

1 SENATE RESOLUTION NO. 5  
2 BY COMMITTEE ON ETHICS  
3 (SUCCESSOR TO SSB 1021)

4 A Resolution relating to the Senate Rules Governing  
5 Lobbyists and their interactions with the Senate and  
6 members of the Senate during the Eighty-First General  
7 Assembly.

8 BE IT RESOLVED BY THE SENATE, That the Senate Rules  
9 Governing Lobbyists for the ~~Eightieth~~ Eighty-first  
10 General Assembly shall be as follows:

11 SENATE RULES GOVERNING LOBBYISTS

12 1. DEFINITIONS. As used in these rules, "client",  
13 "gift", "honoraria" or "honorarium", "immediate family  
14 member" and "lobbyist" have the meaning provided in  
15 chapter 68B. As used in these rules the term  
16 "political action committee" means a committee, but  
17 not a candidate's committee, which accepts  
18 contributions, makes expenditures, or incurs  
19 indebtedness in the aggregate of more than seven  
20 hundred fifty dollars in any one calendar year to  
21 expressly advocate the nomination, election, or defeat  
22 of a candidate for public office or to expressly  
23 advocate the passage or defeat of a ballot issue or  
24 influencing legislative action, or an association,  
25 lodge, society, cooperative, union, fraternity,  
26 sorority, educational institution, civic organization,  
27 labor organization, religious organization, or  
28 professional or other organization which makes  
29 contributions in the aggregate of more than seven  
30 hundred fifty dollars in any one calendar year to

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1 expressly advocate the nomination, election, or defeat  
2 of a candidate for public office or to expressly  
3 advocate the passage or defeat of a ballot issue or  
4 influencing legislative action.

5 2. APPLICABILITY. These rules are only applicable  
6 to lobbying activities involving the Iowa general  
7 assembly.

8 3. REGISTRATION REQUIRED. All lobbyists shall, on  
9 or before the day their lobbying activity begins,  
10 register in the manner provided under section 68B.36  
11 by filing a completed lobbyist's registration form  
12 with the person or persons designated by the chief  
13 clerk of the house and the secretary of the senate to  
14 receive lobbyist registration statements. Lobbyist  
15 registration forms shall be available in the office of  
16 the chief clerk of the house and the secretary of the  
17 senate. In addition, the lobbyist shall file with the  
18 secretary of the senate a statement of the general  
19 subjects of legislation in which the lobbyist is or  
20 may be interested, the numbers of the bills and  
21 resolutions and the bill number of study bills (if  
22 known) which will be lobbied, whether the lobbyist  
23 intends to lobby for or against each bill, resolution,  
24 or study bill (if known), and on whose behalf the  
25 lobbyist is lobbying the bill, resolution, or study  
26 bill.

27 Any change in or addition to the foregoing  
28 information shall be registered with the person or  
29 persons designated by the chief clerk of the house and  
30 the secretary of the senate to receive lobbyist

1 registration statements within ten days after the  
2 change or addition is known to the lobbyist.

3 Registration expires upon the commencement of the  
4 next regular session of the general assembly, except  
5 that the secretary of the senate may adopt and  
6 implement a reasonable pre-registration procedure in  
7 advance of each regular session during which persons  
8 may register for that session and the following  
9 legislative interim.

10 4. CANCELLATION OF REGISTRATION. If a lobbyist's  
11 service on behalf of a particular employer, client, or  
12 cause is concluded prior to the end of the calendar  
13 year, the lobbyist may cancel the registration on  
14 appropriate forms supplied by the secretary of the  
15 senate. Upon cancellation of registration, a lobbyist  
16 is prohibited from engaging in any lobbying activity  
17 on behalf of that particular employer, client, or  
18 cause until reregistering and complying with these  
19 rules. A lobbyist's registration is valid for only  
20 one session of a general assembly.

21 5. AMENDMENT OF REGISTRATION. If a registered  
22 lobbyist represents more than one employer, client, or  
23 cause and the lobbyist's services are concluded on  
24 behalf of a particular employer, client, or cause  
25 after the lobbyist registers but before the first day  
26 of the next legislative session, the lobbyist shall  
27 file an amendment to the lobbyist's registration  
28 indicating which employer, client, or cause is no  
29 longer represented by the lobbyist and the date upon  
30 which the representation concluded.

1 If a lobbyist is retained by one or more additional  
2 employers, clients, or causes after the lobbyist  
3 registers but before the first day of the next  
4 legislative session, the lobbyist shall file an  
5 amendment to the lobbyist's registration indicating  
6 the employer, client, or cause to be added and the  
7 date upon which the representation begins.

8 Amendments to a lobbyist's registration regarding  
9 changes which occur during the time that the general  
10 assembly is in session shall be filed within one  
11 working day after the date upon which the change in  
12 the lobbyist's representation becomes effective.

13 6. PUBLIC ACCESS. All information filed by a  
14 lobbyist or a client of a lobbyist under chapter 68B  
15 of the Code is a public record and open to public  
16 inspection at any reasonable time.

17 7. LOBBYIST AND CLIENT REPORTING. Each lobbyist  
18 registered with the senate and each lobbyist's client  
19 shall file the reports required under sections 68B.37  
20 and 68B.38 with the secretary of the senate.

21 For purposes of this rule, and the reports required  
22 under sections 68B.37 and 68B.38, "services enumerated  
23 under section 68B.2, subsection 13, paragraph "a" and  
24 "lobbying purposes" include, but are not limited to,  
25 the following:

26 a. Time spent by the lobbyist at the state capitol  
27 building commencing with the first day of a  
28 legislative session and ending with the day of final  
29 adjournment of each legislative session as indicated  
30 by the journals of the house and senate.

1 b. Time spent by the lobbyist attending meetings  
2 or hearings which results in the lobbyist  
3 communicating with members of the general assembly or  
4 legislative employees about current or proposed  
5 legislation.

6 c. Time spent by the lobbyist researching and  
7 drafting proposed legislation with the intent to  
8 submit the legislation to a member of the general  
9 assembly or a legislative employee.

10 d. Time spent by the lobbyist actually  
11 communicating with members of the general assembly and  
12 legislative employees about current or proposed  
13 legislation.

14 8. GOVERNMENT OFFICIALS. All federal, state, and  
15 local officials or employees representing their  
16 departments, commissions, boards, or agencies shall  
17 present to the secretary of the senate a letter of  
18 authorization from their department or agency heads  
19 prior to the commencement of their lobbying. The  
20 lobbyist registration statement of these officials and  
21 employees shall not be deemed complete until the  
22 letter of authorization is attached. Federal, state,  
23 and local officials who wish to lobby in opposition to  
24 their departments, commissions, boards, or agencies  
25 must indicate such on their lobbyist registration  
26 statements.

27 9. CHARGE ACCOUNTS AND LOANS. Lobbyists and the  
28 organizations they represent shall not allow any  
29 senators to charge any amounts or items to any charge  
30 account to be paid for by those lobbyists or by the

1 organizations they represent. A lobbyist shall not  
2 make a loan to a senator unless the loan is made in  
3 the ordinary course of business, the lobbyist is in  
4 the business of making loans, and the terms and  
5 conditions of the loan are the same or substantially  
6 similar to the finance charges and loan terms that are  
7 available to members of the general public.

8 10. OFFERS OF ECONOMIC OPPORTUNITY. A lobbyist,  
9 an employer of a lobbyist, or a political action  
10 committee shall not offer economic or investment  
11 opportunity or promise of employment to any senator  
12 with intent to influence the senator's conduct in the  
13 performance of official duties.

14 A lobbyist shall not take action intended to  
15 negatively affect the economic interests of a senator.

16 For purposes of this rule, supporting or opposing a  
17 candidate for office or supporting or opposing a bill,  
18 amendment, or resolution shall not be considered to be  
19 action intended to negatively affect the economic  
20 interests of a senator.

21 11. MEMBERSHIP CONTRIBUTIONS. A lobbyist, or  
22 employer of a lobbyist, shall not pay for membership  
23 in or contributions to clubs or organizations on  
24 behalf of a senator.

25 12. ACCESS TO SENATE FLOOR. Lobbyists shall not  
26 be permitted on the floor of the senate while the  
27 senate is in session. Elected state officials, except  
28 the governor, lieutenant governor, and the members of  
29 the house of representatives, shall not be permitted  
30 on the floor of the senate while the senate is in

1 session to encourage the passage, defeat, or  
2 modification of legislation.

3 13. EFFECTIVE PERIOD. These rules governing  
4 lobbyists shall be in effect throughout the calendar  
5 year, whether or not the general assembly is in  
6 session.

7 14. GIFTS. A lobbyist or the client of a lobbyist  
8 shall not, directly or indirectly, offer or make a  
9 gift or a series of gifts to a senator, except as  
10 otherwise provided in section 68B.22.

11 15. HONORARIA. A lobbyist or client of a lobbyist  
12 shall not give an honorarium to a member or employee  
13 of the senate, except as otherwise provided in section  
14 68B.23.

15 16. COMPLAINTS. Rules 15 through 23 of the senate  
16 code of ethics apply to complaints and procedures  
17 regarding violations of these rules.

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Lamberti - Chair  
Kibbie  
Mulder  
Dearden

SSB# 1021  
Ethics

Succeeded By  
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1 SENATE RESOLUTION NO. \_\_\_\_\_  
2 BY (PROPOSED COMMITTEE ON ETHICS RESOLUTION  
3 BY CO-CHAIRPERSONS KIBBIE and LAMBERTI)  
4 A Resolution relating to the Senate Rules Governing  
5 Lobbyists and their interactions with the Senate and  
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