MAR 3 1995
Place On Calendar

HOUSE FILE **256**BY COMMITTEE ON COMMERCE AND REGULATION

(SUCCESSOR TO HSB 31)

Passed House, Date 4/3/95 Passed Senate, Date 4/12/95

Vote: Ayes 98 Nays 0 Vote: Ayes 34 Nays 16

Approved 4/24/95

A BILL FOR

1 An Act relating to the definition of the practice of engineering and the suspension or revocation of the certificate of registration of a professional engineer or land surveyor. 3 4 BE IT ENACTED BY THE GENERAL ASSEMBLY OF THE STATE OF IOWA: 5 6 HOUSE FILE 256 7 H-3559 Amend House File 256 as follows: 1. Page 2, lines 1 and 2, by striking the words 3 "teaching of advanced engineering subjects,". By SUKUP of Franklin 10 WISE of Lee 11 H-3559 FILED MARCH 29, 1995 adopted (P. 1161) 12 13-14 15 16 17 18 19 20 21 22

- 1 Section 1. Section 542B.1, Code 1995, is amended to read 2 as follows:
- 3 542B.1 REGISTERED ENGINEERS AND SURVEYORS.
- 4 No A person shall not engage in the practice professional
- 5 of engineering or land surveying in the state unless the
- 6 person is a registered professional engineer or a registered
- 7 land surveyor as provided in this chapter, except as permitted
- 8 by section 542B.26.
- 9 Sec. 2. Section 542B.2, unnumbered paragraphs 2, 4, and 8,
- 10 Code 1995, are amended to read as follows:
- 11 The term "engineering documents" as used in this chapter
- 12 includes all plans, specifications, drawings, and reports, if
- 13 the preparation thereof of such documents constitutes or
- 14 requires the practice of professional engineering.
- 15 The term "in responsible charge" as used in this chapter
- 16 means having direct control of and personal supervision over
- 17 any professional-engineering-work-or land surveying work or
- 18 work involving the practice of engineering. One or more
- 19 persons, jointly or severally, may be in responsible charge.
- The term "professional engineer" as used in this chapter
- 21 shall-mean means a person, who, by reason of the person's
- 22 knowledge of mathematics, the physical sciences, and the
- 23 principles of engineering, acquired by professional education
- 24 or practical experience, is qualified to engage in the
- 25 practice of engineering practice-as-hereinafter-defined.
- Sec. 3. Section 542B.2, unnumbered paragraphs 9 and 10,
- 27 Code 1995, are amended by striking the paragraphs and
- 28 inserting in lieu thereof the following:
- 29 NEW UNNUMBERED PARAGRAPH. "Practice of engineering" as
- 30 used in this chapter means any service or creative work, the
- 31 adequate performance of which requires engineering education,
- 32 training, and experience in the application of special
- 33 knowledge of the mathematical, physical, and engineering
- 34 sciences, such as consultation, investigation, evaluation,
- 35 planning, design and design coordination of engineering works

- 1 and systems, planning the use of land and water, teaching of
- 2 advanced engineering subjects, performing engineering surveys
- 3 and studies, and the review of construction for the purpose of
- 4 monitoring compliance with drawings and specifications, any of
- 5 which embraces such services or creative work, either public
- 6 or private, in connection with any utilities, structures,
- 7 buildings, machines, equipment, processes, work systems,
- 8 projects, and industrial or consumer products or equipment of
- 9 a mechanical, electrical, hydraulic, pneumatic, or thermal
- 10 nature, insofar as they involve safeguarding life, health, or
- 11 property, and including such other professional services as
- 12 may be necessary to the planning, progress, and completion of
- 13 the services identified in this paragraph. "Design
- 14 coordination" includes the review and coordination of
- 15 technical submissions prepared by others, including as
- 16 appropriate and without limitation, consulting engineers,
- 17 architects, landscape architects, land surveyors, and other
- 18 professionals working under the direction of the engineer.
- 19 "Engineering surveys" includes all survey activities required
- 20 to support the sound conception, planning, design,
- 21 construction, maintenance, and operation of engineered
- 22 projects, but excludes the surveying of real property for the
- 23 establishment of land boundaries, rights-of-way, easements,
- 24 and the dependent or independent surveys or resurveys of the
- 25 public land survey system.
- 26 A person is construed to be engaged in the practice of
- 27 engineering if the person does any of the following:
- Practices any branch of the profession of engineering.
- 29 2. Makes a representation by verbal claim, sign,
- 30 advertisement, letterhead, card, or other manner that the
- 31 person is a professional engineer.
- 32 3. Uses any title which implies that the person is a
- 33 professional engineer or that the person is certified under
- 34 this chapter.
- 35 4. The person holds themself out as able to perform, or

- 1 who does perform, any service or work included in the practice
 2 of engineering.
- 3 Sec. 4. Section 542B.13, Code 1995, is amended to read as 4 follows:
- 5 542B.13 APPLICATIONS AND EXAMINATION FEES.
- 6 Applications for registration shall be on forms prescribed
- 7 and furnished by the board, shall contain statements made
- 8 under oath, showing the applicant's education and a detailed
- 9 summary of the applicant's technical work, and the board shall
- 10 not require that a recent photograph of the applicant be
- 11 attached to the application form. An applicant is not
- 12 ineligible for registration because of age, citizenship, sex,
- 13 race, religion, marital status or national origin, although
- 14 the application form may require citizenship information. The
- 15 board may consider the past felony record of an applicant only
- 16 if-the-felony-conviction-relates-directly-to-the-practice-of
- 17 engineering-or-land-surveying. The board may require that an
- 18 applicant submit references. Applications for examination in
- 19 fundamentals in professional the practice of engineering and
- 20 land surveying shall be accompanied by application fees
- 21 determined by the board. The board shall determine the annual
- 22 cost of administering the examinations and shall set the fees
- 23 accordingly.
- 24 Sec. 5. Section 542B.14, subsection 1, paragraph d, Code
- 25 1995, is amended to read as follows:
- 26 d. Successfully passing a written, oral, or written and
- 27 oral examination designed to determine the proficiency and
- 28 qualifications to engage in the practice of professional
- 29 engineering. No applicant shall be entitled to take this
- 30 examination until the applicant shows the necessary practical
- 31 experience in engineering work.
- 32 Sec. 6. Section 542B.17, Code 1995, is amended to read as
- 33 follows:
- 34 542B.17 CERTIFICATE.
- 35 To-any-applicant-who-shall-have-passed-the-examination-as-a

- 1 professional-engineer-and-who-shall-have-paid-an-additional
- 2 fee7-the The board shall issue a certificate of registration
- 3 as a professional engineer to an applicant who has passed the
- 4 examination as a professional engineer and who has paid an
- 5 additional fee. The certificate shall be signed by the
- 6 chairperson and secretary of the board under the seal of such
- 7 the board, -which. The certificate shall authorize the
- 8 applicant to engage in the practice professional of
- 9 engineering as-defined-in-this-chapter. The-amount-of-the-fee
- 10 shall-be-determined-by-the-board-pursuant-to-sections-542B+30
- 11 to-542B-32---Such The certificate shall not carry with it the
- 12 right to practice land surveying, unless specifically so
- 13 stated in-said on the certificate, which permission shall be
- 14 granted by the board without additional fee in cases where the
- 15 applicant duly qualifies as a land surveyor as prescribed by
- 16 the rules of said the board.
- 17 Sec. 7. Section 542B.21, subsection 5, Code 1995, is
- 18 amended to read as follows:
- 19 5. Conviction of a felony related-to-the-profession-or
- 20 occupation-of-the-registrant-or-the-conviction-of-any-felony
- 21 that-would-affect-the-registrant's-ability-to-practice
- 22 professional-engineering-or-land-surveying under the laws of
- 23 the United States, of any state or possession of the United
- 24 States, or of any other country. A copy of the record of
- 25 conviction or plea of guilty is conclusive evidence.
- Sec. 8. Section 542B.21, Code 1995, is amended by adding
- 27 the following new subsection:
- 28 NEW SUBSECTION. 5A. Revocation or suspension of
- 29 registration to engage in the practice of engineering or land
- 30 surveying, or other disciplinary action by the licensing
- 31 authority of another state, territory, or country. A
- 32 certified copy of the record or order of suspension,
- 33 revocation, or other disciplinary action is prima facie
- 34 evidence of such fact.
- 35 Sec. 9. Section 542B.26, Code 1995, is amended to read as

1 follows:

- 2 542B.26 APPLICABILITY OF CHAPTER.
- 3 This chapter shall not apply to any full-time employee of
- 4 any corporation while doing work for that corporation, except
- 5 in the case of corporations offering their services to the
- 6 public as professional engineers or land surveyors.
- 7 Corporations engaged in designing buildings or works for
- 8 public or private interests not their own shall be deemed to
- 9 be engaged in the practice professional of engineering within
- 10 the meaning of this chapter. With respect to such
- ll corporations all principal designing or constructing engineers
- 12 shall hold certificates of registration hereunder issued under
- 13 this chapter. This chapter shall not apply to corporations
- 14 engaged solely in constructing buildings and works.
- 15 This chapter shall not apply to any professional engineer
- 16 or land surveyor working for the United States government, nor
- 17 to any professional engineer or land surveyor employed as an
- 18 assistant to a professional engineer or land surveyor
- 19 registered under this chapter if such assistant is not placed
- 20 in responsible charge of any professional work involving the
- 21 practice of engineering or land surveying work, nor to the
- 22 operation and/or or maintenance of power and mechanical plants
- 23 or systems.

24 EXPLANATION

- 25 This bill amends provisions of chapter 542B relating to
- 26 professional engineers and land surveyors.
- 27 The bill strikes the definition of the practice of
- 28 "professional engineering" and inserts a definition of
- 29 "practice of engineering". Several conforming changes are
- 30 made as a result of these definition changes.
- 31 The bill also provides that the engineering and land
- 32 surveying examining board may suspend or revoke the
- 33 certificate of registration of a professional engineer or land
- 34 surveyor upon the certificate holder's conviction of a felony
- 35 under the laws of this state, or the conviction of an act

1 under the laws of the United States, any other state, 2 territory, or possession of the United States, or other 3 country, which would be a felony under the laws of this state. 4 The board may also suspend the certificate if the certificate 5 holder's registration in another state, territory, or foreign 6 country has been suspended or revoked. A certified copy of 7 the record or order of suspension, revocation, or other 8 disciplinary action is prima facie evidence of such fact. 9 Currently, revocation or suspension resulting from the 10 conviction of a felony must involve a felonious act related to 11 the profession or occupation of the certificate holder, or a 12 felonious act affecting the certificate holder's ability to 13 engage in the practice of engineering or land surveying. 14 15 16 17 18 19 20 21 22 23 24 25 26 27 28 29 30 31 32 33

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HOUSE FILE 256

BY COMMITTEE ON COMMERCE AND REGULATION

(SUCCESSOR TO HSB 31)

(As Amended and Passed by the House April 3, 1995)

(2. Passed House, Date 4/3/95 Passed Senate, Date 4-12-95

Vote: Ayes 98 Nays 0 Vote: Ayes 34 Nays 16

Approved April 24, 1995

A BILL FOR

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- 34 sciences, such as consultation, investigation, evaluation,
- 35 planning, design and design coordination of engineering works

1 and systems, planning the use of land and water, performing

2 engineering surveys and studies, and the review of

3 construction for the purpose of monitoring compliance with

4 drawings and specifications, any of which embraces such

5 services or creative work, either public or private, in

6 connection with any utilities, structures, buildings,

7 machines, equipment, processes, work systems, projects, and

8 industrial or consumer products or equipment of a mechanical,

9 electrical, hydraulic, pneumatic, or thermal nature, insofar

10 as they involve safeguarding life, health, or property, and

11 including such other professional services as may be necessary

12 to the planning, progress, and completion of the services

13 identified in this paragraph. "Design coordination" includes

14 the review and coordination of technical submissions prepared

15 by others, including as appropriate and without limitation,

16 consulting engineers, architects, landscape architects, land

17 surveyors, and other professionals working under the direction

18 of the engineer. "Engineering surveys" includes all survey

19 activities required to support the sound conception, planning,

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- 24 conviction or plea of guilty is conclusive evidence.
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- 26 the following new subsection:
- 27 Revocation or suspension of NEW SUBSECTION. 5A.
- 28 registration to engage in the practice of engineering or land
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- 35 follows:

3435

542B.26 APPLICABILITY OF CHAPTER. 2 This chapter shall not apply to any full-time employee of 3 any corporation while doing work for that corporation, except 4 in the case of corporations offering their services to the 5 public as professional engineers or land surveyors. Corporations engaged in designing buildings or works for 7 public or private interests not their own shall be deemed to 8 be engaged in the practice professional of engineering within 9 the meaning of this chapter. With respect to such 10 corporations all principal designing or constructing engineers 11 shall hold certificates of registration hereunder issued under 12 this chapter. This chapter shall not apply to corporations 13 engaged solely in constructing buildings and works. This chapter shall not apply to any professional engineer 14 15 or land surveyor working for the United States government, nor 16 to any professional engineer or land surveyor employed as an 17 assistant to a professional engineer or land surveyor 18 registered under this chapter if such assistant is not placed 19 in responsible charge of any professional work involving the 20 practice of engineering or land surveying work, nor to the 21 operation and/or or maintenance of power and mechanical plants 22 or systems. 23 24 25 26 27 28 29 30 31 32 33

HSB 31

COMMERCE - REGULATION

Succeeded By

SENATE/HOUSE FILE 256

BY (PROPOSED DEPARTMENT OF

COMMERCE/PROFESSIONAL

LICENSING AND REGULATION

DIVISION BILL)

Passed	Senate,	Date	Passed	House,	Date	
Vote:	Ayes	Nays	Vote:	Ayes	Nays	
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A BILL FOR

1 An Act relating to the definition of the practice of engineering and the suspension or revocation of the certificate of registration of a professional engineer or land surveyor. 4 BE IT ENACTED BY THE GENERAL ASSEMBLY OF THE STATE OF IOWA:

 S.F. H.F.

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- 30 made as a result of these definition changes.
- 31 The bill also provides that the engineering and land
- 32 surveying examining board may suspend or revoke the
- 33 certificate of registration of a professional engineer or land
- 34 surveyor upon the certificate holder's conviction of a felony
- 35 under the laws of this state, or the conviction of an act

1 under the laws of the United States, any other state, 2 territory, or possession of the United States, or other 3 country, which would be a felony under the laws of this state. 4 The board may also suspend the certificate if the certificate 5 holder's registration in another state, territory, or foreign 6 country has been suspended or revoked. A certified copy of 7 the record or order of suspension, revocation, or other 8 disciplinary action is prima facie evidence of such fact. 9 Currently, revocation or suspension resulting from the 10 conviction of a felony must involve a felonious act related to 11 the profession or occupation of the certificate holder, or a 12 felonious act affecting the certificate holder's ability to 13 engage in the practice of engineering or land surveying. 14 BACKGROUND STATEMENT SUBMITTED BY THE AGENCY 15 16 The current definition of "professional engineering" is at 17 times vague and confusing to the public and to licensed 18 professional engineers. To add detail and clarify the 19 language, the board proposes to adopt language from the Model 20 Law of the National Council of Examiners for Engineering and 21 Surveying (NCEES), an organization made up of all of the 22 licensing boards in the United States and its territories. 23 After reviewing the provisions of Iowa Code section 24 542B.21, the engineering and land surveying examining board 25 believes that the language in Iowa Code section 542C.21, 26 concerning disciplinary actions by the accountancy examining 27 board, is more appropriate. Thus, the bill conforms section 28 542B.21, subsection 5, to language used in section 542C.21. The provisions of new subsection 5A are similar to those in 29 30 Iowa Code sections 542C.21, 153.34, 152.10, and 148.6. By 31 using the record of disciplinary action taken by another 32 jurisdiction, the board would save substantial money and time 33 in the investigation and hearing process. Other professions 34 in Iowa find this a workable solution to the problem of 35 disciplining Iowa registrants who have been disciplined in

1 other states.

HOUSE FILE 256

AN ACT

RELATING TO THE DEFINITION OF THE PRACTICE OF ENGINEERING AND THE SUSPENSION OR REVOCATION OF THE CERTIFICATE OF REGISTRATION OF A PROFESSIONAL ENGINEER OR LAND SURVEYOR.

BE IT ENACTED BY THE GENERAL ASSEMBLY OF THE STATE OF IOWA:

Section 1. Section 542B.1, Code 1995, is amended to read as follows:

542B.1 REGISTERED ENGINEERS AND SURVEYORS.

No A person shall not engage in the practice professional of engineering or land surveying in the state unless the person is a registered professional engineer or a registered land surveyor as provided in this chapter, except as permitted by section 542B.26.

Sec. 2. Section 542B.2, unnumbered paragraphs 2, 4, and 8, Code 1995, are amended to read as follows:

The term "engineering documents" as used in this chapter includes all plans, specifications, drawings, and reports, if the preparation thereof of such documents constitutes or requires the practice of professional engineering.

The term "in responsible charge" as used in this chapter means having direct control of and personal supervision over any professional-engineering-work-or land surveying work or work involving the practice of engineering. One or more persons, jointly or severally, may be in responsible charge.

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The term "professional engineer" as used in this chapter shall-mean means a person, who, by reason of the person's knowledge of mathematics, the physical sciences, and the principles of engineering, acquired by professional education or practical experience, is qualified to engage in the practice of engineering practice-as-hereinafter-defined.

Sec. 3. Section 542B.2, unnumbered paragraphs 9 and 10, Code 1995, are amended by striking the paragraphs and inserting in lieu thereof the following:

NEW UNNUMBERED PARAGRAPH. "Practice of engineering" as used in this chapter means any service or creative work, the adequate performance of which requires engineering education, training, and experience in the application of special knowledge of the mathematical, physical, and engineering sciences, such as consultation, investigation, evaluation, planning, design and design coordination of engineering works and systems, planning the use of land and water, performing. engineering surveys and studies, and the review of construction for the purpose of monitoring compliance with drawings and specifications, any of which embraces such services or creative work, either public or private, in connection with any utilities, structures, buildings, machines, equipment, processes, work systems, projects, and industrial or consumer products or equipment of a mechanical, electrical, hydraulic, pneumatic, or thermal nature, insofar as they involve safequarding life, health, or property, and including such other professional services as may be necessary to the planning, progress, and completion of the services identified in this paragraph. "Design coordination" includes the review and coordination of technical submissions prepared by others, including as appropriate and without limitation, consulting engineers, architects, landscape architects, land surveyors, and other professionals working under the direction of the engineer. "Engineering surveys" includes all survey activities required to support the sound conception, planning,

design, construction, maintenance, and operation of engineered projects, but excludes the surveying of real property for the establishment of land boundaries, rights-of-way, easements, and the dependent or independent surveys or resurveys of the public land survey system.

A person is construed to be engaged in the practice of engineering if the person does any of the following:

- 1. Practices any branch of the profession of engineering.
- Makes a representation by verbal claim, sign, advertisement, letterhead, card, or other manner that the person is a professional engineer.
- 3. Uses any title which implies that the person is a professional engineer or that the person is certified under this chapter.
- 4. The person holds themself out as able to perform, or who does perform, any service or work included in the practice of engineering.
- Sec. 4. Section 542B.13, Code 1995, is amended to read as follows:

542B.13 APPLICATIONS AND EXAMINATION FEES.

Applications for registration shall be on forms prescribed and furnished by the board, shall contain statements made under oath, showing the applicant's education and a detailed summary of the applicant's technical work, and the board shall not require that a recent photograph of the applicant be attached to the application form. An applicant is not ineligible for registration because of age, citizenship, sex, race, religion, marital status or national origin, although the application form may require citizenship information. The board may consider the past felony record of an applicant only if-the-felony-conviction-relates-directly-to-the-practice-of engineering-or-land-surveying. The board may require that an applicant submit references. Applications for examination in fundamentals in professional the practice of engineering and land surveying shall be accompanied by application fees

determined by the board. The board shall determine the annual cost of administering the examinations and shall set the fees accordingly.

- Sec. 5. Section 542B.14, subsection 1, paragraph d, Code 1995, is amended to read as follows:
- d. Successfully passing a written, oral, or written and oral examination designed to determine the proficiency and qualifications to engage in the practice of professional engineering. No applicant shall be entitled to take this examination until the applicant shows the necessary practical experience in engineering work.
- Sec. 6. Section 542B.17, Code 1995, is amended to read as follows:

542B.17 CERTIFICATE.

To-any-applicant-who-shall-have-passed-the-examination-as-a professional-engineer-and-who-shall-have-paid-an-additional fee,-the The board shall issue a certificate of registration as a professional engineer to an applicant who has passed the examination as a professional engineer and who has paid an additional fee. The certificate shall be signed by the chairperson and secretary of the board under the seal of such the boardy-which. The certificate shall authorize the applicant to engage in the practice professional of engineering as-defined-in-this-chapter. The-amount-of-the-fee shall-be-determined-by-the-board-pursuant-to-sections-542B-30 to-542B-32---Such The certificate shall not carry with it the right to practice land surveying, unless specifically so stated in-said on the certificate, which permission shall be granted by the board without additional fee in cases where the applicant duly qualifies as a land surveyor as prescribed by the rules of said the board.

- Sec. 7. Section 542B.21, subsection 5, Code 1995, is amended to read as follows:
- Conviction of a felony related-to-the-profession-or occupation-of-the-registrant-or-the-conviction-of-any-felony

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that-would-affect-the-registrant's-ability-to-practice professional-engineering-or-land-surveying under the laws of the United States, of any state or possession of the United States, or of any other country. A copy of the record of conviction or plea of guilty is conclusive evidence.

Sec. 8. Section 542B.21, Code 1995, is amended by adding the following new subsection:

NEW SUBSECTION. 5A. Revocation or suspension of registration to engage in the practice of engineering or land surveying, or other disciplinary action by the licensing authority of another state, territory, or country. A certified copy of the record or order of suspension, revocation, or other disciplinary action is prima facie evidence of such fact.

Sec. 9. Section 542B.26, Code 1995, is amended to read as follows:

542B.26 APPLICABILITY OF CHAPTER.

This chapter shall not apply to any full-time employee of any corporation while doing work for that corporation, except in the case of corporations offering their services to the public as professional engineers or land surveyors.

Corporations engaged in designing buildings or works for public or private interests not their own shall be deemed to be engaged in the practice professional of engineering within the meaning of this chapter. With respect to such corporations all principal designing or constructing engineers shall hold certificates of registration hereunder issued under this chapter. This chapter shall not apply to corporations engaged solely in constructing buildings and works.

This chapter shall not apply to any professional engineer or land surveyor working for the United States government, nor to any professional engineer or land surveyor employed as an assistant to a professional engineer or land surveyor registered under this chapter if such assistant is not placed in responsible charge of any professional work involving the

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practice of engineering or land surveying work, nor to the operation and/or or maintenance of power and mechanical plants or systems.

RON J. CORBETT
Speaker of the House

LEONARD L. BOSWELL
President of the Senate

I hereby certify that this bill originated in the House and is known as House File 256, Seventy-sixth General Assembly.

ELIZABETH ISAACSON Chief Clerk of the House

Approved Geril 24, 1995

TERRY E. BRANSTAD Governor