
Infrastructure Appropriations for Criminal Justice Information System (CJIS)

Location: Capitol Complex, Des Moines, and Statewide

Project: Criminal Justice Information System (CJIS)

Description of the Work:

The goal of the project is to enter criminal justice data only once, and then share the data automatically with other criminal justice agencies who need that data to increase efficiency and improve public safety.

Using a centralized computer system that meets or exceeds all federal and state security requirements, this initiative continues to develop a seamless, real-time, electronic information sharing “system of systems” for members of the criminal justice community in Iowa. Historically, criminal justice information systems have been developed in isolation, resulting in independent systems that may share many common data concepts without being able to effectively communicate. Iowa’s CJIS Project was born out of the need for these systems to share information and work product in a timely, secure, accurate, and comprehensive manner. As criminal justice information sharing is a high priority of federal law enforcement agencies, the CJIS system has adopted national standards that effectuate its design to enable the timely, efficient, and automated sharing of information within and between criminal justice entities at the state, local, and national levels. Sharing is accomplished without sacrificing the independence of criminal justice entities and without forcing them to incur costly upgrades to their distinct technological architectures.

Every county in Iowa receives benefits from CJIS. The system has grown to include information exchanges between the Judicial Branch, Department of Corrections, Department of Transportation, Department of Public Safety, Department of Natural Resources, Secretary of State, Attorney General, as well as county attorney offices in fifty-three (53) Iowa counties. Current projects underway will expand the reach of CJIS to include the State Public Defender, Department of Human Services, and two additional branches of the Office of the Attorney General.

In a typical month, CJIS performs over 260,000 separate secure information exchanges between these justice entities. State, county, and local agencies have come to rely on CJIS for their business practices in order to make their agencies more effective and efficient, and to better serve the public.

Iowa’s CJIS system has received national awards for excellence and is a national leader in criminal justice information sharing.

Examples of the benefits that accrue to the public and to agencies participating in CJIS exchanges include:

- As soon as a judge approves a protective order, the information is transmitted to the Iowa On-line Warrants and Articles (IOWA) System. That information is now available to law enforcement responders anywhere from 12 to 36 hours sooner than it was with paper transmission. Since the first 24 hours following a domestic incident are the most dangerous, immediate information helps officers protect victims from further violence.
- When the Department of Corrections documents a change in the address of a sex offender, that information is electronically sent to the Division of Criminal Investigation (DCI) which allows for

faster and more accurate updating of the State's sex offender registry and website. As a result, the public is made safer by the publishing of more timely information, and law enforcement officers save time in documenting and verifying addresses of sex offenders.

- Law enforcement agencies across the state and nation are routinely and instantly provided correctional related information upon request, including photographs of suspects. As a result, the personal safety of officers and the public is enhanced. This exchange is used by law enforcement over eighteen thousand times per day.
- Law enforcement officers are able to spend less time on paperwork and more time on investigations and patrol by preparing electronic complaints. The Complaint and Affidavit exchange is now in all 99 counties, and is used by the Iowa State Patrol, 123 local police departments, 2 university police departments, and 72 county sheriffs' offices. When delivered, the complaint information is automatically populated in the Court and County Attorney databases.
- The Office of Driver Services receives electronic conviction and suspension information from the Judicial Branch, increasing the Department of Transportation's efficiency in handling convictions and suspensions of driver licenses.

The benefits of CJIS also include the elimination of information errors and redundant data entry, allowing for improved and more immediate decision-making, operational efficiency, and an enhanced ability to evaluate the effectiveness and cost-effectiveness of local and statewide justice-related programs and laws. CJIS collaborates with several criminal justice information systems throughout the State of Iowa including:

- Appriss (VINE system which provides notices to victims and others)
- The Traffic and Criminal Software (TraCS) system, which is administered by the Iowa Department of Transportation (IDOT) and used by 214 law enforcement agencies including the Iowa State Patrol and DOT Motor Vehicle Enforcement (compared to 168 at the end of 2015)
- The Iowa Online Warrants and Articles (IOWA) System, which is administered by the Department of Public Safety and is accessed by all of law enforcement and numerous criminal justice agencies in the state
- The Department of Corrections (DOC), Iowa Corrections Offender Network (ICON)
- The Iowa Court Information System (ICIS), which is the case management system used by all judges and court clerks, and the companion Electronic Document Management System (EDMS)
- The County Attorneys' ProLaw Case Management System
- The County Attorneys' Judicial/Dialog Case Management System

Progress of the Work:

A significant amount of progress has been made on this project since its development began in 2007, and specifically during the last year. The electronic exchange of real-time justice information is in process in more than 214 jurisdictions around the state (detailed information provided below). The CJIS Program Office, pursuant to CJIS Advisory Committee directives, maintains current information exchanges, and also plans and implements new information exchanges based upon the needs and requests of criminal justice agencies. CJIS-brokered information is now a part of the daily business process and workflow of the statewide court system and state and local criminal justice agencies and

offices. On average, it takes approximately three seconds to perform a round trip, electronic transmission of CJIS information for any given information exchange.

CJIS currently performs and maintains the following data exchanges:

- **Complaint.** (Law Enforcement → ICIS and/or County Attorney) This crucial exchange automates delivery of Criminal Complaints and Affidavits from law enforcement via Iowa’s Traffic and Criminal Software (TraCS) system, to the Courts’ ICIS system for review and further processing. For certain charges, the complaints are also delivered directly to the County Attorney’s office.
 - This Complaint filing process is used statewide by the Iowa State Patrol, DOT-Motor Vehicle Enforcement, DOT-Bureau of Investigation & Identity Protection, Department of Natural Resources (DNR), and DNR-Parks Bureau.
 - 197 local agencies (Sheriff’s Offices, local Police Departments, and University Police) are now able to electronically file complaints (as compared to 146 at the end of 2015). (See Appendix for full list)
 - Every month, approximately 15,000 Criminal Complaints are sent through this exchange
- **Electronic Citations (ECCO).** (Law Enforcement → ICIS) The ECCO exchange automatically transmits traffic citations from law enforcement agencies to the Clerks of Court via Iowa’s Traffic and Criminal Software (TraCS) system. To date, it has been implemented by 209 agencies (compared to 168 at the end of calendar year 2015).
 - ECCO transmissions are used statewide by the Iowa State Patrol, DOT-Motor Vehicle Enforcement, DOT-Bureau of Investigation & Identity Protection, Department of Natural Resources (DNR), and DNR-Parks Bureau.
 - 209 local agencies (Sheriff’s Offices, local Police Departments, and University Police) are now able to electronically file citations (as compared to 168 at the end of 2015). (See Appendix for full list)
 - Every month, approximately 33,000 Citations are sent through this exchange
- **Justice Data Warehouse.** (ICIS → JDW) CJIS transmits data on all case filings and dispositions in adult criminal court and juvenile delinquency court to the Justice Data Warehouse (JDW) within the Division of Criminal and Juvenile Justice Planning (CJJP). Iowa is at the forefront of criminal and delinquency data collection nationally.
- **Statewide Consolidated Charge Code Table.** (CJIS → multiple) This exchange sends information from a centralized table that manages all criminal charge codes to the Judicial Branch, DPS, DOC, DOT, county attorneys, and local law enforcement to ensure that all entities are using the same criminal charge codes, and that the information is current and consistent. Traditionally, each of these recipients had maintained its own version of a table listing all Iowa criminal statutes and municipal ordinances in various levels of detail. Now, these agencies all use an identical, up-to-date list of chargeable offenses.
- **Protective Order.** (ICIS → DPS and local law enforcement) When a judge enters a Protective Order, that order is immediately sent from the courts (ICIS) to the Department of Public Safety and local law enforcement. In a typical month, over 5,000 protective orders are delivered from courts to law enforcement through this exchange.

- **Protective Order Served.** (ICIS or DPS → Attorney General) When a protective order is served, either in court or by law enforcement, that information is sent to the Attorney General's VINE (Victim Information and Notification Everyday) system, enabling victims to have immediate access to that information.
- **Order for Pre-Sentence Investigation.** (ICIS → DOC) A judge's request for a pre-sentence investigation is sent from the Judicial Branch to the Dept. of Corrections.
- **Pre-Sentence Investigation Report.** (DOC → ICIS) The completed pre-sentence investigation report is transmitted from DOC's ICON system to the Court's ICIS system.
- **Mental Health Orders.** (ICIS → NICS) Following the 2007 fatal shootings at Virginia Tech by an assailant who had a history of mental health orders, Congress expanded the National Instant Check System (NICS). This exchange automatically populates the NICS database maintained by the FBI with mental-health-related court orders, and judgments of Not Guilty by Reason of Insanity.
- **Convictions and Driver's License Suspensions.** (ICIS → DOT) When a defendant is found guilty of a traffic violation or select criminal offenses, the disposition is sent to the Dept. of Transportation's driver license system. Court-ordered suspensions and withdrawals of suspensions are sent through this exchange, keeping the Drivers' Records synchronized with orders that affect Driver's License status. These automatic updates at DOT ensure that the appropriate actions relating to driving privileges are enforced immediately. Approximately 25,000 of these notifications are delivered to the DOT every month through this exchange.
- **Sex Offender Address Updates.** (DOC → DCI; and DCI → DOC) A change in the documented address of sex offender triggers an exchange to update the DPS-Division of Criminal Investigation's (DCI's) Sex Offender Registry (www.iowasexoffender.com), keeping this important public resource on sex offender tracking as current as possible. When sex offenders' addresses or other important information are updated on the sex offender registry by the DCI (information usually obtained from local Sheriffs), the information is pushed to the Department of Corrections. The DOC is then better able to supervise sex offenders, which may result in quicker probation/parole revocations of sex offenders who violate supervision terms.
- **Offender Query.** (DPS → DOC → DPS) Query from DPS to DOC for offender information, including photo identification. Officers are able to access data on any person who has been incarcerated or is under community supervision. This capability has been extended to Nlets, the International Justice and Public Safety Network, providing information to investigators in other states, further leveraging Iowa's investigative resources.
- **Court Disposition & Payments.** (ICIS → Polk Co. Sheriff) Dramatically increases collections from convicted criminals by sending of disposition and collection information from the Polk County Court to the Polk County Sheriff's Office.
- **New Felons.** (ICIS → Secretary of State) The ICIS system pushes information to the Secretary of State's Office for each defendant newly convicted of a felony. This data transfer replaces a monthly paper report, which saves data-entry time for Secretary of State's staff, and keeps eligible-voter records current.

- **Disposition-Matching in Real Time.** (ICIS → DPS) This exchange replaced a weekly, flat-file transfer from the Courts to the State’s official Computerized Criminal History (CCH) housed at DPS. The exchange sends real-time updates every time a court clerk enters a disposition into ICIS, keeping the state’s CCH accurate and up-to-date.
- **Notice of Appeal.** (ICIS → Attorney General) This exchange sends a notification to the Attorney General’s office when a Notice of Appeal is filed on a case on which the AG’s office will now be a party.
- **County Attorney Exchanges:** Currently, 63 of Iowa’s 99 counties utilize Case Management Systems (CMS) in their County Attorney offices, and only those counties are able to participate in CJIS exchanges. As more counties obtain a CMS, they will also have the option to participate in the following exchanges:
 - **Court Notice.** (ICIS → County Attorney) This exchange returns court case information to a County Attorney upon their filing of a complaint or trial information, enabling more up-to-date case tracking in County Attorneys’ case management systems. Forty-seven (47) County Attorney offices currently receive this information directly from the Courts. CJIS continues to roll out this exchange to other eligible offices.
 - **Hearing Order.** (ICIS → County Attorney) This exchange sends information from the courts to County Attorneys for any scheduled hearing or event. The hearings are automatically placed on the calendar in the County Attorney’s case management system, allowing for better management of heavy caseloads. Fifty-six (56) County Attorney offices currently receive this information directly from the Courts. CJIS continues to roll out this exchange to other eligible offices.
 - **Victim Information Transfer.** (County Attorney → DOC) This exchange allows County Attorneys to easily and automatically send victim contact information to the DOC to enable notifications to those victims through the VINE network. Twelve County Attorney offices currently utilize this exchange.
 - **Offender Release.** (DOC → County Attorney) This exchange allows the DOC to easily and automatically send information to County Attorneys when an offender is released from custody so that the County Attorney can better uphold his/her statutory obligation to notify registered victims of that offender’s release. Twelve County Attorney offices currently utilize this exchange.

CJIS is not a static system; it continues to grow as Iowa’s criminal justice agencies make more requests for data-sharing. CJIS has a number of new exchanges currently in development and expected to be completed in calendar year 2017:

- **Public Defender Court Notice.** (ICIS → Public Defender) Once completed, this exchange will serve Iowa’s Public Defender offices in the same manner CJIS currently serves Iowa’s County Attorneys, allowing Public Defenders’ Case Management Systems to automatically receive case information and hearing orders, eliminating the need for staff to manually enter arduous data.

- **Juvenile Casework.** (ICIS → Dept. of Human Services) Once completed, this exchange will allow Iowa’s Juvenile Court Officers to more easily track and report the time they spend with delinquent youth who are also in foster care.
- **Attorney General Hearing Order.** (ICIS → AG Area Prosecution Unit) Once completed, this exchange will allow AGs to receive hearing orders in the same manner that County Attorneys receive them, in cases where the AG is involved in the prosecution of a criminal case.
- **Crime Victim Notification.** (ICIS → AG Crime Victim Assistance Division) Once completed, this exchange will allow crime victims to register for updates regarding every stage of a defendant’s criminal case, as opposed to the current system, which only notifies a victim when an offender is released or moved from one correctional institution to another.
- **County Attorney Trial Information.** (County Attorney → ICIS) Once completed, this exchange will allow County Attorneys with a Case Management System (CMS) to directly file a Trial Information (i.e. indictment or charging document) with the court through that CMS.

Total Estimated Cost of the Project:

Project planning began in 2001, and the total estimated cost of project development (from all sources of funds) through FY2022 is expected to be \$22,500,000. Total expenditures for the project through the end of fiscal year 2016 are \$13,700,240.42.

List of All Revenue Sources Used for the Project:

The only current source of funding for CJIS is state appropriation. Past revenue sources at the inception of the project included the National Governor’s Association (NGA) Grants, Byrne Grants, Justice Assistance Grants (JAG), National Criminal History Improvement Program (NCHIP) Grants, Return on Investment (ROI) Funds, Homeland Security Funds, Local Government Innovation Funds, Agency Operating Funds, and American Recovery and Reinvestment Act (ARRA) Funds.

Financial Summary :

ITEM	FY 2013	FY 2014	FY 2015	FY 2016	Expected FY17
Travel	\$ 137.00	\$ 4,213.01	\$ 1,160.91	\$ 12,747.41	\$ 4,000.00
Office supplies	\$ 67.62	\$ 0	\$ 918.63	\$ 13.75	\$ 100.00
Reimbursements to Other Agencies	\$ 78,816.04	\$ 61,888.26	\$ 44,992.35	\$ 51,593.51	\$ 50,000.00
IT Outside Services	\$ 980,159.76	\$ 1,063,933.32	\$ 1,033,452.50	\$ 1,100,332.34	\$ 1,200,000.00
Software & Equip	\$ 177,321.03	\$ 117,932.17	\$ 498,609.10	\$ 201,955.24	\$ 250,000.00
Comms/Other	\$ 0	\$ 26,839.20	\$ 52,687.50	\$ 1,309.69	\$ 45,900.00
Total	\$ 1,236,501.45	\$ 1,274,805.96	\$ 1,631,820.94	\$ 1,367,951.94	\$ 1,550,000.00

Estimated Completion Date of the Project:

The estimated project completion date is June 30, 2022, however it is expected that additional exchanges will need to be developed to comply with federal regulations, changes in state laws, and needs of the Judicial Branch, Executive Branch, and local law enforcement agencies. There will also remain a need to update hardware and maintain current software licenses as long as information continues to be exchanged using CJIS. In Iowa, when an exchange of criminal justice information is necessary, CJIS is the first and most cost-effective option.

Appendix

The following agencies utilize CJIS through the TraCS system for the transmission of Criminal Complaints, Traffic Citations, or both. Those agencies transmitting only Complaints are indicated with a subscript₁. Those agencies transmitting only Citations are indicated with a subscript₂. If not so indicated, the agencies submit both Criminal Complaints and Traffic Citations through TraCS, via CJIS.

State Agencies:

DOT - Motor Vehicle Enforcement
DOT - Bureau of Investigation & Identity Protection
DNR
DNR - Parks Bureau
Iowa State Patrol

Carter Lake Police Department
Cedar Falls Police Department
Cedar Rapids Police Department
Centerville Police Department ₁
Cherokee Police Department ₂
Clarion Police Department
Clear Lake Police Department
Clinton Police Department
Clive Police Department
Colfax Police Department
Coralville Police Department
Corydon Police Department
Council Bluffs Police Department
Creston Police Department
Dallas Center Police Department
Davenport Police Department
Dayton Police Department
Decorah Police Department
Denison Police Department
Des Moines Police Department
Dubuque Police Department
Durant Police Department
Dyersville Police Department
Eagle Grove Police Department
Emmetsburg Police Department
Epworth Police Department

Local Police Departments, including State University Police:

Adel Police Department
Albia Police Department
Algona Police Department
Altoona Police Department
Ames Police Department
Anamosa Police Department
Ankeny Police Department
Asbury Police Department
Atlantic Police Department
Bettendorf Police Department
Boone Police Department
Burlington Police Department
Camanche Police Department
Carlisle Police Department
Carroll Police Department

Estherville Police Department
Evansdale Police Department
Fairfield Police Department ₂
Fort Dodge Police Department
Gilbertville Police Department
Glenwood Police Department
Grinnell Police Department
Guthrie Police Department ₁
Hartley Police Department
Hawarden Police Department
Hiawatha Police Department
Hinton Police Department
Hudson Police Department
Humboldt Police Department
Independence Police Department
Indianola Police Department
Iowa City Police Department
Jefferson Police Department
Jesup Police Department
Jewell Police Department
Johnston Police Department
Keota Police Department ₁
Kingsley Police Department
La Porte City Police Department
Lake View Police Department
Le Claire Police Department
Le Mars Police Department
Lisbon Police Department
Manchester Police Department
Manilla Police Department
Manning Police Department
Maquoketa Police Department
Marion Police Department
Mar-Mac Police Department
Marshalltown Police Department
Mason City Police Department
Merrill Police Department
Missouri Valley Police Department
Monroe Police Department

Monticello Police Department
Mount Pleasant Police Department
Mount Vernon Police Department
Moville Police Department
Muscatine Police Department
Nashua Police Department
New Sharon Police Department ₁
Newton Police Department
North Liberty Police Department
Norwalk Police Department
Ogden Police Department
Onawa Police Department ₁
Orange City Police Department
Osceola Police Department
Oskaloosa Police Department
Pella Police Department
Parkersburg Police Department ₂
Paulina Police Department
Pella Police Department
Peosta Police Department ₂
Perry Police Department
Pleasant Hill Police Department
Pleasantville Police Department ₁
Polk City Police Department
Prairie City Police Department ₂
Red Oak Police Department ₂
Remsen Police Department ₂
Sac City Police Department
Sanborn Police Department
Sergeant Bluff Police Department
Seymour Police Department
Shenandoah Police Department
Sioux Center Police Department
Sioux City Police Department
Sioux Rapids Police Department ₂
Spirit Lake Police Department
Storm Lake Police Department ₂
Tabor Police Department ₁
Tama Police Department

Tipton Police Department
University Heights Police Department
Urbandale Police Department
Vinton Police Department
Washington Police Department
Waterloo Police Department
Waukee Police Department
Waukon Police Department
Waverly Police Department
Webster City Police Department
West Branch Police Department
West Burlington Police Department
West Des Moines Police Department
West Liberty Police Department ₂
Windsor Heights Police Department
Winterset Police Department
Iowa State University Police
University of Iowa Public Safety

County Sheriffs:

Adair County Sheriff's Office
Adams County Sheriff's Office ₂
Allamakee County Sheriff's Office
Appanoose County Sheriff's Office
Benton County Sheriff's Office
Black Hawk County Sheriff's Office
Boone County Sheriff's Office
Bremer County Sheriff's Office
Buchanan County Sheriff's Office
Buena Vista County Sheriff's Office
Carroll County Sheriff's Office
Cass County Sheriff's Office
Cedar County Sheriff's Office
Cerro Gordo County Sheriff's Office
Cherokee County Sheriff's Office
Chickasaw County Sheriff's Office
Clarke County Sheriff's Office
Clay County Sheriff's Office
Clayton County Sheriff's Office

Clinton County Sheriff's Office
Crawford County Sheriff's Office
Dallas County Sheriff's Office
Delaware County Sheriff's Office
Des Moines County Sheriff's Office
Dubuque County Sheriff's Office
Emmet County Sheriff's Office
Fayette County Sheriff's Office ₂
Franklin County Sheriff's Office
Fremont County Sheriff's Office
Greene County Sheriff's Office
Grundy County Sheriff's Office
Guthrie County Sheriff's Office
Hamilton County Sheriff's Office ₂
Hardin County Sheriff's Office ₂
Harrison County Sheriff's Office ₂
Henry County Sheriff's Office
Howard County Sheriff's Office ₂
Humboldt County Sheriff's Office
Ida County Sheriff's Office
Iowa County Sheriff's Office
Jackson County Sheriff's Office
Jasper County Sheriff's Office
Jefferson County Sheriff's Office ₂
Johnson County Sheriff's Office
Jones County Sheriff's Office
Keokuk County Sheriff's Office
Kossuth County Sheriff's Office
Linn County Sheriff's Office
Madison County Sheriff's Office
Mahaska County Sheriff's Office
Marion County Sheriff's Office
Marshall County Sheriff's Office
Mills County Sheriff's Office
Monona County Sheriff's Office
Monroe County Sheriff's Office
Montgomery County Sheriff's Office
O'Brien County Sheriff's Office
Osceola County Sheriff's Office

Plymouth County Sheriff's Office
Polk County Sheriff's Office
Pottawattamie County Sheriff Office
Poweshiek County Sheriff's Office
Ringgold County Sheriff's Office
Sac County Sheriff's Office
Scott County Sheriff's Office
Sioux County Sheriff's Office
Story County Sheriff's Office
Tama County Sheriff's Office
Union County Sheriff's Office

Wapello County Sheriff's Office
Warren County Sheriff's Office
Washington County Sheriff's Office
Wayne County Sheriff's Office
Webster County Sheriff's Office
Winnebago County Sheriff's Office
Winneshiek County Sheriff's Office
Woodbury County Sheriff's Office
Worth County Sheriff's Office
Wright County Sheriff's Office