Ryan II

MED FAR 19 1292

SENATE FILE <u>2/33</u>
BY COMMITTEE ON COMMERCE

(SUCCESSOR TO SSB 2082)

Passed Senate, Date 2/20/02 (9/120) Passed House, Date 3/3//92

Vote: Ayes 42 Nays 5 Vote: Ayes 86 Nays 9

Approved 41,1992

A BILL FOR

1 An Act relating to requirements for notice to an underground 2 facility operator by a person planning certain excavation activities near the underground facility, establishing 4 procedures, and providing for civil liability and injunctive 5 relief. 6 BE IT ENACTED BY THE GENERAL ASSEMBLY OF THE STATE OF LOWA: 7 8 9 10 1.1 . 2 13 14 15 16 17 :8 ∵9 20 21 2.2 23

24

SF 2732

- Section 1. Section 480.1, Code 1991, is amended by
- 2 striking the section and inserting in lieu thereof the
- 3 following:
- 4 480.1 DEFINITIONS.
- 5 l. "Board" means the board of directors of the
- 6 notification center.
- 7 2. "Damage" means any impact with, destruction,
- 8 impairment, or penetration of, or removal of support from an
- 9 underground facility, including damage to its protective
- 10 coating, housing, or device.
- 11 3. "Emergency" means a condition where there is clear and
- 12 immediate danger to life or health, or essential services, or
- 13 a potentially significant loss of property.
- 14 4. "Excavation" means an operation in which a structure or
- 15 earth, rock, or other material in or on the ground is moved,
- 16 removed, or compressed, or otherwise displaced by means of any
- 17 tools, equipment, or explosives and includes, but is not
- 18 limited to, grading, trenching, tiling, digging, ditching,
- 19 drilling, augering, tunneling, scraping, cable or pipe
- 20 plowing, driving, and demolition of structures.
- 21 "Excavation" does not include normal farming operations,
- 22 residential, commercial, or similar gardening, the opening of
- 23 a grave site in a cemetery, operations in a solid waste
- 24 disposal site which has planned for underground facilities,
- 25 and normal road maintenance which does not change the original
- 26 grade. "Normal road maintenance" does not include maintenance
- 27 of the ditch.
- 28 5. "Excavator" means a person proposing to engage or
- 29 engaging in excavation.
- 30 6. "Normal farming operations" means plowing, cultivation,
- 31 planting, harvesting, and similar operations routine to most
- 32 farms, but excludes using a sub-soiler or ripper, drain tile
- 33 excavating, terracing, digging for post holes, and similar
- 34 operations.
- 35 7. "Notification center" means the statewide notification

- l center established in section 480.3.
- 8. "Operator" means a person owning or operating an
- 3 underground facility including, but not limited to, public,
- 4 private, and municipal utilities. An operator does not
- 5 include a person who owns or otherwise lawfully occupies real
- 6 property where an underground facility is located only for the
- 7 use and benefit of the owner or occupant on the property.
- 9. "Person" means a person as defined in section 4.1,
- 9 subsection 13.
- 10 10. "Underground facility" means an item of personal
- Il property which is buried or placed below ground for use in
- 12 connection with the storage or conveyance of, or the provision
- 13 of services supplying water, sewage, electronic, telephonic,
- 14 or telegraphic communications, electric energy, or petroleum
- 15 products including natural gas or other substances, and
- 16 includes, but is not limited to, pipes, sewers, conduits,
- 17 cables, valves, lines, wires, manholes, and attachments to
- 18 such property.
- 19 Sec. 2. NEW SECTION. 480.1A APPLICABILITY --
- 20 PROHIBITION.
- 21 This chapter applies to any excavation unless otherwise
- 22 provided by law. A person shall not engage in any excavation
- 23 unless the requirements of this chapter have been satisfied.
- 24 Sec. 3. Section 480.3, Code 1991, is amended by striking
- 25 the section and inserting in lieu thereof the following:
- 26 480.3 NOTIFICATION CENTER ESTABLISHED -- PARTICIPATION.
- 27 1. a. A statewide notification center is established and
- 28 shall be organized as a nonprofit corporation pursuant to
- 29 chapter 504A. The center shall be governed by a board $\phi \epsilon$
- 30 directors which shall represent and be elected by operators,
- 31 excavators, and other persons who participate in the center.
- 32 The board shall, with input from all interested parties,
- 33 determine the operating procedures and technology seeded for a
- 34 single statewide notification center, and establish a
- 35 notification process and competitive bidding procedure to

- I select a vendor to provide the notification service. The
- 2 terms of the agreement for the notification service may be
- 3 modified from time to time by the board, and the agreement
- 4 shall be reviewed, with an opportunity to receive new bids, no
- 5 less frequently than every three years.
- 6 b. Upon the selection of a vendor pursuant to paragraph
- 7 "a", the board shall notify the chairperson of the utilities
- 8 board in writing of the selection. The board shall submit an
- 9 annual report to the chairperson of the utilities board
- 10 including a review of the services provided by the
- Il notification center and the vendor.
- 12 2. Every operator shall participate in and share in the
- 13 costs of the notification center.
- 14 Sec. 4. NEW SECTION. 480.4 REQUIRED NOTICE LOCATION
- 15 AND MARKING OF UNDERGROUND FACILITIES -- EXCEPTION.
- 16 1. Except as otherwise provided in this section, prior to
- 17 any excavation, an excavator shall contact the notification
- 18 center and provide notice of the planned excavation. This
- 19 notice must be given at least forty-eight hours prior to the
- 20 commencement of the excavation, excluding Saturdays, Sundays,
- 21 and legal holidays. The notification center shall establish a
- 22 toll-free telephone number to allow excavators to provide the
- 23 notice required pursuant to this subsection.
- 24 A notice provided pursuant to this subsection shall be
- 25 verbal and include the following information:
- 26 a. The name of the person providing the notice.
- 27 b. The precise location of the proposed area of
- 28 excavation, including the range, township, section, and
- 29 quarter section, if known.
- 30 c. The name and address of the excavator.
- 3) d. The excavator's telephone number.
- 32 e. The type and extent of the proposed excavation.
- 33 f. Whether the discharge of explosives is unticipated.
- 34 g. The date and time when excavation is scheduled to
- 35 begin.

- 1 For purposes of the requirements of this section, an
- 2 excavation commences the first time excavation occurs in an
- 3 area that was not previously identified by the excavator in an
- 4 excavation notice.
- 5 2. The notification center, upon receiving notice from an
- 6 excavator, shall immediately transmit the information
- 7 contained in the notice to each operator in the area of the
- 8 proposed excavation and provide the names of all operators in
- 9 that area to the excavator. The notification center shall
- 10 assign an inquiry identification number to each notice and
- If shall maintain a record of each notice for at least six years
- 12 from the date the notice is received.
- 13 3. a. (1) An operator who receives notice from the
- 14 notification center shall mark the horizontal location of the
- ib operator's underground facility and the excavator shall use
- 16 due care in excavating in the marked area to avoid damaging
- 17 the underground facility. The operator shall complete such
- 18 locating and marking within forty-eight hours after receiving
- 19 the notice, excluding Saturdays, Sundays, and legal holidays,
- 20 unless otherwise agreed by the operator and the excavator.
- 21 The locating and marking of the underground facilities shall
- 22 be completed at no cost to the excavator. If, in the opinion
- 23 of the operator, the planned excavation requires that the
- 24 precise location of the underground facilities be determined,
- 25 the excavator shall hand dig test holes to determine the
- 26 location of the facilities unless the operator specifies an
- 27 alternate method.
- 28 (2) The marking required under this subsection shall be
- 29 done in a manner that will last for a minimum of five working
- 30 days on any nonpermanent surface, or a minimum of ten working
- 31 days on any permanent surface. If the excavation will
- 32 continue for a period greater than five days from the date and
- 33 time the excavation is scheduled to begin, the excavator shall
- 34 notify the operator at least forty-eight hours, excluding
- 35 Saturdays, Sundays, and legal holidays, before the end of the

- 1 period, and the operator shall remark the location of the 2 facility.
- 3 b. An operator who receives notice from the notification
- 4 center and who determines that the operator does not have any
- 5 underground facility located within the proposed area of
- 6 excavation shall notify the excavator concerning this
- 7 determination prior to the indicated date of commencement of
- 8 excavation.
- 9 c. For purposes of this chapter, the "horizontal location
- 10 of any underground facility" is defined as including an area
- Il eighteen inches on either side of the underground facility.
- 12 4. An excavator is responsible for preserving the markings
- 13 required in subsection 3 at all times during the excavation.
- 14 If the markings will be destroyed or otherwise altered during
- 15 the excavation, the excavator must establish suitable
- 16 reference points which will enable the excavator to locate the
- 17 underground facility at all times during the excavation.
- 18 5. If the excavation is to occur over an extended period
- 19 of time requiring the location of multiple underground
- 20 facilities, the excavator and operator shall agree to a
- 21 schedule concerning the location and marking of the
- 22 underground facilities during the extended period of
- 23 excavation.
- 24 6. The operator shall mark the location of any underground
- 25 facility to conform with the uniform color code established by
- 26 the American public works association's utility location and
- 27 coordination council.
- 28 7. The only exception to this section shall be when an
- 29 emergency exists. Under such conditions, excavation
- 30 operations can begin immediately, provided reasonable
- 3) precautions are taken to protect the underground facilities.
- 32 The excavator shall notify the notification center of the
- 33 excavation as soon as practical.
- 34 Sec. 5. NEW SECTION, 480.5 DAMAGE TO UNDERGROUND
- 35 FACILITY -- REPORT TO OPERATOR.

- 1 An excavator shall as soon as practical notify the operator
- 2 when any damage occurs to an underground facility as a result
- 3 of an excavation. The notice shall include the type of
- 4 Sacility damaged and the extent of the damage. If damage
- 5 occurs, an excavator shall refrain from backfilling in the
- 6 immediate area of the underground facilities until the damage
- 7 has been investigated by the operator, unless the operator
- 8 authorizes otherwise.
- 9 If the damage results in an emergency, the excavator shall
- 10 take all reasonable actions to alleviate the emergency
- If including, but not limited to, the evacuation of the offected
- .2 area. The excavator shall leave all equipment situated where
- 13 the equipment was at the time the emergency was created and
- 14 immediately contact the operator and appropriate authorities
- 15 and necessary emergency response agencies.
- 16 Sec. 6. NEW SECTION. 480.6 CIVIL PENALTIES.
- 17 1. A person who violates a provision of this chapter is
- 18 subject to a civil penalty as follows:
- 19 a. For a violation related to natural gas and hazardous
- 20 liquid pipelines, an amount not to exceed ten thousand dollars
- 21 for each violation for each day the violation continues, up to
- 22 a maximum of five hundred thousand dollars.
- 23 b. For a violation related to any other underground
- 24 facility, an amount not to exceed one thousand dollars for
- 25 each violation for each day the violation continues, up to a
- 26 maximum of twenty thousand dollars.
- 27 2. The attorney general, upon the receipt of a complaint,
- 28 may institute any legal proceedings necessary to enforce the
- 29 benalty provisions of this chapter.
- 30 3. All amounts collected pursuant to this section shall be
- Bi remitted to the treasurer of state, who shall deposit the
- 32 amount in the general fund of the state.
- 33 Sec. 7. NEW SECTION. 480.7 INJUNCTION.
- 34 Any affected person may make application to the district
- 35 court for injunctive relief from any violation of this

- 1 chapter.
- 2 Sec. 8. NEW SECTION. 480.8 LOCAL ORDINANCES AND
- 3 REGULATIONS UNAFFECTED.
- 4 This chapter does not affect or impair any local ordinances
- 5 or other provisions of law requiring permits to be obtained
- 6 before excavation. However, a permit issued by any governing
- / body does not relieve the excavator from complying with the
- 8 requirements of this chapter.
- 9 Sec. 9. Section 480.2, Code 1991, is repealed.
- 10 EXPLANATION
- Il This bill regulates excavations which occur near an
- 12 underground facility.
- 13 Section 1 defines terms.
- 14 Section 2 applies the bill to any excavation unless
- 15 otherwise provided.
- 16 Section 3 establishes a statewide notification center in
- 17 the utilities division of the department of commerce.
- 18 Section 4 requires that an excavator provide verbal notice
- 19 to the notification center before any excavation. The
- 20 notification center is to provide a toll-free number for
- 2) excavators to use to provide the notice. The notification
- 22 center is to immediately transmit the notice to operators in
- 23 the area of the planned excavation and provide the names of
- 24 affected operators to the excavator. An operator receiving
- 25 this notice is to locate and mark all affected underground
- 26 facilities within 48 hours of receiving the notice.
- 27 If the excavation will destroy or otherwise alter the
- 28 markings, the excavator is to maintain suitable reference
- 29 points which will enable the excavator to locate the
- 30 underground facility. If the excavation is to occur over an
- 31 extended period of time and require the location and marking
- 32 of the underground facilities during the extended period, the
- 33 excavator and the operator are to agree to a schedule for the
- 34 locating and marking of the underground facilities.
- 35 Section 5 requires the excavator to notify the operator of

l any damage caused by the excavation as soon as possible. The 2 excavator is also required to take all reasonable actions to 3 alleviate an emergency caused by the damage.

4 Section 6 establishes a system of civil penalties including 5 \$10,000 for each violation for each day the violation 6 continues up to a maximum of \$500,000 for violations related 7 to natural gas and hazardous liquid pipelines. For all other

8 underground facilities, an amount not to exceed \$1,000 for 9 each violation for each day the violation continues, up to a 10 maximum of \$20,000.

Il Section 7 provides that an injunction may be issued against 12 a person who violates a provision of the chapter.

Section 8 provides that local ordinances requiring permits 14 prior to any excavation are not affected and that the issuance 15 of a permit by a governing body does not relieve the excavator 16 from the requirements of this bill.

17 The bill repeals the statutory requirement that an operator 18 of underground facilities provide information about locations 19 through the office of the county recorder or city clerk.

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SENATE FILE 2/33 BY COMMITTEE ON COMMERCE

(SUCCESSOR TO SSB 2082)

(AS AMENDED AND PASSED BY THE SENATE FEBRUARY 20, 1992)

- New Language by the Senate

* - Language Stricken by the Senate

Pre Passed Senate, Date 4/6/92 (p. 1234) Passed House, Date 2/31/92 (p. 962)

Vote: Ayes 40 Nays 8 Vote: Ayes 66 Nays 9

Approved 41/992 (p. 1526)

A BILL FOR

1	An Act relating to requirements for notice to an underground						
2	the contract of the policy of the contract of						
3							
4	procedures, and providing for civil liability and injunctive						
5	relief, and providing an effective date.						
6	BE IT ENACTED BY THE GENERAL ASSEMBLY OF THE STATE OF IOWA:						
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s.f. 2133 H.F.

- 1 Section 1. Section 480.1, Code 1991, is amended by
- 2 striking the section and inserting in lieu thereof the
- 3 following:
- 4 480.1 DEFINITIONS.
- 5 1. "Board" means the board of directors of the
- 6 notification center.
- 7 2. "Damage" means any impact with, destruction,
- 8 impairment, or penetration of, or removal of support from an
- 9 underground facility, including damage to its protective
- 10 coating, housing, or device.
- 11 3. "Emergency" means a condition where there is clear and
- 12 immediate danger to life or health, or essential services, or
- 13 a potentially significant loss of property.
- 14 4. "Excavation" means an operation in which a structure or
- 15 earth, rock, or other material in or on the ground is moved,
- 16 removed, or compressed, or otherwise displaced by means of any
- 17 tools, equipment, or explosives and includes, but is not
- 18 limited to, grading, trenching, tiling, digging, ditching,
- 19 drilling, augering, tunneling, scraping, cable or pipe
- 20 plowing, driving, and demolition of structures.
- 21 "Excavation" does not include normal farming operations,
- 22 residential, commercial, or similar gardening, the opening of
- 23 a grave site in a cemetery, operations in a solid waste
- 24 disposal site which has planned for underground facilities,
- 25 and normal road maintenance which does not change the original
- 26 grade. "Normal road maintenance" does not include maintenance
- 27 of the ditch.
- 28 5. "Excavator" means a person proposing to engage or
- 29 engaging in excavation.
- 30 6. "Normal farming operations" means plowing, cultivation,
- 31 planting, harvesting, and similar operations routine to most
- 32 farms, but excludes using a sub-soiler or ripper, drain tile
- 33 excavating, terracing, digging for post holes, and similar
- 34 operations.
- 35 7. "Notification center" means the statewide notification

1 center established in section 480.3.

- 8. "Operator" means a person owning or operating an underground facility including, but not limited to, public, private, and municipal utilities. An operator does not include a person who owns or otherwise lawfully occupies real property where an underground facility is located only for the use and benefit of the owner or occupant on the property.
- 9. "Person" means a person as defined in section 4.1, 9 subsection 13.
- 10. "Underground facility" means an item of personal
 11 property which is buried or placed below ground for use in
 12 connection with the storage or conveyance of, or the provision
 13 of services supplying water, sewage, electronic, telephonic,
 14 or telegraphic communications, electric energy, or petroleum
 15 products including natural gas or other substances, and
 16 includes, but is not limited to, pipes, sewers, conduits,
 17 cables, valves, lines, wires, manholes, and attachments to
 18 such property.
- 19 Sec. 2. NEW SECTION. 480.1A APPLICABILITY -- PROHIBITION.
- 20 This chapter applies to any excavation unless otherwise
- 21 provided by law. A person shall not engage in any excavation
- 22 unless the requirements of this chapter have been satisfied.
- Sec. 3. Section 480.3, Code 1991, is amended by striking
- 24 the section and inserting in lieu thereof the following:
- 25 480.3 NOTIFICATION CENTER ESTABLISHED -- PARTICIPATION.
- 26 l. a. A statewide notification center is established and
- 27 shall be organized as a nonprofit corporation pursuant to
- 28 chapter 504A. The center shall be governed by a board of
- 29 directors which shall represent and be elected by operators,
- 30 excavators, and other persons who participate in the center.
- 31 The board shall, with input from all interested parties,
- 32 determine the operating procedures and technology needed for a
- 33 single statewide notification center, and establish a
- B4 notification process and competitive bidding procedure to
- 35 select a vendor to provide the notification service. The

- 1 terms of the agreement for the notification service may be
- 2 modified from time to time by the board, and the agreement
- 3 shall be reviewed, with an opportunity to receive new bids, no
- 4 less frequently than every three years.
- 5 b. Upon the selection of a vendor pursuant to paragraph
- 6 "a", the board shall notify the chairperson of the utilities
- 7 board in writing of the selection. The board shall submit an
- 8 annual report to the chairperson of the utilities board
- 9 including a review of the services provided by the
- 10 notification center and the vendor.
- 11 2. The board shall implement the latest and most cost
- 12 effective technological improvements for the center in order
- 13 to provide operators and excavators with the most accurate
- 14 data available and in a timely manner to allow operators and
- 15 excavators to perform their responsibilities with the minimum
- 16 amount of interruptions.
- 3. Every operator shall participate in and share in the
- 18 costs of the notification center.
- 19 Sec. 4. NEW SECTION. 480.4 REQUIRED NOTICE -- LOCATION
- 20 AND MARKING OF UNDERGROUND FACILITIES -- EXCEPTION.
- 21 1. Except as otherwise provided in this section, prior to
- 22 any excavation, an excavator shall contact the notification
- 23 center and provide notice of the planned excavation. This
- 24 notice must be given at least forty-eight hours prior to the
- 25 commencement of the excavation, excluding Saturdays, Sundays,
- 26 and legal holidays. The notification center shall establish a
- 27 toll-free telephone number to allow excavators to provide the
- 28 notice required pursuant to this subsection.
- 29 A notice provided pursuant to this subsection shall be
- 30 verbal and include the following information:
- 31 a. The name of the person providing the notice.
- 32 b. The precise location of the proposed area of
- 33 excavation, including the range, township, section, and
- 34 quarter section, if known.
- 35 c. The name and address of the excavator.

- d. The excavator's telephone number.
- 2 e. The type and extent of the proposed excavation.
- 3 f. Whether the discharge of explosives is anticipated.
- 4 g. The date and time when excavation is scheduled to 5 begin.
- For purposes of the requirements of this section, an excavation commences the first time excavation occurs in an 8 area that was not previously identified by the excavator in an 9 excavation notice.
- 10 2. The notification center, upon receiving notice from an 11 excavator, shall immediately transmit the information
- 12 contained in the notice to each operator in the area of the
- 13 proposed excavation and provide the names of all operators in
- 14 that area to the excavator. The notification center shall
- 15 assign an inquiry identification number to each notice and
- 16 shall maintain a record of each notice for at least six years
- 17 from the date the notice is received.
- 18 3. a. (1) An operator who receives notice from the
- 19 notification center shall mark the horizontal location of the
- 20 operator's underground facility and the excavator shall use
- 21 due care in excavating in the marked area to avoid damaging
- 22 the underground facility. The operator shall complete such
- 23 locating and marking within forty-eight hours after receiving
- 24 the notice, excluding Saturdays, Sundays, and legal holidays,
- 25 unless otherwise agreed by the operator and the excavator.
- 26 The locating and marking of the underground facilities shall
- 27 be completed at no cost to the excavator. If, in the opinion
- 28 of the operator, the planned excavation requires that the
- 29 precise location of the underground facilities be determined,
- 30 the excavator, unless otherwise agreed upon between the
- 31 excavator and the operator, shall hand dig test holes to
- 32 determine the location of the facilities unless the operator
- 33 specifies an alternate method.
- B4 (2) The marking required under this subsection shall be 35 done in a manner that will last for a minimum of five working

- 1 days on any nonpermanent surface, or a minimum of ten working
- 2 days on any permanent surface. If the excavation will
- 3 continue for a period greater than five days from the date and
- 4 time the excavation is scheduled to begin, the excavator shall
- 5 notify the operator at least forty-eight hours, excluding
- 6 Saturdays, Sundays, and legal holidays, before the end of the
- 7 first excavation period, and the operator shall remark the
- 8 location of the underground facility in a manner that will
- 9 last for a second excavation period.
- 10 (3) If the excavation extends beyond this second
- 11 excavation period, the excavator shall propose and the
- 12 operator shall agree to a reasonable schedule for the marking
- 13 of the underground facility during the extended period of
- 14 excavation.
- 15 b. An operator who receives notice from the notification
- 16 center and who determines that the operator does not have any
- 17 underground facility located within the proposed area of
- 18 excavation shall notify the excavator concerning this
- 19 determination prior to the indicated date of commencement of
- 20 excavation.
- c. For purposes of this chapter, the "horizontal location
- 22 of any underground facility" is defined as including an area
- 23 eighteen inches on either side of the underground facility.
- 24 4. An excavator is responsible for preserving the markings
- 25 required in subsection 3 at all times during the excavation.
- 26 If the markings will be destroyed or otherwise altered during
- 27 the excavation, the excavator must establish suitable
- 28 reference points which will enable the excavator to locate the
- 29 underground facility at all times during the excavation.
- 30 5. The operator shall mark the location of any underground
- 31 facility to conform with the uniform color code established by
- 32 the American public works association's utility location and
- 33 coordination council.
- 34 6. The only exception to this section shall be when an
- 35 emergency exists. Under such conditions, excavation

- 1 operations can begin immediately, provided reasonable
- 2 precautions are taken to protect the underground facilities.
- 3 The excavator shall notify the notification center of the
- 4 excavation as soon as practical.
- 5 Sec. 5. NEW SECTION. 480.5 DAMAGE TO UNDERGROUND
- 6 FACILITY -- REPORT TO OPERATOR.
- 7 An excavator shall as soon as practical notify the operator
- 8 when any damage occurs to an underground facility as a result
- 9 of an excavation. The notice shall include the type of
- 10 facility damaged and the extent of the damage. If damage
- 11 occurs, an excavator shall refrain from backfilling in the
- 12 immediate area of the underground facilities until the damage
- 13 has been investigated by the operator, unless the operator
- 14 authorizes otherwise.
- 15 If the damage results in an emergency, the excavator shall
- 16 take all reasonable actions to alleviate the emergency
- 7 including, but not limited to, the evacuation of the affected
- 18 area. The excavator shall leave all equipment situated where
- 19 the equipment was at the time the emergency was created and
- 20 immediately contact the operator and appropriate authorities
- 21 and necessary emergency response agencies.
- 22 Sec. 6. NEW SECTION. 480.6 CIVIL PENALTIES.
- 23 1. A person who violates a provision of this chapter is
- 24 subject to a civil penalty as follows:
- 25 a. For a violation related to natural gas and hazardous
- 26 liquid pipelines, an amount not to exceed ten thousand dollars
- 27 for each violation for each day the violation continues, up to
- 28 a maximum of five hundred thousand dollars.
- 29 b. For a violation related to any other underground
- 30 facility, an amount not to exceed one thousand dollars for
- 31 each violation for each day the violation continues, up to a
- 32 maximum of twenty thousand dollars.
- 33 2. The attorney general, upon the receipt of a complaint,
- 4 may institute any legal proceedings necessary to enforce the
- 35 penalty provisions of this chapter.

S.F. 2133 H.F.

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3. All amounts collected pursuant to this section shall be 2 remitted to the treasurer of state, who shall deposit the 3 amount in the general fund of the state. 4 Sec. 7. NEW SECTION. 480.7 INJUNCTION. Any affected person may make application to the district 6 court for injunctive relief from any violation of this 7 chapter. Sec. 8. NEW SECTION. 480.8 LOCAL ORDINANCES AND 9 REGULATIONS UNAFFECTED. 10 'This chapter does not affect or impair any local ordinances 11 or other provisions of law requiring permits to be obtained 12 before excavation. However, a permit issued by any governing 13 body does not relieve the excavator from complying with the 14 requirements of this chapter. Sec. 9. Section 479.47, unnumbered paragraph 2, Code 1991, 16 is amended by striking the paragraph. 17 Sec. 10. Section 479A.26, unnumbered paragraphs 2 and 3, 18 Code 1991, are amended by striking the paragraphs. Sec. 11. Sections 478.36 and 480.2, Code 1991, are 19 20 repealed. Sec. 12. This Act takes effect on January 1, 1993. 21 22 23 24 25 26 27 28 29 30 31 32

SF 2133

SENATE FILE 2133 S-5079 Amend Senate File 2133 as follows: Page 3, by inserting after line 11 the 3 following: The board shall implement the latest and most 5 cost effective technological improvements for the 6 center in order to provide operators and excavators 7 with the most accurate data available and in a timely 8 manner to allow operators and excavators to perform 9 their responsibilities with the minimum amount of 10 interruptions." Page 4, line 25, by inserting after the word 12 "excavator" the following: ", unless otherwise agreed 13 upon between the excavator and the operator,". Page 5, by striking lines 1 and 2 and 15 inserting the following: "first excavation period, 16 and the operator shall remark the location of the 17 underground facility in a manner that will last for a 18 second excavation period. (3) If the excavation extends beyond this second 20 excavation period, the excavator shall propose and the 21 operator shall agree to a reasonable schedule for the 22 marking of the underground facility during the 23 extended period of excavation." 24 4. Page 5, by striking lines 18 through 23. Page 7, by striking line 9, and inserting the 26 following: 27 "Sec. . Section 479.47, unnumbered paragraph 2, 28 Code 1991, is amended by striking the paragraph. Sec. _ . Section 479A.26, unnumbered paragraphs 2 30 and 3, Code 1991, are amended by striking the 31 paragraphs. 32 Sections 478.36 and 480.2, Code 1991, Sec. 33 are repealed.

34 Sec. ___. This Act takes effect on January 1,

35 1993."

36 6. Title page, line 5, by inserting after the 37 word "relief" the following: ", and providing an

37 word "relief" the following: ", and providing an 38 effective date".

7. By renumbering as necessary.

By JOHN W. JENSEN

S-5079 FILED FEBRUARY 18, 1992 (dopted 2/20(p. 420)

H-5204

Amend Senate File 2133 as amended, passed, and 2 reprinted by the Senate as follows:

1. Page 1, line 32, by striking the words "sub-4 soiler or".

2. Page 1, line 33, by striking the words

6 "digging for post holes,".

By BENNETT of Ida MERTZ of Kossuth JOHNSON of Clinton

BRANSTAD of Winnebago H-5204, FILED MARCH 6, 1992 11/10 3/31/92

PETERSEN of Muscatine MUHLBAUER of Crawford HAHN of Muscatine DE GROOT of Lyon

SENATE FILE 2133

H-5205

Amend Senate File 2133 as amended, passed, and 2 reprinted by the Senate as follows:

1. Page 6, by inserting after line 21 the fol-

4 lowing:

"Sec. . NEW SECTION. 480.5A NOTIFICATION OF 6 CERTAIN INDIVIDUALS REGARDING CERTAIN UNDERGROUND

7 FACILITIES.

A public, private, or municipal utility shall 9 notify all individuals who own property on which 10 normal farming operations occur in the area served by 11 the utility of the presence of any underground 12 facility which is buried or placed below ground at a 13 depth of eighteen inches or less."

Renumber as necessary.

By BENNETT of Ida MERTZ of Kossuth JOHNSON of Clinton BRANSTAD of Winnebago

H-5205 FILED MARCH 6, 1992 N/2 3/31

PETERSEN of Muscatine MUHLBAUER of Crawford HAHN of Muscatine DE GROOT of Lyon

SENATE FILE 2133

H-5637

Amend Senate File 2133, as amended, passed, and

2 reprinted by the Senate, as follows:

3 l. Page 1, line 24, by inserting after the word
4 "facilities," the following: "the replacement of an 5 existing traffic sign at its current location and at 6 no more than its current depth,".

2. Page 1, by striking line 32, and inserting the 8 following: "farms, but excludes chisel plowing, sub-9 soiling, or ripping more than fifteen inches in depth,

10 drain tile". 3. Page 1, line 33, by striking the words

12 "digging for post holes" and inserting the following:

13 "digging or driving a post in a new location other

14 than replacing a post while repairing a fence in its 15 existing location".

> By GILL of Woodbury BENNETT of Ida RENKEN of Grundy

H-5637 FILED MARCH 31, 1992 ADOPTED (7, 462)

E-5348

Amend Senate File 2133, as amended, passed, and 2 reprinted by the Senate, as follows:

- 3 l. Page 1, line 23, by inserting after the word 4 "cemetery," the following: "normal activities 5 involved in land surveying pursuant to chapter 114,".
- 6 2. Page 1, line 25, by inserting after the word 7 "road" the following: "or highway".
- 8 3. Page 1, by striking lines 26 and 27, and 9 inserting the following: "grade of the roadway or the 10 ditch."
- 11 4. Page 2, line 14, by inserting after the word 12 "energy," the following: "hazardous liquids,".
- 13 5. Page 4, line 17, by inserting after the word 14 "received." the following: "The notification center 15 shall not assess an operator who requests in writing 16 not to receive a notification of its own excavations 17 for any portion of the costs associated with such 18 excavations."
- 19 6. Page 5, by striking lines 3 through 14 and 20 inserting the following: "continue for any period 21 longer than such periods, the operator shall remark 22 the location of the underground facility upon the 23 request of the excavator. The request shall be made 24 through the notification center."
- 7. Page 7, line 7, by inserting after the word considered the following: ", unless the governing body is the excavator and the governing body and the operator have agreed in writing to waive notification under this chapter. However, such an agreement shall not be considered in the issuance of any required permit".

By GILL of Woodbury

H-5348 FILED MARCH 17, 1992

田-5433

Amend Senate File 2133, as amended, passed, and re-1

2 printed by the Senate, as follows:

1. Page 1, by striking lines 25 through 27 and
4 inserting the following: "and normal road

5 maintenance."

By RENKEN of Grundy

H-5433, FILED MARCH 20, 1992 Lock- 5/27/92 (p. 893)

SENATE FILE 2133

9−5443

1 Amend Senate File 2133, as amended, passed, and

2 reprinted by the Senate, as follows:

3 l. Page 2, line 11, by inserting after the word 4 "property" the following: "owned or leased by the 5 operator".

6 2. Page 2, line 18, by inserting after the word 7 "property" the following: "but does not include

8 sanitary sewer laterals, storm sewer laterals, and

9 water service lines providing service to abutting 10 private properties".

> By PAVICH of Pottawattamie GILL of Woodbury

H-5443 FILED MARCH 23, 1992 adopted 3/31/92 (p.961)

SENATE FILE 2133

H-5578

Amend Senate File 2133 as amended, passed, and

2 reprinted by the Senate, as follows:

1. Page 2, by striking lines 13 through 15 and

4 inserting the following: "of services supplying

5 electric energy or petroleum products including

6 natural gas or other substances, and".

By RENKEN of Grundy

H-5578 FILED MARCH 27, 1992 11/19 3/31 (1961)

H-5392

Amend Senate File 2133, as amended, bassed, and 2 reprinted by the Senate, as follows:

- 1. Page 1, line 23, by inserting after the word 4 "cemetery," the following: "normal activities
- 5 involved in land surveying pursuant to chapter 114,".
- 6 2. Page 1, line 25, by inserting after the word 7 "road" the following: "or highway".
- 3. Page 1, by striking lines 26 and 27, and 9 inserting the following: "grade of the roadway or the 10 ditch."
- 4. Page 2, line 14, by inserting after the word 12 "energy," the following: "hazardous liquids,".
- 13 5. Page 4, line 17, by inserting after the word 14 "received." the following: "The notification center 15 shall not assess an operator who requests in writing 16 not to receive a notification of its own excavations 17 for any portion of the costs associated with such 18 excavations."
- 6. Page 5, by striking lines 3 through 14 and 20 inserting the following: "continue for any period 21 longer than such periods, the operator shall remark 22 the location of the underground facility upon the 23 request of the excavator. The request shall be made 24 through the notification center."
- 7. Page 7, line 14 by inserting after the word 26 "chapter" the following: ", unless the governing body 27 is the excavator and the governing body and the 28 operator have agreed in writing to waive notification 29 under this chapter. However, such an agreement shall 30 not be considered in the issuance of any required 31 permit".

By GILL of Woodbury

H-5392 FILED MARCH 19, 1992 (Relopted 427 (p. 843)

SENATE FILE 2133

H-5394

Amend Senate File 2133 as amended, passed, and

2 reprinted by the Senate, as follows:

1. Page 5, by striking lines 18 through 20, and 4 inserting the following: "excavation need not notify 5 the excavator concerning this determination."

By MERTZ of Kossuth

H-5394 FILED MARCH 19, 1992 Just 3/31

HOUSE AMENDMENT TO SENATE FILE 2133

S-5498

Amend Senate File 2133, as amended, passed, and 2 reprinted by the Senate, as follows:

1. Page 1, line 23, by inserting after the word "cemetery," the following: "normal activities

5 involved in land surveying pursuant to chapter 114,".

2. Page 1, line 24, by inserting after the word 7 "facilities," the following: "the replacement of an 8 existing traffic sign at its current location and at 9 no more than its current depth,".

3. Page 1, line 25, by inserting after the word 10

- 11 "road" the following: "or highway".
 12 4. Page 1, by striking lines 26 and 27, and 13 inserting the following: "grade of the roadway or the
- 5. Page 1, by striking line 32, and inserting the 15 16 following: "farms, but excludes chisel plowing, sub-17 soiling, or ripping more than fifteen inches in depth, 18 drain tile".
- Page 1, line 33, by striking the words 19 20 "digging for post holes" and inserting the following: 21 "digging or driving a post in a new location other 22 than replacing a post while repairing a fence in its 23 existing location".
- 7. Page 2, line 11, by inserting after the word 24 25 "property" the following: "owned or leased by the 26 operator".

8. Page 2, line 14, by inserting after the word 27 28 "energy," the following: "hazardous liquids,".

29 9. Page 2, line 18, by inserting after the word 30 "property" the following: "but does not include 31 sanitary sewer laterals, storm sewer laterals, and 32 water service lines providing service to abutting 33 private properties".

10. Page 4, line 17, by inserting after the word 34 35 "received." the following: "The notification center 36 shall not assess an operator who requests in writing 37 not to receive a notification of its own excavations 38 for any portion of the costs associated with such 39 excavations."

11. Page 5, by striking lines 3 through 14 and 40 41 inserting the following: "continue for any period 42 longer than such periods, the operator shall remark 43 the location of the underground facility upon the 44 request of the excavator. The request shall be made 45 through the notification center."

12. Page 7, line 14 by inserting after the word 47 "chapter" the following: ", unless the governing body 48 is the excavator and the governing body and the 49 operator have agreed in writing to waive notification 50 under this chapter. However, such an agreement shall S-5498 -1-

S-5498

Page

2 permit'

1 not be considered in the issuance of any required

13. By renumbering, relettering, or redesignating 3 4 and correcting internal references as necessary.

RECEIVED FROM THE HOUSE Senate Concurried 466 (p. 1234)

S-5498 FILED APRIL 1, 1992

JENSEN CH.
PALMER 129 92
KERSTEN

SSB 2082 Commence NOW

SENATE/HOUSE FILE 2/33

BY (RECOMMENDED BY

CENTRALIZED COMMUNICATION

BETWEEN EXCAVATORS AND

PIPELINE OPERATORS

STUDY COMMITTEE)

Passed	Senate,	Date	Passe	d House,	Date	
Vote:	Ayes	Nays	Vote:	Ayes	Nays _	
Approved						

A BILL FOR

1 An Act relating to requirements for notice to an underground facility operator by a person planning certain excavation 2 3 activities near the underground facility, establishing procedures, and providing for civil liability and injunctive relief. 5 6 BE IT ENACTED BY THE GENERAL ASSEMBLY OF THE STATE OF IOWA: 7 8 9 10 11 12 1.3 14

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- 1 Section 1. Section 480.1, Code 1991, is amended by
- 2 striking the section and inserting in lieu thereof the
- 3 following:
- 4 480.1 DEFINITIONS.
- 5 1. "Board" means the board of directors of the
- 6 notification center.
- 7 2. "Damage" means any impact with, destruction,
- 8 impairment, or penetration of, or removal of support from an
- 9 underground facility, including damage to its protective
- 10 coating, housing, or device.
- 11 3. "Emergency" means a condition where there is clear and
- 12 immediate danger to life or health, or essential services, or
- 13 a potentially significant loss of property.
- 14 4. "Excavation" means an operation in which a structure or
- 15 earth, rock, or other material in or on the ground is moved,
- 16 removed, or compressed, or otherwise displaced by means of any
- 17 tools, equipment, or explosives and includes, but is not
- 18 limited to, grading, trenching, tiling, digging, ditching,
- 19 drilling, augering, tunneling, scraping, cable or pipe
- 20 plowing, driving, and demolition of structures.
- 21 "Excavation" does not include normal farming operations,
- 22 residential, commercial, or similar gardening, the opening of
- 23 a grave site in a cemetery, operations in a solid waste
- 24 disposal site which has planned for underground facilities,
- 25 and normal road maintenance which does not change the original
- 26 grade. "Normal road maintenance" does not include maintenance
- 27 of the ditch.
- 28 5. "Excavator" means a person proposing to engage or
- 29 engaging in excavation.
- 30 6. "Normal farming operations" means plowing, cultivation,
- 31 planting, harvesting, and similar operations routine to most
- 32 farms, but excludes using a sub-soiler or ripper, drain tile
- 33 excavating, terracing, digging for post holes, and similar
- 34 operations.
- 7. "Notification center" means the statewide notification

1 center established in section 480.3.

- 2 8. "Operator" means a person owning or operating an
- 3 underground facility including, but not limited to, public,
- 4 private, and municipal utilities. An operator does not
- 5 include a person who owns or otherwise lawfully occupies real
- 6 property where an underground facility is located only for the
- 7 use and benefit of the owner or occupant on the property.
- 9. "Person" means a person as defined in section 4.1,
- 9 subsection 13.
- 10. "Underground facility" means an item of personal
- 11 property which is buried or placed below ground for use in
- 12 connection with the storage or conveyance of, or the provision
- 13 of services supplying water, sewage, electronic, telephonic,
- 14 or telegraphic communications, electric energy, or petroleum
- 15 products including natural gas or other substances, and
- 16 includes, but is not limited to, pipes, sewers, conduits,
- 17 cables, valves, lines, wires, manholes, and attachments to
- 18 such property.
- 19 Sec. 2. NEW SECTION. 480.1A APPLICABILITY --
- 20 PROHIBITION.
- 21 This chapter applies to any excavation unless otherwise
- 22 provided by law. A person shall not engage in any excavation
- 23 unless the requirements of this chapter have been satisfied.
- Sec. 3. Section 480.3, Code 1991, is amended by striking
- 25 the section and inserting in lieu thereof the following:
- 26 480.3 NOTIFICATION CENTER ESTABLISHED -- PARTICIPATION.
- 27 l. a. A statewide notification center is established and
- 28 shall be organized as a nonprofit corporation pursuant to
- 29 chapter 504A. The center shall be governed by a board of
- 30 directors who shall represent and be elected by operators,
- 31 excavators, and other persons who participate in the center.
- 32 The board shall, with input from all interested parties,
- 33 determine the operating procedures and technology needed for a
- 34 single statewide notification center, and establish a
- 35 notification process and competitive bidding procedure to

- 1 select a vendor to provide the notification service. The
- 2 terms of the agreement for the notification service may be
- 3 modified from time to time by the board, and the agreement
- 4 shall be reviewed, with an opportunity to receive new bids, no
- 5 less frequently than every three years.
- 6 b. Upon the selection of a vendor pursuant to paragraph
- 7 "a", the board shall notify the chairperson of the utilities
- 8 board in writing of the selection. The board shall submit an
- 9 annual report to the chairperson of the utilities board
- 10 including a review of the services provided by the
- ll notification center and the vendor.
- 12 2. Every operator shall participate in and share in the
- 13 costs of the notification center.
- 14 Sec. 4. NEW SECTION. 480.4 REQUIRED NOTICE -- LOCATION
- 15 AND MARKING OF UNDERGROUND FACILITIES -- EXCEPTION.
- 16 1. Except as otherwise provided in this section, prior to
- 17 any excavation, an excavator shall contact the notification
- 18 center and provide notice of the planned excavation. This
- 19 notice must be given at least forty-eight hours prior to the
- 20 commencement of the excavation, excluding Saturdays, Sundays,
- 21 and legal holidays.
- 22 A notice provided pursuant to this subsection shall be
- 23 verbal and include the following information:
- 24 a. The name of the person providing the notice.
- 25 b. The precise location of the proposed area of
- 26 excavation, including the range, township, section, and
- 27 quarter section, if known.
- 28 c. The name and address of the excavator.
- 29 d. The excavator's telephone number.
- 30 e. The type and extent of the proposed excavation.
- 31 f. Whether the discharge of explosives is anticipated.
- 32 g. The date and time when excavation is scheduled to
- 33 begin.
- 34 For purposes of the requirements of this section, an
- 35 excavation commences the first time excavation occurs in an

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1 area that was not previously identified by the excavator in an 2 excavation notice.

- 3 2. The notification center, upon receiving notice from an 4 excavator, shall immediately transmit the information 5 contained in the notice to each operator in the area of the 6 proposed excavation and provide the names of all operations in 7 that area to the excavator. The notification center shall 8 assign an inquiry identification number to each notice and 9 shall maintain a record of each notice for at least six years 10 from the date the notice is received.
- a. (1) An operator who receives notice from the 11 12 notification center shall mark the horizontal location of the 13 operator's underground facility and the excavator shall use 14 due care in excavating in the marked area to avoid damaging 15 the underground facility. The operator shall complete such 16 locating and marking within forty-eight hours after receiving 17 the notice, excluding Saturdays, Sundays, and legal holidays, 18 unless otherwise agreed by the operator and the excavator. 19 The locating and marking of the underground facilities shall 20 be completed at no cost to the excavator. If, in the opinion 21 of the operator, the planned excavation requires that the 22 precise location of the underground facilities be determined, 23 the excavator shall hand dig test holes to determine the 24 location of the facilities unless the operator specifies an 25 alternate method.
- 26 (2) The marking required under this subsection shall be
 27 done in a manner that will last for a minimum of five working
 28 days on any nonpermanent surface, or a minimum of ten working
 29 days on any permanent surface. If the excavation will
 30 continue for a period greater than five days from the date and
 31 time the excavation is scheduled to begin, the excavator shall
 32 notify the operator at least forty-eight hours, excluding
 33 Saturdays, Sundays, and legal holidays, before the end of the
 34 period, and the operator shall remark the location of the
 35 facility.

- b. An operator who receives notice from the notification
- 2 center and who determines that the operator does not have any
- 3 underground facility located within the proposed area of
- 4 excavation shall notify the excavator concerning this
- 5 determination prior to the indicated date of commencement of
- 6 excavation.
- 7 c. For purposes of this chapter, the "horizontal location
- 8 of any underground facility" is defined as including an area
- 9 eighteen inches on either side of the underground facility.
- 4. An excavator is responsible for preserving the markings
- 11 required in subsection 3 at all times during the excavation.
- 12 If the markings will be destroyed or otherwise altered during
- 13 the excavation, the excavator must establish suitable
- 14 reference points which will enable the excavator to locate the
- 15 underground facility at all times during the excavation.
- 16 5. If the excavation is to occur over an extended period
- 17 of time requiring the location of multiple underground
- 18 facilities, the excavator and operator shall agree to a
- 19 schedule concerning the location and marking of the
- 20 underground facilities during the extended period of
- 21 excavation.
- 22 6. The operator shall mark the location of any underground
- 23 facility to conform with the uniform color code established by
- 24 the American public works association's utility location and
- 25 coordination council.
- 7. The only exception to this section shall be when an
- 27 emergency exists. Under such conditions, excavation
- 28 operations can begin immediately, provided reasonable
- 29 precautions are taken to protect the underground facilities.
- 30 The excavator shall notify the notification center of the
- 31 excavation as soon as practical.
- 32 Sec. 5. NEW SECTION. 480.5 DAMAGE TO UNDERGROUND
- 33 FACILITY -- REPORT TO OPERATOR.
- 34 An excavator shall as soon as practical notify the operator
- 35 when any damage occurs to an underground facility as a result

- l of an excavation. The notice shall include the type of
- 2 facility damaged and the extent of the damage. If damage
- 3 occurs, an excavator shall refrain from backfilling in the
- 4 immediate area of the underground facilities until the damage
- 5 has been investigated by the operator, unless the operator
- 6 authorizes otherwise.
- 7 If the damage results in an emergency, the excavator shall
- 8 take all reasonable actions to alleviate the emergency
- 9 including, but not limited to, the evacuation of the affected
- 10 area. The excavator shall leave all equipment situated where
- 11 the equipment was at the time the emergency was created and
- 12 immediately contact the operator and appropriate authorities
- 13 and necessary emergency response agencies.
- 14 Sec. 6. NEW SECTION. 480.6 CIVIL PENALTIES.
- 15 1. A person who violates a provision of this chapter is
- 16 subject to a civil penalty as follows:
- 17 a. For a violation related to natural gas and hazardous
- 18 liquid pipelines, an amount not to exceed ten thousand dollars
- 19 for each violation for each day the violation continues, up to
- 20 a maximum of five hundred thousand dollars.
- 21 b. For a violation related to any other underground
- 22 facility, an amount not less than one hundred dollars or more
- 23 than one thousand dollars for each violation for each day the
- 24 violation continues, up to a maximum of twenty thousand
- 25 dollars.
- 26 2. The attorney general, at the request of the
- 27 notification center, may institute any legal proceedings
- 28 necessary to enforce the penalty provisions of this chapter.
- 29 3. All amounts collected pursuant to this section shall be
- 30 remitted to the treasurer of state, who shall deposit the
- 31 amount in the general fund of the state.
- 32 Sec. 7. NEW SECTION. 480.7 INJUNCTION.
- 33 Any affected person may make application to the district
- 34 court for injunctive relief from any violation of this
- 35 chapter.

- 1 Sec. 8. NEW SECTION. 480.8 LOCAL ORDINANCES AND
- 2 REGULATIONS UNAFFECTED.
- 3 This chapter does not affect or impair any local ordinances
- 4 or other provisions of law requiring permits to be obtained
- 5 before excavation. However, a permit issued by any governing
- 6 body does not relieve the excavator from complying with the
- 7 requirements of this chapter.
- 8 Sec. 9. Section 480.2, Code 1991, is repealed.
- 9 EXPLANATION
- 10 This bill regulates excavations which occur near an
- 11 underground facility.
- 12 Section 1 defines terms.
- 13 Section 2 applies the bill to any excavation unless
- 14 otherwise provided.
- 15 Section 3 establishes a statewide notification center in
- 16 the utilities division of the department of commerce.
- 17 Section 4 requires that an excavator provide verbal notice
- 18 to the notification center before any excavation. The
- 19 notification center is to immediately transmit the notice to
- 20 operators in the area of the planned excavation and provide
- 21 the names of affected operators to the excavator. An operator
- 22 receiving this notice is to locate and mark all affected
- 23 underground facilities within 48 hours of receiving the
- 24 notice.
- 25 If the excavation will destroy or otherwise alter the
- 26 markings, the excavator is to maintain suitable reference
- 27 points which will enable the excavator to locate the
- 28 underground facility. If the excavation is to occur over an
- 29 extended period of time and require the location and marking
- 30 of the underground facilities during the extended period, the
- 31 excavator and the operator are to agree to a schedule for the
- 32 locating and marking of the underground facilities.
- 33 Section 5 requires the excavator to notify the operator of
- 34 any damage caused by the excavation as soon as possible. The
- 35 excavator is also required to take all reasonable actions to

S.F. _____ H.F. ______ 2082

1 alleviate an emergency caused by the damage.

- 2 Section 6 establishes a system of civil penalties including
- 3 \$10,000 for each violation for each day the violation
- 4 continues up to a maximum of \$500,000 for violations related
- 5 to natural gas and hazardous liquid pipelines. For all other
- 6 underground facilities, an amount not less than \$100 nor more
- 7 than \$1,000 for each violation for each day the violation
- 8 continues, up to a maximum of \$20,000.
- 9 Section 7 provides that an injunction may be issued against
- 10 a person who violates a provision of the chapter.
- 11 Section 8 provides that local ordinances requiring permits
- 12 prior to any excavation are not affected and that the issuance
- 13 of a permit by a governing body does not relieve the excavator
- 14 from the requirements of this bill.
- The bill repeals the statutory requirement that an operator
- 16 of underground facilities provide information about locations
- 17 through the office of the county recorder or city clerk.

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AN ACT

RELATING TO REQUIREMENTS FOR NOTICE TO AN UNDERGROUND FACILITY OPERATOR BY A PERSON PLANNING CERTAIN EXCAVATION ACTIVITIES NEAR THE UNDERGROUND FACILITY, ESTABLISHING PROCEDURES, AND PROVIDING FOR CIVIL LIABILITY AND INJUNCTIVE RELIEF, AND PROVIDING AN EFFECTIVE DATE.

BE IT ENACTED BY THE GENERAL ASSEMBLY OF THE STATE OF IOWA:

Section 1. Section 480.1, Code 1991, is amended by striking the section and inserting in lieu thereof the following:

480.1 DEFINITIONS.

- "Board" means the board of directors of the notification center.
- 2. "Damage" means any impact with, destruction, impairment, or penetration of, or removal of support from an underground facility, including damage to its protective coating, housing, or device.
- 3. "Emergency" means a condition where there is clear and immediate danger to life or health, or essential services, or a potentially significant loss of property.
- 4. "Excavation" means an operation in which a structure or earth, rock, or other material in or on the ground is moved, removed, or compressed, or otherwise displaced by means of any tools, equipment, or explosives and includes, but is not limited to, grading, trenching, tiling, digging, ditching, drilling, augering, tunneling, scraping, cable or pipe plowing, driving, and demolition of structures.

"Excavation" does not include normal farming operations, residential, commercial, or similar gardening, the opening of a grave site in a cemetery, normal activities involved in land surveying pursuant to chapter 114, operations in a solid waste

Senate File 2133, p. 2

disposal sate which has planned for underground facilities, the replacement of an existing traffic sign at its current location and at no more than its current depth, and normal road or highway maintenance which does not change the original grade of the roadway or the ditch.

- "Excavator" means a person proposing to engage or engaging in excavation.
- 6. "Normal farming operations" means plowing, cultivation, planting, harvesting, and similar operations routine to most farms, but excludes chisel plowing, sub-soiling, or ripping more than fifteen inches in depth, drain tile excavating, terracing, digging or driving a post in a new location other than replacing a post while repairing a fence in its existing location, and similar operations.
- 7. "Notification center" means the statewide notification center established in section 480.3.
- 8. "Operator" means a person owning or operating an underground facility including, but not limited to, public, private, and municipal utilities. An operator does not include a person who owns or otherwise lawfully occupies real property where an underground facility is located only for the use and benefit of the owner or occupant on the property.
- "Person" means a person as defined in section 4.1, subsection 13.
- 10. "Underground facility" means an item of personal property owned or leased by the operator which is buried or placed below ground for use in connection with the storage or conveyance of, or the provision of services supplying water, sewage, electronic, telephonic, or telegraphic communications, electric energy, hazardous liquids, or petroleum products including natural gas or other substances, and includes, but is not limited to, pipes, sewers, conduits, cables, valves, lines, wires, manholes, and attachments to such property but does not include sanitary sewer laterals, storm sewer laterals, and water service lines providing service to abutting private properties.

Sec. 2. NEW SECTION. 480.1A APPLICABILITY --

This chapter applies to any excavation unless otherwise provided by law. A person shall not engage in any excavation unless the requirements of this chapter have been satisfied.

- Sec. 3. Section 480.3, Code 1991, is amended by striking the section and inserting in lieu thereof the following:
 - 480.3 NOTIFICATION CENTER ESTABLISHED -- PARTICIPATION.
- 1. a. A statewide notification center is established and shall be organized as a nonprofit corporation pursuant to chapter 504A. The center shall be governed by a board of directors which shall represent and be elected by operators, excavators, and other persons who participate in the center. The board shall, with input from all interested parties, determine the operating procedures and technology needed for a single statewide notification center, and establish a notification process and competitive bidding procedure to select a vendor to provide the notification service. The terms of the agreement for the notification service may be modified from time to time by the board, and the agreement shall be reviewed, with an opportunity to receive new bids, no less frequently than every three years.
- b. Upon the selection of a vendor pursuant to paragraph "a", the board shall notify the chairperson of the utilities board in writing of the selection. The board shall submit an annual report to the chairperson of the utilities board including a review of the services provided by the notification center and the vendor.
- 2. The board shall implement the latest and most cost effective technological improvements for the center in order to provide operators and excavators with the most accurate data available and in a timely manner to allow operators and excavators to perform their responsibilities with the minimum amount of interruptions.

- 3. Every operator shall participate in and share in the costs of the notification center.
- Sec. 4. NEW SECTION. 480.4 REQUIRED NOTICE -- LOCATION AND MARKING OF UNDERGROUND FACILITIES -- EXCEPTION.
- 1. Except as otherwise provided in this section, prior to any excavation, an excavator shall contact the notification center and provide notice of the planned excavation. This notice must be given at least forty-eight hours prior to the commencement of the excavation, excluding Saturdays. Sundays, and legal holidays. The notification center shall establish a toll-free telephone number to allow excavators to provide the notice required pursuant to this subsection.

A notice provided pursuant to this subsection shall be verbal and include the following information:

- a. The name of the person providing the notice.
- b. The precise location of the proposed area of excavation, including the range, township, section, and quarter section, if known.
 - c. The name and address of the excavator.
 - d. The excavator's telephone number.
 - e. The type and extent of the proposed excavation.
 - f. Whether the discharge of explosives is anticipated.
- g. The date and time when excavation is scheduled to begin.

For purposes of the requirements of this section, an excavation commences the first time excavation occurs in an area that was not previously identified by the excavator in an excavation notice.

2. The notification center, upon receiving notice from an excavator, shall immediately transmit the information contained in the notice to each operator in the area of the proposed excavation and provide the names of all operators in that area to the excavator. The notification center shall assign an inquiry identification number to each notice and shall maintain a record of each notice for at least six years

Senate File 2133, p. 6

Senate File 2133, p. 5

from the date the notice is received. The notification center shall not assess an operator who requests in writing not to receive a notification of its own excavations for any portion of the costs associated with such excavations.

- 3. a. (1) An operator who receives notice from the notification center shall mark the horizontal location of the operator's underground facility and the excavator shall use due care in excavating in the marked area to avoid damaging the underground facility. The operator shall complete such locating and marking within forty-eight hours after receiving the notice, excluding Saturdays, Sundays, and legal holidays, unless otherwise agreed by the operator and the excavator. The locating and marking of the underground facilities shall b. completed at no cost to the excavator. If, in the opinion of the operator, the planned excavation requires that the precise location of the underground facilities be determined, the excavator, unless otherwise agreed upon between the excavator and the operator, shall hand dig test holes to determine the location of the facilities unless the operator specifies an alternate method.
- (2) The marking required under this subsection shall be done in a manner that will last for a minimum of five working days on any nonpermanent surface, or a minimum of ten working days on any permanent surface. If the excavation will continue for any period longer than such periods, the operator shall remark the location of the underground facility upon the request of the excavator. The request shall be made through the notification center.
- b. An operator who receives notice from the notification center and who determines that the operator does not have any underground facility located within the proposed area of excavation shall notify the excavator concerning this determination prior to the indicated date of commencement of excavation.

- c. For purposes of this chapter, the "horizontal location of any underground facility" is defined as including an area eighteen inches on either side of the underground facility.
- 4. An excavator is responsible for preserving the markings required in subsection 3 at all times during the excavation. If the markings will be destroyed or otherwise altered during the excavation, the excavator must establish suitable reference points which will enable the excavator to locate the underground facility at all times during the excavation.
- 5. The operator shall mark the location of any underground facility to conform with the uniform color code established by the American public works association's utility location and coordination council.
- 6. The only exception to this section shall be when an emergency exists. Under such conditions, excavation operations can begin immediately, provided reasonable precautions are taken to protect the underground facilities. The excavator shall notify the notification center of the excavation as soon as practical.
- Sec. 5. NEW SECTION. 480.5 DAMAGE TO UNDERGROUND FACILITY -- REPORT TO OPERATOR.

An excavator shall as soon as practical notify the operator when any damage occurs to an underground facility as a result of an excavation. The notice shall include the type of facility damaged and the extent of the damage. If damage occurs, an excavator shall refrain from backfilling in the immediate area of the underground facilities until the damage has been investigated by the operator, unless the operator authorizes otherwise.

If the damage results in an emergency, the excavator shall take all reasonable actions to alleviate the emergency including, but not limited to, the evacuation of the affected area. The excavator shall leave all equipment situated where the equipment was at the time the emergency was created and immediately contact the operator and appropriate authorities and necessary emergency response agencies.

Sec. 6. NEW SECTION. 480.6 CIVIL PENALTIES.

- A person who violates a provision of this chapter is subject to a civil penalty as follows:
- a. For a violation related to natural gas and hazardous liquid pipelines, an amount not to exceed ten thousand dollars for each violation for each day the violation continues, up to a maximum of five hundred thousand dollars.
- b. For a violation related to any other underground facility, an amount not to exceed one thousand dollars for each violation for each day the violation continues, up to a maximum of twenty thousand dollars.
- 2. The attorney general, upon the receipt of a complaint, may institute any legal proceedings necessary to enforce the penalty provisions of this chapter.
- All amounts collected pursuant to this section shall be remitted to the treasurer of state, who shall deposit the amount in the general fund of the state.
 - Sec. 7. NEW SECTION. 480.7 INJUNCTION.

Any affected person may make application to the district court for injunctive relief from any violation of this chapter.

Sec. 8. <u>NEW SECTION</u>. 480.8 LOCAL ORDINANCES AND REGULATIONS UNAFFECTED.

This chapter does not affect or impair any local ordinances or other provisions of law requiring permits to be obtained before excavation. However, a permit issued by any governing body does not relieve the excavator from complying with the requirements of this chapter, unless the governing body is the excavator and the governing body and the operator have agreed in writing to waive notification under this chapter. However, such an agreement shall not be considered in the issuance of any required permit.

Sec. 9. Section 479.47, unnumbered paragraph 2, Code 1991, is accorded by striking the paragraph.

Sec. 10. Section 479A.26, unnumbered paragraphs 2 and 3, Code 1991, are amended by striking the paragraphs.

Sec. 11. Sections 478.36 and 480.2, Code 1991, are repealed.

Sec. 12. This Act takes effect on January 1, 1993.

MICHAEL E. GRONSTAL
President of the Senate

ROBERT C. ARNOULD Speaker of the House

I hereby certify that this bill originated in the Senate and is known as Senate Pile 2133, Seventy-fourth General Assembly.

JOHN F. DWYER

Secretary of the Senate

Approved Liptil C1. 1992

TERRY E. BRANSTAD

Governor