3/30/02 Busenin + Lo 5-3/11/02 Do Pass 5-3/11/02 Do Pass

FEB 14 2002 Place On Calendar

HOUSE FILE 2344 BY COMMITTEE ON LABOR AND INDUSTRIAL RELATIONS

(SUCCESSOR TO HSB 593)

Passed House, Date 2/19/02 Passed Senate, Date 3-26-02

Vote: Ayes 94 Nays O Vote: Ayes 48 Nays O

Approved 48, 2007

Re-Passed 96-0

Date 3-28-02

A BILL FOR

1 An Act relating to the retention of unemployment benefits
2 contested case hearing records.

3 BE IT ENACTED BY THE GENERAL ASSEMBLY OF THE STATE OF IOWA:

5	SENATE AMENDMENT TO HOUSE FILE 2344
6	H-8449
7	1 Amend House File 2344, as passed by the House, as 2 follows:
8	3 1. Page 1, line 5, by striking the words "or *
9	4 stenographic notes".
10	5 2. Page 1, line 8, by striking the words "or the 6 transcription thereof", and inserting the following:
11	7 "in which the decision of the administrative law judge
	8 is not appealed to the employment appeal board".
12	9 3. Page 1, line 9, by striking the words "one
13	10 year" and inserting the following: "two years". RECEIVED FROM THE SENATE
14	H-8449 FILED MARCH 27, 2002
15	House Concurred 3/28/02 (p.1065)
16	
17	

S.F. H.F. 2344

1	Section 1. Section 96.11, Code Supplement 2001, is amended
2	by adding the following new subsection:
3	NEW SUBSECTION. 11A. UNEMPLOYMENT BENEFITS CONTESTED CASE
4	HEARING RECORDS. Notwithstanding the provisions of section
5	17A.12 to the contrary, the recording or stenographic notes of
6	oral proceedings of a hearing conducted before an
7	administrative law judge pursuant to section 96.6, subsection
8	3, or the transcription thereof, shall be filed with and
9	maintained by the department for at least one year from the
10	date of decision.
11	EXPLANATION
12	This bill requires that the department of workforce
13	development retain unemployment insurance contested case
14	hearing tape recordings and transcripts for one year from the
15	date of decision of the administrative law judge rather than
16	five years from the date of decision as required by Code
17	chapter 17A.
	Chapter 1/A.
18	
18 19 20	HOUSE FILE 2344 S-5258 1 Amend House File 2344, as passed by the House, as
18 19	HOUSE FILE 2344 S-5258 1 Amend House File 2344, as passed by the House, as 2 follows:
18 19 20	HOUSE FILE 2344 S-5258 1 Amend House File 2344, as passed by the House, as 2 follows: 3 1. Page 1, line 5, by striking the words "or 4 stenographic notes".
18 19 20 21	HOUSE FILE 2344 S-5258 1 Amend House File 2344, as passed by the House, as 2 follows: 3 1. Page 1, line 5, by striking the words "or 4 stenographic notes". 5 2. Page 1, line 8, by striking the words "or the
18 19 20 21 22 23	HOUSE FILE 2344 S-5258 1 Amend House File 2344, as passed by the House, as 2 follows: 3 1. Page 1, line 5, by striking the words "or 4 stenographic notes". 5 2. Page 1, line 8, by striking the words "or the 6 transcription thereof", and inserting the following: 7 "in which the decision of the administrative law judge.
18 19 20 21 22 23	HOUSE FILE 2344 S-5258 1 Amend House File 2344, as passed by the House, as 2 follows: 3 1. Page 1, line 5, by striking the words "or 4 stenographic notes". 5 2. Page 1, line 8, by striking the words "or the 6 transcription thereof", and inserting the following: 7 "in which the decision of the administrative law judge 8 is not appealed to the employment appeal board".
18 19 20 21 22 23 24 25 26	HOUSE FILE 2344 S-5258 1 Amend House File 2344, as passed by the House, as 2 follows: 3 1. Page 1, line 5, by striking the words "or 4 stenographic notes". 5 2. Page 1, line 8, by striking the words "or the 6 transcription thereof", and inserting the following: 7 "in which the decision of the administrative law judge 8 is not appealed to the employment appeal board". 9 3. Page 1, line 9, by striking the words "one 10 year" and inserting the following: "two years".
18 19 20 21 22 23 24 25 26 27	HOUSE FILE 2344 S-5258 1 Amend House File 2344, as passed by the House, as 2 follows: 3 1. Page 1, line 5, by striking the words "or 4 stenographic notes". 5 2. Page 1, line 8, by striking the words "or the 6 transcription thereof", and inserting the following: 7 "in which the decision of the administrative law judge 8 is not appealed to the employment appeal board". 9 3. Page 1, line 9, by striking the words "one 10 year" and inserting the following: "two years". By STEVE KING
18 19 20 21 22 23 24 25 26 27 28	HOUSE FILE 2344 S-5258 1 Amend House File 2344, as passed by the House, as 2 follows: 3 1. Page 1, line 5, by striking the words "or 4 stenographic notes". 5 2. Page 1, line 8, by striking the words "or the 6 transcription thereof", and inserting the following: 7 "in which the decision of the administrative law judge 8 is not appealed to the employment appeal board". 9 3. Page 1, line 9, by striking the words "one 10 year" and inserting the following: "two years".
18 19 20 21 22 23 24 25 26 27 28	HOUSE FILE 2344 S-5258 1 Amend House File 2344, as passed by the House, as 2 follows: 3 1. Page 1, line 5, by striking the words "or 4 stenographic notes". 5 2. Page 1, line 8, by striking the words "or the 6 transcription thereof", and inserting the following: 7 "in which the decision of the administrative law judge 8 is not appealed to the employment appeal board". 9 3. Page 1, line 9, by striking the words "one 10 year" and inserting the following: "two years". By STEVE KING DICK L. DEARDEN S-5258 FILED MARCH 26, 2002
18 19 20 21 22 23 24 25 26 27 28 29	HOUSE FILE 2344 S-5258 1 Amend House File 2344, as passed by the House, as 2 follows: 3 1. Page 1, line 5, by striking the words "or 4 stenographic notes". 5 2. Page 1, line 8, by striking the words "or the 6 transcription thereof", and inserting the following: 7 "in which the decision of the administrative law judge 8 is not appealed to the employment appeal board". 9 3. Page 1, line 9, by striking the words "one 10 year" and inserting the following: "two years". By STEVE KING DICK L. DEARDEN
18 19 20 21 22 23 24 25 26 27 28 29 30	HOUSE FILE 2344 S-5258 1 Amend House File 2344, as passed by the House, as 2 follows: 3 1. Page 1, line 5, by striking the words "or 4 stenographic notes". 5 2. Page 1, line 8, by striking the words "or the 6 transcription thereof", and inserting the following: 7 "in which the decision of the administrative law judge 8 is not appealed to the employment appeal board". 9 3. Page 1, line 9, by striking the words "one 10 year" and inserting the following: "two years". By STEVE KING DICK L. DEARDEN S-5258 FILED MARCH 26, 2002
18 19 20 21 22 23 24 25 26 27 28 29 30 31	HOUSE FILE 2344 S-5258 1 Amend House File 2344, as passed by the House, as 2 follows: 3 1. Page 1, line 5, by striking the words "or 4 stenographic notes". 5 2. Page 1, line 8, by striking the words "or the 6 transcription thereof", and inserting the following: 7 "in which the decision of the administrative law judge 8 is not appealed to the employment appeal board". 9 3. Page 1, line 9, by striking the words "one 10 year" and inserting the following: "two years". By STEVE KING DICK L. DEARDEN S-5258 FILED MARCH 26, 2002
18 19 20 21 22 23 24 25 26 27 28 29 30 31	HOUSE FILE 2344 S-5258 1 Amend House File 2344, as passed by the House, as 2 follows: 3 1. Page 1, line 5, by striking the words "or 4 stenographic notes". 5 2. Page 1, line 8, by striking the words "or the 6 transcription thereof", and inserting the following: 7 "in which the decision of the administrative law judge 8 is not appealed to the employment appeal board". 9 3. Page 1, line 9, by striking the words "one 10 year" and inserting the following: "two years". By STEVE KING DICK L. DEARDEN S-5258 FILED MARCH 26, 2002 ADOPTED 3-26-02 (P 8/3)
18 19 20 21 22 23 24 25 26 27 28 29 30 31	HOUSE FILE 2344 S-5258 1 Amend House File 2344, as passed by the House, as 2 follows: 3 1. Page 1, line 5, by striking the words "or 4 stenographic notes". 5 2. Page 1, line 8, by striking the words "or the 6 transcription thereof", and inserting the following: 7 "in which the decision of the administrative law judge 8 is not appealed to the employment appeal board". 9 3. Page 1, line 9, by striking the words "one 10 year" and inserting the following: "two years". By STEVE KING DICK L. DEARDEN S-5258 FILED MARCH 26, 2002 ADOPTED 3-26-02 (P 8/3)

Grundberg, Ch. Hansen D. Taylor HSB 593 LABOR & INDUSTRIAL RELATIONS

SENATE/HOUSE FILE

BY (PROPOSED DEPARTMENT OF

WORKFORCE DEVELOPMENT BILL)

Passed	Senate,	Date	Passed	House,	Date	
Vote:	Ayes	Nays	Vote:	Ayes	Nays	
	Ag	proved			_	

A BILL FOR

1 An Act relating to the retention of unemployment benefits

2 contested case hearing records.

3 BE IT ENACTED BY THE GENERAL ASSEMBLY OF THE STATE OF IOWA:

5

```
S.F. H.F.
```

35

```
Section 1. Section 96.11, Code Supplement 2001, is amended
 1
 2 by adding the following new subsection:
 3
      NEW SUBSECTION.
                       11A.
                            UNEMPLOYMENT BENEFITS CONTESTED CASE
 4 HEARING RECORDS. Notwithstanding the provisions of section
 5 17A.12 to the contrary, the recording or stenographic notes of
 6 oral proceedings of a hearing conducted before an
7 administrative law judge pursuant to section 96.6, subsection
8 3, or the transcription thereof, shall be filed with and
 9 maintained by the department for at least one year from the
10 date of decision.
11
                             EXPLANATION
      This bill requires that the department of workforce
12
13 development retain unemployment insurance contested case
14 hearing tape recordings and transcripts for one year from the
15 date of decision of the administrative law judge rather than
16 five years from the date of decision as required by Code
17 chapter 17A.
18
19
20
21
22
23
24
25
26
27
28
29
30
31
32
33
34
```



Putting Iowa

to Work

Thomas J. Vilsack, Governor

Sally J. Pederson, Lt. Governor

Richard V. Running, Director

MEMORANDUM

Date:

January 18, 2002

To:

General Assembly

From:

Jane Si Barto, Deputy Director

Iowa Workforce Development

(515) 281-5082

RE:

LSB #5349DP - An Act relating to the retention of unemployment benefits

contested case hearing records.

This bill would require lowa Workforce Development to retain contested case tape recordings and transcripts for one year from the date of decision rather than five years from the date of decision as provided by Chapter 17A, The Iowa Administrative Procedure Act. This would save the agency approximately \$10,000 per year without impacting the public.

lowa Code Section 96.4-4 provides no finding of fact or law, conclusion or final order made in an unemployment insurance case is binding in any other action or proceedings brought by the parties in any other division of lowa Workforce Development or in any other agency, court or judge of this state of the United States. The hearing records may be used only for the purpose of appealing the administrative law judge's decision in the specific case to the Employment Appeal Board. Appeals to the Employment Appeal Board must be filed within fifteen calendar days after the date of the administrative law judge's decision. After appeal, the Employment Appeal Board maintains the tape or prepares a transcript for its use and for use in the event of a petition for judicial review. This amendment does not reduce the length of time the Employment Appeal Board retains its records.

As a practical matter, lowa Workforce Development receives few requests to listen to or copy a contested case hearing tape recording if the case has not been appealed to the Employment Appeal Board. Over at least the past two years, there have been no such requests for hearings more than one year old.

HOUSE FILE 2344

AN ACT

RELATING TO THE RETENTION OF UNEMPLOYMENT BENEFITS CONTESTED CASE HEARING RECORDS.

BE IT ENACTED BY THE GENERAL ASSEMBLY OF THE STATE OF IOWA:

Section 1. Section 96.11, Code Supplement 2001, is amended by adding the following new subsection:

NEW SUBSECTION. 11A. UNEMPLOYMENT BENEFITS CONTESTED CASE HEARING RECORDS. Notwithstanding the provisions of section 17A.12 to the contrary, the recording of oral proceedings of a hearing conducted before an administrative law judge pursuant to section 96.6, subsection 3, in which the decision of the administrative law judge is not appealed to the employment

House File 2344, p. 2

appeal board, shall be filed with and maintained by the department for at least two years from the date of decision.

BRENT SIEGRIST
Speaker of the House

MARY E. KRAMER
President of the Senate

I hereby certify that this bill originated in the Rouse and is known as House File 2344, Seventy-ninth General Assembly.

MARGARET THOMSON
Chief Clerk of the House

2002

THOMAS J. VILSACK

Governor