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HOUSE FILE

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RANTS, and CORMACK

Passed House, Date _____ Passed Senate, Date _____

Vote: Ayes _____ Nays _____ Vote: Ayes _____ Nays _____

Approved _____

A BILL FOR

1 An Act applying the death penalty or life imprisonment for the
2 offense of first degree murder, by establishing circumstances
3 under which the death penalty will be applied, by providing a
4 minimum age for imposition of a death sentence, by providing
5 for review of death sentences, by providing for execution by
6 lethal injection, by amending the rules of criminal procedure,
7 and by providing an effective date and for the Act's
8 applicability.

9 BE IT ENACTED BY THE GENERAL ASSEMBLY OF THE STATE OF IOWA:

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HF 26

1 Section 1. Section 13B.4, Code 1999, is amended by adding
2 the following new subsection:

3 NEW SUBSECTION. 6A. The state public defender shall
4 perform all of the following duties with respect to the
5 appointment of counsel for indigent persons in cases in which
6 a sentence of death may be or is to be imposed:

7 a. Provide or contract with attorneys for appointment as
8 lead counsel and co-counsel to provide legal services in cases
9 where a person is charged with murder in the first degree and
10 the state has given notice of intent to seek the death penalty
11 or in cases in which a sentence of death is to be imposed.

12 b. Conduct or sponsor specialized training programs for
13 attorneys representing persons who may be executed.

14 Sec. 2. Section 216A.133, Code 1999, is amended by adding
15 the following new subsection:

16 NEW SUBSECTION. 8. Review the effects of the
17 reinstatement of the death penalty on arrest, prosecution,
18 conviction, and incarceration rates; law enforcement duties
19 and ability to obtain evidence necessary for arrests; court
20 dockets and workload; prison space; recidivism rates of
21 persons charged with crimes of violence against persons; and
22 other aspects of the criminal justice system. Based on the
23 review and other factors deemed relevant, the council shall
24 make findings and develop recommendations resulting from those
25 findings. Commencing January 1, 2001, the council shall
26 report its findings and any related recommendations annually
27 to the governor and to the general assembly.

28 Sec. 3. NEW SECTION. 602.10111A QUALIFICATIONS OF
29 COUNSEL IN DEATH PENALTY CASES.

30 The supreme court shall prescribe rules which establish
31 minimum standards and procedures by which attorneys may become
32 qualified to provide legal services as lead counsel in cases
33 in which a sentence of death may be or is to be imposed.

34 Sec. 4. NEW SECTION. 812A.1 PROCEDURE TO DETERMINE
35 SANITY OF CONDEMNED INMATE.

1 1. At any time prior to execution of an inmate under
2 section 902.1, if the director of the department of
3 corrections or the counsel for a person who is under a
4 sentence of execution has cause to believe that the inmate is
5 suffering from such a diseased or deranged condition of the
6 mind as to prevent the defendant from knowing the nature and
7 quality of the act the defendant has been convicted of, or
8 from understanding that trial on the offense has taken place
9 and that execution proceedings are about to take place, or to
10 otherwise cause the defendant to lack the capacity to
11 understand the sentence which has been imposed and to
12 participate in any legal proceedings relating to the sentence,
13 the director or counsel may file a request with the court that
14 issued the warrant for execution for a determination of the
15 inmate's sanity. If the district court determines that there
16 is not sufficient reason to believe that the inmate is insane,
17 the court shall enter an order denying the request and shall
18 state the grounds for denying the request. If the court
19 believes that there is sufficient reason to believe that the
20 inmate is insane, the court shall suspend the execution and
21 conduct a hearing to determine the sanity of the inmate.

22 2. At the hearing, the court shall determine the issue of
23 the inmate's sanity. Prior to the hearing, the court shall
24 appoint two licensed physicians or licensed psychologists, or
25 one licensed physician and one licensed psychologist, who are
26 qualified by training and practice, for purposes of conducting
27 a psychiatric or psychological examination of the inmate. The
28 physicians or psychologists shall examine the inmate and
29 report any findings in writing to the court within ten days
30 after the order of examination is issued. The inmate shall
31 have the right to present evidence and cross-examine any
32 witnesses at the hearing. Any statement made by the inmate
33 during the course of any examination provided for in this
34 section, whether or not the inmate consents to the
35 examination, shall not be admitted into evidence against the

1 inmate in any criminal proceeding for purposes other than a
2 determination of the inmate's sanity.

3 3. If, at the conclusion of a hearing held pursuant to
4 this section, the court determines that the inmate is sane,
5 the court shall enter an order setting a date for the inmate's
6 execution, which shall be carried into effect in the same
7 manner as provided in the original sentence. A copy of the
8 order shall be sent to the director of the department of
9 corrections and the governor.

10 4. If, at the conclusion of a hearing held pursuant to
11 this section, the court determines that the inmate is insane,
12 the court shall suspend the execution until further order. At
13 any time after issuance of the order, if the court has
14 sufficient reason to believe that the inmate has become sane,
15 the court shall again determine the sanity of the inmate as
16 provided by this section. Proceedings pursuant to this
17 section may continue to be held at such times as the court
18 orders until it is either determined that the inmate is sane
19 or incurably insane.

20 **Sec. 5. NEW SECTION. 814.28 REVIEW OF DEATH SENTENCE.**

21 1. In a case in which a sentence of death is imposed, the
22 supreme court shall automatically review the judgment and
23 sentence. The court's review of the case shall be de novo.
24 The case shall not be transferred to the court of appeals.

25 2. A review by the supreme court of a judgment and
26 sentence imposing the punishment of death has priority over
27 all other criminal and other actions pending before the
28 supreme court.

29 3. The supreme court shall review the trial and judgment,
30 and shall separately review the sentencing proceeding. Upon
31 determining that errors did not occur at the trial requiring
32 reversal or modification of the judgment, the supreme court
33 shall proceed to determine if the sentence of death is
34 lawfully imposed. In its review of the sentencing proceeding
35 the supreme court shall determine all of the following:

1 a. Whether the sentence of death was imposed capriciously
2 or under the influence of prejudice or other arbitrary factor.

3 b. Whether the special verdicts returned under section
4 901.11 are supported by the evidence.

5 c. Whether the sentence of death is excessive or
6 disproportionate to the penalty imposed in similar cases,
7 considering both the crime and the defendant.

8 4. If the supreme court determines that the sentence of
9 death was not lawfully imposed, the court shall set aside the
10 sentence and shall remand the case to the trial court for
11 imposition of a sentence of life imprisonment.

12 5. If the supreme court affirms the judgment and sentence
13 of death, the clerk of the supreme court shall certify the
14 judgment of the supreme court under the seal of the court to
15 the clerk of the trial court.

16 Sec. 6. Section 815.10, Code 1999, is amended by adding
17 the following new subsection:

18 NEW SUBSECTION. 1A. If two attorneys have not already
19 been appointed pursuant to section 13B.4 or 13B.9, the court
20 shall appoint, for each indigent person who is charged with
21 murder in the first degree and in which a notice of intent to
22 seek the death penalty has been filed, two attorneys who are
23 qualified under section 602.10111A to represent the person in
24 the murder proceedings and in all state legal proceedings
25 which take place from the time the person is indicted or
26 arraigned until the person is sentenced on the charge. In
27 addition, if at any point in federal post-conviction
28 proceedings an indigent person is not afforded court-appointed
29 counsel, the state shall provide counsel to the person to
30 present any claims determined meritorious by the federal court
31 if the person is not otherwise represented by legal counsel.
32 Only private attorneys and public defenders who are qualified
33 to provide representation in cases in which the death penalty
34 may be imposed are eligible for appointment or assignment to a
35 case in which the death penalty may be imposed.

1 Sec. 7. NEW SECTION. 901.11 MURDER PROCEEDINGS --
2 REQUEST FOR DEATH PENALTY -- PENALTY PROCEEDINGS.

3 1. If a notice of intent to seek the death penalty has
4 been filed, objections to the imposition of the death penalty
5 based upon allegations that a defendant was mentally retarded
6 or mentally ill at the time of the commission of the offense
7 shall be raised within the time provided for the filing of
8 pretrial motions under rule of criminal procedure 10, Iowa
9 court rules, third edition. The court may, for good cause
10 shown, allow late filing of the motion. Hearing on the motion
11 shall be held prior to trial and the burden of proof shall be
12 on the defendant to prove mental retardation or mental illness
13 by a preponderance of the evidence. However, a rebuttable
14 presumption of mental retardation arises if a defendant has an
15 intelligence quotient of seventy or below. If the court finds
16 that the defendant is mentally retarded, the defendant, if
17 convicted of murder in the first degree, shall not be
18 sentenced to death but shall be sentenced to life imprisonment
19 in the manner provided in section 902.1, subsection 1. A
20 finding by the court that the evidence presented by the
21 defendant at the hearing does not preclude the imposition of
22 the death penalty under this section and section 902.13 shall
23 not preclude the introduction of evidence of mental
24 retardation or mental illness during the penalty proceeding.
25 If the court finds that evidence of mental retardation or
26 mental illness does not preclude imposition of the death
27 penalty, evidence of mental retardation or mental illness may
28 be reviewed by the jury in the penalty proceeding and the jury
29 shall not be informed of the finding in the initial proceeding
30 at any time during the penalty proceeding.

31 2. If at the trial on a charge of murder in the first
32 degree, the state intends to request that the death penalty be
33 imposed under section 902.1, subsection 2, the prosecutor
34 shall file a notice of intent to seek the death penalty,
35 listing the factors enumerated under section 902.13 that the

1 state intends to establish in support of imposition of the
2 death penalty, at the time of and as part of the information
3 or indictment filed in the case.

4 | 3. If a notice of intent to seek the death penalty has
5 been filed, the trial shall be conducted in bifurcated
6 proceedings before the same trier of fact. During the initial
7 proceeding, the jury, or the court, if the defendant waives
8 the right to a jury trial, shall decide only whether the
9 defendant is guilty or not guilty of murder in the first
10 degree.

11 | a. If, in the initial proceeding, the court or jury finds
12 the defendant guilty of, or the defendant pleads guilty to, an
13 offense other than murder in the first degree, the court shall
14 sentence the defendant in accordance with the sentencing
15 procedures set forth in rule of criminal procedure 22, Iowa
16 court rules, third edition, and chapters 901 through 909,
17 which are applicable to the offense.

18 | b. If the court or jury finds the defendant guilty of, or
19 the defendant pleads guilty to, murder in the first degree,
20 but the prosecuting attorney waives the death penalty, the
21 court shall sentence the defendant to life imprisonment in
22 accordance with the sentencing procedures set forth in rule of
23 criminal procedure 22, Iowa court rules, third edition, and
24 chapters 901 through 909, which are applicable to convictions
25 of murder in the first degree.

26 | c. If the court or jury finds the defendant guilty of
27 murder in the first degree, or a defendant enters a plea of
28 guilty in the initial proceeding, and the prosecuting attorney
29 does not waive imposition of the death penalty, a penalty
30 proceeding shall be held in the manner provided in subsections
31 4 through 12.

32 | 4. No sooner than twenty-four hours after a verdict of
33 guilty or a plea of guilty to the charge of murder in the
34 first degree is returned in the initial proceeding, a penalty
35 proceeding shall be held to determine whether the defendant

1 shall be sentenced to death or to life imprisonment. The
2 proceeding shall be conducted in the trial court before the
3 trial jury, or the court if the defendant has waived the right
4 to a jury trial. Both the state and the defendant shall have
5 the right to present opening statements at the commencement of
6 the penalty proceedings. In the proceeding, evidence relevant
7 to the existence of any aggravating or mitigating
8 circumstances may be presented as follows:

9 a. The state or the defendant may present evidence
10 relevant to any of the factors enumerated in section 902.13
11 and any aggravating circumstances other than juvenile
12 delinquency adjudications for offenses which carry penalties
13 equivalent to the penalties imposed for simple or serious
14 misdemeanors. The state may introduce evidence of the actual
15 harm caused by the commission of the murder including, but not
16 limited to, evidence relating to the life of the victim and
17 the impact of the loss of the victim to the victim's family
18 and society. The state shall be required to prove the
19 existence of one or more of the factors enumerated in section
20 902.13 beyond a reasonable doubt.

21 b. The defendant may present evidence that the defendant
22 was mentally retarded at the time of the commission of the
23 offense. The burden of proof shall be on the defendant to
24 prove mental retardation by a preponderance of the evidence.
25 However, a rebuttable presumption of mental retardation arises
26 if a defendant has an intelligence quotient of seventy or
27 below.

28 c. The state or the defendant may present evidence
29 relevant to any mitigating circumstances which may exist.
30 Mitigating circumstances may include the following
31 circumstances:

32 (1) The defendant was under the influence of an extreme
33 mental or emotional disturbance insufficient to constitute a
34 defense.

35 (2) The victim solicited, participated in, or consented to

1 the conduct which resulted in the victim's death.

2 (3) The age of the defendant at the time of the murder.

3 (4) The defendant's capacity to appreciate the
4 wrongfulness of the defendant's conduct and to conform that
5 conduct to the requirements of law was significantly impaired
6 as a result of a mental disease or defect or mental
7 retardation, but not to a degree sufficient to constitute a
8 defense.

9 (5) The defendant has no significant history of prior
10 adult criminal activity.

11 (6) The defendant acted under extreme duress or under the
12 substantial domination of another person.

13 (7) The defendant did not directly commit the murder and
14 the defendant did not intend to kill or anticipate that lethal
15 force would be used.

16 (8) Any other factor which is relevant to the defendant's
17 character or record or to the circumstances of the offense.

18 (9) The defendant rendered substantial assistance to the
19 state in the prosecution of another person for the crime of
20 murder.

21 (10) The evidence which establishes that the defendant
22 committed murder in the first degree does not include direct
23 evidence from at least two different sources.

24 d. The state and the defendant or the defendant's counsel
25 shall be permitted to present and cross-examine witnesses and
26 present arguments for or against a sentence of death. The
27 admission of evidence in support of the existence of a factor
28 enumerated in section 902.13 shall be governed by the rules
29 governing admissibility of evidence at a criminal trial.

30 Evidence regarding aggravating and mitigating circumstances
31 shall not be governed by the rules governing admissibility of
32 evidence, except that introduction of evidence secured in
33 violation of the Constitution of the United States or of the
34 Constitution of the State of Iowa shall not be permitted.

35 5. At the conclusion of presentation of evidence in the

1 penalty proceeding, the state and the defendant or the
2 defendant's counsel shall be permitted to make closing
3 arguments, including any rebuttal arguments, in the same
4 manner as in the initial proceeding and the following issues
5 shall be determined by the jury or the court, if there is no
6 jury:

7 a. Whether one or more of the factors enumerated in
8 section 902.13 have been established beyond a reasonable
9 doubt.

10 b. If one or more aggravating circumstances are
11 established, whether the aggravating circumstance or
12 circumstances outweigh any one or more mitigating
13 circumstances.

14 c. Whether the defendant shall be sentenced to death.

15 6. A recommendation for a sentence of death shall not be
16 permitted if the recommendation is based on the race, color,
17 religious beliefs, national origin, or sex of the defendant or
18 any victim. After submission of the issues, but prior to the
19 return of a finding in the penalty proceeding, if the matter
20 is tried before a jury, the court shall instruct the jury that
21 in considering whether a sentence of death is justified, it
22 shall not consider race, color, religious beliefs, national
23 origin, or sex of the defendant or of any victim. The court
24 shall further instruct the jury that it shall not return a
25 sentence of death unless it concludes that such a sentence
26 would be recommended no matter what the race, color, religious
27 beliefs, national origin, or sex of the defendant or any
28 victim may be.

29 7. After submission of the issues, but prior to the
30 commencement of the jury deliberations in the penalty
31 proceeding, the court shall instruct the jury that if the
32 defendant is not sentenced to death, the court is required by
33 law to impose a sentence of imprisonment until death without
34 parole. The court shall further instruct the jury that the
35 sentence of imprisonment until death without parole is

1 required by law if the jury fails to reach a unanimous verdict
2 recommending a sentence of death.

3 | 8. Concurrently with the return of the findings on the
4 issues submitted under subsection 5, the jury, or the court if
5 there is no jury, shall return special verdicts as follows:

6 | a. Which factors, as enumerated in section 902.13, have
7 been unanimously found to have been established beyond a
8 reasonable doubt.

9 | b. Which aggravating circumstances were established and
10 were considered in reaching the verdict returned on the issue
11 specified in subsection 5, paragraph "b".

12 | c. Which mitigating circumstances were established and
13 were considered in reaching the verdict returned on the issue
14 specified in subsection 5, paragraph "b".

15 | 9. If the jury, or the court if there is no jury, returns
16 a unanimous affirmative finding on each of the issues
17 submitted under subsection 5, paragraphs "a", "b", and "c",
18 the court shall enter a judgment of conviction and shall
19 sentence the defendant to death as provided in section 902.1,
20 subsection 2.

21 | 10. However, if evidence that the defendant was not a
22 major participant in the commission of the murder and that the
23 defendant's conduct did not manifest a reckless indifference
24 to human life is presented to the jury, or the court, if there
25 is no jury, the jury or the court shall also return a special
26 verdict on the issue. If the jury unanimously determines, or
27 the court, if there is no jury, finds that a preponderance of
28 evidence exists that shows that the defendant was not a major
29 participant in the commission of the murder and that the
30 defendant's conduct did not manifest a reckless indifference
31 to human life, the court shall enter a judgment of conviction
32 and shall sentence the defendant to life imprisonment as
33 provided in section 902.1, subsection 1, even if the jury or
34 the court returns unanimous affirmative findings on each of
35 the issues submitted under subsection 5.

1 11. If the jury, or the court, if there is no jury,
2 returns a negative finding on any of the issues submitted
3 under subsection 5, paragraphs "a", "b", and "c", the court
4 shall enter a judgment of conviction and shall sentence the
5 defendant to life imprisonment as provided in section 902.1,
6 subsection 1.

7 12. After a verdict has been rendered it shall be recorded
8 on the jury verdict form and shall be read and recorded in
9 open court. The jurors shall be collectively asked by the
10 court whether the verdict returned is their true and correct
11 verdict. Even though no juror makes any declaration to the
12 contrary, the jury shall, if either party so requests, be
13 polled and each juror shall be separately asked whether the
14 verdict rendered by the jury foreperson is the juror's true
15 and correct verdict. If, upon either the collective or the
16 separate inquiry, any juror denies that the verdict is the
17 juror's verdict, the court shall refuse to accept the verdict.
18 The court may direct inquiry or permit inquiry by counsel to
19 ascertain whether any juror has been subjected to coercion or
20 has become confused during the jury deliberation process. The
21 court may, as appropriate, direct the jury to resume
22 deliberation in the case. If no disagreement on the verdict
23 is expressed by any of the jurors, the court shall discharge
24 the jury.

25 13. This section shall not apply to a defendant who was
26 under the age of eighteen at the time the offense was
27 committed.

28 Sec. 8. Section 902.1, Code 1999, is amended to read as
29 follows:

30 902.1 CLASS "A" FELONY.

31 1. Upon Except as otherwise provided in subsection 2, upon
32 a plea of guilty, a verdict of guilty, or a special verdict
33 upon which a judgment of conviction of a class "A" felony may
34 be rendered, the court shall enter a judgment of conviction
35 and shall commit the defendant into the custody of the

1 director of the Iowa department of corrections for the rest of
2 the defendant's life. Nothing in the Iowa corrections code
3 pertaining to deferred judgment, deferred sentence, suspended
4 sentence, or reconsideration of sentence applies to a sentence
5 of life imprisonment for a class "A" felony, and a person
6 convicted of a class "A" felony and sentenced to life
7 imprisonment shall not be released on parole unless the
8 governor commutes the sentence to a term of years.

9 2. Upon return of a plea or verdict of guilty to the
10 offense of murder in the first degree under section 707.2 and
11 a return of a verdict in favor of a sentence of death in a
12 penalty proceeding conducted as provided in section 901.11,
13 the court shall enter a judgment of conviction and shall
14 commit the defendant into the custody of the director of the
15 Iowa department of corrections. The sentence shall be carried
16 out by the administration of a lethal injection pursuant to
17 rules adopted by the board of corrections. If a defendant,
18 for whom a warrant of execution is issued, is pregnant, the
19 execution shall not take place until after the defendant is no
20 longer pregnant. If a defendant, for whom a warrant of
21 execution is issued, is suffering from such a diseased or
22 deranged condition of the mind as to prevent the defendant
23 from knowing the nature and quality of the act the defendant
24 has been convicted of, or from understanding that trial on the
25 offense has taken place and that execution proceedings are
26 about to take place, or otherwise causes the defendant to lack
27 the capacity to understand the sentence which has been imposed
28 and to participate in any legal proceedings relating to the
29 sentence, the execution shall not take place until after the
30 defendant's capacity is restored. If the director of the
31 department of corrections or the defendant's counsel files a
32 request with the court which issued the warrant of execution,
33 alleging that the defendant suffers from such a diseased or
34 deranged condition, a hearing on the matter shall be held in
35 the manner provided in section 812A.1. If a defendant was

1 under the age of eighteen at the time the offense was
2 committed, the defendant shall be sentenced as provided in
3 subsection 1. For the purposes of this section, "lethal
4 injection" means a continuous intravenous injection of a
5 lethal substance sufficient to cause death.

6 Sec. 9. NEW SECTION. 902.13 FIRST DEGREE MURDER --
7 ADDITIONAL FACTORS.

8 A person who commits murder in the first degree, who is not
9 mentally retarded or mentally ill, and who is age eighteen or
10 older at the time the offense is committed, shall be eligible
11 for a sentence of death under section 902.1, subsection 2, if
12 one or more of the following factors is established:

13 1. The person has been previously convicted of a class "A"
14 felony in this state or a criminal offense in any other state
15 which would constitute a class "A" felony under section 707.2,
16 709.2, or 710.2 if committed in this state. For purposes of
17 this section, a conviction which occurs prior to the filing of
18 an indictment or information for murder in the first degree
19 shall be considered to be a previous conviction. An
20 adjudication of delinquency does not constitute a conviction
21 for purposes of this subsection.

22 2. The person is convicted, during the course of the same
23 trial in which the defendant is convicted of murder in the
24 first degree, of committing another class "A" felony under
25 section 707.2, 709.2, or 710.2.

26 3. The victim was a witness to a crime and the murder is
27 for the purpose of preventing the victim from testifying in
28 any criminal proceeding and the murder was not committed
29 during the commission of the crime that the victim witnessed,
30 or the victim was a witness to a crime and the murder is in
31 retaliation for the victim's testimony in any criminal
32 proceeding.

33 4. The victim was a prosecutor or former prosecutor, as
34 defined in section 801.4, or was a prosecutor or former
35 prosecutor for any federal prosecutor's office, and the murder

1 is in retaliation for or to prevent the victim from carrying
2 out the victim's official duties.

3 5. The victim was a judicial officer as defined under
4 section 602.1101, or a former judicial officer of any court of
5 record in this state or any other state and the murder is in
6 retaliation for or to prevent the victim from carrying out the
7 victim's official duties.

8 6. The victim was an employee of an institution or
9 facility under the control of the department of corrections or
10 a judicial district department of correctional services or of
11 a city or county jail who was performing the victim's official
12 duties.

13 7. The victim was a peace officer, as defined under
14 section 801.4, or a former peace officer and the murder is in
15 retaliation for or to prevent the victim from carrying out the
16 victim's official duties.

17 8. The victim was under the age of twelve years and the
18 death results from exceptionally brutal or heinous behavior
19 indicative of wanton cruelty.

20 9. The murder was especially heinous, atrocious, cruel, or
21 manifesting exceptional depravity. For purposes of this
22 subsection, the phrase "especially heinous, atrocious, cruel,
23 or manifesting exceptional depravity" means a conscienceless
24 or pitiless crime which is unnecessarily torturous to the
25 victim.

26 For purposes of this section, "mentally retarded" means
27 significant subaverage general intellectual functioning
28 accompanied by significant deficits or impairments in adaptive
29 functioning manifested in the developmental period, but no
30 later than the age of eighteen years, and accompanied by
31 deficits in adaptive behavior.

32 For purposes of this section, "mentally ill" means the
33 condition of a person who is suffering from a chronic and
34 persistent serious mental disease or disorder and who, by
35 reason of that condition, lacks sufficient judgment to make

1 responsible decisions regarding treatment and is reasonably
2 likely to injure the person's self or others who may come into
3 contact with the person if the person is allowed to remain at
4 liberty without treatment.

5 Sec. 10. NEW SECTION. 902.14 DATA COLLECTION FOR DEATH
6 PENALTY.

7 1. The supreme court shall collect data on all murder
8 charges in which the death penalty is or was not waived, which
9 are filed and processed in the courts in this state. This
10 data may be used by the supreme court to determine whether
11 death sentences imposed are excessive or disproportionate, or
12 under the influence of prejudice as a result of racial
13 discrimination under section 814.28. The court shall make
14 this data available to litigants in death penalty cases.

15 2. Data collected by public officials concerning factors
16 relevant to the imposition of the death sentence shall be made
17 publicly available.

18 Sec. 11. NEW SECTION. 903C.1 EXECUTIONS -- REFUSAL TO
19 PERFORM.

20 An employee of the state who may lawfully perform, assist,
21 or participate in the execution of a person pursuant to
22 section 902.1, and rules adopted by the department of
23 corrections, shall not be required to perform, assist, or
24 participate in the execution. State employees who refuse to
25 perform, assist, or participate in the execution of a person
26 shall not be discriminated against in any way, including, but
27 not limited to, employment, promotion, advancement, transfer,
28 licensing, education, training, or the granting of any
29 privileges or appointments because of the refusal to perform,
30 assist, or participate in the execution.

31 Sec. 12. Section 904.105, Code 1999, is amended by adding
32 the following new subsection:

33 NEW SUBSECTION. 9A. Adopt rules pursuant to chapter 17A
34 pertaining to executions of persons convicted of murder in the
35 first degree. Rules adopted shall include, but are not

1 limited to, rules permitting the witnessing of executions by
2 members of the public. Invitations to witness an execution
3 shall at least be extended to the following representatives of
4 the news media:

5 a. A representative from a wire service serving Iowa.

6 b. A representative from a broadcasting network serving
7 Iowa.

8 c. A representative from a television station located in
9 Iowa.

10 d. A representative from a radio station located in Iowa.

11 e. A representative from a daily newspaper published in
12 Iowa.

13 f. A representative from a weekly newspaper published in
14 Iowa.

15 g. A representative from the news media from the community
16 in which the condemned person resided, if that community is
17 located in Iowa.

18 Sec. 13. Rules of criminal procedure, Iowa court rules,
19 third edition, are amended by adding sections 14 through 17 of
20 this Act.

21 Sec. 14. NEW RULE. MURDER IN THE FIRST DEGREE --
22 PROCEDURE.

23 1. If a notice of intent to seek the death penalty has
24 been filed, objections to the imposition of the death penalty
25 based upon allegations that a defendant was mentally retarded
26 at the time of the commission of the offense shall be raised
27 within the time provided for the filing of pretrial motions
28 under R.Cr.P. 10, Iowa court rules, third edition. The court
29 may, for good cause shown, allow late filing of the motion.
30 Hearing on the motion shall be held prior to trial and the
31 burden of proof shall be on the defendant to prove mental
32 retardation by a preponderance of the evidence. However, a
33 rebuttable presumption of mental retardation arises if a
34 defendant has an intelligence quotient of seventy or below. A
35 finding of the court that the evidence presented by the

1 defendant at the hearing does not preclude the imposition of
2 the death penalty under this section and section 902.13 shall
3 not preclude the introduction of evidence of mental
4 retardation during the penalty proceeding. If the court finds
5 that the evidence presented by the defendant does not preclude
6 the imposition of the death penalty, evidence of mental
7 retardation may be reviewed by the jury during the penalty
8 proceeding and the jury shall not be informed of the finding
9 in the initial proceeding at any time during the penalty
10 proceeding.

11 2. Upon a finding or plea that a defendant is guilty of
12 murder in the first degree in an initial proceeding, if a
13 notice of intent to seek the death penalty has been filed and
14 has not been waived, the court shall conduct a separate
15 penalty proceeding to determine whether the defendant shall be
16 sentenced to death or to life imprisonment. The penalty
17 proceeding shall be conducted in the trial court before the
18 trial jury, or the court, if there is no jury, no sooner than
19 twenty-four hours after the return of the verdict or plea in
20 the initial proceeding. In the penalty proceeding, additional
21 evidence may be presented as to any factor enumerated in Iowa
22 Code section 902.13 or any aggravating or mitigating
23 circumstance which may exist. Evidence presented which is
24 relevant to the existence of a factor enumerated in Iowa Code
25 section 902.13 shall be subject to the rules of evidence.
26 Presentation of evidence which is relevant to the existence of
27 an aggravating or mitigating circumstance shall not be bound
28 by the rules of evidence. This subsection does not authorize
29 the introduction of any evidence secured in violation of the
30 Constitution of the United States or of the Constitution of
31 the State of Iowa. The state and the defendant or the
32 defendant's counsel shall be permitted to cross-examine
33 witnesses and to present arguments for or against a sentence
34 of death.

35 3. On conclusion of the presentation of the evidence in

1 the penalty proceeding, the state and the defendant or the
2 defendant's counsel shall be permitted to make closing
3 arguments, including any rebuttal arguments, in the same
4 manner as in the initial proceeding and the court shall submit
5 each of the following issues to the jury:

6 | a. Whether one or more of the factors enumerated in Iowa
7 Code section 902.13 have been established beyond a reasonable
8 doubt.

9 | b. If one or more aggravating circumstances have been
10 established, whether one or more of those circumstances
11 outweigh any one or more mitigating circumstances.

12 | c. Whether the defendant shall be sentenced to death.
13 | If the case is not tried to a jury, the court shall
14 determine the issues.

15 | 4. The state must prove the issue in subsection 3,
16 paragraph "a" beyond a reasonable doubt, and the jury, or the
17 court if there is no jury, shall return a special verdict of
18 "yes" or "no" on each issue.

19 | 5. If the case is tried to a jury, the court shall charge
20 the jury that:

21 | a. It shall answer any issue "yes" if it agrees
22 unanimously.

23 | b. It shall answer any issue "no" if the jurors
24 unanimously agree that the answer is "no" or if the jurors do
25 not unanimously agree that the answer is "yes".

26 | 6. Concurrently with the return of the special verdicts
27 under subsection 4, the jury, or the court if there is no
28 jury, shall also return special verdicts as follows:

29 | a. Which of the factor, or factors, enumerated in section
30 902.13, has been unanimously found to have been established
31 beyond a reasonable doubt.

32 | b. Which aggravating circumstances were established and
33 were considered in reaching the verdict returned on the issue
34 specified in subsection 3, paragraph "b".

35 | c. Which mitigating circumstances were established and

1 were considered in reaching the verdict returned on the issue
2 specified in subsection 3, paragraph "b".

3 7. If the jury, or the court, if there is no jury, returns
4 an affirmative finding on all applicable issues, the court
5 shall sentence the defendant to death. If the jury or the
6 court returns a negative finding on any applicable issue, the
7 court shall sentence the defendant to the custody of the
8 director of the department of corrections for confinement for
9 the rest of the defendant's life.

10 8. However, if evidence that the defendant was not a major
11 participant in the commission of the murder and that the
12 defendant's conduct did not manifest a reckless indifference
13 to human life is presented to the jury, or the court, if there
14 is no jury, the jury or the court shall also return a special
15 verdict on the issue. If the jury unanimously determines, or
16 the court, if there is no jury, finds that a preponderance of
17 evidence exists that shows that the defendant was not a major
18 participant in the commission of the murder and that the
19 defendant's conduct did not manifest a reckless indifference
20 to human life, the court shall enter a judgment of conviction
21 and shall sentence the defendant to life imprisonment as
22 provided in section 902.1, subsection 1, even if the jury or
23 the court returns unanimous affirmative findings on each of
24 the issues submitted under subsection 3.

25 9. After a verdict has been rendered it shall be recorded
26 on the jury verdict form and shall be read and recorded in
27 open court. The jurors shall be collectively asked by the
28 court whether the verdict returned is their true and correct
29 verdict. Even though no juror makes any declaration to the
30 contrary, the jury shall, if either party so requests, be
31 polled and each juror shall be separately asked whether the
32 verdict rendered by the jury foreperson is the juror's true
33 and correct verdict. If, upon either the collective or the
34 separate inquiry, any juror denies that the verdict is the
35 juror's verdict, the court shall refuse to accept the verdict.

1 The court may direct inquiry or permit inquiry by counsel to
2 ascertain whether any juror has been subjected to coercion or
3 has become confused during the jury deliberation process. The
4 court may, as appropriate, direct the jury to resume
5 deliberation in the case. If no disagreement on the verdict
6 is expressed by any of the jurors, the court shall discharge
7 the jury.

8 10. Provisions relating to deferred judgment, deferred
9 sentence, suspended sentence, reconsideration of sentence,
10 probation, parole, or work release contained in Iowa Code
11 chapters 901 through 909 do not apply to a conviction of
12 murder in the first degree if the defendant is sentenced to
13 death.

14 Sec. 15. NEW RULE. AUTOMATIC REVIEW -- STAY OF EXECUTION
15 OF JUDGMENT.

16 1. A judgment of conviction and sentence of death shall be
17 reviewed automatically in the manner provided in Iowa Code
18 section 814.28, and the Iowa supreme court has exclusive
19 jurisdiction of the review.

20 2. Upon entry of judgment and sentence of death, the trial
21 court shall prepare a complete record and transcript of the
22 action in the manner provided in the rules of criminal
23 procedure and shall docket the record and transcript with the
24 clerk of the supreme court.

25 3. The execution of judgment of the trial court is stayed
26 as a matter of law from the time of its entry until the
27 judgment of the supreme court is certified to and entered by
28 the trial court. Upon entry of a judgment of the supreme
29 court which affirms the conviction and sentence, the stay of
30 execution of judgment terminates as a matter of law.

31 4. All court costs required due to the automatic
32 preparation of the record and transcript, docketing with the
33 supreme court, and stay of execution of judgment shall be
34 assessed to the state.

35 Sec. 16. NEW RULE. ISSUANCE OF WARRANT.

1 1. Upon entry by the trial court of the judgment of the
2 supreme court affirming a judgment and sentence of death, a
3 district judge shall within five days of the entry issue a
4 warrant under the seal of the court for the execution of the
5 sentence of death. The warrant shall specifically set forth
6 the offense and the fact of conviction, shall state the
7 judgment and sentence of the court, shall state that the
8 judgment and sentence were affirmed by the supreme court and
9 the date of entry of judgment of the supreme court in the
10 trial court, and shall, subject to the requirements of Iowa
11 Code section 902.1, subsection 2, specify the date fixed for
12 execution of the defendant which shall be not less than fifty
13 nor more than sixty days after the date of entry in the trial
14 court of the judgment of the supreme court affirming the
15 judgment and sentence of death. The warrant shall be directed
16 to the director of the department of corrections commanding
17 the director to cause the warrant to be executed on the date
18 specified. The trial court shall deliver the warrant to the
19 sheriff of the county in which judgment of conviction was
20 entered and the sheriff shall deliver the warrant to the
21 director of the department of corrections. The director of
22 the department of corrections shall acknowledge receipt of the
23 warrant and the defendant, and the sheriff shall return the
24 acknowledgment to the office of the clerk of the trial court
25 from which the warrant was issued.

26 2. Immediately after issuance of a warrant ordering a
27 sentence of death, the clerk of the trial court issuing the
28 warrant shall transmit by certified mail to the governor a
29 copy of the indictment, the plea, the verdict and special
30 findings, the affirmation of judgment and sentence by the
31 supreme court, and the complete transcript of the trial court.

32 3. Notwithstanding subsection 1, if a defendant, for whom
33 a warrant of execution is issued, is pregnant, the execution
34 shall not take place until after the defendant is no longer
35 pregnant. Notwithstanding subsection 1, if a defendant, for

1 whom a warrant of execution is issued, is suffering from such
2 a diseased or deranged condition of the mind as to prevent the
3 defendant from knowing the nature and quality of the act the
4 defendant has been convicted of, or from understanding that
5 trial on the offense has taken place and that execution
6 proceedings are about to take place, or to otherwise cause the
7 defendant to lack the capacity to understand the sentence
8 which has been imposed and to participate in any legal
9 proceedings relating to the sentence, the execution shall not
10 take place until after the defendant is no longer suffering
11 from the condition.

12 | Sec. 17. NEW RULE. EVIDENCE AT PENALTY PROCEEDING WHERE
13 DEATH SENTENCE REQUESTED.

14 1. At a reasonable time before the commencement of initial
15 proceedings in a first degree murder trial in which a sentence
16 of death has been requested, each party shall file and serve
17 upon the other party the following:

18 | a. A list of all aggravating or mitigating circumstances
19 which the party intends to prove during the sentencing
20 proceedings.

21 | b. The names of all persons whom the party intends to call
22 as witnesses during the sentencing proceedings.

23 | c. Notwithstanding R.Cr.P. 13, copies, or for inspection
24 purposes, the location, of all documents, including books,
25 papers, writings, drawings, graphs, charts, photographs,
26 telephone records, and other data compilations from which
27 information can be obtained, or other objects which the party
28 intends to offer into evidence during the sentencing
29 proceedings. If copies are not supplied to opposing counsel,
30 the party shall make the items available for inspection and
31 copying without order of the court.

32 2. In proceedings to determine whether the sentence shall
33 be death or life imprisonment, evidence may be presented as to
34 any matter which the trial court deems relevant to the
35 sentence, including but not limited to the nature,

1 circumstances, and manner of completion of the murder, and the
2 defendant's character, background, history, and mental and
3 physical condition. The trial court shall admit any relevant
4 admissible evidence respecting any aggravating or mitigating
5 circumstances, if the party has included the circumstance on a
6 list provided pursuant to this rule, or good cause is shown
7 for the failure to do so.

8 | Sec. 18. EFFECTIVE DATE -- SEVERABILITY -- SENTENCES
9 COMMUTED TO LIFE IMPRISONMENT IF ACT UNCONSTITUTIONAL.

10 | 1. This Act takes effect October 1, 1999, and applies to
11 offenses committed on or after that date.

12 | 2. If any provision of this Act or the application thereof
13 to any person is invalid, the invalidity shall not affect the
14 provisions or application of this Act which can be given
15 effect without the invalid provisions or application and to
16 this end, the provisions of this Act are severable.

17 | 3. If the imposition of a sentence of death under this Act
18 is found to be unconstitutional, the sentence of any person
19 who has been sentenced to death under this Act shall
20 automatically be commuted to a term of life imprisonment.

21 | EXPLANATION

22 | This bill amends the Iowa criminal code to provide for
23 punishment by death for murder committed under specified
24 circumstances if the trial jury, or the judge if there is no
25 jury, makes specific affirmative findings respecting the act
26 of murder and whether the jury believes the defendant should
27 be put to death in a separate penalty proceeding held after
28 the close of the initial trial proceeding. Under the bill, a
29 death sentence could be imposed if the murder would constitute
30 murder in the first degree and the state pleads and proves the
31 existence of certain aggravating circumstances. Those
32 circumstances include the following: the murder was committed
33 by a person who has previously been convicted of a class "A"
34 felony; the person is convicted of another class "A" felony in
35 the course of the same trial as the trial for first degree

1 murder (Class "A" felonies for this purpose include murder in
2 the first degree, sexual abuse in the first degree, and
3 kidnapping in the first degree); the victim was a witness to a
4 crime other than the victim's own murder and the murder is for
5 the purpose of preventing the victim from testifying; the
6 murder was retaliatory and was committed against a prosecutor
7 or former prosecutor, a judicial officer or former judicial
8 officer, an employee or former employee of a corrections
9 institution, or a peace officer or former peace officer; the
10 victim was under age 12 and the death resulted from
11 exceptionally brutal or heinous behavior; or the murder was
12 especially heinous, atrocious, cruel, or manifesting
13 exceptional depravity.

14 If a person is indigent and is charged with capital murder,
15 payment of costs for two attorneys is authorized. The supreme
16 court is required to establish standards for the competency of
17 counsel in death penalty cases. The state public defender is
18 charged with establishing teams of qualified lead and co-
19 counsel for death penalty cases, as well as conducting or
20 sponsoring specialized training programs for attorneys
21 representing persons who may be executed.

22 If a murder case proceeds to trial and a notice of intent
23 to seek the death penalty has been filed, in addition to any
24 other defenses which may be presented to the charge, the
25 defendant may raise the issue of mental retardation during the
26 time of filing pretrial motions, and the defendant is entitled
27 to a rebuttable presumption of mental retardation if the
28 defendant establishes that the defendant has an intelligence
29 quotient of 70 or below.

30 Once the evidence is submitted to the jury, the court will
31 instruct the jury, at the defendant's request, that in
32 considering whether a sentence of death is justified, the
33 race, color, religious beliefs, national origin, or sex of the
34 defendant or of any victim is not to be considered. The
35 supreme court shall collect evidence relating to whether the

1 death sentences imposed are excessive, disproportionate, or
2 imposed under the influence of prejudice at trial which will
3 be available to litigants.

4 The sentence of death is imposed only if the death penalty
5 has not been previously waived and the trier of fact
6 unanimously answers three questions affirmatively: (1)
7 whether aggravating circumstances have been established beyond
8 a reasonable doubt; (2) whether the aggravating circumstances
9 outweigh any mitigating circumstances that may exist; and (3)
10 whether the defendant should be sentenced to death.

11 Mitigating factors the trier of fact may consider include the
12 following: the defendant was under the influence of an
13 extreme mental or emotional disturbance; the victim solicited
14 or participated in the conduct; the age of the defendant; the
15 defendant's ability to appreciate the wrongfulness of the
16 conduct due to mental disease but not to a degree to
17 constitute a defense; the defendant has no significant prior
18 criminal history; the defendant was under extreme duress; the
19 defendant did not directly commit the murder; the defendant's
20 character; the defendant gave substantial assistance to the
21 prosecution in the prosecution of another person for the crime
22 of murder; and the evidence which convicted the defendant does
23 not include direct evidence from two sources. The sentencing
24 proceeding is conducted separately from the finding of guilt
25 or innocence by the same trier of fact.

26 For the sentencing proceeding, the trier of fact is to
27 weigh any aggravating circumstances established beyond a
28 reasonable doubt by the state against any of the enumerated
29 mitigating circumstances which may be presented by the
30 defendant. Evidence of juvenile delinquency adjudications is
31 not admissible in any proceeding to determine the sentence.
32 If the jury fails to agree unanimously on the required
33 affirmative findings or if the supreme court determines that
34 error was committed in the sentencing proceeding, the penalty
35 would be life imprisonment.

1 The death penalty sentence would be reviewed automatically
2 by the supreme court. The bill requires the supreme court to
3 examine whether the sentence is excessive or disproportionate
4 to penalties in similar cases. If affirmed by the supreme
5 court, the penalty would be accomplished by lethal injection.
6 The bill requires the board of corrections to adopt rules
7 pertaining to executions, including rules pertaining to the
8 witnessing of executions. The criminal and juvenile justice
9 planning advisory council is required to review the effects of
10 the reinstatement of the death penalty, make findings and
11 develop recommendations, and report the findings annually
12 commencing January 1, 2001.

13 The bill further provides that in order to receive a
14 sentence of death, the defendant must be at least 18 years of
15 age at the time the offense is committed, must not be mentally
16 ill or mentally retarded, and must have been a major
17 participant in the commission of the murder or must have shown
18 a manifest indifference to human life.

19 A person who is sentenced to death, but who is pregnant
20 when the warrant of execution is issued, is not to be executed
21 until the person is no longer pregnant. A procedure is also
22 provided to stay execution of a condemned inmate who becomes
23 insane after conviction but before execution.

24 An employee of the state shall not be required to perform
25 or assist in any execution and shall not be discriminated
26 against for refusing to participate.

27 The bill contains severability provisions and takes effect
28 October 1, 1999, and applies only to offenses committed on or
29 after that date.

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