

agency complying with the interstate compact on placement of31
children in Code section 232.158, or a person petitioning for32
an independent placement according to the provisions of Code33
chapter 600.34

In order to be "qualified adoption expenses" the expenses35

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must be paid or incurred by the taxpayer during the tax year,1
unreimbursed, and connected with the adoption. "Qualified2
adoption expenses" include medical and hospital expenses of3
the biological mother which are incident to the birth of4

the adopted child, welfare agency and legal fees, and all5
other fees and costs relating to the adoption of the child.6
"Qualified adoption expenses" does not include expenses paid or7
incurred in violation of state or federal law.8

Under Iowa law, a taxpayer may claim an itemized deduction9
for the amount of certain adoption expenses that exceed 310
percent of the net income of the taxpayer. The bill provides11

that taxpayers who claim the itemized deduction are required¹²
to reduce that deduction by the amount of expenses used to¹³
calculate the adoption tax credit.¹⁴

Any credit in excess of the taxpayer's liability is¹⁵
refundable or may be carried forward one tax year at the¹⁶
election of the taxpayer.¹⁷

The bill applies retroactively to tax years beginning on or¹⁸
after January 1, 2014.¹⁹

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House Study Bill 687 - Introduced

HOUSE FILE _____

BY (PROPOSED COMMITTEE ON

WAYS AND MEANS BILL BY

CHAIRPERSON SANDS)

A BILL FOR

An Act relating to the assessment of certain housing rented or leased to low-income individuals and families and including applicability provisions.
BE IT ENACTED BY THE GENERAL ASSEMBLY OF THE STATE OF IOWA:

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Section 1. Section 426C.4, subsection 1, paragraph b,1 subparagraph (1), Code 2014, is amended to read as follows:2
(1) Property that is rented or leased to low-income3 individuals and families as authorized by section 42 of the4

Internal Revenue Code, as amended, and that is subject to5 assessment procedures relating to section 42 property under6 section 441.21, subsection 2, for the applicable assessment7 year.8

Sec. 2. Section 441.21, subsection 2, Code 2014, is amended9 to read as follows:10

2. In the event market value of the property being assessed11 cannot be readily established in the foregoing manner, then12 the assessor may determine the value of the property using13 the other uniform and recognized appraisal methods including14

its productive and earning capacity, if any, industrial¹⁵
conditions, its cost, physical and functional depreciation¹⁶
and obsolescence and replacement cost, and all other factors¹⁷
which would assist in determining the fair and reasonable¹⁸
market value of the property but the actual value shall not¹⁹
be determined by use of only one such factor. The following²⁰
shall not be taken into consideration: Special value or use²¹
value of the property to its present owner, and the goodwill or²²
value of a business which uses the property as distinguished²³
from the value of the property as property. However, in²⁴
assessing property that is rented or leased to low-income²⁵
individuals and families as authorized by section 42 of the²⁶
Internal Revenue Code, as amended, and which section limits²⁷
the amount that the individual or family pays for the rental²⁸
or lease of units in the property, the assessor shall, unless²⁹
the owner elects to withdraw the property from the assessment³⁰
procedures for section 42 property, use the productive and³¹
earning capacity from the actual rents received as a method of³²
appraisal and shall take into account the extent to which that³³
use and limitation reduces the market value of the property.³⁴
The assessor shall not consider any tax credit equity or other³⁵

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subsidized financing as income provided to the property in1
 determining the assessed value. The property owner shall2
 notify the assessor when property is withdrawn from section 423
 eligibility under the Internal Revenue Code or if the owner4

elects to withdraw the property from the assessment procedures5
 for section 42 property under this subsection. The property6
 shall not be subject to section 42 assessment procedures7
 for the assessment year for which section 42 eligibility is8

withdrawn or an election is made. This notification must9
 be provided to the assessor no later than March 1 of the10

assessment year or the owner will be subject to a penalty of11

five hundred dollars for that assessment year. The penalty12

shall be collected at the same time and in the same manner13

as regular property taxes. An election to withdraw from the14

assessment procedures for section 42 property is irrevocable15

for as long as the property is owned by the owner who made the16

election. Upon adoption of uniform rules by the department17

of revenue or succeeding authority covering assessments and¹⁸
valuations of such properties, the valuation on such properties¹⁹
shall be determined in accordance with such rules and in²⁰
accordance with forms and guidelines contained in the real²¹
property appraisal manual prepared by the department as updated²²
from time to time for assessment purposes to assure uniformity,²³
but such rules, forms, and guidelines shall not be inconsistent²⁴
with or change the foregoing means of determining the actual,²⁵
market, taxable and assessed values.²⁶

Sec. 3. APPLICABILITY. This Act applies to assessment years²⁷
beginning on or after January 1, 2015.²⁸

EXPLANATION²⁹

**The inclusion of this explanation does not constitute agreement with³⁰
the explanation's substance by the members of the general assembly.³¹**

Current Code section 441.21(2) provides that in assessing³²
property that is rented or leased to low-income individuals³³
and families as authorized by section 42 of the Internal³⁴
Revenue Code, the assessor shall use the productive and³⁵

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earning capacity from the actual rents received as a method of¹
 appraisal and shall take into account the extent to which that²
 use and limitation reduces the market value of the property.³
 Code section 441.21 also provides that the assessor shall not⁴

consider any tax credit equity or other subsidized financing⁵
 as income provided to the property in determining the assessed⁶
 value. Under current law, such property is no longer subject⁷
 to the section 42 assessment procedures when the property is no⁸

longer eligible under section 42 of the Internal Revenue Code⁹
 and notice is provided to the assessor.¹⁰

This bill allows a section 42 property owner to elect to¹¹
 withdraw the eligible property from the section 42 assessment¹²
 procedures by filing a notice with the assessor no later than¹³
 March 1 of the assessment year. An election to withdraw¹⁴
 from the assessment procedures for section 42 property is¹⁵
 irrevocable for as long as the property is owned by the owner¹⁶
 who made the election.¹⁷

The bill applies to property tax assessment years beginning¹⁸
 on or after January 1, 2015.¹⁹

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Senate File 2348 - Introduced

SENATE FILE 2348

BY COMMITTEE ON WAYS AND MEANS

(SUCCESSOR TO SF 2331)

A BILL FOR

An Act relating to the approval and imposition of local option1
taxes.2

BE IT ENACTED BY THE GENERAL ASSEMBLY OF THE STATE OF IOWA:3

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Section 1. Section 423B.1, subsection 1, Code 2014, is amended by striking the subsection and inserting in lieu thereof the following:

1. *a.* A county may impose by ordinance of the board of

supervisors the local vehicle tax authorized by this chapter, subject to this section.

b. A city or county may impose by ordinance the local sales and services tax authorized by this chapter, subject to this

section.

Sec. 2. Section 423B.1, subsection 2, paragraph b,

subparagraph (3), Code 2014, is amended to read as follows:

(3) The tax once imposed shall continue to be imposed until

the county-imposed tax is reduced or increased in rate or

repealed, and then the city-imposed tax shall also be reduced

or increased in rate or repealed in the same amount and be

effective on the same date.

Sec. 3. Section 423B.1, subsection 3, Code 2014, is amended

to read as follows:

3. *a.* A local option tax shall be imposed only after an

election at which If a majority of those voting on the question

of imposition of a local option tax favors imposition and, the

local option tax shall then be imposed at the rate specified on

the ballot for an unlimited period until repealed as provided

in subsection 6, paragraph "a" this chapter.

b. If the tax is a local vehicle tax imposed by a county,25
it shall apply to all incorporated and unincorporated areas of26
the county.27

c. (1) (a) If the tax is a local sales and services tax28
imposed by a county that is a qualified county, it shall only29
apply to those incorporated areas and the unincorporated area30
of that county in which if a majority of those voting in the31
area on the tax favors its imposition.32

(b) If the tax is a local sales and services tax imposed by33
a city located in a county that is a qualified county, other34
than a city under subsection 2, it shall only apply to the city35

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if a majority of those voting in the city on the tax favors its1
imposition.2

(2) If the tax is a local sales and services tax imposed by a county that is not a qualified county, it shall only apply to those incorporated areas and the unincorporated area of that county in which a majority of those voting in the area on the tax favors its imposition. For purposes of the local sales and services tax imposed by a county that is not a qualified county, all cities contiguous to each other shall be treated as part of one incorporated area and the tax would be imposed in each of those contiguous cities only if the majority of those voting in the total area covered by the contiguous cities favors its imposition. In the case of a local sales and services tax submitted to the registered voters of two or more contiguous counties as provided in subsection 4, paragraph "c", all cities contiguous to each other shall be treated as part of one incorporated area, even if the corporate boundaries of one or more of the cities include areas of more than one county, and the tax shall be imposed in each of those contiguous cities only if a majority of those voting on the tax in the total area covered by the contiguous cities favored its imposition. For purposes of the local sales and services tax, a city is not contiguous to another city if the only road access between the two cities is through another state.

Sec. 4. Section 423B.1, Code 2014, is amended by adding the following new subsection:

NEW SUBSECTION. 3A. For purposes of this chapter,27
"qualified county" means a county with a population in excess of28
four hundred thousand or a county with a population of at least29
sixty thousand but not more than seventy thousand, according to30
the 2010 federal decennial census.31

Sec. 5. Section 423B.1, subsections 4 and 5, Code 2014, are32
amended to read as follows:33

4. a. (1) A The county board of supervisors shall direct34
within thirty days the county commissioner of elections to35

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submit the question of imposition of a local vehicle tax or1
a local sales and services tax to the registered voters of2
the incorporated and unincorporated areas of the county upon3
receipt of a petition, requesting imposition of a local vehicle4
tax or a local sales and services tax, signed by eligible5

electors of the whole county equal in number to five percent of6
the persons in the whole county who voted at the last preceding7
general election. In the case of a local vehicle tax, the The8

petition requesting imposition shall specify the rate of tax9
and the classes, if any, that are to be exempt. If more than10

one valid petition is received, the earliest received petition11

shall be used.12

(2) The county board of supervisors for a qualified county13

shall direct within thirty days the county commissioner of14

elections to submit the question of imposition of a local15

sales and services tax to the registered voters of a city or16

to the registered voters of the unincorporated area of the17

county upon receipt by the board of supervisors of a petition,18

requesting imposition of a local sales and services tax, signed19

by eligible electors of the city or eligible electors of the20

unincorporated area of the county, as applicable, equal in21

number to five percent of the persons in the applicable city22

or unincorporated area of the county who voted at the last23

preceding general election. If more than one valid petition24

is received for a city or for the unincorporated area of the25

county, the earliest received petition shall be used.26

(3) A county board of supervisors for a county that is not27

a qualified county shall direct within thirty days the county28

within the county or of the county for the unincorporated area⁹
of the county. Upon adoption of such motion, the governing¹⁰
body of the city or county for the unincorporated area, shall¹¹
submit the motion to the county commissioner of elections. The¹²
county commissioner of elections shall publish notice of the¹³
ballot proposition concerning the imposition of the local sales¹⁴
and services tax. The manner provided under this subparagraph¹⁵
for the submission of the question of imposition of a local¹⁶
sales and services tax is an alternative to the manner provided¹⁷
in paragraph "a", subparagraph (2).¹⁸

(2) The question of the imposition of a local sales and¹⁹
services tax in a county that is not a qualified county shall²⁰
be submitted to the registered voters of the incorporated and²¹
unincorporated areas of the county upon receipt by the county²²
commissioner of elections of the motion or motions, requesting²³
such submission, adopted by the governing body or bodies of the²⁴
city or cities located within the county or of the county, for²⁵
the unincorporated areas of the county, representing at least²⁶
one half of the population of the county. Upon adoption of²⁷
such motion, the governing body of the city or county, for the²⁸
unincorporated areas, shall submit the motion to the county²⁹
commissioner of elections and in the case of the governing³⁰

body of the city shall notify the board of supervisors of the
 adoption of the motion. The county commissioner of elections
 shall keep a file on all the motions received and, upon
 reaching the population requirements, shall publish notice of
 the ballot proposition concerning the imposition of the local

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sales and services tax. A motion ceases to be valid at the
 time of the holding of the regular election for the election of
 members of the governing body which that adopted the motion.
 The county commissioner of elections shall eliminate from the

file any motion that ceases to be valid. The manner provided
 under this paragraph subparagraph for the submission of the
 question of imposition of a local sales and services tax
 is an alternative to the manner provided in paragraph "a",

subparagraph (3).

c. Upon receipt of petitions or motions calling for the

submission of the question of the imposition of a local sales

and services tax as described in paragraph "a" or "b", the
boards of supervisors of two or more contiguous counties in
which the question is to be submitted may enter into a joint
agreement providing that for purposes of this chapter, a
city whose corporate boundaries include areas of more than
one county shall be treated as part of the county in which a
majority of the residents of the city reside. In such event,
the county commissioners of elections from each such county
shall cooperate in the selection of a single date upon which
the election shall be held, and for all purposes of this
chapter relating to the imposition, repeal, change of use,
or collection of the tax, such a city shall be deemed to be
part of the county in which a majority of the residents of the
city reside. A copy of the joint agreement shall be provided
promptly to the director of revenue.

5. *a.* The county commissioner of elections shall submit
the question of imposition of a local option tax at an election
held on a date specified in section 39.2, subsection 4,
paragraph "a" or "b", as applicable. The election shall not be
held sooner than sixty days after publication of notice of the
ballot proposition.

b. The ballot proposition shall specify the type and rate of

tax.15

d. The rate of a local sales and services tax shall not be16
more than one percent as set by the governing body.17

e. The state commissioner of elections shall establish by18
rule the form for the ballot proposition which form shall be19
uniform throughout the state.20

Sec. 6. Section 423B.1, subsection 6, paragraph a,21
subparagraph (1), Code 2014, is amended by striking the22
subparagraph.23

Sec. 7. Section 423B.1, subsection 6, paragraph a,24
subparagraphs (2) and (3), Code 2014, are amended to read as25
follows:26

(2) (a) The A local option tax may be repealed or the27
rate of the local vehicle tax increased or decreased or the28
use thereof of a local option tax changed after an election at29
which a majority of those voting on the question of repeal or30
rate or use change favored favors the repeal or rate or use31
change.32

(b) The date on which the repeal, rate, or use change is33
to take effect shall not be earlier than ninety days following34
the election. The election at which the question of repeal35

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or rate or use change is offered shall be called and held in1
the same manner and under the same conditions as provided in2
subsections 4 and 5 for the election on the imposition of the3
local option tax. However, in the case of a local sales and4

services tax where the tax has not been imposed countywide, the5
question of repeal or imposition or rate or use change shall6
be voted on only by the registered voters of the areas of the7
county where the tax has been imposed or has not been imposed,8

as appropriate.9

(c) However, the The governing body of the incorporated10

area city or unincorporated area where the local sales and11

services tax is imposed may, upon its own motion, request the12

county commissioner of elections to hold an election in the13

incorporated city or unincorporated area, as appropriate, on14

the question of the change in use of local sales and services15

tax revenues. The election may be held at any time but not16

sooner than sixty days following publication of the ballot17

proposition. If a majority of those voting in the incorporated18
city or unincorporated area on the change in use favors the19
change, the governing body of that city or area shall change20
the use to which the revenues shall be used. The ballot21
proposition shall list the present use of the revenues, the22
proposed use, and the date after which revenues received will23
be used for the new use.24

(3) When submitting the question of the imposition of a25
local sales and services tax, the county board of supervisors26
of a county that is not a qualified county or the board of27
supervisors or the governing body of the city, as applicable,28
in a qualified county may direct that the question contain a29
provision for the repeal, without election, of the local sales30
and services tax on a specific date, which date shall be as31
provided in section 423B.6, subsection 1.32

Sec. 8. Section 423B.1, subsection 7, paragraph b, Code33
2014, is amended to read as follows:34

b. Costs of local option tax elections shall be apportioned35

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among jurisdictions within the county voting on the question1
 at the same election on a pro rata basis in proportion to the2
 number of registered voters in each taxing jurisdiction voting3
 on the question and the total number of registered voters in4

all of the taxing jurisdictions voting on the question.5
 Sec. 9. Section 423B.1, subsection 8, Code 2014, is amended6
 by striking the subsection.7

Sec. 10. Section 423B.1, subsections 9 and 10, Code 2014,8

are amended to read as follows:9

9. *a.* In a county that has imposed a local option sales10

and services tax that is not a qualified county, the board11

of supervisors shall, notwithstanding any contrary provision12

of this chapter, repeal the local option sales and services13

tax in the unincorporated areas or in an incorporated city14

area in which the tax has been imposed upon adoption of its15

the board's own motion for repeal in the unincorporated areas16

or upon receipt of a motion adopted by the governing body of17

that incorporated city area requesting repeal. The board of18

supervisors shall repeal the local option sales and services19

tax effective on the later of the date of the adoption of the20

repeal motion or the earliest date specified in section 423B.6,21
subsection 1. For purposes of this subsection paragraph,22
incorporated city area includes an incorporated city which is23
contiguous to another incorporated city.24

b. For a qualified county:25

(1) In a city that has imposed a local sales and services26
tax, the governing body of the city shall, notwithstanding any27
contrary provision of this chapter, repeal the local sales28
and services tax in the city upon adoption of its own motion29
for repeal. The governing body of the city shall repeal the30
local sales and services tax effective on the later of the31
date of the adoption of the repeal motion or the earliest date32
specified in section 423B.6, subsection 1.33

(2) In the unincorporated area of the county where the34
local sales and services tax has been imposed, the board of35

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supervisors shall, notwithstanding any contrary provision of1
this chapter, repeal the local sales and services tax in the2
unincorporated area of the county upon adoption of its own3
motion for repeal. The board of supervisors shall repeal the4

local sales and services tax effective on the later of the5
date of the adoption of the repeal motion or the earliest date6
specified in section 423B.6, subsection 1.7

10. Notwithstanding subsection 9 or any other contrary8

provision of this chapter, a local option sales and services9
tax shall not be repealed or reduced in rate if obligations are10

outstanding which are payable as provided in section 423B.9,11

unless funds sufficient to pay the principal, interest, and12

premium, if any, on the outstanding obligations at and prior13

to maturity have been properly set aside and pledged for that14

purpose.15

Sec. 11. Section 423B.5, Code 2014, is amended to read as16

follows:17

423B.5 Local sales and services tax.18

1. A local sales and services tax at the rate of not more19

than one percent may be imposed by a city or county pursuant20

to this chapter shall be imposed on the sales price taxed21

by the state under chapter 423, subchapter II. A local sales22

and services tax shall be imposed on the same basis as the23

state sales and services tax or in the case of the use of
 natural gas, natural gas service, electricity, or electric
 service on the same basis as the state use tax and shall not
 be imposed on the sale of any property or on any service
 taxed by the state, except the tax shall not be imposed on
 the sales price from the sale of motor fuel or special fuel
 as defined in chapter 452A which is consumed for highway use
 or in watercraft or aircraft if the fuel tax is paid on the
 transaction and a refund has not or will not be allowed, on the
 sales price from the sale of equipment by the state department
 of transportation, or on the sales price from the sale or use
 of natural gas, natural gas service, electricity, or electric

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service in a city or county where the sales price from the sale

of natural gas or electric energy is subject to a franchise²
fee or user fee during the period the franchise or user fee³
is imposed. A local sales and services tax is applicable⁴
to transactions within those incorporated and the city or⁵
unincorporated areas area of the county where it is imposed and⁶
shall be collected by all persons required to collect state⁷
sales taxes. However, a local sales and services tax is not⁸
applicable to transactions of a retailer holding a retail sales⁹
tax permit at a place of business, as defined in section 423.1,¹⁰
if the retailer's place of business is located in part within¹¹
a city or unincorporated area of the county where the tax is¹²
not imposed. All cities contiguous to each other shall be¹³
treated as part of one incorporated area and the tax would be¹⁴
imposed in each of those contiguous cities only if the majority¹⁵
of those voting in the total area covered by the contiguous¹⁶
cities favors its imposition. In the case of a local sales and¹⁷
services tax submitted to the registered voters of two or more¹⁸
contiguous counties as provided in section 423B.1, subsection¹⁹
4, paragraph "c", all cities contiguous to each other shall be²⁰
treated as part of one incorporated area, even if the corporate²¹
boundaries of one or more of the cities include areas of more²²
than one county, and the tax shall be imposed in each of those²³
contiguous cities only if a majority of those voting on the tax²⁴
in the total area covered by the contiguous cities favored its²⁵
imposition.²⁶

2. The amount of the sale, for purposes of determining the amount of the local sales and services tax, does not include the amount of any state sales tax.

3. A tax permit other than the state sales tax permit required under section 423.36 shall not be required by local authorities.

4. If a local sales and services tax is imposed by a city or county pursuant to this chapter, a local excise tax at the same rate shall be imposed by the city or county on the purchase

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price of natural gas, natural gas service, electricity, or electric service subject to tax under chapter 423, subchapter III, and not exempted from tax by any provision of chapter 423, subchapter III. The local excise tax is applicable only

to the use of natural gas, natural gas service, electricity,

or electric service within those incorporated cities and
unincorporated areas of the county where it is imposed
and, except as otherwise provided in this chapter, shall be
collected and administered in the same manner as the local
sales and services tax. For purposes of this chapter, "*local*
sales and services tax" shall also include the local excise tax.

Sec. 12. Section 423B.6, subsection 1, paragraphs b and c,
Code 2014, are amended to read as follows:

b. A local sales and services tax shall be repealed only
on June 30 or December 31 but not sooner than ninety days
following the favorable election if one is held. However, a
local sales and services tax shall not be repealed before the
tax has been in effect for one year. At least forty days before
the imposition or repeal of the tax, a the city or county, as
applicable, shall provide notice of the action by certified
mail to the director of revenue.

c. The imposition of or a rate change for a local sales and
services tax shall not be applied to purchases from a printed
catalog wherein a purchaser computes the local tax based on
rates published in the catalog unless a minimum of one hundred
twenty days' notice of the imposition or rate change has been
given to the seller from the catalog and the first day of a
calendar quarter has occurred on or after the one hundred

twentieth day.29

Sec. 13. Section 423B.6, subsection 2, paragraph b, Code30
2014, is amended to read as follows:31

b. The ordinance of a governing body of a city or county32
board of supervisors imposing a local sales and services33
tax shall adopt by reference the applicable provisions of34
the appropriate sections of chapter 423. All powers and35

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requirements of the director to administer the state sales tax1
law and use tax law are applicable to the administration of2
a local sales and services tax law and the local excise tax,3
including but not limited to the provisions of section 422.25,4

subsection 4, sections 422.30, 422.67, and 422.68, section5
422.69, subsection 1, sections 422.70 through 422.75, section6
423.14, subsection 1 and subsection 2, paragraphs "b"through7
"e", and sections 423.15, 423.23, 423.24, 423.25, 423.318

through 423.35, 423.37 through 423.42, 423.46, and 423.47.9
Local officials shall confer with the director of revenue for10
assistance in drafting the ordinance imposing a local sales and11
services tax. A certified copy of the ordinance shall be filed12
with the director as soon as possible after passage.13

Sec. 14. Section 423B.6, subsection 3, paragraph b, Code14
2014, is amended to read as follows:15

b. All local tax moneys and interest and penalties received16
or refunded one hundred eighty days or more after the date17
on which the city or county repeals its the local sales and18
services tax shall be deposited in or withdrawn from the state19
general fund.20

Sec. 15. Section 423B.7, subsection 1, paragraph a, Code21
2014, is amended to read as follows:22

a. Except as provided in paragraph "*b*", the director shall23
credit the local sales and services tax receipts and interest24
and penalties from a county-imposed tax to the county's account25
in the local sales and services tax fund for the county in26
which the tax was collected and from a city-imposed tax under27
section 423B.1, subsection 2, to the city's account in the28
local sales and services tax fund. If the director is unable29
to determine from which city or county any of the receipts were30

collected, those receipts shall be allocated among the possible31
 cities or counties based on allocation rules adopted by the32
 director.33

Sec. 16. Section 423B.8, subsection 1, paragraph a, Code34
 2014, is amended to read as follows:35

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LSB 5639SV (3) 85

md/sc 12/15

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Image 126

S.F. 2348

a. The goods, wares, or merchandise are incorporated into1
 an improvement to real estate in fulfillment of a written2
 contract fully executed prior to the date of the imposition or3
 increase in rate of a local sales and services tax under this4

chapter. The refund shall not apply to equipment transferred5
 in fulfillment of a mixed construction contract.6

Sec. 17. Section 423B.10, subsection 1, paragraph b, Code7
 2014, is amended to read as follows:8

b. "Eligible city" means a city in which a local sales and9
 services tax imposed by the city or county applies or a city10

described in section 423B.1, subsection 2, paragraph "a", and in11

which an urban renewal area has been designated.12

Sec. 18. IMPLEMENTATION. This Act shall not affect the13
imposition of local option taxes in effect on the effective14
date of this Act and such taxes shall continue to be imposed15
until their repeal pursuant to chapter 423B. The law in effect16
at the time of the repeal governs the repeal of the local17
option taxes.18

EXPLANATION19

**The inclusion of this explanation does not constitute agreement with20
the explanation's substance by the members of the general assembly.21**

This bill relates to the approval and imposition of local22
option taxes. Current Code chapter 423B authorizes the23
imposition of local option taxes, including a local option24
sales and services tax. Currently, such a proposed tax is25
only presented to the voters of a whole county upon the filing26
of a petition signed by eligible electors of the county equal27
in number to 5 percent of the persons in the county who voted28
at the last preceding general election or upon receipt by the29
county commissioner of elections of motions requesting such30
submission, adopted by the governing bodies of the cities31
located within the county or the governing body of the county32
for the unincorporated area of the county, representing at33

least one-half of the population of the county.³⁴

The bill amends the methods of seeking presentment of the³⁵

-13-

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Image 127

S.F. 2348

local option sales and services tax to the voters of certain¹
qualified counties by allowing the eligible electors of²
individual cities and the unincorporated area of a county to³
file a petition for an election to be held in the petitioning⁴

jurisdiction if such petition is signed by eligible electors⁵
of the city or the unincorporated area of the county, as⁶
applicable, equal in number to 5 percent of the persons in⁷
the applicable city or unincorporated area of the county who⁸

voted at the last preceding general election. The bill defines⁹
"qualified county" to mean a county with a population in¹⁰

excess of 400,000 or a county with a population of at least¹¹

60,000 but not more than 70,000, according to the 2010 federal¹²

decennial census. For those qualified counties, the bill also¹³

removes the requirement that in order to have the local sales¹⁴

and services tax presented to the voters, motions must be¹⁵
approved by cities or the county for the unincorporated area,¹⁶
representing at least one-half of the county's population.¹⁷
Instead, the bill allows individual cities or the county for¹⁸
the unincorporated area within a qualified county to approve a¹⁹
motion for an election on the local sales and services tax to²⁰
be held in only that jurisdiction.²¹

The bill provides that in a qualified county a city or the²²
board of supervisors for the unincorporated area of a county²³
may repeal the local sales and services tax in the city upon²⁴
adoption of its own motion for repeal.²⁵

For those counties that are not qualified counties, the²⁶
methods of seeking presentment of the local option sales and²⁷
services tax to the voters and the methods for repealing such²⁸
a tax remain the same as required under current Code chapter²⁹
423B.³⁰

The bill strikes special provisions related to the approval³¹
and imposition of a local sales and services tax in a city that³²
is located in two or more contiguous counties.³³

The bill provides that a local sales and services tax is³⁴
not applicable to transactions of a retailer holding a retail³⁵

LSB 5639SV (3) 85

md/sc 14/15

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Image 128

S.F. 2348

sales tax permit at a place of business if the retailer's place1
of business is located in part within a city or unincorporated2
area of the county where the tax is not imposed.3
Current Code chapter 423B authorizes the imposition of a4

local sales and services tax at a rate of not more than 15
percent. The bill requires a local sales and services tax, if6
imposed, to be 1 percent.7

The bill provides that the imposition of local option8

taxes in effect on the effective date of this Act shall not9
be affected by the bill and such taxes shall continue to be10

imposed until their repeal pursuant to Code chapter 423B. The11

law in effect at the time of the repeal governs the repeal of12

the local option taxes.13

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LSB 5639SV (3) 85

md/sc 15/15

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Image 129

Senate File 2349 - Introduced

SENATE FILE 2349

BY COMMITTEE ON APPROPRIATIONS

(SUCCESSOR TO SSB 3215)

A BILL FOR

An Act relating to and making appropriations to state1
 departments and agencies from the rebuild Iowa2
 infrastructure fund, the technology reinvestment fund,3
 and the revenue bonds capitals II fund, and providing for4

related matters, and including effective date provisions.5

BE IT ENACTED BY THE GENERAL ASSEMBLY OF THE STATE OF IOWA:6

TLSB 5010SV (2) 85

rh/tm

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Image 130

S.F. 2349

DIVISION I1

REBUILD IOWA INFRASTRUCTURE FUND2

Section 1. There is appropriated from the rebuild Iowa3
infrastructure fund to the following departments and agencies4

for the following fiscal years, the following amounts, or so5
much thereof as is necessary, to be used for the purposes6
designated:7

1. DEPARTMENT OF ADMINISTRATIVE SERVICES8

For projects related to routine maintenance of state9
buildings and facilities:10

FY 2014-2015:11

..... \$ 1,000,00012

2. DEPARTMENT OF CULTURAL AFFAIRS13

For deposit in the Iowa great places program fund created in14
section 303.3D for Iowa great places program projects that meet15
the definition of "vertical infrastructure" in section 8.57,16
subsection 5:17

FY 2014-2015:18

..... \$ 1,000,00019

3. ECONOMIC DEVELOPMENT AUTHORITY20

a. For equal distribution to regional sports authority21
districts certified by the economic development authority22
pursuant to section 15E.321, notwithstanding section 8.57,23
subsection 5, paragraph "c":24

FY 2014-2015:25

..... \$ 500,00026

b. For renovations, expansions, and enhancements to27
facilities for an adult day program at a year-round camp28
for persons with disabilities in a central Iowa city with a29
population between 195,000 and 205,000 as determined by the30
2010 federal decennial census:31

FY 2014-2015:32

..... \$ 250,00033

c. For administration and support of the world food prize34
including the Borlaug/Ruan scholar program, notwithstanding35

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rh/tm 1/21

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Image 131

S.F. 2349

section 8.57, subsection 5, paragraph "c":1
FY 2014-2015:2

..... \$ 100,0003

d. For grants to nonprofit organizations committed to4

strengthening the community through youth development, healthy5
living, and social responsibility in a city with a population6
of less than 26,000 as determined by the 2010 federal7
decennial census, for costs associated with the renovation and8

maintenance of facility infrastructure:9
FY 2015-2016:10

..... \$ 500,00011

e. For the purchase and renovation of existing buildings12
to relocate programs of a nonprofit organization dedicated13
to eliminating homelessness among children, youth, and young14
mothers in a county with a population between 400,000 and15
450,000 as determined by the 2010 federal decennial census:16

FY 2014-2015:17

..... \$ 250,00018

f. For costs associated with the hosting of a national golf19
tournament by a nonprofit professional sports organization,20
notwithstanding section 8.57, subsection 5, paragraph "c":21

FY 2014-2015:22

..... \$ 500,00023

FY 2015-2016:24

..... \$ 500,00025

4. DEPARTMENT OF EDUCATION26

For deposit in the apprenticeship training program fund27
created pursuant to 2014 Iowa Acts, Senate File 2317, if28

450,000 as determined by the 2010 federal decennial census:10

FY 2014-2015:11

..... \$ 3,000,00012

FY 2015-2016:13

..... \$ 3,000,00014

b. For the renovation and construction of certain nursing15 facilities, consistent with the provisions of chapter 249K:16

FY 2014-2015:17

..... \$ 500,00018

c. For a grant to a nonprofit agency that provides19 innovative solutions to children and adults with autism in a20 city with a population between 14,500 and 15,500 as determined21 by the 2010 federal decennial census for costs associated with22 the acquisition of or improvements to facilities:23

FY 2014-2015:24

..... \$ 825,00025

d. For a grant to a community-based nonprofit agency located26 in a central Iowa city with a population between 195,000 and27 205,000 as determined by the 2010 federal decennial census28 that provides services and programming for children and adults29 with intellectual disabilities for costs associated with30 construction and infrastructure improvements for an access31

plaza area and for compliance with the federal Americans with

Disabilities Act:33

FY 2014-2015:34

..... \$ 300,00035

-3-

LSB 5010SV (2) 85

rh/tm 3/21

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Image 133

S.F. 2349

e. For the acquisition of or renovations to an existing1
facility for a public health building in a county with a2
population between 210,000 and 215,000 as determined by the3
2010 federal decennial census:4

FY 2014-2015:5

..... \$ 1,000,0006

f. For a grant to a nonprofit human services agency7
that provides services for disabled adults for renovations8

and improvements to an existing building in a county with a9
population between 20,750 and 20,850 as determined by the 201010

federal decennial census:11

FY 2014-2015:12

..... \$ 250,00013

6. DEPARTMENT OF NATURAL RESOURCES14

a. For implementation of lake projects that have15
established watershed improvement initiatives and community16
support in accordance with the department’s annual lake17
restoration plan and report, notwithstanding section 8.57,18
subsection 5, paragraph “c”:19

FY 2014-2015:20

..... \$ 9,600,00021

Of the amount appropriated in this lettered paragraph,22
\$1,000,000 shall be allocated to a county with a population23
between 16,500 and 16,750 as determined by the 2010 federal24
decennial census for an existing watershed restoration project25
for a lake to improve water quality.26

b. For the administration of a water trails and low head27
dam public hazard statewide plan, including salaries, support,28
maintenance, and miscellaneous purposes, notwithstanding29
section 8.57, subsection 5, paragraph “c”:30

FY 2014-2015:31

..... \$ 2,000,00032

Of the amount appropriated in this lettered paragraph,33
\$300,000 shall be used to develop a river restoration program34

that establishes statewide criteria and regional targeting,35

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rh/tm 4/21

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Image 134

S.F. 2349

and identifies meaningful and effective river restoration1
priorities and \$700,000 shall be used to provide cost-share2
opportunities to initiate and complete river restoration3
projects across the state.4

c. For the establishment of a new state park in a county5
with a population between 11,500 and 11,600 in the latest6
preceding certified federal census:7
FY 2014-2015:8

..... \$ 2,000,0009

d. For funding projects of the Iowa parks foundation10

that support the centennial celebration of state parks,11

notwithstanding section 8.57, subsection 5, paragraph "c":12

FY 2014-2015:13

..... \$ 2,000,00014

7. DEPARTMENT OF PUBLIC DEFENSE15

a. For major maintenance projects at national guard16
armories and facilities:17

FY 2014-2015:18

..... \$ 2,000,00019

b. For construction improvement projects at statewide20
readiness centers:21

FY 2014-2015:22

..... \$ 2,000,00023

c. For exhibits highlighting Iowans and their service at24
the gold star museum, notwithstanding section 8.57, subsection25
5, paragraph "c":26

FY 2014-2015:27

..... \$ 250,00028

8. BOARD OF REGENTS29

a. For allocation by the state board of regents to the30
state university of Iowa, Iowa state university of science31
and technology, and the university of northern Iowa to32
reimburse the institutions for deficiencies in the operating33
funds resulting from the pledging of tuition, student fees34
and charges, and institutional income to finance the cost of35

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LSB 5010SV (2) 85

rh/tm 5/21

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Image 135

S.F. 2349

providing academic and administrative buildings and facilities1
and utility services at the institutions:2
FY 2014-2015:3

..... \$ 29,735,4234

b. For costs associated with the renovation, modernization,5
and construction of a new addition at the pharmacy building at6
the state university of Iowa:7
FY 2014-2015:8

..... \$ 2,000,0009

c. For the construction of a new facility and an addition,10
renovation, and modernization of current facilities and related11
improvements for biosciences at Iowa state university of12
science and technology:13

FY 2014-2015:14

..... \$ 2,000,00015

d. For the renovation, modernization, and associated16
improvements to an educational center for teacher education and17
preparation at the university of northern Iowa:18

FY 2014-2015:19

..... \$ 2,000,00020

9. STATE FAIR AUTHORITY21

For infrastructure costs associated with renovations and22
improvements to the youth inn on the Iowa state fairgrounds:23

FY 2014-2015:24

..... \$ 825,00025

FY 2015-2016:26

..... \$ 2,325,00027

10. DEPARTMENT OF TRANSPORTATION28

a. For acquiring, constructing, and improving recreational29
trails within the state:30

FY 2014-2015:31

..... \$ 10,100,00032

(1) Of the amount appropriated in this lettered paragraph,33
\$3,000,000 shall be allocated for improving and connecting34
urban trails in eastern Iowa counties with a combined35

-6-

LSB 5010SV (2) 85

rh/tm 6/21

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Image 136

S.F. 2349

population of more than 500,000 as determined by the 2010
federal decennial census to a national trail.²

(2) Of the amount appropriated in this lettered paragraph,³
\$2,000,000 shall be allocated for infrastructure improvements⁴

and enhancements to a river corridor area in a city with⁵
a population between 126,000 and 127,000 as determined by⁶
the 2010 federal decennial census for a greenway and trail⁷
connectivity project.⁸

(3) Of the amount appropriated in this lettered paragraph,⁹
\$1,800,000 shall be allocated to a county with a population¹⁰

between 44,150 and 44,500 as determined by the 2010 federal¹¹
decennial census for improvements to recreational trails.¹²

(4) Of the amount appropriated in this lettered paragraph,¹³
\$800,000 shall be allocated to a city with a population between¹⁴
2,191 and 2,200 as determined by the 2010 federal decennial¹⁵
census for improvements to recreational trails.¹⁶

b. For deposit in the public transit infrastructure grant¹⁷

fund created in section 324A.6A, for projects that meet the¹⁸
definition of "vertical infrastructure" in section 8.57,¹⁹

subsection 5, paragraph "c":²⁰

FY 2014-2015:²¹

..... \$ 1,500,00022

c. For infrastructure improvements at the commercial23

service airports within the state:24

FY 2014-2015:25

..... \$ 1,500,00026

d. For infrastructure improvements at general aviation27

airports within the state:28

FY 2014-2015:29

..... \$ 750,00030

e. For deposit in the railroad revolving loan and grant31

fund created in section 327H.20A, notwithstanding section 8.57,32

subsection 5, paragraph "c":33

FY 2014-2015:34

..... \$ 4,000,00035

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rh/tm 7/21

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Image 137

S.F. 2349

f. For providing financial assistance to a community1
improvement project for the construction of a roadway and2
parking lot for a youth sports baseball facility:3
FY 2014-2015:4

..... \$ 1,000,0005

For purposes of this lettered paragraph, "community6
improvement project" means a project that meets all of the7
following criteria:8

(1) Supports or serves a youth sports baseball facility.9

(2) Includes at least \$1,000,000 in total project costs.10

(3) Provides a local match of at least 25 percent of the11
total project costs.12

(4) Includes an application to the revitalize Iowa's sound13
economy fund pursuant to chapter 315.14

g. For costs associated with a public transit study to15
identify administrative needs, projected demand, necessary16
capital and operating costs, and public transit service17
structures including park-and-ride lots, employer or public18
van pool programs, and traditional fixed-route transit,19
notwithstanding section 8.57, subsection 5, paragraph "c":20
FY 2014-2015:21

..... \$ 150,00022

11. IOWA FINANCE AUTHORITY23

For a grant to a community-based nonprofit organization24

for the purpose of rehabilitating and improving a pinned25
 Pratt-through truss bridge with three spans located in a26
 central Iowa city with a population between 195,000 and 205,00027
 as determined by the 2010 federal decennial census:28
 FY 2014-2015:29

..... \$ 500,00030

12. TREASURER OF STATE31

For distribution in accordance with chapter 174 to qualified32
 fairs which belong to the association of Iowa fairs for county33
 fair infrastructure improvements:34

FY 2014-2015:35

-8-

LSB 5010SV (2) 85

rh/tm 8/21

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Image 138

S.F. 2349

..... \$ 1,060,0001
 Sec. 2. REVERSION. For purposes of section 8.33, unless2

specifically provided otherwise, unencumbered or unobligated3
moneys made from an appropriation in this division of this Act4

shall not revert but shall remain available for expenditure for5
the purposes designated until the close of the fiscal year that6
ends three years after the end of the fiscal year for which the7
appropriation is made. However, if the project or projects for8

which such appropriation was made are completed in an earlier9
fiscal year, unencumbered or unobligated moneys shall revert at10

the close of that same fiscal year.11

DIVISION II12

TECHNOLOGY REINVESTMENT FUND13

Sec. 3. There is appropriated from the technology14

reinvestment fund created in section 8.57C to the following15

departments and agencies for the following fiscal years, the16

following amounts, or so much thereof as is necessary, to be17

used for the purposes designated:18

1. DEPARTMENT OF CULTURAL AFFAIRS19

For providing a grant to a museum district for the Sullivan20

brothers veterans museum for costs associated with the21

oral history exhibit including but not limited to exhibit22

information technology, computer connectivity, and interactive23

display technologies:24

FY 2014-2015:25

..... \$ 500,00026

2. DEPARTMENT OF EDUCATION27

a. For maintenance and lease costs associated with28

connections for part III of the Iowa communications network:29

FY 2014-2015:30

..... \$ 2,727,00031

b. For the continued development and implementation of an32

education data warehouse that will be utilized by teachers,33

parents, school district administrators, area education agency34

staff, department of education staff, and policymakers:35

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rh/tm 9/21

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Image 139

S.F. 2349

FY 2014-2015:1

..... \$ 600,0002

The department may use a portion of the moneys appropriated3
in this lettered paragraph for an e-transcript data system4

capable of tracking students throughout their education via5

interconnectivity with multiple schools:6

c. For the development of an automated workflow process for7
a program and common course numbering management system for8

community colleges:9
FY 2014-2015:10

..... \$ 150,00011

d. To the public broadcasting division for the replacement12
of equipment and for tower and facility maintenance:13

FY 2014-2015:14

..... \$ 1,000,00015

3. DEPARTMENT OF HUMAN RIGHTS16

a. For the cost of equipment and computer software for the17
implementation of Iowa's criminal justice information system:18

FY 2014-2015:19

..... \$ 1,300,00020

b. For costs associated with the justice enterprise data21
warehouse:22

FY 2014-2015:23

..... \$ 314,47424

4. DEPARTMENT OF HUMAN SERVICES25

For a grant to a nonprofit agency that provides innovative26
solutions to children and adults with autism in a city with a27
population between 14,500 and 15,500 as determined by the 201028

federal decennial census for the cost associated with internet29
services and video communications systems for clinics:30
FY 2014-2015:31

..... \$ 155,00032

5. IOWA TELECOMMUNICATIONS AND TECHNOLOGY COMMISSION33

For replacement of equipment for the Iowa communications34
network:35

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rh/tm 10/21

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Image 140

S.F. 2349

FY 2014-2015:1

..... \$ 2,245,6532

The commission may continue to enter into contracts pursuant3
to section 8D.13 for the replacement of equipment and for4

operation and maintenance costs of the network.5

In addition to moneys appropriated in this subsection,6
the commission may use a financing agreement entered into by7
the treasurer of state in accordance with section 12.28 for8

the replacement of equipment for the network. For purposes
of this subsection, the treasurer of state is not subject to
the maximum principal limitation contained in section 12.28,
subsection 6. Repayment of any amounts financed shall be made
from receipts associated with fees charged for use of the
network.

6. DEPARTMENT OF MANAGEMENT

For completion of a comprehensive electronic management
system:

FY 2014-2015:

..... \$ 100,000

7. DEPARTMENT OF PUBLIC HEALTH

For costs associated with the establishment of a data
registry software system for the collection of data elements
related to emergency management system services or hospital
emergency care:

FY 2014-2015:

..... \$ 150,000

8. BOARD OF REGENTS

To Iowa state university of science and technology
purchase equipment and for related improvements to modernize
the animal care facilities at the blank park zoo as part of

a cooperative effort of blank park zoo and the college of31
veterinary medicine, notwithstanding section 8.57C, subsection32

2:33

FY 2014-2015:34

..... \$ 300,00035

-11-

LSB 5010SV (2) 85

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Image 141

S.F. 2349

9. OFFICE OF THE CHIEF INFORMATION OFFICER1

a. For technology consolidation and technology improvement2
projects approved by the state chief information officer3
pursuant to chapter 8B:4

FY 2014-2015:5

..... \$ 7,728,1896

b. For collecting data and developing metrics and7
standards to measure and evaluate broadband infrastructure8

installation and development in unserved and underserved areas,9
notwithstanding section 8.57C, subsection 2:10

FY 2014-2015:11

..... \$ 250,00012

Sec. 4. REVERSION. For purposes of section 8.33, unless13 specifically provided otherwise, unencumbered or unobligated14 moneys made from an appropriation in this division of this Act15 shall not revert but shall remain available for expenditure for16 the purposes designated until the close of the fiscal year that17 ends three years after the end of the fiscal year for which the18 appropriation was made. However, if the project or projects19 for which such appropriation was made are completed in an20 earlier fiscal year, unencumbered or unobligated moneys shall21 revert at the close of that same fiscal year.22

DIVISION III23

CHANGES TO PRIOR APPROPRIATIONS24

Sec. 5. 2010 Iowa Acts, chapter 1184, section 12, is amended25 to read as follows:26

SEC. 12. REVERSION.27

1. For Except as otherwise provided in subsections 2 and28 3, for purposes of section 8.33, unless specifically provided29 otherwise, unencumbered or unobligated moneys made from an30 appropriation in this division of this Act shall not revert31 but shall remain available for expenditure for the purposes32 designated until the close of the fiscal year that ends33

three years after the end of the fiscal year for which the34
appropriation was made. However, if the project or projects35

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Image 142

S.F. 2349

for which such appropriation was made are completed in an1
earlier fiscal year, unencumbered or unobligated moneys shall2
revert at the close of that same fiscal year.3

2. For purposes of section 8.33, unencumbered or4

unobligated moneys from moneys appropriated in section 10,5
subsection 2, paragraphs "a", "c", and "d", and subsection 4,6
paragraph "a", subparagraph (10), in this division of this7
2010 Act shall not revert but shall remain available for the8

purposes designated until the close of the fiscal year that9
begins July 1, 2014, or until the projects for which the10

appropriations were made are completed, whichever is earlier.11

3. For purposes of section 8.33, unencumbered or12

unobligated moneys from moneys appropriated in section 10,13
subsection 7, paragraph "a", of this division of this 2010 Act14

shall not revert but shall remain available for the purposes15
designated until the close of the fiscal year that begins July16
1, 2015, or until the project for which the appropriation was17
made is completed, whichever is earlier.18

Sec. 6. 2011 Iowa Acts, chapter 133, section 1, subsection19
10, paragraphs c through f, as amended by 2012 Iowa Acts,20
chapter 1140, section 15, is amended to read as follows:21

c. For projects for immediate fire safety needs and for22
compliance with the federal Americans with Disabilities Act, at23
the regents institutions:24

FY 2011-2012..... \$ 2,000,00025

FY 2012-2013..... \$ 2,000,00026

Of the amounts appropriated in this lettered paragraph,27
up to \$2,000,000 may be used to fund deductibles on property28
insurance and to provide the necessary match for funds which29
may be available from the federal emergency management agency30
for the cleanup, repair, and restoration of facilities at31
the state school for the deaf and the Iowa braille and sight32
saving school due to storm damage in the calendar year 2011,33
notwithstanding section 8.57, subsection 6, paragraph "c".34

d. For construction, renovation, and related improvements35

LSB 5010SV (2) 85

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Image 143

S.F. 2349

for phase II of the agricultural and biosystems engineering1
complex, including classrooms, laboratories, and offices at2
Iowa state university of science and technology:3

FY 2011-2012..... \$ 1,000,0004

FY 2012-2013..... \$ 19,050,0005

FY 2013-2014..... \$ 21,750,0006

FY 2014-2015..... \$ 18,600,0007

08

e. For the renovation and related improvements to the dental9
science building at the state university of Iowa including but10

not limited to renovation of clinical spaces and development of11

a multidisciplinary clinical area:12

FY 2011-2012..... \$ 1,000,00013

FY 2012-2013..... \$ 10,250,00014

FY 2013-2014..... \$ 9,750,00015

FY 2014-2015..... \$ 8,000,00016

017

f. For renovation and related improvements for Bartlett18
 hall at the university of northern Iowa including providing19
 faculty offices, seminar rooms, and laboratories in the20
 building and the associated demolition of Baker hall:21

FY 2011-2012.....	\$ 1,000,000	22
FY 2012-2013.....	\$ 7,786,000	23
FY 2013-2014.....	\$ 10,267,000	24
FY 2014-2015.....	\$ 1,947,000	25

026

Sec. 7. 2011 Iowa Acts, chapter 133, section 3, subsection27
 8, paragraph a, as amended by 2012 Iowa Acts, chapter 1140,28
 section 18, is amended to read as follows:29

8. DEPARTMENT OF PUBLIC SAFETY30

a. For the provision of a statewide public safety radio31
 network and the purchase of compatible radio communications32
 equipment with the goal of achieving compliance with the33
 federal communications commission’s narrowbanding mandate34
 deadline, and for achieving “interoperability”, as defined in35

-14-

LSB 5010SV (2) 85

rh/tm 14/21

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Image 144

S.F. 2349

section 80.28:1

FY 2011-2012.....	\$ 2,500,0002
FY 2012-2013.....	\$ 2,500,0003
700,0004	

FY 2013-2014.....	\$ 2,500,0005
1,800,0006	

Of the amounts appropriated in this lettered paragraph, the7
 department of public safety may enter into a public-private8
 partnership, through a competitive bidding process, for9
 the provision of the statewide network and the purchase of10
 compatible equipment.11

As a condition of this appropriation, all land mobile radio12
 communications equipment purchased by the department of public13
 safety shall be compliant with the federal communications14
 commission’s narrowbanding mandate and shall provide the15
 maximum amount of statewide coverage and interoperability,16
 throughout all phases of migration, to the department of public17
 safety’s future statewide digital radio network utilizing P-2518
 standards.19

On or before January 13, 2012, the department of public20

safety shall provide a report to the legislative services²¹
 agency and the department of management. The report shall²²
 detail the status of the funds appropriated in this subsection²³
 and shall include the estimated needs of the departments of²⁴
 public safety, corrections, and natural resources to achieve²⁵
 interoperability and to meet the federal narrowbanding mandate,²⁶
 any changes in estimated costs to meet those needs, and the²⁷
 status of requests for proposals to develop a public-private²⁸
 partnership.²⁹

Sec. 8. 2012 Iowa Acts, chapter 1138, section 89, is amended³⁰
 to read as follows:³¹

SEC. 89. DEPARTMENT OF NATURAL RESOURCES — ECONOMIC³²
 EMERGENCY FUND. There is appropriated from the Iowa economic³³
 emergency fund to the department of natural resources for the³⁴
 fiscal year beginning July 1, 2011, and ending June 30, 2012,³⁵

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Image 145

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the following amount, or so much thereof as is necessary, to be
used for the purposes designated, notwithstanding section 8.55,2
subsection 1:3

For the repair of damages due to the flooding of the Missouri4

river during the calendar year 2011 in the Lewis and Clark,5
lake Manawa, and Wilson island state parks and recreation area:6

..... \$ 2,865,7437

For purposes of section 8.33, unless specifically provided8

otherwise, unencumbered or unobligated moneys remaining9
from the appropriation made in this section shall not revert10

but shall remain available for expenditure for the purposes11

designated until the close of the fiscal year that ends two12

three years after the end of the fiscal year for which the13

appropriation is made. However, if the project or projects for14

which the appropriation was made are completed in an earlier15

fiscal year, unencumbered or unobligated moneys shall revert at16

the close of that same fiscal year.17

Sec. 9. 2013 Iowa Acts, chapter 142, section 1, subsection18

1, paragraph a, is amended to read as follows:19

a. For projects related to major repairs and major20

maintenance for state buildings and facilities:21

FY 2013-2014:22

..... \$ 4,000,00023

Of the amount appropriated in this lettered paragraph for24
the fiscal year beginning July 1, 2013, \$250,000 shall be25
allocated for the disposition and relocation of structures26
located at 707 east locust and 709 east locust, Des Moines,27
Iowa.28

FY 2014-2015:29

..... \$ 14,000,00030
22,000,00031

Sec. 10. 2013 Iowa Acts, chapter 142, section 1, is amended32
by adding the following new subsection:33

NEW SUBSECTION. 4A. DEPARTMENT OF HUMAN SERVICES34

For the renovation and construction of certain nursing35

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LSB 5010SV (2) 85

rh/tm 16/21

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Image 146

S.F. 2349

facilities, consistent with the provisions of chapter 249K:1

FY 2013-2014:2

..... \$ 150,0003

Sec. 11. 2013 Iowa Acts, chapter 142, section 3, subsection4

1, is amended by striking the subsection.5

Sec. 12. EFFECTIVE UPON ENACTMENT. This division of this6
Act, being deemed of immediate importance, takes effect upon7
enactment.8

DIVISION IV9

MISCELLANEOUS CODE CHANGES10

Sec. 13. Section 8.57, subsection 5, paragraph c, Code 2014,11

is amended to read as follows:12

c. Moneys in the rebuild Iowa infrastructure fund in a13

fiscal year shall be used as directed by the general assembly14

for public vertical infrastructure projects. For the purposes15

of this subsection, "*vertical infrastructure*" includes only land16

acquisition and construction; major renovation and major repair17

of buildings; routine, recurring maintenance; all appurtenant18

structures; utilities; site development; recreational trails;19

and debt service payments on academic revenue bonds issued in20

accordance with chapter 262A for capital projects at board21

of regents institutions. "*Vertical infrastructure*" does not22

include routine, recurring maintenance or operational expenses23

or leasing of a building, appurtenant structure, or utility24

without a lease-purchase agreement.25

Sec. 14. Section 8.57, subsection 5, paragraph f, Code 2014,26

is amended to read as follows:27

f. (1) (a) For the fiscal year beginning July 1, 2013,28
 and for each fiscal year thereafter until the principal and29
 interest on all bonds issued by the treasurer of state pursuant30
 to section 12.87 are paid, as determined by the treasurer31
 of state, of the wagering tax receipts received pursuant to32
 sections 99D.17 and 99F.11, the first fifty-five million33
 dollars shall be deposited in the revenue bonds debt service34
 fund created in section 12.89, and the next three million35

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rh/tm 17/21

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Image 147

S.F. 2349

seven hundred fifty thousand dollars shall be deposited in the1
 revenue bonds federal subsidy holdback fund created in section2
 12.89A.3

(b) For the fiscal year beginning July 1, 2013, and for each4
 fiscal year through the fiscal year beginning July 1, 2019, of5

the wagering tax receipts received pursuant to sections 99D.176 and 99F.11, the next fifteen million dollars shall be deposited in the vision Iowa fund created in section 12.72.8

(c) For the fiscal year beginning July 1, 2013, and for each fiscal year thereafter until the principal and interest on all bonds issued by the treasurer of state pursuant to section 12.81 are paid, as determined by the treasurer of state, of the wagering tax receipts received pursuant to sections 99D.17 and 99F.11, the next five million dollars shall be deposited in the school infrastructure fund created in section 12.82.15

(d) (c) For the fiscal year beginning July 1, 2013, and for each fiscal year thereafter, of the wagering tax receipts received pursuant to sections 99D.17 and 99F.11, the next sixty-six million dollars shall be deposited in the Iowa skilled worker and job creation fund created in section 8.75.20

(e) (d) For the fiscal year beginning July 1, 2013, and for each fiscal year thereafter, the total moneys in excess of the moneys deposited under this paragraph "f" in the revenue bonds debt service fund, the revenue bonds federal subsidy holdback fund, the vision Iowa fund, the school infrastructure fund, and the Iowa skilled worker and job creation fund shall be deposited in the rebuild Iowa infrastructure fund and shall be used as provided in this section, notwithstanding section 8.60.28

(2) For the fiscal year beginning July 1, 2013, and for each
 fiscal year thereafter, if the total amount of the wagering
 tax receipts received pursuant to sections 99D.17 and 99F.11,
 and to be deposited pursuant to subparagraph (1), subparagraph
 division (a), is less than the total amount of moneys directed
 to be deposited in the revenue bonds debt service fund and the
 revenue bonds federal subsidy holdback fund in the fiscal year

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rh/tm 18/21

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S.F. 2349

pursuant to subparagraph (1), subparagraph division (a), the
 difference shall be paid from moneys deposited in the beer and
 liquor control fund created in section 123.53 in the manner
 provided in section 123.53, subsection 3.4

(3) For the fiscal year beginning July 1, 2013, and for
 each fiscal year thereafter, after the deposit of moneys
 directed to be deposited in the revenue bonds debt service
 fund and the revenue bonds federal subsidy holdback fund, as

provided in subparagraph (1), subparagraph division (a), if the9
total amount of the wagering tax receipts received pursuant10
to sections 99D.17 and 99F.11, and to be deposited pursuant11
to subparagraph (1), subparagraph divisions division (b) and12
(c), is less than the total amount of moneys directed to be13
deposited in the vision Iowa fund and the school infrastructure14
fund in the fiscal year pursuant to subparagraph (1),15
subparagraph divisions division (b) and (c), the difference16
shall be paid from lottery revenues in the manner provided in17
section 99G.39, subsection 3.18

Sec. 15. Section 8.57C, subsection 3, paragraph a, Code19
2014, is amended to read as follows:20

a. There is appropriated from the general fund of the state21
for the fiscal year beginning July 1, 2014 2015, and for each22
subsequent fiscal year thereafter, the sum of seventeen million23
five hundred thousand dollars to the technology reinvestment24
fund.25

Sec. 16. Section 8.57C, subsection 3, Code 2014, is amended26
by adding the following new paragraph:27

NEW PARAGRAPH. *f.* There is appropriated from the rebuild28
Iowa infrastructure fund for the fiscal year beginning July29
1, 2014, and ending June 30, 2015, the sum of seventeen30

million four hundred thirty thousand dollars to the technology31
 reinvestment fund, notwithstanding section 8.57, subsection 5,32
 paragraph "c".33

EXPLANATION34

The inclusion of this explanation does not constitute agreement with35
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LSB 5010SV (2) 85

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Image 149

S.F. 2349

the explanation's substance by the members of the general assembly.1

This bill relates to and makes appropriations to state2
 departments and agencies from the rebuild Iowa infrastructure3
 fund and the technology reinvestment fund, and provides for4
 related matters. The bill is organized by divisions.5

DIVISION I — REBUILD IOWA INFRASTRUCTURE FUND. This6
 division appropriates project funding for FY 2014-2015 from7
 the rebuild Iowa infrastructure fund for projects for the8
 departments of administrative services, cultural affairs,9

education, human services, natural resources, public defense,10
 and transportation, and for the economic development authority,11

Iowa state fair authority, treasurer of state, the board¹²
of regents, and the Iowa finance authority. The division¹³
appropriates project funding for FY 2015-2016 from the rebuild¹⁴
Iowa infrastructure fund for projects for the department of¹⁵
human services, and for the economic development authority and¹⁶
the Iowa state fair authority.¹⁷

DIVISION II — TECHNOLOGY REINVESTMENT FUND. This division¹⁸
appropriates project funding for FY 2014-2015 from the¹⁹
technology reinvestment fund for the departments of cultural²⁰
affairs, education, human rights, human services, management,²¹
and public health, and for the board of regents, the Iowa²²
telecommunications and technology commission, and the office of²³
the chief information officer.²⁴

DIVISION III — CHANGES TO PRIOR APPROPRIATIONS. This²⁵
division makes a change to moneys appropriated from the rebuild²⁶
Iowa infrastructure fund to the department of administrative²⁷
services for major repairs and maintenance for state buildings²⁸
and facilities for FY 2014-2015 and to the board of regents for²⁹
FY 2014–2015. The division also eliminates an appropriation³⁰
from the technology reinvestment fund to the department of³¹
administrative services for technology consolidation and³²
technology improvement projects approved by the state chief³³

information officer for FY 2014-2015 and makes a change to34
the moneys appropriated to the department of public safety35

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LSB 5010SV (2) 85

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Image 150

S.F. 2349

for the provision of a statewide public safety radio network1
and compatible equipment for FY 2012-2013 and FY 2013-2014.2
The division appropriates moneys to the department of human3
services for construction costs for certain nursing facilities4

for FY 2013-2014.5

The division extends the reversion date for projects6
appropriated to the departments of economic development and7
transportation and to the Iowa finance authority from the8

revenue bonds capitals II fund for FY 2010-2011. The division9
also extends the reversion date for a project appropriated to10

the department of natural resources from the Iowa economic11

emergency fund for FY 2011-2012.12

The division is effective upon enactment.13

DIVISION IV — MISCELLANEOUS CODE CHANGES. The bill amends14

Code section 8.57, subsection 5, to include routine, recurring
maintenance in the definition of "vertical infrastructure" for
purposes of the rebuild Iowa infrastructure fund.

The bill eliminates provisions in Code section 8.57,
subsection 5, paragraph "f", relating to the annual \$5 million
allocation beginning in FY 2013-2014, for the state wagering
tax receipts collected pursuant to Code sections 99D.17 and
99F.11 to the school infrastructure fund created in Code
section 12.82, due to the defeasance of school infrastructure
bonds in HF 648, 2013 Iowa Acts.

The bill provides an appropriation in Code section
8.57C (technology reinvestment fund) from the rebuild Iowa
infrastructure fund to the technology reinvestment fund for
FY 2014-2015 for \$17,430,000. The bill makes a corresponding
conforming change to Code section 8.57C, subsection 3,
paragraph "a", to defer the FY 2014-2015 general fund
appropriation to the technology reinvestment fund to FY
2015-2016.

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LSB 5010SV (2) 85

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Message: Iowa Legislature - Daily Legislation and Analysis -- APRIL 3, 2014

Case Information:

Message Type: Exchange
Message Direction: External, Inbound
Case: IWD Senator Petersen Request - Version 3
Capture Date: 7/10/2014 1:32:39 PM
Item ID: 40861917
Policy Action: Not Specified

Mark History:

No reviewing has been done

Policies:

No Policies attached

 **Iowa Legislature - Daily Legislation and Analysis -- APRIL 3, 2014**

From helpdesk@legis.state.ia.us **Date** Friday, April 04, 2014 3:34 AM
To Wallace, Edward [IWD]
Cc

 **20140403.pdf** (1008 Kb HTML)

Attached to this email is an Adobe Acrobat file that contains the bills, amendments, resolutions and study bills filed the previous day.

These files are archived and are available for download here:

<http://coolice.legis.state.ia.us/cool-ice/default.asp?Category=BillInfo&Service=DLA>

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Image 1

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Image 2

Senate File 2130

H-8212

Amend Senate File 2130, as amended, passed, and
reprinted by the Senate, as follows:

1. Page 6, after line 24 by inserting:

<DIVISION ___4

PERSONNEL SETTLEMENT AGREEMENT PAYMENTS

Sec. ___. PERSONNEL SETTLEMENT AGREEMENT

PAYMENTS. As a condition made to any appropriation to

the department of transportation as provided in this

Act, moneys appropriated and any other moneys available

for use by the department under this Act shall not

be used for the payment of a personnel settlement

agreement between the department and a state employee

that contains a confidentiality provision intended to

prevent public disclosure of the agreement or any terms

of the agreement.>

COMMITTEE ON APPROPRIATIONS

SODERBERG of Plymouth, Chairperson

-1-

SF2130.3778 (1) 85

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Image 3

House File 2462 - Introduced

HOUSE FILE 2462

BY COMMITTEE ON GOVERNMENT

OVERSIGHT

(SUCCESSOR TO HSB 684)

A BILL FOR

An Act concerning public employee personnel settlement¹
agreements and disciplinary actions, and including effective²
date and retroactive applicability provisions.³

BE IT ENACTED BY THE GENERAL ASSEMBLY OF THE STATE OF IOWA:⁴

TLSB 6201HV (3) 85

ec/rj

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Image 4

H.F. 2462

Section 1. Section 22.7, subsection 11, paragraph a,¹
subparagraph (5), Code 2014, is amended to read as follows:²
(5) The fact that the individual resigned in lieu of³
termination, was discharged, or was demoted as the result⁴

of a final disciplinary action upon the exhaustion of all⁵ applicable contractual, legal, and statutory remedies, and the⁶ documented reasons and rationale for the resignation in lieu of⁷ termination, the discharge, or the demotion.⁸

Sec. 2. NEW SECTION. **22.13A Personnel settlement agreements⁹ — state employees — confidentiality — disclosure.¹⁰**

1. For purposes of this section:¹¹

a. "Personnel settlement agreement" means a binding legal¹² agreement between a state employee and the state employee's¹³ employer, subject to section 22.13, to resolve a personnel¹⁴ dispute including but not limited to a grievance. "*Personnel¹⁵ settlement agreement*" does not include an initial decision by a¹⁶ state employee's immediate supervisor concerning a personnel¹⁷ dispute or grievance.¹⁸

b. "State employee" means an employee of the state who is¹⁹ an employee of the executive branch as described in sections²⁰ 7E.2 and 7E.5.²¹

2. Personnel settlement agreements shall not contain any²² confidentiality or nondisclosure provision that attempts to²³ prevent the disclosure of the personnel settlement agreement.²⁴

In addition, any confidentiality or nondisclosure provision in²⁵ a personnel settlement agreement is void and unenforceable.²⁶

3. The requirements of this section shall not be superseded²⁷ by any provision of a collective bargaining agreement.²⁸

4. All personnel settlement agreements shall be made easily29 accessible to the public on an internet site maintained as30 follows:31

a. For personnel settlement agreements with an employee of32 the executive branch, excluding an employee of the state board33 of regents or institution under the control of the state board34 of regents, by the department of administrative services.35

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LSB 6201HV (3) 85

ec/rj 1/3

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Image 5

H.F. 2462

b. For personnel settlement agreements with an employee of1 the state board of regents or institution under the control of2 the state board of regents, by the state board of regents.3
Sec. 3. IMPLEMENTATION PROVISION. This Act shall not be4

construed to limit or impair the ability of law enforcement5 personnel to investigate any activity that may violate the laws6 of the state.7

Sec. 4. EFFECTIVE UPON ENACTMENT. This Act, being deemed of8

immediate importance, takes effect upon enactment.9

Sec. 5. RETROACTIVE APPLICABILITY. The following provision10

of this Act applies retroactively to January 1, 2004:11

1. The section of this Act amending section 22.7, subsection12

11.13

EXPLANATION14

The inclusion of this explanation does not constitute agreement with15

the explanation's substance by the members of the general assembly.16

This bill concerns disclosure of information relating to17

disciplinary actions taken against certain public employees and18

personnel settlement agreements with state employees.19

Code section 22.7(11), concerning personal information in20

confidential personnel records of government bodies, is amended21

to provide that information in an official's, officer's, or22

employee's personnel records concerning the fact that such an23

individual resigned in lieu of termination or was demoted as24

the result of a final disciplinary action by a government body25

and the documented reasons and rationale for any resignation26

in lieu of termination, discharge, or demotion against an27

individual are public records and not confidential. Under28

current law, only the fact in a personnel record that the29

individual was discharged is considered a public record and not30

confidential. This provision takes effect upon enactment and31

applies retroactively to January 1, 2004.32

New Code section 22.13A provides that personnel settlement33

agreements between the state and an employee of the state shall34

not contain any confidentiality or nondisclosure provisions35

-2-

LSB 6201HV (3) 85

ec/rj 2/3

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Image 6

H.F. 2462

that attempt to prevent the disclosure of the personnel1
settlement agreement and shall be made available to the public2
on an internet site. In addition, the bill provides that any3
confidentiality or nondisclosure provision in a personnel4

settlement agreement is not enforceable. New Code section5
22.13A is applicable to employees of the executive branch of6
government and defines a personnel settlement agreement as a7
binding legal agreement between a state employee and the state8

employee's employer, subject to Code section 22.13 relating to9
settlement agreements as public records, to resolve a personnel10

dispute including but not limited to certain grievances. The11

bill provides that the internet site be maintained by the12

department of administrative services or board of regents,13
 as applicable, based on the employee covered. The bill also14
 provides that the requirements of this new provision shall not15
 be superseded by any collective bargaining agreement.16
 The bill includes an implementation provision that provides17
 that the bill shall not be construed to limit or impair the18
 ability of law enforcement personnel to investigate violations19
 of the law.20
 These provisions of the bill take effect upon enactment.21

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LSB 6201HV (3) 85

ec/rj 3/3

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Image 7

House File 2463 - Introduced

HOUSE FILE 2463

BY COMMITTEE ON APPROPRIATIONS

(SUCCESSOR TO HSB 685)

A BILL FOR

An Act relating to appropriations for health and human services¹ and veterans and including other related provisions and² appropriations, and including effective date and retroactive³ and other applicability date provisions.⁴

BE IT ENACTED BY THE GENERAL ASSEMBLY OF THE STATE OF IOWA:⁵

TLSB 5006HV (2) 85

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Image 8

H.F. 2463

DIVISION I1

DEPARTMENT ON AGING2

Section 1. 2013 Iowa Acts, chapter 138, section 131, is³ amended to read as follows:⁴

SEC. 131. DEPARTMENT ON AGING. There is appropriated from⁵ the general fund of the state to the department on aging for⁶ the fiscal year beginning July 1, 2014, and ending June 30,⁷ 2015, the following amount, or so much thereof as is necessary,⁸

to be used for the purposes designated:⁹

For aging programs for the department on aging and area¹⁰

agencies on aging to provide citizens of Iowa who are 60 years¹¹

of age and older with case management for frail elders, Iowa's¹²

aging and disabilities resource center, and other services¹³

which may include but are not limited to adult day services,14
 respite care, chore services, information and assistance,15
 and material aid, for information and options counseling for16
 persons with disabilities who are 18 years of age or older,17
 and for salaries, support, administration, maintenance, and18
 miscellaneous purposes, and for not more than the following19
 full-time equivalent positions:20

..... \$ 5,300,19021

10,606,06622

..... FTEs 28.0023

1. Funds appropriated in this section may be used to24
 supplement federal funds under federal regulations. To25
 receive funds appropriated in this section, a local area26
 agency on aging shall match the funds with moneys from other27
 sources according to rules adopted by the department. Funds28
 appropriated in this section may be used for elderly services29
 not specifically enumerated in this section only if approved30
 by an area agency on aging for provision of the service within31
 the area.32

2. Of the funds appropriated in this section, \$139,97333
 \$279,946 is transferred to the economic development authority34
 for the Iowa commission on volunteer services to be used for35

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LSB 5006HV (2) 85

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Image 9

H.F. 2463

the retired and senior volunteer program.1

3. a. The department on aging shall establish and enforce2
procedures relating to expenditure of state and federal funds3
by area agencies on aging that require compliance with both4

state and federal laws, rules, and regulations, including but5
not limited to all of the following:6

(1) Requiring that expenditures are incurred only for goods7
or services received or performed prior to the end of the8

fiscal period designated for use of the funds.9

(2) Prohibiting prepayment for goods or services not10

received or performed prior to the end of the fiscal period11

designated for use of the funds.12

(3) Prohibiting the prepayment for goods or services13

not defined specifically by good or service, time period, or14
recipient.15

(4) Prohibiting the establishment of accounts from which16

future goods or services which are not defined specifically by17
good or service, time period, or recipient, may be purchased.18

b. The procedures shall provide that if any funds are19
expended in a manner that is not in compliance with the20
procedures and applicable federal and state laws, rules, and21
regulations, and are subsequently subject to repayment, the22
area agency on aging expending such funds in contravention of23
such procedures, laws, rules and regulations, not the state,24
shall be liable for such repayment.25

4. Of the funds appropriated in this section, \$125,00026
\$250,000 shall be used to fund services to meet the unmet needs27
of older individuals as identified in the annual compilation of28
unmet service units by the area agencies on aging.29

5. Of the funds appropriated in this section, \$300,00030
\$600,000 shall be used to fund home and community-based31
services through the area agencies on aging that enable older32
individuals to avoid more costly utilization of residential or33
institutional services and remain in their own homes.34

6. Of the funds appropriated in this subsection, \$10,00035

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Image 10

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\$20,000 shall be used for implementation continuation of a1
guardianship and conservatorship monitoring and assistance2
pilot project as specified in this 2013 Act.3
DIVISION II4

OFFICE OF LONG-TERM CARE OMBUDSMAN5
Sec. 2. 2013 Iowa Acts, chapter 138, section 132, is amended6
to read as follows:7
SEC. 132. OFFICE OF LONG-TERM CARE RESIDENT’S ADVOCATE8

OMBUDSMAN. There is appropriated from the general fund of9
the state to the office of long-term care resident’s advocate10
ombudsman for the fiscal year beginning July 1, 2014, and11
ending June 30, 2015, the following amount, or so much thereof12
as is necessary, to be used for the purposes designated:13
For salaries, support, administration, maintenance, and14
miscellaneous purposes, and for not more than the following15
full-time equivalent positions:16

..... \$ 510,85417

821,70718

..... FTEs 13.0019

11.0020

2. Of the funds appropriated in this section, \$105,000²¹
 \$210,000 shall be used to provide two local long-term care²²
 resident's advocates ombudsman to administer the certified²³
 volunteer long-term care resident's advocates ombudsman program²⁴
 pursuant to section 231.45, including operational certification²⁵
 and training costs.²⁶

DIVISION III²⁷

DEPARTMENT OF PUBLIC HEALTH²⁸

Sec. 3. 2013 Iowa Acts, chapter 138, section 133, is amended²⁹
 to read as follows:³⁰

SEC. 133. DEPARTMENT OF PUBLIC HEALTH. There is³¹
 appropriated from the general fund of the state to the³²
 department of public health for the fiscal year beginning July³³
 1, 2014, and ending June 30, 2015, the following amounts, or³⁴
 so much thereof as is necessary, to be used for the purposes³⁵

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Image 11

H.F. 2463

designated:1

1. ADDICTIVE DISORDERS2

For reducing the prevalence of use of tobacco, alcohol, and3
other drugs, and treating individuals affected by addictive4

behaviors, including gambling, and for not more than the5
following full-time equivalent positions:6

..... \$ 13,581,8457
27,088,6908

..... FTEs 13.009

a. (1) Of the funds appropriated in this subsection,10

\$2,574,181 \$5,073,361 shall be used for the tobacco use11

prevention and control initiative, including efforts at the12

state and local levels, as provided in chapter 142A. The13

commission on tobacco use prevention and control established14

pursuant to section 142A.3 shall advise the director of15

public health in prioritizing funding needs and the allocation16

of moneys appropriated for the programs and activities of17

the initiative under this subparagraph (1) and shall make18

recommendations to the director in the development of budget19

requests relating to the initiative.20

(2) Of the funds allocated in this paragraph "a", \$37,50021

shall be used to develop a social media structure to engage22

youth and prevent youth initiation of tobacco use. Of the23
amount allocated in this subparagraph (2), \$12,500 \$25,00024
shall be used for a youth summit.25

(3) Of the funds allocated in this paragraph "a", \$100,00026
\$200,000 shall be used to increase the efficacy of local27
tobacco control efforts by community partnerships, including28
through professional development, regional trainings and round29
table planning efforts, and a training opportunity involving30
all community partnerships.31

(4) Of the funds allocated in this paragraph "a", \$600,00032
\$1,950,000 shall be used to promote smoking cessation and to33
reduce the number of tobacco users in the state by offering34
nicotine replacement therapy to uninsured and underinsured35

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Image 12

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(5) (a) Of the funds allocated in this paragraph "a",2
\$226,534 \$453,067 is transferred to the alcoholic beverages3
division of the department of commerce for enforcement of4
tobacco laws, regulations, and ordinances and to engage in5
tobacco control activities approved by the division of tobacco6
use prevention and control as specified in the memorandum of7
understanding entered into between the divisions.8

(b) For the fiscal year beginning July 1, 2014, and ending9
June 30, 2015, the terms of the memorandum of understanding,10
entered into between the division of tobacco use prevention11
and control of the department of public health and the12
alcoholic beverages division of the department of commerce,13
governing compliance checks conducted to ensure licensed retail14
tobacco outlet conformity with tobacco laws, regulations, and15
ordinances relating to persons under eighteen years of age,16
shall continue to restrict the number of such checks to one17
check per retail outlet, and one additional check for any18
retail outlet found to be in violation during the first check.19

b. Of the funds appropriated in this subsection,20
\$11,007,665 \$22,015,329 shall be used for problem gambling and21
substance-related disorder prevention, treatment, and recovery22
services, including a 24-hour helpline, public information23
resources, professional training, and program evaluation.24

(1) Of the funds allocated in this paragraph "b", \$9,451,85825

(ii) Of the funds allocated in this subparagraph division⁵
(a), \$213,420 \$426,839 shall be used for grant funding for⁶
organizations that provide programming that includes youth⁷
development and leadership. The programs shall also be⁸

recognized as being programs that are scientifically based with⁹
evidence of their effectiveness in reducing substance-related¹⁰
disorders in children.¹¹

(iii) The department of public health shall utilize a¹²
request for proposals process to implement the grant program.¹³

(iv) All grant recipients shall participate in a program¹⁴
evaluation as a requirement for receiving grant funds.¹⁵

(v) Of the funds allocated in this subparagraph division¹⁶
(a), up to \$22,461 \$44,922 may be used to administer¹⁷
substance-related disorder prevention grants and for program¹⁸
evaluations.¹⁹

(b) Of the funds allocated in this subparagraph (1),²⁰
\$136,302 \$272,603 shall be used for culturally competent²¹
substance-related disorder treatment pilot projects.²²

(i) The department shall utilize the amount allocated²³
in this subparagraph division (b) for at least three pilot²⁴
projects to provide culturally competent substance-related²⁵
disorder treatment in various areas of the state. Each pilot²⁶
project shall target a particular ethnic minority population.²⁷
The populations targeted shall include but are not limited to²⁸

African American, Asian, and Latino.29

(ii) The pilot project requirements shall provide for30 documentation or other means to ensure access to the cultural31 competence approach used by a pilot project so that such32 approach can be replicated and improved upon in successor33 programs.34

(2) Of the funds allocated in this paragraph “b”, up35

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Image 14

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to \$1,555,807 \$3,111,614 may be used for problem gambling1 prevention, treatment, and recovery services.2

(a) Of the funds allocated in this subparagraph (2),3 \$1,286,881 \$2,573,762 shall be used for problem gambling4

prevention and treatment.5

(b) Of the funds allocated in this subparagraph (2), up to6 \$218,926 \$437,852 may be used for a 24-hour helpline, public7 information resources, professional training, and program8

evaluation.9

(c) Of the funds allocated in this subparagraph (2), up10

to \$50,000 \$100,000 may be used for the licensing of problem11

gambling treatment programs.12

(3) It is the intent of the general assembly that from the13

moneys allocated in this paragraph "b", persons with a dual14

diagnosis of substance-related disorder and gambling addiction15

shall be given priority in treatment services.16

c. Notwithstanding any provision of law to the contrary,17

to standardize the availability, delivery, cost of delivery,18

and accountability of problem gambling and substance-related19

disorder treatment services statewide, the department shall20

continue implementation of a process to create a system21

for delivery of treatment services in accordance with the22

requirements specified in 2008 Iowa Acts, chapter 1187, section23

3, subsection 4. To ensure the system provides a continuum24

of treatment services that best meets the needs of Iowans,25

the problem gambling and substance-related disorder treatment26

services in any area may be provided either by a single agency27

or by separate agencies submitting a joint proposal.28

(1) The system for delivery of substance-related disorder29

and problem gambling treatment shall include problem gambling30

prevention.31

(2) The system for delivery of substance-related disorder32
and problem gambling treatment shall include substance-related33
disorder prevention by July 1, 2015.34

(3) Of the funds allocated in paragraph "b", the department35

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Image 15

H.F. 2463

may use up to \$50,000 \$100,000 for administrative costs to1
continue developing and implementing the process in accordance2
with this paragraph "c".3

d. The requirement of section 123.53, subsection 5, is met4

by the appropriations and allocations made in this 2014 Act for5
purposes of substance-related disorder treatment and addictive6
disorders for the fiscal year beginning July 1, 2014.7

e. The department of public health shall work with all8

other departments that fund substance-related disorder9
prevention and treatment services and all such departments10

shall, to the extent necessary, collectively meet the state11

maintenance of effort requirements for expenditures for12
substance-related disorder services as required under the13
federal substance-related disorder prevention and treatment14
block grant.15

2. HEALTHY CHILDREN AND FAMILIES16

For promoting the optimum health status for children,17
adolescents from birth through 21 years of age, and families,18
and for not more than the following full-time equivalent19
positions:20

..... \$ 1,826,78021

3,671,60222

..... FTEs 14.0023

a. Of the funds appropriated in this subsection, not24
more than \$367,421 \$734,841 shall be used for the healthy25
opportunities for parents to experience success (HOPES)-healthy26
families Iowa (HFI) program established pursuant to section27
135.106. The funding shall be distributed to renew the grants28
that were provided to the grantees that operated the program29
during the fiscal year ending June 30, 2014.30

b. In order to implement the legislative intent stated in31
sections 135.106 and 256I.9, that priority for home visitation32
program funding be given to programs using evidence-based or33

promising models for home visitation, it is the intent of the34
general assembly to phase in the funding priority in accordance35

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Image 16

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with 2012 Iowa Acts, chapter 1133, section 2, subsection 2,1
paragraph 0b.2

c. Of the funds appropriated in this subsection, \$663,9443
\$1,327,887 shall be used to continue the department's4

initiative to provide for adequate developmental surveillance5
and screening during a child's first five years statewide. The6
funds shall be used first to fully fund the current sites to7
ensure that the sites are fully operational, with the remaining8

funds to be used for expansion to additional sites. The full9
implementation and expansion shall include enhancing the scope10

of the program through collaboration with the child health11

specialty clinics to promote healthy child development through12

early identification and response to both biomedical and social13

determinants of healthy development; by developing child14

health metrics to inform practice, document long-term health15
impacts and savings, and provide for continuous improvement16
through training, education, and evaluation; and by providing17
for practitioner consultation particularly for children with18
behavioral conditions and needs. The department of public19
health shall also collaborate with the Iowa Medicaid enterprise20
and the child health specialty clinics to integrate the21
activities of the first five initiative into the establishment22
of patient-centered medical homes, community utilities,23
accountable care organizations, and other integrated care24
models developed to improve health quality and population25
health while reducing health care costs. To the maximum extent26
possible, funding allocated in this paragraph shall be utilized27
as matching funds for medical assistance program reimbursement.28

d. Of the funds appropriated in this subsection, \$15,79929
\$74,640 shall be distributed to a statewide dental carrier to30
provide funds to continue the donated dental services program31
patterned after the projects developed by the lifeline network32
to provide dental services to indigent elderly and disabled33
individuals.34

e. Of the funds appropriated in this subsection, \$55,99835

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Image 17

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\$111,995 shall be used for childhood obesity prevention.1
 f. Of the funds appropriated in this subsection, \$81,3842
 \$137,768 shall be used to provide audiological services and3
 hearing aids for children. The department may enter into a4

contract to administer this paragraph.5

g. Of the funds appropriated in this subsection, \$12,5006
 \$25,000 is transferred to the university of Iowa college of7
 dentistry for provision of primary dental services to children.8

State funds shall be matched on a dollar-for-dollar basis.9
 The university of Iowa college of dentistry shall coordinate10

efforts with the department of public health, bureau of11
 oral and health delivery systems, to provide dental care to12
 underserved populations throughout the state.13

h. Of the funds appropriated in this subsection, \$25,00014
 \$50,000 shall be used to address youth suicide prevention.15

i. The university of Iowa college of dentistry shall16
 develop and submit a proposal by December 15, 2014, to the17

individuals identified in this Act for submission of reports¹⁸
 and to the chairpersons and ranking members of the joint¹⁹
 appropriations subcommittee on education to offer a residency²⁰
 program in geriatric dentistry that prepares dentists with²¹
 the specific skills needed to treat geriatric patients and²²
 provides incentives for the participants to remain in the²³
 state to practice dentistry upon completion of the program.²⁴
 The proposal shall include at a minimum, the curriculum to²⁵
 be utilized, the number of residency positions to be made²⁶
 available, the incentives for participants to practice²⁷
 dentistry in the state upon completion of the residency, the²⁸
 projected cost of the program, and any potential funding²⁹
 sources.³⁰

3. CHRONIC CONDITIONS³¹

For serving individuals identified as having chronic³²
 conditions or special health care needs, and for not more than³³
 the following full-time equivalent positions:³⁴

..... \$ 2,540,346³⁵

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Image 18

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5,040,6921

..... FTEs 6.002

a. Of the funds appropriated in this subsection, \$79,9663
\$159,932 shall be used for grants to individual patients4

who have phenylketonuria (PKU) to assist with the costs of5
necessary special foods.6

b. Of the funds appropriated in this subsection, \$445,8227
\$891,644 shall be used for the brain injury services program8

pursuant to section 135.22B, including for continuation of the9
contracts for resource facilitator services in accordance with10

section 135.22B, subsection 9, and to enhance brain injury11

training and recruitment of service providers on a statewide12

basis. Of the amount allocated in this paragraph, \$47,50013

\$95,000 shall be used to fund one full-time equivalent position14

to serve as the state brain injury service services program15

manager.16

c. Of the funds appropriated in this subsection, \$273,99117

\$547,982 shall be used as additional funding to leverage18

federal funding through the federal Ryan White Care Act, Tit.19

II, AIDS drug assistance program supplemental drug treatment20

grants.21

d. Of the funds appropriated in this subsection, \$49,91222 \$99,823 shall be used for the public purpose of continuing to23 contract with an existing national-affiliated organization24 to provide education, client-centered programs, and client25 and family support for people living with epilepsy and their26 families.27

e. Of the funds appropriated in this subsection, \$392,55728 \$785,114 shall be used for child health specialty clinics.29

f. Of the funds appropriated in this subsection,30 \$200,000 \$400,000 shall be used by the regional autism31 assistance program established pursuant to section 256.35,32 and administered by the child health specialty clinic located33 at the university of Iowa hospitals and clinics. The funds34 shall be used to enhance interagency collaboration and35

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Image 19

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coordination of educational, medical, and other human services¹
for persons with autism, their families, and providers of²
services, including delivering regionalized services of care³
coordination, family navigation, and integration of services⁴

through the statewide system of regional child health specialty⁵
clinics and fulfilling other requirements as specified in⁶
chapter 225D, creating the autism support program, as enacted⁷
in this Act. The university of Iowa shall not receive funds⁸

allocated under this paragraph for indirect costs associated⁹
with the regional autism assistance program.¹⁰

g. Of the funds appropriated in this subsection, \$285,497¹¹

\$570,993 shall be used for the comprehensive cancer control¹²

program to reduce the burden of cancer in Iowa through¹³

prevention, early detection, effective treatment, and ensuring¹⁴

quality of life. Of the funds allocated in this lettered¹⁵

paragraph, \$75,000 \$150,000 shall be used to support a melanoma¹⁶

research symposium, a melanoma biorepository and registry,¹⁷

basic and translational melanoma research, and clinical trials.¹⁸

h. Of the funds appropriated in this subsection, \$63,225¹⁹

\$126,450 shall be used for cervical and colon cancer screening,²⁰

and \$250,000 \$500,000 shall be used to enhance the capacity²¹

of the cervical cancer screening program to include provision²²

of recommended prevention and early detection measures to a²³

broader range of low-income women.24

i. Of the funds appropriated in this subsection, \$263,34825

\$526,695 shall be used for the center for congenital and26

inherited disorders.27

j. Of the funds appropriated in this subsection, \$64,70628

\$129,411 shall be used for the prescription drug donation29

repository program created in chapter 135M.30

k. Of the funds appropriated in this subsection, \$107,63231

\$175,263 shall be used for the costs of the medical home system32

advisory council established pursuant to section 135.15933

including incorporation of the development and implementation34

of the prevention and chronic care management state initiative.35

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Image 20

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4. COMMUNITY CAPACITY1

For strengthening the health care delivery system at the2
local level, and for not more than the following full-time3
equivalent positions:4

..... \$ 4,281,3095
9,284,4366

..... FTEs 18.257

a. Of the funds appropriated in this subsection, \$49,7078

\$99,414 is allocated for continuation of the child vision9
screening program implemented through the university of Iowa10

hospitals and clinics in collaboration with early childhood11

Iowa areas. The program shall submit a report to the12

individuals identified in this Act for submission of reports13

regarding the use of funds allocated under this paragraph14

“a”. The report shall include the objectives and results for15

the program year including the target population and how the16

funds allocated assisted the program in meeting the objectives;17

the number, age, and location within the state of individuals18

served; the type of services provided to the individuals19

served; the distribution of funds based on service provided;20

and the continuing needs of the program.21

b. Of the funds appropriated in this subsection, \$55,32822

\$110,656 is allocated for continuation of an initiative23

implemented at the university of Iowa and \$49,952 \$99,90424

is allocated for continuation of an initiative at the state25

mental health institute at Cherokee to expand and improve the26

workforce engaged in mental health treatment and services.27

The initiatives shall receive input from the university of28

Iowa, the department of human services, the department of29

public health, and the mental health and disability services30

commission to address the focus of the initiatives.31

c. Of the funds appropriated in this subsection, \$582,31432

\$1,164,628 shall be used for essential public health services33

that promote healthy aging throughout the lifespan, contracted34

through a formula for local boards of health, to enhance health35

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Image 21

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promotion and disease prevention services.1

d. Of the funds appropriated in this section, \$49,6432

\$99,286 shall be deposited in the governmental public health3
system fund created in section 135A.8 to be used for the4

purposes of the fund.5

e. Of the funds appropriated in this subsection, \$52,7246 \$105,448 shall be used to continue to address the shortage of7 mental health professionals in the state.8

f. Of the funds appropriated in this subsection, \$25,0009 \$50,000 shall be used for a grant to a statewide association10 of psychologists that is affiliated with the American11 psychological association to be used for continuation of a12 program to rotate intern psychologists in placements in urban13 and rural mental health professional shortage areas, as defined14 in section 135.180.15

g. Of the funds appropriated in this subsection, the16 following amounts shall be allocated to the Iowa collaborative17 safety net provider network established pursuant to section18 135.153 to be used for the purposes designated. The following19 amounts allocated under this lettered paragraph shall be20 distributed to the specified provider and shall not be reduced21 for administrative or other costs prior to distribution:22

(1) For distribution to the Iowa primary care association23 for statewide coordination of the Iowa collaborative safety net24 provider network:25

..... \$ 72,89326
145,78527

(2) For distribution to the Iowa primary care association28

to be used to continue a training program for sexual assault
 response team (SART) members, including representatives of
 law enforcement, victim advocates, prosecutors, and certified
 medical personnel:

..... \$ 25,000

50,000

(3) For distribution to federally qualified health centers

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for necessary infrastructure, statewide coordination, provider
 recruitment, service delivery, and provision of assistance to
 patients in securing a medical home inclusive of oral health
 care:

..... \$ 37,500

75,000

(4) For distribution to the local boards of health that
 provide direct services for pilot programs in three counties to

assist patients in securing a medical home inclusive of oral
health care:10

..... \$ 38,57711

77,15312

(5) For distribution to maternal and child health centers13

for pilot programs in three service areas to assist patients in14

securing a medical home inclusive of oral health care:15

..... \$ 47,56316

95,12617

(6) For distribution to free clinics for necessary18

infrastructure, statewide coordination, provider recruitment,19

service delivery, and provision of assistance to patients in20

securing a medical home inclusive of oral health care:21

..... \$ 174,16122

348,32223

(7) For distribution to rural health clinics for necessary24

infrastructure, statewide coordination, provider recruitment,25

service delivery, and provision of assistance to patients in26

securing a medical home inclusive of oral health care:27

..... \$ 70,77228

141,54429

(8) For continuation of the safety net provider patient30

access to a specialty health care initiative as described in31

2007 Iowa Acts, chapter 218, section 109:32

..... \$ 189,23733

(9) For continuation of the pharmaceutical infrastructure34

for safety net providers as described in 2007 Iowa Acts,35

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Image 23

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chapter 218, section 108:1

..... \$ 206,7082

413,4153

The Iowa collaborative safety net provider network may4

continue to distribute funds allocated pursuant to this5

lettered paragraph through existing contracts or renewal of6

existing contracts.7

The Iowa collaborative safety net provider network may8

continue to distribute funds allocated pursuant to this9

lettered paragraph through existing contracts or renewal of10

existing contracts.11

h. Of the funds appropriated in this subsection, \$87,950¹²
\$175,900 shall be used for continuation of the work of the¹³
direct care worker advisory council established pursuant to¹⁴
2008 Iowa Acts, chapter 1188, section 69, in implementing the¹⁵
recommendations in the final report submitted by the advisory¹⁶
council to the governor and the general assembly in March 2012.¹⁷

i. (1) Of the funds appropriated in this subsection,¹⁸
\$89,438 \$178,875 shall be used for allocation to an independent¹⁹
statewide direct care worker organization under continuation²⁰
of the contract in effect during the fiscal year ending June²¹
30, 2013 2014, with terms determined by the director of public²²
health relating to education, outreach, leadership development,²³
mentoring, and other initiatives intended to enhance the²⁴
recruitment and retention of direct care workers in health care²⁵
and long-term care settings.²⁶

(2) Of the funds appropriated in this subsection, \$37,500²⁷
\$75,000 shall be used to provide scholarships or other forms of²⁸
subsidization for direct care worker educational conferences,²⁹
training, or outreach activities.³⁰

j. Of the funds appropriated in this subsection, the³¹
department may use up to \$29,088 \$58,175 for up to one³²
full-time equivalent position to administer the volunteer³³

established program for children and adults and that is solely¹⁵
dedicated to preserving sight and preventing blindness through¹⁶
education, nationally certified vision screening and training,¹⁷
and community and patient service programs. The organization¹⁸
shall submit a report to the individuals identified in this¹⁹
Act for submission of reports regarding the use of funds²⁰
allocated under this paragraph "n". The report shall include²¹
the objectives and results for the program year including²²
the target population and how the funds allocated assisted²³
the program in meeting the objectives; the number, age, and²⁴
location within the state of individuals served; the type of²⁵
services provided to the individuals served; the distribution²⁶
of funds based on services provided; and the continuing needs²⁷
of the program.²⁸

o. Of the funds appropriated in this subsection, \$12,500²⁹
\$25,000 shall be used for the establishment continuation of a³⁰
wellness council under the direction of the director of public³¹
health to increase support for wellness activities in the³²
state.³³

p. Of the funds appropriated in this section, \$579,075³⁴
\$1,158,150 is allocated to the Iowa collaborative safety net³⁵

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Image 25

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provider network established pursuant to section 135.153 to1
be used for the continued development and implementation of a2
statewide regionally based network to provide an integrated3
approach to health care delivery through care coordination4

that supports primary care providers and links patients with5
community resources necessary to empower patients in addressing6
biomedical and social determinants of health to improve health7
outcomes. The Iowa collaborative safety net provider network8

shall work in conjunction with the department of human services9
to align the integrated network with the health care delivery10

system model developed under the state innovation models11

initiative grant. The Iowa collaborative safety net provider12

network shall submit a progress report to the individuals13

designated in this Act for submission of reports by December14

31, 2014, including progress in developing and implementing the15

network, how the funds were distributed and used in developing16

and implementing the network, and the remaining needs in17

developing and implementing the network.18

q. Of the funds appropriated in this subsection, \$1,000,00019
\$3,000,000 shall be deposited in the medical residency training20
account created in section 135.175, subsection 5, paragraph21
“a”, and is appropriated from the account to the department22
of public health to be used for the purposes of the medical23
residency training state matching grants program as specified24
in section 135.176. However, notwithstanding any provision25
to the contrary in section 135.176, priority in the awarding26
of grants shall be given to the development of new medical27
residency positions, psychiatric residency positions, and28
family practice residency positions.29

r. Of the funds appropriated in this section, \$25,00030
\$50,000 shall be distributed to a statewide nonprofit31
organization to be used for the public purpose of supporting32
a partnership between medical providers and parents through33
community health centers to promote reading and encourage34
literacy skills so children enter school prepared for success35

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in reading.1

s. The department shall adopt rules pursuant to chapter 17A2
 to include physical therapists as primary care professionals3
 and to include physical therapy as a primary health service for4

the purposes of the PRIMECARRE endeavor under section 135.107.5

t. Of the funds appropriated in this subsection, \$100,0006
 shall be transferred to the university of Iowa hospitals7
 and clinics to implement a collaborative care model between8

psychiatry and primary care practices that will improve mental9
 health care in Iowa. The university of Iowa hospitals and10

clinics shall submit a report by December 15, 2014, to the11

individuals identified in this Act for submission of reports on12

the progress of implementation of the collaborative model.13

5. HEALTHY AGING14

To provide public health services that reduce risks and15

invest in promoting and protecting good health over the16

course of a lifetime with a priority given to older Iowans and17

vulnerable populations:18

..... \$ 3,648,57119

7,297,14220

6. ENVIRONMENTAL HAZARDS21

For reducing the public's exposure to hazards in the22 environment, primarily chemical hazards, and for not more than23 the following full-time equivalent positions:24

..... \$ 401,93525

803,87026

..... FTEs 4.0027

Of the funds appropriated in this subsection, \$268,87528 \$537,750 shall be used for childhood lead poisoning provisions.29

7. INFECTIOUS DISEASES30

For reducing the incidence and prevalence of communicable31 diseases, and for not more than the following full-time32 equivalent positions:33

..... \$ 667,57834

1,335,15535

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Image 27

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..... FTEs 4.001

8. PUBLIC PROTECTION²

For protecting the health and safety of the public through³ establishing standards and enforcing regulations, and for not⁴

more than the following full-time equivalent positions:⁵

..... \$ 1,639,3866

3,420,0277

..... FTEs 131.008

a. Of the funds appropriated in this subsection, not more⁹ than \$227,350 \$454,700 shall be credited to the emergency¹⁰

medical services fund created in section 135.25. Moneys in¹¹

the emergency medical services fund are appropriated to the¹²

department to be used for the purposes of the fund.¹³

b. Of the funds appropriated in this subsection, \$101,516¹⁴

\$203,032 shall be used for sexual violence prevention¹⁵

programming through a statewide organization representing¹⁶

programs serving victims of sexual violence through the¹⁷

department's sexual violence prevention program. The amount¹⁸

allocated in this lettered paragraph shall not be used to¹⁹

supplant funding administered for other sexual violence²⁰

prevention or victims assistance programs.²¹

c. Of the funds appropriated in this subsection, \$299,376²²

\$598,751 shall be used for the state poison control center.²³

At such time as the department of human services receives²⁴
approval from the centers for Medicare and Medicaid services²⁵
of the United States department of health and human services²⁶
to implement a new health services initiative under the²⁷
federal Children’s Health Insurance Program Reauthorization²⁸
Act of 2009, Pub. L. No. 111-3, to provide funding for the²⁹
state poison control center as directed in this 2014 Act,³⁰
and notifies the department of public health, the department³¹
of public health shall transfer from the allocation made in³²
this paragraph "c", an amount sufficient to provide the state³³
matching funds necessary to draw down the maximum federal³⁴
matching funds available for that purpose.³⁵

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d. Of the funds appropriated in this subsection, \$50,0001

shall be used for community fluoridation education.2

9. RESOURCE MANAGEMENT3

For establishing and sustaining the overall ability of the4

department to deliver services to the public, and for not more5
than the following full-time equivalent positions:6

..... \$ 402,0277
855,0728

..... FTEs 5.009

The university of Iowa hospitals and clinics under the10

control of the state board of regents shall not receive11

indirect costs from the funds appropriated in this section.12

The university of Iowa hospitals and clinics billings to the13

department shall be on at least a quarterly basis.14

DIVISION IV15

VETERANS16

Sec. 4. 2013 Iowa Acts, chapter 138, section 134, is amended17

to read as follows:18

SEC. 134. DEPARTMENT OF VETERANS AFFAIRS. There is19

appropriated from the general fund of the state to the20

department of veterans affairs for the fiscal year beginning21

July 1, 2014, and ending June 30, 2015, the following amounts,22

or so much thereof as is necessary, to be used for the purposes23

designated:24

1. DEPARTMENT OF VETERANS AFFAIRS ADMINISTRATION25

For salaries, support, maintenance, and miscellaneous26

purposes, and for not more than the following full-time27
equivalent positions:28

..... \$ 546,75429

1,095,95130

..... FTEs 13.0031

2. IOWA VETERANS HOME32

For salaries, support, maintenance, and miscellaneous33
purposes:34

..... \$ 3,762,85735

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7,594,9961

a. The Iowa veterans home billings involving the department2
of human services shall be submitted to the department on at3
least a monthly basis.4

b. If there is a change in the employer of employees5

providing services at the Iowa veterans home under a collective bargaining agreement, such employees and the agreement shall be continued by the successor employer as though there had not

been a change in employer.

c. Within available resources and in conformance with

associated state and federal program eligibility requirements,

the Iowa veterans home may implement measures to provide

financial assistance to or on behalf of veterans or their

spouses who are participating in the community reentry program.

e. The Iowa veterans home expenditure report shall be

submitted monthly to the legislative services agency.

3. HOME OWNERSHIP ASSISTANCE PROGRAM

For transfer to the Iowa finance authority for the

continuation of the home ownership assistance program for

persons who are or were eligible members of the armed forces of

the United States, pursuant to section 16.54:

..... \$ 800,000

2,500,000

Sec. 5. 2013 Iowa Acts, chapter 138, section 135, is amended

to read as follows:

SEC. 135. LIMITATION OF COUNTY COMMISSIONS OF VETERAN

AFFAIRS FUND STANDING APPROPRIATIONS. Notwithstanding the

standing appropriation in the following designated section for

the fiscal year beginning July 1, 2014, and ending June 30,29
 2015, the amounts amount appropriated from the general fund of30
 the state pursuant to that section for the following designated31
 purposes shall not exceed the following amount:32
 For the county commissions of veteran affairs fund under33
 section 35A.16:34

..... \$ 495,00035

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990,0001

DIVISION V2

DEPARTMENT OF HUMAN SERVICES3

Sec. 6. 2013 Iowa Acts, chapter 138, section 136, is amended4

to read as follows:5

SEC. 136. TEMPORARY ASSISTANCE FOR NEEDY FAMILIES BLOCK6

GRANT. There is appropriated from the fund created in section7

8.41 to the department of human services for the fiscal year8

beginning July 1, 2014, and ending June 30, 2015, from moneys9
 received under the federal temporary assistance for needy10
 families (TANF) block grant pursuant to the federal Personal11
 Responsibility and Work Opportunity Reconciliation Act of 1996,12
 Pub. L. No. 104-193, and successor legislation, the following13
 amounts, or so much thereof as is necessary, to be used for the14
 purposes designated:15

1. To be credited to the family investment program account16
 and used for assistance under the family investment program17
 under chapter 239B:18

..... \$ 9,058,47419

9,879,48820

2. To be credited to the family investment program account21
 and used for the job opportunities and basic skills (JOBS)22
 program and implementing family investment agreements in23
 accordance with chapter 239B:24

..... \$ 5,933,22025

11,091,91126

3. To be used for the family development and27
 self-sufficiency grant program in accordance with section28
 216A.107:29

..... \$ 1,449,49030

34,947,11012

a. The Of the funds appropriated in this subsection are,13
\$26,347,110 is transferred to the child care and development14
block grant appropriation made by the Eighty-fifth General15
Assembly, 2013 Session, in 2013 Iowa Acts, chapter 136, section16
14 for the federal fiscal year beginning October 1, 2014, and17
ending September 30, 2015. Of this amount, \$100,000 \$200,00018
shall be used for provision of educational opportunities to19
registered child care home providers in order to improve20
services and programs offered by this category of providers21
and to increase the number of providers. The department may22
contract with institutions of higher education or child care23
resource and referral centers to provide the educational24
opportunities. Allowable administrative costs under the25
contracts shall not exceed 5 percent. The application for a26
grant shall not exceed two pages in length.27

b. Any funds appropriated in this subsection remaining28
unallocated shall be used for state child care assistance29
payments for individuals enrolled in the family investment30
program who are employed.31

7. For distribution to counties and regions through the32
property tax relief fund for mental health and disability33

services as provided in an appropriation made for this purpose:34

..... \$ 2,447,02635

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4,894,0521

8. For child and family services:2

..... \$ 16,042,2153

32,084,4304

9. For child abuse prevention grants:5

..... \$ 62,5006

125,0007

10. For pregnancy prevention grants on the condition that8

family planning services are funded:9

..... \$ 965,03410

1,930,06711

Pregnancy prevention grants shall be awarded to programs12

in existence on or before July 1, 2014, if the programs have13

demonstrated positive outcomes. Grants shall be awarded to14

pregnancy prevention programs which are developed after July15
 1, 2014, if the programs are based on existing models that16
 have demonstrated positive outcomes. Grants shall comply with17
 the requirements provided in 1997 Iowa Acts, chapter 208,18
 section 14, subsections 1 and 2, including the requirement that19
 grant programs must emphasize sexual abstinence. Priority in20
 the awarding of grants shall be given to programs that serve21
 areas of the state which demonstrate the highest percentage of22
 unplanned pregnancies of females of childbearing age within the23
 geographic area to be served by the grant.24

11. For technology needs and other resources necessary25
 to meet federal welfare reform reporting, tracking, and case26
 management requirements:27

..... \$ 518,59328

1,037,18629

The department shall transfer TANF block grant funding30
 appropriated and allocated in this subsection to the child care31
 and development block grant appropriation in accordance with32
 federal law as necessary to comply with the provisions of this33
 subsection.34

12. For the family investment program share of the costs to35

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continue to develop and maintain a new, integrated eligibility1
determination system:2

..... \$ 2,525,2263
6,549,5494

13. a. Notwithstanding any provision to the contrary,5
including but not limited to requirements in section 8.41 or6
provisions in 2013 or 2014 Iowa Acts regarding the receipt and7
appropriation of federal block grants, federal funds from the8
temporary assistance for needy families block grant received9
by the state not otherwise appropriated in this section and10
remaining available for the fiscal year beginning July 1, 2014,11
are appropriated to the department of human services to the12
extent as may be necessary to be used in the following priority13
order: the family investment program, for state child care14
assistance program payments for individuals enrolled in the15
family investment program who are employed, and for the family16
investment program share of costs to develop and maintain a17

new, integrated eligibility determination system. The federal18
 funds appropriated in this paragraph "a" shall be expended only19
 after all other funds appropriated in subsection 1 for the20
 assistance under the family investment program, in subsection 621
 for child care assistance, or in subsection 12 for the family22
 investment program share of the costs to continue to develop23
 and maintain a new, integrated eligibility determination24
 system, as applicable, have been expended.25

b. The department shall, on a quarterly basis, advise the26
 legislative services agency and department of management of27
 the amount of funds appropriated in this subsection that was28
 expended in the prior quarter.29

14. Of the amounts appropriated in this section, \$6,481,00430
 \$12,962,008 for the fiscal year beginning July 1, 2014, is31
 transferred to the appropriation of the federal social services32
 block grant made to the department of human services for that33
 fiscal year.34

15. For continuation of the program providing categorical35

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eligibility for the food assistance program as specified for1
 the program in the section of this division of this 2014 Act2
 relating to the family investment program account:3
 \$ 12,5004

25,0005

16. The department may transfer funds allocated in this6
 section to the appropriations made in this division of this Act7
 for the same fiscal year for general administration and field8

operations for resources necessary to implement and operate the9
 services referred to in this section and those funded in the10

appropriation made in this division of this Act for the same11

fiscal year for the family investment program from the general12

fund of the state.13

Sec. 7. 2013 Iowa Acts, chapter 138, section 137, is amended14
 to read as follows:15

SEC. 137. FAMILY INVESTMENT PROGRAM ACCOUNT.16

1. Moneys credited to the family investment program (FIP)17
 account for the fiscal year beginning July 1, 2014, and18
 ending June 30, 2015, shall be used to provide assistance in19
 accordance with chapter 239B.20

2. The department may use a portion of the moneys credited21
to the FIP account under this section as necessary for22
salaries, support, maintenance, and miscellaneous purposes.23

3. The department may transfer funds allocated in this24
section to the appropriations made in this division of this Act25
for the same fiscal year for general administration and field26
operations for resources necessary to implement and operate the27
services referred to in this section and those funded in the28
appropriation made in this division of this Act for the same29
fiscal year for the family investment program from the general30
fund of the state.31

4. Moneys appropriated in this division of this Act and32
credited to the FIP account for the fiscal year beginning July33
1, 2014, and ending June 30, 2015, are allocated as follows:34

a. To be retained by the department of human services to35

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be used for coordinating with the department of human rights1
to more effectively serve participants in FIP and other shared2
clients and to meet federal reporting requirements under the3
federal temporary assistance for needy families block grant:4

..... \$ 10,0005

20,0006

b. To the department of human rights for staffing,7
administration, and implementation of the family development8

and self-sufficiency grant program in accordance with section9
216A.107:10

..... \$ 3,021,41711

6,042,83412

(1) Of the funds allocated for the family development and13
self-sufficiency grant program in this lettered paragraph,14
not more than 5 percent of the funds shall be used for the15
administration of the grant program.16

(2) The department of human rights may continue to implement17
the family development and self-sufficiency grant program18
statewide during fiscal year 2014-2015.19

c. For the diversion subaccount of the FIP account:20

..... \$ 849,20021

815,00022

A portion of the moneys allocated for the subaccount may23

nutrition assistance program (SNAP) employment and training2
state plan in order to maximize to the fullest extent permitted3
by federal law the use of the 50 percent federal reimbursement4

provisions for the claiming of allowable federal reimbursement5
funds from the United States department of agriculture6
pursuant to the federal SNAP employment and training program7
for providing education, employment, and training services8

for eligible food assistance program participants, including9
but not limited to related dependent care and transportation10

expenses.11

(2) The department shall continue the categorical federal12
food assistance program eligibility at 160 percent of the13
federal poverty level and continue to eliminate the asset test14
from eligibility requirements, consistent with federal food15
assistance program requirements. The department shall include16
as many food assistance households as is allowed by federal17
law. The eligibility provisions shall conform to all federal18
requirements including requirements addressing individuals who19
are incarcerated or otherwise ineligible.20

e. For the JOBS program:21

..... \$ 9,845,40822

18,494,13123

5. Of the child support collections assigned under FIP,24
an amount equal to the federal share of support collections25
shall be credited to the child support recovery appropriation26

6. The department may adopt emergency rules for the family investment, JOBS, food assistance, and medical assistance programs if necessary to comply with federal requirements.

Sec. 8. 2013 Iowa Acts, chapter 138, section 138, is amended to read as follows:

SEC. 138. FAMILY INVESTMENT PROGRAM GENERAL FUND. There is appropriated from the general fund of the state to the department of human services for the fiscal year beginning July 1, 2014, and ending June 30, 2015, the following amount, or so much thereof as is necessary, to be used for the purpose designated:

To be credited to the family investment program (FIP) account and used for family investment program assistance under chapter 239B:

..... \$ 24,218,60720

48,503,87521

1. Of the funds appropriated in this section, \$3,912,18922 \$7,402,220 is allocated for the JOBS program.

2. Of the funds appropriated in this section, \$1,581,92724 \$3,163,854 is allocated for the family development and self-sufficiency grant program.

3. Notwithstanding section 8.39, for the fiscal year beginning July 1, 2014, if necessary to meet federal

maintenance of effort requirements or to transfer federal29
 temporary assistance for needy families block grant funding30
 to be used for purposes of the federal social services block31
 grant or to meet cash flow needs resulting from delays in32
 receiving federal funding or to implement, in accordance with33
 this division of this Act, activities currently funded with34
 juvenile court services, county, or community moneys and state35

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moneys used in combination with such moneys, the department1
 of human services may transfer funds within or between any2
 of the appropriations made in this division of this Act and3
 appropriations in law for the federal social services block4
 grant to the department for the following purposes, provided5
 that the combined amount of state and federal temporary6
 assistance for needy families block grant funding for each7
 appropriation remains the same before and after the transfer:8

- a. For the family investment program.9
 - b. For child care assistance.10
 - c. For child and family services.11
 - d. For field operations.12
 - e. For general administration.13
 - f. For distribution to counties or regions for services to14
persons with mental illness or an intellectual disability.15
- This subsection shall not be construed to prohibit the use16
of existing state transfer authority for other purposes. The17
department shall report any transfers made pursuant to this18
subsection to the legislative services agency.19
4. Of the funds appropriated in this section, \$97,83920
\$195,678 shall be used for continuation of a grant to an21
Iowa-based nonprofit organization with a history of providing22
tax preparation assistance to low-income Iowans in order to23
expand the usage of the earned income tax credit. The purpose24
of the grant is to supply this assistance to underserved areas25
of the state.26
 5. Of the funds appropriated in this section, \$20,00027
\$40,000 shall be used for the continuation of an unfunded28
pilot project, as defined in 441 IAC 100.1, relating to29
parental obligations, in which the child support recovery30

SEC. 139. CHILD SUPPORT RECOVERY. There is appropriated¹²
 from the general fund of the state to the department of human¹³
 services for the fiscal year beginning July 1, 2014, and ending¹⁴
 June 30, 2015, the following amount, or so much thereof as is¹⁵
 necessary, to be used for the purposes designated:¹⁶

For child support recovery, including salaries, support,¹⁷
 maintenance, and miscellaneous purposes, and for not more than¹⁸
 the following full-time equivalent positions:¹⁹

..... \$ 7,086,885²⁰

14,911,230²¹

..... FTEs 464.00²²

1. The department shall expend up to \$12,165 \$24,329,²³
 including federal financial participation, for the fiscal year²⁴
 beginning July 1, 2014, for a child support public awareness²⁵
 campaign. The department and the office of the attorney²⁶
 general shall cooperate in continuation of the campaign. The²⁷
 public awareness campaign shall emphasize, through a variety²⁸
 of media activities, the importance of maximum involvement of²⁹
 both parents in the lives of their children as well as the³⁰
 importance of payment of child support obligations.³¹

2. Federal access and visitation grant moneys shall be³²
 issued directly to private not-for-profit agencies that provide³³

in effect until June 30, 2015.15

Sec. 10. 2013 Iowa Acts, chapter 138, section 140, is16

amended to read as follows:17

SEC. 140. HEALTH CARE TRUST FUND — MEDICAL ASSISTANCE —18

FY 2013-2014 2014-2015. Any funds remaining in the health19

care trust fund created in section 453A.35A for the fiscal20

year beginning July 1, 2014, and ending June 30, 2015, are21

appropriated to the department of human services to supplement22

the medical assistance program appropriations made in this23

division of this Act, for medical assistance reimbursement and24

associated costs, including program administration and costs25

associated with program implementation.26

Sec. 11. 2013 Iowa Acts, chapter 138, section 142,27

unnumbered paragraph 2, is amended to read as follows:28

For medical assistance program reimbursement and associated29

costs as specifically provided in the reimbursement30

methodologies in effect on June 30, 2014, except as otherwise31

expressly authorized by law, consistent with options under32

federal law and regulations, and contingent upon receipt of33

approval from the office of the governor of reimbursement for34

each abortion performed under the program:35

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..... \$ 1,143,810,3111
1,248,017,0142

Sec. 12. 2013 Iowa Acts, chapter 138, section 142,3
subsection 18, paragraph a, is amended to read as follows:4

a. The department shall continue to implement the cost5
containment strategies for the medical assistance program in6
the fiscal year beginning July 1, 2014, that were recommended7
by the governor for the fiscal year beginning July 1, 2013, as8

specified in this Act and may adopt emergency rules for such9
implementation. The department shall not implement the cost10

containment strategy that requires transition of the provision11

of personal care under the consumer-directed attendant12

care option to agency-provided personal care services while13

retaining the consumer choice option for those individuals able14

and desiring to self-direct services.15

Sec. 13. 2013 Iowa Acts, chapter 138, section 142,16

subsection 18, is amended by adding the following new17

paragraph:18

NEW PARAGRAPH. 0e. The department shall report the19
implementation of any cost containment strategies under this20
subsection to the individuals specified in this division of21
this Act for submission of reports on a quarterly basis.22

Sec. 14. 2013 Iowa Acts, chapter 138, section 142, is23
amended by adding the following new subsections:24

NEW SUBSECTION. 22. Of the funds appropriated in this25
section, \$4,847,559 shall be used to implement reductions26
in the waiting lists of all medical assistance home and27
community-based services waivers. The funds shall be expended28
to add an equal number of waiver waiting list slots to each of29
the types of waivers.30

NEW SUBSECTION. 23. The department of human services31
shall perform a detailed analysis regarding the inclusion of32
the Medicaid program pharmacy benefit in the managed care33
organization plan for Medicaid members under the MEDIPASS34
program and the Iowa health and wellness plan in order to35

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enhance the value of the pharmacist-member interaction, utilize1
 the most appropriate and least costly treatment for the member,2
 and more fully integrate the pharmacy benefit into patient care3
 management. The analysis shall include but is not limited to4

a review of the provider network to be used to ensure network5
 adequacy, patient choice, in-person patient care management,6
 and continuity of care; financial transparency requirements;7
 timely data sharing; formulary, preferred drug list, and prior8

authorization requirements; provisions for continuing to9
 provide any therapeutic classes of drugs under the traditional10

Medicaid program; standards for pharmacy reimbursement11

rates including dispensing fees; the type of reimbursement12

methodology to be used for the managed care organization to13

provide the pharmacy benefit including but not limited to a14

capitation or a shared savings model; outcomes, performance,15

and reporting requirements; patient protections including but16

not limited to appeals and grievance processes, emergency17

refill requirements, and patient transition of care and18

exceptions to policy provisions; the projected cost or savings;19

and any federal approval necessary to provide the pharmacy20

benefit to the specified members through a managed care21
organization. The department shall report the results of its22
analysis to the individuals identified in this division of this23
Act for submission of reports by December 15, 2014, and shall24
not implement the inclusion of the pharmacy benefit in the25
managed care organization plan providing coverage to Medicaid26
members under the MEDIPASS program and the Iowa health and27
wellness plan without prior approval of the general assembly.28

Sec. 15. 2013 Iowa Acts, chapter 138, section 143, is29
amended to read as follows:30

SEC. 143. MEDICAL CONTRACTS. There is appropriated from the31
general fund of the state to the department of human services32
for the fiscal year beginning July 1, 2013 2014, and ending33
June 30, 2014 2015, the following amount, or so much thereof as34
is necessary, to be used for the purpose designated:35

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For medical contracts:1

..... \$ 6,145,7852

17,323,3663

1. The department of inspections and appeals shall4

provide all state matching funds for survey and certification5
activities performed by the department of inspections6
and appeals. The department of human services is solely7
responsible for distributing the federal matching funds for8

such activities.9

2. Of the funds appropriated in this section, \$25,00010

\$50,000 shall be used for continuation of home and11

community-based services waiver quality assurance programs,12

including the review and streamlining of processes and policies13

related to oversight and quality management to meet state and14

federal requirements.15

3. Of the amount appropriated in this section, up to16

\$100,000 \$200,000 may be transferred to the appropriation17

for general administration in this division of this Act to18

be used for additional full-time equivalent positions in the19

development of key health initiatives such as cost containment,20

development and oversight of managed care programs, and21

development of health strategies targeted toward improved22

quality and reduced costs in the Medicaid program.23

4. Of the funds appropriated in this section, \$500,000²⁴
\$1,000,000 shall be used for planning and development,²⁵
in cooperation with the department of public health, of a²⁶
phased-in program to provide a dental home for children.²⁷

5. Of the funds appropriated in this section, \$37,500²⁸
\$75,000 shall be used for continued implementation of a uniform²⁹
cost report.³⁰

6. Of the funds appropriated in this section, \$1,000,000³¹
\$3,000,000 shall be used for the autism support program created³²
in chapter 225D, as enacted in this Act.³³

7. Of the funds appropriated in this section, \$49,895³⁴
\$99,790 shall be used for continued implementation of an³⁵

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Image 44

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electronic medical records system.1

Sec. 16. 2013 Iowa Acts, chapter 138, section 144, is2 amended to read as follows:3

SEC. 144. STATE SUPPLEMENTARY ASSISTANCE.4

1. There is appropriated from the general fund of the5 state to the department of human services for the fiscal year6 beginning July 1, 2014, and ending June 30, 2015, the following7 amount, or so much thereof as is necessary, to be used for the8

purpose designated:9

For the state supplementary assistance program:10

..... \$ 8,256,08711

14,121,15412

2. The department shall increase the personal needs13 allowance for residents of residential care facilities by the14 same percentage and at the same time as federal supplemental15 security income and federal social security benefits are16 increased due to a recognized increase in the cost of living.17

The department may adopt emergency rules to implement this18 subsection.19

3. If during the fiscal year beginning July 1, 2014,20 the department projects that state supplementary assistance21 expenditures for a calendar year will not meet the federal22 pass-through requirement specified in Tit. XVI of the federal23 Social Security Act, section 1618, as codified in 42 U.S.C.24 §1382g, the department may take actions including but not25 limited to increasing the personal needs allowance for26

residential care facility residents and making programmatic27
 adjustments or upward adjustments of the residential care28
 facility or in-home health-related care reimbursement rates29
 prescribed in this division of this Act to ensure that federal30
 requirements are met. In addition, the department may make31
 other programmatic and rate adjustments necessary to remain32
 within the amount appropriated in this section while ensuring33
 compliance with federal requirements. The department may adopt34
 emergency rules to implement the provisions of this subsection.35

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Image 45

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Sec. 17. 2013 Iowa Acts, chapter 138, section 145, is1
 amended to read as follows:2

SEC. 145. CHILDREN’S HEALTH INSURANCE PROGRAM.3

1. There is appropriated from the general fund of the4
 state to the department of human services for the fiscal year5

beginning July 1, 2014, and ending June 30, 2015, the following6
amount, or so much thereof as is necessary, to be used for the7
purpose designated:8

For maintenance of the healthy and well kids in Iowa (hawk-i)9
program pursuant to chapter 514I, including supplemental dental10
services, for receipt of federal financial participation under11
Tit. XXI of the federal Social Security Act, which creates the12
children’s health insurance program:13

..... \$ 18,403,05114

45,877,99815

2. Of the funds appropriated in this section, \$70,72516

\$153,500 is allocated for continuation of the contract for17
outreach with the department of public health.18

3. The department of human services shall request approval19
from the centers for Medicare and Medicaid services of the20
United States department of health and human services to21
utilize administrative funding under the federal Children’s22
Health Insurance Program Reauthorization Act of 2009, Pub.23

L. No. 111-3, to provide the maximum federal matching funds24
available to implement a new health services initiative as25
provided under section 2105(a)(1)(D)(ii) of the federal Social26
Security Act, to fund the state poison control center.27

Sec. 18. 2013 Iowa Acts, chapter 138, section 146, is28

amended to read as follows:29

SEC. 146. CHILD CARE ASSISTANCE. There is appropriated30
 from the general fund of the state to the department of human31
 services for the fiscal year beginning July 1, 2014, and ending32
 June 30, 2015, the following amount, or so much thereof as is33
 necessary, to be used for the purpose designated:34

For child care programs:35

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..... \$ 31,354,8971
 45,622,8282

1. Of the funds appropriated in this section, \$27,377,5953
 \$37,903,401 shall be used for state child care assistance in4

accordance with section 237A.13.5

2. Nothing in this section shall be construed or is6
 intended as or shall imply a grant of entitlement for services7
 to persons who are eligible for assistance due to an income8

level consistent with the waiting list requirements of section 9
237A.13. Any state obligation to provide services pursuant to 10
this section is limited to the extent of the funds appropriated 11
in this section. 12

3. Of the funds appropriated in this section, \$216,227 13
\$432,453 is allocated for the statewide grant program for child 14
care resource and referral services under section 237A.26. 15
A list of the registered and licensed child care facilities 16
operating in the area served by a child care resource and 17
referral service shall be made available to the families 18
receiving state child care assistance in that area. 19

4. Of the funds appropriated in this section, \$468,487 20
\$936,974 is allocated for child care quality improvement 21
initiatives including but not limited to the voluntary quality 22
rating system in accordance with section 237A.30. 23

5. Of the funds appropriated in this section, \$67,589 shall 24
be used to conduct fingerprint-based national criminal history 25
record checks of home-based child care providers pursuant 26
to section 237A.5, subsection 2, through the United States 27
department of justice, federal bureau of investigation. 28

6. Of the amount appropriated in this section, up to 29
\$12,500 shall be used to continue to implement a searchable 30

internet-based application as part of the consumer information31
 made available under section 237A.25. The application shall32
 provide a listing of the child care providers in this state33
 that have received a rating under the voluntary quality rating34
 system implemented pursuant to section 237A.30 and information35

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on whether a provider specializes in child care for infants,1
 school-age children, children with special needs, or other2
 populations or provides any other specialized services to3
 support family needs.4

7. Of the funds appropriated in this section, \$3,175,0005
 \$6,350,000 shall be credited to the early childhood programs6
 grants account in the early childhood Iowa fund created7
 in section 256I.11. The moneys shall be distributed for8

funding of community-based early childhood programs targeted9
 to children from birth through five years of age developed10

by early childhood Iowa areas in accordance with approved11

community plans as provided in section 256I.8.12

8. The department may use any of the funds appropriated¹³ in this section as a match to obtain federal funds for use in¹⁴ expanding child care assistance and related programs. For¹⁵ the purpose of expenditures of state and federal child care¹⁶ funding, funds shall be considered obligated at the time¹⁷ expenditures are projected or are allocated to the department's¹⁸ service areas. Projections shall be based on current and¹⁹ projected caseload growth, current and projected provider²⁰ rates, staffing requirements for eligibility determination²¹ and management of program requirements including data systems²² management, staffing requirements for administration of the²³ program, contractual and grant obligations and any transfers²⁴ to other state agencies, and obligations for decategorization²⁵ or innovation projects.²⁶

9. A portion of the state match for the federal child care²⁷ and development block grant shall be provided as necessary to²⁸ meet federal matching funds requirements through the state²⁹ general fund appropriation made for child development grants³⁰ and other programs for at-risk children in section 279.51.31

10. If a uniform reduction ordered by the governor under³² section 8.31 or other operation of law, transfer, or federal³³

funding reduction reduces the appropriation made in this34
section for the fiscal year, the percentage reduction in the35

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amount paid out to or on behalf of the families participating1
in the state child care assistance program shall be equal to or2
less than the percentage reduction made for any other purpose3
payable from the appropriation made in this section and the4

federal funding relating to it. The percentage reduction to5
the other allocations made in this section shall be the same as6
the uniform reduction ordered by the governor or the percentage7
change of the federal funding reduction, as applicable.8

If there is an unanticipated increase in federal funding9
provided for state child care assistance, the entire amount10
of the increase shall be used for state child care assistance11
payments. If the appropriations made for purposes of the12
state child care assistance program for the fiscal year are13
determined to be insufficient, it is the intent of the general14

assembly to appropriate sufficient funding for the fiscal year¹⁵
in order to avoid establishment of waiting list requirements.¹⁶
11. Notwithstanding section 8.33, moneys advanced for¹⁷
purposes of the programs developed by early childhood Iowa¹⁸
areas, advanced for purposes of wraparound child care, or¹⁹
received from the federal appropriations made for the purposes²⁰
of this section that remain unencumbered or unobligated at the²¹
close of the fiscal year shall not revert to any fund but shall²²
remain available for expenditure for the purposes designated²³
until the close of the succeeding fiscal year.²⁴

Sec. 19. 2013 Iowa Acts, chapter 138, section 147, is²⁵
amended to read as follows:²⁶

SEC. 147. JUVENILE INSTITUTIONS. There is appropriated²⁷
from the general fund of the state to the department of human²⁸
services for the fiscal year beginning July 1, 2014, and ending²⁹
June 30, 2015, the following amounts, or so much thereof as is³⁰
necessary, to be used for the purposes designated:³¹

1. For operation of the costs of security, building and³²
grounds maintenance, utilities, salary, and support for the³³
facilities located at the Iowa juvenile home at Toledo and for³⁴
salaries, support, maintenance, and miscellaneous purposes, and³⁵

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for not more than the following full-time equivalent positions:1

..... \$ 4,429,6782

788,5313

..... FTEs 114.004

15.005

2. For operation of the state training school at Eldora and6
for salaries, support, maintenance, and miscellaneous purposes,7
and for not more than the following full-time equivalent8

positions:9

..... \$ 5,628,48510

11,500,09811

..... FTEs 164.3012

Of the funds appropriated in this subsection, \$45,57513

\$91,150 shall be used for distribution to licensed classroom14

teachers at this and other institutions under the control of15

the department of human services based upon the average student16

yearly enrollment at each institution as determined by the17

department.18

3. A portion of the moneys appropriated in this section19 shall be used by the state training school and by the Iowa20 juvenile home for grants for adolescent pregnancy prevention21 activities at the institutions in the fiscal year beginning22 July 1, 2014.23

Sec. 20. 2013 Iowa Acts, chapter 138, is amended by adding24 the following new section:25

NEW SECTION. SEC. 147A. CHILDREN ADJUDICATED AS DELINQUENT26 OR CHILD IN NEED OF ASSISTANCE — IOWA JUVENILE HOME. There27 is appropriated from the general fund of the state to the28 department of human services for the fiscal year beginning July29 1, 2014, and ending June 30, 2015, the following amount, or30 so much thereof as is necessary, to be used for the purposes31 designated:32

For the placement costs of female children adjudicated33 as delinquent and male and female children adjudicated as a34 child in need of assistance, and for the costs of compensatory35

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education for children formerly placed at the Iowa juvenile1
home at Toledo:2

..... \$ 5,110,5343

1. Of the funds appropriated in this section, \$3,892,5344

shall be used for the placement costs of female children5
adjudicated as delinquent and male and female children6
adjudicated as a child in need of assistance, who are deemed by7
the department to be eligible for use of the funds.8

2. Of the funds appropriated in this section, \$1,218,0009
shall be used for the costs of compensatory education to10

address the reviews of special education of certain children11
placed at the Iowa juvenile home conducted by the department of12
education in fall 2013 and reported to the department of human13
services on October 7 and December 20, 2013.14

3. By January 1, 2015, the department shall provide a15
report to the governor and the legislative services agency that16
includes a description of the status of juvenile delinquent17
girls in out-of-home placements during the period beginning18
December 1, 2013, and ending December 1, 2014; identifies19
their placement histories; provides the reason for placement;20

provides a status report on educational services and treatment21
of youth at department facilities; and makes appropriate22
recommendations for legislation deemed necessary.23

Sec. 21. 2013 Iowa Acts, chapter 138, section 148, is24
amended to read as follows:25

SEC. 148. CHILD AND FAMILY SERVICES.26

1. There is appropriated from the general fund of the27
state to the department of human services for the fiscal year28
beginning July 1, 2014, and ending June 30, 2015, the following29
amount, or so much thereof as is necessary, to be used for the30
purpose designated:31

For child and family services:32

..... \$ 45,641,96033

95,664,83134

2. Up to \$2,600,000 \$5,200,000 of the amount of federal35

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temporary assistance for needy families block grant funding¹ appropriated in this division of this Act for child and family² services shall be made available for purposes of juvenile³ delinquent graduated sanction services.⁴

3. The department may transfer funds appropriated in this⁵ section as necessary to pay the nonfederal costs of services⁶ reimbursed under the medical assistance program, state child⁷ care assistance program, or the family investment program which⁸

are provided to children who would otherwise receive services⁹ paid under the appropriation in this section. The department¹⁰

may transfer funds appropriated in this section to the¹¹

appropriations made in this division of this Act for general¹²

administration and for field operations for resources necessary¹³

to implement and operate the services funded in this section.¹⁴

4. a. Of the funds appropriated in this section, up¹⁵

to \$16,121,163 \$36,400,721 is allocated as the statewide¹⁶

expenditure target under section 232.143 for group foster care¹⁷

maintenance and services. If the department projects that such¹⁸

expenditures for the fiscal year will be less than the target¹⁹

amount allocated in this lettered paragraph, the department may²⁰

reallocate the excess to provide additional funding for shelter²¹

care or the child welfare emergency services addressed with the²²

allocation for shelter care.²³

services are available and whether termination of the placement²
is in the best interest of the child and the community.³

5. In accordance with the provisions of section 232.188,⁴

the department shall continue the child welfare and juvenile⁵
justice funding initiative during fiscal year 2014-2015. Of⁶
the funds appropriated in this section, \$858,877 \$1,717,753⁷
is allocated specifically for expenditure for fiscal year⁸

2014-2015 through the decategorization service services funding⁹
pools and governance boards established pursuant to section¹⁰

232.188.11

6. A portion of the funds appropriated in this section¹²

may be used for emergency family assistance to provide other¹³

resources required for a family participating in a family¹⁴

preservation or reunification project or successor project to¹⁵

stay together or to be reunified.¹⁶

7. Notwithstanding section 234.35 or any other provision¹⁷

of law to the contrary, state funding for shelter care and¹⁸

the child welfare emergency services contracting implemented¹⁹

to provide for or prevent the need for shelter care shall be²⁰

limited to \$3,808,024 \$7,717,822.²¹

8. Federal funds received by the state during the fiscal²²

year beginning July 1, 2014, as the result of the expenditure²³

of state funds appropriated during a previous state fiscal²⁴

year for a service or activity funded under this section are²⁵

appropriated to the department to be used as additional funding²⁶

for services and purposes provided for under this section.27
 Notwithstanding section 8.33, moneys received in accordance28
 with this subsection that remain unencumbered or unobligated at29
 the close of the fiscal year shall not revert to any fund but30
 shall remain available for the purposes designated until the31
 close of the succeeding fiscal year.32

9. a. Of the funds appropriated in this section, up to33
 \$1,645,000 \$3,290,000 is allocated for the payment of the34
 expenses of court-ordered services provided to juveniles who35

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are under the supervision of juvenile court services, which1
 expenses are a charge upon the state pursuant to section2
 232.141, subsection 4. Of the amount allocated in this3
 lettered paragraph, up to \$778,144 \$1,556,287 shall be made4
 available to provide school-based supervision of children5

adjudicated under chapter 232, of which not more than \$7,5006
\$15,000 may be used for the purpose of training. A portion of7
the cost of each school-based liaison officer shall be paid by8

the school district or other funding source as approved by the9
chief juvenile court officer.10

b. Of the funds appropriated in this section, up to \$374,49311
\$748,985 is allocated for the payment of the expenses of12
court-ordered services provided to children who are under the13
supervision of the department, which expenses are a charge upon14
the state pursuant to section 232.141, subsection 4.15

c. Notwithstanding section 232.141 or any other provision16
of law to the contrary, the amounts allocated in this17
subsection shall be distributed to the judicial districts18
as determined by the state court administrator and to the19
department's service areas as determined by the administrator20
of the department's division of child and family services. The21
state court administrator and the division administrator shall22
make the determination of the distribution amounts on or before23
June 15, 2014.24

d. Notwithstanding chapter 232 or any other provision of25
law to the contrary, a district or juvenile court shall not26
order any service which is a charge upon the state pursuant27
to section 232.141 if there are insufficient court-ordered28

entered under chapter 232 which is a charge upon the state⁹
under section 232.141, subsection 4.10

f. Of the funds allocated in this subsection, not more¹¹
than \$41,500 \$83,000 may be used by the judicial branch for¹²
administration of the requirements under this subsection.¹³

g. Of the funds allocated in this subsection, \$8,500 \$17,000¹⁴
shall be used by the department of human services to support¹⁵
the interstate commission for juveniles in accordance with¹⁶
the interstate compact for juveniles as provided in section¹⁷
232.173.¹⁸

10. Of the funds appropriated in this section, \$4,026,613¹⁹
\$8,053,226 is allocated for juvenile delinquent graduated²⁰
sanctions services. Any state funds saved as a result of²¹
efforts by juvenile court services to earn federal Tit. IV-E²²
match for juvenile court services administration may be used²³
for the juvenile delinquent graduated sanctions services.²⁴

11. Of the funds appropriated in this section, \$804,143²⁵
\$1,608,285 is transferred to the department of public health²⁶
to be used for the child protection center grant program in²⁷
accordance with section 135.118. The grant amounts under the²⁸
program shall be equalized so that each center receives a²⁹
uniform amount of at least \$122,500 \$245,000.³⁰

12. If the department receives federal approval to31
 implement a waiver under Tit. IV-E of the federal Social32
 Security Act to enable providers to serve children who remain33
 in the children’s families and communities, for purposes of34
 eligibility under the medical assistance program through 2535

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years of age, children who participate in the waiver shall be1
 considered to be placed in foster care.2

13. Of the funds appropriated in this section, \$1,628,4903
 \$3,256,980 is allocated for the preparation for adult living4

program pursuant to section 234.46.5

14. Of the funds appropriated in this section, \$260,0756
 \$520,150 shall be used for juvenile drug courts. The amount7
 allocated in this subsection shall be distributed as follows:8

To the judicial branch for salaries to assist with the9
 operation of juvenile drug court programs operated in the10

following jurisdictions:11

a. Marshall county:12

..... \$ 31,35413

62,70814

b. Woodbury county:15

..... \$ 62,84116

125,68217

c. Polk county:18

..... \$ 97,94619

195,89220

d. The third judicial district:21

..... \$ 33,96722

67,93423

e. The eighth judicial district:24

..... \$ 33,96725

67,93426

15. Of the funds appropriated in this section, \$113,66927

\$227,337 shall be used for the public purpose of continuing28

a grant to a nonprofit human services organization providing29

services to individuals and families in multiple locations in30

southwest Iowa and Nebraska for support of a project providing31

immediate, sensitive support and forensic interviews, medical32

exams, needs assessments, and referrals for victims of child33

20. Of the funds appropriated in this section, \$718,29815
\$1,186,595 is allocated for funding of the community circle of16
care collaboration for children and youth in northeast Iowa.17

21. Of the funds appropriated in this section, at least18
\$73,579 \$147,158 shall be used for the child welfare training19
academy.20

22. Of the funds appropriated in this section, \$12,50021
\$25,000 shall be used for the public purpose of continuation22
of a grant to a child welfare services provider headquartered23
in a county with a population between 205,000 and 215,000 in24
the latest certified federal census that provides multiple25
services including but not limited to a psychiatric medical26
institution for children, shelter, residential treatment, after27
school programs, school-based programming, and an Asperger's28
syndrome program, to be used for support services for children29
with autism spectrum disorder and their families.30

23. Of the funds appropriated in this section, \$12,50031
\$25,000 shall be used for the public purpose of continuing a32
grant to a hospital-based provider headquartered in a county33
with a population between 90,000 and 95,000 in the latest34
certified federal census that provides multiple services35

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Gordo and Linn counties.18

26. Of the funds appropriated in this section, at least19

\$12,500 \$25,000 shall be used to continue and to expand the20

foster care respite pilot program in which postsecondary21

students in social work and other human services-related22

programs receive experience by assisting family foster care23

providers with respite and other support.24

27. Of the funds appropriated in this section, \$160,00025

shall be used for the public purpose of funding child welfare26

services with a system of care approach through a nonprofit27

provider of child welfare services that has been in existence28

for more than 115 years, is located in a county with a29

population of more than 200,000 but less than 220,000 according30

to the latest census information issued by the United States31

census bureau provider, is licensed as a psychiatric medical32

institution for children, and has not been a system of care33

grantee prior to July 1, 2014.34

Sec. 22. 2013 Iowa Acts, chapter 138, section 149, is35

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amended to read as follows:1

SEC. 149. ADOPTION SUBSIDY.2

1. There is appropriated from the general fund of the3
state to the department of human services for the fiscal year4

beginning July 1, 2014, and ending June 30, 2015, the following5
amount, or so much thereof as is necessary, to be used for the6
purpose designated:7

For adoption subsidy payments and services:8

.....	\$ 20,364,6419
42,580,74910	

2. The department may transfer funds appropriated in11
this section to the appropriation made in this division of12
this Act for general administration for costs paid from the13
appropriation relating to adoption subsidy.14

3. Federal funds received by the state during the15
fiscal year beginning July 1, 2014, as the result of the16
expenditure of state funds during a previous state fiscal17
year for a service or activity funded under this section are18
appropriated to the department to be used as additional funding19
for the services and activities funded under this section.20

Notwithstanding section 8.33, moneys received in accordance²¹
with this subsection that remain unencumbered or unobligated²²
at the close of the fiscal year shall not revert to any fund²³
but shall remain available for expenditure for the purposes²⁴
designated until the close of the succeeding fiscal year.²⁵

Sec. 23. 2013 Iowa Acts, chapter 138, section 151, is²⁶
amended to read as follows:²⁷

SEC. 151. FAMILY SUPPORT SUBSIDY PROGRAM.²⁸

1. There is appropriated from the general fund of the²⁹
state to the department of human services for the fiscal year³⁰
beginning July 1, 2014, and ending June 30, 2015, the following³¹
amount, or so much thereof as is necessary, to be used for the³²
purpose designated:³³

For the family support subsidy program subject to the³⁴
enrollment restrictions in section 225C.37, subsection 3:³⁵

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..... \$ 546,4781

1,079,7392

2. The department shall use at least \$241,750 \$532,5003
of the moneys appropriated in this section for the family4

support center component of the comprehensive family support5
program under section 225C.47. Not more than \$12,500 \$25,0006
of the amount allocated in this subsection shall be used for7
administrative costs.8

3. If at any time during the fiscal year, the amount of9
funding available for the family support subsidy program10

is reduced from the amount initially used to establish the11

figure for the number of family members for whom a subsidy12

is to be provided at any one time during the fiscal year,13

notwithstanding section 225C.38, subsection 2, the department14

shall revise the figure as necessary to conform to the amount15

of funding available.16

Sec. 24. 2013 Iowa Acts, chapter 138, section 152, is17

amended to read as follows:18

SEC. 152. CONNER DECREE. There is appropriated from the19

general fund of the state to the department of human services20

for the fiscal year beginning July 1, 2014, and ending June 30,21

2015, the following amount, or so much thereof as is necessary,22

to be used for the purpose designated:23

1. For the state mental health institute at Cherokee for2 salaries, support, maintenance, and miscellaneous purposes, and3 for not more than the following full-time equivalent positions:4

..... \$ 2,977,2325
6,031,9346

..... FTEs 169.207

2. For the state mental health institute at Clarinda for8

salaries, support, maintenance, and miscellaneous purposes, and9 for not more than the following full-time equivalent positions:10

..... \$ 3,375,93411
6,787,30912

..... FTEs 86.1013

3. For the state mental health institute at Independence for14

salaries, support, maintenance, and miscellaneous purposes, and15 for not more than the following full-time equivalent positions:16

..... \$ 5,159,38917
10,484,38618

..... FTEs 233.0019

4. For the state mental health institute at Mount Pleasant20

for salaries, support, maintenance, and miscellaneous purposes,21 and for not more than the following full-time equivalent22

positions:23

..... \$ 683,34324
1,417,79625

..... FTEs 97.9226

Sec. 26. 2013 Iowa Acts, chapter 138, section 154, is
amended to read as follows:

SEC. 154. STATE RESOURCE CENTERS.

1. There is appropriated from the general fund of the
state to the department of human services for the fiscal year
beginning July 1, 2014, and ending June 30, 2015, the following
amounts, or so much thereof as is necessary, to be used for the
purposes designated:

a. For the state resource center at Glenwood for salaries,

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support, maintenance, and miscellaneous purposes:
..... \$ 10,137,2362
21,695,2663

b. For the state resource center at Woodward for salaries,

support, maintenance, and miscellaneous purposes:

..... \$ 7,110,2326
14,855,6937

2. The department may continue to bill for state resource8
center services utilizing a scope of services approach used for9
private providers of ICFID services, in a manner which does not10
shift costs between the medical assistance program, counties,11
or other sources of funding for the state resource centers.12

3. The state resource centers may expand the time-limited13
assessment and respite services during the fiscal year.14

4. If the department’s administration and the department15
of management concur with a finding by a state resource16
center’s superintendent that projected revenues can reasonably17
be expected to pay the salary and support costs for a new18
employee position, or that such costs for adding a particular19
number of new positions for the fiscal year would be less20
than the overtime costs if new positions would not be added,21
the superintendent may add the new position or positions. If22
the vacant positions available to a resource center do not23
include the position classification desired to be filled, the24
state resource center’s superintendent may reclassify any25
vacant position as necessary to fill the desired position. The26
superintendents of the state resource centers may, by mutual27
agreement, pool vacant positions and position classifications28

1. There is appropriated from the general fund of the9
state to the department of human services for the fiscal year10
beginning July 1, 2014, and ending June 30, 2015, the following11
amount, or so much thereof as is necessary, to be used for the12
purpose designated:13

For costs associated with the commitment and treatment of14
sexually violent predators in the unit located at the state15
mental health institute at Cherokee, including costs of legal16
services and other associated costs, including salaries,17
support, maintenance, and miscellaneous purposes, and for not18
more than the following full-time equivalent positions:19

..... \$ 4,708,48520

9,923,56321

..... FTEs 124.5022

132.5023

2. Unless specifically prohibited by law, if the amount24
charged provides for recoupment of at least the entire amount25
of direct and indirect costs, the department of human services26
may contract with other states to provide care and treatment27
of persons placed by the other states at the unit for sexually28
violent predators at Cherokee. The moneys received under29
such a contract shall be considered to be repayment receipts30

and used for the purposes of the appropriation made in this31
section.32

Sec. 28. 2013 Iowa Acts, chapter 138, section 156, is33
amended to read as follows:34

SEC. 156. FIELD OPERATIONS. There is appropriated from the35

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general fund of the state to the department of human services1
for the fiscal year beginning July 1, 2014, and ending June 30,2
2015, the following amount, or so much thereof as is necessary,3
to be used for the purposes designated:4

For field operations, including salaries, support,5
maintenance, and miscellaneous purposes, and for not more than6
the following full-time equivalent positions:7
..... \$ 33,261,1948

66,670,9769
..... FTEs 1,837.0010

1A. As a condition of this appropriation, the department11

shall make every possible effort to fill the entire number of¹²
positions authorized by this section and, unless specifically¹³
provided otherwise by an applicable collective bargaining¹⁴
agreement, the department is not subject to any approval¹⁵
requirement external to the department to fill a field¹⁶
operations vacancy within the number of full-time equivalent¹⁷
positions authorized by this section. The department shall¹⁸
report on the first of each month to the chairpersons and¹⁹
ranking members of the appropriations committees of the senate²⁰
and house of representatives, and the persons designated by²¹
this Act for submission of reports concerning the status of²²
filling the positions.²³

2. Priority in filling full-time equivalent positions²⁴

shall be given to those positions related to child protection²⁵
services and eligibility determination for low-income families.²⁶

Sec. 29. 2013 Iowa Acts, chapter 138, section 157, is²⁷

amended to read as follows:²⁸

SEC. 157. GENERAL ADMINISTRATION. There is appropriated²⁹
from the general fund of the state to the department of human³⁰
services for the fiscal year beginning July 1, 2014, and ending³¹
June 30, 2015, the following amount, or so much thereof as is³²
necessary, to be used for the purpose designated:³³

For general administration, including salaries, support,34
maintenance, and miscellaneous purposes, and for not more than35

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the following full-time equivalent positions:1

..... \$ 8,152,3862

16,304,6023

..... FTEs 309.004

1. Of the funds appropriated in this section, \$31,7725
\$38,543 is allocated for the prevention of disabilities policy6
council established in section 225B.3.7

2A. The department shall report at least monthly to the8

legislative services agency concerning the department's9
operational and program expenditures.10

3. Of the funds appropriated in this section, \$66,15011

\$132,300 shall be used to continue the contract for the12

provision of a program to provide technical assistance,13

support, and consultation to providers of habilitation services14

and home and community-based services waiver services for15
adults with disabilities under the medical assistance program.16

4. Of the funds appropriated in this section, \$25,00017
\$50,000 is transferred to the Iowa finance authority to be18
used for administrative support of the council on homelessness19
established in section 16.100A and for the council to fulfill20
its duties in addressing and reducing homelessness in the21
state.22

5A. Of the funds appropriated in this section \$250,000 is23
transferred to the department of inspections and appeals to be24
used to implement a new mental health advocate division in the25
department in accordance with this 2014 Act.26

Sec. 30. 2013 Iowa Acts, chapter 138, section 158, is27
amended to read as follows:28

SEC. 158. VOLUNTEERS. There is appropriated from the29
general fund of the state to the department of human services30
for the fiscal year beginning July 1, 2014, and ending June 30,31
2015, the following amount, or so much thereof as is necessary,32
to be used for the purpose designated:33

For development and coordination of volunteer services:34

..... \$ 42,33035

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84,6861

Sec. 31. 2013 Iowa Acts, chapter 138, section 159,2
subsection 1, paragraph a, subparagraph (1), is amended to read³
as follows:⁴

(1) For the fiscal year beginning July 1, 2014, the total⁵
state funding amount for the nursing facility budget shall not⁶
exceed \$268,712,511 \$282,878,824.7

Sec. 32. 2013 Iowa Acts, chapter 138, section 159,8

subsection 1, paragraph b, is amended to read as follows:⁹

b. (1) For the fiscal year beginning July 1, 2014,¹⁰

the department shall continue the pharmacy dispensing fee¹¹

reimbursement at \$10.12 per prescription until a cost of¹²

dispensing survey is completed. The actual dispensing fee¹³

shall be determined by a cost of dispensing survey performed¹⁴

by the department and required to be completed by all medical¹⁵

assistance program participating pharmacies every two years¹⁶

beginning in FY 2014-2015.¹⁷

(2) The department shall utilize an average acquisition¹⁸
cost reimbursement methodology for all drugs covered under the¹⁹
medical assistance program in accordance with 2012 Iowa Acts,²⁰
chapter 1133, section 33.21

(3) Notwithstanding subparagraph (2), if the centers for²²
Medicare and Medicaid services of the United States department²³
of health and human services (CMS) requires, as a condition²⁴
of federal Medicaid funding, that the department implement an²⁵
aggregate federal upper limit (FUL) for drug reimbursement²⁶
based on the average manufacturer's price (AMP), the department²⁷
may utilize a reimbursement methodology for all drugs covered²⁸
under the Medicaid program based on the national average drug²⁹
acquisition cost (NADAC) methodology published by CMS, in order³⁰
to assure compliance with the aggregate FUL, minimize outcomes³¹
of drug reimbursements below pharmacy acquisition costs, limit³²
administrative costs, and minimize any change in the aggregate³³
reimbursement for drugs. The department may adopt emergency³⁴
rules to implement this subparagraph.³⁵

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Sec. 33. 2013 Iowa Acts, chapter 138, section 159,1
subsection 1, paragraph n, is amended to read as follows:2
n. For the fiscal year beginning July 1, 2014, the3
reimbursement rates for inpatient mental health services4

provided at hospitals shall remain at the rates in effect5
on June 30, 2014, subject to Medicaid program upper payment6
limit rules; community mental health centers and providers7
of mental health services to county residents pursuant to a8

waiver approved under section 225C.7, subsection 3, shall be9
reimbursed at 100 percent of the reasonable costs for the10

provision of services to recipients of medical assistance; and11

psychiatrists shall be reimbursed at the medical assistance12

program fee for service rate.13

Sec. 34. 2013 Iowa Acts, chapter 138, section 159,14

subsection 1, is amended by adding the following new paragraph:15

NEW PARAGRAPH. 0o. For the fiscal year beginning July16

1, 2014, community mental health centers may choose to be17

reimbursed for the services provided to recipients of medical18

assistance through either of the following options:19

(1) For 100 percent of the reasonable costs of the services.20

(2) In accordance with the alternative reimbursement rate²¹ methodology established by the medical assistance program's²² managed care contractor for mental health services and approved²³ by the department of human services.²⁴

Sec. 35. 2013 Iowa Acts, chapter 138, section 159,²⁵ subsection 6, is amended to read as follows:²⁶

6. For the fiscal year beginning July 1, 2014, the²⁷ reimbursement rates for family-centered service providers,²⁸ family foster care service providers, group foster care service²⁹ providers, and the resource family recruitment and retention³⁰ contractor shall remain at the rates in effect on June 30,³¹ 2014.³²

Sec. 36. 2013 Iowa Acts, chapter 138, section 159, is³³ amended by adding the following new subsection:³⁴

NEW SUBSECTION. 6A. a. For the purposes of this³⁵

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subsection, "combined reimbursement rate" means the combined¹
service and maintenance reimbursement rate for a service level²
under the department's reimbursement methodology.³

b. For the fiscal year beginning July 1, 2014, the combined⁴

reimbursement rate for the department's group foster care⁵
service levels, community - D1, comprehensive - D2, and⁶
enhanced - D3, shall be 80 percent of the patient-day weighted⁷
statewide average cost of group foster care cost reports⁸

that were verified and used for the foster group care rate⁹
methodology workgroup final report submitted to the general¹⁰

assembly in December 2012, as adjusted for utilization in the¹¹

fiscal year beginning July 1, 2014.¹²

Sec. 37. 2013 Iowa Acts, chapter 138, section 159,¹³

subsection 9, is amended to read as follows:¹⁴

9. For the fiscal year beginning July 1, 2013 2014, the¹⁵

department shall calculate reimbursement rates for intermediate¹⁶

care facilities for persons with intellectual disabilities at¹⁷

the 80th percentile. Beginning July 1, 2013 2014, the rate¹⁸

calculation methodology shall utilize the consumer price index¹⁹

inflation factor applicable to the fiscal year beginning July²⁰

1, 2013.²¹

Sec. 38. 2013 Iowa Acts, chapter 138, section 160, is²²

amended to read as follows:²³

SEC. 160. EMERGENCY RULES.24

1. If specifically authorized by a provision of this25
division of this Act for the fiscal year beginning July 1, 201326
2014, the department of human services or the mental health27
and disability services commission may adopt administrative28
rules under section 17A.4, subsection 3, and section 17A.5,29
subsection 2, paragraph "b", to implement the provisions and30
the rules shall become effective immediately upon filing or31
on a later effective date specified in the rules, unless the32
effective date is delayed by the administrative rules review33
committee. Any rules adopted in accordance with this section34
shall not take effect before the rules are reviewed by the35

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administrative rules review committee. The delay authority1

provided to the administrative rules review committee under2
section 17A.4, subsection 7, and section 17A.8, subsection 9,3
shall be applicable to a delay imposed under this section,4

notwithstanding a provision in those sections making them5
inapplicable to section 17A.5, subsection 2, paragraph "b".6
Any rules adopted in accordance with the provisions of this7
section shall also be published as notice of intended action8

as provided in section 17A.4.9

2. If during the fiscal year beginning July 1, 201310

2014, the department of human services is adopting rules in11

accordance with this section or as otherwise directed or12

authorized by state law, and the rules will result in an13

expenditure increase beyond the amount anticipated in the14

budget process or if the expenditure was not addressed in15

the budget process for the fiscal year, the department shall16

notify the persons designated by this division of this Act for17

submission of reports, the chairpersons and ranking members18

of the committees on appropriations, and the department of19

management concerning the rules and the expenditure increase.20

The notification shall be provided at least 30 calendar days21

prior to the date notice of the rules is submitted to the22

administrative rules coordinator and the administrative code23

editor.24

Sec. 39. 2013 Iowa Acts, chapter 138, section 161, is25

amended to read as follows:26

SEC. 161. REPORTS. Any reports or other information²⁷
 required to be compiled and submitted under this Act during²⁸
 the fiscal year beginning July 1, 2013 2014, shall be²⁹
 submitted to the chairpersons and ranking members of the joint³⁰
 appropriations subcommittee on health and human services, the³¹
 legislative services agency, and the legislative caucus staffs³²
 on or before the dates specified for submission of the reports³³
 or information.³⁴

DIVISION VI³⁵

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HEALTH CARE ACCOUNTS AND FUNDS¹

Sec. 40. 2013 Iowa Acts, chapter 138, section 162, is²
 amended to read as follows:³

SEC. 162. PHARMACEUTICAL SETTLEMENT ACCOUNT. There is⁴

appropriated from the pharmaceutical settlement account created⁵

in section 249A.33 to the department of human services for the6
fiscal year beginning July 1, 2014, and ending June 30, 2015,7
the following amount, or so much thereof as is necessary, to be8

used for the purpose designated:9

Notwithstanding any provision of law to the contrary, to10

supplement the appropriations made in this Act for medical11

contracts under the medical assistance program for the fiscal12

year beginning July 1, 2013 2014, and ending June 30, 201413

2015:14

..... \$ 3,325,00015

5,467,56416

Sec. 41. 2013 Iowa Acts, chapter 138, section 163, is17

amended to read as follows:18

SEC. 163. QUALITY ASSURANCE TRUST FUND — DEPARTMENT OF19

HUMAN SERVICES. Notwithstanding any provision to the contrary20

and subject to the availability of funds, there is appropriated21

from the quality assurance trust fund created in section22

249L.4 to the department of human services for the fiscal year23

beginning July 1, 2014, and ending June 30, 2015, the following24

amounts, or so much thereof as is necessary, for the purposes25

designated:26

To supplement the appropriation made in this Act from the27

general fund of the state to the department of human services28

for medical assistance for the same fiscal year:29
..... \$ 28,788,91730

29,195,65331

DIVISION VII32

PERSONNEL SETTLEMENT AGREEMENTS33

Sec. 42. PERSONNEL SETTLEMENT AGREEMENT PAYMENTS. As a34
condition of the appropriations in this 2014 Act, the moneys35

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appropriated and any other moneys available shall not be used1
for payment of a personnel settlement agreement that contains a2
confidentiality provision intended to prevent public disclosure3
of the agreement or any terms of the agreement.4

DIVISION VIII5

PRIOR YEAR APPROPRIATIONS6

MEDICAL RESIDENCY7

Sec. 43. 2013 Iowa Acts, chapter 138, section 3, subsection8

4, paragraph r, is amended to read as follows:9

r. Of the funds appropriated in this subsection, \$2,000,00010 shall be deposited in the medical residency training account11 created in section 135.175, subsection 5, paragraph "a", and12 is appropriated from the account to the department of public13 health to be used for the purposes of the medical residency14 training state matching grants program as specified in section15 135.176. However, notwithstanding any provision to the16 contrary in section 135.176, priority in the awarding of grants17 shall be given to the development of new medical residency18 positions, psychiatric residency positions, and family practice19 residency positions.20

CONSUMER-DIRECTED ATTENDANT CARE21

Sec. 44. 2013 Iowa Acts, chapter 138, section 12, subsection22 19, paragraph a, subparagraph (6), is amended to read as23 follows:24

(6) The department shall require transition of the25 provision by individual providers of personal care under the26 consumer-directed attendant care option to agency-provided27 personal care services and shall retain the consumer choice28 option for those individuals able and desiring to self-direct29 services.30

AUTISM31

Sec. 45. 2013 Iowa Acts, chapter 138, section 13, subsection32

10, is amended to read as follows:33

10. Of the funds appropriated in this section, \$2,000,00034

shall be used for the autism support program created in35

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chapter 225D, as enacted in this Act, beginning January 1,1
2014. Notwithstanding section 8.33, moneys allocated in this2
subsection that remain unencumbered or unobligated at the close3
of the fiscal year shall not revert but shall remain available4

for expenditure for the purposes designated until the close of5
the succeeding fiscal year.6

FOSTER CARE RESPITE7

Sec. 46. 2013 Iowa Acts, chapter 138, section 18, subsection8

26, is amended to read as follows:9

26. Of the funds appropriated in this section, at least10

\$25,000 shall be used to continue and to expand the foster11

care respite pilot program in which postsecondary students in12
social work and other human services-related programs receive13
experience by assisting family foster care providers with14
respite and other support. Notwithstanding section 8.33,15
moneys allocated in this subsection that remain unencumbered or16
unobligated at the close of the fiscal year shall not revert17
but shall remain available for expenditure for the purposes18
designated until the close of the succeeding fiscal year.19

COMMUNITY MENTAL HEALTH CENTER REIMBURSEMENT20

Sec. 47. 2013 Iowa Acts, chapter 138, section 29, subsection21

1, paragraph n, is amended to read as follows:22

n. For the fiscal year beginning July 1, 2013, the23
reimbursement rates for inpatient mental health services24
provided at hospitals shall be increased by 1 percent over the25
rates in effect on June 30, 2013, subject to Medicaid program26
upper payment limit rules; community mental health centers27
and providers of mental health services to county residents28
pursuant to a waiver approved under section 225C.7, subsection29
3, shall be reimbursed at 100 percent of the reasonable30
costs for the provision of services to recipients of medical31
assistance; and psychiatrists shall be reimbursed at the32
medical assistance program fee-for-service rate.33

Sec. 48. 2013 Iowa Acts, chapter 138, section 29, subsection 34
1, is amended by adding the following new paragraph: 35

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NEW PARAGRAPH. 0o. For the fiscal year beginning July 1, 2013, community mental health centers may choose to be reimbursed for the services provided to recipients of medical assistance through either of the following options:

- (1) For 100 percent of the reasonable costs of the services.
- (2) In accordance with the alternative reimbursement rate methodology established by the medical assistance program's managed care contractor for mental health services and approved by the department of human services.

Sec. 49. EMERGENCY RULES. The department of human services may adopt emergency rules under section 17A.4, subsection 3, and section 17A.5, subsection 2, paragraph "b", to implement the section of this division of this Act amending 2013 Iowa Acts, chapter 138, section 29, subsection 1, paragraph "n" and

enacting "0o", and the rules shall be effective immediately15
upon filing unless a later date is specified in the rules. Any16
rules adopted in accordance with this section shall also be17
published as a notice of intended action as provided in section18
17A.4.19

Sec. 50. EFFECTIVE UPON ENACTMENT. This division of this20
Act, being deemed of immediate importance, takes effect upon21
enactment.22

Sec. 51. RETROACTIVE APPLICABILITY. The section of this23
division of this Act amending 2013 Iowa Acts, chapter 138,24
section 12, subsection 19, paragraph "a", subparagraph (6),25
applies retroactively to July 1, 2013.26

Sec. 52. RETROACTIVE APPLICABILITY. The sections of this27
division of this Act amending 2013 Iowa Acts, chapter 138,28
section 29, subsection 1, paragraph "n" and enacting new29
paragraph "0o", apply retroactively to July 1, 2013.30

DIVISION IX31

MENTAL HEALTH AND DISABILITY SERVICES32

Sec. 53. MENTAL HEALTH AND DISABILITY SERVICES —33
EQUALIZATION PAYMENTS TRANSFER AND APPROPRIATION.34

1. There is transferred from the general fund of the35

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state to the department of human services for the fiscal year1
beginning July 1, 2014, and ending June 30, 2015, the following2
amount, or so much thereof as is necessary, to be used for the3
purposes designated:4

For deposit in the property tax relief fund created in5
section 426B.1, for distribution as provided in this section:6
..... \$ 30,555,8237

2. The moneys credited to the property tax relief fund in8

accordance with this section are appropriated to the department9
of human services for distribution of equalization payments for10

counties in the amounts specified in section 426B.3, subsection11

4, for the fiscal year beginning July 1, 2014. If the county12

is part of a region that has been approved by the department in13

accordance with section 331.389, to commence partial or full14

operations, the county's equalization payment shall be remitted15

to the region for expenditure as approved by the region's16

governing board.17

3. a. For the purposes of this subsection, "payment18 obligation" means an outstanding obligation for payment to19 the department of human services for the undisputed cost of20 services provided under the medical assistance program prior21 to July 1, 2012, or for the undisputed cost of non-Medicaid22 services provided prior to July 1, 2013.23

b. Unless a county has entered into an agreement as provided24 in paragraph "c", if a county receiving an equalization payment25 under this section has a payment obligation, the county shall26 remit to the department any unpaid portion of the payment27 obligation prior to June 30, 2015, from moneys available to the28 county that meet federal match requirements for the medical29 assistance program and for the child enrollment contingency30 fund under the federal Children's Health Insurance Program31 Reauthorization Act of 2009.32

c. A county that has not paid the county's payment33 obligation in full as provided in paragraph "b" shall enter34 into an agreement with the department for remittance of35

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any unpaid portion of the county's payment obligation. An agreement entered into under this lettered paragraph shall provide for remittance of any unpaid portion by the end of the fiscal year beginning July 1, 2014. The equalization

payment for a county subject to this lettered paragraph shall be remitted as provided by the county's agreement with the department.

d. The equalization payment for a county that is not subject

to paragraph "c" shall be remitted on or before July 15, 2014.

Sec. 54. STATE PAYMENT PROGRAM REMITTANCE APPROPRIATION.

The moneys transferred to the property tax relief fund for the

fiscal year beginning July 1, 2014, from the federal social

services block grant pursuant to 2013 Iowa Acts, chapter 136,

section 11, subsection 3, paragraph "e", and from the federal

temporary assistance for needy families block grant, totaling

at least \$11,774,275, are appropriated to the department of

human services for the fiscal year beginning July 1, 2014, to

be used for distribution of state payment program remittances

to counties for the fiscal year in accordance with this

section. The state payment program remittance shall be an

amount equal to the amount paid to a county of residence under21
the program for state case services known as the state payment22
program, implemented pursuant to section 331.440, subsection23
5, Code 2013, during the most recently available twelve-month24
period.25

Sec. 55. VOCATIONAL REHABILITATION SERVICES —26

EMPLOYMENT. The department of human services and the division27
of vocational rehabilitation services of the department of28
education shall jointly develop protocols and program models to29
integrate the employment-related services and other supports30
provided to persons with disabilities through federal match31
funding administered by the department and the division.32
The department and the division shall report on or before33
December 15, 2014, to the individuals identified in this Act34
for submission of reports and to the chairpersons and ranking35

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members of the joint appropriations subcommittee on education¹
on the expenditure of such funding in the previous fiscal year²
along with findings and recommendations.³

Sec. 56. BED AVAILABILITY TRACKING SYSTEM PROPOSAL. The⁴

department of human services shall continue and expand upon⁵
the study regarding the possible development of a psychiatric⁶
and substance-related disorder treatment hospital bed tracking⁷
system as documented in its report submitted in December⁸

2013 pursuant to 2013 Iowa Acts, chapter 130, section 56.⁹
In addition to representatives of magistrates and the Iowa¹⁰

hospital association, the expanded study shall include¹¹

representatives of the regional mental health and disability¹²

services system, state mental health institutes, and the Iowa¹³

association of community providers. The study shall identify¹⁴

options for implementing a bed tracking system in the fiscal¹⁵

year beginning July 1, 2015, and include a detailed proposal¹⁶

for the option preferred by the study group. The content of¹⁷

the detailed proposal shall include a budget, identification¹⁸

of how bed availability and related data would be entered¹⁹

into the system and verified, how privacy information would²⁰

be protected, preferred options and rationales for addressing²¹

implementation issues, a preferred administrative structure,²²

and other operational provisions. The results of the expanded²³

study shall be submitted on or before December 15, 2014, along24
 with findings and recommendations to the governor and the25
 persons designated by this Act for submission of reports.26

Sec. 57. STUDY OF COMMUNITY-BASED SERVICE OPTIONS FOR27
 PERSONS WITH SERIOUS MENTAL ILLNESS. The department of28
 human services shall engage representatives of the department29
 of inspections and appeals, the regional mental health and30
 disability services system, the Iowa association of community31
 providers and other service providers, and other stakeholders32
 to study community-based placement options for persons with33
 serious mental illness to divert them from or end their need34
 for an institutional placement. The study shall consider35

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both services currently available and services that should1

be developed to meet the needs of persons with serious²
mental illness. The system elements addressed by the study³
shall include but are not limited to regulatory, liability,⁴

and funding issues, and other barriers to maintaining⁵
current community-based services options and developing new⁶
options. The results of the study, including findings and⁷
recommendations shall be reported on or before December 15,⁸

2014, to the governor and the persons designated by this Act⁹
for submission of reports.¹⁰

Sec. 58. Section 331.388, subsection 3, Code 2014, is¹¹

amended to read as follows:¹²

3. "*Population*" means, as of July 1 of the fiscal year¹³

preceding the fiscal year in which the population figure is¹⁴

applied, the population shown by the latest preceding certified¹⁵

federal census or the latest applicable population estimate¹⁶

issued by the United States census bureau, whichever is most¹⁷

recent.¹⁸

Sec. 59. Section 331.391, Code 2014, is amended by adding¹⁹

the following new subsection:²⁰

NEW SUBSECTION. 4. If a region is meeting the financial²¹

obligations for implementation of its regional service system²²

management plan for a fiscal year and residual funding is²³

anticipated, the regional administrator shall reserve an²⁴

adequate amount for cash flow of expenditure obligations in²⁵

the next fiscal year. The cash flow amount shall not exceed²⁶

twenty-five percent of the gross expenditures budgeted for the27
 combined account or for all regional accounts for the fiscal28
 year in progress. Residual funding remaining after the cash29
 flow amount is reserved shall be used to expand the region's30
 core services under section 331.397, subsection 4, and then to31
 make additional core service domains available in the region as32
 enumerated in section 331.397, subsection 6.33

Sec. 60. Section 331.397, subsection 4, paragraph d,34
 unnumbered paragraph 1, Code 2014, is amended to read as35

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follows:1

Support for employment or for activities leading to2
 employment providing an appropriate match with an individual's3
 abilities, including but not limited to all of the following:4

Sec. 61. Section 331.424A, Code 2014, is amended by adding5

the following new subsection:6

NEW SUBSECTION. 3A. An amount shall be reserved in the7
county services fund to address cash flow obligations in the8

next fiscal year. The cash flow amount shall not exceed9
twenty-five percent of the gross expenditures budgeted from the10

county services fund for the fiscal year in progress. The cash11

flow amount for a county's services fund shall be specified in12

the regional governance agreement entered into by the county13

under section 331.392.14

Sec. 62. Section 426B.3, subsection 4, Code 2014, is amended15

to read as follows:16

4. *a.* For the fiscal years beginning July 1, 2013,17

and July 1, 2014, and July 1, 2015, a county with a county18

population expenditure target amount that exceeds the amount19

of the county's base year expenditures for mental health and20

disabilities services shall receive an equalization payment for21

the difference.22

b. The equalization payments determined in accordance23

with this subsection shall be made by the department of human24

services for each fiscal year as provided in appropriations25

made from the property tax relief fund for this purpose. If26

the county is part of a region that has been approved by the27

department in accordance with section 331.389, to commence28

partial or full operations, the county's equalization payment²⁹
shall be remitted to the region or the county, as appropriate,³⁰
for expenditure as approved by the region's governing board or³¹
in accordance with the county's service management plan, as³²
appropriate. The payment for a county that has been approved³³
by the department to operate as an individual county region³⁴
shall be remitted to the county for expenditure as approved by³⁵

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the county board of supervisors. For the fiscal year beginning¹
July 1, 2013, and succeeding fiscal years, the payment shall²
be remitted on or before December 31 only for those counties³
approved to operate as an individual county region or to be⁴

part of a region. Remittance of the payment for a county⁵
without such approval shall be deferred until such approval is⁶
granted.⁷

Sec. 63. Section 426B.3, subsection 5, paragraph b, Code⁸

2014, is amended to read as follows:9

b. (1) For the fiscal year beginning July 1, 2013, and10

succeeding fiscal years, the department of human services shall11

calculate a Medicaid offset amount for each county for the12

fiscal year. The department shall adopt rules in consultation13

with the county finance committee specifying the information14

to be used in calculating a Medicaid offset amount. The15

information shall include but is not limited to identification16

of the amount expended for specific services and supports that17

would otherwise be payable by the county for persons eligible18

under a county's approved service management plan but are were19

instead paid by the Iowa health and wellness plan. The amount20

calculated for a county shall be subject to review by the21

auditor of that county or subject to independent audit. The22

Medicaid offset amounts calculated for the counties are subject23

to review by the auditor of state prior to their certification.24

The Medicaid offset amounts calculated by the department for a25

county for a fiscal year are not official until certified by26

the director of human services and submitted to the governor27

and general assembly by October 15 December 1 immediately28

following the end of the fiscal year for which the offset29

amounts were calculated.30

(2) In implementing subparagraph (1), a county's offset31
amount for the fiscal year beginning July 1, 2013, shall be32
calculated by first identifying the actual amounts expended33
from the county's services fund during the base period of July34
1, 2013, through December 31, 2013, for services and supports35

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provided to persons who became eligible for the Iowa health1
and wellness plan during the implementation period of January2
1, 2014, and June 30, 2014. For purposes of calculating the3
offset amount, it shall be assumed that the expenditures for4

the same services and supports provided under the plan during5
the implementation period are equal to the amount expended by6
the county for those services and supports for the comparable7
time during the base period.8

Sec. 64. 2013 Iowa Acts, chapter 136, section 11, subsection9
3, paragraph e, is amended to read as follows:10

e. To be credited to the property tax relief fund created11

in section 426B.1:12

(1) FY 2013-201413

..... \$ 7,480,23314

Of the amount allocated in this subparagraph, up to15
\$600,000 may be used by the department of human services for16
distribution to counties for state case services provided17
in prior fiscal years for persons with mental illness,18
intellectual disability, or a developmental disability in19
accordance with section 331.440, Code 2013.20

(2) FFY 2014-201521

..... \$ 7,480,23322

Of the amount allocated in this subparagraph, up to23
\$600,000 may be used by the department of human services for24
distribution to counties for state case services provided for25
persons with mental illness, intellectual disability, or a26
developmental disability in accordance with section 331.440,27
Code 2013, or in accordance with a dispute resolution process28
implemented in accordance with section 331.394, subsection 529
or 6.30

DIVISION X31

FAMILY SUPPLEMENTATION32

Sec. 65. Section 249A.4, subsection 10, paragraph b,33

subparagraph (6), Code 2014, is amended to read as follows:34

(6) Supplementation shall not be applicable if the35

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facility's occupancy rate is less than eighty fifty percent.1

DIVISION XI2

MISCELLANEOUS3

Sec. 66. Section 217.32, Code 2014, is amended to read as4

follows:5

217.32 Office space in county.6

Where the department of human services assigns personnel to7
an office located in a county for the purpose of performing in8

that county designated duties and responsibilities assigned by9
law to the department, it shall be the responsibility of the10

county to provide and maintain the necessary office space and11

office supplies and equipment for the personnel so assigned12

in the same manner as if they were employees of the county.13

The department shall at least annually, or more frequently if14

the department so elects, reimburse the county for a portion,15
designated by law, of the cost of maintaining office space and16
providing supplies and equipment as required by this section,17
and also for a similar portion of the cost of providing the18
necessary office space if in order to do so it is necessary19
for the county to lease office space outside the courthouse or20
any other building owned by the county. The portion of the21
foregoing costs reimbursed to the county under this section22
shall be equivalent to the proportion of those costs which23
the federal government authorizes to be paid from available24
federal funds, unless the general assembly directs otherwise25
when appropriating funds for support of the department. The26
department shall annually report to the auditor of state, on27
or before November 1, detailing for the preceding fiscal year28
the charges to and costs incurred by each county for office29
space and for providing supplies and equipment and the amounts30
reimbursed by the department in accordance with this section.31
The auditor of state shall analyze the information and publicly32
issue an opinion as to whether the charges and costs incurred33
and reimbursement amounts are reasonable, as compared to cost34
limitations and reimbursement amounts applied by the department35

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to private providers, to federal cost guidelines, and to other1
standards identified by the auditor of state.2

Sec. 67. Section 256I.8, subsection 3, Code 2014, is amended3
to read as follows:4

3. An area board shall not be a provider of services to or5
for the area board except as authorized by a waiver granted6
by the state board. The state board shall adopt criteria7
for granting a waiver based upon cost effectiveness, service8

quality improvement or maintenance, or other appropriate basis9
identified by the state board.10

DIVISION XII11

ASSET VERIFICATION12

Sec. 68. MEDICAID PROGRAM — ASSET, INCOME, AND IDENTITY13

VERIFICATION. The department of human services shall contract14

with a third-party vendor to establish an electronic asset,15

income, and identity eligibility verification system for the16

purposes of determining or redetermining the eligibility of17

an individual who is an applicant for or recipient of medical18
 assistance under the Medicaid state plan on the basis of19
 being aged, blind, or disabled in accordance with 42 U.S.C.20
 §1396w. The third-party vendor shall be able to demonstrate in21
 writing its current relationships or contracts with financial22
 institutions in the state and nationally. Participation by23
 financial institutions in providing account balances for asset24
 verification shall remain voluntary. The department of human25
 services shall submit by September 1, 2014, a progress report26
 to the individuals identified in this 2014 Act for submission27
 of reports.28

Sec. 69. EFFECTIVE UPON ENACTMENT. This division of this29
 Act, being deemed of immediate importance, takes effect upon30
 enactment.31

DIVISION XIII32

INTERDEPARTMENTAL COORDINATION — INDIVIDUALS RELEASED FROM33
 CORRECTIONAL SYSTEM34

Sec. 70. INTERDEPARTMENTAL COORDINATION — INDIVIDUALS35

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RELEASED FROM THE CORRECTIONAL SYSTEM.1

1. The department of human services, the department2
of public health, and the department of corrections shall3
implement an interagency collaborative effort to provide an4

integrated approach to address the medical and psychosocial5
needs of individuals upon release from a correctional facility.6

The collaboration shall provide for all of the following:7

a. Coordination between the departments of policies and8

procedures to facilitate information sharing, during the9
prerelease, transitional, and postrelease phases, including the10

development of protocols to share health and other personal11

information of an individual between departmental personnel12

involved in providing the individual's prerelease, transition,13

and postrelease services and support.14

b. Cross-disciplinary prerelease preparation that includes15

application for medical assistance, social security disability,16

and other supports for which the individual may be eligible;17

assessment of the holistic clinical and social needs of the18

individual including but not limited those relating to health19

and medical care, housing, education and training, employment20

assistance, and legal assistance; and identification of21
community-based services and providers necessary to address22
identified needs, including but not limited those necessary to23
address mental health and substance-related disorders.24

c. Transitional and postrelease interagency communication25
and coordination to ensure a more seamless transition26
of the individual to the community, ongoing linkages to27
community-based services, and continuity of care.28

2. The departments shall submit by December 15, 2014, a29
report to the individuals identified in this 2014 Act for30
submission of reports describing the details of the approach31
developed and implemented, any barriers to the development32
and implementation, any recommendations for changes in33
statute or rules to facilitate the approach, and any other34
recommendations.35

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DIVISION XIV¹

DEPARTMENTAL RESPONSIBILITY FOR MENTAL HEALTH ADVOCATES²

Sec. 71. NEW SECTION. **10A.901 Definitions.**³

As used in this article, unless the context otherwise⁴

requires:⁵

1. "*Administrator*" means the person coordinating the⁶
administration of the division.⁷

2. "*Division*" means the mental health advocate division of⁸

the department of inspections and appeals.⁹

Sec. 72. NEW SECTION. **10A.902 Duties of administrator.**¹⁰

The administrator shall administer the division's conduct¹¹

of the mental health advocate program as provided by section¹²

229.19 and other applicable law. The person appointed as¹³

administrator must meet the qualifications to be appointed as a¹⁴

mental health advocate. The administrator's duties may include¹⁵

but are not limited to all of the following:¹⁶

1. *a.* Approving the appointment of persons to serve as¹⁷

mental health advocates and identifying qualifications for¹⁸

persons serving as mental health advocates. A mental health¹⁹

advocate serving as of June 30, 2015, shall be deemed to be²⁰

qualified. The minimum qualifications for a mental health²¹

advocate whose initial appointment commences on or after July²²

1, 2015, shall be a bachelor's degree from an accredited²³

performed by persons appointed to serve as mental health2
advocates and for reassigning advocate responsibilities based3
on the location of the patient's placement or other patient4

need. The court shall be notified of any reassignment. The5
procedures for appointing a person to a vacant mental health6
advocate position assigned to a geographic area shall require7
the person appointed to the vacant position to reside within8

the assigned geographic area.9

4. Administering program additions and expansions,10

including providing advocate services for persons with a11

substance-related disorder and persons found not guilty12

by reason of insanity, if such additions or expansions are13

authorized and funded.14

5. Developing and implementing a case weight system for use15

in appointing and compensating advocates.16

6. Administering case reviews and audits.17

7. Implementing a uniform description of the duties18

of mental health advocates, based upon the best practices19

developed and promulgated by the judicial council pursuant to20

section 229.19, subsection 1, paragraph "c".21

Sec. 73. TRANSITION.22

1. The department of inspections and appeals shall commence23

organizational activities during the fiscal year beginning July24

1, 2014, as necessary to fully implement this division and25

assume responsibility for mental health advocates as provided26

in this division and division II of this Act on July 1, 2015.27
 2. If necessary for the purposes of subsection 1, the28
 department of inspections and appeals may adopt emergency29
 rules under section 17A.4, subsection 3, and section 17A.5,30
 subsection 2, paragraph "b", to implement the provisions of31
 division II of this Act on July 1, 2015, and the rules shall32
 be effective immediately upon filing unless a later date is33
 specified in the rules. Any rules adopted in accordance with34
 this section shall also be published as a notice of intended35

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action as provided in section 17A.4.1
 DIVISION XV2
 IMPLEMENTATION — MENTAL HEALTH ADVOCATES3
 Sec. 74. Section 225C.4, subsection 1, paragraph m, Code4
 2014, is amended to read as follows:5

m. Provide consultation and technical assistance to6
patients' mental health advocates appointed pursuant to7
section 229.19, in cooperation with the judicial branch and the8
department of inspections and appeals, and to the certified9
volunteer long-term care ombudsmen certified pursuant to10
section 231.45.11

Sec. 75. Section 226.31, Code 2014, is amended to read as12
follows:13

226.31 Examination by court — notice.14

Before granting the order authorized in section 226.3015
the court or judge shall investigate the allegations of the16
petition and before proceeding to a hearing on the allegations17
shall require notice to be served on the attorney who18
represented the patient in any prior proceedings under sections19
229.6 to 229.15 or the and to any mental health advocate20
appointed for the patient under section 229.19, or in the case21
of a patient who entered the hospital voluntarily, on any22
relative, friend, or guardian of the person in question of the23
filing of the application. At the hearing the court or judge24
shall appoint a guardian ad litem for the person, if the court25
or judge deems such action necessary to protect the rights26
of the person. The guardian ad litem shall be a practicing27
attorney.28

Sec. 76. Section 229.2, subsection 1, paragraph b,29
subparagraph (6), Code 2014, is amended to read as follows:30

(6) Upon approval of the admission of a minor over the31
minor's objections, the juvenile court shall appoint an32
individual to act as an advocate representing the interests33
of the minor in the same manner as an a mental health34
advocate representing the interests of patients involuntarily35

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hospitalized pursuant to in accordance with section 229.19.1
Sec. 77. Section 229.9A, Code 2014, is amended to read as2
follows:3

229.9A Advocate Mental health advocate informed — hearings.4

The court shall direct the clerk to furnish the mental health5
advocate of the respondent's county of residence designated for6
the court by the department of inspections and appeals with a7
copy of application and any order issued pursuant to section8

229.8, subsection 3. The mental health advocate designated for
the court may attend the hospitalization any court hearing of
any involving the respondent for whom the advocate has received
notice of a hospitalization hearing.

Sec. 78. Section 229.12, subsection 2, Code 2014, is amended
to read as follows:

2. All persons not necessary for the conduct of the
proceeding shall be excluded, except that the court may admit
persons having a legitimate interest in the proceeding and
shall permit the mental health advocate from the respondent's
county of residence designated for the court by the department
of inspections and appeals to attend the hearing. Upon motion
of the county attorney, the judge may exclude the respondent
from the hearing during the testimony of any particular witness
if the judge determines that witness's testimony is likely to
cause the respondent severe emotional trauma.

Sec. 79. Section 229.14A, subsection 1, Code 2014, is
amended to read as follows:

1. With respect to a chief medical officer's report made
pursuant to section 229.14, subsection 1, paragraph "b", "c",
or "d", or any other provision of this chapter related to
involuntary commitment for which the court issues a placement

this section the court shall furnish a copy to the patient's¹²
attorney, or alternatively and to the mental health advocate¹³
appointed as required by section 229.19 for the patient. The¹⁴
court shall examine the report and take the action thereon¹⁵
which it deems appropriate. Should the court fail to receive¹⁶
any report required by this section or section 229.14 at the¹⁷
time the report is due, the court shall investigate the reason¹⁸
for the failure to report and take whatever action may be¹⁹
necessary in the matter.²⁰

Sec. 82. Section 229.19, Code 2014, is amended to read as²¹
follows:²²

**229.19 Advocates Mental health advocates — duties —²³
compensation — state and county liability.²⁴**

1. *a.* In each county with a population of three hundred²⁵
thousand or more inhabitants the board of supervisors shall²⁶
appoint an individual who has demonstrated by prior activities²⁷
an informed concern for the welfare and rehabilitation of²⁸
persons with mental illness, and who is not an officer or²⁹
employee of the department of human services nor of any agency³⁰
or facility providing care or treatment to persons with mental³¹
illness, to act as an advocate representing the interests of³²
patients involuntarily hospitalized by the court, in any matter³³

relating to the patients' hospitalization or treatment under34
section 229.14 or 229.15. In each county with a population of35

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under three hundred thousand inhabitants, the chief judge of1
the judicial district encompassing the county shall appoint2
the advocate. For the purposes of this section, "*division*"3
means the mental health advocate division of the department of4

inspections and appeals.5

b. The court or, if the advocate is appointed by the county6
board of supervisors, the board shall assign the advocate7
appointed from a patient's county of residence to represent8

the interests of the patient. If a patient has no county of9
residence or the patient is a state case, the court or, if the10

advocate is appointed by the county board of supervisors, the11

board shall assign the advocate appointed from the county where12

the hospital or facility is located to represent the interests13

of the patient.14

c. The advocate's responsibility with respect to any patient shall begin at whatever time the attorney employed or appointed to represent that patient as respondent in hospitalization proceedings, conducted under sections 229.6 to 229.13, reports to the court that the attorney's services are no longer required and requests the court's approval to withdraw as counsel for that patient. However, if

b. If the patient is found to be seriously mentally impaired at the hospitalization hearing, the attorney representing the patient shall automatically be relieved of responsibility in the case and an a mental health advocate shall be assigned to appointed for the patient at the conclusion of the hearing unless the attorney indicates an intent to continue the attorney's services and. The court shall notify the division of the court's finding and the division shall appoint an advocate for the patient. The advocate's responsibility with respect to a patient shall begin when the advocate is appointed for the patient. The attorney representing the patient shall automatically be relieved of responsibility at the conclusion of the hearing unless the attorney requests to continue representation and the court so directs authorizes the attorney

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patient and to originate communications with the patient within18
five days of the patient's commitment.19

(4) To visit the patient within fifteen days of the20
patient's commitment and periodically thereafter.21

(5) To communicate with medical personnel treating the22
patient and to review the patient's medical records pursuant23
to section 229.25.24

(6) To file with the court and the division quarterly25
reports, and additional reports as the advocate feels necessary26
or as required by the court division, in a form prescribed by27
the court division. The reports shall state what actions the28
advocate has taken with respect to each patient and the amount29
of time spent.30

(7) To utilize the related best practices for the duties31
identified in this paragraph "d" "c" developed and promulgated32
by the judicial council.33

e. d. An Subject to the availability of funding34
appropriated for this purpose, a mental health advocate may35

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also be appointed pursuant to this section for an individual
 who has been diagnosed with a co-occurring mental illness and
 substance-related disorder.

2. The hospital or facility to which a patient is committed

shall grant all reasonable requests of the patient's
 health advocate to visit the patient, to communicate with
 medical personnel treating the patient, and to review the
 patient's medical records pursuant to section 229.25. An

advocate shall not disseminate information from a patient's
 medical records to any other person unless done for official

purposes in connection with the advocate's duties pursuant to

this chapter or when required by law.

3. The court or, if the advocate is appointed by the county

board of supervisors, the board division shall prescribe

provide reasonable compensation for the services of the

advocate in accordance with section 10A.902. The compensation

shall be based upon the reports filed by the advocate with

the court. The advocate's compensation shall be paid by the

county in which the court is located, either on order of the

court or, if the advocate is appointed by the county board of

supervisors, on the direction of the board. If the advocate21
 is appointed by the court, the advocate is an employee of22
 the state for purposes of chapter 669. If the advocate is23
 appointed by the county board of supervisors, the advocate is24
 an employee of the county for purposes of chapter 670. If the25
 patient or the person who is legally liable for the patient's26
 support is not indigent, the board division shall recover27
 the costs of compensating the advocate from that person. If28
 that person has an income level as determined pursuant to29
 section 815.9 greater than one hundred percent but not more30
 than one hundred fifty percent of the poverty guidelines,31
 at least one hundred dollars of the advocate's compensation32
 shall be recovered in the manner prescribed by the county33
 board of supervisors. If that person has an income level as34
 determined pursuant to section 815.9 greater than one hundred35

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fifty percent of the poverty guidelines, at least two hundred1
dollars of the advocate's compensation shall be recovered in2
substantially the same manner prescribed by the county board of3
supervisors as provided in section 815.9.4

Sec. 83. Section 229.25, subsection 1, paragraph a,5
subparagraph (1), Code 2014, is amended to read as follows:6
(1) The information is requested by a licensed physician,7
attorney, or the mental health advocate who provides appointed8

for the person. The requester must provide the chief medical9
officer with a written waiver signed by the person about whom10

the information is sought.11

Sec. 84. APPOINTMENT OF MENTAL HEALTH ADVOCATES. The12
persons appointed to provide mental health advocate services13
under section 229.19 immediately prior to July 1, 2015, shall14
be appointed as mental health advocates pursuant to section15
10A.902, effective July 1, 2015.16

Sec. 85. EFFECTIVE DATE. This division of this Act takes17
effect July 1, 2015.18

DIVISION XVI19

PRIOR AUTHORIZATION20

Sec. 86. NEW SECTION. **505.26 Prior authorization for21
prescription drug benefits — standard process and form.22**

1. As used in this section:23

certified to perform specified health care services consistent²
with state law.³

d. "Health care provider" means a health care professional⁴

or a facility.⁵

e. "Health care services" means services for the diagnosis,⁶
prevention, treatment, cure, or relief of a health condition,⁷
illness, injury, or disease.⁸

f. "Health carrier" means an entity subject to the insurance⁹
laws of this state, or subject to the jurisdiction of the¹⁰

commissioner, including an insurance company offering sickness¹¹

and accident plans, a health maintenance organization, a¹²

nonprofit health service corporation, a plan established¹³

pursuant to chapter 509A for public employees, or any other¹⁴

entity providing a plan of health insurance, health care¹⁵

benefits, or health care services. *"Health carrier"* includes,¹⁶

for purposes of this section, an organized delivery system.¹⁷

g. "Pharmacy benefits manager" means the same as defined in¹⁸

section 510B.1.¹⁹

2. The commissioner shall develop, by rule, a standard prior²⁰

authorization process and form for use by health carriers and²¹

pharmacy benefits managers that require prior authorization for²²

prescription drug benefits pursuant to a health benefit plan,²³

by January 1, 2015.²⁴

3. Prior to development of the standard prior authorization²⁵

process and form, the commissioner shall hold at least one²⁶

care provider's approval and the patient's consent.6

d. Health carriers and pharmacy benefits managers shall make7
the following available and accessible on their internet sites:8

(1) Prior authorization requirements and restrictions,9
including a list of drugs that require prior authorization.10

(2) Clinical criteria that are easily understandable11

to health care providers, including clinical criteria for12

reauthorization of a previously approved drug after the prior13

authorization period has expired.14

(3) Standards for submitting and considering requests,15

including evidence-based guidelines, when possible, for making16

prior authorization determinations.17

e. Health carriers and pharmacy benefits managers shall18

provide a process for health care providers to appeal a prior19

authorization determination.20

5. In adopting an electronic prior authorization standard,21

the commissioner shall consider national standards pertaining22

to electronic prior authorization, such as those developed by23

the national council for prescription drug programs.24

6. The standard prior authorization form shall meet all of25

the following requirements:26

a. Not exceed two pages in length.27

b. Be available in an electronic format.28

c. Be transmissible in an electronic format.29

7. Health carriers and pharmacy benefits managers shall use30
and accept the standard prior authorization form beginning on31
July 1, 2015. Health care providers shall use and submit the32
standard prior authorization form, when prior authorization is33
required by a health benefit plan, beginning on July 1, 2015.34

8. a. If a health carrier or pharmacy benefits manager35

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fails to use or accept the standard prior authorization form1
or to respond to a health care provider’s request for prior2
authorization of prescription drug benefits within seventy-two3
hours of the health care provider’s submission of the form,4

the request for prior authorization shall be considered to be5
approved.6

b. However, if the prior authorization request is7
incomplete, the health carrier or pharmacy benefits manager may8

request the additional information within the seventy-two-hour⁹
period and once the additional information is provided the¹⁰

provisions of paragraph "a" shall again apply.¹¹

EXPLANATION¹²

The inclusion of this explanation does not constitute agreement with¹³

the explanation's substance by the members of the general assembly.¹⁴

This bill relates to appropriations for health and human¹⁵
services made in 2013 Iowa Acts, chapter 138 (SF 446) for¹⁶
fiscal year 2014-2015 to the department of veterans affairs,¹⁷
the Iowa veterans home, the department on aging, the office¹⁸
of long-term care ombudsman, the department of public health,¹⁹
Iowa finance authority, state board of regents, department of²⁰
inspections and appeals, department of human rights, and the²¹
department of human services (DHS). With some exceptions the²²
enacted amounts appropriated for FY 2014-2015 are approximately²³
50 percent of the amounts appropriated for the same purposes²⁴
for the prior fiscal year along with some other changes. The²⁵
bill revises the appropriation amounts.²⁶

The bill is organized into divisions.²⁷

DEPARTMENT ON AGING — FY 2014-2015. This division amends²⁸
appropriations from the general fund of the state for the²⁹
department on aging for FY 2014-2015.³⁰

OFFICE OF LONG-TERM CARE OMBUDSMAN — FY 2014-2015. This³¹

amends certain health-related appropriations for FY 2014-2015.13
A number of the appropriations are made for purposes of the14
medical assistance (Medicaid) program in addition to the15
general fund appropriations made for this purpose for the same16
fiscal year. The division provides that if the total amounts17
appropriated from all sources for the medicaid program for18
FY 2014-2015 exceed the amount needed, the excess remains19
available to be used for the program in the succeeding fiscal20
year.21

PERSONNEL SETTLEMENT AGREEMENTS. This division provides22
that as a condition of the appropriations in the bill, the23
moneys appropriated and any other moneys available cannot be24
used for payment of a personnel settlement agreement that25
contains a confidentiality provision intended to prevent public26
disclosure of the agreement or any terms of the agreement.27

PRIOR YEAR APPROPRIATIONS. This division amends provisions28
from prior year appropriations.29

The division amends a provision appropriating funds to30
the medical residency training account to provide that31
notwithstanding any provision to the contrary under the32
account, priority in awarding of grants shall be given to the33
development of new medical residency positions, psychiatric34

residency positions, and family practice residency positions.³⁵

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The division repeals a provision which authorized a change¹ in Medicaid reimbursement of consumer-directed attendant care² as a cost-savings measure.³

The division amends provisions appropriating funds for⁴

the autism support program and for foster care respite to⁵ provide for carry forward of funds that remain unencumbered⁶ or unobligated at the close of FY 2013-2014 to the succeeding⁷ fiscal year for the purposes designated.⁸

The division amends a provision relating to reimbursement of⁹ community mental health centers to provide a new reimbursement¹⁰

methodology.¹¹

The provisions in this division take effect upon enactment¹²

and the provisions relating to reimbursement of community¹³

mental health centers and consumer-directed attendant care are¹⁴

retroactively applicable to July 1, 2013.¹⁵

MENTAL HEALTH AND DISABILITY SERVICES. This division¹⁶
relates to mental health and disabilities services (MH/DS)¹⁷
administered by county regions, makes appropriations, and¹⁸
extends county levy equalization provisions for the services.¹⁹
A new general fund transfer is made to the property tax²⁰
relief fund and then appropriated for FY 2014-2015 for²¹
distribution to counties and regions to equalize the funding in²²
order for the combined amount of property tax and equalization²³
funding available for MH/DS to amount to \$47.28 per capita. In²⁴
addition, an appropriation is made for distribution of state²⁵
case funding to counties.²⁶

The department of human services and the division of²⁷
vocational rehabilitation services of the department of²⁸
education are required to jointly develop protocols and program²⁹
models to integrate the employment-related services and other³⁰
supports provided to persons with disabilities through federal³¹
match funding administered by the department and the division.³²

The department and the division are required to report to the³³
joint appropriations subcommittees on health and human services³⁴
and on education on the expenditure of such funding in the³⁵

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the population shown by the latest preceding certified federal19
 census or the latest applicable population estimate issued by20
 the United States census bureau, whichever is most recent. The21
 bill provides that the date utilized is July 1 of the fiscal22
 year preceding the fiscal year in which the population figure23
 is applied.24

Code section 331.391, relating to MH/DS regional financing,25
 is amended to require that if a region is meeting the financial26
 obligations for implementation of its regional service system27
 management plan for a fiscal year and residual funding is28
 anticipated, the regional administrator is to reserve an29
 adequate amount for cash flow of expenditure obligations in the30
 next fiscal year. The amount reserved for cash flow is limited31
 to 25 percent of the previous fiscal year's gross expenditures.32
 Residual funding remaining after the cash flow amount is33
 reserved is to be used to expand the region's core services and34
 then to make additional core service domains available in the35

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region.1

Code section 331.397, relating to regional core services²
for the adult mental health and disability services system,³
is amended. Code section 331.397 lists core service domains⁴

that the mental health and disability service regions are⁵
required to make available to adults with mental illness or⁶
an intellectual disability. Under each of the domains is a⁷
list of services included in the domain. The bill requires⁸

the service domain relating to support for employment to⁹
also authorize support for activities leading to employment¹⁰

providing an appropriate match with an individual's abilities.¹¹

Code section 331.424, relating to the county levy for MH/DS,¹²

and Code section 331.391, relating to regional finances, are¹³

amended to provide that a county and the regions must reserve¹⁴

an adequate amount for cash flow purposes in the next fiscal¹⁵

year. Residual funding remaining after the cash flow amount¹⁶

is reserved is to be used to expand core services and then to¹⁷

make additional core services available. The maximum cash¹⁸

flow reserve is limited to 25 percent of the gross expenditure¹⁹

budgeted for the fiscal year.²⁰

Code section 426B.3, relating to per capita funding for²¹

county services funds and the Medicaid offset or "clawback",²²
 is amended. Under the clawback requirement in Code section²³
 426B.3, DHS is required to calculate the amount that would have²⁴
 been paid from a county services fund for a person eligible²⁵
 under the county's services plan that would be non-Medicaid²⁶
 services but due to the person's enrollment under the Iowa²⁷
 health and wellness plan (IHWP) are instead covered by IHWP.²⁸
 The Medicaid offset or clawback amount is 80 percent of the²⁹
 amount calculated. Authority for a county auditor to review³⁰
 the amount calculated for a county or for the amount to³¹
 be independently audited is replaced with authority for an³²
 independent review of all amounts by the auditor of state.³³
 Direction is provided for the calculation of the clawback³⁴
 amounts for fiscal year 2013-2014 to be based upon actual³⁵

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expenditures by counties during the period of July 1, 2013,1
through December 31, 2013.2

The appropriation of federal social services block grant3
funding for FY 2014-2015 in 2013 Iowa Acts, chapter 136 (HF4

614), to the property tax relief fund is amended to make an5
allocation to DHS for state case services similar to the6
allocation made for this purpose for the prior fiscal year.7
FAMILY SUPPLEMENTATION. This division amends Code section8

249A.4, relating to supplementation by the resident or family9
of a resident who is covered by the Medicaid program of the10

costs of nursing care by reducing the occupancy rate of the11

nursing facility from 80 percent to 50 percent in order for12

supplementation to be applicable.13

MISCELLANEOUS. This division includes miscellaneous14

provisions.15

Code section 217.32, relating to office space provided by16

counties for department of human services personnel assigned17

to provide local services, is amended. The department is18

required to report annually to the auditor of state detailing19

the costs incurred by each county for office space and for20

providing supplies and equipment and the amounts reimbursed by21

the department in the preceding fiscal year. The auditor of22

state is required to publicly issue an opinion as to whether23

the charges and costs incurred and departmental reimbursements24

are reasonable.25

Code section 256I.8, relating to the duties of early26

childhood Iowa area boards, is amended to authorize the early27

childhood Iowa state board to waive a prohibition against an28

area board acting as a provider of services to or for the29

area board. The state board is required to adopt criteria30

for granting a waiver based upon cost effectiveness, service31

quality improvement or maintenance, or other appropriate basis32

identified by the state board.33

ASSET VERIFICATION. This division directs the department34

of human services to contract with a third-party vendor to35

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establish an electronic asset, income, and identity eligibility1

verification system for applicants for and recipients of2

condition of receipt of USDOL funds. The Planning Guidance (either the Stand-Alone Planning Guidance at 73 FR 72853 (December 1, 2008), or the Unified Planning Guidance at 73 FR 73730 (December 3, 2008)) and Training and Employment Guidance Letter (TEGL) No. 10-09 require states to describe the policies and strategies in place to ensure, pursuant to the Jobs for Veterans Act and the regulations, that priority of service is provided to veterans (and certain spouses) who otherwise meet the eligibility requirements for all employment and training programs funded by the U.S. Department of Labor. In addition, the states are required to provide assurances that they will comply with the Veterans' Priority Provisions established by the Jobs for Veterans Act.

9. Prohibition on subsidization of forced or indentured child labor. States, consistent with Section 103 of the General Provisions of the Department of Labor Appropriations Act, 2012 Public Law No. 112-74 (Division F, Title I), and in accordance with Executive Order No. 13126, must not obligate or expend funds made available to administer UI for the procurement of goods mined, produced, manufactured, or harvested or services rendered, whole or in part, by forced or indentured child labor in industries and host countries already identified by the United States Department of Labor prior to enactment of the Department's 2010 appropriation.

10. Salary and Bonus Limitations. Consistent with section 105 of the General Provisions of Public Law No. 112-74 (Division F, Title I), none of the funds provided under this agreement shall be used by a recipient or subrecipient of such funds to pay the salary and bonuses of an individual, either as direct costs or indirect costs, at a rate in excess of Executive Level II. This limitation shall not apply to vendors providing goods and services as defined in Office of Management and Budget (OMB) Circular A-133. Where States are recipients of such funds, States may establish a lower limit for salaries and bonuses of those receiving salaries and bonuses from subrecipients of such funds, taking into account factors including the relative cost-of-living in the State, the compensation levels for comparable State or local government employees, and the size of the organizations that administer Federal programs involved including Employment & Training Administration programs. See TEGL No. 5-06 for further clarification.

11. Intellectual Property Rights. The Federal Government reserves a paid-up, nonexclusive and irrevocable license to reproduce, publish, or otherwise use, and to authorize others to use for federal purposes: i) the copyright in all products developed under the grant, including a subgrant or contract under the grant or subgrant; and ii) any rights of copyright to which the grantee, subgrantee or a contractor purchases ownership under an award (including but not limited to curricula, training models, technical assistance products and any related materials). Such uses include, but are not limited to, the right to modify and distribute such products worldwide by any means, electronically or otherwise. Federal funds may not be used to pay any royalty or licensing fee associated with such copyrighted material, although they may be used to pay costs for obtaining a copy which is limited to the developer/seller costs of copying and shipping. If revenues are generated through selling products developed with grant funds, including intellectual property, these revenues are program income. Program income is added to the grant and must be expended for allowable grant activities.

12. Certifications and Assurances. In performing its responsibilities under this agreement, the Grantee will fully comply with the following SQSP assurances, which are incorporated into this agreement by reference with the two "exceptions/revisions" and one "expansion" annotated

below. The SQSP assurances are listed below and are detailed in Chapter 1, Part VII of the “Unemployment Insurance SQSP Planning and Reporting Guidelines,” ET Handbook No. 336 (18th Edition).

A. Assurance of Equal Opportunity (EO).

B. Assurance of Administrative Requirements and Allowable Cost Standards.

Exception/Revision (Real Property Acquired with Reed Act Funds)

An exception/revision to this assurance in Chapter 1 (VII.B.1.c) which is no longer applicable reads as follows: Section 193(b) of WIA, as amended by section 20610 of

Public Law No. 110-5, prohibits the use of UI administrative grant funds to amortize the cost of real property acquired on or after February 15, 2007. However, OMB Circular No. A-87, Appendix B, item 11, still permits Reed Act funds used to acquire buildings (but not land) on or after February 15, 2007 to be replenished using UI or Wagner-Peyser grant funds through “cost recovery through depreciation.” Cost recovery through depreciation may also be used for automation equipment acquired with Reed Act funds regardless of the date of purchase.

Exception/Revision (Prior Approval Waiver):

An exception/revision to this assurance in Chapter 1 (VII.B.2.d) is as follows: Notwithstanding the waiver of the requirement of prior approval, the Grantor reserves the right to reimpose the requirement of prior approval by the Grantor, after providing advance notice to the State (Grantee).

C. Assurance of Management Systems, Reporting, and Recordkeeping.

D. Assurance of Program Quality.

E. Assurance on Use of Unobligated Funds.

F. Assurance of Prohibition of Lobbying Costs (29 CFR Part 93).

G. Drug-Free Workplace (29 CFR Part 98).

H. Assurance of Disaster Recovery Capability.

I. Assurance of Conformity and Compliance.

J. Assurance of Automated Information Systems Security.

K. Assurance of Confidentiality.

13. Federal Funding Accountability and Transparency Act of 2006, Public Law No. 109-282 (FFATA), as amended by section 6202 of Public Law No. 110-252. Grantees must ensure that

they have the necessary processes and systems in place to comply with the reporting requirements of FFATA. See Training and Employment Guidance Letter (TEGL) No. 11-10 (issued November 15, 2010), <http://wdr.doleta.gov/directives/attach/TEGL/TEGL11-10acc.pdf>, and Attachment to this grant agreement.

Additionally, OMB, SF 424 B *Assurances – Non-Construction Programs*, signed and submitted by the Grantee with the SQSP annual submission, also apply.

14. Signatory Information. The signatories below agree to the terms and conditions of this agreement on behalf of their respective agencies:

GRANTEE:

(State/Commonwealth) Grant Recipient /Agency

_____ TAX EIN# _____

Address

_____ DUNS# _____

Authorized Signatory For Grantee:

Print Name/Title Signature Date

GRANTOR:

Employment & Training Administration; U.S. Department of Labor; OGM-DWSFA, N- 4716
200 Constitution Avenue NW; Washington, DC 20210

Authorized Signatory For Grantor:

THOMAS C. MARTIN, Grant Officer Signature Date

UI Agreement Clause No. 13 (FFATA) Attachment

This grant is subject to the following FFATA requirements:

2 CFR Part 170

Appendix A to Part 170--Award Term

I. Reporting Subawards and Executive Compensation.

a. Reporting of first-tier subawards.

1. **Applicability.** Unless you are exempt as provided in paragraph d. of this award term, you must report each action that obligates \$25,000 or more in Federal funds that does not include Recovery funds (as defined in section 1512(a)(2) of the American Recovery and Reinvestment Act of 2009, Pub. L. 111-5) for a subaward to an entity (see definitions in paragraph e. of this award term).

2. Where and when to report.

i. You must report each obligating action described in paragraph a.1. of this award term to <http://www.fsr.gov>.

ii. For subaward information, report no later than the end of the month following the month in which the obligation was made. (For example, if the obligation was made on November 7, 2010, the obligation must be reported by no later than December 31, 2010.)

3. **What to report.** You must report the information about each obligating action that the submission instructions posted at <http://www.fsr.gov> specify.

b. Reporting Total Compensation of Recipient Executives.

1. **Applicability and what to report.** You must report total compensation for each of your five most highly compensated executives for the preceding completed fiscal year, if--

i. the total Federal funding authorized to date under this award

is \$25,000 or more;

ii. in the preceding fiscal year, you received--

(A) 80 percent or more of your annual gross revenues from Federal procurement contracts (and subcontracts) and Federal financial assistance subject to the Transparency Act, as defined at 2 CFR 170.320 (and subawards); and

(B) \$25,000,000 or more in annual gross revenues from Federal procurement contracts (and subcontracts) and Federal financial assistance subject to the Transparency Act, as defined at 2 CFR 170.320 (and subawards); and

iii. The public does not have access to information about the compensation of the executives through periodic reports filed under section 13(a) or 15(d) of the Securities Exchange Act of 1934 (15 U.S.C. 78m(a), 78o(d)) or section 6104 of the Internal Revenue Code of 1986. (To determine if the public has access to the compensation information, see the U.S. Security and Exchange Commission total compensation filings at <http://www.sec.gov/answers/excomp.htm>.)

2. **Where and when to report.** You must report executive total compensation described in paragraph b.1. of this award term:

- i. As part of your registration profile at <http://www.ccr.gov>.
- ii. By the end of the month following the month in which this award is made, and annually thereafter.

c. Reporting of Total Compensation of Subrecipient Executives.

1. Applicability and what to report. Unless you are exempt as provided in paragraph d. of this award term, for each first-tier subrecipient under this award, you shall report the names and total compensation of each of the subrecipient's five most highly compensated executives for the subrecipient's preceding completed fiscal year, if--

- i. in the subrecipient's preceding fiscal year, the subrecipient received--

(A) 80 percent or more of its annual gross revenues from Federal procurement contracts (and subcontracts) and Federal financial assistance subject to the Transparency Act, as defined at 2 CFR 170.320 (and subawards); and

(B) \$25,000,000 or more in annual gross revenues from Federal procurement contracts (and subcontracts), and Federal financial assistance subject to the Transparency Act (and subawards); and

- ii. The public does not have access to information about the compensation of the executives through periodic reports filed under section 13(a) or 15(d) of the Securities Exchange Act of 1934 (15 U.S.C. 78m(a), 78o(d)) or section 6104 of the Internal Revenue Code of 1986. (To determine if the public has access to the compensation information, see the U.S. Security and Exchange Commission total compensation filings at <http://www.sec.gov/answers/execomp.htm>.)

2. Where and when to report. You must report subrecipient executive total compensation described in paragraph c.1. of this award term:

- i. To the recipient.
- ii. By the end of the month following the month during which you make the subaward. For example, if a subaward is obligated on any date during the month of October of a given year (i.e., between October 1 and 31), you must report any required compensation information of the subrecipient by November 30 of that year.

d. Exemptions

If, in the previous tax year, you had gross income, from all sources, under \$300,000, you are exempt from the requirements to report:

- i. Subawards, and
- ii. The total compensation of the five most highly compensated executives of any subrecipient.

e. Definitions. For purposes of this award term:

1. Entity means all of the following, as defined in 2 CFR part 25:
 - i. A Governmental organization, which is a State, local government, or Indian tribe;
 - ii. A foreign public entity;
 - iii. A domestic or foreign nonprofit organization;
 - iv. A domestic or foreign for-profit organization;
 - v. A Federal agency, but only as a subrecipient under an award or subaward to a non-Federal entity.
2. Executive means officers, managing partners, or any other employees in management positions.
3. Subaward:
 - i. This term means a legal instrument to provide support for the performance of any portion of the substantive project or program for which you received this award and that you as the recipient award to an eligible subrecipient.
 - ii. The term does not include your procurement of property and services needed to carry out the project or program (for further explanation, see Sec. ---- .210 of the attachment to OMB Circular A-133, ``Audits of States, Local Governments, and Non-Profit Organizations").
 - iii. A subaward may be provided through any legal agreement, including an agreement that you or a subrecipient considers a contract.
4. Subrecipient means an entity that:
 - i. Receives a subaward from you (the recipient) under this award; and
 - ii. Is accountable to you for the use of the Federal funds provided by the subaward.
5. Total compensation means the cash and noncash dollar value earned by the executive during the recipient's or subrecipient's preceding fiscal year and includes the following (for more information see 17 CFR 229.402(c)(2)):
 - i. Salary and bonus.
 - ii. Awards of stock, stock options, and stock appreciation rights. Use the dollar amount recognized for financial statement reporting purposes with respect to the fiscal year in accordance with the Statement of Financial Accounting Standards No. 123 (Revised 2004) (FAS 123R), Shared Based Payments.
 - iii. Earnings for services under non-equity incentive plans. This does not include group life, health, hospitalization or medical reimbursement plans that do not discriminate in favor of executives, and are available generally to all salaried employees.

- iv. Change in pension value. This is the change in present value of defined benefit and actuarial pension plans.
- v. Above-market earnings on deferred compensation which is not tax-qualified.
- vi. Other compensation, if the aggregate value of all such other compensation (e.g. severance, termination payments, value of life insurance paid on behalf of the employee, perquisites or property) for the executive exceeds \$10,000.

This grant is subject to:

2 CFR Subtitle A, Chapter I and Part 25

Appendix A to Part 25--Award Term

I. Central Contractor Registration and Universal Identifier Requirements

A. Requirement for Central Contractor Registration (CCR)

Unless you are exempted from this requirement under 2 CFR 25.110, you as the recipient must maintain the currency of your information in the CCR until you submit the final financial report required under this award or receive the final payment, whichever is later. This requires that you review and update the information at least annually after the initial registration, and more frequently if required by changes in your information or another award term.

B. Requirement for Data Universal Numbering System (DUNS) Numbers

If you are authorized to make subawards under this award, you:

1. Must notify potential subrecipients that no entity (see definition in paragraph C of this award term) may receive a subaward from you unless the entity has provided its DUNS number to you.
2. May not make a subaward to an entity unless the entity has provided its DUNS number to you.

C. Definitions

For purposes of this award term:

1. Central Contractor Registration (CCR) means the Federal repository into which an entity must provide information required for the conduct of business as a recipient. Additional information about registration procedures may be found at the CCR Internet site (currently at <http://www.ccr.gov>).
2. Data Universal Numbering System (DUNS) number means the nine-digit number established and assigned by Dun and Bradstreet, Inc. (D&B) to uniquely identify business entities. A DUNS number may be obtained from D&B by telephone (currently 866-705-5711) or the Internet (currently at <http://fedgov.dnb.com/webform>).
3. Entity, as it is used in this award term, means all of the following, as defined at 2 CFR part 25,

subpart C:

- a. A Governmental organization, which is a State, local government, or Indian Tribe;
- b. A foreign public entity;
- c. A domestic or foreign nonprofit organization;
- d. A domestic or foreign for-profit organization; and
- e. A Federal agency, but only as a subrecipient under an award or subaward to a non-Federal entity.

4. Subaward:

a. This term means a legal instrument to provide support for the performance of any portion of the substantive project or program for which you received this award and that you as the recipient award to an eligible subrecipient.

b. The term does not include your procurement of property and services needed to carry out the project or program (for further explanation, see Sec. ----.210 of the attachment to OMB Circular A-133, ``Audits of States, Local Governments, and Non-Profit

Organizations").

c. A subaward may be provided through any legal agreement, including an agreement that you consider a contract.

5. Subrecipient means an entity that:

- a. Receives a subaward from you under this award; and
 - b. Is accountable to you for the use of the Federal funds provided by the subaward.
-

Message: URGENT: UI Funding Agreement**Case Information:**

Message Type: Exchange
 Message Direction: External, Inbound
 Case: IWD Senator Petersen Request - Version 3
 Capture Date: 7/10/2014 1:32:17 PM
 Item ID: 40861562
 Policy Action: Not Specified

Mark History:

No reviewing has been done

Policies:

No Policies attached



✉ URGENT: UI Funding Agreement

From Gilbert, Gay - ETA

Date
 Friday,
 October
 18, 2013
 4:36 PM

To Albert Bryan; Altemese Smith; Andy Baldwin; Anne Eustaquio; Antwon Keith; Beth Carenbauer; Bill Starks; Bill Walton; Brenda Brown; Brett Flachsbarth; Carl Boorn; Cynthia Bauerly; Dale Smith; Darren Brostrom; David Gerstenfeld; dmcglone@dllr.state.md.us; Dayne Freeman; Dianne Carpenter; Dustin Adams; Erica Von Nessen; Greg Cashen; Gregg Shore; Hoyt Russell; Jason Dean; Jay Engstrom; Jay Rowell; Jeff Fitzgerald; Josh Richardson; Julie Gibson; Kelly Karch; LaSha Lenzy; Laura Boyett; Linda Davis; Linda Karos; Wilkinson, Michael [IWD]; Michelle Amante; Neil Gorrell; Christine.Paquette@ct.gov; Patrick Holmes; Paulilne Heier; Robert Langlais; robert.rodriguez@dwd.wisconsin.gov; Ron Calkins; Ron Joyce; Ron Marino; Roy Mulvaney; Sandra Velentin; Sara Hall Phillips; Shalonda Saunders; Tobi Cates; Tom MacPherson; Tracy Phillips; Annie Noonan; Artee Williams; Beth Brinly; Bruce Madson; Burns Phillips; Catherine Lang; Celina Bussey; Charles Fogarty; cstanton@dew.sc.gov; Curt Eysink; Dale Folwell; Dale Peinecke; Dianne Blumer; Dwight Takamine; Ellen Golombek; George Copadis; Harold Wirths; Jeanne Paquette; Jim Apperson; Joan Evans; Joanne Goldstein; John Broadway; John McMahon; Jon Pierpont; Julia Hearthway; Lana Gordon; Larry Temple; Leonard J. Howie ; Lisa Mallory; Lisa Nisenfeld; Marcia Hultman; Maren Daley; Mark Butler; Mark Henry; Pam Bucy; Peter Rivera; Reggie Newsom; Renee Olson; Richard McPherson; Roger Madsen; Scott Sanders ; Sharon Hilliard; Sharon Palmer; Steve Arwood; Wahlert, Teresa [IWD]; Tom Clendenning; Tom Surtees; Valerie Comer; Vance Thomas

Cc Martin, Thomas - ETA; Watson, Laura P - ETA; Wilus, Ronald - ETA; Ake, John - ETA; Garner, Jim - ETA

 [UI AGMT LETTER 2014 FINAL.docx](#) (33 Kb HTML)  [UIFundingAgreementFY2014.doc](#) (79 Kb HTML)

Hi Everyone – as promised on today’s call, I am transmitting, on behalf of ETA’s Grant Officer, Thomas Martin, a request that you immediately review his letter and instructions related to completing and returning the UI Funding Agreement that will allow us to get your state its FY 2014 UI state administrative funding.

Thank you in advance for making this a top priority to get this returned as soon as feasible.

Gay Gilbert, Administrator
Office of Unemployment Insurance
U.S. Department of Labor
Employment and Training Administration
(202) 693-3029
gilbert.gay@dol.gov

U.S. Department of Labor Employment and Training Administration

200 Constitution Avenue, N.W.

Washington, D.C. 20210

TIME SENSITIVE

Dear Unemployment Insurance (UI) Program Liaison and/or Signatory:

RE: UI Annual Funding Agreement –FY2014

Enclosed for review and signature is a copy of the subject agreement for **Fiscal Year (FY) 2014 program funds**. The agreement, when executed will be effective on October 1, 2013.

The agreement must be signed by the State's authorized signatory official and returned to ETA in order for the initial funding allocation(s) to be processed. Initial allocations for funding sources under the Agreement will be pursuant to FY2014 State allocations, adjusted for amounts available under the enacted continuing resolution appropriation.

Please complete the signature block and Grantee identifying information (including EIN/PIN/DUNS information) on the last page of the agreement, **scan the entire document as a .pdf file and email** to:

ogm.stateagreements@dol.gov

Submit the signed, scanned agreement **as soon as possible**.

SPECIAL NOTES:

1. **DUNS:** State grantees should ensure that their DUNS number is currently registered at www.ccr.gov and the status of the information is current. This can be determined by doing a Search query at the CCR website.
2. **DOCUMENT PAGES:** When downloading the agreement, please ensure that the spacing integrity of the pages is maintained to reflect the spacing of the original document.

Upon our receipt and completion of the funding process, we will execute the agreement and "Modification 0" Notice of Obligation (NOO). A copy of the original executed documents reflecting the allocation amount due to the State/Commonwealth, effective October 1, 2013, will be available in the ETA eGrants system and may be obtained by contacting your Regional Office state representative. Should you have any questions regarding these documents, please contact Ms. Sharon Carter at (202) 693-3323

Sincerely,

THOMAS C. MARTIN

Grant Officer

Enclosures

UNEMPLOYMENT INSURANCE (UI) PROGRAM**ANNUAL FUNDING AGREEMENT**

(Including Initial Notice of Obligation)

FISCAL YEAR 2014 FUNDS

Grant Agreement No. UI-xxxxx-14-55-A-xx CFDA #: 17.225

1. Parties. Consistent with 20 CFR 601.6, this Annual Funding Agreement (grant agreement) is entered into between the Employment and Training Administration (ETA), U.S. Department of Labor (Grantor) and the **STATE/COMMONWEALTH OF** (Grantee) for the purposes of administering State unemployment insurance program activities authorized under Title III of the Social Security Act (as amended) and portions of other related Federal program laws and regulations applicable to the UI program funds provided under this agreement including, but not limited to, funds authorized under the Trade Act of 1974, as amended, for Trade Readjustment Allowances (TRA), Alternative Trade Adjustment Assistance (ATAA) and Reemployment Trade Adjustment Assistance (RTAA); the Robert T. Stafford Disaster Relief and Emergency Assistance Act for Disaster Unemployment Assistance (DUA); and the American Recovery and Reinvestment Act (ARRA).

2. Grant Funds. This grant agreement applies to **Fiscal Year (FY) 2014** appropriated funds.

3. Applicable Authority. Funds under this grant agreement must be expended in accordance with all applicable Federal statutes; regulations; program directives; the applicable and approved State plan(s) and the incorporated assurances; and the applicable provisions in the appropriations acts for these funds.

4. Grant Expenditure Period. This grant agreement is effective for the period October 1, 2013 and expires December 31, 2016 to accommodate the maximum statutory life of the various fund accounts. However, expenditures must comply with the statutory/regulatory life of each fund source (subject to availability of Federal funds):

UI Administration – These funds are available for obligation by the Grantee (State) beginning October 1, 2013 through December 31, 2014, unless an extension is otherwise approved. Funds are to be expended and liquidated by March 31, 2015, except that such funds for automation acquisitions, or competitive grants awarded for improved operations, or reemployment and eligibility assessments and improper payments, shall be available for obligation by the Grantee (State) through September 30, 2016 and unless an extension is otherwise approved, funds are to be expended/liquidated by December 31, 2016 (See Clause 12, Paragraph E).

TRA and ATAA/RTAA – The expenditure period for these benefits funds is the Fiscal Year 2014 beginning October 1, 2013 and expiring September 30, 2014.

Disaster Unemployment Assistance (DUA) – Subject to the Department of Homeland Security's Federal Emergency Management Agency (FEMA) disaster declarations and the Grantee's

application for funds, these funds under the FY 2014 Agreement are provided to the Grantee (State agency) via the Grantor (USDOL/ETA) and made effective on the FEMA disaster declaration date (but no earlier than October 1, 2013). The funds are to be expended and closed in accordance with the FEMA rules for payment and subsequent USDOL closeout (targeted for no later than two years from the beginning of the Fiscal Year 2014). *Note: DUA funds are technically “no-year” funds. The “Disaster Assistance Period, “during which benefits are paid, is the period “beginning with the first week following the date the major disaster began, and ending with the 26th week subsequent to the date the major disaster was declared.” 20 CFR 625.2(f). Immediately after all payment activity has been concluded for a particular disaster, the funds provided will be “closed out” and any unexpended remaining funds returned to FEMA, via the Grantor. A financial closeout and final deobligation of funds is due 90 days after the end of payment activity or the end of the Disaster Assistance Period.*

Emergency Unemployment Compensation (EUC08) – The expenditure period for these administrative funds are without fiscal year limitations. Funds may be expended as long as costs to administer the EUC08 program are incurred, within federal appropriation law constraints.

Federal Additional Compensation (FAC) – The expenditure period for these administrative funds are without fiscal year limitations. Funds may be expended as long as costs to administer the FAC program are incurred, within federal appropriation law constraints.

This agreement may be terminated sooner and be subject to grant closeout procedures if all funds are fully expended at a date prior to the December 31, 2016 date. Commencement of expenditures is subject to the effective date on the issuance of Federal obligation authority (Notice of Obligation (NOO)) for each grant funding source account funded under this agreement.

5. Notice of Obligation. Funds for some accounts shall be obligated and allocated upon execution of this Agreement via a NOO in the “Modification 0” document which is a supplement to this Agreement. Funding made available under the initial NOO may be used to cover costs incurred on and after October 1, 2013 for those accounts funded at the time of the grant agreement execution. Obligations and costs may not exceed the amount obligated by the NOO in “Modification 0” unless otherwise modified by the Grantor. Funds are obligated for the amount indicated in the “Modification 0” document in accordance with the Grantee’s FY 2014 allotment levels or initial increments thereof and the effective date indicated on the NOO. The Federal obligation level will be amended by the Grant Officer to increase (or adjust) amounts available to the Grantee as funds become available for obligation and additional NOO grant modifications are issued.

6. Electronic Fund Transfer. Cash payments will be made to the Grantee under the Department of Health and Human Services (HHS) Payment Management System (PMS).

7. State Plans. As a condition for receipt of funds under the Annual Funding Agreement, the Grantee agrees to comply with the approved State Quality Service Plan (SQSP) and with any other plans required for the receipt of funds.

8. Veterans’ Priority Provisions. This program, funded by the U.S. Department of Labor is subject to the provisions of the “Jobs for Veterans Act” (JVA), Public Law No. 107-288 (38 USC 4215), as implemented by the regulations in 20 CFR part 1010. The JVA provides priority of service to veterans and spouses of certain veterans for the receipt of employment, training, and placement services. Agreement by a program operator to implement priority of service is a

condition of receipt of USDOL funds. The Planning Guidance (either the Stand-Alone Planning Guidance at 73 FR 72853 (December 1, 2008), or the Unified Planning Guidance at 73 FR 73730 (December 3, 2008)) and Training and Employment Guidance Letter (TEGL) No. 10-09 require states to describe the policies and strategies in place to ensure, pursuant to the Jobs for Veterans Act and the regulations, that priority of service is provided to veterans (and certain spouses) who otherwise meet the eligibility requirements for all employment and training programs funded by the U.S. Department of Labor. In addition, the states are required to provide assurances that they will comply with the Veterans' Priority Provisions established by the Jobs for Veterans Act.

9. Prohibition on subsidization of forced or indentured child labor. States, consistent with Section 103 of the General Provisions of the Department of Labor Appropriations Act, 2012 Public Law No. 112-74 (Division F, Title I), and in accordance with Executive Order No. 13126, must not obligate or expend funds made available to administer UI for the procurement of goods mined, produced, manufactured, or harvested or services rendered, whole or in part, by forced or indentured child labor in industries and host countries already identified by the United States Department of Labor prior to enactment of the Department's 2010 appropriation.

10. Salary and Bonus Limitations. Consistent with section 105 of the General Provisions of Public Law No. 112-74 (Division F, Title I), none of the funds provided under this agreement shall be used by a recipient or subrecipient of such funds to pay the salary and bonuses of an individual, either as direct costs or indirect costs, at a rate in excess of Executive Level II. This limitation shall not apply to vendors providing goods and services as defined in Office of Management and Budget (OMB) Circular A-133. Where States are recipients of such funds, States may establish a lower limit for salaries and bonuses of those receiving salaries and bonuses from subrecipients of such funds, taking into account factors including the relative cost-of-living in the State, the compensation levels for comparable State or local government employees, and the size of the organizations that administer Federal programs involved including Employment & Training Administration programs. See TEGL No. 5-06 for further clarification.

11. Intellectual Property Rights. The Federal Government reserves a paid-up, nonexclusive and irrevocable license to reproduce, publish, or otherwise use, and to authorize others to use for federal purposes: i) the copyright in all products developed under the grant, including a subgrant or contract under the grant or subgrant; and ii) any rights of copyright to which the grantee, subgrantee or a contractor purchases ownership under an award (including but not limited to curricula, training models, technical assistance products and any related materials). Such uses include, but are not limited to, the right to modify and distribute such products worldwide by any means, electronically or otherwise. Federal funds may not be used to pay any royalty or licensing fee associated with such copyrighted material, although they may be used to pay costs for obtaining a copy which is limited to the developer/seller costs of copying and shipping. If revenues are generated through selling products developed with grant funds, including intellectual property, these revenues are program income. Program income is added to the grant and must be expended for allowable grant activities.

12. Certifications and Assurances. In performing its responsibilities under this agreement, the Grantee will fully comply with the following SQSP assurances, which are incorporated into this agreement by reference with the two "exceptions/revisions" and one "expansion" annotated

below. The SQSP assurances are listed below and are detailed in Chapter 1, Part VII of the “Unemployment Insurance SQSP Planning and Reporting Guidelines,” ET Handbook No. 336 (18th Edition).

A. Assurance of Equal Opportunity (EO).

B. Assurance of Administrative Requirements and Allowable Cost Standards.

Exception/Revision (Real Property Acquired with Reed Act Funds)

An exception/revision to this assurance in Chapter 1 (VII.B.1.c) which is no longer applicable reads as follows: Section 193(b) of WIA, as amended by section 20610 of

Public Law No. 110-5, prohibits the use of UI administrative grant funds to amortize the cost of real property acquired on or after February 15, 2007. However, OMB Circular No. A-87, Appendix B, item 11, still permits Reed Act funds used to acquire buildings (but not land) on or after February 15, 2007 to be replenished using UI or Wagner-Peyser grant funds through “cost recovery through depreciation.” Cost recovery through depreciation may also be used for automation equipment acquired with Reed Act funds regardless of the date of purchase.

Exception/Revision (Prior Approval Waiver):

An exception/revision to this assurance in Chapter 1 (VII.B.2.d) is as follows: Notwithstanding the waiver of the requirement of prior approval, the Grantor reserves the right to reimpose the requirement of prior approval by the Grantor, after providing advance notice to the State (Grantee).

C. Assurance of Management Systems, Reporting, and Recordkeeping.

D. Assurance of Program Quality.

E. Assurance on Use of Unobligated Funds.

F. Assurance of Prohibition of Lobbying Costs (29 CFR Part 93).

G. Drug-Free Workplace (29 CFR Part 98).

H. Assurance of Disaster Recovery Capability.

I. Assurance of Conformity and Compliance.

J. Assurance of Automated Information Systems Security.

K. Assurance of Confidentiality.

13. Federal Funding Accountability and Transparency Act of 2006, Public Law No. 109-282 (FFATA), as amended by section 6202 of Public Law No. 110-252. Grantees must ensure that

they have the necessary processes and systems in place to comply with the reporting requirements of FFATA. See Training and Employment Guidance Letter (TEGL) No. 11-10 (issued November 15, 2010), <http://wdr.doleta.gov/directives/attach/TEGL/TEGL11-10acc.pdf>, and Attachment to this grant agreement.

Additionally, OMB, SF 424 B *Assurances – Non-Construction Programs*, signed and submitted by the Grantee with the SQSP annual submission, also apply.

14. Signatory Information. The signatories below agree to the terms and conditions of this agreement on behalf of their respective agencies:

GRANTEE:

(State/Commonwealth) Grant Recipient /Agency

_____ **TAX EIN#** _____

Address

_____ **DUNS#** _____

Authorized Signatory For Grantee:

Print Name/Title Signature Date

GRANTOR:

Employment & Training Administration; U.S. Department of Labor; OGM-DWSFA, N- 4716

200 Constitution Avenue NW; Washington, DC 20210

Authorized Signatory For Grantor:

THOMAS C. MARTIN, Grant Officer Signature Date

UI Agreement Clause No. 13 (FFATA) Attachment

This grant is subject to the following FFATA requirements:

2 CFR Part 170

Appendix A to Part 170--Award Term

I. Reporting Subawards and Executive Compensation.

a. Reporting of first-tier subawards.

1. **Applicability.** Unless you are exempt as provided in paragraph d. of this award term, you must report each action that obligates \$25,000 or more in Federal funds that does not include Recovery funds (as defined in section 1512(a)(2) of the American Recovery and Reinvestment Act of 2009, Pub. L. 111-5) for a subaward to an entity (see definitions in paragraph e. of this award term).

2. Where and when to report.

i. You must report each obligating action described in paragraph a.1. of this award term to <http://www.fsrs.gov>.

ii. For subaward information, report no later than the end of the month following the month in which the obligation was made. (For example, if the obligation was made on November 7, 2010, the obligation must be reported by no later than December 31, 2010.)

3. **What to report.** You must report the information about each obligating action that the submission instructions posted at <http://www.fsrs.gov> specify.

b. Reporting Total Compensation of Recipient Executives.

1. **Applicability and what to report.** You must report total compensation for each of your five most highly compensated executives for the preceding completed fiscal year, if--

i. the total Federal funding authorized to date under this award

is \$25,000 or more;

ii. in the preceding fiscal year, you received--

(A) 80 percent or more of your annual gross revenues from Federal procurement contracts (and subcontracts) and Federal financial assistance subject to the Transparency Act, as defined at 2 CFR 170.320 (and subawards); and

(B) \$25,000,000 or more in annual gross revenues from Federal procurement contracts (and subcontracts) and Federal financial assistance subject to the Transparency Act, as defined at 2 CFR 170.320 (and subawards); and

iii. The public does not have access to information about the compensation of the executives through periodic reports filed under section 13(a) or 15(d) of the Securities Exchange Act of 1934 (15 U.S.C. 78m(a), 78o(d)) or section 6104 of the Internal Revenue Code of 1986. (To determine if the public has access to the compensation information, see the U.S. Security and Exchange Commission total compensation filings at <http://www.sec.gov/answers/execomp.htm>.)

2. **Where and when to report.** You must report executive total compensation described in paragraph b.1. of this award term:

- i. As part of your registration profile at <http://www.ccr.gov>.
- ii. By the end of the month following the month in which this award is made, and annually thereafter.

c. Reporting of Total Compensation of Subrecipient Executives.

1. Applicability and what to report. Unless you are exempt as provided in paragraph d. of this award term, for each first-tier subrecipient under this award, you shall report the names and total compensation of each of the subrecipient's five most highly compensated executives for the subrecipient's preceding completed fiscal year, if--

- i. in the subrecipient's preceding fiscal year, the subrecipient received--

(A) 80 percent or more of its annual gross revenues from Federal procurement contracts (and subcontracts) and Federal financial assistance subject to the Transparency Act, as defined at 2 CFR 170.320 (and subawards); and

(B) \$25,000,000 or more in annual gross revenues from Federal procurement contracts (and subcontracts), and Federal financial assistance subject to the Transparency Act (and subawards); and

- ii. The public does not have access to information about the compensation of the executives through periodic reports filed under section 13(a) or 15(d) of the Securities Exchange Act of 1934 (15 U.S.C. 78m(a), 78o(d)) or section 6104 of the Internal Revenue Code of 1986. (To determine if the public has access to the compensation information, see the U.S. Security and Exchange Commission total compensation filings at <http://www.sec.gov/answers/execomp.htm>.)

2. Where and when to report. You must report subrecipient executive total compensation described in paragraph c.1. of this award term:

- i. To the recipient.
- ii. By the end of the month following the month during which you make the subaward. For example, if a subaward is obligated on any date during the month of October of a given year (i.e., between October 1 and 31), you must report any required compensation information of the subrecipient by November 30 of that year.

d. Exemptions

If, in the previous tax year, you had gross income, from all sources, under \$300,000, you are exempt from the requirements to report:

- i. Subawards, and
- ii. The total compensation of the five most highly compensated executives of any subrecipient.

e. Definitions. For purposes of this award term:

1. Entity means all of the following, as defined in 2 CFR part 25:
 - i. A Governmental organization, which is a State, local government, or Indian tribe;
 - ii. A foreign public entity;
 - iii. A domestic or foreign nonprofit organization;
 - iv. A domestic or foreign for-profit organization;
 - v. A Federal agency, but only as a subrecipient under an award or subaward to a non-Federal entity.
2. Executive means officers, managing partners, or any other employees in management positions.
3. Subaward:
 - i. This term means a legal instrument to provide support for the performance of any portion of the substantive project or program for which you received this award and that you as the recipient award to an eligible subrecipient.
 - ii. The term does not include your procurement of property and services needed to carry out the project or program (for further explanation, see Sec. ---- .210 of the attachment to OMB Circular A-133, "Audits of States, Local Governments, and Non-Profit Organizations").
 - iii. A subaward may be provided through any legal agreement, including an agreement that you or a subrecipient considers a contract.
4. Subrecipient means an entity that:
 - i. Receives a subaward from you (the recipient) under this award; and
 - ii. Is accountable to you for the use of the Federal funds provided by the subaward.
5. Total compensation means the cash and noncash dollar value earned by the executive during the recipient's or subrecipient's preceding fiscal year and includes the following (for more information see 17 CFR 229.402(c)(2)):
 - i. Salary and bonus.
 - ii. Awards of stock, stock options, and stock appreciation rights. Use the dollar amount recognized for financial statement reporting purposes with respect to the fiscal year in accordance with the Statement of Financial Accounting Standards No. 123 (Revised 2004) (FAS 123R), Shared Based Payments.
 - iii. Earnings for services under non-equity incentive plans. This does not include group life, health, hospitalization or medical reimbursement plans that do not discriminate in favor of executives, and are available generally to all salaried employees.

- iv. Change in pension value. This is the change in present value of defined benefit and actuarial pension plans.
- v. Above-market earnings on deferred compensation which is not tax-qualified.
- vi. Other compensation, if the aggregate value of all such other compensation (e.g. severance, termination payments, value of life insurance paid on behalf of the employee, perquisites or property) for the executive exceeds \$10,000.

This grant is subject to:

2 CFR Subtitle A, Chapter I and Part 25

Appendix A to Part 25--Award Term

I. Central Contractor Registration and Universal Identifier Requirements

A. Requirement for Central Contractor Registration (CCR)

Unless you are exempted from this requirement under 2 CFR 25.110, you as the recipient must maintain the currency of your information in the CCR until you submit the final financial report required under this award or receive the final payment, whichever is later. This requires that you review and update the information at least annually after the initial registration, and more frequently if required by changes in your information or another award term.

B. Requirement for Data Universal Numbering System (DUNS) Numbers

If you are authorized to make subawards under this award, you:

1. Must notify potential subrecipients that no entity (see definition in paragraph C of this award term) may receive a subaward from you unless the entity has provided its DUNS number to you.
2. May not make a subaward to an entity unless the entity has provided its DUNS number to you.

C. Definitions

For purposes of this award term:

1. Central Contractor Registration (CCR) means the Federal repository into which an entity must provide information required for the conduct of business as a recipient. Additional information about registration procedures may be found at the CCR Internet site (currently at <http://www.ccr.gov>).
2. Data Universal Numbering System (DUNS) number means the nine-digit number established and assigned by Dun and Bradstreet, Inc. (D&B) to uniquely identify business entities. A DUNS number may be obtained from D&B by telephone (currently 866-705-5711) or the Internet (currently at <http://fedgov.dnb.com/webform>).
3. Entity, as it is used in this award term, means all of the following, as defined at 2 CFR part 25,

subpart C:

- a. A Governmental organization, which is a State, local government, or Indian Tribe;
- b. A foreign public entity;
- c. A domestic or foreign nonprofit organization;
- d. A domestic or foreign for-profit organization; and
- e. A Federal agency, but only as a subrecipient under an award or subaward to a non-Federal entity.

4. Subaward:

a. This term means a legal instrument to provide support for the performance of any portion of the substantive project or program for which you received this award and that you as the recipient award to an eligible subrecipient.

b. The term does not include your procurement of property and services needed to carry out the project or program (for further explanation, see Sec. ----.210 of the attachment to OMB Circular A-133, "Audits of States, Local Governments, and Non-Profit

Organizations").

c. A subaward may be provided through any legal agreement, including an agreement that you consider a contract.

5. Subrecipient means an entity that:

- a. Receives a subaward from you under this award; and
 - b. Is accountable to you for the use of the Federal funds provided by the subaward.
-

Message: RE: Reminder to Review Non-expenditure of FY 2013 EUC Admin Funds**Case Information:**

Message Type: Exchange
 Message Direction: External, Inbound
 Case: IWD Senator Petersen Request - Version 3
 Capture Date: 7/10/2014 1:32:18 PM
 Item ID: 40861582
 Policy Action: Not Specified

Mark History:

No reviewing has been done

Policies:

No Policies attached

 **RE: Reminder to Review Non-expenditure of FY 2013 EUC Admin**

Funds

From Gilbert, Gay - ETA

Date
 Wednesday,
 December 11,
 2013 12:12 PM

To Albert Bryan; Altemese Smith; Andy Baldwin; Anne Eustaquio; Antwon Keith; Beth Carenbauer; Bill Starks; Bill Walton; Brenda Brown; Brett Flachsbarth; Carl Boorn; Cynthia Bauerly; Dale Smith; Darren Brostrom; David Gerstenfeld; dmclone@dllr.state.md.us; Dayne Freeman; Dianne Carpenter; Dustin Adams; Erica Von Nessen; Greg Cashen; Gregg Shore; Hoyt Russell; Jason Dean; Jay Engstrom; Jay Rowell; Jeff Fitzgerald; Josh Richardson; Julie Gibson; Kelly Karch; LaSha Lenzy; Laura Boyett; Linda Davis; Linda Karos; Linda Uesato; Wilkinson, Michael [IWD]; Michelle Amante; Neil Gorrell; Christine.Paquette@ct.gov; Patrick Holmes; Paulilne Heier; Robert Langlais; robert.rodriguez@dwd.wisconsin.gov; Ron Calkins; Ron Joyce; Ron Marino; Roy Mulvaney; Sandra Velentin; Sara Hall Phillips; Shalonda Saunders; Tobi Cates; Tom MacPherson; Tracy Phillips

Cc Garner, Jim - ETA; Wilus.Ronald@dol.gov; D'Amore, Barbara - ETA; Miller, Leo - ETA; Dean, Stephen - ETA; Kenyon, Robert - ETA; Scott, John - ETA; Rogers, Bryan - ETA; Edens, Candace - ETA

Hi Everyone – ETA’s Regional Offices reached out to check with states that had a significant amount of FY 2013 EUC administrative funds remaining to be sure that they were using the available EUC resources to the full extent possible under the cost allocation principles in OMB Circular A-87. We were concerned that seven states had not used any of the FY 2013 EUC administrative funds provided and an additional 29 states had at least 20 percent of the funds remaining. Of those 29 states, 14 had more than 50 percent of their allocations remaining. A number of states responded that the funds would be expended by year’s end and others indicated that adjustments needed to be made. For example, one state said that they would “make an adjustment as some IT charges that should have been charged to

EUC were charged to the base grant.” .Another state commented that “the plan for the remaining funds is to move expenses for IT, communications, printing, and postage for EUC on a percentage basis. These operating costs currently default to the base grant. These costs will be moved out of the base grant.” Several states indicated that they would “look into other costs not associated with PS/PB to see if EUC can cover those costs.”

Given these comments, I want to be sure all of you are aware of the situation and have an opportunity to review with fiscal staff the status of EUC administrative fund usage. Under the cost allocation principles an appropriate share of facilities space, utilities, overhead, etc. can be charged to EUC administration and not doing so may be unnecessarily burdening grants funds provided for the regular program and reducing expenditures in areas of emphasis such as benefit payment control. In addition, not properly charging the EUC administrative funds will distort the RJM submissions. The comments indicate for states that have not used the EUC funds where possible the tendency has been to charge higher cost items in the UI grant such as Support, AS&T, and NPS. Doing so would potentially provide such states with a slightly larger share of the available funds (thereby hurting other states) but the difference would be much less than the amount of EUC funds a state would receive if it properly charged EUC administrative funds. Hence it’s worth checking to be sure EUC administrative funds are properly charged for all the costs associated with the processing of EUC claims. If you have already done so, I thank you for your efforts.

Gay

Gay Gilbert, Administrator
Office of Unemployment Insurance
U.S. Department of Labor
Employment and Training Administration
(202) 693-3029
gilbert.gay@dol.gov

Message: RE: Iowa Workforce Development Follow-Up Information**Case Information:**

Message Type: Exchange
 Message Direction: External, Inbound
 Case: IWD Senator Petersen Request - Version 3
 Capture Date: 7/10/2014 1:32:57 PM
 Item ID: 40862424
 Policy Action: Not Specified

Mark History:

No reviewing has been done

Policies:

No Policies attached

 **RE: Iowa Workforce Development Follow-Up Information**

From Gilbert, Gay - ETA **Date** Friday, March 21, 2014 4:29 PM
To Koonce, Kerry [IWD]; Zuidema, Byron - ETA
Cc Wahlert, Teresa [IWD]

Thanks for the quick response.

Gay

From: Koonce, Kerry [IWD] [mailto:Kerry.Koonce@iwd.iowa.gov]
Sent: Friday, March 21, 2014 4:09 PM
To: Gilbert, Gay - ETA; Zuidema, Byron - ETA
Cc: Wahlert, Teresa [IWD]
Subject: Iowa Workforce Development Follow-Up Information

Gay and Byron,

Here is some of the initial information you requested from Director Wahlert on today's conference call.

The position descriptions for the lead workers are attached. I have highlighted the information that covers the lead worker components for your ease of review. As you will see, the lead worker positions were put in place in July 2013. Additionally, the positions are not only merit covered, they are actually covered by the state's collective bargaining agreement as well.

"Tip Sheets"

The first one completed was the general "How to Prepare for a Fact-Finding"

<http://www.iowaworkforcedevelopment.gov/unemployment-insurance-benefits-tip-sheet-general-fact-finding-information>

The "Intoxication at Work" was the next one created

<http://www.iowaworkforcedevelopment.gov/unemployment-insurance-benefits-tip-sheet-intoxication-work>

"Refusal of Work" was next as a number of claimants expressed confusion as to when refusals had to be reported <http://www.iowaworkforcedevelopment.gov/unemployment-insurance-benefits-tip-sheet-refusal->

[work-or-recall](#)

"Absenteeism and Misconduct" was created to explain the issue more clearly

<http://www.iowaworkforcedevelopment.gov/unemployment-insurance-benefits-tip-sheet-absenteeism-and-misconduct>

"Off Duty Conduct" is the last one created as a number of cases had been brought forth in the media regarding the issue creating a lot of public confusion <http://www.iowaworkforcedevelopment.gov/unemployment-insurance-benefits-tip-sheet-duty-conduct>

We also maintain the following resources available online and in our field offices:

Our "Facts for Workers" guide is available at <http://www.iowaworkforce.org/ui/handbook.htm>. Additionally, when an initial claim is filed, the claimant selects whether to receive the guide electronically or in the mail.

Online filing FAQ's for claimants <http://www.iowaworkforce.org/ui/file5.htm>

Employer handbook

The department has always tried to educate both employer and claimants on Unemployment issues. This information has been available on the web site since 2007. If you click on the PDF version, you will see that it was created by a former Chief ALJ. <http://www.iowaworkforce.org/ui/appeals/selectissues.htm>

We'll be providing the rest of the information early next week.

Kerry Koonce, CPM

Division Administrator, Communications & Labor Market Information

Iowa Workforce Development

1000 East Grand Avenue

Des Moines, IA 50319

T: 515-281-9646

F: 515-281-4698

C: 515-681-2230

Message: ICON and SBRs**Case Information:**

Message Type: Exchange
 Message Direction: External, Inbound
 Case: IWD Senator Petersen Request - Version 3
 Capture Date: 7/10/2014 1:32:16 PM
 Item ID: 40861518
 Policy Action: Not Specified

Mark History:

No reviewing has been done

Policies:

No Policies attached

 **ICON and SBRs**

From Gilbert, Gay - ETA

Date
 Monday,
 June 30,
 2014 2:11
 PM

To Albert Bryan; Altemese Smith; Andy Baldwin; Anne Eustaquio; Antwon Keith; Beth Carenbauer; Bill Starks; Bill Walton; Brenda Brown; Brett Flachsbarth; Carl Boorn; Cynthia Bauerly; Dale Smith; Darren Brostrom; David Gerstenfeld; David McGlone (dmcglone@dllr.state.md.us); Dayne Freeman; Dianne Carpenter; Erica Von Nessen; Greg Cashen; Gregg Shore; Hoyt Russell; Jason Dean; Jay Engstrom; Jay Rowell; Jeff Fitzgerald; Josh Richardson; Kelly Karch; LaSha Lenzy; Laura Boyett; Linda Davis; Linda Karos; Linda Uesato; Melissa Beasley; Wilkinson, Michael [IWD]; Michelle Amante; Neil Gorrell; Nia Ray; Paquette, Christine (Christine.Paquette@ct.gov); Patrick Holmes; Paulilne Heier; Robert Langlais; Robert Rodriguez (robert.rodriguez@dwd.wisconsin.gov); Ron Calkins; Ron Joyce; Ron Marino; Roy Mulvaney; Sandra Velentin; Sara Hall Phillips; Shalonda Saunders; Tobi Cates; Tom Ellis; Tracy Phillips

Cc D'Amore, Barbara - ETA; Pasquale, Karen - ETA; Fadler, Randy - ETA; Kenyon, Robert - ETA; Scott, John - ETA; Edens, Candace - ETA; RO1-RA-BOS, Official - ETA; RO2-RA-PHL, Official - ETA; RO3-RA-ATL, Official - ETA; RO4-RA-DAL, Official - ETA; RO5-RA-CHI, Official - ETA; RO6-RA-SF, Official - ETA; Garner, Jim - ETA; Wiggins, Brad - ETA; Raman, Subri - ETA; Garcia, Stephanie - ETA

Hi Everyone – the purpose of this email is to encourage you to think about ICON modernization as a possible SBR project.

On June 16, 2014, the US Department of Labor issued Unemployment Insurance Program Letter (UIPL) 13-14: *Unemployment Insurance (UI) Supplemental Funding Opportunity for Program Integrity and*

Performance and System Improvements. Several initiatives related to applications and interfaces supporting the data exchange among states, federal agencies, and the military processed through the UI Interstate Connection Network (UI-ICON) were included in the UIPL.

The UI-ICON system is designed to allow State Workforce Agencies with differing hardware and software configurations to exchange pre-formatted data electronically. UI-ICON is a hub-oriented interstate data exchange system that supports the following:

- Interstate Benefit (IB) Program
- Combined Wage (CWC) Program
- Liable Agent Data Transfer (LADT) System
- Unemployment Compensation for Federal Employees (UCFE) Program
- Unemployment Compensation for Ex-Servicemembers (UCX) Program
- Wage Record Interchange System (WRIS)
- Unemployment Insurance Database Management System (UIDBMS)
- Federal Claims Control Center (FCCC)
- Data exchange between the States and the Social Security Administration (UIQ)

To ensure the integrity, timeliness, and proper payment of UI benefits, it is imperative that the business rules and data records exchanged among states via the UI-ICON reflect accurate claim and benefit data.

Unemployment Compensation for Ex-servicemembers (UCX) Enhancements

During the past two years, several enhancements have been implemented at the UI-ICON Hub to further enhance the electronic data exchange in support of the UCX program. Included in those enhancements has been the establishment of an electronic data exchange for the receipt of DD214s and DD215s from the military, the capability to notify the military branches of claims being filed and allow for the military to electronically provide additional separation information, and the electronic submission of quarterly billing process. Implementation of these two initiatives require programming and processing changes in the States, including but not limited to changes such as:

- Providing additional information in the Type 2 Request Record sent to the Federal Claims Control Center (FCCC) such as changing the Branch of Service field from optional to required, populating the MBA and WBA information in the Type 2 Record, and adding dependent allowance;
- Ensuring that states can send and receive all six UCX record types;
- Updating the UCX message codes to display new message types for error handling in the State system;
- Building the appropriate interfaces with the State benefits systems to generate the quarterly billing statement electronically; and
- Testing the State systems to verify correct display and processing functionality.

For the UCX enhancements, there are 29 states that need to generate the quarterly bill electronically and 26 states that need to add the MBA and WBA to the Type 2 records. We estimate that 1 FTE would be needed to coordinate the meetings, testing, and status reporting for the UCX enhancement states.

ICON Modernization including implementing data exchange standardization requirements:

- In support of the requirement to move the data exchange for UI-ICON Real Time Applications to XML, provide for the enhancement, testing and certification process with those applications, including IBIQ, SID, WIC2

For the XML compliance for IBIQ, SID, and WIC2, there are 42 States not yet transitioned to XML. Several consortia are in process and many of the compliance enhancements need to be addressed by those states modernizing and other states exchanging data as of June 2014.

ICON Enhancements: The increased number of states modernizing the State benefits and tax systems is creating significant negative impacts on other states during the rollout of the UI-ICON applications involving interstate benefits and federal programs. Recognizing the need for State modernization efforts and the importance of ensuring minimal impacts to other states involved in the correct processing of

combined wage claims and federal program compliance, the following enhancements are recommended for the maintaining the integrity and compliance with Interstate Benefits and Federal Program policy.

- Develop comprehensive State testing plan for the 20+ UI-ICON application interfaces that details the tasks involved in the testing of the many interfaces between the UI-ICON applications and State benefits system.
- Run parallel tests to ensure that the new system delivers the same results as your current production system where applicable using live data.
- Incorporate an independent review and assessment of test results to certify compliance with applicable state and federal policy and legislation. The certification process will involve readiness testing with the Hub to ensure that production data exchanged with other States meets the record format and content guidelines established by the Unemployment Insurance Interstate Benefits Subcommittee and in compliance with Federal and State policy and legislation.

For the general compliance with the data exchange standards for the 20+ applications, there are from 10 – 20 states with data exchange format problems on any given application. Compliance enhancements need to be addressed by those states modernizing and other states exchanging data as of June 2014.

Specific examples are provided below:

Liable Agent Data Transfer (LADT) Enhancements

In support of State benefits system modernization, including the move to internet-only claims filing in some states, and efforts to ensure accurate statistical reporting and regulatory compliance, the following State enhancements are recommended for the State LADT systems:

Testing verification of State interface systems that integrate remote claimstaking systems (e.g., internet claims applications and IVR claimstaking applications to ensure accurate accounting for interstate and commuter claim;

Enhancing reporting capability within the State LADT processing to expedite the review and evaluation of the interstate and commuter claims processing to ensure regulatory compliance; and

Implementing the integration of the LADT Agent file with the re-employment registration system to ensure that interstate claimants receiving benefits are provided access to Agent state reemployment services.

For the LADT enhancements, there are 20 states that have a reject/error rate of >1.25% for two or more weeks as of June 2014.

Interstate Benefits Inquiry (IBIQ) and State Identification Inquiry (SID)

In support of State Modernization activities and the reporting of benefits and claims data for use by all States for improving integrity of claims processing, the following enhancements are recommended for the IBIQ and SID applications running in each state:

- Inclusion of the “name associated with wages” within the address field to expedite the claimant verification process for interstate claimants;
- Verification of interface requirements populating the IBIQ data fields to ensure that the data displayed meets regulatory compliance and system definition requirements; and
- Implementing the new functionality available for overpayment and benefits reporting via the SID application, including submittal of the monthly SID Overpayment and Benefits files and the coding necessary to address the IB 8606 definitions of a claim and overpayment.

For the IBIQ/SID enhancements, there are 13 states that need to generate the SID Overpayment and Claims match files as of June 2014. Many states also need to correct/add information to the IBIQ screen.

The UI-ICON applications are vital to the successful administration of the UI program. If your state applications require any updates or enhancements we encourage you to apply for funding. Please contact Stephanie C. Garcia (Tel. 202-693-3207, Garcia.stephanie@dol.gov) from my staff if you have any questions or require technical assistance on your applications. If you wish to find out about the current status of your UI-ICON applications, please contact your respective Regional Office representative.

Thanks every one.

Gay

Gay Gilbert, Administrator
Office of Unemployment Insurance
U.S. Department of Labor
Employment and Training Administration
(202) 693-3029
gilbert.gay@dol.gov

Message: FW: Online reporting-weekly claim

Case Information:

Message Type: Exchange
Message Direction: Internal
Case: IWD Senator Petersen Request - Version 3
Capture Date: 7/10/2014 1:32:46 PM
Item ID: 40862109
Policy Action: Not Specified

Mark History:

No reviewing has been done

Policies:

No Policies attached

 **FW: Online reporting-weekly claim**

From Gomez, Carmen [IWD]

Date Monday,
March 10, 2014 8:41
AM

To Bateman, Gary [IWD]; Koonce, Kerry [IWD]; West,
Ryan [IWD]; Wilkinson, Michael [IWD]; Boten,
Brenda [IWD]; Eklund, David [IWD]

Cc

 [image001.gif](#) (3 Kb HTML)  [image002.jpg](#) (3 Kb HTML)

FYI

Thank you,

Carmen Gomez

Customer Service Bureau Chief
Iowa Workforce Development
1000 E Grand Avenue | Des Moines, IA | 50319
Phone: 515-281-5981 | 515-720-4686 | Fax: 515-281-4698

From: Hammond, Simona [IWD]
Sent: Monday, March 10, 2014 8:40 AM
To: IWD-HelpDesk
Cc: Martin Moen; Gomez, Carmen [IWD]
Subject: Online reporting-weekly claim

Good Morning,

I had several calls this morning where the claimants did the weekly reporting online and it did not go through. They indicated it lets them file and then when they log out and log back in the week is not there. I know the VRU is down but apparently online reporting does not work either.

Thank you,

Simona Hammond
Iowa Workforce Development
515-725-3764

 Description: Description: titlegraphic
--

Message: RE: Online reporting-weekly claim

Case Information:

Message Type: Exchange
Message Direction: Internal
Case: IWD Senator Petersen Request - Version 3
Capture Date: 7/10/2014 1:32:46 PM
Item ID: 40862110
Policy Action: Not Specified

Mark History:

No reviewing has been done

Policies:

No Policies attached

 **RE: Online reporting-weekly claim**

From Gomez, Carmen [IWD] **Date** Monday, March 10, 2014 8:50 AM
To Hammond, Simona [IWD]; Gannon, David [IWD]
Cc Bateman, Gary [IWD]; Cooper, Renee [IWD]; Koonce, Kerry [IWD]

 [image001.gif](#) (3 Kb HTML)  [image002.jpg](#) (3 Kb HTML)

Michelle Roovaart said she needed claimant information name and last four – Dave do you need this as well?

It was reported to Michelle on Thursday by Edward Buntenschach (I was unaware of this on Thursday) – but she indicated that the above was not provided.

Thank you,

Carmen Gomez

Customer Service Bureau Chief
Iowa Workforce Development
1000 E Grand Avenue | Des Moines, IA | 50319
Phone: 515-281-5981 | 515-720-4686 | Fax: 515-281-4698

From: Hammond, Simona [IWD]
Sent: Monday, March 10, 2014 8:46 AM

To: Gomez, Carmen [IWD]; Gannon, David [IWD]
Subject: RE: Online reporting-weekly claim

They go through all the questions and then it starts over and over till they hang up. It never gets to the part where it says good-bye.

From: Gomez, Carmen [IWD]
Sent: Monday, March 10, 2014 8:44 AM
To: Gannon, David [IWD]
Cc: Hammond, Simona [IWD]
Subject: FW: Online reporting-weekly claim
Importance: High

Can you provide details of what the claimants are experiencing when they call the IVR to Dave.

Thank you,

Carmen Gomez

Customer Service Bureau Chief
Iowa Workforce Development
1000 E Grand Avenue | Des Moines, IA | 50319
Phone: 515-281-5981 | 515-720-4686 | Fax: 515-281-4698

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Sent: Monday, March 10, 2014 8:40 AM
To: IWD-HelpDesk
Cc: Martin Moen; Gomez, Carmen [IWD]
Subject: Online reporting-weekly claim

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Thank you,

Simona Hammond
Iowa Workforce Development
515-725-3764

 Description: Description: titlegraphic
--

Message: FW: Online reporting-weekly claim/IVR**Case Information:**

Message Type: Exchange
 Message Direction: Internal
 Case: IWD Senator Petersen Request - Version 3
 Capture Date: 7/10/2014 1:32:46 PM
 Item ID: 40862112
 Policy Action: Not Specified

Mark History:

No reviewing has been done

Policies:

No Policies attached

 **FW: Online reporting-weekly claim/IVR**

From	Gomez, Carmen [IWD]	Date
		Monday, March 10, 2014 9:06 AM
To	Gannon, David [IWD]	
Cc	Hammond, Simona [IWD]; Moen, Martin [IWD]; Tobis, Shelli [IWD]; Bateman, Gary [IWD]; Koonce, Kerry [IWD]; Wilkinson, Michael [IWD]; Roovaart, Michelle [IWD]; Cooper, Renee [IWD]; Eklund, David [IWD]; Boten, Brenda [IWD]; West, Ryan [IWD]	

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Dave – are you working on this or Shelli and do you need this information?

Both IVR and online are having the same issues not letting claimants file.

If you need this information – I will have Simona provide you with what you need ASAP – please let us know.

Thank you,

Carmen Gomez

Customer Service Bureau Chief
 Iowa Workforce Development
 1000 E Grand Avenue | Des Moines, IA | 50319
 Phone: 515-281-5981 | 515-720-4686 | Fax: 515-281-4698

From: Tobis, Shelli [IWD]
Sent: Monday, March 10, 2014 9:01 AM
To: Hammond, Simona [IWD]; IWD-HelpDesk
Cc: Martin Moen; Gomez, Carmen [IWD]
Subject: RE: Online reporting-weekly claim

Do you have some examples that we can turn over to our developers: e.g. claimants name and ssn and the week / dates / that are the problem? Contact information would be helpful too.

Shelli

From: Hammond, Simona [IWD]
Sent: Monday, March 10, 2014 8:40 AM
To: IWD-HelpDesk
Cc: Martin Moen; Gomez, Carmen [IWD]
Subject: Online reporting-weekly claim

Good Morning,

I had several calls this morning where the claimants did the weekly reporting online and it did not go through. They indicated it lets them file and then when they log out and log back in the week is not there. I know the VRU is down but apparently online reporting does not work either.

Thank you,

Simona Hammond
Iowa Workforce Development
515-725-3764

 Description: Description: titlegraphic
--

To: IWD-HelpDesk
Cc: Martin Moen; Gomez, Carmen [IWD]
Subject: Online reporting-weekly claim

Good Morning,

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Thank you,

Simona Hammond
Iowa Workforce Development
515-725-3764

 Description:
Description:
titlegraphic

Message: FW: Online reporting-weekly claim/IVR**Case Information:**

Message Type: Exchange
 Message Direction: Internal
 Case: IWD Senator Petersen Request - Version 3
 Capture Date: 7/10/2014 1:32:46 PM
 Item ID: 40862112
 Policy Action: Not Specified

Mark History:


No reviewing has been done

Policies:

No Policies attached

 **FW: Online reporting-weekly claim/IVR**

From	Gomez, Carmen [IWD]	Date Monday, March 10, 2014 9:06 AM
To	Gannon, David [IWD]	
Cc	Hammond, Simona [IWD]; Moen, Martin [IWD]; Tobis, Shelli [IWD]; Bateman, Gary [IWD]; Koonce, Kerry [IWD]; Wilkinson, Michael [IWD]; Roovaart, Michelle [IWD]; Cooper, Renee [IWD]; Eklund, David [IWD]; Boten, Brenda [IWD]; West, Ryan [IWD]	

 [image003.gif](#) (3 Kb HTML)  [image004.jpg](#) (3 Kb HTML)

Dave – are you working on this or Shelli and do you need this information?

Both IVR and online are having the same issues not letting claimants file.

If you need this information – I will have Simona provide you with what you need ASAP – please let us know.

Thank you,

Carmen Gomez

Customer Service Bureau Chief
 Iowa Workforce Development
 1000 E Grand Avenue | Des Moines, IA | 50319
 Phone: 515-281-5981 | 515-720-4686 | Fax: 515-281-4698

From: Tobis, Shelli [IWD]
Sent: Monday, March 10, 2014 9:01 AM
To: Hammond, Simona [IWD]; IWD-HelpDesk
Cc: Martin Moen; Gomez, Carmen [IWD]
Subject: RE: Online reporting-weekly claim

Do you have some examples that we can turn over to our developers: e.g. claimants name and ssn and the week / dates / that are the problem? Contact information would be helpful too.

Shelli

From: Hammond, Simona [IWD]
Sent: Monday, March 10, 2014 8:40 AM
To: IWD-HelpDesk
Cc: Martin Moen; Gomez, Carmen [IWD]
Subject: Online reporting-weekly claim

Good Morning,

I had several calls this morning where the claimants did the weekly reporting online and it did not go through. They indicated it lets them file and then when they log out and log back in the week is not there. I know the VRU is down but apparently online reporting does not work either.

Thank you,

Simona Hammond
Iowa Workforce Development
515-725-3764

 Description: Description: titlegraphic
--

Message: FW: UI Overpayment Process - Question from Wisconsin

Case Information:

Message Type: Exchange
Message Direction: Internal
Case: IWD Senator Petersen Request - Version 3
Capture Date: 7/10/2014 1:32:52 PM
Item ID: 40862275
Policy Action: Not Specified

Mark History:

No reviewing has been done

Policies:

No Policies attached

 **FW: UI Overpayment Process - Question from Wisconsin**

From Eklund, David [IWD] **Date** Friday, March 14, 2014 5:16 PM
To Eklund, David [IWD]
Cc

From: Banicki, Amy - DWD [mailto:Amy.Banicki@dwd.wisconsin.gov]

Sent: Monday, March 10, 2014 1:44 PM

To: Eklund, David [IWD]

Subject: UI Overpayment Process - Question from Wisconsin

Hi David,

Not sure if you remember me, but we have corresponded and talked on the phone a couple of times in the past. I was hoping you could answer a few questions about processing overpayments. In WI, we do not have automated overpayments. Our staff calculate the overpayments and they go out on the initial determination. This takes a lot of time and effort and slows our ability to get decisions out in a timely manner.

I recall that Iowa had a process where the determination goes out without the overpayment and that the determination includes information that an overpayment has resulted and a computation with the amount will follow. Is this still the case in Iowa? Am I correct that this is how overpayments are or were handled? I also thought that the overpayment computation did not go out until after the appeal period of the determination. Is this correct? I believe that appeals went down. Is this correct too? Were there a lot of late appeals? If so, do you know what happened to them? Were they just dismissed?

Thanks for any input you can provide!

Amy

Amy Banicki
WI UI Adjudication Director
Phone: 608-267-1472
Fax: 608-260-2570
amy.banicki@dwd.wisconsin.gov

Message: RE: Add the week if you get a call**Case Information:**

Message Type: Exchange
 Message Direction: Internal
 Case: IWD Senator Petersen Request - Version 3
 Capture Date: 7/10/2014 1:32:53 PM
 Item ID: 40862303
 Policy Action: Not Specified

Mark History:

No reviewing has been done

Policies:

No Policies attached

✉ RE: Add the week if you get a call

From Eklund, David [IWD] **Date** Monday, March 17, 2014 12:27 PM
To Wilkinson, Michael [IWD]
Cc

 **image001.jpg** (3 Kb HTML)

Absolutely. Before or after our 1:00 with BOA? I am on my way back from Taco Johns now...

----- Original message -----

From: "Wilkinson, Michael [IWD]"
Date: 03/17/2014 12:13 PM (GMT-06:00)
To: "Eklund, David [IWD]"
Subject: FW: Add the week if you get a call

Let's discuss before you respond.

From: Douglas, Jodi [IWD]
Sent: Monday, March 17, 2014 11:18 AM
To: Eklund, David [IWD]
Cc: Wilkinson, Michael [IWD]
Subject: FW: Add the week if you get a call
Importance: High

Hi Dave,

8 of our 9 cases for BAM this week (Key Week ending 3/8/14) show method of filing as MANBATCH with 9 employer contacts. Would you please provide a statement that the QC team can put in their case files with respect to the potential overpayment due to the system error?

Thank you!

Jodi Douglas

Quality Control Manager, Unemployment Insurance Services
Iowa Workforce Development
1000 East Grand Avenue
Des Moines, IA 50319
515-281-5225
Jodi.Douglas@iwd.iowa.gov

From: West, Ryan [IWD]

Sent: Monday, March 10, 2014 10:23 AM

To: Allen, Shannell [IWD]; Anderson, Shantel [IWD]; Andreano, Lori [IWD]; Baccam, Frisco [IWD]; Batten, Gary [IWD]; Beattie, Adam [IWD]; Borgeson, Jill [IWD]; Boten, Brenda [IWD]; Chounlamountry, Pho [IWD]; Close, Kara [IWD]; Cogan, Karen [IWD]; Cozart, Jeanine [IWD]; Croushore, Brianne [IWD]; Dawson, Dianne [IWD]; Densmore, Terryne [IWD]; DeSmidt, James [IWD]; Devine, Roxy [IWD]; Donlin, Michael [IWD]; Dyer, Ray [IWD]; Dykstra, Connie [IWD]; Edmonds, Linda [IWD]; Eklund, David [IWD]; Ellenwood, Kasandra [IWD]; Finck, Christal [IWD]; Garrett, Brent [IWD]; Gaulke-Lilly, Sharon [IWD]; Gifford, Matt [IWD]; Guy, Marlys [IWD]; Hallengren, Jon [IWD]; Hoard, Aaron [IWD]; Holett, Karen [IWD]; Hosier, Michael [IWD]; Irvine, Erin [IWD]; Irvine, Kevan [IWD]; Khounlo, Nhoui [IWD]; Kolontar, Lisa [IWD]; Kooiker, Quentin [IWD]; Landrew, Paul [IWD]; Lewis, Irma [IWD]; Long, Maria [IWD]; Moses, Ryan [IWD]; Nutting, Jenifer [IWD]; Oyibo, Joan [IWD]; Parry, Sharon [IWD]; Peacock, Phillip [IWD]; Prettyman, Laura [IWD]; Ramirez, Rebecca [IWD]; Rieger, Kim [IWD]; Roovaart, Michelle [IWD]; Ruby, Evelyn [IWD]; Saddoris, Michelle [IWD]; Schafer, Cathy [IWD]; Schlumbohm, Spomenka [IWD]; Schmalzried, Leslie [IWD]; Seivert, Shanlyn [IWD]; Shepherd, Deborah [IWD]; Spencer, Barbara [IWD]; Starr, Tracy [IWD]; Steen, Christina [IWD]; Stewart, Brian [IWD]; Stielow, Lisa [IWD]; Tavegia, Thomas [IWD]; Ung, Kham [IWD]; Vo, Vu [IWD]; Vogt, Jennifer [IWD]; Wey, Kevin [IWD]; Wolfe, Kathie [IWD]; Wood, Kirsten [IWD]; Young, Veronica [IWD]; Alden, Carmela [IWD]; Alexander, Marty [IWD]; Brendeland, Deb [IWD]; Buntenbach, Edward [IWD]; Conrath, Mary [IWD]; Dennis, Carla [IWD]; Drake, Denise [IWD]; Dugan, Boyd [IWD]; Gomez, Carmen [IWD]; Greco, Mary [IWD]; Hammond, Simona [IWD]; Harmon, Jeri [IWD]; Koonce, Kerry [IWD]; Kramer, Vicki [IWD]; Milligan, Joy [IWD]; OBrien, Karla [IWD]; Piper, Shari [IWD]; Rodriguez, Maria [IWD]; Shaw, Carmela [IWD]; Summy, Kelly [IWD]; Tate, Sherry [IWD]; Woods, Tamar [IWD]; Woods, Tina [IWD]; Batten, Ellen [IWD]; Douglas, Jodi [IWD]; Gilkison, Judy [IWD]; Halferty, Dan [IWD]; Jergenson, Kathy [IWD]; Lainson, Geralyn [IWD]; Piagentini, Mary [IWD]; Putzier, Juli [IWD]; Shenk, Jim [IWD]; Van Syoc, Jim [IWD]

Cc: Wilkinson, Michael [IWD]; Adams, Lori [IWD]

Subject: Add the week if you get a call

Importance: High

Please add the week for any and all claimants who call us today because of issues over the weekend. They should not have to call us back today once we get them off the phone.

Ryan West
Regional Operations Manager
Phone (515) 725-3732

Fax (515) 281-9321

 Description:
titlegraphic

Message: FW: Credit/Debit Card Payments

Case Information:

Message Type: Exchange
Message Direction: Internal
Case: IWD Senator Petersen Request - Version 3
Capture Date: 7/10/2014 1:32:53 PM
Item ID: 40862307
Policy Action: Not Specified

Mark History:

No reviewing has been done

Policies:

No Policies attached

✉ FW: Credit/Debit Card Payments

From Eklund, David [IWD] **Date** Monday, March 17, 2014 1:33 PM
To Linnenbrink, Jonathan [IWD]
Cc

 **image001.jpg** (3 Kb HTML)

Jon,

I know some of the below will not be available to you, but if you could do the best you could, it would assist in resolving the issue.

Thanks,
Dave

From: Raush, Ken [IWD]
Sent: Monday, March 17, 2014 1:02 PM
To: Eklund, David [IWD]; Anderson, Ryan [IWD]
Subject: RE: Credit/Debit Card Payments

Dave, Yes please.

Did Claimant get thru entering data entry of all of the following successfully?

1. Name
2. Address (entire)
3. Phone
4. Email
5. Payment method
6. Their credit card number

If the answer is yes; then amount should have been accepted

If the answer is no; then the data needs to be validated before application will allow amount to be accepted.

If the answer is no; can you have staff discover the following from claimant?

1. Identify with screen shot of where claimant experienced difficulties.
2. What was amount trying to be entered?
3. What was the payment method being selected?
4. What was the message being identified in the red box in middle of "Resolve Overpayment" Screen?

If there are still difficulties with applying payment can we have a name to research why the application did not allow for payment amount. We can review logs on dashboard for this,.

Thanks

Ken

From: Eklund, David [IWD]
Sent: Monday, March 17, 2014 12:45 PM
To: Anderson, Ryan [IWD]; Raush, Ken [IWD]
Subject: FW: Credit/Debit Card Payments

Do I need to get more info from Jon?

From: Linnenbrink, Jonathan [IWD]
Sent: Monday, March 17, 2014 11:50 AM
To: Eklund, David [IWD]
Subject: Credit/Debit Card Payments

Dave,


I've received 2 complaints this morning that the online Credit/Debit card payment database is not working. It allows the claimant to go through the whole process of entering their info; however, won't allow them to enter in their repayment amount.

Thought I would let you know.

Hope all is going well!

Thanks,

Jonathan Linnenbrink
Investigator

 Description: Description:
IWD2cTag

902 West Kimberly Road - Suite 51
Davenport, Iowa 52806
(563) 445-3200 x43324 (# has changed)

(563) 386-2818 fax
jonathan.linnenbrink@iwd.iowa.gov

Message: response to QC

Case Information:

Message Type: Exchange
Message Direction: Internal
Case: IWD Senator Petersen Request - Version 3
Capture Date: 7/10/2014 1:32:53 PM
Item ID: 40862310
Policy Action: Not Specified

Mark History:

No reviewing has been done

Policies:

No Policies attached

 **response to QC**

From Eklund, David [IWD] **Date** Monday, March 17, 2014 2:04 PM
To Wilkinson, Michael [IWD]
Cc

 [image001.jpg](#) (3 Kb HTML)

DRAFT

Jodi,

IWD discovered a processing problem for continued claims reported for week ending 03/08/14. IT was able to manually recreate those continued claims, but without any wages that may have been reported.

There will be occurrences where this created a potential overpayment situation.

This was a onetime incident and attributable to Agency error.

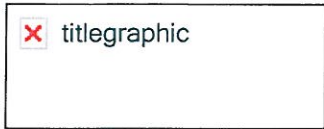
We have no intention of penalizing claimants for an Agency error.

The method of filing where "MANBATCH" is indicated represents "Manual Batch Processing", meaning the continued claim was recreated by IT.

The indicator of "9" in the worksearch contacts field was simply a placeholder and not indicative of how many job contacts the claimant may have actually reported.

David Eklund
Regional Operations Manager
UI Benefits Services
Iowa Workforce Development

Ph: 515/281-5792
Cell: 515/229-4482
Fax: 515/281-9033
david.eklund@iwd.iowa.gov



Message: RE: Add the week if you get a call

Case Information:

Message Type: Exchange
Message Direction: Internal
Case: IWD Senator Petersen Request - Version 3
Capture Date: 7/10/2014 1:32:53 PM
Item ID: 40862312
Policy Action: Not Specified

Mark History:

No reviewing has been done

Policies:

No Policies attached

 **RE: Add the week if you get a call**

From Eklund, David [IWD] **Date** Monday, March 17, 2014 2:21 PM
To Douglas, Jodi [IWD]
Cc Wilkinson, Michael [IWD]

 [image001.jpg](#) (3 Kb HTML)

Jodi,

IWD discovered a processing problem for continued claims reported for week ending 03/08/14. IT was able to manually recreate those continued claims, but without any wages that may have been reported.

There will be occurrences where this created a potential overpayment situation.

This was a onetime incident and attributable to an aging IT System.

We have no intention of penalizing claimants in this circumstance.

The method of filing where "MANBATCH" is indicated represents "Manual Batch Processing", meaning the continued claim was recreated by IT.

The indicator of "9" in the worksearch contacts field was simply a placeholder and not indicative of how many job contacts the claimant may have actually reported.

Dave

David Eklund
Regional Operations Manager
UI Benefits Services
Iowa Workforce Development
Ph: 515/281-5792
Cell: 515/229-4482

Fax: 515/281-9033
david.eklund@iwd.iowa.gov

 titlegraphic

From: Douglas, Jodi [IWD]
Sent: Monday, March 17, 2014 11:18 AM
To: Eklund, David [IWD]
Cc: Wilkinson, Michael [IWD]
Subject: FW: Add the week if you get a call
Importance: High

Hi Dave,

8 of our 9 cases for BAM this week (Key Week ending 3/8/14) show method of filing as MANBATCH with 9 employer contacts. Would you please provide a statement that the QC team can put in their case files with respect to the potential overpayment due to the system error?

Thank you!

Jodi Douglas

Quality Control Manager, Unemployment Insurance Services
Iowa Workforce Development
1000 East Grand Avenue
Des Moines, IA 50319
515-281-5225
Jodi.Douglas@iwd.iowa.gov

From: West, Ryan [IWD]
Sent: Monday, March 10, 2014 10:23 AM
To: Allen, Shannell [IWD]; Anderson, Shantel [IWD]; Andreano, Lori [IWD]; Baccam, Frisco [IWD]; Batten, Gary [IWD]; Beattie, Adam [IWD]; Borgeson, Jill [IWD]; Boten, Brenda [IWD]; Chounlamountry, Pho [IWD]; Close, Kara [IWD]; Cogan, Karen [IWD]; Cozart, Jeanine [IWD]; Croushore, Brianna [IWD]; Dawson, Dianne [IWD]; Densmore, Terryne [IWD]; DeSmidt, James [IWD]; Devine, Roxy [IWD]; Donlin, Michael [IWD]; Dyer, Ray [IWD]; Dykstra, Connie [IWD]; Edmonds, Linda [IWD]; Eklund, David [IWD]; Ellenwood, Kasandra [IWD]; Finck, Christal [IWD]; Garrett, Brent [IWD]; Gaulke-Lilly, Sharon [IWD]; Gifford, Matt [IWD]; Guy, Marlys [IWD]; Hallengren, Jon [IWD]; Hoard, Aaron [IWD]; Holett, Karen [IWD]; Hosier, Michael [IWD]; Irvine, Erin [IWD]; Irvine, Kevan [IWD]; Khounlo, Nhoui [IWD]; Kolontar, Lisa [IWD]; Kooiker, Quentin [IWD]; Landrew, Paul [IWD]; Lewis, Irma [IWD]; Long, Maria [IWD]; Moses, Ryan [IWD]; Nutting, Jenifer [IWD]; Oyibo, Joan [IWD]; Parry, Sharon [IWD]; Peacock, Phillip [IWD]; Prettyman, Laura [IWD]; Ramirez, Rebecca [IWD]; Rieger, Kim [IWD]; Roovaart, Michelle [IWD]; Ruby, Evelyn [IWD]; Saddoris, Michelle [IWD]; Schafer, Cathy [IWD];

Schlumbohm, Spomenka [IWD]; Schmalzried, Leslie [IWD]; Seivert, Shanlyn [IWD]; Shepherd, Deborah [IWD]; Spencer, Barbara [IWD]; Starr, Tracy [IWD]; Steen, Christina [IWD]; Stewart, Brian [IWD]; Stielow, Lisa [IWD]; Tavegia, Thomas [IWD]; Ung, Kham [IWD]; Vo, Vu [IWD]; Vogt, Jennifer [IWD]; Wey, Kevin [IWD]; Wolfe, Kathie [IWD]; Wood, Kirsten [IWD]; Young, Veronica [IWD]; Alden, Carmela [IWD]; Alexander, Marty [IWD]; Brendeland, Deb [IWD]; Buntенbach, Edward [IWD]; Conrath, Mary [IWD]; Dennis, Carla [IWD]; Drake, Denise [IWD]; Dugan, Boyd [IWD]; Gomez, Carmen [IWD]; Greco, Mary [IWD]; Hammond, Simona [IWD]; Harmon, Jeri [IWD]; Koonce, Kerry [IWD]; Kramer, Vicki [IWD]; Milligan, Joy [IWD]; OBrien, Karla [IWD]; Piper, Shari [IWD]; Rodriguez, Maria [IWD]; Shaw, Carmela [IWD]; Summy, Kelly [IWD]; Tate, Sherry [IWD]; Woods, Tamar [IWD]; Woods, Tina [IWD]; Batten, Ellen [IWD]; Douglas, Jodi [IWD]; Gilkison, Judy [IWD]; Halferty, Dan [IWD]; Jergenson, Kathy [IWD]; Lainson, Geralyn [IWD]; Piagentini, Mary [IWD]; Putzier, Juli [IWD]; Shenk, Jim [IWD]; Van Syoc, Jim [IWD]

Cc: Wilkinson, Michael [IWD]; Adams, Lori [IWD]

Subject: Add the week if you get a call

Importance: High

Please add the week for any and all claimants who call us today because of issues over the weekend. They should not have to call us back today once we get them off the phone.

Ryan West

Regional Operations Manager

Phone (515) 725-3732

Fax (515) 281-9321

 Description:
titlegraphic

Message: VRU and on-line continued claims

Case Information:

Message Type: Exchange
Message Direction: Internal
Case: IWD Senator Petersen Request - Version 3
Capture Date: 7/10/2014 1:32:53 PM
Item ID: 40862313
Policy Action: Not Specified

Mark History:

No reviewing has been done

Policies:

No Policies attached

 **VRU and on-line continued claims**

From Eklund, David [IWD] **Date** Monday, March 17, 2014
2:32 PM
To Wilkinson, Michael [IWD]; Boten,
Brenda [IWD]
Cc

 [image001.jpg](#) (3 Kb HTML)

Brenda and I attempted both systems and on both we got to the point of entering a PIN and then had to stop.
Both *appear* to be functioning.

I did notice that on both it is still alerting Debit Card customers that their payment will be delayed until Friday. But it does not provide which Friday so claimants could take that to mean this weeks payment as well.

I talked to Jill and Laura and neither one thinks that Michelle has the ability to test the live system any longer....?

David Eklund
Regional Operations Manager
UI Benefits Services
Iowa Workforce Development
Ph: 515/281-5792
Cell: 515/229-4482
Fax: 515/281-9033
david eklund@iwd.iowa.gov



- [Image 1](#)
- [Image 2](#)
- [Image 3](#)

Image 1

Image 2

Image 3

Message: RE: OT Request

Case Information:

Message Type: Exchange
Message Direction: Internal
Case: IWD Senator Petersen Request - Version 3
Capture Date: 7/10/2014 1:32:55 PM
Item ID: 40862355
Policy Action: Not Specified

Mark History:

No reviewing has been done

Policies:

No Policies attached

 **RE: OT Request**

From Eklund, David [IWD] **Date** Wednesday, March 19, 2014 11:03 AM
To Linnenbrink, Jonathan [IWD]
Cc

 [image001.jpg](#) (3 Kb HTML)

Jon,
The Clayton Co travel is approved.

Mike recently sent us a "stop-order" on OT, unless it is pre-approved by him since we were apparently getting very close on budgeted staff hours.

I will send your request to Mike for approval/denial. I plan on redacting the 2nd paragraph as that falls in my realm for approval. If Mike responds only to me I will forward his response to you.

Thanks,
Dave

From: Linnenbrink, Jonathan [IWD]
Sent: Wednesday, March 19, 2014 10:54 AM
To: Eklund, David [IWD]
Subject: OT Request

Dave,

I was wondering if IWD would grant me overtime? I was hoping possibly 5-hours a week, 10-hours a

pay-period??? I have a large amount of cases and have also been working cases in other territories. Also, I have been trying to increase my prosecutions, but as you are aware they take time. Especially when the County Attorney wants you to jump through certain hoops. I can't just mail the case like Polk County. I have to put together the Complaint/Affidavit and either physically walk the case through to have a warrant filed with the Judge or meet with the Sheriff's Department. I understand if OT can't be granted, but it would definitely help with the case load and with training of new staff if that's something you need assistance with.

Also, I have a \$24,000.00 case in Clayton County that was recently transferred to me. I figured due to the OP amount, I would travel to Clayton County and conduct an in-person interview at the claimant's place of employment and visit the County Attorney. Do I have permission to travel to Clayton County? On my way, I plan to stop in Dubuque County and drop off a few prosecutions with the County Attorney so my travel wouldn't be just for one case.

Please advise.

Thanks,

Jonathan Linnenbrink
Investigator

Description: Description:
IWD2cTag

902 West Kimberly Road - Suite 51
Davenport, Iowa 52806

(563) 445-3200 x43324 (# has changed)

(563) 386-2818 fax

jonathan.linnenbrink@iwd.iowa.gov

- [Image 1](#)
- [Image 2](#)
- [Image 3](#)

Image 1

Image 2

Image 3

Message: FW: Add the week if you get a call

Case Information:

Message Type: Exchange
Message Direction: Internal
Case: IWD Senator Petersen Request - Version 3
Capture Date: 7/10/2014 1:32:57 PM
Item ID: 40862403
Policy Action: Not Specified

Mark History:

No reviewing has been done

Policies:

No Policies attached

 **FW: Add the week if you get a call**

From Eklund, David [IWD] **Date** Friday, March 21, 2014 8:38 AM
To Wilkinson, Michael [IWD]
Cc

 [image001.jpg](#) (3 Kb HTML)

For QC purposes I suppose I should tell her we'll set it up and then waive.
I really don't want to have to do that with the hundred or so that I have already collected.
Thoughts?

From: Douglas, Jodi [IWD]
Sent: Friday, March 21, 2014 8:21 AM
To: Eklund, David [IWD]
Cc: Wilkinson, Michael [IWD]
Subject: RE: Add the week if you get a call

Hi Dave,

Sorry to be a pain in the neck, but I need a little more clarification for my staff.

Mary has a situation where the claimant did not call in for week ending 3/8/14 as he went back to work on 3/3/14 however he was paid. He wasn't even aware he was paid (money went to debit card) until Mary did her investigation. I assume that based on your previous email below that by not penalizing the claimant means that we are not setting up the overpayment? Or, are we to set up the overpayment and then waive it? Please advise.

Thank you!
Jodi

From: Eklund, David [IWD]
Sent: Monday, March 17, 2014 2:21 PM
To: Douglas, Jodi [IWD]
Cc: Wilkinson, Michael [IWD]
Subject: RE: Add the week if you get a call

Jodi,

IWD discovered a processing problem for continued claims reported for week ending 03/08/14.

IT was able to manually recreate those continued claims, but without any wages that may have been reported.

There will be occurrences where this created a potential overpayment situation.

This was a onetime incident and attributable to an aging IT System.

We have no intention of penalizing claimants in this circumstance.

The method of filing where "MANBATCH" is indicated represents "Manual Batch Processing", meaning the continued claim was recreated by IT.

The indicator of "9" in the worksearch contacts field was simply a placeholder and not indicative of how many job contacts the claimant may have actually reported.

Dave

David Eklund
Regional Operations Manager
UI Benefits Services
Iowa Workforce Development
Ph: 515/281-5792
Cell: 515/229-4482
Fax: 515/281-9033
[david.eklund@iwd.iowa.gov](mailto:david eklund@iwd.iowa.gov)



From: Douglas, Jodi [IWD]
Sent: Monday, March 17, 2014 11:18 AM
To: Eklund, David [IWD]
Cc: Wilkinson, Michael [IWD]
Subject: FW: Add the week if you get a call
Importance: High

Hi Dave,

8 of our 9 cases for BAM this week (Key Week ending 3/8/14) show method of filing as MANBATCH with 9 employer contacts. Would you please provide a statement that the QC

team can put in their case files with respect to the potential overpayment due to the system error?

Thank you!

Jodi Douglas

Quality Control Manager, Unemployment Insurance Services
Iowa Workforce Development
1000 East Grand Avenue
Des Moines, IA 50319
515-281-5225
Jodi.Douglas@iwd.iowa.gov

From: West, Ryan [IWD]

Sent: Monday, March 10, 2014 10:23 AM

To: Allen, Shannell [IWD]; Anderson, Shantel [IWD]; Andreano, Lori [IWD]; Baccam, Frisco [IWD]; Batten, Gary [IWD]; Beattie, Adam [IWD]; Borgeson, Jill [IWD]; Boten, Brenda [IWD]; Chounlamountry, Pho [IWD]; Close, Kara [IWD]; Cogan, Karen [IWD]; Cozart, Jeanine [IWD]; Croushore, Brianne [IWD]; Dawson, Dianne [IWD]; Densmore, Terryne [IWD]; DeSmidt, James [IWD]; Devine, Roxy [IWD]; Donlin, Michael [IWD]; Dyer, Ray [IWD]; Dykstra, Connie [IWD]; Edmonds, Linda [IWD]; Eklund, David [IWD]; Ellenwood, Kasandra [IWD]; Finck, Christal [IWD]; Garrett, Brent [IWD]; Gaulke-Lilly, Sharon [IWD]; Gifford, Matt [IWD]; Guy, Marlys [IWD]; Hallengren, Jon [IWD]; Hoard, Aaron [IWD]; Holett, Karen [IWD]; Hosier, Michael [IWD]; Irvine, Erin [IWD]; Irvine, Kevan [IWD]; Khounlo, Nhoui [IWD]; Kolontar, Lisa [IWD]; Kooiker, Quentin [IWD]; Landrew, Paul [IWD]; Lewis, Irma [IWD]; Long, Maria [IWD]; Moses, Ryan [IWD]; Nutting, Jenifer [IWD]; Oyibo, Joan [IWD]; Parry, Sharon [IWD]; Peacock, Phillip [IWD]; Prettyman, Laura [IWD]; Ramirez, Rebecca [IWD]; Rieger, Kim [IWD]; Roovaart, Michelle [IWD]; Ruby, Evelyn [IWD]; Sadoris, Michelle [IWD]; Schafer, Cathy [IWD]; Schlumbohm, Spomenka [IWD]; Schmalzried, Leslie [IWD]; Seivert, Shanlyn [IWD]; Shepherd, Deborah [IWD]; Spencer, Barbara [IWD]; Starr, Tracy [IWD]; Steen, Christina [IWD]; Stewart, Brian [IWD]; Stielow, Lisa [IWD]; Tavegia, Thomas [IWD]; Ung, Kham [IWD]; Vo, Vu [IWD]; Vogt, Jennifer [IWD]; Wey, Kevin [IWD]; Wolfe, Kathie [IWD]; Wood, Kirsten [IWD]; Young, Veronica [IWD]; Alden, Carmela [IWD]; Alexander, Marty [IWD]; Brendeland, Deb [IWD]; Bunttenbach, Edward [IWD]; Conrath, Mary [IWD]; Dennis, Carla [IWD]; Drake, Denise [IWD]; Dugan, Boyd [IWD]; Gomez, Carmen [IWD]; Greco, Mary [IWD]; Hammond, Simona [IWD]; Harmon, Jeri [IWD]; Koonce, Kerry [IWD]; Kramer, Vicki [IWD]; Milligan, Joy [IWD]; OBrien, Karla [IWD]; Piper, Shari [IWD]; Rodriguez, Maria [IWD]; Shaw, Carmela [IWD]; Summy, Kelly [IWD]; Tate, Sherry [IWD]; Woods, Tamar [IWD]; Woods, Tina [IWD]; Batten, Ellen [IWD]; Douglas, Jodi [IWD]; Gilkison, Judy [IWD]; Halferty, Dan [IWD]; Jergenson, Kathy [IWD]; Lainson, GERALYN [IWD]; Piagentini, Mary [IWD]; Putzier, Juli [IWD]; Shenk, Jim [IWD]; Van Syoc, Jim [IWD]

Cc: Wilkinson, Michael [IWD]; Adams, Lori [IWD]


Subject: Add the week if you get a call

Importance: High

Please add the week for any and all claimants who call us today because of issues over the

weekend. They should not have to call us back today once we get them off the phone.

Ryan West
Regional Operations Manager
Phone (515) 725-3732
Fax (515) 281-9321

 Description:
titlegraphic

Message: w/e 03/08/14

Case Information:

Message Type: Exchange
Message Direction: Internal
Case: IWD Senator Petersen Request - Version 3
Capture Date: 7/10/2014 1:32:59 PM
Item ID: 40862486
Policy Action: Not Specified

Mark History:

No reviewing has been done

Policies:

No Policies attached

 **w/e 03/08/14**

From Eklund, David [IWD]

Date Tuesday, March
25, 2014 2:24 PM

To Connor, Jane [IWD]; Linnenbrink, Jonathan
[IWD]; VonBehren, Karen [IWD]

Cc

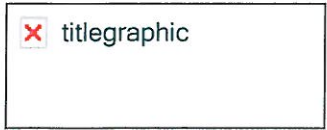
 [image001.jpg](#) (3 Kb HTML)

IWD discovered a processing problem for continued claims reported for week ending 03/08/14. IT was able to manually recreate those continued claims, but without any wages that may have been reported. There will be occurrences where this created a potential overpayment situation. This was a onetime incident and attributable to an aging IT System. We have no intention of penalizing claimants in this circumstance.

The method of filing where "MANBATCH" is indicated represents "Manual Batch Processing", meaning the continued claim was recreated by IT. The indicator of "9" in the worksearch contacts field was simply a placeholder and not indicative of how many job contacts the claimant may have actually reported.

David Eklund
Regional Operations Manager
UI Benefits Services
Iowa Workforce Development
Ph: 515/281-5792
Cell: 515/229-4482

Fax: 515/281-9033
david.eklund@iwd.iowa.gov



Message: RE: letter

Case Information:

Message Type: Exchange
Message Direction: Internal
Case: IWD Senator Petersen Request - Version 3
Capture Date: 7/10/2014 1:32:59 PM
Item ID: 40862487
Policy Action: Not Specified

Mark History:

No reviewing has been done

Policies:

No Policies attached

 **RE: letter**

From Eklund, David [IWD] **Date** Tuesday, March 25, 2014 2:38 PM
To Hillary, Teresa [IWD]
Cc

 [Pre-Top letter.docx](#) (69 Kb HTML)

Here you go.

From: Hillary, Teresa [IWD]
Sent: Tuesday, March 25, 2014 2:30 PM
To: Eklund, David [IWD]
Subject: letter

Hey, can you shoot me a copy of the letter that goes out to cl when we are keeping their federal income tax refund.

Thanks,

Teresa K. Hillary

Iowa Workforce Development
1000 E Grand Avenue
Des Moines IA 50319

Phone: 515.725.2683
FAX: 515.242.5144

SOCIAL SECURITY NO. XXX-XX-####

DATE OF NOTICE MM/DD/YY

AMOUNT TO BE CERTIFIED \$##, ###. ##

CLAIMANT NAME

ADDRESS LINE 1

ADDRESS LINE 2

CITY, STATE and ZIPCODE

Return Top Portion With Your Payment

Notice of Intent to Intercept Federal Income Tax Refund

Our records indicate that you owe Iowa Workforce Development (The Agency) the sum shown above for overpaid unemployment insurance benefits and/or penalties. Failure to satisfy this debt within sixty days of this notice will result in your debt being referred to the United States Department of Treasury (the Treasury) for collection through the Treasury Offset Program (TOP) pursuant 26 U.S.C 6402.

Through the TOP, the Treasury is authorized to offset your Federal income tax refund to repay this debt. If this debt is referred to collection through the TOP, you will also be charged an administrative collection fee. To avoid offset of your Federal income tax refund and the additional fee, you must pay your debt in full within sixty days of this notice. **The interception of your Federal Income Tax refund could occur regardless if you are in repayment status.**

The Agency has established this debt is legally enforceable on your unemployment claim. However, you do have the right to present evidence within sixty days of the date of this notice that all or part of this debt is not legally enforceable and should not be subject to offset. Examples of evidence would include proof that this debt was discharged in bankruptcy, proof that this debt has previously been paid, or proof that you are not the person identified as the debtor in this notice. The Agency will consider all evidence presented and will determine if the debt will be referred to the Treasury.

If you and your spouse file a joint Federal income tax return, your spouse may be entitled to a portion of the Federal income tax refund. Your spouse should obtain IRS Form 8379, Injured Spouse Claim and Allocation, if applicable. The instructions accompanying Form 8379 will explain the steps your spouse must take. This form is online at www.irs.gov/form8379.

If you do not remit payment in full or take any action in response to this notice within the sixty day

deadline, the debt will be referred to the Treasury. Please mail in your payment along with the top portion of this letter. Make your check or money order payable to Iowa Workforce Development. You can also pay this debt online using a credit or debit card at www.iowaworkforcedevelopment.gov by clicking "Resolve Overpayment". If you pay with a credit or debit card, you will be charged a processing fee, in addition to the amount of your payment.

If you have any questions, please email uitop@iwd.iowa.gov

Send all payments and correspondence to: Iowa Workforce Development

UISC/TOP

PO BOX 10332

Des Moines, Iowa 50306-0332

Message: RE: OT Request

Case Information:

Message Type: Exchange
Message Direction: Internal
Case: IWD Senator Petersen Request - Version 3
Capture Date: 7/10/2014 1:32:36 PM
Item ID: 40861829
Policy Action: Not Specified

Mark History:

No reviewing has been done

Policies:

No Policies attached

 **RE: OT Request**

From Eklund, David [IWD] **Date** Friday, March 28, 2014 2:41 PM
To Linnenbrink, Jonathan [IWD]
Cc

 [image001.jpg](#) (3 Kb HTML)

He asked in an e-mail "how many hours?" I responded just like your e-mail indicates 5 hours/week 10 hours/pay period.

I haven't heard back yet.....

From: Linnenbrink, Jonathan [IWD]
Sent: Friday, March 28; 2014 2:38 PM
To: Eklund, David [IWD]
Subject: RE: OT Request

Did you ever hear back from Mike?

From: Eklund, David [IWD]
Sent: Wednesday, March 19, 2014 11:03 AM
To: Linnenbrink, Jonathan [IWD]
Subject: RE: OT Request

Jon,
The Clayton Co travel is approved.

Mike recently sent us a "stop-order" on OT, unless it is pre-approved by him since we were

apparently getting very close on budgeted staff hours.

I will send your request to Mike for approval/denial. I plan on redacting the 2nd paragraph as that falls in my realm for approval. If Mike responds only to me I will forward his response to you.

Thanks,
Dave

From: Linnenbrink, Jonathan [IWD]
Sent: Wednesday, March 19, 2014 10:54 AM
To: Eklund, David [IWD]
Subject: OT Request

Dave,


I was wondering if IWD would grant me overtime? I was hoping possibly 5-hours a week, 10-hours a pay-period??? I have a large amount of cases and have also been working cases in other territories. Also, I have been trying to increase my prosecutions, but as you are aware they take time. Especially when the County Attorney wants you to jump through certain hoops. I can't just mail the case like Polk County. I have to put together the Complaint/Affidavit and either physically walk the case through to have a warrant filed with the Judge or meet with the Sheriff's Department. I understand if OT can't be granted, but it would definitely help with the case load and with training of new staff if that's something you need assistance with.

Also, I have a \$24,000.00 case in Clayton County that was recently transferred to me. I figured due to the OP amount, I would travel to Clayton County and conduct an in-person interview at the claimant's place of employment and visit the County Attorney. Do I have permission to travel to Clayton County? On my way, I plan to stop in Dubuque County and drop off a few prosecutions with the County Attorney so my travel wouldn't be just for one case.

Please advise.

Thanks,

Jonathan Linnenbrink
Investigator

 Description: Description:
IWD2cTag

902 West Kimberly Road - Suite 51
Davenport, Iowa 52806
(563) 445-3200 x43324 (# has changed)
(563) 386-2818 fax
jonathan.linnenbrink@iwd.iowa.gov

Message: UI Division Monthly Budget vs Expenditures Report**Case Information:**

Message Type: Exchange
 Message Direction: Internal
 Case: IWD Senator Petersen Request - Version 3
 Capture Date: 7/10/2014 1:32:45 PM
 Item ID: 40862097
 Policy Action: Not Specified

Mark History:

Date	Action Status	Reviewer
7/15/2014 5:00:53 PM	Unreviewed	Koonce, Kerry
7/15/2014 4:56:51 PM	Reviewed	Koonce, Kerry

Policies:

No Policies attached

✉ UI Division Monthly Budget vs Expenditures Report

From Daly, Pat [IWD] **Date** Monday, March 10, 2014 9:00 AM

To Wilkinson, Michael [IWD]

Cc Eklund, David [IWD]; Boten, Brenda [IWD]; West, Ryan [IWD]; O'Brien, Carrie [IWD]; Douglas, Jodi [IWD]

 [XXX SFY14 UI, Appeals, REA, SPUG Monthly Budget Analysis 3-6-14.xlsx](#) (95 Kb HTML)

Good Morning,

I have attached the Budget versus Expenditure Monthly Report for your review. This is through the evening of 3/6/2014.

CAUC appears to be way over on Salaries. But overall you are still only .53 over budget in this funding source.

The others are right on target or under. Let me know if you have any questions or concerns.

Please be aware that \$204,000 came out of CAUC as a one-time charge for SERIP Payouts. This takes the full year to balance itself out;

I am comfortable that this will more accurately be balancing out through years end.

Please let me know if you have any questions or concerns. Thank you - Pat

Patricia (Pat) Daly
Budget Analyst
Iowa Workforce Development
1000 E. Grand Ave
Des Moines, IA 50319
Work: (515) 281-8140

Fax: (515) 281-6046

BB: (515) 371-4735

% of Year

IOWA WORKFORCE DEVELOPMENT

send to :
APPEALS

Teresa Hillary
 SFY 14 Budget
 OBJECT CLASS
 CODE
 SFY 2014 PROJECTIONS
 SFY 2014 EXPENDITURES
 Percentage Exp to date
 Expected YTD Costs
 Difference (Overspent)
 APUC
 SALARY/FRINGE
 INSTATE TRAVEL
 OUT OF STATE TRAVEL
 SUPPLIES
 OTHER SUPPLIES
 POSTAGE
 COMMUNICATIONS
 UTILITIES
 OUTSIDE SERVICES
 INTRA STATE TRANSFERS
 #DIV/0!IDOP FEES-Includes DAS Training
 PACE BILLINGS
 OFFICE EQUIPMENT/Moving Exp
 EQUIPMENT NON-INVENTORY
 DP EQUIPMENT & SOFTWARE
 INDIRECT COSTS
 APUM
 SALARY/FRINGE
 INSTATE TRAVEL
 OUT OF STATE TRAVEL
 SUPPLIES
 OTHER SUPPLIES
 POSTAGE
 COMMUNICATIONS
 UTILITIES
 OUTSIDE SERVICES
 IDOP FEES-Includes DAS Training
 PACE BILLINGS
 DP EQUIPMENT & SOFTWARE
 INDIRECT COSTS
 Total Appeals - New Budget

IOWA WORKFORCE DEVELOPMENT
UNEMPLOYMENT DIVISION

EXPENSES UPDATES AS OF 3/6/14
SFY 2014 Budget
OBJECT CLASS
CODE
2014 BUDGET
EXPENSES
% Expensed
EXPECTED YTD COSTS
DIFFERENCE (OVERSPENT)
CAUC
SALARY/FRINGE
INSTATE TRAVEL
SUPPLIES
FACILITY MAINTENANCE
OTHER SUPPLIES
PRINT & BINDING
POSTAGE
COMMUNICATIONS
RENTALS
UTILITIES
PROFESSIONAL SVS
OUTSIDE SERVICES
INTRA TRANSFERS
OUTSIDE REPAIRS
IDOP FEES-Includes DAS Training
PACE BILLINGS
IT Outside Services
OFFICE EQUIPMENT
EQUIPMENT NON-INVENTORY
DP EQUIPMENT & SOFTWARE
INDIRECT COSTS
CACM
OUTSIDE REPAIRS
CAUM
SALARY/FRINGE
INSTATE TRAVEL
OUT OF STATE TRAVEL
SUPPLIES
COMMUNICATIONS
OUTSIDE SERVICES
#DIV/0!IDOP FEES-Includes DAS Training
PACE BILLINGS
DP EQUIPMENT & SOFTWARE
INDIRECT COSTS
CARD
SALARY/FRINGE
INSTATE TRAVEL
OTHER SUPPLIES
COMMUNICATIONS
IDOP FEES-Includes DAS Training
OUTSIDE SERVICE
DP EQUIP & SOFTWARE
DP Chargebacks
INDIRECT COSTS
CAUG
OUTSIDE SERVICES
#DIV/0!UI - DP CHARGEBACK
DACN
SALARY/FRINGE
INSTATE TRAVEL

OUT OF STATE TRAVEL
SUPPLIES
POSTAGE
COMMUNICATIONS
UTILITES
OUTSIDE SERVICES
OUTSIDE REPAIRS
IDOP FEES-Includes DAS Training
PACE BILLINGS
INDIRECT COSTS
TRUC
SALARY/FRINGE
INSTATE TRAVEL
SUPPLIES
OTHER SUPPLIES
PRINT & BINDING
POSTAGE
COMMUNICATIONS
OUTSIDE SERVICES
OUTSIDE SERVICES
#DIV/0!IDOP FEES-Includes DAS Training
PACE BILLINGS
DP EQUIPMENT & SOFTWARE
INDIRECT COSTS
FEES - Jury Duty
#DIV/0!QCQC
SALARY/FRINGE
INSTATE TRAVEL
OUT OF STATE TRAVEL
SUPPLIES
OTHER SUPPLIES
#DIV/0!POSTAGE
COMMUNICATIONS
UTILITIES
OUTSIDE SERVICES
IDOP FEES-Includes DAS Training
PACE BILLINGS
EQUIP. NON-INVENTORY
DP EQUIPMENT & SOFTWARE
INDIRECT COSTS
QCUM
SALARY/FRINGE
IN STATE TRAVEL
OUT OF STATE TRAVEL
POSTAGE
COMMUNICATIONS
UTILITIES
OUTSIDE SERVICES
OUTSIDE REPAIRS
IDOP FEES-Includes DAS Training
PACE BILLINGS
DP EQUIPMENT & SOFTWARE
INDIRECT COSTS
TBUC
SALARY/FRINGE
INSTATE TRAVEL
SUPPLIES
OTHER SUPPLIES
PRINTING & BIND
POSTAGE
COMMUNICATIONS

RENTALS
UTILITIES
PROFESSIONAL SVS
OUTSIDE SERVICES
OUTSIDE REPAIRS
IDOP FEES-Includes DAS Training
PACE BILLINGS
EQUIP - Non_Inv.
DP EQUIPMENT & SOFTWARE
INDIRECT COSTS
OTHER FEES
OTHER FEES
#DIV/0!
TBUM
SALARY/FRINGE
INSTATE TRAVEL
OUT OF STATE TRAVEL
#DIV/0!SUPPLIES
OTHER SUPPLIES
POSTAGE
COMMUNICATIONS
UTILITIES
OUTSIDE SERVICES
IDOP FEES-Includes DAS Training
PACE BILLINGS
DP EQUIPMENT & SOFTWARE
INDIRECT COSTS
TBMU
SALARY/FRINGE
INSTATE TRAVEL
OUT OF STATE TRAVEL
SUPPLIES
FACILITY MAINT SUPPLY
#DIV/0!OTHER SUPPLIES
POSTAGE
COMMUNICATIONS
UTILITIES
OUTSIDE SERVICES
IDOP FEES-Includes DAS Training
PACE BILLINGS
GOV TRANSFER OTHER AGENCIES
DP EQUIP & SOFTWARE
DP CHARGEBACK COSTS
INDIRECT COSTS
TBMM
SALARY/FRINGE
INSTATE TRAVEL
OUT OF STATE TRAVEL
#DIV/0!SUPPLIES
FACILITY MAINT SUPPLY
#DIV/0!OTHER SUPPLIES
POSTAGE
COMMUNICATIONS
UTILITIES
OUTSIDE SERVICES
IDOP FEES-Includes DAS Training
PACE BILLINGS
GOV TRANSFER OTHER AGENCIES
#DIV/0!DP EQUIP & SOFTWARE
#DIV/0!DP CHARGEBACK COSTS
INDIRECT COSTS

TBCM
SALARY/FRINGE
INSTATE TRAVEL
SUPPLIES
OTHER SUPPLIES
POSTAGE
COMMUNICATIONS
UTILITIES
OUTSIDE SERVICES
#DIV/0!IDOP FEES-Includes DAS Training
PACE BILLINGS
IT OUTSIDE SERVICES
DP CHARGEBACK COSTS
INDIRECT
OTHER FEES
#DIV/0!UARD
IT PROFESSIONAL SVCS.
#DIV/0!UAUM
SALARY/FRINGE
INSTATE TRAVEL
OUT OF STATE TRAVEL
SUPPLIES
OTHER SUPPLIES/UNOBLIGATED
PRINTING & BIND
POSTAGE
COMMUNICATIONS
UTILITIES
PROFESSIONAL SVS
#DIV/0!OUTSIDE SERVICES
IDOP FEES-Includes DAS Training
PACE BILLINGS
GOV TRANSFER OTHER AGENCIES
#DIV/0!DP EQUIPMENT & SOFTWARE
INDIRECT COSTS
UAUG
OUTSIDE SERVICE
IDOP FEES-Includes DAS Training
PACE BILLINGS
IT PROFESSIONAL SVCS.
DP EQUIPMENT & SOFTWARE
Total All Spugs
Specials
SALARY/FR. BENEFITS
SUPPLIES
#DIV/0!OTHER SUPPLIES/UNOBLIGATED
POSTAGE
OUTSIDE SERVICE
#DIV/0!PACE BILLINGS
#DIV/0!IT PROFESSIONAL SERVICES
DP EQUIP/SOFTWARE
INDIRECT COSTS
Total All Spes
Specials
Balancing Factor/Not Available
#DIV/0!IT PROFESSIONAL SERVICES
DP EQUIP/SOFTWARE
#DIV/0!EBUC- DIA
GOV TRANSFER OTHER AGENCIES
VHUC
OVERHEAD
PRINTING & BINDING

PROF & SCIENTIFIC SERVICES
 GOV TRANSFER OTHER AGENCIES
 FIELD
 WAES
 DP CHARGEBACK
 WAEU
 DP CHARGEBACK
 WAMU
 DP CHARGEBACK
 WAUG
 DP CHARGEBACK
 TOTAL:
 "UC"
 Totals:

Recap
 "UM"
 Totals:
 Recap

CARD
 Totals:
 Recap
 UARD
 Totals:
 Recap
 QCQC
 Totals:

Recap

CAUG
 Totals:
 Recap
 UAUG
 Totals:
 Recap
 SPUG
 Totals:
 Recap
 SPES
 Totals:
 Recap
 TBMU/MM
 Totals
 Recap
 TB/CACM
 Totals
 Recap
 FIELD
 WAES
 DP CHARGEBACK
 WAEU
 DP CHARGEBACK
 WAMU
 DP CHARGEBACK
 WAUG
 DP CHARGEBACK
 TOTAL

Indirect
SFY 2013
Footnotes

EXPENSES AS OF 3-6-14
SFY 14 BUDGET & EXPENSE
ORIGINAL
SFY 2014
GRANT
Liquidation
ORG.
SUB
SBR #
GRANT FUNDING
SBR AMOUNT
BUDGET
SFY 2014
PERCENT
SBR
EXPIRES
ORG
BALANCE
EXPENSES
EXPENDED
BALANCE
UI21099DW
2011 SPES
SPES
NDNH Cross-match Rec Operating procedures
SPES
State of Specific Solutions/Contractor cost for overpayment/Monthly Pymt
SPES
Misclassification Automation
SPES
Integrity-Utilization of Business Process/improvement tools/preventing improper pymts.
2011 SPES SUB TOTAL
TOTAL SPES BUDGET/EXPENSE
FY 2012 SBRs
FFY 2012
UI22276JH
GRANT
Implementation
UIPL 18-12
SUB
SBR #
SBR PROJECT
FUNDING
SFY 2014
Date
ORG
SFY 2014
EXPENSES
SPUG
A1
Cross-functional Task Force
SPUG
A2
Business Process Analysis

SPUG

A3
 SIDES Marketing
 SPUG
 A4
 Claimant/Employer Messaging
 SPUG
 A5
 Employer Services Registration

SPUG
 A6
 Treasury Offset Program

SPUG
 A7
 SUTA Dumping Detection/Investigation
 SPUG
 A8
 Merit Staff and Contract Support
 SPUG
 9A
 A9A
 Adv. Scanning & Document Mgmt.
 SPUG
 9B
 A9B
 IT Integrity, Security & Cont. Disaster Rec.
 SPUG
 9D
 A9D
 Customer Relationship Management
 SPUG
 9E
 A9E
 SIDES Subscription (30K didn't directly get)

Upon Trans.to ITSC
 TOTAL FY 2012 SBRs SPUGS
 FY 2013 SBRs
 FFY 2013
 GRANT
 FUNDING
 SFY 2014
 SFY 2014
 EXPENSES
 SPUG
 01
 Rationalize UI Decision Inventory (CORE)
 SPUG
 02
 SIDES Expansion (CORE) (31,185 no directly)

SPUG
 03
 Case Management (CORE)
 SPUG
 04
 Fraud OVPY Collection (Merit Staff)
 SPUG

05
UCX Enhancements
SPUG
06
Alien Verification (SAVE)
SPUG
07
Veterans Re-Employment
SPUG
08
FEIN Identity Verifications
SPUG
09
UI Tax _ TOP Integration
TOTAL FY 2014 SBR'S SPUGS
TOTAL SPUGS/SPES
Reconciliation

Daly, PatriciaDaly, Patricia:
Per Mike 11-19-13 move \$100,000 to CSMN for Temps.Daly, PatriciaDaly, Patricia:
Added \$100,000 per Mike 11-19-13 from

PRMN.

IOWA WORKFORCE DEVELOPMENT

UI MODERNIZATION

SFY 2014
CURRENT BUDGET
EXPENSES
PERCENTAGE EXP TO DATE
EXPECTED YTD COSTS
DIFFERENCE (OVERSPENT)
CAMN
SALARY/FRINGE
IN STATE TRAVEL
SUPPLIES
OTHER SUPPLIES
PRINT & BINDING
POSTAGE
COMMUNICATIONS
UTILITIES
OUTSIDE SERVICES
IDOP FEES-Includes DAS Training
PACE BILLINGS
DP EQUIPMENT & SOFTWARE
INDIRECT COSTS
IRMN
SALARY/FRINGE
POSTAGE
OTHER SUPPLIES
POSTAGE

UTILITIES
OUTSIDE SERVICES
IDOP FEES-Includes DAS Training
INDIRECT COSTS
TBMN
SALARY/FRINGE
SUPPLIES
#DIV/0!OTHER SUPPLIES
#DIV/0!POSTAGE

COMMUNICATIONS
#DIV/0!
UTILITIES
#DIV/0!OUTSIDE SERVICES
OUTSIDE REPAIRS/SVS
#DIV/0!IDOP FEES-Includes DAS Training
#DIV/0!PACE BILLINGS
#DIV/0!IT OUTSIDE SERVICES
INDIRECT COSTS
*UAMN
OTHER SUPPLIES
#DIV/0!PRMN
SALARYFRINGE
TRAVEL
SUPPLIES
OTHER SUPPLIES
POSTAGE
COMMUNICATIONS

UTILITIES
OUTSIDE SERVICES
IDOP FEES-Includes DAS Training
PACE BILLINGS
IT OUTSIDE SERVICES
INDIRECT COSTS
CSMN
SALARYFRINGE
SUPPLIES
OTHER SUPPLIES
POSTAGE
COMMUNICATIONS
UTILITIES
PROF SERVICES / TEMPS
IDOP FEES-Includes DAS Training
PACE BILLINGS
OFFICE EQUIPMENT
#DIV/0!DP EQUIPMENT & SOFTWARE
INDIRECT COSTS
WAMN
INDIRECT COSTS

FIELD BUDGET
REGION 09
52MN
SALARYFRINGE
TRAVEL
SUPPLIES
SUPPLIES
#DIV/0!EQUIP SUPPLY MAINTENANCE
#DIV/0!OTHER SUPPLIES
#DIV/0!PRINT & BINDING
POSTAGE
COMMUNICATIONS
RENT
UTILITIES
OUTSIDE SERVICES
OUTSIDE REPAIR
IDOP FEES-Includes DAS Training
PACE BILLINGS
EQUIPMENT
INDIRECT COSTS

REGION 12
 69MN
 SALARY/FRINGE
 SUPPLIES
 FACILITY MAINTENANCE
 EQUIP SUPPLY MAINTENANCE
 #DIV/0!OTHER SUPPLIES
 #DIV/0!POSTAGE
 COMMUNICATIONS
 RENT
 UTILITIES
 OUTSIDE SERVICES
 OUTSIDE REPAIR
 #DIV/0!IDOP FEES-Includes DAS Training
 PACE BILLINGS
 DP EQUIPMENT & SOFTWARE
 INDIRECT COST
 REGION 15
 83MN
 SALARY/FRINGE
 TRAVEL
 SUPPLIES
 POSTAGE
 COMMUNICATIONS
 RENT
 UTILITIES
 OUTSIDE SERVICES
 IDOP FEES-Includes DAS Training
 PACE BILLINGS
 INDIRECT COSTS
 TOTAL "MN" RECAP W/O CAMN & Field
 CAMN
 CAMN + FIELD
 TOTAL FIELD BUDGET
 SFY 2014
 TOTAL "MN" & FIELD
 FOOTNOTES:

% of Year

IOWA WORKFORCE DEVELOPMENT

Re-employment & Eligibility Assessment
 2014 Budget

REA
 OBJECT CLASS
 CODE
 SFY 14 Budget
 CASH EXPENSES
 PERCENTAGE TO DATE
 EXPECTED YTD COSTS
 DIFFERENCE (OVERSPENT)
 FNES
 SALARY/FRINGE
 INSTATE TRAVEL
 COMMUNICATIONS
 IDOP FEES-DAS TRAINING
 PACE BILLINGS
 Equipment
 OFFICE EQUIPMENT
 DP Equip & Software
 INDIRECTS
 SUB TOTAL

WAES
DP CHARGEBACK
PRES
IT - OUTSIDE SERVICES
#DIV/0!SPES
IT - OUTSIDE SERVICES
#DIV/0!REGIONAL BUDGETS
R 01 - 20ES
Dubuque
R 07 - 43ES
Waterloo
R 09 - 52ES
Davenport
R 10 -56ES
Cedar Rapids
R 11 - 60ES
Des Moines
R12 - 69ES
Sioux City
R13 - 72ES
Council Bluffs
R14 - 79ES
Creston
R16 - 88ES
Burlington
SUB TOTAL - REGIONS
GRAND TOTAL

Message: TBMN Corrected.**Case Information:**

Message Type: Exchange
 Message Direction: Internal
 Case: IWD Senator Petersen Request - Version 3
 Capture Date: 7/10/2014 1:32:57 PM
 Item ID: 40862441
 Policy Action: Not Specified

Mark History:

Date	Action Status	Reviewer
7/15/2014 5:00:53 PM	Unreviewed	Koonce, Kerry
7/15/2014 4:56:51 PM	Reviewed	Koonce, Kerry

Policies:

No Policies attached

 **TBMN Corrected.**

From Daly, Pat [IWD] **Date** Monday, March 24, 2014 11:09 AM
To Wilkinson, Michael [IWD]
Cc Douglas, Jodi [IWD]

 [XXX SFY14 UI, Appeals, REA, SPUG Monthly Budget Analysis 3-6-14.xlsx](#) (95 Kb HTML)

Mike,
 If you would like a list of Employees I do CDE's (Corrective Entries) each pay period I can provide that to you.
 Thanks - Pat

*Patricia (Pat) Daly
 Budget Analyst
 Iowa Workforce Development
 1000 E. Grand Ave
 Des Moines, IA 50319
 Work: (515) 281-8140
 Fax: (515) 281-6046
 BB: (515) 371-4735*

% of Year

IOWA WORKFORCE DEVELOPMENT

send to :
APPEALS

Teresa Hillary
 SFY 14 Budget
 OBJECT CLASS
 CODE
 SFY 2014 PROJECTIONS
 SFY 2014 EXPENDITURES
 Percentage Exp to date
 Expected YTD Costs
 Difference (Overspent)
 APUC
 SALARYFRINGE
 INSTATE TRAVEL
 OUT OF STATE TRAVEL
 SUPPLIES
 OTHER SUPPLIES
 POSTAGE
 COMMUNICATIONS
 UTILITIES
 OUTSIDE SERVICES
 INTRA STATE TRANSFERS
 #DIV/0!IDOP FEES-Includes DAS Training
 PACE BILLINGS
 OFFICE EQUIPMENT/Moving Exp
 EQUIPMENT NON-INVENTORY
 DP EQUIPMENT & SOFTWARE
 INDIRECT COSTS
 APUM
 SALARYFRINGE
 INSTATE TRAVEL
 OUT OF STATE TRAVEL
 SUPPLIES
 OTHER SUPPLIES
 POSTAGE
 COMMUNICATIONS
 UTILITIES
 OUTSIDE SERVICES
 IDOP FEES-Includes DAS Training
 PACE BILLINGS
 DP EQUIPMENT & SOFTWARE
 INDIRECT COSTS
 Total Appeals - New Budget

IOWA WORKFORCE DEVELOPMENT
UNEMPLOYMENT DIVISION

EXPENSES UPDATES AS OF 3/6/14
SFY 2014 Budget
OBJECT CLASS
CODE
2014 BUDGET
EXPENSES
% Expensed
EXPECTED YTD COSTS
DIFFERENCE (OVERSPENT)
CAUC
SALARY/FRINGE
INSTATE TRAVEL
SUPPLIES
FACILITY MAINTENANCE
OTHER SUPPLIES
PRINT & BINDING
POSTAGE
COMMUNICATIONS
RENTALS
UTILITIES
PROFESSIONAL SVS
OUTSIDE SERVICES
INTRA TRANSFERS
OUTSIDE REPAIRS
IDOP FEES-Includes DAS Training
PACE BILLINGS
IT Outside Services
OFFICE EQUIPMENT
EQUIPMENT NON-INVENTORY
DP EQUIPMENT & SOFTWARE
INDIRECT COSTS
CACM
OUTSIDE REPAIRS
CAUM
SALARY/FRINGE
INSTATE TRAVEL
OUT OF STATE TRAVEL
SUPPLIES
COMMUNICATIONS
OUTSIDE SERVICES
#DIV/0!IDOP FEES-Includes DAS Training
PACE BILLINGS
DP EQUIPMENT & SOFTWARE
INDIRECT COSTS
CARD
SALARY/FRINGE
INSTATE TRAVEL
OTHER SUPPLIES
COMMUNICATIONS
IDOP FEES-Includes DAS Training
OUTSIDE SERVICE
DP EQUIP & SOFTWARE
DP Chargbacks
INDIRECT COSTS
CAUG
OUTSIDE SERVICES
#DIV/0!UI - DP CHARGEBACK
DACN
SALARY/FRINGE
INSTATE TRAVEL

OUT OF STATE TRAVEL
SUPPLIES
POSTAGE
COMMUNICATIONS
UTILITES
OUTSIDE SERVICES
OUTSIDE REPAIRS
IDOP FEES-Includes DAS Training
PACE BILLINGS
INDIRECT COSTS
IRUC
SALARY/FRINGE
INSTATE TRAVEL
SUPPLIES
OTHER SUPPLIES
PRINT & BINDING
POSTAGE
COMMUNICATIONS
OUTSIDE SERVICES
OUTSIDE SERVICES
#DIV/0!IDOP FEES-Includes DAS Training
PACE BILLINGS
DP EQUIPMENT & SOFTWARE
INDIRECT COSTS
FEES - Jury Duty
#DIV/0!QCQC
SALARY/FRINGE
INSTATE TRAVEL
OUT OF STATE TRAVEL
SUPPLIES
OTHER SUPPLIES
#DIV/0!POSTAGE
COMMUNICATIONS
UTILITIES
OUTSIDE SERVICES
IDOP FEES-Includes DAS Training
PACE BILLINGS
EQUIP. NON-INVENTORY
DP EQUIPMENT & SOFTWARE
INDIRECT COSTS
QCUM
SALARY/FRINGE
IN STATE TRAVEL
OUT OF STATE TRAVEL
POSTAGE
COMMUNICATIONS
UTILITIES
OUTSIDE SERVICES
OUTSIDE REPAIRS
IDOP FEES-Includes DAS Training
PACE BILLINGS
DP EQUIPMENT & SOFTWARE
INDIRECT COSTS
TBUC
SALARY/FRINGE
INSTATE TRAVEL
SUPPLIES
OTHER SUPPLIES
PRINTING & BIND
POSTAGE
COMMUNICATIONS

RENTALS
UTILITIES
PROFESSIONAL SVS
OUTSIDE SERVICES
OUTSIDE REPAIRS
IDOP FEES-Includes DAS Training
PACE BILLINGS
EQUIP - Non_Inv.
DP EQUIPMENT & SOFTWARE
INDIRECT COSTS
OTHER FEES
OTHER FEES
#DIV/0!
TBUM
SALARY/FRINGE
INSTATE TRAVEL
OUT OF STATE TRAVEL
#DIV/0!SUPPLIES
OTHER SUPPLIES
POSTAGE
COMMUNICATIONS
UTILITIES
OUTSIDE SERVICES
IDOP FEES-Includes DAS Training
PACE BILLINGS
DP EQUIPMENT & SOFTWARE
INDIRECT COSTS
TBMU
SALARY/FRINGE
INSTATE TRAVEL
OUT OF STATE TRAVEL
SUPPLIES
FACILITY MAINT SUPPLY
#DIV/0!OTHER SUPPLIES
POSTAGE
COMMUNICATIONS
UTILITIES
OUTSIDE SERVICES
IDOP FEES-Includes DAS Training
PACE BILLINGS
GOV TRANSFER OTHER AGENCIES
DP EQUIP & SOFTWARE
DP CHARGEBACK COSTS
INDIRECT COSTS
TBMM
SALARY/FRINGE
INSTATE TRAVEL
OUT OF STATE TRAVEL
#DIV/0!SUPPLIES
FACILITY MAINT SUPPLY
#DIV/0!OTHER SUPPLIES
POSTAGE
COMMUNICATIONS
UTILITIES
OUTSIDE SERVICES
IDOP FEES-Includes DAS Training
PACE BILLINGS
GOV TRANSFER OTHER AGENCIES
#DIV/0!DP EQUIP & SOFTWARE
#DIV/0!DP CHARGEBACK COSTS
INDIRECT COSTS

TBCM
SALARY/FRINGE
INSTATE TRAVEL
SUPPLIES
OTHER SUPPLIES
POSTAGE
COMMUNICATIONS
UTILITIES
OUTSIDE SERVICES
#DIV/0!IDOP FEES-Includes DAS Training
PACE BILLINGS
IT OUTSIDE SERVICES
DP CHARGEBACK COSTS
INDIRECT
OTHER FEES
#DIV/0!UARD
IT PROFESSIONAL SVCS.
#DIV/0!UAUM
SALARY/FRINGE
INSTATE TRAVEL
OUT OF STATE TRAVEL
SUPPLIES
OTHER SUPPLIES/UNOBLIGATED
PRINTING & BIND
POSTAGE
COMMUNICATIONS
UTILITIES
PROFESSIONAL SVS
#DIV/0!OUTSIDE SERVICES
IDOP FEES-Includes DAS Training
PACE BILLINGS
GOV TRANSFER OTHER AGENCIES
#DIV/0!DP EQUIPMENT & SOFTWARE
INDIRECT COSTS
UAUG
OUTSIDE SERVICE
IDOP FEES-Includes DAS Training
PACE BILLINGS
IT PROFESSIONAL SVCS.
DP EQUIPMENT & SOFTWARE
Total All Spugs
Specials
SALARY/FR. BENEFITS
SUPPLIES
#DIV/0!OTHER SUPPLIES/UNOBLIGATED
POSTAGE
OUTSIDE SERVICE
#DIV/0!PACE BILLINGS
#DIV/0!IT PROFESSIONAL SERVICES
DP EQUIP/SOFTWARE
INDIRECT COSTS
Total All Spes
Specials
Balancing Factor/Not Available
#DIV/0!IT PROFESSIONAL SERVICES
DP EQUIP/SOFTWARE
#DIV/0!EBUC- DIA
GOV TRANSFER OTHER AGENCIES
VHUC
OVERHEAD
PRINTING & BINDING

PROF & SCIENTIFIC SERVICES
 GOV TRANSFER OTHER AGENCIES
 FIELD
 WAES
 DP CHARGEBACK
 WAEU
 DP CHARGEBACK
 WAMU
 DP CHARGEBACK
 WAUG
 DP CHARGEBACK
 TOTAL:
 "UC"
 Totals:

Recap
 "UM"
 Totals:
 Recap

CARD
 Totals:
 Recap
 UARD
 Totals:
 Recap
 QCQC
 Totals:

Recap

CAUG
 Totals:
 Recap
 UAUG
 Totals:
 Recap
 SPUG
 Totals:
 Recap
 SPES
 Totals:
 Recap
 TBMU/MM
 Totals
 Recap
 TB/CACM
 Totals
 Recap
 FIELD
 WAES
 DP CHARGEBACK
 WAEU
 DP CHARGEBACK
 WAMU
 DP CHARGEBACK
 WAUG
 DP CHARGEBACK
 TOTAL

Indirect
SFY 2013
Footnotes

EXPENSES AS OF 3-6-14
SFY 14 BUDGET & EXPENSE
ORIGINAL
SFY 2014
GRANT

Liquidation
ORG.
SUB
SBR #
GRANT FUNDING
SBR AMOUNT
BUDGET
SFY 2014
PERCENT
SBR

EXPIRES
ORG
BALANCE

EXPENSES
EXPENDED
BALANCE

UI21099DW
2011 SPES
SPES

NDNH Cross-match Rec Operating procedures
SPES

State of Specific Solutions/Contractor cost for overpayment/Monthly Pymt
SPES

Misclassification Automation
SPES

Integrity-Utilization of Business Process/improvement tools/preventing improper pymts.
2011 SPES SUB TOTAL

TOTAL SPES BUDGET/EXPENSE

FY 2012 SBRs
FFY 2012

UI22276JH
GRANT

Implementation
UIPL 18-12

SUB
SBR #
SBR PROJECT

FUNDING
SFY 2014

Date
ORG
SFY 2014
EXPENSES

SPUG
A1

Cross-functional Task Force
SPUG

A2
Business Process Analysis

SPUG

A3
SIDES Marketing
SPUG
A4
Claimant/Employer Messaging
SPUG
A5
Employer Services Registration

SPUG
A6
Treasury Offset Program

SPUG
A7
SUTA Dumping Detection/Investigation
SPUG
A8
Merit Staff and Contract Support
SPUG
9A
A9A
Adv. Scanning & Document Mgmt.
SPUG
9B
A9B
IT Integrity, Security & Cont. Disaster Rec.
SPUG
9D
A9D
Customer Relationship Management
SPUG
9E
A9E
SIDES Subscription (30K didn't directly get)

Upon Trans.to ITSC
TOTAL FY 2012 SBRs SPUGS
FY 2013 SBRs
FFY 2013
GRANT
FUNDING
SFY 2014
SFY 2014
EXPENSES
SPUG
01
Rationalize UI Decision Inventory (CORE)
SPUG
02
SIDES Expansion (CORE) (31,185 no directly)

SPUG
03
Case Management (CORE)
SPUG
04
Fraud OVPY Collection (Merit Staff)
SPUG

05
UCX Enhancements
SPUG
06
Alien Verification (SAVE)
SPUG
07
Veterans Re-Employment
SPUG
08
FEIN Identity Verifications
SPUG
09
UI Tax _ TOP Integration
TOTAL FY 2014 SBR'S SPUGS
TOTAL SPUGs/SPES
Reconciliation

Daly, PatriciaDaly, Patricia:
Per Mike 11-19-13 move \$100,000 to CSMN for Temps.Daly, PatriciaDaly, Patricia:
Added \$100,000 per Mike 11-19-13 from

PRMN.

IOWA WORKFORCE DEVELOPMENT

UI MODERNIZATION

SFY 2014
CURRENT BUDGET
EXPENSES
PERCENTAGE EXP TO DATE
EXPECTED YTD COSTS
DIFFERENCE (OVERSPENT)
CAMN
SALARY/FRINGE
IN STATE TRAVEL
SUPPLIES
OTHER SUPPLIES
PRINT & BINDING
POSTAGE
COMMUNICATIONS
UTILITIES
OUTSIDE SERVICES
IDOP FEES-Includes DAS Training
PACE BILLINGS
DP EQUIPMENT & SOFTWARE
INDIRECT COSTS
IRMN
SALARY/FRINGE
POSTAGE
OTHER SUPPLIES
POSTAGE

UTILITIES
OUTSIDE SERVICES
IDOP FEES-Includes DAS Training
INDIRECT COSTS
TBMN
SALARY/FRINGE
SUPPLIES
#DIV/0!OTHER SUPPLIES
#DIV/0!POSTAGE

COMMUNICATIONS
#DIV/0!
UTILITIES
#DIV/0!OUTSIDE SERVICES
OUTSIDE REPAIRS/SVS
#DIV/0!IDOP FEES-Includes DAS Training
#DIV/0!PACE BILLINGS
#DIV/0!IT OUTSIDE SERVICES
INDIRECT COSTS
*UAMN
OTHER SUPPLIES
#DIV/0!PRMN
SALARYFRINGE
TRAVEL
SUPPLIES
OTHER SUPPLIES
POSTAGE
COMMUNICATIONS

UTILITIES
OUTSIDE SERVICES
IDOP FEES-Includes DAS Training
PACE BILLINGS
IT OUTSIDE SERVICES
INDIRECT COSTS
CSMN
SALARYFRINGE
SUPPLIES
OTHER SUPPLIES
POSTAGE
COMMUNICATIONS
UTILITIES
PROF SERVICES / TEMPS
IDOP FEES-Includes DAS Training
PACE BILLINGS
OFFICE EQUIPMENT
#DIV/0!DP EQUIPMENT & SOFTWARE
INDIRECT COSTS
WAMN
INDIRECT COSTS

FIELD BUDGET
REGION 09
52MN
SALARYFRINGE
TRAVEL
SUPPLIES
SUPPLIES
#DIV/0!EQUIP SUPPLY MAINTENANCE
#DIV/0!OTHER SUPPLIES
#DIV/0!PRINT & BINDING
POSTAGE
COMMUNICATIONS
RENT
UTILITIES
OUTSIDE SERVICES
OUTSIDE REPAIR
IDOP FEES-Includes DAS Training
PACE BILLINGS
EQUIPMENT
INDIRECT COSTS

REGION 12
 69MN
 SALARY/FRINGE
 SUPPLIES
 FACILITY MAINTENANCE
 EQUIP SUPPLY MAINTENANCE
 #DIV/0!OTHER SUPPLIES
 #DIV/0!POSTAGE
 COMMUNICATIONS
 RENT
 UTILITIES
 OUTSIDE SERVICES
 OUTSIDE REPAIR
 #DIV/0!IDOP FEES-Includes DAS Training
 PACE BILLINGS
 DP EQUIPMENT & SOFTWARE
 INDIRECT COST
 REGION 15
 83MN
 SALARY/FRINGE
 TRAVEL
 SUPPLIES
 POSTAGE
 COMMUNICATIONS
 RENT
 UTILITIES
 OUTSIDE SERVICES
 IDOP FEES-Includes DAS Training
 PACE BILLINGS
 INDIRECT COSTS
 TOTAL "MN" RECAP W/O CAMN & Field
 CAMN
 CAMN + FIELD
 TOTAL FIELD BUDGET
 SFY 2014
 TOTAL "MN" & FIELD
 FOOTNOTES:

% of Year

IOWA WORKFORCE DEVELOPMENT

Re-employment & Eligibility Assessment
 2014 Budget
 REA
 OBJECT CLASS
 CODE
 SFY 14 Budget
 CASH EXPENSES
 PERCENTAGE TO DATE
 EXPECTED YTD COSTS
 DIFFERENCE (OVERSPENT)
 FNES
 SALARY/FRINGE
 INSTATE TRAVEL
 COMMUNICATIONS
 IDOP FEES-DAS TRAINING
 PACE BILLINGS
 Equipment
 OFFICE EQUIPMENT
 DP Equip & Software
 INDIRECTS
 SUB TOTAL

WAES
DP CHARGEBACK
PRES
IT - OUTSIDE SERVICES
#DIV/0!SPES
IT - OUTSIDE SERVICES
#DIV/0!REGIONAL BUDGETS
R 01 - 20ES
Dubuque
R 07 - 43ES
Waterloo
R 09 - 52ES
Davenport
R 10 -56ES
Cedar Rapids
R 11 - 60ES
Des Moines
R12 - 69ES
Sioux City
R13 - 72ES
Council Bluffs
R14 - 79ES
Creston
R16 - 88ES
Burlington
SUB TOTAL - REGIONS
GRAND TOTAL

Message: Monthly Bud vs Exp Reports.**Case Information:**

Message Type: Exchange
 Message Direction: Internal
 Case: IWD Senator Petersen Request - Version 3
 Capture Date: 7/10/2014 1:32:40 PM
 Item ID: 40861929
 Policy Action: Not Specified

Mark History:

Date	Action Status	Reviewer
7/15/2014 5:00:52 PM	Unreviewed	Koonce, Kerry
7/15/2014 4:56:51 PM	Reviewed	Koonce, Kerry

Policies:

No Policies attached

 **Monthly Bud vs Exp Reports.**

From Daly, Pat [IWD] **Date** Friday, April 04, 2014 2:44 PM
To Wilkinson, Michael [IWD]
Cc

 [XXX SFY14 UI, Appeals, REA, SPUG Monthly Budget Analysis 4-4-14.xlsx](#) (97 Kb HTML)

Please see Kelly if you have any questions or concerns. As I will be out of the office April 7th – April 28th.

*Patricia (Pat) Daly
 Budget Analyst
 Iowa Workforce Development
 1000 E. Grand Ave
 Des Moines, IA 50319
 Work: (515) 281-8140
 Fax: (515) 281-6046
 BB: (515) 371-4735*

% of Year

IOWA WORKFORCE DEVELOPMENT

send to :
APPEALS

Teresa Hillary
SFY 14 Budget
OBJECT CLASS
CODE
SFY 2014 PROJECTIONS
SFY 2014 EXPENDITURES
Percentage Exp to date
Expected YTD Costs
Difference (Overspent)
APUC
SALARY/FRINGE
INSTATE TRAVEL
OUT OF STATE TRAVEL
SUPPLIES
OTHER SUPPLIES
POSTAGE
COMMUNICATIONS
UTILITIES
OUTSIDE SERVICES
INTRA STATE TRANSFERS
#DIV/0!IDOP FEES-Includes DAS Training
PACE BILLINGS
OFFICE EQUIPMENT/Moving Exp
EQUIPMENT NON-INVENTORY
DP EQUIPMENT & SOFTWARE
INDIRECT COSTS
APUM
SALARY/FRINGE
INSTATE TRAVEL
OUT OF STATE TRAVEL
SUPPLIES
OTHER SUPPLIES
POSTAGE
COMMUNICATIONS
UTILITIES
OUTSIDE SERVICES
IDOP FEES-Includes DAS Training
PACE BILLINGS
DP EQUIPMENT & SOFTWARE
INDIRECT COSTS
Total Appeals - New Budget

IOWA WORKFORCE DEVELOPMENT
UNEMPLOYMENT DIVISION

EXPENSES UPDATES AS OF 4/4/14
SFY 2014 Budget
OBJECT CLASS
CODE
2014 BUDGET
EXPENSES
% Expensed
EXPECTED YTD COSTS
DIFFERENCE (OVERSPENT)
CAUC
SALARY/FRINGE
INSTATE TRAVEL
SUPPLIES
FACILITY MAINTENANCE
OTHER SUPPLIES
PRINT & BINDING
POSTAGE
COMMUNICATIONS
RENTALS
UTILITIES
PROFESSIONAL SVS
OUTSIDE SERVICES
INTRA TRANSFERS
OUTSIDE REPAIRS
IDOP FEES-Includes DAS Training
PACE BILLINGS
IT Outside Services
OFFICE EQUIPMENT
EQUIPMENT NON-INVENTORY
DP EQUIPMENT & SOFTWARE
INDIRECT COSTS
CACM
OUTSIDE REPAIRS
CAUM
SALARY/FRINGE
INSTATE TRAVEL
OUT OF STATE TRAVEL
SUPPLIES
COMMUNICATIONS
OUTSIDE SERVICES
#DIV/0!IDOP FEES-Includes DAS Training
PACE BILLINGS
DP EQUIPMENT & SOFTWARE
INDIRECT COSTS
CARD
SALARY/FRINGE
INSTATE TRAVEL
OTHER SUPPLIES
COMMUNICATIONS
IDOP FEES-Includes DAS Training
OUTSIDE SERVICE
DP EQUIP & SOFTWARE
DP Chargebacks
INDIRECT COSTS
CAUG
OUTSIDE SERVICES
#DIV/0!UI - DP CHARGEBACK
DACN
SALARY/FRINGE
INSTATE TRAVEL

OUT OF STATE TRAVEL
SUPPLIES
POSTAGE
COMMUNICATIONS
UTILITES
OUTSIDE SERVICES
OUTSIDE REPAIRS
IDOP FEES-Includes DAS Training
PACE BILLINGS
INDIRECT COSTS
TRUC
SALARY/FRINGE
INSTATE TRAVEL
SUPPLIES
OTHER SUPPLIES
PRINT & BINDING
POSTAGE
COMMUNICATIONS
OUTSIDE SERVICES
OUTSIDE SERVICES
#DIV/0!IDOP FEES-Includes DAS Training
PACE BILLINGS
DP EQUIPMENT & SOFTWARE
INDIRECT COSTS
FEES - Jury Duty
#DIV/0!QCQC
SALARY/FRINGE
INSTATE TRAVEL
OUT OF STATE TRAVEL
SUPPLIES
OTHER SUPPLIES
#DIV/0!POSTAGE
COMMUNICATIONS
UTILITIES
OUTSIDE SERVICES
IDOP FEES-Includes DAS Training
PACE BILLINGS
EQUIP. NON-INVENTORY
DP EQUIPMENT & SOFTWARE
INDIRECT COSTS
QCUM
SALARY/FRINGE
IN STATE TRAVEL
OUT OF STATE TRAVEL
POSTAGE
COMMUNICATIONS
UTILITIES
OUTSIDE SERVICES
OUTSIDE REPAIRS
IDOP FEES-Includes DAS Training
PACE BILLINGS
DP EQUIPMENT & SOFTWARE
INDIRECT COSTS
TBUC
SALARY/FRINGE
INSTATE TRAVEL
SUPPLIES
OTHER SUPPLIES
PRINTING & BIND
POSTAGE
COMMUNICATIONS

RENTALS
UTILITIES
PROFESSIONAL SVS
OUTSIDE SERVICES
OUTSIDE REPAIRS
IDOP FEES-Includes DAS Training
PACE BILLINGS
EQUIP - Non_Inv.
DP EQUIPMENT & SOFTWARE
INDIRECT COSTS
OTHER FEES
OTHER FEES
#DIV/0!
TBUM
SALARYFRINGE
INSTATE TRAVEL
OUT OF STATE TRAVEL
#DIV/0!SUPPLIES
OTHER SUPPLIES
POSTAGE
COMMUNICATIONS
UTILITIES
OUTSIDE SERVICES
IDOP FEES-Includes DAS Training
PACE BILLINGS
DP EQUIPMENT & SOFTWARE
INDIRECT COSTS
TBMU
SALARYFRINGE
INSTATE TRAVEL
OUT OF STATE TRAVEL
SUPPLIES
FACILITY MAINT SUPPLY
#DIV/0!OTHER SUPPLIES
POSTAGE
COMMUNICATIONS
UTILITIES
OUTSIDE SERVICES
IDOP FEES-Includes DAS Training
PACE BILLINGS
GOV TRANSFER OTHER AGENCIES
DP EQUIP & SOFTWARE
DP CHARGEBACK COSTS
INDIRECT COSTS
TBMM
SALARYFRINGE
INSTATE TRAVEL
OUT OF STATE TRAVEL
#DIV/0!SUPPLIES
FACILITY MAINT SUPPLY
#DIV/0!OTHER SUPPLIES
POSTAGE
COMMUNICATIONS
UTILITIES
OUTSIDE SERVICES
IDOP FEES-Includes DAS Training
PACE BILLINGS
GOV TRANSFER OTHER AGENCIES
#DIV/0!DP EQUIP & SOFTWARE
#DIV/0!DP CHARGEBACK COSTS
INDIRECT COSTS

TBCM
SALARY/FRINGE
INSTATE TRAVEL
SUPPLIES
OTHER SUPPLIES
POSTAGE
COMMUNICATIONS
UTILITIES
OUTSIDE SERVICES
#DIV/0!IDOP FEES-Includes DAS Training
PACE BILLINGS
IT OUTSIDE SERVICES
DP CHARGEBACK COSTS
INDIRECT
OTHER FEES
#DIV/0!UARD
IT PROFESSIONAL SVCS.
#DIV/0!UAUM
SALARY/FRINGE
INSTATE TRAVEL
OUT OF STATE TRAVEL
SUPPLIES
OTHER SUPPLIES/UNOBLIGATED
PRINTING & BIND
POSTAGE
COMMUNICATIONS
UTILITIES
PROFESSIONAL SVS
#DIV/0!OUTSIDE SERVICES
IDOP FEES-Includes DAS Training
PACE BILLINGS
GOV TRANSFER OTHER AGENCIES
#DIV/0!DP EQUIPMENT & SOFTWARE
INDIRECT COSTS
UAUG
OUTSIDE SERVICE
IDOP FEES-Includes DAS Training
PACE BILLINGS
IT PROFESSIONAL SVCS.
DP EQUIPMENT & SOFTWARE
Total All Spugs
Specials
SALARY/FR. BENEFITS
SUPPLIES
#DIV/0!OTHER SUPPLIES/UNOBLIGATED
POSTAGE
OUTSIDE SERVICE
#DIV/0!PACE BILLINGS
#DIV/0!IT PROFESSIONAL SERVICES
DP EQUIP/SOFTWARE
INDIRECT COSTS
Total All Spes
Specials
Balancing Factor/Not Available
#DIV/0!IT PROFESSIONAL SERVICES
DP EQUIP/SOFTWARE
#DIV/0!EBUC- DIA
GOV TRANSFER OTHER AGENCIES
VHUC
OVERHEAD
PRINTING & BINDING

PROF & SCIENTIFIC SERVICES
 GOV TRANSFER OTHER AGENCIES
 FIELD
 WAES
 DP CHARGEBACK
 WAEU
 DP CHARGEBACK
 WAMU
 DP CHARGEBACK
 WAUG
 DP CHARGEBACK
 TOTAL:
 "UC"
 Totals:

Recap
 "UM"
 Totals:
 Recap

CARD
 Totals:
 Recap
 UARD
 Totals:
 Recap
 QCQC
 Totals:

Recap

CAUG
 Totals:
 Recap
 UAUG
 Totals:
 Recap
 SPUG
 Totals:
 Recap
 SPES
 Totals:
 Recap
 TBMU/MM
 Totals
 Recap
 TB/CACM
 Totals
 Recap
 FIELD
 WAES
 DP CHARGEBACK
 WAEU
 DP CHARGEBACK
 WAMU
 DP CHARGEBACK
 WAUG
 DP CHARGEBACK
 TOTAL

Indirect
SFY 2013
Footnotes

EXPENSES AS OF 4-4-14
SFY 14 BUDGET & EXPENSE
ORIGINAL
SFY 2014
GRANT

Liquidation
ORG.
SUB
SBR #
GRANT FUNDING
SBR AMOUNT
BUDGET
SFY 2014
PERCENT
SBR

EXPIRES
ORG

BALANCE
EXPENSES
EXPENDED
BALANCE

UI21099DW
2011 SPES
SPES

NDNH Cross-match Rec Operating procedures
SPES

State of Specific Solutions/Contractor cost for overpayment/Monthly Pymt
SPES

Misclassification Automation
SPES

Integrity-Utilization of Business Process/improvement tools/preventing improper pymts.
2011 SPES SUB TOTAL

TOTAL SPES BUDGET/EXPENSE

FY 2012 SBRs

FFY 2012
UI22276JH

GRANT
Implementation
UIPL 18-12

SUB
SBR #
SBR PROJECT

FUNDING
SFY 2014

Date
ORG
SFY 2014
EXPENSES

SPUG
A1

Cross-functional Task Force
SPUG

A2
Business Process Analysis

SPUG

A3
SIDES Marketing
SPUG
A4
Claimant/Employer Messaging
SPUG
A5
Employer Services Registration

SPUG
A6
Treasury Offset Program

SPUG
A7
SUTA Dumping Detection/Investigation
SPUG
A8
Merit Staff and Contract Support
SPUG
9A
A9A
Adv. Scanning & Document Mgmt.
SPUG
9B
A9B
IT Integrity, Security & Cont. Disaster Rec.
SPUG
9D
A9D
Customer Relationship Management
SPUG
9E
A9E
SIDES Subscription (30K didn't directly get)

Upon Trans.to ITSC
TOTAL FY 2012 SBRs SPUGS
FY 2013 SBRs
FFY 2013
GRANT
FUNDING
 SFY 2014
SFY 2014
 EXPENSES
SPUG
01
Rationalize UI Decision Inventory (CORE)
SPUG
02
SIDES Expansion (CORE) (31,185 no directly)

SPUG
03
Case Management (CORE)
SPUG
04
Fraud OVPY Collection (Merit Staff)
SPUG

05
UCX Enhancements
SPUG
06
Alien Verification (SAVE)
SPUG
07
Veterans Re-Employment
SPUG
08
FEIN Identity Verifications
SPUG
09
UI Tax _ TOP Integration
TOTAL FY 2014 SBR'S SPUGS
TOTAL SPUGs/SPES
Reconciliation

Daly, PatriciaDaly, Patricia:
Per Mike 11-19-13 move \$100,000 to CSMN for Temps.Daly, PatriciaDaly, Patricia:
Added \$100,000 per Mike 11-19-13 from

PRMN.

IOWA WORKFORCE DEVELOPMENT

UI MODERNIZATION

SFY 2014
CURRENT BUDGET
EXPENSES
PERCENTAGE EXP TO DATE
EXPECTED YTD COSTS
DIFFERENCE (OVERSPENT)
CAMN
SALARY/FRINGE
IN STATE TRAVEL
SUPPLIES
OTHER SUPPLIES
PRINT & BINDING
POSTAGE
COMMUNICATIONS
UTILITIES
OUTSIDE SERVICES
IDOP FEES-Includes DAS Training
PACE BILLINGS
DP EQUIPMENT & SOFTWARE
INDIRECT COSTS
IRMN
SALARY/FRINGE
POSTAGE
OTHER SUPPLIES
POSTAGE

UTILITIES
OUTSIDE SERVICES
IDOP FEES-Includes DAS Training
INDIRECT COSTS
TBMN
SALARY/FRINGE
SUPPLIES
#DIV/0!OTHER SUPPLIES
#DIV/0!POSTAGE

COMMUNICATIONS
#DIV/0!
UTILITIES
#DIV/0!OUTSIDE SERVICES
OUTSIDE REPAIRS/SVS
#DIV/0!IDOP FEES-Includes DAS Training
#DIV/0!PACE BILLINGS
#DIV/0!IT OUTSIDE SERVICES
INDIRECT COSTS
*UAMN
OTHER SUPPLIES
#DIV/0!PRMN
SALARY/FRINGE
TRAVEL
SUPPLIES
OTHER SUPPLIES
POSTAGE
COMMUNICATIONS

UTILITIES
OUTSIDE SERVICES
IDOP FEES-Includes DAS Training
PACE BILLINGS
IT OUTSIDE SERVICES
INDIRECT COSTS
CSMN
SALARY/FRINGE
SUPPLIES
OTHER SUPPLIES
POSTAGE
COMMUNICATIONS
UTILITIES
PROF SERVICES / TEMPS
IDOP FEES-Includes DAS Training
PACE BILLINGS
OFFICE EQUIPMENT
#DIV/0!DP EQUIPMENT & SOFTWARE
INDIRECT COSTS
WAMN
INDIRECT COSTS

FIELD BUDGET
REGION 09
52MN
SALARY/FRINGE
TRAVEL
SUPPLIES
SUPPLIES
#DIV/0!EQUIP SUPPLY MAINTENANCE
#DIV/0!OTHER SUPPLIES
#DIV/0!PRINT & BINDING
POSTAGE
COMMUNICATIONS
RENT
UTILITIES
OUTSIDE SERVICES
OUTSIDE REPAIR
IDOP FEES-Includes DAS Training
PACE BILLINGS
EQUIPMENT
INDIRECT COSTS

REGION 12
 69MN
 SALARY/FRINGE
 SUPPLIES
 FACILITY MAINTENANCE
 EQUIP SUPPLY MAINTENANCE
 #DIV/0!OTHER SUPPLIES
 #DIV/0!POSTAGE
 COMMUNICATIONS
 RENT
 UTILITIES
 OUTSIDE SERVICES
 OUTSIDE REPAIR
 #DIV/0!IDOP FEES-Includes DAS Training
 PACE BILLINGS
 DP EQUIPMENT & SOFTWARE
 INDIRECT COST
 REGION 15
 83MN
 SALARY/FRINGE
 TRAVEL
 SUPPLIES
 POSTAGE
 COMMUNICATIONS
 RENT
 UTILITIES
 OUTSIDE SERVICES
 IDOP FEES-Includes DAS Training
 PACE BILLINGS
 INDIRECT COSTS
 TOTAL "MN" RECAP W/O CAMN & Field
 CAMN
 CAMN + FIELD
 TOTAL FIELD BUDGET
 SFY 2014
 TOTAL "MN" & FIELD
 FOOTNOTES:

% of Year

IOWA WORKFORCE DEVELOPMENT

Re-employment & Eligibility Assessment
2014 Budget

REA
 OBJECT CLASS
 CODE
 SFY 14 Budget
 CASH EXPENSES
 PERCENTAGE TO DATE
 EXPECTED YTD COSTS
 DIFFERENCE (OVERSPENT)
 FNES
 SALARY/FRINGE
 INSTATE TRAVEL
 COMMUNICATIONS
 IDOP FEES-DAS TRAINING
 PACE BILLINGS
 Equipment
 OFFICE EQUIPMENT
 DP Equip & Software
 INDIRECTS
 SUB TOTAL

WAES
DP CHARGEBACK
PRES
IT - OUTSIDE SERVICES
#DIV/0!SPES
IT - OUTSIDE SERVICES
#DIV/0!REGIONAL BUDGETS
R 01 - 20ES
Dubuque
R 07 - 43ES
Waterloo
R 09 - 52ES
Davenport
R 10 -56ES
Cedar Rapids
R 11 - 60ES
Des Moines
R12 - 69ES
Sioux City
R13 - 72ES
Council Bluffs
R14 - 79ES
Creston
R16 - 88ES
Burlington
SUB TOTAL - REGIONS
GRAND TOTAL

Message: BPA SS00-12-E1655 to Continue as an Employment Network for the Ticket to Work and Self Sufficiency Program

Case Information:

Message Type: Exchange
 Message Direction: External, Inbound
 Case: IWD Senator Petersen Request - Version 3
 Capture Date: 7/10/2014 1:31:40 PM
 Item ID: 40860835
 Policy Action: Not Specified

Mark History:

Date	Action Status	Reviewer
7/15/2014 5:00:52 PM	Unreviewed	Koonce, Kerry
7/15/2014 4:56:51 PM	Reviewed	Koonce, Kerry

Policies:

No Policies attached

 **BPA SS00-12-E1655 to Continue as an Employment Network for the Ticket to Work and Self Sufficiency Program**

From Day, Erica **Date** Monday, July 30, 2012 5:01 PM
To Wahlert, Teresa [IWD]
Cc ^ENContracts;
 'LaurieArmstrong@maximus.com'

 [E1655.pdf](#) (95 Kb HTML)  [E1655.docx](#) (215 Kb HTML)

Ms. Wahlert,

Attached please find the subject BPA for your records. Feel free to contact me with any questions or concerns you may have. Thank you.

Best Regards,

Erica Day
Contracting Officer
Social Security Administration
Office of Acquisition and Grants
Phone: (410) 965-9512
Fax: (410) 594-0133



- [Image 1](#)
 - [Image 2](#)
-

Image 1

LEVEL 1 = LOW RISK/ NON-SENSITIVE

HDQTRS

As Indicated On Each Call

5152815365

SEE ADDENDUMIS CHECKED

CODE 18a. PAYMENT WILL BE MADE BY

CODE

FACILITYCODE

17b. CHECK IF REMITTANCE IS DIFFERENT AND PUT SUCH ADDRESS IN OFFER

OFFEROR

EDAY

(410) 965-9512

ERICA DAY

CODE 16. ADMINISTERED BYCODE

X

624120

SIZE STANDARD:

% FOR:SET ASIDE:UNRESTRICTED OR00600

RFPIFB

10. THIS ACQUISITION ISCODE

RFQ

14. METHOD OF SOLICITATION

13b. RATING

NAICS:

SMALL BUSINESS

07/17/2012

410-965-9512ERICA DAY
(No collect calls)

INFORMATION CALL:

FOR SOLICITATION 8. OFFER DUE DATE/LOCAL TIMEb. TELEPHONE NUMBER a. NAME

4. ORDER NUMBER3. AWARD/ 6. SOLICITATION

SSA-RFQ-12-0010L
5. SOLICITATION NUMBER

SOLICITATION/CONTRACT/ORDER FOR COMMERCIAL ITEMS

1. REQUISITION NUMBER PAGE OF

1 71 K520-12-3002OFFEROR TO COMPLETE BLOCKS 12, 17, 23, 24, & 30

TELEPHONE NO.

DES MOINES IA 503190209
1000 E GRAND AVE
Attn: Teresa Wahlert
IOWA WORKFORCE DEVELOPMENT

17a. CONTRACTOR/

As Indicated On Each Call

15. DELIVER TO

Baltimore MD 21244-1811
7111 Security Boulevard
1st Floor - Rear Entrance
Office of Acquisition and Grants

9. ISSUED BY

7.

SS00-12-E1655
2. CONTRACT NO.

EFFECTIVE DATE

\$7.0

18b. SUBMIT INVOICES TO ADDRESS SHOWN IN BLOCK 18a UNLESS BLOCK BELOW

ISSUE DATE

DELIVERY FOR FOB DESTINATION UNLESS BLOCK IS MARKED

11.

SEE SCHEDULE

12. DISCOUNT TERMS

As Indicated On Each Call

THIS CONTRACT IS A
RATED ORDER UNDER
DPAS (15 CFR 700)

13a.

SERVICE-DISABLED
VETERAN-OWNED
SMALL BUSINESS

HUBZONE SMALL
BUSINESS

8(A)

Social Security Administration

WOMEN-OWNED SMALL BUSINESS
(WOSB) ELIGIBLE UNDER THE WOMEN-OWNED
SMALL BUSINESS PROGRAM

EDWOSB

24.

AMOUNT

23.

UNIT PRICE

22.

UNIT

21.

QUANTITY

20.

SCHEDULE OF SUPPLIES/SERVICES

19.

ITEM NO.

DUNS Number: 089734235

Employment Network for the Ticket to Work and Self-Sufficiency Program.

Period of Performance: 07/31/2012 to 07/30/2022

(Use Reverse and/or Attach Additional Sheets as Necessary)

\$0.00

HEREIN, IS ACCEPTED AS TO ITEMS:

X

XX

DATED

ERICA N. DAY 07/30/2012

. YOUR OFFER ON SOLICITATION (BLOCK 5),

INCLUDING ANY ADDITIONS OR CHANGES WHICH ARE SET FORTH

Your

COPIES TO ISSUING OFFICE. CONTRACTOR AGREES TO FURNISH AND DELIVER

ARE

ARE

31c. DATE SIGNED

27b. CONTRACT/PURCHASE ORDER INCORPORATES BY REFERENCE FAR 52.212-4. FAR 52.212-5 IS ATTACHED. ADDENDA

31a. UNITED STATES OF AMERICA *(SIGNATURE OF CONTRACTING OFFICER)*

30c. DATE SIGNED 31b. NAME OF CONTRACTING OFFICER *(Type or print)*

ALL ITEMS SET FORTH OR OTHERWISE IDENTIFIED ABOVE AND ON ANY ADDITIONAL SHEETS SUBJECT TO THE TERMS AND CONDITIONS SPECIFIED.

27a. SOLICITATION INCORPORATES BY REFERENCE FAR 52.212-1, 52.212-4. FAR 52.212-3 AND 52.212-5 ARE ATTACHED. ADDEND

26. TOTAL AWARD AMOUNT *(For Govt. Use Only)*

OFFER

STANDARD FORM 1449 (REV. 2/2012)
Prescribed by GSA - FAR (48 CFR) 53.212

ARE NOT ATTACHED.

ARE NOT ATTACHED.

AUTHORIZED FOR LOCAL REPRODUCTION
PREVIOUS EDITION IS NOT USABLE

30b. NAME AND TITLE OF SIGNER (*Type or print*)

30a. SIGNATURE OF OFFEROR/CONTRACTOR

28. CONTRACTOR IS REQUIRED TO SIGN THIS DOCUMENT AND RETURN

25. ACCOUNTING AND APPROPRIATION DATA

As Indicated On Each Call

07/26/2012

29. AWARD OF CONTRACT:
REF.

LEVEL 1 = LOW RISK/ NON-SENSITIVE

Image 2

LEVEL 1 = LOW RISK/ NON-SENSITIVE

32e. MAILING ADDRESS OF AUTHORIZED GOVERNMENT REPRESENTATIVE

32c. DATE

32b. SIGNATURE OF AUTHORIZED GOVERNMENT REPRESENTATIVE

ACCEPTED, AND CONFORMS TO THE CONTRACT, EXCEPT AS NOTED:

32a. QUANTITY IN COLUMN 21 HAS BEEN

RECEIVED

INSPECTED

40. PAID BY

39. S/R VOUCHER NUMBER

38. S/R ACCOUNT NUMBER

37. CHECK NUMBER

FINAL

PARTIAL

36. PAYMENT

FINAL

PARTIAL

35. AMOUNT VERIFIED
CORRECT FOR

34. VOUCHER NUMBER

33. SHIP NUMBER

COMPLETE

32g. E-MAIL OF AUTHORIZED GOVERNMENT REPRESENTATIVE

42d. TOTAL CONTAINERS

42c. DATE REC'D
(YY/MM/DD)

42b. RECEIVED AT
(Location)

42a. RECEIVED BY
(Print)

41c. DATE

41b. SIGNATURE AND TITLE OF CERTIFYING OFFICER

41a. I CERTIFY THIS ACCOUNT IS CORRECT AND PROPER FOR PAYMENT

STANDARD FORM 1449 (REV. 2/2012) BACK

24.

AMOUNT

23.

UNIT PRICE

22.

UNIT

21.

QUANTITY

20.

SCHEDULE OF SUPPLIES/SERVICES

19.

ITEM NO.

32f. TELEPHONE NUMBER OF AUTHORIZED GOVERNMENT REPRESENTATIVE

32d. PRINTED NAME AND TITLE OF AUTHORIZED GOVERNMENT REPRESENTATIVE

71 2 of

LEVEL 1 = LOW RISK/ NON-SENSITIVE

- [\[Heading 1\]](#)
 - [\[Other terms and conditions specif...\]](#)
- [\[\]](#)
- [\[PART II--PRICE\]](#)
- [\[\]](#)
 - [\[\]](#)
 - [\[SECTION 1: SUPPLIES/SERVICES AND PRICE\]](#)
 - [\[SECTION 2: TRAVEL EXPENSES \]](#)
 - [\[\]](#)
- [\[PART III--STATEMENT OF WORK\]](#)
- [\[\]](#)
- [\[\]](#)
- [\[SECTION 1: QUALIFICATION REQUIREMENTS FOR ENS\]](#)
- [\[D. Timeframe for Submitting an IWP\]](#)
 - [\[SECTION 4: REFERRAL AGREEMEN...\]](#)
- [\[If a dispute arises under the agreement between the EN and an SVRA, the following steps would be used to resolve the dispute:\]](#)
- [\[SECTION 10: EN REPORTING REQUIREMENTS\]](#)
- [\[PART IV--BPA CLAUSES\]](#)
 - [\[52.224-1 -- Privacy Act Notificat...\]](#)
- [\[Baltimore, MD 21235-6401\]](#)
- [\[\]](#)

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PART I--BLANKET PURCHASE AGREEMENT (BPA)

SECTION 1: THE AGREEMENT

In the spirit of the Federal Acquisition Streamlining Act, the Social Security Administration (SSA) and Iowa Workforce Development enter into this agreement to further reduce the administrative costs of acquiring commercial items/services.

This BPA will further decrease costs, reduce paperwork, and save time by eliminating the need for repetitive, individual purchases. The end result is to create a purchasing mechanism for the Government that works better and costs less.

Signatures:

_____/s/_____/ 7/26/12 _____/s/_____/ 7/30/12

Contractor Signature Date Contracting Officer Date

Social Security Administration

Office of Acquisition and Grants

Address:

The contractor, Iowa Workforce Development, agrees to the following terms of Blanket Purchase Agreement (BPA) SS00-12-E1655 exclusively with the Social Security Administration (SSA). All services provided under this BPA are subject to the terms and conditions noted herein.

DESCRIPTION OF SERVICES: This BPA is to provide Employment Network (EN) services to beneficiaries of SSA as described in Part III, Statement of Work.

PRICING: The contractor shall receive payments for services rendered in accordance with the terms found at Part III--Section 11, *Payments to ENs*.

DELIVERY/PLACE OF PERFORMANCE: Employment Networks shall provide services at their own facilities, as described in their quotation.

EXTENT OF OBLIGATION: This BPA does not obligate any funds. The Government is obligated only to the extent of approved payment requests from Employment Networks.

TERM OF AGREEMENT: This BPA is valid for ten years from the date of BPA award unless otherwise terminated or extended.

ORDERING PROCEDURES: The Government shall not issue call orders. A contractor's approved request for payment shall be considered the order.

PURCHASE LIMITATION: Each individual call order shall not exceed \$100,000.00.

REQUESTS FOR EN PAYMENT: The requirements for Requests for EN Payment are specified in Part III--Section 11.E, *Submitting Requests for EN Payment*.

Other terms and conditions specific to this BPA and all subsequent call orders can be found at Section IV of this agreement.

PART II--PRICE

SECTION 1: SUPPLIES/SERVICES AND PRICE

A. The total Firm-Fixed-Price of this BPA is \$0, wherein as consideration for satisfactory performance of work as specified by the statement of work, the Contractor shall be paid in accordance with the *Payment to ENs* (Part III--Section 11). The government does not guarantee any minimum or maximum amount of work and/or dollar value associated with this BPA.

B. The Contractor shall furnish all necessary personnel, materials, services, facilities and equipment, except as may be otherwise specified herein, and perform all tasks necessary for, or incidental to, the performance of EN services.

SECTION 2: TRAVEL EXPENSES

The contractor is responsible for all travel costs necessary to accomplish the objectives of this BPA and will not be reimbursed for such costs by the government.

PART III--STATEMENT OF WORK

The Ticket to Work and Self-Sufficiency Program is the centerpiece of the Ticket to Work and Work Incentives Improvement Act of 1999. This program provides Social Security beneficiaries with disabilities the choices, opportunities and support needed to become and stay employed, increase their earnings and eventually leave and remain off benefits by being fully self-supporting, whenever possible. Most adults who receive Social Security benefits based on disability are eligible for the Ticket program and may assign their Tickets to an Employment Network (EN) of their choice to obtain employment services, vocational rehabilitation (VR) services or other support services necessary to maximize their economic self-sufficiency through work opportunities.

As an Employment Network under the BPA, you have the affirmative obligation to:

- Inform and educate Ticket Holders that the purpose of the Ticket Program is to provide individuals with the opportunities and supports they need to go to work, increase earnings and become self-sufficient by leaving cash benefits to the maximum extent feasible;
- Provide employment support services that afford Ticket Holders the opportunity and supports to prepare for, obtain and retain career ladder jobs that will realistically enable them to leave and remain off cash benefits;
- Avoid even the appearance of advising or condoning the practice of artificially manipulating one's earnings to remain on cash benefits; and,
- Fairly and objectively, advise each Ticket Holder on the benefits and risks of leaving cash benefits and becoming self-sufficient.

It is likewise important to understand that in helping our beneficiaries on the road to self-sufficiency, as an EN, you must offer the types of opportunities and supports that will enable a Ticket Holder to achieve financial independence. Therefore, it is unacceptable for an EN to limit its business model to assisting Ticket Holders to engage in part time employment only.

SECTION 1: QUALIFICATION REQUIREMENTS FOR ENS

NOTE: One-stop delivery systems established under subtitle B of Title I of the Workforce Investment Act (WIA) of 1998 (29 U.S.C. 2811 *et seq.*) and organizations administering Vocational Rehabilitation (VR) Services Projects for American Indians with Disabilities authorized under section 121 of part C of the Rehabilitation Act of 1973, as amended (29 U.S.C. 720 *et seq.*) are deemed to have met all requirements under Part III--Section 1, of this document.

An EN BPA contractor must meet and maintain post-award compliance with all general selection criteria and all specific selection criteria described below.

A. **General Qualification Requirements**

1. Have a minimum of 2 years experience immediately preceding the date of the proposal

for this solicitation (or 3 years experience during the 5-year period immediately preceding the date of the proposal for this solicitation) to provide employment, VR or other support services to individuals with disabilities.

2. Have systems in place to protect the confidentiality of personal information about beneficiaries seeking or receiving services.
3. Have the capability to provide or arrange for the delivery of a range of core services to beneficiaries throughout the EN's selected service area. At a minimum, these services shall include:
 - Career planning
 - Job placement (including job search, job development and job placement assistance)
 - Ongoing employment support.

NOTE: Job placement services are not required for Partnership Plus cases as described in Part III--Section 4.B where the State VR Agency (SVRA) has provided job placement services and closed the case. In such cases the EN shall provide ongoing support services, including job stabilization and retention, as well as career advancement services, as needed.

4. Be accessible to beneficiaries by providing the following:
 - For ENs that provide services to beneficiaries at physical locations, those locations must be accessible in accordance with Americans with Disabilities Act accessibility guidelines. Beneficiary access to electronic and information technology must comply with standards found in Section 508 of the Rehabilitation Act.
 - Information in alternative media and formats as appropriate.
 - Toll-free telephone access to clients living outside the EN's local telephone exchange area.

NOTE: A toll-free number is not required if the EN's service area is confined to the local telephone exchange area.

- Voicemail telephone answering service if an EN employee is not immediately available to answer the telephone
 - Access by email.
5. Not discriminate in providing services based on a beneficiary's age, gender, veteran status, race, color, creed or national origin.
 6. Have adequate resources, including sufficient staff and facilities, in the EN's selected service area, to provide or arrange for the delivery of services to beneficiaries.
 7. Comply with the terms and conditions of the BPA with SSA, including delivering or coordinating the delivery of employment services, VR services or other support services and complying with information reporting requirements.
 8. Maintain accounting procedures and control operations necessary to document contractor costs and efforts.
 9. Carry general and/or professional liability insurance and, as appropriate, medical liability insurance (see Part IV--Section 3.F).

B. Specific Qualification Requirements

1. Have an applicable license or certificate if required by State law or in the absence of a State requirement, either certification or accreditation from a national rehabilitation and employment services accrediting body or documentation of a contract or agreement with a Federal or State government agency or a grant from a public or private agency to provide employment, VR or other support services to individuals with disabilities.
 - An EN shall inform SSA through the Operations Support Manager (OSM) contractor if its license, accreditation, certification, contract or grant is suspended or revoked or otherwise changed during performance of the BPA within 5 calendar days of receiving notice of the revocation, suspension or change.
 - Failure to notify the OSM of a change in the status of the EN contractor's license, accreditation, certification or contract status may be grounds for BPA termination.
2. Ensure that medical and related health services, if any, to which the EN and beneficiary agree are necessary to support attainment of the beneficiary's employment goal and are provided under the formal supervision of persons licensed to prescribe or supervise the provision of these services in the State in which the services are performed.
3. Employ staff and/or utilize providers who are:

- Qualified under applicable certification or licensing standards that apply to their profession as required by State law; or in the absence of such requirements
- Otherwise qualified based on education or experience.

4. Submit subcontractors' names, addresses, and qualifications to SSA for preapproval before employing any subcontractor to provide services under this BPA. Additionally, the EN shall ensure that any subcontractors meet all of the qualification requirements found in Part III--Section 1 and the privacy and security requirements found in Part IV--Section 3 of this solicitation.

C. Submitting Proof of Qualifications

1. To meet the required specific qualification criteria as delineated in Part III--Section 1.B. above, a contractor **must submit with their proposal at least one (1) of the following:**
 - a. A copy of the license or certification as required by State law to provide employment services, VR services or other support services to individuals with disabilities. The license or certification must be either performance or merit-based and issued by a State organization with regulatory authority or administrative oversight relating to the provision of VR or employment-related services in that State. A generic business license or tax license is not acceptable.
 - b. Evidence of certification or accreditation from national rehabilitation and employment services accrediting bodies (e.g., ACRE, CARF, CRC, ICCD, USpra, APSE CESP, or equivalent) that establishes a contractor's qualifications to provide or arrange for the provision of employment services, VR services or other support services to individuals with disabilities.
 - c. Proof of a contract or an equivalent vendor agreement with a Federal agency, State VR agency (SVRA) or other State agency (e.g., State Medicaid Waiver contract, PROS certificate) or a grant from a public or private entity, to provide employment, VR or other support services to individuals with disabilities. The contractor need not submit the entire contract, agreement or grant, nor complete payment history; however, the documentation submitted must:
 - clearly state the period of performance and the services to be provided and
 - clearly establish successful delivery of employment services as documented by payments received for services rendered.

A letter or statement from the agency of record that provides the information requested above is acceptable.

NOTE: All licenses, certifications, accreditations and contracts/grants described under items a-c above must be:

- **issued in the name of the organization or individual identified as the contractor of the proposal and**
- **current at the time of BPA award.**

2. In addition, the contractor shall provide a business plan that describes how the contractor will meet the requirements for award of an EN BPA, including providing the services and supports necessary for a beneficiary's achievement of self-sufficiency through work.

a. Describe your organization's history, mission, accomplishments and corporate structure, including any affiliates, subsidiaries, and subcontractors. Provide an organization chart showing current positions and current staffing numbers for each position. Provide the names, addresses, phone numbers, and resumes of key staff. If you have a website, provide the website address.

b. Describe the programs, services and supports currently provided and explain their relevance to the goals of the Ticket Program as explained in the introduction to Part III (Statement of Work) of this solicitation. Describe your organization's facilities and client/customer base. The contractor's proposal shall provide evidence of the delivery of a significant level of employment services, including job placements or equivalent employment support services, for people with disabilities, with tangible results relating to job achievement and retention by your clients. These employment services must have been provided within 2 years immediately preceding the date of the proposal (or during 3 of the 5 years immediately preceding the date of the proposal). If your qualifications are based on a Federal/State contract/agreement/grant, the evidence must be in the form of documentation showing payments received for services rendered. SSA reserves the right to contact people with disabilities for whom you provided employment services to verify provision of services.

c. Describe in detail any changes to your current business model, including the numbers, knowledge, skills, abilities and training of your organization's staff, as well as business policies, services and supports directed to your clientele. Include your plans for (1) marketing your EN to Ticket Holders, (2) developing individual work plans (IWPs), (3) providing both short-term support to assist the beneficiary with job acquisition and retention and long-term support to help ensure job retention and the beneficiary's success in achieving and maintaining self-sufficiency through work, and (4) protecting sensitive beneficiary information. In addition, if your business plan includes providing payments directly to beneficiaries, please describe in detail the nature of these payments and the method of disbursement. Except in very limited circumstances, direct payments to beneficiaries are permissible only as reimbursement for services and supports purchased by the beneficiary relating to the beneficiary obtaining or retaining work (see Part III--Section 1.C.2.f below) and not as a wage supplement. Small payments to beneficiaries totaling no more than \$60 per calendar quarter to cover incidental expenses (such as an incentive for

providing employer pay stubs to the EN) are permissible.

d. Describe your plans for sustaining EN operations in advance of EN payments. To this end, identify any pertinent grants or funding streams.

e. Contractors with a business model that includes the contractor serving as (1) the **beneficiary's employer** or (2) an **employer's agent** (i.e., working with an employer via contract, agreement or other working arrangement to locate and place suitable job candidates), shall:

- identify the available jobs that are paying or are expected to pay wages at or above SGA level into which your organization will be placing beneficiaries.
- describe how your organization will provide beneficiaries with the opportunity and necessary services and supports to enable them to achieve and maintain a position at a rate of pay at or exceeding SGA-level employment. SSA will evaluate compliance with this provision by determining periodically (at least annually) whether at least 80% of those employees achieved SGA-level earnings within 9 months following the Ticket assignment.

In addition, the EN contractor's business plan shall commit to:

- Maintaining an active program for hiring and providing ongoing services and supports to workers with disabilities.
- Placing beneficiaries in jobs that pay at or exceed SSA's rate of substantial gainful activity (SGA).
- Paying beneficiaries in a timely manner for work performed. Payments to beneficiaries shall NOT be contingent upon an EN's receipt of EN payments from SSA. ("Earnings" for purposes of the EN's request for payment means actual wages paid to and received by the beneficiary prior to submission of the EN's payment request.

NOTE: Paid vocational training with no record of continuous self-supporting employment does not meet the definition of a job for purposes of this solicitation.

f. Contractors with a business model that includes providing consumer-directed services (CDS) to beneficiaries (defined as shifting responsibility for key service decisions from the EN to the beneficiary; i.e., direct purchase of support services), shall describe in detail the nature of their CDS model. The description shall address the types of services, method of funds disbursement and/or reimbursement, and accounting and monitoring procedures to ensure the money is used for appropriate return to work expenses.

The following three requirements apply to **all** ENs that provide CDS:

- Support payments to a beneficiary must be used for services related to that beneficiary obtaining or retaining work and not as a wage supplement.
- Direct payments to a beneficiary are permitted only on a reimbursement basis for