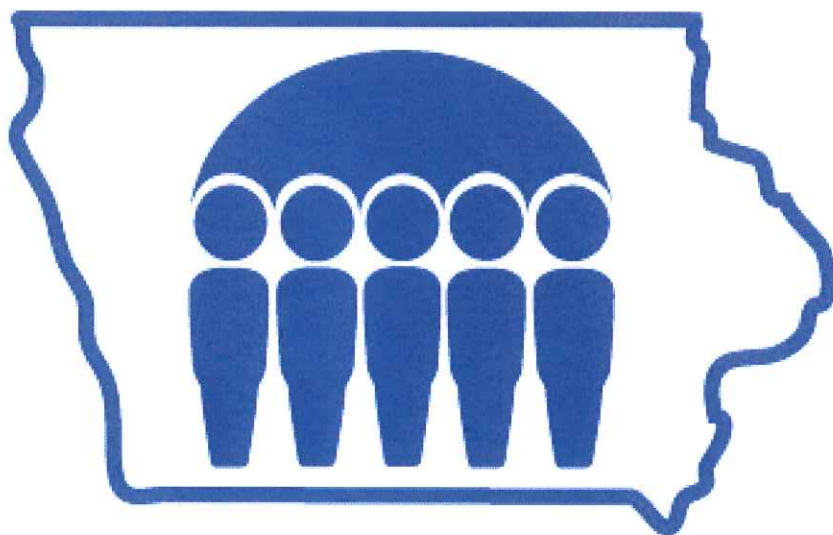


# Iowa Department of Human Services



## *Nursing Facilities Private Room Supplementation Report*

May 2015

## **Introduction**

In 2012 the Iowa Legislature enacted Senate File 2160 which allowed nursing facilities to collect additional payment above the Medicaid payment from residents and families who desire a private room. This is referred to as private room supplementation. The legislation set out numerous requirements that must be met for facilities who supplement the Medicaid rate by charging for a private room.

During the 2014 session of the 85<sup>th</sup> General Assembly, the Iowa legislature enacted House File 2463, which changed the minimum occupancy requirement for facilities eligible to accept private room supplementation, and which required facilities to report data on their usage of this option to the Department of Human Services (DHS) annually by January 15 and for DHS to submit the compiled data to the legislature annually by May 1. This report is filed in accordance with that requirement.

## **Body**

Senate File 2160 added subsection 249A.4 to the Iowa Code. This set out requirements for when private room supplementation may be used and directed DHS to adopt administrative rules to implement the law. After following the normal rulemaking process including an opportunity for public comment, the rules became effective on July 1, 2013.

The rules establish circumstances when private room supplementation is not allowed, including:

- Any time period during which the private room is therapeutically required.
- When no room other than the private room was available.
- When the nursing facility only provides one type of room or all private rooms.
- When the facility's occupancy rate was less than 80 percent (the minimum occupancy level was subsequently reduced to 50 percent in HF 2463).
- As a precondition of admission, expedited admission, or continued stay in a facility.

The nursing facility must also ensure that all appropriate care is provided to all residents regardless of the availability of private room supplementation.

The rules also address the amount that may be charged for private room supplementation. The amount that a nursing facility may charge for a private room is based on the difference between the Medicaid rate for a semi-private room and the facility's private-pay rate for a private room; however, total payment for the private room cannot exceed the average private room rate for each facility.

Additionally, when a facility offers private rooms for a supplemental charge, the facility must inform all residents and their legal representatives of the following:

- That if the resident desires a private room, the resident or resident's family may directly pay the facility for the amount of supplementation.



- The nursing facility's policy if a resident residing in a private room converts from private pay to Medicaid payment but the resident is not willing or able to pay supplementation for the private room.
- A list of the private rooms for which supplementation is available, including a description.
- The process for an individual to take legal responsibility for providing supplementation, including identification of the individual and the extent of the legal responsibility.

The rules also require that nursing facilities document in the resident's record information about any supplemental charges for a private room including the portion of the total charge reimbursed by Medicaid, and the portion of the total charge reimbursed through supplementation.

In 2014, the legislature enacted additional reporting requirements in HF 2463. The department adopted rules which were effective March 1, 2015, to implement this change. The rules require facilities that are utilizing supplementation to report:

- The total number of beds available at the nursing facility, the number of such beds available in private rooms, and the number of such beds available in other types of rooms.
- The average occupancy rate of the facility on a monthly basis.
- The total number of residents for whom supplementation was utilized.
- The average private pay charge for a private room in the nursing facility.
- For each resident for whom supplementation was utilized, the total charge to the resident for the private room, the portion of the total charge reimbursed under the Medicaid program, and the total charge reimbursed through supplementation.

### **Conclusion/Recommendations**

Because the department did not know in advance which facilities were receiving supplementation for private rooms, DHS provided a form for all nursing facilities to complete for calendar year 2014. Facilities that were not charging for private rooms needed only to indicate as such on the form; those that were receiving supplementation were to complete all of the required information. The form was distributed to the nursing facility provider associations who agreed to provide it directly to the facilities.

Because this was the first year for collection of this data, the department extended the deadline for facility reporting to March 30, 2015, in order to give facilities adequate time to complete and submit the form.

Completed forms were received from 120 of the 436 nursing facilities in Iowa, for a response rate of 27.5 percent. Information from Iowa's nursing facility associations indicates that very few facilities are utilizing private room supplementation; as such it is likely that those facilities that did not respond are not charging supplementation for a private room. Beginning in 2015 the department plans to incorporate the data collection form into the cost reporting form that facilities use for setting rates, which should substantially improve the response rate.

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Of the 120 facilities that submitted data, only 16 facilities (13 percent) reported charging a supplemental amount for provision of a private room. There were a total of 21 residents who received private rooms due to supplemental payments. The total amount charged for supplementation was \$100,463.93. Individual totals charged for supplementation ranged from \$2.00 to \$21,076.20. Appendices A and B provide additional detail for all facilities that reported data.

**References**

Appendix A: Details of Submitted Forms for 2014 Nursing Facility Private Room Supplementation

Appendix B: Occupancy Data for Nursing Facilities Receiving Private Room Supplementation

Appendix B  
 2014 Occupancy Data for Nursing Facilities With Private Room Supplementation

NPI Number	Name Of Facility	City	Month	# Of Beds Available			Beds in			Bed Days (Beds* Number of Days in Month)	Total Resident Days (midnight Census)	Occupancy Percentage
				Private Rooms	Private Rooms	Other Rooms	Private Rooms	Semi-Private Rooms	Other Rooms			
1881627065	Arbor Court	Mt. Pleasant	January	62	4	58	0	1,922	1,769	92.04%		
			February	62	4	58	0	1,736	1,586	91.36%		
			March	62	4	58	0	1,922	1,761	91.62%		
			April	62	4	58	0	1,860	1,626	87.42%		
			May	62	4	58	0	1,922	1,692	88.03%		
			June	62	4	58	0	1,860	1,573	84.57%		
			July	62	4	58	0	1,922	1,673	87.04%		
			August	62	4	58	0	1,922	1,677	87.25%		
			September	62	4	58	0	1,860	1,681	90.38%		
			October	62	4	58	0	1,922	1,769	92.04%		
			November	62	4	58	0	1,860	1,713	92.10%		
			December	62	4	58	0	1,922	1,764	91.78%		
			Totals							22,630	20,284	
Average				62	4	58	0					

1952307472	Clarksville Skilled Nursing & Rehabilitation Center	Clarksville	January	42	6	36	0	1,302	1,305	100.23%
			February	42	6	36	0	1,302	1,168	99.30%
			March	42	6	36	0	1,302	1,317	101.15%
			April	42	6	36	0	1,302	1,273	101.00%
			May	42	6	36	0	1,302	1,267	97.31%
			June	42	6	36	0	1,302	1,259	99.92%
			July	42	6	36	0	1,302	1,271	97.62%
			August	42	6	36	0	1,302	1,261	96.85%
			September	42	6	36	0	1,302	1,240	98.41%
			October	42	6	36	0	1,302	1,300	99.85%
			November	42	6	36	0	1,302	1,233	97.86%
			December	42	6	36	0	1,302	1,285	98.69%
			Totals							15,330
Average				42	6	36	0			



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NPI Number	Name Of Facility	City	Month	# Of Beds Available			Beds in			Bed Days (Beds* Number of Days in Month)	Total Resident Days (midnight Census)	Occupancy Percentage
				Private Rooms	Other Rooms	Rooms	Private Rooms	Semi-Private Rooms	Other Rooms			
1952465872	Clearview Home	Mt. Ayr	January	82	19	63	0	2,542	2,097	82.49%		
			February	82	19	63	0	2,296	1,879	81.84%		
			March	82	19	63	0	2,542	2,254	88.67%		
			April	82	19	63	0	2,460	2,095	85.16%		
			May	82	19	63	0	2,542	2,208	86.86%		
			June	82	19	63	0	2,460	2,191	89.07%		
			July	82	19	63	0	2,542	2,278	89.61%		
			August	82	19	63	0	2,542	2,259	88.70%		
			September	82	19	63	0	2,460	2,109	85.73%		
			October	82	19	63	0	2,542	2,277	89.58%		
			November	82	19	63	0	2,460	2,147	87.28%		
			December	82	19	63	0	2,542	2,179	85.72%		
			Totals					29,930	25,973	86.76%		
			Average	82	19	63	0					

NPI Number	Name Of Facility	City	Month	# Of Beds Available			Beds in			Bed Days (Beds* Number of Days in Month)	Total Resident Days (midnight Census)	Occupancy Percentage
				Private Rooms	Other Rooms	Rooms	Private Rooms	Semi-Private Rooms	Other Rooms			
1457752297	Golden Age Care Center	Centerville	January									
			February									
			March									
			April									
			May									
			June									
			July									
			August									
			September									
			October									
			November									
			December	70	18	52	0	31	57	74.30%		
			Totals									
			Average									

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NPI Number	Name Of Facility	City	Month	# Of Beds			Beds in			Bed Days (Beds* Number of Days in Month)	Total Resident Days (midnight Census)	Occupancy Percentage
				Available	Private Rooms	Other Rooms	Private Rooms	Semi-Private Rooms	Other Rooms			
1174504005	Grandview Heights, Inc.	Marshalltown	January	109	27	82	0	3,379	3,181	94.14%		
			February	109	27	82	0	3,052	2,902	95.09%		
			March	109	27	82	0	3,379	3,059	90.53%		
			April	109	27	82	0	3,270	2,842	86.97%		
			May	109	27	82	0	3,379	2,969	87.87%		
			June	109	27	82	0	3,270	2,874	87.89%		
			July	109	27	82	0	3,379	3,079	91.12%		
			August	109	27	82	0	3,379	3,018	89.32%		
			September	109	27	82	0	3,270	2,886	88.26%		
			October	109	27	82	0	3,379	3,027	89.58%		
			November	109	27	82	0	3,270	2,867	87.68%		
			December	109	27	82	0	3,379	2,692	79.67%		
			Totals						39,785	35,396	88.97%	
Average				109	27	82	0					

NPI Number	Name Of Facility	City	Month	# Of Beds			Beds in			Bed Days (Beds* Number of Days in Month)	Total Resident Days (midnight Census)	Occupancy Percentage
				Available	Private Rooms	Other Rooms	Private Rooms	Semi-Private Rooms	Other Rooms			
1578674016	Heritage House, A WesleyLife Community	Atlantic	January	61	39	22	0	1,891	1,872	99.00%		
			February	61	39	22	0	1,708	1,646	96.37%		
			March	61	39	22	0	1,891	1,777	93.97%		
			April	61	39	22	0	1,830	1,754	95.85%		
			May	61	39	22	0	1,891	1,854	98.04%		
			June	61	39	22	0	1,830	1,805	98.63%		
			July	61	39	22	0	1,891	1,821	96.30%		
			August	61	39	22	0	1,891	1,762	93.18%		
			September	61	39	22	0	1,830	1,773	96.89%		
			October	61	39	22	0	1,891	1,861	98.41%		
			November	61	39	22	0	1,830	1,770	96.72%		
			December	61	39	22	0	1,891	1,864	98.57%		
			Totals						22,265	21,559	96.83%	
Average				61	39	22	0					

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NPI Number	Name Of Facility	City	Month	# Of Beds			Beds in			Bed Days (Beds* Number of Days in Month)	Total Resident Days (midnight Census)	Occupancy Percentage
				Available	Private	Other	Private	Semi-Private	Other			
1851457667	IOOF Home & Community Therapy Center	Mason City	January	82	16	66	0	2,542	2,478	97.48%		
			February	82	16	66	0	2,296	2,170	94.51%		
			March	82	16	66	0	2,542	2,408	94.73%		
			April	82	16	66	0	2,460	2,338	95.04%		
			May	82	16	66	0	2,542	2,406	94.65%		
			June	82	16	66	0	2,460	2,373	96.46%		
			July	82	16	66	0	2,542	2,474	97.32%		
			August	82	16	66	0	2,542	2,477	97.44%		
			September	82	16	66	0	2,460	2,333	94.84%		
			October	82	16	66	0	2,542	2,388	93.94%		
			November	82	16	66	0	2,460	2,232	90.73%		
			December	82	16	66	0	2,542	2,306	90.72%		
			Totals					29,930	28,383	94.83%		
Average				82	16	66	0					

NPI Number	Name Of Facility	City	Month	# Of Beds			Beds in			Bed Days (Beds* Number of Days in Month)	Total Resident Days (midnight Census)	Occupancy Percentage
				Available	Private	Other	Private	Semi-Private	Other			
1548386675	Longhouse - Northshire	Spencer	January	125	21	89	15	3,875	3,015	77.81%		
			February	125	21	89	15	3,500	2,760	78.86%		
			March	125	21	89	15	3,875	3,029	78.17%		
			April	125	21	89	15	3,750	2,905	77.47%		
			May	125	21	89	15	3,875	3,072	79.28%		
			June	125	21	89	15	3,750	2,982	79.52%		
			July	125	21	89	15	3,875	3,166	81.70%		
			August	125	21	89	15	3,875	3,117	80.44%		
			September	125	21	89	15	3,750	2,964	79.04%		
			October	125	21	89	15	3,875	2,986	77.06%		
			November	125	21	89	15	3,750	2,884	76.91%		
			December	125	21	89	15	3,875	2,852	73.60%		
			Totals					45,625	35,732	78.32%		
Average				125	21	89	15					



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NPI Number	Name Of Facility	City	Month	# Of Beds Available			Beds in			Bed Days (Beds* Number of Days in Month)	Total Resident Days (midnight Census)	Occupancy Percentage
				Private Rooms	Private Rooms	Other Rooms	Private Rooms	Semi-Private Rooms	Other Rooms			
1750379962	Longview Home	Missouri Valley	January	112	11	101	0	3,472	3,099	89.26%		
			February	112	11	101	0	3,136	2,751	87.72%		
			March	112	11	101	0	3,472	3,070	88.42%		
			April	112	11	101	0	3,360	3,043	90.57%		
			May	112	11	101	0	3,472	3,184	91.71%		
			June	112	11	101	0	3,360	3,106	92.44%		
			July	112	11	101	0	3,472	3,251	93.63%		
			August	112	11	101	0	3,472	3,157	90.93%		
			September	112	11	101	0	3,360	2,991	89.02%		
			October	112	11	101	0	3,472	3,102	89.34%		
			November	112	11	101	0	3,360	2,976	88.57%		
			December	112	11	101	0	3,472	3,045	87.70%		
Totals							40,880	36,775	89.96%			
Average			112	11	101	0						

NPI Number	Name Of Facility	City	Month	# Of Beds Available			Beds in			Bed Days (Beds* Number of Days in Month)	Total Resident Days (midnight Census)	Occupancy Percentage
				Private Rooms	Private Rooms	Other Rooms	Private Rooms	Semi-Private Rooms	Other Rooms			
1669450615	Plymouth Manor Care Center	Lemars	January	65	18	47	0	2,015	1,587	78.76%		
			February	65	18	47	0	1,820	1,486	81.65%		
			March	65	18	47	0	2,015	1,721	85.41%		
			April	65	18	47	0	1,950	1,645	84.36%		
			May	65	18	47	0	2,015	1,669	82.83%		
			June	65	18	47	0	1,950	1,605	82.31%		
			July	65	18	47	0	2,015	1,675	83.13%		
			August	65	18	47	0	2,015	1,798	89.23%		
			September	65	18	47	0	1,950	1,635	83.85%		
			October	65	18	47	0	2,015	1,726	85.66%		
			November	65	18	47	0	1,950	1,618	82.97%		
			December	65	18	47	0	2,015	1,584	78.61%		
Totals							23,725	19,749	83.24%			
Average			65	18	47	0						

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NPI Number	Name Of Facility	City	Beds in					# Of Beds Available	Month	Bed Days (Beds* Number of Days in Month)			Total Resident Days (midnight Census)	Occupancy Percentage
			Private Rooms	Semi-Private Rooms	Other Rooms	in Month	in Month							
1841297074	Sheffield Care Center	Sheffield	9	3	6	0	279	1,088	389.95%					
			8	2	6	0	224	1,032	0.00%					
			10	2	8	0	310	1,060	341.94%					
			10	2	8	0	300	0	0.00%					
			9	0	7	0	279	1,187	425.45%					
			7	1	5	0	210	1,081	514.76%					
			10	2	7	0	310	1,061	342.26%					
			9	2	7	0	279	1,071	383.87%					
			11	4	7	0	330	1,015	307.58%					
			10	3	7	0	310	1,041	335.81%					
			10	2	8	0	300	1,014	338.00%					
			11	2	8	0	341	1,006	295.01%					
			Totals						3,472	11,656	335.71%			
			Average			9.5	1.9	7	0					

NPI Number	Name Of Facility	City	Beds in					# Of Beds Available	Month	Bed Days (Beds* Number of Days in Month)			Total Resident Days (midnight Census)	Occupancy Percentage
			Private Rooms	Semi-Private Rooms	Other Rooms	in Month	in Month							
1083798086	Solon Nursing Care Center	Solon	92	48	44	0	2,852	2,682	94.04%					
			92	48	44	0	2,576	2,321	90.10%					
			92	48	44	0	2,852	2,567	90.01%					
			92	48	44	0	2,760	2,593	93.95%					
			92	48	44	0	2,852	2,753	96.53%					
			92	48	44	0	2,760	2,611	94.60%					
			92	48	44	0	2,852	2,768	97.05%					
			92	48	44	0	2,852	2,682	94.04%					
			92	48	44	0	2,760	2,569	93.08%					
			92	48	44	0	2,852	2,557	89.66%					
			92	48	44	0	2,760	2,579	93.44%					
			92	48	44	0	2,852	2,667	93.51%					
			Totals						33,580	31,349	93.36%			
			Average			92	48	44	0					

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NPI Number	Name Of Facility	City	Beds in						Total Resident Days (midnight Census)	Occupancy Percentage
			# Of Beds Available	Private Rooms	Semi-Private Rooms	Beds in Other Rooms	Bed Days (Beds* Number of Days in Month)	Total Resident Days (midnight Census)		
1053338566	Strawberry Point Lutheran Home	Strawberry Point	58	22	36	0	1,798	1,616	89.88%	
			58	22	36	0	1,624	1,452	89.41%	
			58	22	36	0	1,798	1,691	94.05%	
			58	22	36	0	1,740	1,630	93.68%	
			58	22	36	0	1,798	1,597	88.82%	
			58	22	36	0	1,740	1,579	90.75%	
			58	22	36	0	1,798	1,614	89.77%	
			58	22	36	0	1,798	1,610	89.54%	
			58	22	36	0	1,740	1,541	88.56%	
			58	22	36	0	1,798	1,667	92.71%	
			58	22	36	0	1,740	1,607	92.36%	
			58	22	36	0	1,798	1,629	90.60%	
			Totals				21,170	19,233		
			Average				36	0		

NPI Number	Name Of Facility	City	Beds in						Total Resident Days (midnight Census)	Occupancy Percentage
			# Of Beds Available	Private Rooms	Semi-Private Rooms	Beds in Other Rooms	Bed Days (Beds* Number of Days in Month)	Total Resident Days (midnight Census)		
1215919949	Thomas Rest Haven	Coon Rapids	57	9	28	0	1,767	1,338	75.72%	
			57	9	28	0	1,596	1,338	74.44%	
			57	9	28	0	1,767	1,347	76.23%	
			57	9	28	0	1,710	1,174	68.65%	
			57	9	28	0	1,767	1,120	63.38%	
			57	9	28	0	1,710	1,217	71.17%	
			57	9	28	0	1,767	1,278	72.33%	
			57	9	28	0	1,767	1,239	70.12%	
			57	9	28	0	1,710	1,234	72.16%	
			57	9	28	0	1,767	1,309	74.08%	
			57	9	28	0	1,710	1,262	73.80%	
			57	9	28	0	1,767	1,369	77.48%	
			Totals				20,805	15,075		
			Average				28	0		



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NPI Number	Name Of Facility	City	Month	# Of Beds			Beds in			Bed Days (Beds* Number of Days in Month)	Total Resident Days (midnight Census)	Occupancy Percentage
				Available	Private	Other	Private	Semi-Private	Other			
111491397	Vista Woods Care Center	Ottumwa	January	60	16	44	0	1,860	1,749	94.03%		
			February	60	16	44	0	1,680	1,417	84.35%		
			March	60	16	44	0	1,860	1,629	87.58%		
			April	60	16	44	0	1,800	1,595	88.61%		
			May	60	16	44	0	1,860	1,681	90.38%		
			June	60	16	44	0	1,800	1,564	86.89%		
			July	60	16	44	0	1,860	1,772	95.27%		
			August	60	16	44	0	1,860	1,831	98.44%		
			September	60	16	44	0	1,800	1,737	96.50%		
			October	60	16	44	0	1,860	1,799	96.72%		
			November	60	16	44	0	1,800	1,683	93.50%		
			December	60	16	44	0	1,860	1,702	91.51%		
			Totals							21,900	20,159	92.05%
Average				60	16	44	0					

NPI Number	Name Of Facility	City	Month	# Of Beds			Beds in			Bed Days (Beds* Number of Days in Month)	Total Resident Days (midnight Census)	Occupancy Percentage
				Available	Private	Other	Private	Semi-Private	Other			
1508843947	Wesley Acres, A Wesley Life Community	Des Moines	January	80	48	32	0	2,480	2,056	92.90%		
			February	80	48	32	0	2,240	1,925	85.94%		
			March	80	48	32	0	2,480	2,195	88.51%		
			April	80	48	32	0	2,400	2,170	90.42%		
			May	80	48	32	0	2,480	2,186	88.15%		
			June	80	48	32	0	2,400	2,177	90.71%		
			July	80	48	32	0	2,480	2,340	94.35%		
			August	80	48	32	0	2,480	2,294	92.50%		
			September	80	48	32	0	2,400	2,165	90.21%		
			October	80	48	32	0	2,480	2,194	88.47%		
			November	80	48	32	0	2,400	2,169	90.38%		
			December	80	48	32	0	2,480	2,193	88.43%		
			Totals							29,200	26,064	89.26%
Average				80	48	32	0					



# Iowa Department of Human Services

Terry E. Branstad  
Governor

Kim Reynolds  
Lt. Governor

Charles M. Palmer  
Director

June 11, 2015

Michael Marshall  
Secretary of Senate  
State Capitol Building  
LOCAL

Carmine Boal  
Chief Clerk of the House  
State Capitol Building  
LOCAL

Dear Mr. Marshall and Ms. Boal:

Enclosed please find copies of a report to the General Assembly relative to Nursing Facilities Private Room Supplementation.

This report was prepared pursuant to the directive contained in Iowa Code section 249A.4(10).

Please feel free to contact me if you need additional information.

Sincerely,

Jennifer Davis Harbison  
Policy Advisor

JDH/dg

Enclosure

cc: Terry E. Branstad, Governor  
Senator Amanda Ragan  
Senator David Johnson  
Representative David Heaton  
Representative Lisa Heddens  
Legislative Service Agency  
Aaron Todd, Senate Democrat Caucus  
Josh Bronsink, Senate Republican Caucus  
Carrie Malone, House Republican Caucus  
Zeke Furlong, House Democrat Caucus



# Iowa Department of Human Services

Terry E. Branstad  
Governor

Kim Reynolds  
Lt. Governor

Charles M. Palmer  
Director

June 11, 2015

The Honorable Terry E. Branstad  
Governor  
State Capitol Building  
LOCAL

Dear Governor Branstad:

Enclosed please find a copy of a report to the General Assembly relative to Nursing Facilities Private Room Supplementation.

This report was prepared pursuant to to the directive contained in Iowa Code section 249A.4(10).

Please feel free to contact me if you need additional information.

Sincerely,

A handwritten signature in black ink that reads "Jennifer Davis Harbison".

Jennifer Davis Harbison  
Policy Advisor

Enclosure

cc: Michael Marshall, Secretary Iowa Senate  
Carmine Boal, Chief Clerk of the House