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FEB 2 6 1996 Place On Calendar

5 6 7 HOUSE FILE <u>43</u>/8 BY COMMITTEE ON COMMERCE AND REGULATION

(SUCCESSOR TO HSB 549)

p. 835) Passed Senate, Date 3/26/96 (P. 1043) Passed House Date 3-20-96 Vote: Ayes 98 Nays O Vote: Ayes 47 Nays 2 Approved nil 4, 1996

# A BILL FOR

An Act relating to the licensure and practice of land surveying,
 to the unlawful practice of land surveying, architecture, and
 professional engineering, and establishing a civil penalty.
 BE IT ENACTED BY THE GENERAL ASSEMBLY OF THE STATE OF IOWA:

8 HOUSE FILE 2318 9 H-5389 Amend House File 2318 as follows: 1 10 1. By striking page 1, line 1, through page 4, 2 11 3 line 32. By renumbering as necessary. 12 Δ 2. By SUKUP of Franklin adapted 3/20/26 13 ( P. 835) BRUNKHORST of Bremer WISE of Lee 14 H-5389 FILED MARCH 13, 1996 15 16 17 18 19 20 21 22 23

TLSB 3302HV 76 mj/sc/14 8/EC JA

Section 1. Section 542B.2, Code Supplement 1995, is
amended to read as follows:

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3 542B.2 TERMS-DEFINED DEFINITIONS.

4 As used in this chapter, unless the context otherwise 5 requires:

6 1. The-"board" "Board" means the engineering and land
7 surveying examining board provided created by this chapter.

8 2. The-term-"engineering "Engineering documents" as-used 9 in-this-chapter includes all plans, specifications, drawings, 10 and reports, if the preparation of such documents constitutes 11 or requires the practice of engineering.

12 3. The-term-"engineer "Engineer intern" as-used-in-this 13 chapter means a person who passes an examination in the 14 fundamental engineering subjects, but does not entitle the 15 person to claim to be a professional engineer.

16 4. The-term-"in "In responsible charge" as-used-in-this 17 chapter means having direct control of and personal 18 supervision over any land surveying work or work involving the 19 practice of engineering. One or more persons, jointly or 20 severally, may be in responsible charge.

5.--The-practice-of-"land-surveying"-within-the-meaning-and intent-of-this-chapter-includes-surveying-of-areas-for-their correct-determination-and-description-and-for-conveyancing,-or for-the-establishment-or-re-establishment-of-land-boundaries and-the-platting-of-lands-and-subdivisions-thereof.

26 6: 5. The-term-"land "Land surveying documents" as-used-in
27 this-chapter includes all plats, maps, surveys, and reports,
28 if the preparation thereof constitutes or requires the
29 practice of land surveying.

30 7. 6. The-term-"land "Land surveyor" as-used-in-this
31 chapter-shall-mean means a person who engages in the practice
32 of land surveying as-hereinafter-defined.

33 8: 7. "Practice of engineering" as-used-in-this-chapter
34 means any service or creative work, the adequate performance
35 of which requires engineering education, training, and

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1 experience in the application of special knowledge of the 2 mathematical, physical, and engineering sciences, such as 3 consultation, investigation, evaluation, planning, design and 4 design coordination of engineering works and systems, planning 5 the use of land and water, performing engineering surveys and 6 studies, and the review of construction for the purpose of 7 monitoring compliance with drawings and specifications, any of 8 which embraces such services or creative work, either public 9 or private, in connection with any utilities, structures, 10 buildings, machines, equipment, processes, work systems, 11 projects, and industrial or consumer products or equipment of 12 a mechanical, electrical, hydraulic, pneumatic, or thermal 13 nature, insofar as they involve safeguarding life, health, or 14 property, and including such other professional services as 15 may be necessary to the planning, progress, and completion of 16 the services identified in this paragraph subsection. "Desian 17 coordination" includes the review and coordination of 18 technical submissions prepared by others, including as 19 appropriate and without limitation, consulting engineers, 20 architects, landscape architects, land surveyors, and other 21 professionals working under the direction of the engineer. 22 "Engineering surveys" includes all survey activities required 23 to support the sound conception, planning, design, 24 construction, maintenance, and operation of engineered 25 projects, but excludes the surveying of real property for the 26 establishment of land boundaries, rights-of-way, easements, 27 and the dependent or independent surveys or resurveys of the 28 public land survey system.

A person is construed to be engaged in the practice of 30 engineering if the person does any of the following:

a. Practices any branch of the profession of engineering.
b. Makes a representation by verbal claim, sign,
advertisement, letterhead, card, or other manner that the
person is a professional engineer.

35 c. Uses any title which implies that the person is a

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1 professional engineer or that the person is certified licensed 2 under this chapter. 3 d. The person holds the person's self out as able to 4 perform, or who does perform, any service or work included in 5 the practice of engineering. 6 8. a. "Practice of land surveying" includes providing 7 professional services such as consultation, investigation, 8 testimony, evaluation, planning, mapping, assembling, and 9 interpreting reliable scientific measurements and information 10 relative to the location, size, shape, or physical features of 11 the earth, improvements on the earth, the space above the 12 earth, or any part of the earth, and the utilization, 13 development, and interpretation of these facts into an orderly 14 survey map. The practice of land surveying includes, but is 15 not limited to, the following: (1) Locating, relocating, establishing, reestablishing, 16 17 laying out, or retracing any property line or boundary of any 18 tract of land or any road, right-of-way, easement, alignment, 19 or elevation of any of the fixed works embraced within the 20 practice of professional engineering. 21 (2) Making any survey for the subdivision of any tract of 22 land. (3) Determination, by the use of the principles of land 23 24 surveying, of the position for any survey monument or 25 reference point, or setting, resetting, or replacing any 26 survey monument or reference point. 27 (4) Determination of the configuration or contour of the 28 earth's surface or the position of fixed objects on the 29 earth's surface by measuring lines and angles and applying the 30 principles of mathematics or photogrammetry. (5) Geodetic surveying which includes surveying for 31 32 determination of the size and shape of the earth both 33 horizontally and vertically and the precise positioning of 34 points on the earth utilizing angular and linear measurements 35 through spatially oriented spherical geometry.

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(6) Creation, preparation, or modification of electronic
 or computerized data, including land information systems and
 geographic information systems, relative to the performance of
 the activities identified in subparagraphs (1) through (5).
 b. A person is construed to be engaged in or offering to
 be engaged in the practice of land surveying if the person
 does any of the following:

8 (1) Engages in land surveying.

9 (2) Makes a representation by verbal claim, sign,
10 advertisement, letterhead, card, or other manner that the
11 person is a land surveyor.

12 (3) The person uses any title which implies that the 13 person is a land surveyor or that the person is licensed under 14 this chapter.

15 (4) The person holds the person's self out as able to 16 perform, or who does perform, any service or work included in 17 the practice of land surveying.

9. The-term-"professional "Professional engineer" as-used in-this-chapter means a person, who, by reason of the person's knowledge of mathematics, the physical sciences, and the principles of engineering, acquired by professional education or practical experience, is qualified to engage in the practice of engineering.

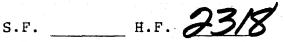
24 Sec. 2. Section 542B.7, Code 1995, is amended to read as 25 follows:

542B.7 ATTORNEY GENERAL TO ASSIST -- GENERAL POWERS. Such The board, or any committee thereof of the board, shall be entitled to the counsel and to the services of the attorney general, and shall have power to compel the attendance of witnesses, pay witness fees and mileage, and may take testimony and proofs and may administer oaths concerning any matter within its jurisdiction.

33 Sec. 3. Section 542B.16, Code 1995, is amended to read as 34 follows:

35 542B.16 SEAL -- CERTIFICATE OF RESPONSIBILITY --

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1 REPRODUCTIONS.

1. Each registrant licensee, upon registration licensure, may shall obtain a seal---If-the-registrant-obtains-or-uses-a seal--it-shall-be of a design approved by the board, bearing the registrant's licensee's name, Iowa registration license number, and the words "professional engineer" or "land surveyor" or both, as the case may be. A legible rubber stamp or other facsimile of the seal may be used and shall have the same effect as the use of the actual seal.

10 2. All engineering documents and land surveying documents 11 shall be dated and shall contain <u>all of</u> the following: <del>(1)</del> 12 <u>a.</u> The signature of the registrant <u>licensee</u> in responsible 13 charge;-(2)-a-certificate.

14 b. A certification that the work was done by such 15 registrant the licensee or under the registrant's licensee's 16 direct personal supervision;-and-(3)-the.

17 <u>c.</u> The Iowa registration-number-or legible seal of such 18 registrant the licensee.

19 If-engineering-documents-or-land-surveying-documents-comply 20 with-this-section,-reproductions-thereof-also-comply-with-this 21 section-if-the-date,-signature,-certificate,-and-registration 22 number-thereon-are-legibly-reproduced.

<u>3.</u> No <u>An</u> agency, of-this-state-and-no subdivision, or
24 municipal corporation of this state, nor-any or an officer
25 thereof of the state, subdivision, or municipal corporation,
26 shall not file for record or approve any engineering document
27 or land surveying document which does not comply with this
28 section.

29 <u>4.</u> No-registrant <u>A licensee</u> shall not place the 30 registrant's <u>licensee's</u> signature or seal on any engineering 31 document or land surveying document unless the registrant 32 <u>licensee</u> was in responsible charge of the work, except that 33 the registrant <u>licensee</u> may do so if the registrant <u>licensee</u> 34 contributed to the work and the registrant <u>licensee</u> in 35 responsible charge has signed and certified the work.

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<u>5.</u> Violation of this section by a registrant licensee
 2 shall be deemed fraud and deceit in the registrant's
 3 licensee's practice.

Sec. 4. NEW SECTION. 542B.27 CIVIL PENALTY.

5 1. a. In addition to any other penalties provided for in 6 this section, the board may by order impose a civil penalty 7 upon a person who is not registered under this chapter as a 8 professional engineer or a land surveyor and who does any of 9 the following:

10 (1) Engages in or offers to engage in the practice of 11 professional engineering or land surveying.

12 (2) Uses or employs the words "professional engineer" or 13 "land surveyor", or implies authorization to provide or offer 14 professional engineering or land surveying services, or 15 otherwise uses or advertises any title, word, figure, sign, 16 card, advertisement, or other symbol or description tending to 17 convey the impression that the person is a professional 18 engineer or land surveyor or is engaged in the practice of 19 professional engineering or land surveying.

20 (3) Presents or attempts to use the certificate of 21 registration or the seal of a professional engineer or land 22 surveyor.

(4) Gives false or forged evidence of any kind to the
24 board or any member of the board in obtaining or attempting to
25 obtain a certificate of registration.

26 (5) Falsely impersonates any registered professional27 engineer or land surveyor.

28 (6) Uses or attempts to use an expired, suspended,29 revoked, or nonexistent certificate of registration.

30 (7) Knowingly aids or abets an unregistered person who31 engages in any activity identified in this paragraph.

32 b. A civil penalty imposed shall not exceed one thousand 33 dollars for each offense. Each day of a continued violation 34 constitutes a separate offense.

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35 c. In determining the amount of a civil penalty to be

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1 imposed, the board may consider any of the following:

2 (1) Whether the amount imposed will be a substantial3 economic deterrent to the violation.

4 (2) The circumstances leading to the violation.

5 (3) The severity of the violation and the risk of harm to 6 the public.

7 (4) The economic benefits gained by the violator as a 8 result of noncompliance.

9 (5) The interest of the public.

10 d. Before issuing an order under this section, the board 11 shall provide the person written notice and the opportunity to 12 request a hearing on the record. The hearing must be 13 requested within thirty days of the issuance of the notice and 14 shall be conducted in the same manner as provided in section 15 542B.22.

16 e. The board, in connection with a proceeding under this 17 subsection, may issue subpoenas to compel the attendance and 18 testimony of witnesses and the disclosure of evidence, and may 19 request the attorney general to bring an action to enforce the 20 subpoena.

21 f. A person aggrieved by the imposition of a civil penalty 22 under this subsection may seek judicial review in accordance 23 with section 17A.19.

g. If a person fails to pay a civil penalty within thirty days after entry of an order under paragraph "a", or if the order is stayed pending an appeal within ten days after the court enters a final judgment in favor of the board, the board shall notify the attorney general. The attorney general may commence an action to recover the amount of the penalty, including reasonable attorney's fees and costs.

31 h. An action to enforce an order under this section may be 32 joined with an action for an injunction.

33 Sec. 5. Section 544A.15, Code 1995, is amended to read as 34 follows:

35 544A.15 UNLAWFUL PRACTICE -- VIOLATIONS -- PENALTY --

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1 CONSENT AGREEMENT -- CIVIL PENALTY.

<u>1.</u> It is unlawful for a person to engage in or to offer to 3 engage in the practice of architecture in this state or use in 4 connection with the person's name the title "architect", 5 "registered architect", or "architectural designer", or to 6 imply that the person provides or offers to provide 7 professional architectural services, or to otherwise assume, 8 use, or advertise any title, word, figure, sign, card, 9 advertisement, or other symbol or description tending to 10 convey the impression that the person is an architect or is 11 engaged in the practice of architecture unless the person is 12 qualified by registration as provided in this chapter.

13 <u>2.</u> A person who violates this section is guilty of a 14 serious misdemeanor.

15 3. a. In addition to the criminal penalty provided for in 16 this section, the board may by order impose a civil penalty 17 upon a person who is not registered under this chapter as an 18 architect pursuant to this chapter and who does any of the 19 following:

20 (1) Engages in or offers to engage in the practice of 21 architecture.

(2) Uses or employs the words "architect", "registered
23 architect", "architectural designer", or implies authorization
24 to provide or offer professional architectural services, or
25 otherwise uses or advertises any title, word, figure, sign,
26 card, advertisement, or other symbol or description tending to
27 convey the impression that the person or entity is an
28 architect or is engaged in the practice of architecture.
29 (3) Presents or attempts to use the certificate of
30 registration or the seal of an architect.
31 (4) Gives false or forged evidence of any kind to the

32 board or any member of the board in obtaining or attempting to 33 obtain a certificate of registration.

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34 (5) Falsely impersonates any other registered architect.
35 (6) Uses or attempts to use an expired, suspended,

S.F. \_\_\_\_\_ H.F. 23/8 1 revoked, or nonexistent certificate of registration. (7) Knowingly aids or abets an unregistered person who 2 3 engages in any activity identified in this paragraph. 4 b. A civil penalty imposed shall not exceed one thousand 5 dollars for each offense. Each day of a continued violation 6 constitutes a separate offense. c. In determining the amount of a civil penalty to be 7 8 imposed, the board may consider any of the following: 9 (1) Whether the amount imposed will be a substantial 10 economic deterrent to the violation. 11 (2) The circumstances leading to the violation. 12 (3) The severity of the violation and the risk of harm to 13 the public. 14 (4) The economic benefits gained by the violator as a 15 result of noncompliance. (5) The interest of the public. 16 17 d. Before issuing an order under this section, the board 18 shall provide the person written notice and the opportunity to 19 request a hearing on the record. The hearing must be 20 requested within thirty days of the issuance of the notice and 21 shall be conducted in the same manner as provided for 22 disciplinary proceedings involving a registered architect. e. The board, in connection with a proceeding under this 23 24 subsection, may issue subpoenas to compel the attendance and 25 testimony of witnesses and the disclosure of evidence, and may 26 request the attorney general to bring an action to enforce the 27 subpoena. f. A person aggrieved by the imposition of a civil penalty 28 29 under this subsection may seek judicial review in accordance 30 with section 17A.19. g. If a person fails to pay a civil penalty within thirty 31 32 days after entry of an order under paragraph "a", or if the 33 order is stayed pending an appeal, within ten days after the 34 court enters a final judgment in favor of the board, the board 35 shall notify the attorney general. The attorney general may

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1 commence an action to recover the amount of the penalty,

2 including reasonable attorney's fees and costs.

3 <u>h.</u> An action to enforce an order under this section may be
4 joined with an action for an injunction.

5 <u>4.</u> The board at its discretion and in lieu of prosecuting 6 a first offense described-in <u>under</u> this section may enter into 7 a consent agreement with a violator, or with a person guilty 8 of aiding or abetting a violator, which acknowledges the 9 violation and the violator's agreement to refrain from any 10 further violations.

Sec. 6. Sections 542B.1 and 542B.26, Code Supplement 1995, are amended by striking from the sections the word "registered" and inserting in lieu thereof the word 4 "licensed".

Sec. 7. Sections 542B.3, 542B.10, 542B.11, 542B.18, 16 542B.20, and 542B.35, Code 1995, are amended by striking from 17 the sections the word "registered" and inserting in lieu 18 thereof the word "licensed".

19 Sec. 8. Sections 542B.6, 542B.10, 542B.11, 542B.15, 20 542B.18, 542B.19, 542B.20, 542B.25, and 542B.30, Code 1995, 21 are amended by striking from the sections the word 22 "registration" and inserting in lieu thereof the word 23 "licensure".

Sec. 9. Sections 542B.13, 542B.14, 542B.17, 542B.21, and 542B.26, Code Supplement 1995, are amended by striking from the sections the word "registration" and inserting in lieu thereof the word "licensure".

28 Sec. 10. Section 542B.18, Code 1995, is amended by 29 striking from the section the word "registrant" and inserting 30 in lieu thereof the word "licensee".

31 Sec. 11. Section 542B.20, Code 1995, is amended by 32 striking from the section the word "registrants" and inserting 33 in lieu thereof the word "licensees".

34 Sec. 12. Section 542B.21, Code Supplement 1995, is amended 35 by striking from the section the word "registrant's" and S.F. \_\_\_\_\_ H.F. 23/8

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1 inserting in lieu thereof the word "licensee's".

Sec. 13. Section 542B.21, Code Supplement 1995, is amended
3 by striking from the section the word "registrant" and
4 inserting in lieu thereof the word "licensee".

## EXPLANATION

6 This bill amends sections of chapter 542B which relates to 7 the regulation of professional engineers and land surveyors. 8 Section 542B.2 is amended by rewriting the definition of 9 the practice of "land surveying".

10 Section 542B.7 is amended by making stylistic changes. 11 Section 542B.16 is amended by making stylistic changes, 12 conforming changes relating to the licensure of professional 13 engineers, and by striking language that provides that if an 14 engineering or land surveying document complies with the 15 requirements of section 542B.16, then reproductions are also 16 deemed to be in compliance if the date, signature, 17 certificate, and registration number are legibly reproduced. 18 The bill also creates a new section 542B.27 and amends 19 section 544A.15 which authorizes the engineering and land 20 surveying examining board and the architectural examining 21 board to impose a civil penalty upon a person who is not 22 registered under chapter 542B as an engineer or land surveyor, 23 or under chapter 544A as an architect, and who engages in 24 certain acts involving the practice of engineering or land 25 surveying or the practice of architecture. Specific acts 26 which would trigger the possible imposition of the civil 27 penalty include holding oneself out as being registered, 28 presenting or attempting to use the certificate of another 29 registered person, falsely impersonating another registered 30 person, using or attempting to use an expired, suspended, 31 revoked, or nonexistent certificate of registration, or 32 knowingly aiding or abetting an unregistered person who 33 engages in any such activity. The civil penalty imposed can 34 be no more than \$1,000 for each violation with each day of a 35 continuing violation constituting a separate offense.

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1 The board involved in imposing the penalty is permitted to 2 consider certain identified factors in determining the amount 3 of the civil penalty. The board must provide written notice 4 to the person and the opportunity for a hearing on the record. 5 The board, in connection with a hearing, is empowered to issue 6 subpoenas to compel the attendance and testimony of witnesses 7 and the disclosure of evidence, and may request the attorney 8 general to bring an action to enforce the subpoena. A person 9 against whom a civil penalty is imposed may seek judicial 10 review in accordance with section 17A.19.

11 If a person fails to pay a civil penalty within 30 days 12 after entry of an order, or if the order is stayed pending an 13 appeal, within 10 days after the court enters a final judgment 14 in favor of the board, the board shall notify the attorney 15 general. The attorney general may commence an action to 16 recover the amount of the penalty, including reasonable 17 attorney's fees and costs. An action to enforce an order 18 under this section may be joined with an action for an 19 injunction.

Sections 6 through 13 of the bill amend sections in chapter 21 542B by striking the words "registered", "registrant's", 22 "registrant", and "registration", and inserting the words 23 "licensed", "licensee's", "licensee", and "licensure".

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# HOUSE FILE 23/8

BY COMMITTEE ON COMMERCE AND REGULATION

(SUCCESSOR TO HSB 549)

(As Amended and Passed by the House, March 20, 1996)

Passed	House,	Date		Passed	Senate,	Date	3/26/	96 (p.10	<u>4</u> 3)
Vote:	Ayes _	Na	ays	Vote:	Ayes _	47	Nays	2	_
		Approved	= (jer	l 4, 1	996				
1.1.1			29						

# A BILL FOR

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1 An Act relating to the licensure and practice of land surveying, 2 to the unlawful practice of land surveying, architecture, and 3 professional engineering, and establishing a civil penalty. 4 BE IT ENACTED BY THE GENERAL ASSEMBLY OF THE STATE OF IOWA: 5 6

Deleted Language 🍟

TLSB 3302HV 76 mj/sc/14 1 Section 1. Section 542B.16, Code 1995, is amended to read 2 as follows:

3 542B.16 SEAL -- CERTIFICATE OF RESPONSIBILITY --4 REPRODUCTIONS.

<u>2.</u> All engineering documents and land surveying documents
 14 shall be dated and shall contain <u>all of</u> the following: <del>(1)</del>
 <u>a.</u> The signature of the registrant <u>licensee</u> in responsible

16 charge;-(2)-a-certificate.

17 <u>b. A certification</u> that the work was done by such 18 registrant the licensee or under the registrant's licensee's 19 direct personal supervision;-and-(3)-the.

20 <u>c.</u> The Iowa registration-number-or legible seal of such 21 registrant the licensee.

22 If-engineering-documents-or-land-surveying-documents-comply 23 with-this-section,-reproductions-thereof-also-comply-with-this 24 section-if-the-date,-signature,-certificate,-and-registration 25 number-thereon-are-legibly-reproduced.

26 <u>3.</u> No <u>An</u> agency, of-this-state-and-no subdivision, or 27 municipal corporation of this state, nor-any or an officer 28 thereof of the state, subdivision, or municipal corporation, 29 shall <u>not</u> file for record or approve any engineering document 30 or land surveying document which does not comply with this 31 section.

32 <u>4.</u> No-registrant <u>A licensee</u> shall <u>not</u> place the 33 registrant's <u>licensee's</u> signature or seal on any engineering 34 document or land surveying document unless the registrant 35 <u>licensee</u> was in responsible charge of the work, except that

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1 the registrant licensee may do so if the registrant licensee
2 contributed to the work and the registrant licensee in
3 responsible charge has signed and certified the work.

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4 <u>5.</u> Violation of this section by a registrant licensee
5 shall be deemed fraud and deceit in the registrant's
6 licensee's practice.

7 Sec. 2. <u>NEW SECTION</u>. 542B.27 CIVIL PENALTY. 8 1. a. In addition to any other penalties provided for in 9 this section, the board may by order impose a civil penalty 10 upon a person who is not registered under this chapter as a 11 professional engineer or a land surveyor and who does any of 12 the following:

13 (1) Engages in or offers to engage in the practice of 14 professional engineering or land surveying.

15 (2) Uses or employs the words "professional engineer" or 16 "land surveyor", or implies authorization to provide or offer 17 professional engineering or land surveying services, or 18 otherwise uses or advertises any title, word, figure, sign, 19 card, advertisement, or other symbol or description tending to 20 convey the impression that the person is a professional 21 engineer or land surveyor or is engaged in the practice of 22 professional engineering or land surveying.

23 (3) Presents or attempts to use the certificate of24 registration or the seal of a professional engineer or land25 surveyor.

26 (4) Gives false or forged evidence of any kind to the
27 board or any member of the board in obtaining or attempting to
28 obtain a certificate of registration.

29 (5) Falsely impersonates any registered professional30 engineer or land surveyor.

(6) Uses or attempts to use an expired, suspended,
32 revoked, or nonexistent certificate of registration.
(7) Knowingly aids or abets an unregistered person who
angages in any activity identified in this paragraph.
b. A civil penalty imposed shall not exceed one thousand

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# 1 dollars for each offense. Each day of a continued violation 2 constitutes a separate offense.

3 c. In determining the amount of a civil penalty to be4 imposed, the board may consider any of the following:

5 (1) Whether the amount imposed will be a substantial6 economic deterrent to the violation.

(2) The circumstances leading to the violation.

8 (3) The severity of the violation and the risk of harm to9 the public.

10 (4) The economic benefits gained by the violator as a 11 result of noncompliance.

12 (5) The interest of the public.

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13 d. Before issuing an order under this section, the board 14 shall provide the person written notice and the opportunity to 15 request a hearing on the record. The hearing must be 16 requested within thirty days of the issuance of the notice and 17 shall be conducted in the same manner as provided in section 18 542B.22.

19 e. The board, in connection with a proceeding under this 20 subsection, may issue subpoenas to compel the attendance and 21 testimony of witnesses and the disclosure of evidence, and may 22 request the attorney general to bring an action to enforce the 23 subpoena.

f. A person aggrieved by the imposition of a civil penalty output this subsection may seek judicial review in accordance with section 17A.19.

9. If a person fails to pay a civil penalty within thirty 28 days after entry of an order under paragraph "a", or if the 29 order is stayed pending an appeal within ten days after the 30 court enters a final judgment in favor of the board, the board 31 shall notify the attorney general. The attorney general may 32 commence an action to recover the amount of the penalty, 33 including reasonable attorney's fees and costs.

h. An action to enforce an order under this section may bejoined with an action for an injunction.

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1 Sec. 3. Section 544A.15, Code 1995, is amended to read as
2 follows:

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3 544A.15 UNLAWFUL PRACTICE -- VIOLATIONS -- PENALTY --4 CONSENT AGREEMENT -- CIVIL PENALTY.

5 <u>1.</u> It is unlawful for a person to engage in or to offer to 6 engage in the practice of architecture in this state or use in 7 connection with the person's name the title "architect", 8 "registered architect", or "architectural designer", or to 9 imply that the person provides or offers to provide 10 professional architectural services, or to otherwise assume, 11 use, or advertise any title, word, figure, sign, card, 12 advertisement, or other symbol or description tending to 13 convey the impression that the person is an architect or is 14 engaged in the practice of architecture unless the person is 15 qualified by registration as provided in this chapter.

16 <u>2.</u> A person who violates this section is guilty of a 17 serious misdemeanor.

18 3. a. In addition to the criminal penalty provided for in 19 this section, the board may by order impose a civil penalty 20 upon a person who is not registered under this chapter as an 21 architect pursuant to this chapter and who does any of the 22 following:

23 (1) Engages in or offers to engage in the practice of 24 architecture.

25 (2) Uses or employs the words "architect", "registered
26 architect", "architectural designer", or implies authorization
27 to provide or offer professional architectural services, or
28 otherwise uses or advertises any title, word, figure, sign,
29 card, advertisement, or other symbol or description tending to
30 convey the impression that the person or entity is an
31 architect or is engaged in the practice of architecture.
32 (3) Presents or attempts to use the certificate of
33 registration or the seal of an architect.

34 (4) Gives false or forged evidence of any kind to the
 35 board or any member of the board in obtaining or attempting to

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1 obtain a certificate of registration.

<u> </u>	obtain a certificate of registración.
2	(5) Falsely impersonates any other registered architect.
3	(6) Uses or attempts to use an expired, suspended,
4	revoked, or nonexistent certificate of registration.
5	(7) Knowingly aids or abets an unregistered person who
6	engages in any activity identified in this paragraph.
7	b. A civil penalty imposed shall not exceed one thousand
8	dollars for each offense. Each day of a continued violation
9	constitutes a separate offense.
10	c. In determining the amount of a civil penalty to be
11	imposed, the board may consider any of the following:
12	(1) Whether the amount imposed will be a substantial
13	economic deterrent to the violation.
14	(2) The circumstances leading to the violation.
15	(3) The severity of the violation and the risk of harm to
16	the public.
17	(4) The economic benefits gained by the violator as a
18	result of noncompliance.
19	(5) The interest of the public.
20	d. Before issuing an order under this section, the board
21	shall provide the person written notice and the opportunity to
22	request a hearing on the record. The hearing must be
23	requested within thirty days of the issuance of the notice and
24	
25	disciplinary proceedings involving a registered architect.
26	e. The board, in connection with a proceeding under this
27	subsection, may issue subpoenas to compel the attendance and
28	testimony of witnesses and the disclosure of evidence, and may
29	request the attorney general to bring an action to enforce the
30	subpoena.
31	f. A person aggrieved by the imposition of a civil penalty
	under this subsection may seek judicial review in accordance
	with section 17A.19.
34	g. If a person fails to pay a civil penalty within thirty
35	days after entry of an order under paragraph "a", or if the

1 order is stayed pending an appeal, within ten days after the 2 court enters a final judgment in favor of the board, the board 3 shall notify the attorney general. The attorney general may 4 commence an action to recover the amount of the penalty, 5 including reasonable attorney's fees and costs.

6 <u>h. An action to enforce an order under this section may be</u> 7 joined with an action for an injunction.

8 <u>4.</u> The board at its discretion and in lieu of prosecuting 9 a first offense described-in under this section may enter into 10 a consent agreement with a violator, or with a person guilty 11 of aiding or abetting a violator, which acknowledges the 12 violation and the violator's agreement to refrain from any 13 further violations.

Sec. 4. Sections 542B.1 and 542B.26, Code Supplement 1995, sare amended by striking from the sections the word registered" and inserting in lieu thereof the word "licensed".

18 Sec. 5. Sections 542B.3, 542B.10, 542B.11, 542B.18, 19 542B.20, and 542B.35, Code 1995, are amended by striking from 20 the sections the word "registered" and inserting in lieu 21 thereof the word "licensed".

Sec. 6. Sections 542B.6, 542B.10, 542B.11, 542B.15, 542B.18, 542B.19, 542B.20, 542B.25, and 542B.30, Code 1995, are amended by striking from the sections the word registration" and inserting in lieu thereof the word licensure".

27 Sec. 7. Sections 542B.13, 542B.14, 542B.17, 542B.21, and 28 542B.26, Code Supplement 1995, are amended by striking from 29 the sections the word "registration" and inserting in lieu 30 thereof the word "licensure".

31 Sec. 8. Section 542B.18, Code 1995, is amended by striking 32 from the section the word "registrant" and inserting in lieu 33 thereof the word "licensee".

34 Sec. 9. Section 542B.20, Code 1995, is amended by striking 35 from the section the word "registrants" and inserting in lieu

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S.F. \_\_\_\_\_ H.F. 2318 1 thereof the word "licensees". Sec. 10. Section 542B.21, Code Supplement 1995, is amended 3 by striking from the section the word "registrant's" and 4 inserting in lieu thereof the word "licensee's". Sec. 11. Section 542B.21, Code Supplement 1995, is amended 6 by striking from the section the word "registrant" and 7 inserting in lieu thereof the word "licensee". HF 2318

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Sukup-chair Brunkhorst Wise

HSB 5+9

# COMMERCE - REGULATION

SENATE/HOUSE FILE 2318 BY (PROPOSED DEPARTMENT OF COMMERCE/PROFESSIONAL LICENSING AND REGULATION DIVISION BILL)

Passed	Senate,	Date	Passed	House,	Date	
Vote:	Ayes	Nays	Vote:	Ayes	Nay	/s
	AI	oproved			-	

# A BILL FOR

An Act relating to the licensure and practice of land surveying.
 BE IT ENACTED BY THE GENERAL ASSEMBLY OF THE STATE OF IOWA:



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1 Section 1. Section 542B.2, Code Supplement 1995, is
2 amended to read as follows:

3 542B.2 TERMS-DEFINED DEFINITIONS.

As used in this chapter, unless the context otherwise 5 requires:

6 1. The-"board" "Board" means the engineering and land
7 surveying examining board provided created by this chapter.

8 2. The-term-"engineering "Engineering documents" as-used 9 in-this-chapter includes all plans, specifications, drawings, 10 and reports, if the preparation of such documents constitutes 11 or requires the practice of engineering.

12 3. The-term-"engineer "Engineer intern" as-used-in-this 13 chapter means a person who passes an examination in the 14 fundamental engineering subjects, but does not entitle the 15 person to claim to be a professional engineer.

16 4. The-term-"in "In responsible charge" as-used-in-this 17 chapter means having direct control of and personal 18 supervision over any land surveying work or work involving the 19 practice of engineering. One or more persons, jointly or 20 severally, may be in responsible charge.

5:--The-practice-of-"land-surveying"-within-the-meaning-and intent-of-this-chapter-includes-surveying-of-areas-for-their correct-determination-and-description-and-for-conveyancing;-or for-the-establishment-or-re-establishment-of-land-boundaries and-the-platting-of-lands-and-subdivisions-thereof;

26 6. 5. The-term-"land "Land surveying documents" as-used-in 27 this-chapter includes all plats, maps, surveys, and reports, 28 if the preparation thereof constitutes or requires the 29 practice of land surveying.

30 7. <u>6.</u> The-term-"land "Land surveyor" as-used-in-this
31 chapter-shall-mean means a person who engages in the practice
32 of land surveying as-hereinafter-defined.

33 8: 7. "Practice of engineering" as-used-in-this-chapter 34 means any service or creative work, the adequate performance 35 of which requires engineering education, training, and

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1 experience in the application of special knowledge of the 2 mathematical, physical, and engineering sciences, such as 3 consultation, investigation, evaluation, planning, design and 4 design coordination of engineering works and systems, planning 5 the use of land and water, performing engineering surveys and 6 studies, and the review of construction for the purpose of 7 monitoring compliance with drawings and specifications, any of 8 which embraces such services or creative work, either public 9 or private, in connection with any utilities, structures, 10 buildings, machines, equipment, processes, work systems, 11 projects, and industrial or consumer products or equipment of 12 a mechanical, electrical, hydraulic, pneumatic, or thermal 13 nature, insofar as they involve safeguarding life, health, or 14 property, and including such other professional services as 15 may be necessary to the planning, progress, and completion of 16 the services identified in this paragraph subsection. "Desian 17 coordination" includes the review and coordination of 18 technical submissions prepared by others, including as 19 appropriate and without limitation, consulting engineers, 20 architects, landscape architects, land surveyors, and other 21 professionals working under the direction of the engineer. 22 "Engineering surveys" includes all survey activities required 23 to support the sound conception, planning, design, 24 construction, maintenance, and operation of engineered 25 projects, but excludes the surveying of real property for the 26 establishment of land boundaries, rights-of-way, easements, 27 and the dependent or independent surveys or resurveys of the 28 public land survey system.

A person is construed to be engaged in the practice of
engineering if the person does any of the following:
a. Practices any branch of the profession of engineering.
b. Makes a representation by verbal claim, sign,

33 advertisement, letterhead, card, or other manner that the 34 person is a professional engineer.

35 c. Uses any title which implies that the person is a

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1 professional engineer or that the person is certified licensed 2 under this chapter. 3 d. The person holds the person's self out as able to 4 perform, or who does perform, any service or work included in 5 the practice of engineering. 8. a. "Practice of land surveying" includes providing 6 7 professional services such as consultation, investigation, 8 testimony, evaluation, planning, mapping, assembling, and 9 interpreting reliable scientific measurements and information 10 relative to the location, size, shape, or physical features of 11 the earth, improvements on the earth, the space above the 12 earth, or any part of the earth, and the utilization, 13 development, and interpretation of these facts into an orderly 14 survey map. The practice of land surveying includes, but is 15 not limited to, the following: 16 (1) Locating, relocating, establishing, reestablishing, 17 laying out, or retracing any property line or boundary of any 18 tract of land or any road, right-of-way, easement, alignment, 19 or elevation of any of the fixed works embraced within the 20 practice of professional engineering. 21 (2) Making any survey for the subdivision of any tract of 22 land. (3) Determination, by the use of the principles of land 23 24 surveying, of the position for any survey monument or 25 reference point, or setting, resetting, or replacing any 26 survey monument or reference point. 27 (4) Determination of the configuration or contour of the 28 earth's surface or the position of fixed objects on the 29 earth's surface by measuring lines and angles and applying the 30 principles of mathematics or photogrammetry. 31 (5) Geodetic surveying which includes surveying for 32 determination of the size and shape of the earth both 33 horizontally and vertically and the precise positioning of 34 points on the earth utilizing angular and linear measurements 35 through spatially oriented spherical geometry.

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1 (6) Creation, preparation, or modification of electronic 2 or computerized data, including land information systems and 3 geographic information systems, relative to the performance of 4 the activities identified in subparagraphs (1) through (5). b. A person is construed to be engaged in or offering to 5 6 be engaged in the practice of land surveying if the person 7 does any of the following: 8 (1) Engages in land surveying. 9 (2) Makes a representation by verbal claim, sign, 10 advertisement, letterhead, card, or other manner that the 11 person is a land surveyor. 12 (3) The person uses any title which implies that the 13 person is a land surveyor or that the person is licensed under 14 this chapter. 15 (4) The person holds the person's self out as able to 16 perform, or who does perform, any service or work included in 17 the practice of land surveying. 18 The-term-"professional "Professional engineer" as-used 9. 19 in-this-chapter means a person, who, by reason of the person's 20 knowledge of mathematics, the physical sciences, and the 21 principles of engineering, acquired by professional education 22 or practical experience, is gualified to engage in the 23 practice of engineering. 24 Sec. 2. Section 542B.7, Code 1995, is amended to read as 25 follows: 26 542B.7 ATTORNEY GENERAL TO ASSIST -- GENERAL POWERS. 27 Such The board, or any committee thereof of the board, 28 shall be entitled to the counsel and to the services of the 29 attorney general, and shall have power to compel the 30 attendance of witnesses, pay witness fees and mileage, and may 31 take testimony and proofs and may administer oaths concerning

32 any matter within its jurisdiction.

33 Sec. 3. Section 542B.16, Code 1995, is amended to read as 34 follows:

35 542B.16 SEAL -- CERTIFICATE OF RESPONSIBILITY --

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1 REPRODUCTIONS.

1. Each registrant licensee, upon registration licensure, may shall obtain a seal----If-the-registrant-obtains-or-uses-a seal--it-shall-be of a design approved by the board, bearing the registrant's licensee's name, Iowa registration license number, and the words "professional engineer" or "land surveyor" or both, as the case may be. A legible rubber stamp or other facsimile of the seal may be used and shall have the same effect as the use of the actual seal.

10 2. All engineering documents and land surveying documents 11 shall be dated and shall contain <u>all of</u> the following: <del>(1)</del> 12 <u>a.</u> The signature of the registrant <u>licensee</u> in responsible 13 charge;-(2)-a-certificate.

14 <u>b. A certification</u> that the work was done by such 15 registrant the licensee or under the registrant's licensee's 16 direct personal supervision;-and-(3)-the.

17 <u>c.</u> The Iowa registration-number-or legible seal of such 18 registrant the licensee.

19 If-engineering-documents-or-land-surveying-documents-comply 20 with-this-section,-reproductions-thereof-also-comply-with-this 21 section-if-the-date,-signature,-certificate,-and-registration 22 number-thereon-are-legibly-reproduced.

23 <u>3.</u> No <u>An</u> agency, of-this-state-and-no subdivision, or 24 municipal corporation of this state, nor-any or an officer 25 thereof of the state, subdivision, or municipal corporation, 26 shall <u>not</u> file for record or approve any engineering document 27 or land surveying document which does not comply with this 28 section.

29 <u>4.</u> No-registrant <u>A licensee</u> shall not place the 30 registrant's <u>licensee's</u> signature or seal on any engineering 31 document or land surveying document unless the registrant 32 <u>licensee</u> was in responsible charge of the work, except that 33 the registrant <u>licensee</u> may do so if the registrant <u>licensee</u> 34 contributed to the work and the registrant <u>licensee</u> in 35 responsible charge has signed and certified the work.

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<u>5.</u> Violation of this section by a registrant licensee
 2 shall be deemed fraud and deceit in the registrant's
 3 licensee's practice.

Sec. 4. Sections 542B.3, 542B.10, 542B.11, 542B.18,
5 542B.20, and 542B.35, Code 1995, are amended by striking from
6 the sections the word "registered" and inserting in lieu
7 thereof the word "licensed".

8 Sec. 5. Sections 542B.1 and 542B.26, Code Supplement 1995,
9 are amended by striking from the section the word "registered"
10 and inserting in lieu thereof the word "licensed".

Sec. 6. Section 542B.20, Code 1995, is amended by striking from the sections the word "registrant's" and inserting in lieu thereof the word "licensee's".

14 Sec. 7. Section 542B.21, Code Supplement 1995, is amended 15 by striking from the section the word "registrant's" and 16 inserting in lieu thereof the word "licensee's".

Sec. 8. Section 542B.18, Code 1995, is amended by striking 18 from the sections the word "registrant" and inserting in lieu 19 thereof the word "licensee".

Sec. 9. Section 542B.21, Code Supplement 1995, is amended 21 by striking from the section the word "registrant" and 22 inserting in lieu thereof the word "licensee".

Sec. 10. Sections 542B.6, 542B.10, 542B.11, 542B.15, 24 542B.18, 542B.19, 542B.20, 542B.25, and 542B.30, Code 1995, 25 are amended by striking from the sections the word 26 "registration" and inserting in lieu thereof the word 27 "licensure".

Sec. 11. Sections 542B.13, 542B.14, 542B.17, 542B.21, and 29 542B.26, Code Supplement 1995, are amended by striking from 30 the sections the word "registration" and inserting in lieu 31 thereof the word "licensure".

## EXPLANATION

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This bill amends sections of chapter 542B which relates to
the regulation of professional engineers and land surveyors.
Section 542B.2 is amended by rewriting the definition of

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1 the practice of "land surveying".

2 Section 542B.7 is amended by making stylistic changes. 3 Section 542B.16 is amended by making stylistic changes, 4 conforming changes relating to the licensure of professional 5 engineers, and by striking language that provides that if an 6 engineering or land surveying document complies with the 7 requirements of section 542B.16, then reproductions are also 8 deemed to be in compliance if the date, signature, 9 certificate, and registration number are legibly reproduced. Sections 4 through 11 of the bill amend sections in chapter 10 11 542B by striking the words "registered", "registrant's", 12 "registrant", and "registration", and inserting the words 13 "licensed", "licensee's", "licensee", and "licensure". 14 BACKGROUND STATEMENT 15 SUBMITTED BY THE AGENCY 16 Proposed changes to chapter 542B include the following: "Registration" to "licensure". After studying the issue at 17 18 both the national and state level, engineering licensing 19 boards have determined that the term "registration" may be 20 confused with the various certification programs offered by 21 professional associations, which are not the same as 22 licensing. The term "license," as defined by Black's law 23 dictionary clearly indicates permission by the appropriate 24 authority to perform an act which without such permission 25 would be illegal. Since this definition of "license" 26 accurately describes the authority to practice engineering or 27 land surveying in Iowa law, a global change for all of chapter 28 542B is proposed. Both the national council of examiners for 29 engineering and surveying (NCEES) and the national society of 30 professional engineers (NSPE) have endorsed this change. The 31 NCEES formally adopted this language at its annual meeting in 32 August 1995 and will modify its model licensing law and rules 33 accordingly. NSPE will use "license" in all new publications. Section 542B.2 Terms defined. A new definition of the 34 35 practice of land surveying is proposed. The existing

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1 definition is very narrow and does not specifically recognize 2 the evolution of technology as it pertains to land surveying. 3 The new definition describes in detail the responsibilities of 4 land surveyors as well as the knowledge required, including 5 those needed to utilize new technology. The language of the 6 new definition was developed for the model law of the national 7 council of examiners for engineering and surveying (NCEES) and 8 adopted by the NCEES at its 1995 annual meeting.

9 Section 542B.16 Sealing requirement. Iowa currently does 10 not require the use of a seal for engineering documents. 11 Almost every other state requires a seal. In addition, other 12 Iowa design boards, such as the architectural board, require a 13 seal. This inconsistency has been confusing for the public 14 and made it difficult for building code officials to enforce 15 the law properly. To remedy this situation, the seal would be 16 made mandatory rather than optional for engineering documents. 17 Iowa Code chapter 355 already makes the seal mandatory for 18 land surveying documents.

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## HOUSE FILE 2318

## AN ACT

RELATING TO THE LICENSURE AND PRACTICE OF LAND SURVEYING, TO THE UNLAWFUL PRACTICE OF LAND SURVEYING, ARCHITECTURE, AND PROFESSIONAL ENGINEERING, AND ESTABLISHING A CIVIL PENALTY.

BE IT ENACTED BY THE GENERAL ASSEMBLY OF THE STATE OF IOWA:

Section 1. Section 542B.16, Code 1995, is amended to read as follows:

542B.16 SEAL -- CERTIFICATE OF RESPONSIBILITY -- REPRODUCTIONS.

1. Each registrant licensee, upon registration licensure, may shall obtain a seal---if-the-registrant-obtains-or-uses-a seal--it-shall-be of a design approved by the board, bearing the registrant's licensee's name, Iowa registration license number, and the words "professional engineer" or "land surveyor" or both, as the case may be. A legible rubber stamp or other facsimile of the seal may be used and shall have the same effect as the use of the actual seal.

2. All engineering documents and land surveying documents shall be dated and shall contain all of the following: (1)

<u>a.</u> The signature of the registrant <u>licensee</u> in responsible charge;-(2)-a-certificate.

b. A certification that the work was done by such registrant the licensee or under the registrant's licensee's direct personal supervision;-and-(3)-the.

c. The Iowa registration-number-or legible seal of such registrant the licensee.

If-engineering-documents-or-land-surveying-documents-comply
with-this-section,-reproductions-thereof-also-comply-with-this
section-if-the-date;-signature;-certificate;-and-registration
number-thereon-are-legibly-reproduced;

3. No <u>An</u> agency, of-this-state-and-no subdivision, or municipal corporation of this state, nor-any or an officer thereof of the state, subdivision, or municipal corporation, shall <u>not</u> file for record or approve any engineering document or land surveying document which does not comply with this section.

4. No-registrant <u>A licensee</u> shall <u>not</u> place the registrant's <u>licensee's</u> signature or seal on any engineering document or land surveying document unless the registrant <u>licensee</u> was in responsible charge of the work, except that the registrant <u>licensee</u> may do so if the registrant <u>licensee</u> contributed to the work and the registrant <u>licensee</u> in responsible charge has signed and certified the work.

5. Violation of this section by a registrant licensee shall be deemed fraud and deceit in the registrant's licensee's practice.

Sec. 2. NEW SECTION. 542B.27 CIVIL PENALTY.

1. a. In addition to any other penalties provided for in this section, the board may by order impose a civil penalty upon a person who is not registered under this chapter as a professional engineer or a land surveyor and who does any of the following:

(1) Engages in or offers to engage in the practice of professional engineering or land surveying.

(2) Uses or employs the words "professional engineer" or "land surveyor", or implies authorization to provide or offer professional engineering or land surveying services, or otherwise uses or advertises any title, word, figure, sign, card, advertisement, or other symbol or description tending to convey the impression that the person is a professional engineer or land surveyor or is engaged in the practice of professional engineering or land surveying.

(3) Presents or attempts to use the certificate of registration or the seal of a professional engineer or land surveyor.

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#### House File 2318, p. 3

(4) Gives false or forged evidence of any kind to the board or any member of the board in obtaining or attempting to obtain a certificate of registration.

(5) Falsely impersonates any registered professional engineer or land surveyor.

(6) Uses or attempts to use an expired, suspended, revoked, or nonexistent certificate of registration.

(7) Knowingly aids or abets an unregistered person who engages in any activity identified in this paragraph.

b. A civil penalty imposed shall not exceed one thousand dollars for each offense. Each day of a continued violation constitutes a separate offense.

c. In determining the amount of a civil penalty to be imposed, the board may consider any of the following:

(1) Whether the amount imposed will be a substantial economic deterrent to the violation.

(2) The circumstances leading to the violation.

(3) The severity of the violation and the risk of harm to the public.

(4) The economic benefits gained by the violator as a result of noncompliance.

(5) The interest of the public.

d. Before issuing an order under this section, the board shall provide the person written notice and the opportunity to request a hearing on the record. The hearing must be requested within thirty days of the issuance of the notice and shall be conducted in the same manner as provided in section 542B.22.

e. The board, in connection with a proceeding under this subsection, may issue subpoenas to compel the attendance and testimony of witnesses and the disclosure of evidence, and may request the attorney general to bring an action to enforce the subpoena.

f. A person aggrieved by the imposition of a civil penalty under this subsection may seek judicial review in accordance with section 17A.19. g. If a person fails to pay a civil penalty within thirty days after entry of an order under paragraph "a", or if the order is stayed pending an appeal within ten days after the court enters a final judgment in favor of the board, the board shall notify the attorney general. The attorney general may commence an action to recover the amount of the penalty, including reasonable attorney's fees and costs.

h. An action to enforce an order under this section may be joined with an action for an injunction.

Sec. 3. Section 544A.15, Code 1995, is amended to read as follows:

544A.15 UNLAWFUL PRACTICE -- VIOLATIONS -- PENALTY --CONSENT AGREEMENT -- CIVIL PENALTY.

<u>1.</u> It is unlawful for a person to engage in or to offer to engage in the practice of architecture in this state or use in connection with the person's name the title "architect", "registered architect", or "architectural designer", or to imply that the person provides or offers to provide professional architectural services, or to otherwise assume, use, or advertise any title, word, figure, sign, card, advertisement, or other symbol or description tending to convey the impression that the person is an architect or is engaged in the practice of architecture unless the person is qualified by registration as provided in this chapter.

2. A person who violates this section is guilty of a serious misdemeanor.

3. a. In addition to the criminal penalty provided for in this section, the board may by order impose a civil penalty upon a person who is not registered under this chapter as an architect pursuant to this chapter and who does any of the following:

(1) Engages in or offers to engage in the practice of architecture.

(2) Uses or employs the words "architect", "registered architect", "architectural designer", or implies authorization to provide or offer professional architectural services, or

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### House File 2318, p. 5

otherwise uses or advertises any title, word, figure, sign, card, advertisement, or other symbol or description tending to convey the impression that the person or entity is an architect or is engaged in the practice of architecture.

(3) Presents or attempts to use the certificate of registration or the seal of an architect.

(4) Gives false or forged evidence of any kind to the board or any member of the board in obtaining or attempting to obtain a certificate of registration.

(5) Falsely impersonates any other registered architect.

(6) Uses or attempts to use an expired, suspended, revoked, or nonexistent certificate of registration.

(7) Knowingly aids or abets an unregistered person who engages in any activity identified in this paragraph.

b. A civil penalty imposed shall not exceed one thousand dollars for each offense. Each day of a continued violation constitutes a separate offense.

c. In determining the amount of a civil penalty to be imposed, the board may consider any of the following:

(1) Whether the amount imposed will be a substantial economic deterrent to the violation.

(2) The circumstances leading to the violation.

(3) The severity of the violation and the risk of harm to the public.

(4) The economic benefits gained by the violator as a result of noncompliance.

(5) The interest of the public.

d. Before issuing an order under this section, the board shall provide the person written notice and the opportunity to request a hearing on the record. The hearing must be requested within thirty days of the issuance of the notice and shall be conducted in the same manner as provided for disciplinary proceedings involving a registered architect.

e. The board, in connection with a proceeding under this subsection, may issue subpoenas to compel the attendance and testimony of witnesses and the disclosure of evidence, and may request the attorney general to bring an action to enforce the subpoena.

f. A person aggrieved by the imposition of a civil penalty under this subsection may seek judicial review in accordance with section 17A.19.

g. If a person fails to pay a civil penalty within thirty days after entry of an order under paragraph "a", or if the order is stayed pending an appeal, within ten days after the court enters a final judgment in favor of the board, the board shall notify the attorney general. The attorney general may commence an action to recover the amount of the penalty, including reasonable attorney's fees and costs.

h. An action to enforce an order under this section may be joined with an action for an injunction.

4. The board at its discretion and in lieu of prosecuting a first offense described-in under this section may enter into a consent agreement with a violator, or with a person guilty of aiding or abetting a violator, which acknowledges the violation and the violator's agreement to refrain from any further violations.

Sec. 4. Sections 542B.1 and 542B.26, Code Supplement 1995, are amended by striking from the sections the word "registered" and inserting in lieu thereof the word "licensed".

Sec. 5. Sections 542B.3, 542B.10, 542B.11, 542B.18, 542B.20, and 542B.35, Code 1995, are amended by striking from the sections the word "registered" and inserting in lieu thereof the word "licensed".

Sec. 6. Sections 542B.6, 542B.10, 542B.11, 542B.15, 542B.18, 542B.19, 542B.20, 542B.25, and 542B.30, Code 1995, are amended by striking from the sections the word "registration" and inserting in lieu thereof the word "licensure".

Sec. 7. Sections 542B.13, 542B.14, 542B.17, 542B.21, and 542B.26, Code Supplement 1995, are amended by striking from the sections the word "registration" and inserting in lieu thereof the word "licensure".

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Sec. 8. Section 542B.18, Code 1995, is amended by striking from the section the word "registrant" and inserting in lieu thereof the word "licensee".

Sec. 9. Section 542B.20, Code 1995, is amended by striking from the section the word "registrants" and inserting in lieu thereof the word "licensees".

Sec. 10. Section 542B.21, Code Supplement 1995, is amended by striking from the section the word "registrant's" and inserting in lieu thereof the word "licensee's".

Sec. 11. Section 542B.21, Code Supplement 1995, is amended by striking from the section the word "registrant" and inserting in lieu thereof the word "licensee".

> RON J. CORBETT Speaker of the House

LEONARD L. BOSWELL President of the Senate

I hereby certify that this bill originated in the House and is known as House File 2318, Seventy-sixth General Assembly.

pril 4\_, 1996 Approved

ELIZABETH ISAACSON Chief Clerk of the House 6

TERRY E. BRANSTAD Governor