



To: Legislative Fiscal Committee  
 From: Holly M. Lyons, Fiscal Services Division Director  
 Subject: Report on FY 2014 Training and Technology  
 Balances Brought Forward to FY 2015  
 Date: December 4, 2015

Pursuant to Iowa Code section [8.62\(3\)](#), departments are permitted to carry forward 50.0% of the previous budget year's reversions. The funds must be used for training and technology or purchases of products from Iowa Prison Industries (IPI), and must be spent by June 30.

Departments carried forward a total of \$6.6 million in General Fund appropriated dollars from FY 2014 to FY 2015 to be used for purchases designated under Iowa Code section 8.62(3). This is \$481,000 (7.8%) more than the amount carried forward in the previous year.

| <b>General Fund<br/>Training and Technology Funds<br/>Balances Brought Forward</b> |                            |                           |                   |
|--|----------------------------|---------------------------|-------------------|
| Subcommittee   | Funds Available<br>FY 2015 | Funds Expended<br>FY 2015 | Reversion         |
| Administration and Regulation  | \$ 880,283                 | \$ 670,157                | \$ 210,126        |
| Agriculture and Natural Resources  | 3,907                      | 3,907                     | -                 |
| Economic Development   | 11,701                     | 11,701                    | -                 |
| Education  | 7,117                      | 7,117                     | -                 |
| Health and Human Services  | 4,446,534                  | 4,439,433                 | 7,100             |
| Justice System   | 1,258,458                  | 1,179,963                 | 78,495            |
| <b>Total</b>   | <b>\$ 6,608,000</b>        | <b>\$ 6,312,279</b>       | <b>\$ 295,721</b> |

Of the total \$6.6 million available, departments spent \$6.3 million on training, technology, or purchases from IPI. The unspent balance of \$295,721 reverted to the General Fund at the close of FY 2015.

The attachment summarizes reports from State departments regarding the expenditure of the funds brought forward into FY 2015. Unspent balances are indicated by the department's name. Individual department reports are available on the LSA website, under Reports Filed With General Assembly: <https://www.legis.iowa.gov/publications/otherResources/reportsFiled>. Each department's report is entitled, "Reversions Report – Training and Technology Expenditures Report".

The DOT, under Iowa Code section [307.46\(2\)](#), brought forward \$500,000 to FY 2015 from the Road Use Tax Fund/Primary Road Fund appropriations. The DOT spent \$488,103 for desktop consumables, information technology professional services, training, and computer equipment. Pursuant to Iowa Code section [307.46\(1\)](#), the unspent balance of \$11,897 reverted to the Fund from which the FY 2014 appropriation was made.

Please contact Dave Reynolds at 515-281-6934 for further assistance.

**Report on Training and Technology Carry Forward Funds**  
Balances Brought Forward from FY 2014 to FY 2015

| <b>Department/ Appropriation</b>             | <b>Funds Available</b> | <b>Expended</b>      | <b>Use of Funds Summary</b>   |
|--|------------------------|----------------------|---|
| <b>ADMINISTRATION AND REGULATION</b>         |                        |                      |   |
| <b>Department of Administration (DAS)</b>    |                        |                      |   |
| DAS Operations                               | \$ 109,238.36          | \$ 109,238.36        | Purchase of computer hardware, software, and technology related training.   |
| Terrace Hill Operations                      | 7,750.74               | 7,750.74             | Computer costs and conference phone.  |
| <b>Total</b>                                 | <b>\$ 116,989.10</b>   | <b>\$ 116,989.10</b> |   |
| <b>Auditor of State</b>                      |                        |                      |   |
| General Office                               | \$ 270.52              | \$ 270.52            | Purchase of anti-virus software.  |
| <b>Total</b>                                 | <b>\$ 270.52</b>       | <b>\$ 270.52</b>     |   |
| <b>Governor's Office</b>                     |                        |                      |   |
| Governor/Lt. Governor's Office               | \$ 49,503.77           | \$ 33,085.40         | Computer hardware and software, and maintenance agreement fees for copiers. |
| <b>Total</b>                                 | <b>\$ 49,503.77</b>    | <b>\$ 33,085.40</b>  |   |
| <b>Department of Human Rights</b>            |                        |                      |   |
| Human Rights Administration                  | \$ 2,067.89            | \$ 798.00            | Prison Industries furniture.  |
| Community Advocacy and Services              | 52,049.43              | 27,786.42            | Website development and IT training.  |
| Criminal & Juvenile Justice                  | 205.12                 | 205.12               | IT equipment and software.  |
| <b>Total</b>                                 | <b>\$ 54,322.44</b>    | <b>\$ 28,789.54</b>  |   |
| <b>Department of Inspections and Appeals</b> |                        |                      |   |
| Child Advocacy Board                         | \$ 29,855.48           | \$ 29,855.48         | Technology upgrades and IT equipment replacement.                           |
| Employment Appeal Board                      | 2,115.41               | 1,916.57             |   |
| Administration Division                      | 39,486.04              | 5,265.98             |   |
| Administrative Hearings Division             | 15,613.18              | 15,613.18            |   |
| Investigations Division                      | 69,373.54              | 59,675.82            |   |
| Health Facilities Division                   | 179,872.03             | 77,763.26            |   |
| Food and Consumer Safety                     | 7,985.06               | 7,985.06             |   |
| <b>Total</b>                                 | <b>\$ 344,300.74</b>   | <b>\$ 198,075.35</b> |   |
| <b>Department of Management</b>              |                        |                      |   |
| Operations                                   | \$ 654.61              | \$ 654.61            | Local government computer programming expenses.                             |
| <b>Total</b>                                 | <b>\$ 654.61</b>       | <b>\$ 654.61</b>     |   |
| <b>Public Information Office</b>             |                        |                      |   |
| Operations                                   | \$ 25,546.39           | \$ 19,842.98         | IT software and supplies, telephone polycom line, and OCIO charges.         |
| <b>Total</b>                                 | <b>\$ 25,546.39</b>    | <b>\$ 19,842.98</b>  |   |

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| <b>Department/ Appropriation</b>                      | <b>Funds Available</b>      | <b>Expended</b>             | <b>Use of Funds Summary</b>  |
|---|-----------------------------|-----------------------------|--|
| <b>Department of Revenue</b>                          |                             |                             |  |
| Operations  | \$ 272,449.66               | \$ 272,449.66               | IT projects through OCIO: IDR Property Tax Reform, SAS Visual Analytics, Ag Land Credit.                                     |
| <b>Total</b>  | <u>\$ 272,449.66</u>        | <u>\$ 272,449.66</u>        |  |
| <b>Treasurer of State</b>                             |                             |                             |  |
| General Office  | \$ 16,245.64                | \$ -                        | Funds reverted to the General Fund.  |
| <b>Total</b>  | <u>\$ 16,245.64</u>         | <u>\$ -</u>                 |  |
| <b>TOTAL ADMINISTRATION AND REGULATION</b>            | <u><u>\$ 880,282.87</u></u> | <u><u>\$ 670,157.16</u></u> |  |
| <b><u>AGRICULTURE AND NATURAL RESOURCES</u></b>       |                             |                             |  |
| <b>Department of Agriculture and Land Stewardship</b> |                             |                             |  |
| Administration Division                               | \$ 3,906.95                 | \$ 3,906.95                 | Purchase desk top computers.   |
| <b>Total</b>  | <u>\$ 3,906.95</u>          | <u>\$ 3,906.95</u>          |  |
| <b>TOTAL AGRICULTURE AND NATURAL RESOURCES</b>        | <u><u>\$ 3,906.95</u></u>   | <u><u>\$ 3,906.95</u></u>   |  |
| <b><u>ECONOMIC DEVELOPMENT</u></b>                    |                             |                             |  |
| <b>Public Employment Relations Board</b>              |                             |                             |  |
| General Office  | \$ 11,700.98                | \$ 11,700.98                | Conversion of existing microfiche data to a digital format. Purchased office furniture through Iowa Prison Industries (IPI). |
| <b>Total</b>  | <u>\$ 11,700.98</u>         | <u>\$ 11,700.98</u>         |  |
| <b>TOTAL ECONOMIC DEVELOPMENT</b>                     | <u><u>\$ 11,700.98</u></u>  | <u><u>\$ 11,700.98</u></u>  |  |
| <b><u>EDUCATION</u></b>                               |                             |                             |  |
| <b>Department for the Blind</b>                       |                             |                             |  |
| Operations  | \$ 5,733.19                 | \$ 5,733.19                 | Purchase of a Braille Edge 40 and computer hardware.   |
| <b>Total</b>  | <u>\$ 5,733.19</u>          | <u>\$ 5,733.19</u>          |  |
| <b>Board of Regents</b>                               |                             |                             |  |
| Regents Board Office                                  | \$ 1,383.58                 | \$ 1,383.58                 | Purchase of computer hardware.   |
| <b>Total</b>  | <u>\$ 1,383.58</u>          | <u>\$ 1,383.58</u>          |  |
| <b>TOTAL EDUCATION</b>                                | <u><u>\$ 7,116.77</u></u>   | <u><u>\$ 7,116.77</u></u>   |  |

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| <b>Department/ Appropriation</b>           | <b>Funds Available</b> | <b>Expended</b>        | <b>Use of Funds Summary</b>  |
|--|------------------------|------------------------|--|
| <b><u>HEALTH &amp; HUMAN SERVICES</u></b>  |                        |                        |  |
| <b>Department of Human Services</b>        |                        |                        |  |
| General Administration                     | \$ 559,995.13          | \$ 559,995.13          | Costs related to purchase and support of servers, printers, computer networks, and purchasing administration fees.   |
| Field Operations                           | 3,758,740.94           | 3,758,740.94           | Costs related to purchase and support of servers, printers, computer networks, charges for the Eligibility Integrated Application Solution (ELIAS) system, training, and IT technical consultants. |
| Eldora                                     | 3,382.51               | 3,382.51               | Purchase of desktop computers and notebooks.   |
| Civil Commitment Unit for Sexual Offenders | 1,235.39               | 1,235.39               | Purchase of housekeeping supplies through IPI.   |
| Cherokee                                   | 23,178.18              | 23,178.18              | Purchase of housekeeping supplies and mattresses through IPI.  |
| Clarinda                                   | 53,598.14              | 46,655.43              | Costs for server maintenance, server software, and printer supplies.   |
| Independence                               | 4,829.28               | 4,829.28               | Purchase of housekeeping supplies through IPI.   |
| Mt. Pleasant                               | 41,574.31              | 41,416.55              | Costs related to purchase of software, software license agreements, IT supplies, and facility supplies through IPI.  |
| <b>Total</b>                               | <u>\$ 4,446,533.88</u> | <u>\$ 4,439,433.41</u> |  |
| <b>TOTAL HUMAN SERVICES</b>                | <u>\$ 4,446,533.88</u> | <u>\$ 4,439,433.41</u> |  |

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|-------------------------------------|------------------------|----------------------|--|
| <b><u>JUSTICE SYSTEM</u></b>        |                        |                      |  |
| <b>Iowa Civil Rights Commission</b> |                        |                      |  |
| Operations                          | \$ 44,873.42           | \$ 44,873.42         | Costs for computer hardware, software, and IPI purchases.  |
| <b>Total</b>                        | <u>\$ 44,873.42</u>    | <u>\$ 44,873.42</u>  |  |
| <b>Department of Corrections</b>    |                        |                      |  |
| CBC District 1                      | \$ 50,023.38           | \$ 50,023.38         | Technology enhancements and IPI purchases.   |
| CBC District 3                      | 114,344.38             | 114,344.38           | Purchase of security cameras, software upgrades, upgrade of existing servers, replace outdated desktops, and IPI supplies.   |
| CBC District 5                      | 190,172.69             | 190,172.69           | Cost associated with staff IT training; security cameras; Timecard System upgrade; upgrade of timeclocks; upgrade of servers, desktops, printers; and HR system programming. |
| CBC District 6                      | 121,963.51             | 121,963.51           | Cost associated with staff IT training, building cameras, control centers, phone system upgrades, and network switches.  |
| CBC District 7                      | 250,193.68             | 171,699.00           | Continued network upgrading, building security upgrades, building automation upgrades, replacing obsolete furniture, and computer lab hardware for training purposes.        |
| CBC District 8                      | 100,580.00             | 100,580.00           | Purchase of mattresses, pillows, wardrobes, chairs, etc. through IPI for the facilities and probation offices; and purchase of new camera systems, computers and printers.   |
| Central Office                      | 159.18                 | 159.18               | Purchase computer monitors.  |
| Oakdale                             | 5,649.96               | 5,649.96             | Server upgrade and purchase of various items from IPI.   |
| Mt. Pleasant                        | 31,844.29              | 31,844.29            | Purchase IT hardware and software.   |
| Rockwell City                       | 501.52                 | 501.52               | Purchase of software updates and ethernet converter.   |
| Clarinda                            | 166,230.10             | 166,230.10           | Purchase of DVR system, laptops, monitors, desktops, tablets, phones, toner, Bullex System, and Inventory Software System.   |
| Ft Dodge                            | 1,000.00               | 1,000.00             | Purchase computer monitors.  |
| <b>Total</b>                        | <u>\$ 1,032,662.69</u> | <u>\$ 954,168.01</u> |  |

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|--|-------------------------------|-------------------------------|--|
| <b>Department of Inspections and Appeals</b> |                               |                               |  |
| Office of the State Public Defender          | \$ 60,057.07                  | \$ 60,057.07                  | The funds were expended for software development costs to upgrade the claims review software system, including the development of an online claim submission system, and for information technology consulting services. |
| <b>Total</b>                                 | <u>\$ 60,057.07</u>           | <u>\$ 60,057.07</u>           |  |
| <b>Department of Public Defense</b>          |                               |                               |  |
| Military Division                            | \$ 4,000.00                   | \$ 4,000.00                   | Funds were used for internet-based training and IT enhancements.   |
| <b>Total</b>                                 | <u>\$ 4,000.00</u>            | <u>\$ 4,000.00</u>            |  |
| <b>Department of Public Safety</b>           |                               |                               |  |
| Administration                               | \$ 1,579.20                   | \$ 1,579.20                   | For costs associated with the purchase of a printer.   |
| Investigations - DCI                         | 49,363.01                     | 49,363.01                     | For costs associated with the purchase of laptop computer and accessories, and scanners.   |
| Narcotics Enforcement                        | 13,361.92                     | 13,361.92                     | For costs associated with the purchase of laptop computer and accessories.   |
| Fire Marshall                                | 9,061.68                      | 9,061.68                      | For costs associated with supplies, furniture, equipment from IPI.   |
| Highway Patrol                               | 22,566.09                     | 22,566.09                     | For costs associated with the purchase of computers and items purchased through IPI.   |
| <b>Total</b>                                 | <u>\$ 95,931.90</u>           | <u>\$ 95,931.90</u>           |  |
| <b>Judicial Branch</b>                       |                               |                               |  |
| General                                      | \$ 20,933.08                  | \$ 20,933.08                  | Funds were used to purchase 43 digital video recorders and tripods.  |
| <b>Total</b>                                 | <u>\$ 20,933.08</u>           | <u>\$ 20,933.08</u>           |  |
| <b>TOTAL JUSTICE SYSTEMS</b>                 | <u>\$ 1,258,458.16</u>        | <u>\$ 1,179,963.48</u>        |  |
| <b>GRAND TOTAL</b>                           | <u><u>\$ 6,607,999.61</u></u> | <u><u>\$ 6,312,278.75</u></u> |  |