APR 9 2003 APPROPRIATIONS CALENDAR

HOUSE FILE 672 BY COMMITTEE ON APPROPRIATIONS

HF 672

(SUCCESSOR TO HF 596) (SUCCESSOR TO HSB 239)

Passed	House,	Date	4/23/03	Passed	Senate,	Date _	
Vote:	Ayes _		Nays	Vote:	Ayes	Nā	ays
	1	Approv	red			_	

A BILL FOR

1	An	Act relating to the regulation of adult day services,												
2		providing for appropriations and penalties, and providing an												
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4	BE	IT ENACTED BY THE GENERAL ASSEMBLY OF THE STATE OF IOWA:												
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1 Section 1. NEW SECTION. 231D.1 DEFINITIONS.

2 For the purposes of this chapter, unless the context 3 otherwise requires:

I. "Adult day services", "adult day services program", or "program" means an organized program providing a variety of health, social, and related support services for sixteen hours or less in a twenty-four-hour period to two or more persons with a functional impairment on a regularly scheduled, contractual basis.

10 2. "Functional impairment" means a psychological, 11 cognitive, or physical impairment creating the inability to 12 perform personal and instrumental activities of daily living 13 and associated tasks necessitating some form of supervision or 14 assistance or both.

15 3. "Governmental unit" means the state, or any county, 16 municipality, or other political subdivision or any 17 department, division, board, or other agency of any of these 18 entities.

19 4. "Nursing care" means services which are required to be 20 provided only under the direction of a registered nurse or a 21 licensed practical nurse.

"Recognized accrediting entity" means a nationally 22 5. 23 recognized accrediting entity that the department of elder 24 affairs, in cooperation with the department of inspections and 25 appeals, recognizes as having specific adult day services 26 program standards equivalent to the standards established by 27 the department of elder affairs, in cooperation with the 28 department of inspections and appeals, for adult day services. 29 "Social services" means services relating to the 6. 30 psychological and social needs of the individual in adjusting 31 to participating in an adult day services program, and 32 minimizing the stress arising from that circumstance. 7. "Supervision" means direct oversight and inspection of 33 34 the act of accomplishing a function or activity. 35 Sec. 2. NEW SECTION. 231D.2 PURPOSE -- INTENT -- RULES

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1 -- SPECIAL CLASSIFICATIONS.

1. The purpose of this chapter is to promote and encourage
 adequate and safe care for adults with functional impairments.
 2. It is the intent of the general assembly that the
 5 department of elder affairs establish policy for adult day
 6 services programs and that the department of inspections and
 7 appeals, in cooperation with the department of elder affairs,
 8 enforce this chapter.

The department of elder affairs, in cooperation with 9 3. 10 the department of inspections and appeals, shall establish, by 11 rule in accordance with chapter 17A, a program for 12 certification and monitoring of and complaint investigations 13 related to adult day services programs. The department of 14 elder affairs, in cooperation with the department of 15 inspections and appeals, in establishing standards for adult 16 day services programs, may adopt by rule in accordance with 17 chapter 17A, nationally recognized standards for adult day 18 services programs. The rules shall include specification of 19 recognized accrediting entities. The rules and standards 20 adopted shall be formulated in consultation with affected 21 industry, professional, and consumer groups and shall be 22 designed to accomplish the purpose of this chapter.

4. In addition to the adoption of standards and rules for adult day services programs, the department of elder affairs, in cooperation with the department of inspections and appeals, and in consultation with affected industry, professional, and consumer groups, shall issue interpretive guidelines, including the expectations of program certification monitors, provide direction to adult day services programs in complying with certification requirements.

31 5. The department of elder affairs, in cooperation with 32 the department of inspections and appeals, may establish by 33 administrative rule special classifications for adult day 34 services providers. The department of inspections and appeals 35 shall issue separate certificates for each special

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1 classification for which a provider is certified.

Sec. 3. NEW SECTION. 231D.3 CERTIFICATION REQUIRED. 2 3 1. A person or governmental unit acting severally or 4 jointly with any other person or governmental unit shall not 5 establish or operate an adult day services program and shall 6 not represent an adult day services program to the public as 7 certified unless and until the program is certified pursuant 8 to this chapter. If an adult day services program is 9 voluntarily accredited by a recognized accrediting entity with 10 specific adult day services standards, the department of 11 inspections and appeals shall accept voluntary accreditation 12 as the basis for certification by the department. The owner 13 or manager of a certified adult day services program shall 14 comply with the rules adopted by the department of elder 15 affairs, in cooperation with the department of inspections and 16 appeals, for an adult day services program.

17 2. An adult day services program may provide any type of 18 adult day services for which the program is certified, 19 including any special classification of adult day services. 20 An adult day services program shall provide services and 21 supervision commensurate with the needs of the recipients. An 22 adult day services program shall not provide services to 23 individuals requiring a level or type of services for which 24 the program is not certified and services provided shall not 25 exceed the level or type of services for which the program is 26 certified.

3. An adult day services program that has been certified by the department of inspections and appeals shall not alter the program, operation, or adult day services for which the program is certified in a manner that affects continuing certification without prior approval of the department of inspections and appeals. The department of inspections and appeals shall specify, by rule, alterations that are subject to prior approval.

35 4. A department, agency, or officer of this state or of

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1 any political subdivision shall not pay or approve for payment 2 from public funds any amount to an adult day services program 3 for an actual or perspective recipient, unless the program 4 holds a current certificate issued by the department of 5 inspections and appeals and meets all current requirements for 6 certification.

7 5. The department of elder affairs, in cooperation with 8 the department of inspections and appeals, shall adopt rules 9 regarding the conducting or operating of another business or 10 activity in the distinct part of the physical structure in 11 which the adult day services program is provided, if the 12 business or activity serves nonrecipients of adult day 13 services. The rules shall be developed in consultation with 14 affected industry, professional, and consumer groups.

15 Sec. 4. <u>NEW SECTION</u>. 231D.4 APPLICATION AND FEES.
16 1. Certificates for adult day services programs shall be
17 obtained from the department of inspections and appeals.
18 Applications shall be upon such forms and shall include such
19 information as the department of inspections and appeals may
20 reasonably require, which may include affirmative evidence of
21 compliance with applicable statutes and local ordinances.
22 Each application for certification shall be accompanied by the
23 appropriate fee.

24 2. The department of elder affairs, in cooperation with 25 the department of inspections and appeals, shall establish 26 certification fees by rule in accordance with chapter 17A. 27 The department of inspections and appeals shall collect and 28 retain adult day services certification fees. The fees shall 29 be deposited in an adult day services program fund created in 30 the state treasury under the authority of the department of 31 inspections and appeals and are appropriated to the department 32 of inspections and appeals to carry out the purposes of this 33 chapter. Amounts deposited in the fund shall not be 34 transferred, used, obligated, appropriated, or otherwise 35 encumbered except as provided in this section.

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1 Notwithstanding section 12C.7, subsection 2, interest or 2 earnings on moneys deposited into the fund shall be credited 3 to the fund. Notwithstanding section 8.33, amounts deposited 4 in the fund that remain unexpended or unencumbered at the 5 close of the fiscal year shall remain in the fund for 6 utilization as provided in this section for the following 7 fiscal year.

8 Sec. 5. <u>NEW SECTION</u>. 231D.5 DENIAL, SUSPENSION, OR 9 REVOCATION.

10 1. The department of inspections and appeals may deny, 11 suspend, or revoke certification if the department of 12 inspections and appeals finds that there has been a 13 substantial or repeated failure on the part of the adult day 14 services program to comply with this chapter or the rules or 15 minimum standards adopted pursuant to this chapter, or for any 16 of the following reasons:

17 a. Cruelty or indifference to adult day services program18 service recipients.

b. Appropriation or conversion of the property of an adult day services programs service recipient without the recipient's written consent or the written consent of the service recipient's legal guardian.

c. Permitting, aiding, or abetting the commission of any24 illegal act in the adult day services program.

d. Obtaining or attempting to obtain or retain
26 certification by fraudulent means, misrepresentation, or by
27 submitting false information.

e. Habitual intoxication or addiction to the use of drugs
by the applicant, owner, manager, or supervisor of the adult
day services program.

31 f. Securing the devise or bequest of the property of a 32 recipient of services of an adult day services program by 33 undue influence.

34 g. Failure or neglect to maintain a continuing education 35 and training program for all personnel employed in the adult

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l day services program.

2 h. Founded dependent adult abuse as defined in section3 235B.2.

4 i. For any other reason as provided by law or 5 administrative rule.

6 2. In the case of an application by an existing 7 certificate holder for a new or newly acquired adult day 8 services program, continuing or repeated failure of the 9 certificate holder to operate any previously certified adult 10 day services program in compliance with this chapter or of the 11 rules adopted pursuant to this chapter.

12 3. In the case of a certificate applicant or existing 13 certificate holder which is an entity other than an 14 individual, the department of inspections and appeals may 15 deny, suspend, or revoke a certificate if any individual who 16 is in a position of control or is an officer of the entity 17 engages in any act or omission proscribed by this section. 18 Sec. 6. <u>NEW SECTION</u>. 231D.6 NOTICE -- APPEAL --19 EMERGENCY PROVISIONS.

1. The denial, suspension, or revocation of a certificate shall be effected by delivering to the applicant or certificate holder by restricted certified mail or by personal service a notice setting forth the particular reasons for the action. The denial, suspension, or revocation shall become effective thirty days after the mailing or service of the notice, unless the applicant or certificate holder, within the thirty-day period, requests a hearing, in writing, of the department of inspections and appeals, in which case the notice shall be deemed to be suspended.

30 2. The denial, suspension, or revocation of a certificate 31 may be appealed in accordance with rules adopted by the 32 department of inspections and appeals in accordance with 33 chapter 17A.

34 3. When the department of inspections and appeals finds35 that an immediate danger to the health or safety of recipients

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1 of services from an adult day services program exists which 2 requires action on an emergency basis, the department of 3 inspections and appeals may direct the removal of all 4 recipients of services from an adult day services program and 5 suspend the certificate prior to a hearing. 6 Sec. 7. NEW SECTION. 231D.7 CONDITIONAL OPERATION. 7 The department of inspections and appeals may, as an 8 alternative to denial, suspension, or revocation of 9 certification under section 231D.5, conditionally issue or 10 continue certification dependent upon the performance by the 11 adult day services program of reasonable conditions within a 12 reasonable period of time as prescribed by the department of 13 inspections and appeals so as to permit the program to 14 commence or continue the operation of the program pending full 15 compliance with this chapter or the rules adopted pursuant to 16 this chapter. If the adult day services program does not make 17 diligent efforts to comply with the conditions prescribed, the 18 department of inspections and appeals may, under the 19 proceedings prescribed by this chapter, suspend or revoke the 20 certificate. An adult day services program shall not be 21 operated under conditional certification for more than one 22 year.

23 Sec. 8. <u>NEW SECTION</u>. 231D.8 DEPARTMENT NOTIFIED OF 24 CASUALTIES.

The department of inspections and appeals shall be notified within twenty-four hours, by the most expeditious means available, of any accident causing substantial injury or a death, and any substantial fire or natural or other disaster occurring at or near an adult day services program. Sec. 9. <u>NEW SECTION</u>. 231D.9 COMPLAINTS AND

31 CONFIDENTIALITY.

32 1. A person with concerns regarding the operations or 33 service delivery of an adult day services program may file a 34 complaint with the department of inspections and appeals. The 35 name of the person who files a complaint with the department

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1 of inspections and appeals and any personal identifying 2 information of the person or any recipient of program services 3 identified in the complaint shall be kept confidential and 4 shall not be subject to discovery, subpoena, or other means of 5 legal compulsion for its release to a person other than 6 employees of the department of inspections and appeals 7 involved in the investigation of the complaint.

8 2. The department of elder affairs, in cooperation with 9 the department of inspections and appeals, shall establish 10 procedures for the disposition of complaints received in 11 accordance with this section.

12 Sec. 10. <u>NEW SECTION</u>. 231D.10 PUBLIC DISCLOSURE OF 13 FINDINGS.

Following a monitoring evaluation or complaint finvestigation of an adult day services program by the department of inspections and appeals pursuant to this rhapter, the department's final findings with respect to compliance by the adult day services program with requirements for certification shall be made available to the public in a readily available form and place. Other information relating to an adult day services program that is obtained by the department of inspections and appeals which does not constitute the department's final findings from a monitoring evaluation or complaint investigation of the adult day services program shall not be made available to the public except in proceedings involving the denial, suspension, or revocation of a certificate under this chapter.

28 Sec. 11. <u>NEW SECTION</u>. 231D.11 PENALTIES.

1. A person establishing, conducting, managing, or operating an adult day services program without a certificate is guilty of a serious misdemeanor. Each day of continuing violation after conviction or notice from the department of inspections and appeals by certified mail of a violation shall be considered a separate offense or chargeable offense. A person establishing, conducting, managing, or operating an

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1 adult day services program without a certificate may be ;
2 temporarily or permanently restrained by a court of competent
3 jurisdiction from such activity in an action brought by the
4 state.

5 2. A person who prevents or interferes with or attempts to 6 impede in any way any duly authorized representative of the 7 department of inspections and appeals in the lawful 8 enforcement of this chapter or of the rules adopted pursuant 9 to this chapter is guilty of a simple misdemeanor. As used in 10 this subsection, lawful enforcement includes but is not 11 limited to:

a. Contacting or interviewing any participant of an adult
13 day services program in private at any reasonable hour and
14 without advance notice.

15 b. Examining any relevant records of an adult day services 16 program.

17 c. Preserving evidence of any violation of this chapter or18 of the rules adopted pursuant to this chapter.

19 3. A civil penalty, as established by rule, may apply in 20 any of the following situations:

a. Program noncompliance with one or more regulatory
requirements has caused or is likely to cause harm, serious
injury, threat, or death to a recipient of program services.
b. Program failure or refusal to comply with regulatory

25 requirements within prescribed time frames.

26 Sec. 12. <u>NEW SECTION</u>. 231D.12 RETALIATION BY AN ADULT 27 DAY SERVICES PROGRAM PROHIBITED.

28 1. An adult day services program shall not discriminate or 29 retaliate in any way against a recipient, recipient's family, 30 or an employee of the program who has initiated or 31 participated in any proceeding authorized by this chapter. An 32 adult day services program that violates this section is 33 subject to a penalty as established by administrative rule, to 34 be assessed and collected by the department of inspections and 35 appeals and paid into the state treasury to be credited to the

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1 general fund of the state.

2 2. Any attempt to discharge a recipient from an adult day 3 services program by whom or upon whose behalf a complaint has 4 been submitted to the department of inspections and appeals 5 under section 231D.9, within ninety days after the filing of 6 the complaint or the conclusion of any proceeding resulting 7 from the complaint, shall raise a rebuttable presumption that 8 the action was taken by the program in retaliation for the 9 filing of the complaint, except in situations in which the 10 recipient is discharged due to changes in health status which 11 exceed the level of care offered by the adult day services 12 program or in other situations as specified by rule.

13 Sec. 13. <u>NEW SECTION</u>. 231D.13 NURSING ASSISTANT AND 14 MEDICATION AIDE -- CERTIFICATION.

15 The department of inspections and appeals, in cooperation 16 with other appropriate agencies, shall establish a procedure 17 to allow nursing assistants or medication aides to claim work 18 within adult day services programs as credit toward sustaining 19 the nursing assistant's or medication aide's certification. 20 Sec. 14. <u>NEW SECTION</u>. 231D.14 CRIMINAL RECORDS 21 INVESTIGATION CHECK.

22 An adult day services program shall comply with section 23 135C.33.

24 Sec. 15. <u>NEW SECTION</u>. 231D.15 FIRE AND SAFETY STANDARDS. 25 The state fire marshal shall adopt rules, in coordination 26 with the department of inspections and appeals, relating to 27 the certification and monitoring of the fire and safety 28 standards of adult day services programs.

Sec. 16. <u>NEW SECTION</u>. 231D.16 TRANSITION PROVISIONS.
1. Adult day services programs voluntarily accredited by a
31 recognized accrediting entity prior to July 1, 2003, shall
32 comply with this chapter by June 30, 2004.

33 2. Adult day services programs that are serving at least 34 two but not more than five persons that are not voluntarily 35 accredited by a recognized accrediting entity prior to July 1,

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2003, shall comply with this chapter by June 30, 2005.
 Sec. 17. Section 100.1, subsection 6, Code 2003, is
 amended to read as follows:

4 6. To adopt rules designating a fee to be assessed to each 5 building, structure, or facility for which a fire safety 6 inspection or plan review by the state fire marshal is 7 required as-a-condition-of-licensure by law. The fee 8 designated by rule shall be set in an amount that is 9 reasonably related to the costs of conducting the applicable 10 inspection or plan review. The fees collected by the state 11 fire marshal shall be retained by the state fire marshal and 12 deposited in the-general a separate fund of created in the 13 state treasury under the authority of the state fire marshal 14 and are appropriated to the state fire marshal to carry out 15 the purpose of this subsection. Amounts deposited in the fund 16 shall not be transferred, used, obligated, appropriated, or 17 otherwise encumbered, except as provided in this subsection. 18 Notwithstanding section 12C.7, subsection 2, interest or 19 earnings on moneys deposited in the fund shall be credited to 20 the fund. Notwithstanding section 8.33, amounts deposited in 21 the fund that remain unexpended or unencumbered at the close 22 of the fiscal year shall remain in the fund for utilization as 23 provided in this subsection for the following fiscal year. 24 Sec. 18. Section 135C.1, subsection 1, Code 2003, is 25 amended to read as follows: "Adult day services" means adult day services as 26 1. 27 defined in section 231-61 231D.1 that are provided in a 28 licensed health care facility. Sec. 19. Section 231C.2, Code 2003, is amended by adding 29 30 the following new subsection: "Adult day services" means adult day 31 NEW SUBSECTION. 0A. 32 services as defined in section 231D.1.

33 Sec. 20. Section 231.61, Code 2003, is repealed.

34 Sec. 21. DEPARTMENTAL REPORT. The department of 35 inspections and appeals, in consultation with the department

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1 of elder affairs and the department of public safety, shall 2 submit a written report to the general assembly by December 3 31, 2004, with copies to the joint appropriations subcommittee 4 on health and human services that provides details regarding 5 the implementation of this Act, including fees collected 6 annually, and expenses incurred by the affected state agencies 7 for administration, certification issuance, inspection, and 8 other costs related to this Act. The department of 9 inspections and appeals shall also include information in the 10 report regarding its projections as to whether the fees 11 imposed under this Act are sufficient to cover future expenses 12 of affected state agencies under this Act.

13 Sec. 22. ADULT DAY SERVICES -- PERSONS WITH MENTAL 14 RETARDATION. For the period beginning July 1, 2003, and 15 ending June 30, 2004, if an adult day services program serving 16 persons with mental retardation is voluntarily accredited by 17 the commission on accreditation of rehabilitation facilities 18 (CARF) for personal and social services or by the council on 19 quality and leadership in supports for persons with 20 disabilities, prior to July 1, 2003, the department of 21 inspections and appeals shall accept voluntary accreditation 22 as the basis for certification as an adult day services 23 program by the department.

Sec. 23. EFFECTIVE DATE. The section of this Act relating 25 to adult day services serving persons with mental retardation, 26 being deemed of immediate importance, takes effect upon 27 enactment.

28

EXPLANATION

29 This bill establishes regulatory provisions for adult day 30 services.

31 Currently, provisions relating to regulation of adult day 32 services are included in Code section 231.61, which is 33 repealed in the bill. Under that section, regulation of adult 34 day services consisted of development of a system of oversight 35 by affected state agencies, industry representatives, and

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1 consumers under the department of elder affairs.

2 Under the bill, a new Code chapter, 231D, is created to 3 provide for the regulation of adult day services under the 4 department of elder affairs with the cooperation of the 5 department of inspections and appeals. The bill directs the 6 department of elder affairs, with the cooperation of the 7 department of inspections and appeals, to establish a program 8 for certification and monitoring of and complaint 9 investigations related to adult day services. The rules and 10 standards are to be formulated in consultation with affected 11 industry, professional, and consumer groups. Under the bill, 12 all adult day services programs are required to be certified 13 by the department of inspections and appeals. The bill 14 establishes application and fee requirements; provides a 15 procedure for denial, suspension, or revocation of 16 certification; provides for the department of inspections and 17 appeals to be notified of casualties relative to an adult day 18 services program; provides a complaint process; provides for 19 disclosure of final findings by the department of inspections 20 and appeals related to monitoring evaluations or complaint 21 investigations; provides penalties for noncompliance with 22 certification requirements and enforcement of the provisions 23 of the chapter; prohibits retaliation by an adult day services 24 program; provides for nursing assistants and medication aides 25 to claim work within adult day services programs as credit 26 toward certification; provides for fire and safety standards; 27 and provides transition provisions. The bill also requires 28 the department of inspections and appeals, in consultation 29 with the departments of elder affairs and public safety to 30 submit a written report to the general assembly and the joint 31 appropriations subcommittee on health and human services 32 regarding implementation of the bill. The bill also provides 33 that if an adult day services program for persons with mental 34 retardation is voluntarily accredited prior to July 1, 2003, 35 the department of inspections and appeals is to accept the

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1 voluntary accreditation as the basis for certification for the 2 period beginning July 1, 2003, and ending June 30, 2004. This 3 provision takes effect upon enactment.

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HOUSE FILE 672 H-1408 1 Amend House File 672 as follows: 1. Page 1, by inserting after line 9, the 2 3 following: 4 11 "Department" means the department of elder 5 affairs created in chapter 231." 6 Page 1, by striking lines 19 through 21. 2. 7 3. Page 1, by striking lines 23 through 28, and 8 inserting the following: "recognized accrediting 9 entity that the department recognizes as having 10 specific adult day services program standards 11 equivalent to the standards established by the 12 department for adult day services." 13 Page 2, by striking line 7, and inserting the 4. 14 following: "appeals". 15 5. Page 2, by striking lines 9 and 10, and 16 inserting the following: "3. The department shall establish, by". 17 18 Page 2, by striking lines 13 through 15, and 6. 19 inserting the following: "related to adult day 20 services programs. The department, in establishing 21 standards for adult". 7. Page 2, line 20, by inserting after the word 22 23 "with" the following: "the department of inspections 24 and appeals and". 25 8. Page 2, by striking lines 24 through 26, and 26 inserting the following: "adult day services 27 programs, the department in consultation with the 28 department of inspections and appeals and affected 29 industry, professional, and". 30 9. Page 2, by striking lines 31 and 32, and 31 inserting the following: 32 "5. The department may establish by". 33 10. Page 3, by striking lines 14 through 16, and 34 inserting the following: "comply with the rules 35 adopted by the department for an adult day services 36 program." 37 11. Page 4, line 1, by striking the words 38 "political subdivision" and inserting the following: 39 "governmental unit". 40 12. Page 4, by striking lines 7 and 8, and 41 inserting the following: 42 "5. The department shall adopt rules". 43 13. Page 4, line 13, by inserting after the word 44 "with" the following: "the department of inspections 45 and appeals and". 14. By striking page 4, line 24 through page 5, 46 47 line 7 and inserting the following: 48 "2. a. The department of inspections and appeals 49 shall collect adult day services certification fees. 50 The fees shall be deposited in the general fund of the H - 1408-1-

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Page 2 1 state. 2 b. The following certification and related fees 3 shall apply to adult day services programs: (1) For a two-year initial certification, seven 4 5 hundred fifty dollars. 6 (2) For a two-year recertification, one thousand 7 dollars. 8 (3) For a blueprint review, nine hundred dollars. 9 (4) For an optional preliminary plan review, five 10 hundred dollars." 15. Page 8, line 8, by striking the words "of 11 12 elder affairs". 16. Page 10, line 26, by inserting after the word 13 14 "the" the following: "department of elder affairs and 15 the". 16 17. Page 11, by striking lines 11 through 23, and 17 inserting the following: "fire marshal shall be 18 deposited in the general fund of the state." 19 18. Title page, line 2, by striking the words 20 "appropriations and". 19. By renumbering as necessary. 21 By UPMEYER of Hancock H-1408 FILED APRIL 23, 2003 ADOPTED

HOUSE FILE <u>672</u> BY COMMITTEE ON APPROPRIATIONS

(SUCCESSOR TO HF 596) (SUCCESSOR TO HSB 239)

(As Amended and Passed by the House April 23, 2003)

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Passed	House,	Date	4/23/03	Passed	Senate,	Date 4/28/	03
Vote:	Ayes _		Nays	Vote:	Ayes _	Nays	
	1	Approv	ved5	30/03)		

A BILL FOR

1	An	Act relating to the regulation of adult day services,
米 ²		providing for penalties, and providing an effective date.
3	BE	IT ENACTED BY THE GENERAL ASSEMBLY OF THE STATE OF IOWA:
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5		House Amendments
6		Deleted Language 🔆
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1 Section 1. NEW SECTION. 231D.1 DEFINITIONS.

2 For the purposes of this chapter, unless the context 3 otherwise requires:

Adult day services", "adult day services program", or
 "program" means an organized program providing a variety of
 health, social, and related support services for sixteen hours
 or less in a twenty-four-hour period to two or more persons
 with a functional impairment on a regularly scheduled,
 contractual basis.

10 2. "Department" means the department of elder affairs 11 created in chapter 231.

12 3. "Functional impairment" means a psychological, 13 cognitive, or physical impairment creating the inability to 14 perform personal and instrumental activities of daily living 15 and associated tasks necessitating some form of supervision or 16 assistance or both.

17 4. "Governmental unit" means the state, or any county, 18 municipality, or other political subdivision or any 19 department, division, board, or other agency of any of these 20 entities.

21 5. "Recognized accrediting entity" means a nationally 22 recognized accrediting entity that the department recognizes 23 as having specific adult day services program standards 24 equivalent to the standards established by the department for

25 adult day services.

6. "Social services" means services relating to the psychological and social needs of the individual in adjusting to participating in an adult day services program, and minimizing the stress arising from that circumstance.

30 7. "Supervision" means direct oversight and inspection of 31 the act of accomplishing a function or activity.

32 Sec. 2. <u>NEW SECTION</u>. 231D.2 PURPOSE -- INTENT -- RULES 33 -- SPECIAL CLASSIFICATIONS.

The purpose of this chapter is to promote and encourage
 adequate and safe care for adults with functional impairments.

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2. It is the intent of the general assembly that the
 2 department of elder affairs establish policy for adult day
 3 services programs and that the department of inspections and
 4 appeals enforce this chapter.

5 3. The department shall establish, by rule in accordance 6 with chapter 17A, a program for certification and monitoring 7 of and complaint investigations related to adult day services 8 programs. The department, in establishing standards for adult 9 day services programs, may adopt by rule in accordance with 10 chapter 17A, nationally recognized standards for adult day 11 services programs. The rules shall include specification of 12 recognized accrediting entities. The rules and standards 13 adopted shall be formulated in consultation with <u>the</u> 14 department of inspections and appeals and affected industry, 15 professional, and consumer groups and shall be designed to 16 accomplish the purpose of this chapter.

17 4. In addition to the adoption of standards and rules for 18 adult day services programs, the department in consultation 19 with the department of inspections and appeals and affected 20 industry, professional, and consumer groups, shall issue 21 interpretive guidelines, including the expectations of program 22 certification monitors, to provide direction to adult day 23 services programs in complying with certification 24 requirements.

25 5. The department may establish by administrative rule 26 special classifications for adult day services providers. The 27 department of inspections and appeals shall issue separate 28 certificates for each special classification for which a 29 provider is certified.

30 Sec. 3. <u>NEW SECTION</u>. 231D.3 CERTIFICATION REQUIRED. 31 1. A person or governmental unit acting severally or 32 jointly with any other person or governmental unit shall not 33 establish or operate an adult day services program and shall 34 not represent an adult day services program to the public as 35 certified unless and until the program is certified pursuant

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1 to this chapter. If an adult day services program is 2 voluntarily accredited by a recognized accrediting entity with 3 specific adult day services standards, the department of 4 inspections and appeals shall accept voluntary accreditation 5 as the basis for certification by the department. The owner 6 or manager of a certified adult day services program shall 7 comply with the rules adopted by the department for an adult 8 day services program.

9 2. An adult day services program may provide any type of 10 adult day services for which the program is certified, 11 including any special classification of adult day services. 12 An adult day services program shall provide services and 13 supervision commensurate with the needs of the recipients. An 14 adult day services program shall not provide services to 15 individuals requiring a level or type of services for which 16 the program is not certified and services provided shall not 17 exceed the level or type of services for which the program is 18 certified.

19 3. An adult day services program that has been certified 20 by the department of inspections and appeals shall not alter 21 the program, operation, or adult day services for which the 22 program is certified in a manner that affects continuing 23 certification without prior approval of the department of 24 inspections and appeals. The department of inspections and 25 appeals shall specify, by rule, alterations that are subject 26 to prior approval.

4. A department, agency, or officer of this state or of any governmental unit shall not pay or approve for payment from public funds any amount to an adult day services program for an actual or perspective recipient, unless the program holds a current certificate issued by the department of inspections and appeals and meets all current requirements for certification.

34 <u>5. The department shall adopt rules</u> regarding the 35 conducting or operating of another business or activity in the

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1 distinct part of the physical structure in which the adult day 2 services program is provided, if the business or activity 3 serves nonrecipients of adult day services. The rules shall 4 be developed in consultation with the department of 5 inspections and appeals and affected industry, professional, 6 and consumer groups.

7 Sec. 4. <u>NEW SECTION</u>. 231D.4 APPLICATION AND FEES. 8 1. Certificates for adult day services programs shall be 9 obtained from the department of inspections and appeals. 10 Applications shall be upon such forms and shall include such 11 information as the department of inspections and appeals may 12 reasonably require, which may include affirmative evidence of 13 compliance with applicable statutes and local ordinances. 14 Each application for certification shall be accompanied by the 15 appropriate fee.

16 2. a. The department of inspections and appeals shall 17 collect adult day services certification fees. The fees shall 18 be deposited in the general fund of the state. The following certification and related fees shall 19 b. 20 apply to adult day services programs: 21 (1) For a two-year initial certification, seven hundred 22 fifty dollars. 23 (2) For a two-year recertification, one thousand dollars. (3) For a blueprint review, nine hundred dollars. 24 25

25 (4) For an optional preliminary plan review, five hundred 26 dollars.

27 Sec. 5. <u>NEW SECTION</u>. 231D.5 DENIAL, SUSPENSION, OR 28 REVOCATION.

1. The department of inspections and appeals may deny, suspend, or revoke certification if the department of inspections and appeals finds that there has been a substantial or repeated failure on the part of the adult day services program to comply with this chapter or the rules or a minimum standards adopted pursuant to this chapter, or for any of the following reasons:

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a. Cruelty or indifference to adult day services program
 2 service recipients.

b. Appropriation or conversion of the property of an adult
4 day services programs service recipient without the
5 recipient's written consent or the written consent of the
6 service recipient's legal guardian.

7 c. Permitting, aiding, or abetting the commission of any 8 illegal act in the adult day services program.

9 d. Obtaining or attempting to obtain or retain
10 certification by fraudulent means, misrepresentation, or by
11 submitting false information.

e. Habitual intoxication or addiction to the use of drugs
by the applicant, owner, manager, or supervisor of the adult
day services program.

15 f. Securing the devise or bequest of the property of a 16 recipient of services of an adult day services program by 17 undue influence.

18 g. Failure or neglect to maintain a continuing education 19 and training program for all personnel employed in the adult 20 day services program.

21 h. Founded dependent adult abuse as defined in section 22 235B.2.

i. For any other reason as provided by law or24 administrative rule.

25 2. In the case of an application by an existing 26 certificate holder for a new or newly acquired adult day 27 services program, continuing or repeated failure of the 28 certificate holder to operate any previously certified adult 29 day services program in compliance with this chapter or of the 30 rules adopted pursuant to this chapter.

31 3. In the case of a certificate applicant or existing 32 certificate holder which is an entity other than an 33 individual, the department of inspections and appeals may 34 deny, suspend, or revoke a certificate if any individual who 35 is in a position of control or is an officer of the entity

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1 engages in any act or omission proscribed by this section.
2 Sec. 6. <u>NEW SECTION</u>. 231D.6 NOTICE -- APPEAL -3 EMERGENCY PROVISIONS.

The denial, suspension, or revocation of a certificate
 shall be effected by delivering to the applicant or
 certificate holder by restricted certified mail or by personal
 revice a notice setting forth the particular reasons for the
 action. The denial, suspension, or revocation shall become
 effective thirty days after the mailing or service of the
 notice, unless the applicant or certificate holder, within the
 thirty-day period, requests a hearing, in writing, of the
 department of inspections and appeals, in which case the
 notice shall be deemed to be suspended.

14 2. The denial, suspension, or revocation of a certificate 15 may be appealed in accordance with rules adopted by the 16 department of inspections and appeals in accordance with 17 chapter 17A.

18 3. When the department of inspections and appeals finds 19 that an immediate danger to the health or safety of recipients 20 of services from an adult day services program exists which 21 requires action on an emergency basis, the department of 22 inspections and appeals may direct the removal of all 23 recipients of services from an adult day services program and 24 suspend the certificate prior to a hearing.

25 Sec. 7. <u>NEW SECTION</u>. 231D.7 CONDITIONAL OPERATION. 26 The department of inspections and appeals may, as an 27 alternative to denial, suspension, or revocation of 28 certification under section 231D.5, conditionally issue or 29 continue certification dependent upon the performance by the 30 adult day services program of reasonable conditions within a 31 reasonable period of time as prescribed by the department of 32 inspections and appeals so as to permit the program to 33 commence or continue the operation of the program pending full 34 compliance with this chapter or the rules adopted pursuant to 35 this chapter. If the adult day services program does not make

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1 diligent efforts to comply with the conditions prescribed, the 2 department of inspections and appeals may, under the 3 proceedings prescribed by this chapter, suspend or revoke the 4 certificate. An adult day services program shall not be 5 operated under conditional certification for more than one 6 year.

7 Sec. 8. <u>NEW SECTION</u>. 231D.8 DEPARTMENT NOTIFIED OF 8 CASUALTIES.

9 The department of inspections and appeals shall be notified 10 within twenty-four hours, by the most expeditious means 11 available, of any accident causing substantial injury or 12 death, and any substantial fire or natural or other disaster 13 occurring at or near an adult day services program. 14 Sec. 9. <u>NEW SECTION</u>. 231D.9 COMPLAINTS AND 15 CONFIDENTIALITY.

16 1. A person with concerns regarding the operations or 17 service delivery of an adult day services program may file a 18 complaint with the department of inspections and appeals. The 19 name of the person who files a complaint with the department 20 of inspections and appeals and any personal identifying 21 information of the person or any recipient of program services 22 identified in the complaint shall be kept confidential and 23 shall not be subject to discovery, subpoena, or other means of 24 legal compulsion for its release to a person other than 25 employees of the department of inspections and appeals 26 involved in the investigation of the complaint.

27 2. The department, in cooperation with the department of 28 inspections and appeals, shall establish procedures for the 29 disposition of complaints received in accordance with this 30 section.

31 Sec. 10. <u>NEW SECTION</u>. 231D.10 PUBLIC DISCLOSURE OF 32 FINDINGS.

33 Following a monitoring evaluation or complaint 34 investigation of an adult day services program by the 35 department of inspections and appeals pursuant to this

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1 chapter, the department's final findings with respect to 2 compliance by the adult day services program with requirements 3 for certification shall be made available to the public in a 4 readily available form and place. Other information relating 5 to an adult day services program that is obtained by the 6 department of inspections and appeals which does not 7 constitute the department's final findings from a monitoring 8 evaluation or complaint investigation of the adult day 9 services program shall not be made available to the public 10 except in proceedings involving the denial, suspension, or 11 revocation of a certificate under this chapter.

12 Sec. 11. <u>NEW SECTION</u>. 231D.11 PENALTIES.

13 1. A person establishing, conducting, managing, or 14 operating an adult day services program without a certificate 15 is guilty of a serious misdemeanor. Each day of continuing 16 violation after conviction or notice from the department of 17 inspections and appeals by certified mail of a violation shall 18 be considered a separate offense or chargeable offense. A 19 person establishing, conducting, managing, or operating an 20 adult day services program without a certificate may be 21 temporarily or permanently restrained by a court of competent 22 jurisdiction from such activity in an action brought by the 23 state.

24 2. A person who prevents or interferes with or attempts to 25 impede in any way any duly authorized representative of the 26 department of inspections and appeals in the lawful 27 enforcement of this chapter or of the rules adopted pursuant 28 to this chapter is guilty of a simple misdemeanor. As used in 29 this subsection, lawful enforcement includes but is not 30 limited to:

a. Contacting or interviewing any participant of an adult
 32 day services program in private at any reasonable hour and
 33 without advance notice.

34 b. Examining any relevant records of an adult day services 35 program.

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c. Preserving evidence of any violation of this chapter or
 2 of the rules adopted pursuant to this chapter.

3 3. A civil penalty, as established by rule, may apply in 4 any of the following situations:

5 a. Program noncompliance with one or more regulatory 6 requirements has caused or is likely to cause harm, serious 7 injury, threat, or death to a recipient of program services.

8 b. Program failure or refusal to comply with regulatory9 requirements within prescribed time frames.

10 Sec. 12. <u>NEW SECTION</u>. 231D.12 RETALIATION BY AN ADULT 11 DAY SERVICES PROGRAM PROHIBITED.

12 1. An adult day services program shall not discriminate or 13 retaliate in any way against a recipient, recipient's family, 14 or an employee of the program who has initiated or 15 participated in any proceeding authorized by this chapter. An 16 adult day services program that violates this section is 17 subject to a penalty as established by administrative rule, to 18 be assessed and collected by the department of inspections and 19 appeals and paid into the state treasury to be credited to the 20 general fund of the state.

2. Any attempt to discharge a recipient from an adult day 21 22 services program by whom or upon whose behalf a complaint has 23 been submitted to the department of inspections and appeals 24 under section 231D.9, within ninety days after the filing of 25 the complaint or the conclusion of any proceeding resulting 26 from the complaint, shall raise a rebuttable presumption that 27 the action was taken by the program in retaliation for the 28 filing of the complaint, except in situations in which the 29 recipient is discharged due to changes in health status which 30 exceed the level of care offered by the adult day services 31 program or in other situations as specified by rule. 231D.13 NURSING ASSISTANT AND 32 Sec. 13. NEW SECTION.

33 MEDICATION AIDE -- CERTIFICATION.

The department of inspections and appeals, in cooperation 35 with other appropriate agencies, shall establish a procedure

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1 to allow nursing assistants or medication aides to claim work 2 within adult day services programs as credit toward sustaining 3 the nursing assistant's or medication aide's certification. 4 Sec. 14. <u>NEW SECTION</u>. 231D.14 CRIMINAL RECORDS 5 INVESTIGATION CHECK.

6 An adult day services program shall comply with section 7 135C.33.

8 Sec. 15. <u>NEW SECTION</u>. 231D.15 FIRE AND SAFETY STANDARDS. 9 The state fire marshal shall adopt rules, in coordination 10 with the <u>department of elder affairs and the</u> department of 11 inspections and appeals, relating to the certification and 12 monitoring of the fire and safety standards of adult day 13 services programs.

14 Sec. 16. <u>NEW SECTION</u>. 231D.16 TRANSITION PROVISIONS.
15 1. Adult day services programs voluntarily accredited by a
16 recognized accrediting entity prior to July 1, 2003, shall
17 comply with this chapter by June 30, 2004.

18 2. Adult day services programs that are serving at least 19 two but not more than five persons that are not voluntarily 20 accredited by a recognized accrediting entity prior to July 1, 21 2003, shall comply with this chapter by June 30, 2005.

22 Sec. 17. Section 100.1, subsection 6, Code 2003, is 23 amended to read as follows:

6. To adopt rules designating a fee to be assessed to each building, structure, or facility for which a fire safety inspection or plan review by the state fire marshal is required as-a-condition-of-licensure by law. The fee designated by rule shall be set in an amount that is reasonably related to the costs of conducting the applicable inspection or plan review. The fees collected by the state fire marshal shall be deposited in the general fund of the

32 state.

33 Sec. 18. Section 135C.1, subsection 1, Code 2003, is 34 amended to read as follows:

35 1. "Adult day services" means adult day services as

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1 defined in section 231-61 231D.1 that are provided in a 2 licensed health care facility.

3 Sec. 19. Section 231C.2, Code 2003, is amended by adding 4 the following new subsection:

5 <u>NEW SUBSECTION</u>. 0A. "Adult day services" means adult day 6 services as defined in section 231D.1.

7 Sec. 20. Section 231.61, Code 2003, is repealed.

8 Sec. 21. DEPARTMENTAL REPORT. The department of 9 inspections and appeals, in consultation with the department 10 of elder affairs and the department of public safety, shall 11 submit a written report to the general assembly by December 12 31, 2004, with copies to the joint appropriations subcommittee 13 on health and human services that provides details regarding 14 the implementation of this Act, including fees collected 15 annually, and expenses incurred by the affected state agencies 16 for administration, certification issuance, inspection, and 17 other costs related to this Act. The department of 18 inspections and appeals shall also include information in the 19 report regarding its projections as to whether the fees 20 imposed under this Act are sufficient to cover future expenses 21 of affected state agencies under this Act.

22 Sec. 22. ADULT DAY SERVICES -- PERSONS WITH MENTAL 23 RETARDATION. For the period beginning July 1, 2003, and 24 ending June 30, 2004, if an adult day services program serving 25 persons with mental retardation is voluntarily accredited by 26 the commission on accreditation of rehabilitation facilities 27 (CARF) for personal and social services or by the council on 28 quality and leadership in supports for persons with 29 disabilities, prior to July 1, 2003, the department of 30 inspections and appeals shall accept voluntary accreditation 31 as the basis for certification as an adult day services 32 program by the department.

33 Sec. 23. EFFECTIVE DATE. The section of this Act relating 34 to adult day services serving persons with mental retardation, 35 being deemed of immediate importance, takes effect upon

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HOUSE FILE

BY (PROPOSED COMMITTEE ON HUMAN RESOURCES BILL BY CHAIRPERSON BODDICKER)

Passed	House,	Date	Pas	ssed S	Senate,	Date _	
Vote:	Ayes _	Nays	Vo	ote:	Ayes _	Na	ys
	1	Approved _					

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Section 1. <u>NEW SECTION</u>. 231D.1 DEFINITIONS.
 For the purposes of this chapter, unless the context
 3 otherwise requires:

1. "Adult day services", "adult day services program", or
 "program" means an organized program providing a variety of
 health, social, and related support services for sixteen hours
 or less in a twenty-four-hour period to persons with a
 functional impairment on a regularly scheduled, contractual
 basis.

10 2. "Functional impairment" means a psychological, 11 cognitive, or physical impairment creating the inability to 12 perform personal and instrumental activities of daily living 13 and associated tasks necessitating some form of supervision or 14 assistance or both.

15 3. "Governmental unit" means the state, or any county, 16 municipality, or other political subdivision or any 17 department, division, board, or other agency of any of these 18 entities.

19 4. "Nursing care" means services which are required to be
20 provided only under the direction of a registered nurse or a
21 licensed practical nurse.

5. "Recognized accrediting entity" means a nationally recognized accrediting entity that the department of elder affairs, in cooperation with the department of inspections and program standards equivalent to the standards established by the department of elder affairs, in cooperation with the department of the standards established by for the department of elder affairs, in cooperation with the standards exprises. Social services means services relating to the social needs of the individual in adjusting to participating in an adult day services program, and minimizing the stress arising from that circumstance.

33 7. "Supervision" means direct oversight and inspection of 34 the act of accomplishing a function or activity.

35 Sec. 2. <u>NEW SECTION</u>. 231D.2 PURPOSE -- RULES -- SPECIAL

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1 CLASSIFICATIONS.

2 The purpose of this chapter is to promote and encourage 1. 3 adequate and safe care for adults with functional impairments. The department of elder affairs, in cooperation with 4 2. 5 the department of inspections and appeals, shall establish, by 6 rule in accordance with chapter 17A, a program for 7 certification and monitoring of and complaint investigations 8 related to adult day services programs. The department of 9 elder affairs, in cooperation with the department of 10 inspections and appeals, in establishing standards for adult 11 day services programs, may adopt by rule in accordance with 12 chapter 17A, nationally recognized standards for adult day 13 services programs. The rules shall include specification of 14 recognized accrediting entities. The rules and standards 15 adopted shall be formulated in consultation with affected 16 industry, professional, and consumer groups and shall be 17 designed to accomplish the purpose of this chapter.

18 3. The department of elder affairs, in cooperation with 19 the department of inspections and appeals, may establish by 20 administrative rule special classifications for adult day 21 services providers. The department of inspections and appeals 22 shall issue separate certificates for each special 23 classification for which a provider is certified.

24 Sec. 3. NEW SECTION. 231D.3 CERTIFICATION REQUIRED. 25 1. A person or governmental unit acting severally or 26 jointly with any other person or governmental unit shall not 27 establish or operate an adult day services program and shall 28 not represent an adult day services program to the public as 29 certified unless and until the program is certified pursuant 30 to this chapter. If an adult day services program is 31 voluntarily accredited by a recognized accrediting entity with 32 specific adult day services standards, the department of 33 inspections and appeals shall accept voluntary accreditation 34 as the basis for certification by the department. The owner 35 or manager of a certified adult day services program shall

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1 comply with the rules adopted by the department of elder 2 affairs, in cooperation with the department of inspections and 3 appeals, for an adult day services program.

An adult day services program may provide any type of adult day services for which the program is certified, including any special classification of adult day services. An adult day services program shall provide services and supervision commensurate with the needs of the recipients. An adult day services program shall not provide services to adult day services program shall not provide services to individuals requiring a level or type of services for which the program is not certified and services provided shall not exceed the level or type of services for which the program is a certified.

14 3. An adult day services program that has been certified 15 by the department of inspections and appeals shall not alter 16 the program, operation, or adult day services for which the 17 program is certified in a manner that affects continuing 18 certification without prior approval of the department of 19 inspections and appeals. The department of inspections and 20 appeals shall specify, by rule, alterations that are subject 21 to prior approval.

4. A department, agency, or officer of this state or of any political subdivision shall not pay or approve for payment from public funds any amount to an adult day services program for an actual or perspective recipient, unless the program holds a current certificate issued by the department of inspections and appeals and meets all current requirements for certification.

29 5. An adult day services program shall not conduct or 30 operate another business or activity within the program 31 without the prior approval of the department of inspections 32 and appeals, if the business or activity serves primarily 33 nonrecipients of adult day services. The department of elder 34 affairs, in cooperation with the department of inspections and 35 appeals, and in accordance with chapter 17A, shall adopt rules

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which establish criteria for approval of a business or
 activity to be conducted or operated within the program. The
 rules shall be developed in consultation with affected
 industry, professional, and consumer groups.

5 Sec. 4. <u>NEW SECTION.</u> 231D.4 APPLICATION AND FEES.

6 1. Certificates for adult day services programs shall be
7 obtained from the department of inspections and appeals.
8 Applications shall be upon such forms and shall include such
9 information as the department of inspections and appeals may
10 reasonably require, which may include affirmative evidence of
11 compliance with applicable statutes and local ordinances.
12 Each application for certification shall be accompanied by the
13 appropriate fee, subject to refund to the applicant if the
14 certification is denied.

The department of elder affairs, in cooperation with 15 2. 16 the department of inspections and appeals, shall establish 17 certification fees by rule in accordance with chapter 17A. 18 The department of inspections and appeals shall collect and 19 retain adult day services certification fees. The fees shall 20 be deposited in an adult day services program fund created in 21 the state treasury under the authority of the department of 22 inspections and appeals and are appropriated to the department 23 of inspections and appeals to carry out the purposes of this 24 chapter. Amounts deposited in the fund shall not be 25 transferred, used, obligated, appropriated, or otherwise 26 encumbered except as provided in this section. 27 Notwithstanding section 12C.7, subsection 2, interest or 28 earnings on moneys deposited into the fund shall be credited 29 to the fund. Notwithstanding section 8.33, amounts deposited 30 in the fund that remain unexpended or unencumbered at the 31 close of the fiscal year shall remain in the fund for 32 utilization as provided in this section for the following 33 fiscal year.

34 Sec. 5. <u>NEW SECTION</u>. 231D.5 DENIAL, SUSPENSION, OR 35 REVOCATION.

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1. The department of inspections and appeals may deny,
 2 suspend, or revoke certification if the department of
 3 inspections and appeals finds that there has been a
 4 substantial or repeated failure on the part of the adult day
 5 services program to comply with this chapter or the rules or
 6 minimum standards adopted pursuant to this chapter, or for any
 7 of the following reasons:

8 a. Cruelty or indifference to adult day services program9 service recipients.

b. Appropriation or conversion of the property of an adult ay services programs service recipient without the recipient's written consent or the written consent of the service recipient's legal guardian.

14 c. Permitting, aiding, or abetting the commission of any15 illegal act in the adult day services program.

d. Obtaining or attempting to obtain or retain
17 certification by fraudulent means, misrepresentation, or by
18 submitting false information.

19 e. Habitual intoxication or addiction to the use of drugs20 by the applicant, owner, manager, or supervisor of the adult21 day services program.

22 f. Securing the devise or bequest of the property of a 23 recipient of services of an adult day services program by 24 undue influence.

25 g. Failure or neglect to maintain a continuing education 26 and training program for all personnel employed in the adult 27 day services program.

28 h. Founded dependent adult abuse as defined in section 29 235B.2.

30 i. For any other reason as provided by law or 31 administrative rule.

32 2. In the case of an application by an existing 33 certificate holder for a new or newly acquired adult day 34 services program, continuing or repeated failure of the 35 certificate holder to operate any previously certified adult

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1 day services program in compliance with this chapter or of the 2 rules adopted pursuant to this chapter.

3 3. In the case of a certificate applicant or existing 4 certificate holder which is an entity other than an 5 individual, the department of inspections and appeals may 6 deny, suspend, or revoke a certificate if any individual who 7 is in a position of control or is an officer of the entity 8 engages in any act or omission proscribed by this section. 9 Sec. 6. <u>NEW SECTION</u>. 231D.6 NOTICE -- APPEAL --10 EMERGENCY PROVISIONS.

11 1. The denial, suspension, or revocation of a certificate 12 shall be effected by delivering to the applicant or 13 certificate holder by restricted certified mail or by personal 14 service a notice setting forth the particular reasons for the 15 action. The denial, suspension, or revocation shall become 16 effective thirty days after the mailing or service of the 17 notice, unless the applicant or certificate holder, within the 18 thirty-day period, requests a hearing, in writing, of the 19 department of inspections and appeals, in which case the 20 notice shall be deemed to be suspended.

21 2. The denial, suspension, or revocation of a certificate
22 may be appealed in accordance with rules adopted by the
23 department of inspections and appeals.

3. When the department of inspections and appeals finds that an immediate danger to the health or safety of recipients of services from an adult day services program exists which requires action on an emergency basis, the department of inspections and appeals may direct the removal of all recipients of services from an adult day services program and suspend the certificate prior to a hearing.

31 Sec. 7. <u>NEW SECTION</u>. 231D.7 CONDITIONAL OPERATION. 32 The department of inspections and appeals may, as an 33 alternative to denial, suspension, or revocation of 34 certification under section 231D.5, conditionally issue or 35 continue certification dependent upon the performance by the

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1 adult day services program of reasonable conditions within a 2 reasonable period of time as prescribed by the department of 3 inspections and appeals so as to permit the program to 4 commence or continue the operation of the program pending full 5 compliance with this chapter or the rules adopted pursuant to 6 this chapter. If the adult day services program does not make 7 diligent efforts to comply with the conditions prescribed, the 8 department of inspections and appeals may, under the 9 proceedings prescribed by this chapter, suspend or revoke the 10 certificate. An adult day services program shall not be 11 operated under conditional certification for more than one 12 year.

13 Sec. 8. <u>NEW SECTION</u>. 231D.8 DIRECTOR NOTIFIED OF 14 CASUALTIES.

15 The director of inspections and appeals shall be notified 16 within twenty-four hours, by the most expeditious means 17 available, of any accident causing substantial injury or 18 death, and any substantial fire or natural or other disaster 19 occurring at or near an adult day services program. 20 Sec. 9. <u>NEW SECTION</u>. 231D.9 COMPLAINTS AND 21 CONFIDENTIALITY.

1. A person with concerns regarding the operations or service delivery of an adult day services program may file a complaint with the department of inspections and appeals. The name of the person who files a complaint with the department of inspections and appeals and any personal identifying rinformation of the person or any recipient of program services lidentified in the complaint shall be kept confidential and shall not be subject to discovery, subpoena, or other means of legal compulsion for its release to a person other than employees of the department of inspections and appeals involved in the investigation of the complaint.

33 2. The department of elder affairs, in cooperation with
34 the department of inspections and appeals, shall establish
35 procedures for the disposition of complaints received in

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1 accordance with this section.

2 Sec. 10. <u>NEW SECTION</u>. 231D.10 PUBLIC DISCLOSURE OF 3 FINDINGS.

Following a monitoring evaluation or complaint
investigation of an adult day services program by the
department of inspections and appeals pursuant to this
chapter, the department's final findings with respect to
compliance by the adult day services program with requirements
for certification shall be made available to the public in a
readily available form and place. Other information relating
to an adult day services program that is obtained by the
department of inspections and appeals which does not
constitute the department's final findings from a monitoring
evaluation or complaint investigation of the adult day
services program shall not be made available to the public
except in proceedings involving the denial, suspension, or
revocation of a certificate under this chapter.

18 Sec. 11. NEW SECTION. 231D.11 PENALTIES.

19 1. A person establishing, conducting, managing, or 20 operating an adult day services program without a certificate 21 is guilty of a serious misdemeanor. Each day of continuing 22 violation after conviction or notice from the department of 23 inspections and appeals by certified mail of a violation shall 24 be considered a separate offense or chargeable offense. A 25 person establishing, conducting, managing, or operating an 26 adult day services program without a certificate may be 27 temporarily or permanently restrained by a court of competent 28 jurisdiction from such activity in an action brought by the 29 state.

30 2. A person who prevents or interferes with or attempts to 31 impede in any way any duly authorized representative of the 32 department of inspections and appeals in the lawful 33 enforcement of this chapter or of the rules adopted pursuant 34 to this chapter is guilty of a simple misdemeanor. As used in 35 this subsection, lawful enforcement includes but is not

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1 limited to:

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5.

a. Contacting or interviewing any participant of an adult
3 day services program in private at any reasonable hour and
4 without advance notice.

5 b. Examining any relevant records of an adult day services 6 program.

7 c. Preserving evidence of any violation of this chapter or 8 of the rules adopted pursuant to this chapter.

a. Program noncompliance with one or more regulatory
requirements has caused or is likely to cause harm, serious
injury, threat, or death to a recipient of program services.
b. Program failure or refusal to comply with regulatory
requirements within prescribed time frames.

16 Sec. 12. <u>NEW SECTION</u>. 231D.12 RETALIATION BY AN ADULT 17 DAY SERVICES PROVIDER PROHIBITED.

18 1. An adult day services provider shall not discriminate 19 or retaliate in any way against a recipient, recipient's 20 family, or an employee of the program who has initiated or 21 participated in any proceeding authorized by this chapter. An 22 adult day services program that violates this section is 23 subject to a penalty as established by administrative rule, to 24 be assessed and collected by the department of inspections and 25 appeals and paid into the state treasury to be credited to the 26 general fund of the state, or to immediate revocation of the 27 program's certificate.

28 2. Any attempt to discharge a recipient from an adult day 29 services program by whom or upon whose behalf a complaint has 30 been submitted to the department of inspections and appeals 31 under section 231D.9, within ninety days after the filing of 32 the complaint or the conclusion of any proceeding resulting 33 from the complaint, shall raise a rebuttable presumption that 34 the action was taken by the program in retaliation for the 35 filing of the complaint, except in situations in which the

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recipient is discharged due to changes in health status which
 exceed the level of care offered by the adult day services
 program.

4 Sec. 13. <u>NEW SECTION</u>. 231D.13 NURSING ASSISTANT AND 5 MEDICATION AIDE -- CERTIFICATION.

6 The department of inspections and appeals, in cooperation 7 with other appropriate agencies, shall establish a procedure 8 to allow nursing assistants or medication aides to claim work 9 within adult day services programs as credit toward sustaining 10 the nursing assistant's or medication aide's certification. 11 Sec. 14. <u>NEW SECTION</u>. 231D.14 CRIMINAL RECORDS 12 INVESTIGATION CHECK.

13 An adult day services program shall comply with section 14 135C.33.

15 Sec. 15. <u>NEW SECTION</u>. 231D.15 FIRE AND SAFETY STANDARDS.
16 The state fire marshal shall adopt rules, in coordination
17 with the department of inspections and appeals, relating to
18 the certification and monitoring of the fire and safety
19 standards of adult day services programs.

Sec. 16. <u>NEW SECTION</u>. 231D.16 TRANSITION PROVISIONS.
Adult day services programs existing prior to July 1, 2003,
shall comply with this chapter by June 30, 2004.

23 Sec. 17. Section 100.1, subsection 6, Code 2003, is 24 amended to read as follows:

6. To adopt rules designating a fee to be assessed to each building, structure, or facility for which a fire safety inspection or plan review by the state fire marshal is required as-a-condition-of-licensure by law. The fee designated by rule shall be set in an amount that is reasonably related to the costs of conducting the applicable inspection or plan review. The fees collected by the state fire marshal and deposited in the-general a separate fund of created in the state treasury under the authority of the state fire marshal to carry out

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1 the purpose of this subsection. Amounts deposited in the fund 2 shall not be transferred, used, obligated, appropriated, or 3 otherwise encumbered, except as provided in this subsection. 4 Notwithstanding section 12C.7, subsection 2, interest or 5 earnings on moneys deposited in the fund shall be credited to 6 the fund. Notwithstanding section 8.33, amounts deposited in 7 the fund that remain unexpended or unencumbered at the close 8 of the fiscal year shall remain in the fund for utilization as 9 provided in this subsection for the following fiscal year. 10 Sec. 18. Section 135C.1, subsection 1, Code 2003, is ll amended to read as follows: "Adult day services" means adult day services as 12 1. 13 defined in section 231-61 231D.1 that are provided in a 14 licensed health care facility. Sec. 19. Section 231C.2, Code 2003, is amended by adding 15 16 the following new subsection: 17 "Adult day services" means adult day NEW SUBSECTION. 0A. 18 services as defined in section 231D.1. Sec. 20. IMPLEMENTATION -- CONTINGENCY. This Act takes 19 20 effect only when the general assembly appropriates funding and 21 specifically states in the language of the appropriation that 22 the funding is sufficient, based upon an estimate on file with 23 the house of origin of the Act, for the implementation and 24 administration of the Act. The department of elder affairs 25 shall notify the Code editor when sufficient funds are · 26 appropriated to implement and administer this Act. Sec. 21. Section 231.61, Code 2003, is repealed. 27 28 EXPLANATION This bill establishes regulatory provisions for adult day 29 30 services. Currently, provisions relating to regulation of adult day 31 32 services are included in Code section 231.61, which is 33 repealed in the bill. Under that section, regulation of adult 34 day services consisted of development of a system of oversight 35 by affected state agencies, industry representatives, and

1 consumers under the department of elder affairs.

2 Under the bill, a new Code chapter, 231D, is created to 3 provide for the regulation of adult day services under the 4 department of elder affairs with the cooperation of the 5 department of inspections and appeals. The bill directs the 6 department of elder affairs, with the cooperation of the 7 department of inspections and appeals, to establish a program 8 for certification and monitoring of and complaint 9 investigations related to adult day services. The rules and 10 standards are to be formulated in consultation with affected 11 industry, professional, and consumer groups. Under the bill, 12 all adult day services programs are required to be certified 13 by the department of inspections and appeals. The bill 14 establishes application and fee requirements; provides a 15 procedure for denial, suspension, or revocation of 16 certification; provides for the director of inspections and 17 appeals to be notified of casualties relative to an adult day 18 services program; provides a complaint process; provides for 19 disclosure of final findings by the department of inspections 20 and appeals related to monitoring evaluations or complaint 21 investigations; provides penalties for noncompliance with 22 certification requirements and enforcement of the provisions 23 of the chapter; prohibits retaliation by a provider of adult 24 day services; provides for nursing assistants and medication 25 aides to claim work within adult day services programs as 26 credit toward certification; provides for fire and safety 27 standards; and provides transition provisions. The bill also 28 provides for contingent implementation of the bill. 29 30 31 32 33

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HOUSE FILE 672

AN ACT

RELATING TO THE REGULATION OF ADULT DAY SERVICES, PROVIDING FOR PENALTIES, AND PROVIDING AN EFFECTIVE DATE.

BE IT ENACTED BY THE GENERAL ASSEMBLY OF THE STATE OF IOWA:

Section 1. NEW SECTION. 231D.1 DEFINITIONS.

For the purposes of this chapter, unless the context otherwise requires:

1. "Adult day services", "adult day services program", or "program" means an organized program providing a variety of health, social, and related support services for sixteen hours or less in a twenty-four-hour period to two or more persons with a functional impairment on a regularly scheduled, contractual basis.

2. "Department" means the department of elder affairs created in chapter 231.

3. "Functional impairment" means a psychological, cognitive, or physical impairment creating the inability to perform personal and instrumental activities of daily living and associated tasks necessitating some form of supervision or assistance or both.

4. "Governmental unit" means the state, or any county, municipality, or other political subdivision or any department, division, board, or other agency of any of these entities.

5. "Recognized accrediting entity" means a nationally recognized accrediting entity that the department recognizes as having specific adult day services program standards equivalent to the standards established by the department for adult day services. 6. "Social services" means services relating to the psychological and social needs of the individual in adjusting to participating in an adult day services program, and minimizing the stress arising from that circumstance.

7. "Supervision" means direct oversight and inspection of the act of accomplishing a function or activity.

Sec. 2. <u>NEW SECTION</u>. 231D.2 PURPOSE -- INTENT -- RULES -- SPECIAL CLASSIFICATIONS.

1. The purpose of this chapter is to promote and encourage adequate and safe care for adults with functional impairments.

2. It is the intent of the general assembly that the department of elder affairs establish policy for adult day services programs and that the department of inspections and appeals enforce this chapter.

3. The department shall establish, by rule in accordance with chapter 17A, a program for certification and monitoring of and complaint investigations related to adult day services programs. The department, in establishing standards for adult day services programs, may adopt by rule in accordance with chapter 17A, nationally recognized standards for adult day services programs. The rules shall include specification of recognized accrediting entities. The rules and standards adopted shall be formulated in consultation with the department of inspections and appeals and affected industry, professional, and consumer groups and shall be designed to accomplish the purpose of this chapter.

4. In addition to the adoption of standards and rules for adult day services programs, the department in consultation with the department of inspections and appeals and affected industry, professional, and consumer groups, shall issue interpretive guidelines, including the expectations of program certification monitors, to provide direction to adult day services programs in complying with certification requirements.

5. The department may establish by administrative rule special classifications for adult day services providers. The department of inspections and appeals shall issue separate certificates for each special classification for which a provider is certified.

Sec. 3. <u>NEW SECTION</u>. 231D.3 CERTIFICATION REQUIRED.

1. A person or governmental unit acting severally or jointly with any other person or governmental unit shall not establish or operate an adult day services program and shall not represent an adult day services program to the public as certified unless and until the program is certified pursuant to this chapter. If an adult day services program is voluntarily accredited by a recognized accrediting entity with specific adult day services standards, the department of inspections and appeals shall accept voluntary accreditation as the basis for certification by the department. The owner or manager of a certified adult day services program shall comply with the rules adopted by the department for an adult day services program.

2. An adult day services program may provide any type of adult day services for which the program is certified, including any special classification of adult day services. An adult day services program shall provide services and supervision commensurate with the needs of the recipients. An adult day services program shall not provide services to individuals requiring a level or type of services for which the program is not certified and services provided shall not exceed the level or type of services for which the program is certified.

3. An adult day services program that has been certified by the department of inspections and appeals shall not alter the program, operation, or adult day services for which the program is certified in a manner that affects continuing certification without prior approval of the department of inspections and appeals. The department of inspections and appeals shall specify, by rule, alterations that are subject to prior approval.

4. A department, agency, or officer of this state or of any governmental unit shall not pay or approve for payment from public funds any amount to an adult day services program for an actual or perspective recipient, unless the program holds a current certificate issued by the department of inspections and appeals and meets all current requirements for certification.

5. The department shall adopt rules regarding the conducting or operating of another business or activity in the distinct part of the physical structure in which the adult day services program is provided, if the business or activity serves nonrecipients of adult day services. The rules shall be developed in consultation with the department of inspections and appeals and affected industry, professional, and consumer groups.

Sec. 4. <u>NEW SECTION</u>. 231D.4 APPLICATION AND FEES.

1. Certificates for adult day services programs shall be obtained from the department of inspections and appeals. Applications shall be upon such forms and shall include such information as the department of inspections and appeals may reasonably require, which may include affirmative evidence of compliance with applicable statutes and local ordinances. Each application for certification shall be accompanied by the appropriate fee.

2. a. The department of inspections and appeals shall collect adult day services certification fees. The fees shall be deposited in the general fund of the state.

b. The following certification and related fees shall apply to adult day services programs:

(1) For a two-year initial certification, seven hundred fifty dollars.

(2) For a two-year recertification, one thousand dollars.

(3) For a blueprint review, nine hundred dollars.

(4) For an optional preliminary plan review, five hundred dollars.

Sec. 5. <u>NEW SECTION</u>. 231D.5 DENIAL, SUSPENSION, OR REVOCATION.

1. The department of inspections and appeals may deny, suspend, or revoke certification if the department of inspections and appeals finds that there has been a substantial or repeated failure on the part of the adult day services program to comply with this chapter or the rules or minimum standards adopted pursuant to this chapter, or for any of the following reasons:

a. Cruelty or indifference to adult day services program service recipients.

b. Appropriation or conversion of the property of an adult day services programs service recipient without the recipient's written consent or the written consent of the service recipient's legal guardian.

c. Permitting, aiding, or abetting the commission of any illegal act in the adult day services program.

d. Obtaining or attempting to obtain or retain certification by fraudulent means, misrepresentation, or by submitting false information.

e. Habitual intoxication or addiction to the use of drugs by the applicant, owner, manager, or supervisor of the adult day services program.

f. Securing the devise or bequest of the property of a recipient of services of an adult day services program by undue influence.

g. Failure or neglect to maintain a continuing education and training program for all personnel employed in the adult day services program.

h. Founded dependent adult abuse as defined in section235B.2.

i. For any other reason as provided by law or administrative rule.

2. In the case of an application by an existing certificate holder for a new or newly acquired adult day services program, continuing or repeated failure of the certificate holder to operate any previously certified adult day services program in compliance with this chapter or of the rules adopted pursuant to this chapter.

3. In the case of a certificate applicant or existing certificate holder which is an entity other than an individual, the department of inspections and appeals may deny, suspend, or revoke a certificate if any individual who is in a position of control or is an officer of the entity engages in any act or omission proscribed by this section.

Sec. 6. <u>NEW SECTION</u>. 231D.6 NOTICE -- APPEAL --EMERGENCY PROVISIONS.

1. The denial, suspension, or revocation of a certificate shall be effected by delivering to the applicant or certificate holder by restricted certified mail or by personal service a notice setting forth the particular reasons for the action. The denial, suspension, or revocation shall become effective thirty days after the mailing or service of the notice, unless the applicant or certificate holder, within the thirty-day period, requests a hearing, in writing, of the department of inspections and appeals, in which case the notice shall be deemed to be suspended.

2. The denial, suspension, or revocation of a certificate may be appealed in accordance with rules adopted by the department of inspections and appeals in accordance with chapter 17A.

3. When the department of inspections and appeals finds that an immediate danger to the health or safety of recipients of services from an adult day services program exists which requires action on an emergency basis, the department of inspections and appeals may direct the removal of all recipients of services from an adult day services program and suspend the certificate prior to a hearing.

Sec. 7. NEW SECTION. 231D.7 CONDITIONAL OPERATION.

The department of inspections and appeals may, as an alternative to denial, suspension, or revocation of certification under section 231D.5, conditionally issue or continue certification dependent upon the performance by the adult day services program of reasonable conditions within a reasonable period of time as prescribed by the department of inspections and appeals so as to permit the program to commence or continue the operation of the program pending full compliance with this chapter or the rules adopted pursuant to this chapter. If the adult day services program does not make diligent efforts to comply with the conditions prescribed, the department of inspections and appeals may, under the proceedings prescribed by this chapter, suspend or revoke the certificate. An adult day services program shall not be operated under conditional certification for more than one year.

Sec. 8. <u>NEW SECTION</u>. 231D.8 DEPARTMENT NOTIFIED OF CASUALTIES.

The department of inspections and appeals shall be notified within twenty-four hours, by the most expeditious means available, of any accident causing substantial injury or death, and any substantial fire or natural or other disaster occurring at or near an adult day services program.

Sec. 9. <u>NEW SECTION</u>. 231D.9 COMPLAINTS AND CONFIDENTIALITY.

1. A person with concerns regarding the operations or service delivery of an adult day services program may file a complaint with the department of inspections and appeals. The name of the person who files a complaint with the department of inspections and appeals and any personal identifying information of the person or any recipient of program services identified in the complaint shall be kept confidential and shall not be subject to discovery, subpoena, or other means of legal compulsion for its release to a person other than employees of the department of inspections and appeals involved in the investigation of the complaint. 2. The department, in cooperation with the department of inspections and appeals, shall establish procedures for the disposition of complaints received in accordance with this section.

Sec. 10. <u>NEW SECTION</u>. 231D.10 PUBLIC DISCLOSURE OF FINDINGS.

Following a monitoring evaluation or complaint investigation of an adult day services program by the department of inspections and appeals pursuant to this chapter, the department's final findings with respect to compliance by the adult day services program with requirements for certification shall be made available to the public in a readily available form and place. Other information relating to an adult day services program that is obtained by the department of inspections and appeals which does not constitute the department's final findings from a monitoring evaluation or complaint investigation of the adult day services program shall not be made available to the public except in proceedings involving the denial, suspension, or revocation of a certificate under this chapter.

Sec. 11. <u>NEW SECTION</u>. 231D.11 PENALTIES.

1. A person establishing, conducting, managing, or operating an adult day services program without a certificate is guilty of a serious misdemeanor. Each day of continuing violation after conviction or notice from the department of inspections and appeals by certified mail of a violation shall be considered a separate offense or chargeable offense. A person establishing, conducting, managing, or operating an adult day services program without a certificate may be temporarily or permanently restrained by a court of competent jurisdiction from such activity in an action brought by the state.

2. A person who prevents or interferes with or attempts to impede in any way any duly authorized representative of the department of inspections and appeals in the lawful enforcement of this chapter or of the rules adopted pursuant to this chapter is guilty of a simple misdemeanor. As used in this subsection, lawful enforcement includes but is not limited to:

a. Contacting or interviewing any participant of an adult day services program in private at any reasonable hour and without advance notice.

b. Examining any relevant records of an adult day services program.

c. Preserving evidence of any violation of this chapter or of the rules adopted pursuant to this chapter.

3. A civil penalty, as established by rule, may apply in any of the following situations:

a. Program noncompliance with one or more regulatory requirements has caused or is likely to cause harm, serious injury, threat, or death to a recipient of program services.

b. Program failure or refusal to comply with regulatory requirements within prescribed time frames.

Sec. 12. <u>NEW SECTION</u>. 231D.12 RETALIATION BY AN ADULT DAY SERVICES PROGRAM PROHIBITED.

1. An adult day services program shall not discriminate or retaliate in any way against a recipient, recipient's family, or an employee of the program who has initiated or participated in any proceeding authorized by this chapter. An adult day services program that violates this section is subject to a penalty as established by administrative rule, to be assessed and collected by the department of inspections and appeals and paid into the state treasury to be credited to the general fund of the state.

2. Any attempt to discharge a recipient from an adult day services program by whom or upon whose behalf a complaint has been submitted to the department of inspections and appeals under section 231D.9, within ninety days after the filing of the complaint or the conclusion of any proceeding resulting from the complaint, shall raise a rebuttable presumption that the action was taken by the program in retaliation for the filing of the complaint, except in situations in which the recipient is discharged due to changes in health status which exceed the level of care offered by the adult day services program or in other situations as specified by rule.

Sec. 13. <u>NEW SECTION</u>. 231D.13 NURSING ASSISTANT AND MEDICATION AIDE -- CERTIFICATION.

The department of inspections and appeals, in cooperation with other appropriate agencies, shall establish a procedure to allow nursing assistants or medication aides to claim work within adult day services programs as credit toward sustaining the nursing assistant's or medication aide's certification.

Sec. 14. <u>NEW SECTION</u>. 231D.14 CRIMINAL RECORDS INVESTIGATION CHECK.

An adult day services program shall comply with section 135C.33.

Sec. 15. NEW SECTION. 231D.15 FIRE AND SAFETY STANDARDS.

The state fire marshal shall adopt rules, in coordination with the department of elder affairs and the department of inspections and appeals, relating to the certification and monitoring of the fire and safety standards of adult day services programs.

Sec. 16. NEW SECTION. 231D.16 TRANSITION PROVISIONS.

1. Adult day services programs voluntarily accredited by a recognized accrediting entity prior to July 1, 2003, shall comply with this chapter by June 30, 2004.

2. Adult day services programs that are serving at least two but not more than five persons that are not voluntarily accredited by a recognized accrediting entity prior to July 1, 2003, shall comply with this chapter by June 30, 2005.

Sec. 17. Section 100.1, subsection 6, Code 2003, is amended to read as follows:

6. To adopt rules designating a fee to be assessed to each building, structure, or facility for which a fire safety inspection or plan review by the state fire marshal is

required as-a-condition-of-licensure by law. The fee designated by rule shall be set in an amount that is reasonably related to the costs of conducting the applicable inspection or plan review. The fees collected by the state fire marshal shall be deposited in the general fund of the state.

Sec. 18. Section 135C.1, subsection 1, Code 2003, is amended to read as follows:

 "Adult day services" means adult day services as defined in section 231-61 231D.1 that are provided in a licensed health care facility.

Sec. 19. Section 231C.2, Code 2003, is amended by adding the following new subsection:

<u>NEW SUBSECTION</u>. OA. "Adult day services" means adult day services as defined in section 231D.1.

Sec. 20. Section 231.61, Code 2003, is repealed.

Sec. 21. DEPARTMENTAL REPORT. The department of inspections and appeals, in consultation with the department of elder affairs and the department of public safety, shall submit a written report to the general assembly by December 31, 2004, with copies to the joint appropriations subcommittee on health and human services that provides details regarding the implementation of this Act, including fees collected annually, and expenses incurred by the affected state agencies for administration, certification issuance, inspection, and other costs related to this Act. The department of inspections and appeals shall also include information in the report regarding its projections as to whether the fees imposed under this Act are sufficient to cover future expenses of affected state agencies under this Act.

Sec. 22. ADULT DAY SERVICES -- PERSONS WITH MENTAL RETARDATION. For the period beginning July 1, 2003, and ending June 30, 2004, if an adult day services program serving persons with mental retardation is voluntarily accredited by the commission on accreditation of rehabilitation facilities

(CARF) for personal and social services or by the council on quality and leadership in supports for persons with disabilities, prior to July 1, 2003, the department of inspections and appeals shall accept voluntary accreditation as the basis for certification as an adult day services program by the department.

Sec. 23. EFFECTIVE DATE. The section of this Act relating to adult day services serving persons with mental retardation, being deemed of immediate importance, takes effect upon enactment.

> CHRISTOPHER C. RANTS Speaker of the House

MARY E. KRAMER President of the Senate

I hereby certify that this bill originated in the House and is known as House File 672, Eightieth General Assembly.

> MARGARET THOMSON Chief Clerk of the House

Approved _____, 2003

THOMAS J. VILSACK Governor