

Report on Nonreversion of Funds

DECEMBER 2022

I. 2020 FUNDS

The purpose of this section is to satisfy the requirements found in 2020 Iowa Acts Chapter 1121 Section 43. (Carry forward from SFY20 to SFY21)

Sec. 43. REPORT ON NONREVERSION OF FUNDS. The department of human services shall report the expenditure of any moneys for which nonreversion authorization was provided for the fiscal year beginning July 1, 2019, and ending June 30, 2020, for the family investment program account, state supplementary assistance, child and family services, the mental health institutes, field operations, or general administration to the chairpersons and ranking members of the joint appropriations subcommittee on health and human services, the legislative services agency, and the four legislative caucus staffs on a quarterly basis beginning October 1, 2020.

Nonreversion amounts:

Appropriation	Nonreversion Amount	Portion of Nonreversion Amount due to COVID
Family Investment Program Account (FIP)	\$9,028,372	\$4,416,214
State Supplementary Assistance	\$1,888,492	\$0
Child and Family Services	\$11,171,109	\$3,396,070
Cherokee Mental Health Institute	\$0	N/A
Independence Mental Health Institute	\$0	N/A
Field Operations	\$5,226,469	\$518,499
General Administration	\$220,941	\$24,302

Detail regarding use of nonreversion moneys

The Department will continue to report on these funds until they are fully spent. The spending plan for SFY20 to SFY21 carry forward, including estimated total expenditures for SFY21 through SFY23, is presented in the table attached to this report. Carry forward funds are being used for one-time expenditures with limited exceptions. The Department expects to cover any ongoing costs with status quo appropriations.

II. 2021 FUNDS

The purpose of this section is to satisfy the requirements found in 2021 Iowa Acts Chapter 182 Section 65. (Carry forward from SFY21 to SFY22)

Sec. 65. REPORT ON NONREVERSION OF FUNDS. The department of human services shall report the expenditure of any moneys for which nonreversion authorization was provided for the fiscal year beginning July 1, 2020, and ending June 30, 2021, for field operations or general administration to the general assembly on a quarterly basis beginning October 1, 2021.

Nonreversion amounts:

Appropriation	Amount	Explanation
Field Operations	\$3,986,672	The year-end balance is primarily due to the following: <ol style="list-style-type: none"> 1. Salary savings resulting from employee health insurance increases being lower than budgeted and savings from staff attrition 2. Lower support spending; primarily in technology 3. Increased revenue from other sources
General Administration	\$812,574	The year-end balance is primarily due to the following: <ol style="list-style-type: none"> 1. Vacancy savings 2. Lower support spending; primarily in technology, postage, and travel 3. Increased revenue from other sources 4. Salary savings resulting from employee health insurance increases being lower than budgeted

Detail regarding use of nonreversion moneys

Field Operations

The Department did not spend these funds in SFY22 but has received carry-forward authority so funds can be spent on one-time projects in SFY23. Funds will be used for various administrative efforts including the following:

- A comprehensive protective services assessment
- Potential overtime related to the COVID public health emergency unwinding efforts
- Mobile technology upgrades for social workers
- Public assistance oversight assessment

To date, \$237,336 has been spent on the comprehensive protective services assessment.

General Administration

The Department did not spend these funds in SFY22 but has received carry-forward authority so funds can be spent on one-time projects in SFY23. Funds will be used for various administrative efforts including the following:

- One-time IT expenses
- HHS employee recruitment campaign in an effort to increase applications for employment with the Department
- Continued agency alignment technical assistance/consulting
- Cost allocation plan redesign project
- Development of an HHS advanced planning document for federal approval of agency technology modernization
- The Department is also pursuing workspace consolidation in conjunction with the HHS alignment effort

To date, no funds have been spent because all are currently reserved for the workspace consolidation effort.

Activity	Description	FY21 State Share	FY22 State Share	FY23 State Share	One-Time, Limited ongoing or Ongoing*
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* Limited ongoing needs will be covered by existing appropriations at status quo levels

FAMILY INVESTMENT PROGRAM ACCOUNT

\$9,028,372

Data Center Move	OCIO is moving the Hoover data center and DHS will be charged for its share of the cost. The department plans to use FIP carry-forward funds to cover this one-time expense.	\$ 367,859	\$ 654,556	\$ 1,067,816	One-Time	
IT Modernization	Continued upgrade and modernization of information technology systems will generate long-term benefits. Projects being considered are listed to the right.	Workday Implementation	\$ 1,485	\$ 782,502	\$ 365,479	One-Time
		Corporate Projects Consulting	\$ -	\$ -	\$ -	Limited
		ServiceNow Implementation	\$ -	\$ 87,899	\$ -	One-Time
		Replace Project Online	\$ -	\$ 393	\$ -	One-Time
		SQL Conversion Project	\$ -	\$ 256,175	\$ -	One-Time
		ADO Enterprise Project	\$ -	\$ 27,115	\$ -	One-Time
		Human Centric Design	\$ -	\$ 158,893	\$ -	One-Time
		Datawarehouse Conversion	\$ -	\$ 3,342	\$ -	One-Time
		Enterprise Architecture	\$ -	\$ 15,322	\$ -	One-Time
		Agency Dashboard	\$ -	\$ 149,165	\$ -	One-Time
		IT Project Management	\$ -	\$ 117,546	\$ -	One-Time
		PC Replacements	\$ -	\$ 88,811	\$ -	One-Time
		VX Rack Lease	\$ 1,100,956	\$ 1,100,956	\$ -	Limited
ELIAS Project	Includes costs to hire a vendor to assist with the development of a RFP to incorporate FIP and SNAP eligibility in the ELIAS system.	\$ -	\$ -	\$ -	One-Time	
Contracting Software	The department's current contracting software is no longer supported. New software will be phased in beginning in Summer 2021; the updated system offers broader functionality that will greatly benefit tracking of contract actions for the Department's approximately 1,500 service contracts.	\$ -	\$ 21,657	\$ -	One-Time	
Cost Allocation Review RFP/Contract	The department is considering contracting with a specialized vendor to review its public assistance cost allocation plan to ensure current cost allocation methodologies are sound and federal funds are maximized.	\$ -	\$ -	\$ -	One-Time	

Activity	Description	FY21 State Share	FY22 State Share	FY23 State Share	One-Time, Limited ongoing or Ongoing*
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Business Process Redesign RFP/Contract	The Business Process Redesign (BPR) project will thoroughly assess the current public assistance eligibility determination process and identify strategies to increase accuracy, efficiency, and effectiveness across all Agency-issued public assistance programs. This includes actions to obtain or maintain compliance with FNS National Accuracy Clearinghouse (NAC) final rules within 30 days of release by FNS as well as recommendations for improved efficiency in asset verification and data validation.	\$ -	\$ 259,378	\$ -	One-Time
Rent Reimbursement Up-Front IT Work	This includes IT costs associated with the transition of the Rent Reimbursement program from the Department of Revenue to the Department of Human Services. The current IDR mainframe system is integrated into their other systems so there is no way to shift the existing functionality without a full rewrite. DHS plans to develop a new web application to provide this functionality.	\$ 143,918	\$ 1,119,121	\$ 698,219	One-Time
IDHS/IDPH Realignment	The purpose is to realign and integrate programs, practices and policies to improve delivery of services and most effectively leverage available funding sources. Costs include vendor contracts, space planning, technology integration and staff.	\$ 33,158	\$ 406,650	\$ -	One-Time
STATE EXPENDITURE TOTAL		\$ 1,647,376	\$ 5,249,481	\$ 2,131,515	
REMAINING FIP BALANCE (HAS CARRY-FORWARD AUTHORITY FROM SFY22 TO SFY23)		\$7,380,996	\$2,131,515	\$0	

STATE SUPPLEMENTARY ASSISTANCE

\$1,888,492

SNAP Payment Error Rate	FFY18 Reinvestment Obligation	\$ 638,128	\$ 258,728	\$ -	Ongoing
	FFY19 Reinvestment Obligation, including BPR related to SNAP	\$ -	\$ 529,006	\$ 462,630	One-Time
STATE EXPENDITURE TOTAL		\$ 638,128	\$ 787,734	\$ 462,630	
REMAINING SSA BALANCE (HAS CARRY-FORWARD AUTHORITY FROM SFY22 TO SFY23)		\$1,250,364	\$462,630	\$0	

CHILD AND FAMILY SERVICES

\$11,171,109

Kinship Caregiver Program	DHS has long sought to have children placed with relatives and kin. However, unlicensed relatives have been unable to receive payment to care for such children. The Kinship Caregiver Program allows relatives to receive a \$300 a month stipend for each child in their care. The caregiver would be invited to participate in the foster care licensing process in the first two months they are caring for their kin, and they would be able to receive the Kinship Caregiver Payment for up to six months. The expected start date for the program is now 7/1/2021 and it will be funded with carry-forward funds in SFY22, but then with ongoing funding from the Child and Family Services appropriation in future years. The department will fund this program within the existing appropriation with no new funds requested.	\$ -	\$ 2,132,700	\$ -	One-Time
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Activity	Description	FY21 State Share	FY22 State Share	FY23 State Share	One-Time, Limited ongoing or Ongoing*
* Limited ongoing needs will be covered by existing appropriations at status quo levels					
Child Welfare Provider Training Academy	The department received authority during the 2020 session to carry-forward the remaining portion of the \$147,000 child welfare provider training academy allocation and approximately \$10,000 of the \$11.2 million Child and Family Services balance is associated with these activities. This will fund training delayed as a result of the COVID pandemic.	\$ 10,438	\$ -	\$ -	One-Time
Group Care (QRTP) and Shelter Care Payment Increase	Residential providers have submitted a formal request to temporarily increase the daily rate for QRTP and shelter care due to the increased cost of providing additional hourly staff while residents are not in school (due to the COVID pandemic). Many residents are attending school virtually and/or in a hybrid model. This translates into a higher cost of staffing per youth. The department is raising the daily rate by \$40 per bed day utilized.	\$ 2,402,490	\$ -	\$ -	One-Time
FACS Replacement Project	During the 2019 legislative session, the department received \$5.5 million from the Technology Reinvestment Fund for the replacement of the family and children services (FACS) system. FACS is currently the primary child welfare system used by DHS case managers for ongoing case management and also serves as a billing and payment mechanism for providers. This initial funding will not cover the cost of the entire project so the department would like to reserve the remaining non-reverted funds in an effort to avoid future funding requests.		\$ -	\$ 6,625,481	One-Time
STATE EXPENDITURE TOTAL		\$ 2,412,928	\$ 2,132,700	\$ 6,625,481	
REMAINING CFS BALANCE (HAS CARRY-FORWARD AUTHORITY FROM SFY22 TO SFY23)		\$8,758,181	\$6,625,481	\$0	

FIELD OPERATIONS

\$5,226,469

Derecho Recovery	Used to cover expenses (travel, overtime, etc.) associated with the disaster supplemental nutrition assistance program (D-SNAP) provided to Iowa residents impacted by the Derecho.	\$ 198,690	\$ -	\$ -	One-Time
Field Staffing	To maintain existing staffing levels and to fund higher wages resulting from cost of living adjustments and merit increases.	\$ 5,027,779	\$ -	\$ -	Ongoing
STATE EXPENDITURE TOTAL		\$ 5,226,469	\$ -	\$ -	
REMAINING FIELD BALANCE		\$0	\$0	\$0	

Activity	Description	FY21 State Share	FY22 State Share	FY23 State Share	One-Time, Limited ongoing or Ongoing*
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* Limited ongoing needs will be covered by existing appropriations at status quo levels

GENERAL ADMINISTRATION

\$220,941

Racial Equity/Inclusion Training	Workshops for DHS leadership to understand disparate outcomes in the communities the department serves.	\$ 2,852	\$ -	\$ -	One-Time
Department Dashboard Project	The department is in the process of creating public facing expenditure and benefit dashboards with the goal of increasing understanding and confidence in the quality of services being provided by DHS.	\$ 129,643	\$ -	\$ -	Limited
Learnsoft Implementation Project	Loading content related to Employee Handbook, State of Iowa Handbook, Statewide Sexual Harassment Training, HIPAA training for all DHS employees.	\$ 9,154	\$ -	\$ -	Limited
Learnsoft Trainings	Mandatory Reporter Training via Learnsoft.	\$ 79,292	\$ -	\$ -	Limited
STATE EXPENDITURE TOTAL		\$ 220,941	\$ -	\$ -	
REMAINING GENERAL ADMIN BALANCE		\$0	\$0	\$0	