 55 1 The bill provides that the initial members of the board 55 2 shall be appointed by September 1, 2008, the director and employees of the board shall not be hired prior to July 1 55 3 55 4 2009, and the board shall submit a report to include a job 55 description for the executive director of the board, goals for 5 55 6 board operations, and performance measures for the board prior 55 7 to July 1, 2009. 55 JUDICIAL BRANCH RECORDS == COURT RULES. The bill provides 8 that Code chapter 22 does not apply to government records owned, created, possessed, or under the control of the 55 9 55 10 55 11 judicial branch related to the performance by the court or the 55 12 court's judicial functions. The bill provides that the Iowa 55 13 supreme court shall prescribe rules governing access to such 55 14 records consistent with the purposes of Code chapter 22. 55 15 APPROPRIATION == IOWA PUBLIC INFORMATION BOARD. The bill 55 16 appropriates \$6,000 from the general fund to the department of 55 17 management for FY 2008=2009 for the initial expenses of the 55 18 Iowa public information board. 55 19 EFFECTIVE DATE. Except as otherwise provided, the bill 55 20 takes effect July 1, 2009. 55 21 LSB 5233SZ 82 55 22 rh/rj/14