# STATE OF IOWA DEPARTMENT OF Health and Human services

Managed Care Organization (MCO) Annual Performance Report SFY2022 (July 2021 - June 2022)

Published December 2022

## Contents

This report is based on requirements of **2016 lowa Acts Section 1139**. The legislature grouped these reports into three main categories: Consumer Protection, Outcome Achievement, and Program Integrity.

The Department grouped the managed care reported data in this publication as closely as possible to **House File 2460** categories but has made some alterations to ease content flow and data comparison. This publication content flows as follows:

Executive Summary	3
Managed Care Organization (MCO) Member Summary	5
MCO Financial Summary	7
Claims Universe	
Claims Summary (Non-Pharmacy)	11
Claims Summary (Pharmacy)	15
Prior Authorizations	19
Grievances and Appeals	23
MCO Care Quality and Outcomes	25
MCO Children Summary	28
Long Term Services - Care Quality and Outcomes	32
Call Center Performance Metrics	40
Provider Network Access	44
MCO Program Integrity	46
National Committee for Quality Assurance (NCQA) - Health Plan Ratings	47
Healthcare Effectiveness Data and Information Set (HEDIS)	48
CAHPS Survey Results	50
External Quality Review (EQR) Technical Reports	52
Appendix: Glossary	53
Appendix: Oversight Entities	60

#### **Executive Summary**

This report is based on State Fiscal Year (SFY) 2022 and includes the information for the Iowa Medicaid Managed Care Organizations (MCOs): Amerigroup (AGP) and Iowa Total Care (ITC)

#### Notes about the reported data:

• This annual report is focused on key descriptors and measures that provide information about the managed care implementation and operations.

• The reports are largely based on managed care claims data. Because of this, the data will not be complete until a full 180 days has passed since the period reported. However, based on our knowledge of claims data this accounts for less than 15% of the total claim volume for that reporting period.

• Data pulled on other dates may not reflect the same numbers due to reinstatements and eligibility changes.

• All encounter data is provided "as is". The IME takes measures to attempt to ensure the accuracy, completeness, and reliability of the data. However, users accept the quality of the data they receive and acknowledge that there may be errors, omissions, or inaccuracies in the data provided. Further, the IME is not responsible for the user's interpretation, misinterpretation, use or misuse of the data. The IME does not warrant that the data meets the user's needs or expectations.

• The Medical Loss Ratio (MLR) information is reflected as directly reported by the MCOs.

• The Department validates the data by looking at available fee-for-service historical baselines, encounter data, and by reviewing the source data provided by the MCOs.

#### **2022** Annual Report Highlights

#### National Committee for Quality Assurance (NCQA) - 2022 Health Plan Ratings:

- NCQA published their 2022 Health Plan Ratings on September 15, 2022 (e.g. National Report Cards)
  - o Amerigroup received an overall star rating of **4.0** out of **5.0** stars
  - o lowa Total Care received an overall star rating of **3.5** out of **5.0** stars
- Reference new page for additional information and links to NCQA website (p. 47)

#### Rx and Non-Rx Paid & Denied Claims:

- Overall claims volumes between SFY21 & SFY22 increased by 1.9 Million or 6.84%
  - o SFY21: 27.77 Million
  - o SFY22: 29.67 Million
- Both MCOs exceeded contractual requirements for percentages of claims paid within 30/45 days for all 12 months of SFY22

#### **Prior Authorizations (PAs)**:

- Overall number of prior authorizations increased between SFY21 & SFY22 by 6,210 or 0.87%
  - o SFY21: 714,593
  - o SFY22: 720,803

#### Grievances:

- Overall number of grievances increased between SFY21 & SFY22 by 318 or 12.55%
  - o SFY21: 2,534
  - o SFY22: 2,852

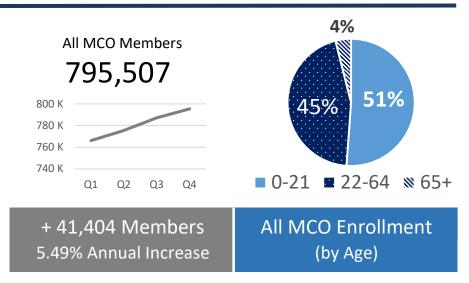
#### **Provider Network Access Summary:**

- MCOs met 100% standard for Adult Primary Care Provider (PCP) within 30 minutes or 30 miles
- MCOs met 100% standard for Pediatric Primary Care Provider (PCP) within 30 minutes or 30 miles

## MCO Member Summary - All MCO Counts

Managed Care Organizations (MCOs) offer health insurance benefits for those adults and families that qualify for the IA Health Link (Medicaid) and the Healthy and Well Kids in Iowa (Hawki) programs.

In Iowa, almost 95% of the Medicaid population is covered by an MCO. Populations not covered by MCOs are provided coverage through the state's Fee-For-Service (FFS) program.



**Data Notes**: June 2021 enrollment data as of July, 2021. The "Average" column below represents a four-quarter rolling average while the "Distinct" column represents the total number of unique individuals appearing within populations at least once during the past four-quarters.

MCO Member Summary - Overall Counts	766,267	775,507	787,187	795,507	781,117	832,477
0-21	397,383	400,213	404,569	407,098	402,316	423,377
22-64	338,971	345,001	351,867	356,845	348,171	373,361
65+	29,913	30,293	30,751	31,564	30,630	35,739
Fee-For-Service (FFS) - Non MCO Enrollees	45,062	46,254	46,896	47,940	46,538	51,721
Significant Change in Data? (+/-) If Yes, explain:	No X	Yes			edicaid Population year distinct count	884,198

## **MCO Member Summary**

Amerigroup	SFY22 Q1	SFY22 Q4	💙 iowa total care.	SFY22 Q1	SFY22 (
1 3					
All Members - by MCO	445,169	455,273	All Members - by MCO	321,098	340,2
Traditional Medicaid	273,370	280,403	Traditional Medicaid	198,160	210,2
Wellness Plan - IHAWP/Expansion	124,002	129,728	Wellness Plan - IHAWP/Expansion	100,062	108,1
M-CHIP - Expansion	7,479	35,300	M-CHIP - Expansion	6,325	6,7
Healthy and Well Kids in Iowa (Hawki)	40,318	9,842	Healthy and Well Kids in Iowa (Hawki)	16,551	15,0
MCO Member Market Share	57.4%	57.2%	MCO Member Market Share	41.9%	42.
Disenrolled	1,157	517	Disenrolled	914	3
Long-Term Service & Support (LTSS)	22,416	21,436	Long-Term Service & Support (LTSS)	14,843	14,6
HCBS Waivers	68.0%	69.0%	HCBS Waivers	64.5%	65.
Facility Based Services	32.0%	31.0%	Facility Based Services	35.5%	34.
HCBS Waivers <sup>1</sup>	15,237	14,785	HCBS Waivers <sup>1</sup>	9,571	9,58

- Reference p. 23-24 for HCBS waiver
and service plan enrollment

Facility Based Services <sup>2</sup>	7,179	6,651
ICF/ID <sup>3</sup>	982	849
Mental Health Institute (MHI)	38	43
Nursing Facilities (NF)	5,804	5,411
Nursing Facilities for Mentally III	71	59
Skilled	87	88
PMIC <sup>4</sup>	197	201

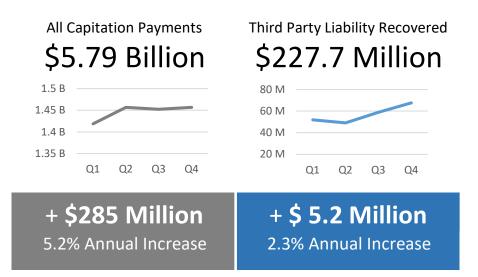
Long-Term Service & Support (LTSS)	14,843	14,669
HCBS Waivers Facility Based Services	64.5% 35.5%	65.3% 34.7%
HCBS Waivers <sup>1</sup> - Reference p. 23-24 for HCBS waiver and service plan enrollment	9,571	9,583
Facility Based Services <sup>2</sup>	5,272	5,086
ICF/ID <sup>3</sup>	594	503
Mental Health Institute (MHI)	33	30
Nursing Facilities (NF)	4,432	4,339
Nursing Facilities for Mentally III	36	31
Skilled	69	67
PMIC <sup>4</sup>	108	116

<sup>1</sup>Home- and Community-Based Service (HCBS) totals listed above exclude Habilitation (Hab) enrollment; however, member participation in Hab services is captured on pages 23-24. <sup>2</sup> Facility Based Services listed above only include the institutional groups where members are most likely to have an option to transition to an HCBS setting. Excluded institution types include Hospice (AGP 419; ITC 388). <sup>3</sup> Intermediate Care Facilities for the Intellectually Disabled (ICF/ID). <sup>4</sup> Psychiatric Medical Institutions for Children (PMIC)

## MCO Financial Summary - All MCO Counts

The MCOs receive capitation payments from the State for members' medical services. Capitation payments are made whether or not a provider files a claims with the MCO for services provided to a member.

The MCOs are responsible for recovering Medicaid dollars when it is determined that other insurance coverage is available (e.g. health, auto, worker's comp, or even Medicare). This process is known as Third Party Liability (TPL). The MCO retains all recovered TPL funds: however, these funds are then used to develop future capitation rates.



**Data Notes**: June 2021 capitation data as of July 2021. All Third Party Liability (TPL) data reported above is self-reported by MCOs. The "Average" column below represents a four-quarter rolling average while the "Total" column represents the sum of the past four-quarters.

	SFY22 Q1	SFY22 Q2	SFY22 Q3	SFY22 Q4	Average	Total
Financial Summary						
Capitation Payments	\$1.42 B	\$1.46 B	\$1.45 B	\$1.46 B	\$1.45 B	\$5.79 B
Third Party Liability (TPL) Recovered	\$51.9 M	\$49.2 M	\$58.9 M	\$67.7 M	\$56.9 M	\$227.7 M
Significant Change in Data? (+/-) If Yes, explain:	No X	Yes				

o Between SFY21 and SFY22 annual MCO capitation payments increased by \$285 Million or 5.2% (\$5.5 Billion to \$5.79 Billion) o Between SFY21 and SFY22 annual TPL recovered increased by \$5.2 Million or 2.3% (\$222.5 Million to \$227.7 Million)

## **MCO Financial Summary**

Per member Medicaid capitation is determined by program eligibility. Medicaid capitation expenditures vary based on member eligibility group size and per member capitation rate. In Iowa, about 50% of all capitation expenditures are allocated to supporting the disabled & waiver eligibility groups.

Medical loss ratios (MLR) capture how much money is spent on medical claims and quality measures versus administrative expenses and profits. By contract, MCOs are required to spend a certain percentage of their capitation payments on claims annually or risk having to return the difference.

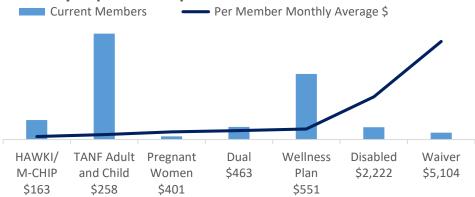
Amerigroup
An Anthem Company

in rate company		
Capitation Totals	\$832.22 M	\$851.01 M
Adjustments	-\$2.07 M	\$5.38 M
Current	\$814.65 M	\$825.03 M
Retro	\$19.64 M	\$20.61 M
Third Party Liability (TPL) Recovered	\$15.35 M	\$16.51 M
Financial Ratios		
Medical Loss Ratio (MLR)	90.5%	85.8%
Administrative Loss Ratio (ALR)	5.3%	5.4%
Underwriting Ratio (UR)	4.1%	8.9%
Reported Reserves		
Acceptable Quarterly Reserves per	Y	Y
lowa Insurance Division (IID)		

SFY22 Q1

SFY22 Q2

#### **Monthly Capitation Expenditures**



Amerigroup SFY22 Q3 SFY22 Q4 An Anthem Company **Capitation Totals** \$841.06 M \$843.74 M Adjustments -\$.22 M \$.57 M \$822.18 M \$823.45 M Current \$19.1 M \$19.72 M Retro Third Party Liability (TPL) Recovered \$22.91 M \$28.23 M **Financial Ratios** Medical Loss Ratio (MLR) 89.9% 93.9% 5.4% 5.5% Administrative Loss Ratio (ALR) 0.6% Underwriting Ratio (UR) 4.7% Annual MLR<sup>5</sup> 90.0% **Reported Reserves** Acceptable Quarterly Reserves per Y Υ Iowa Insurance Division (IID)

<sup>5</sup> Annual MLR converts IID reported data on a calendar year basis into an average that follows state fiscal year. All amounts listed are unaudited. MCOs are required to submit data as prescribed within 30 days following the six (6) month claims run-out period for final determination of SFY MLR.

💙 iowa total care.	SFY22 Q1	SFY22 Q2	💙 iowa total care.	SFY22 Q3	SFY22 Q4
Capitation Totals	\$586.7 M	\$605.63 M	Capitation Totals	\$570.55 M	\$613.33 M
Adjustments	-\$.94 M	\$5.46 M	Adjustments	-\$.82 M	-\$.02 M
Current	\$568.86 M	\$580.67 M	Current	\$588.32 M	\$594.66 M
Retro	\$18.78 M	\$19.5 M	Retro	\$23.87 M	\$18.68 M
Third Party Liability (TPL) Recovered	\$36.6 M	\$32.7 M	Third Party Liability (TPL) Recovered	\$36.0 M	\$39.4 M
Financial Ratios			Financial Ratios		
Medical Loss Ratio (MLR)	93.2%	90.9%	Medical Loss Ratio (MLR)	95.1%	94.2%
Administrative Loss Ratio (ALR)	4.3%	4.5%	Administrative Loss Ratio (ALR)	3.8%	7.6%
Underwriting Ratio (UR)	2.5%	4.6%	Underwriting Ratio (UR)	1.1%	-1.8%
			Ar	nual MLR <sup>5</sup>	93.3%
Reported Reserves			Reported Reserves		
Acceptable Quarterly Reserves per Iowa Insurance Division (IID)	Y	Y	Acceptable Quarterly Reserves per Iowa Insurance Division (IID)	Y	Y

<sup>5</sup> Annual MLR converts IID reported data on a calendar year basis into an average that follows state fiscal year. All amounts listed are unaudited. MCOs are required to submit data as prescribed within 30 days following the six (6) month claims run-out period for final determination of SFY MLR.

## MCO Claims Universe - All MCO Counts

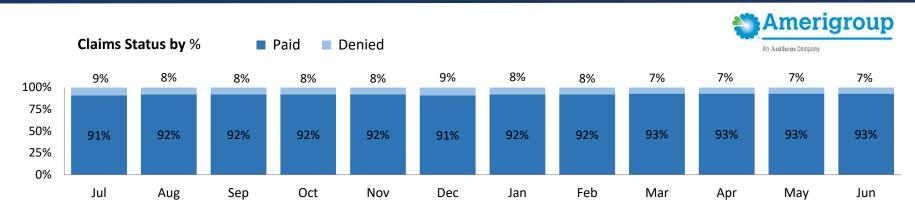
All Rx and NonRx Claims Prior Authorizations This illustration provides context to the volume of the Grievances Appeals following actions in comparison to the overall claims universe: 35 M • Some benefits may require Prior Authorization before service 30 M Compared to the SFY21 29,670,488 **Claims Universe** • Members may elect to file a Grievance to express general 25 M plan dissatisfaction 27,767,631 Claims 20 M 714,593 PAs • Members or Providers may Appeal a filed claim based on a 2,534 Grievances reduction in benefits or an outright rejection 2,604 Appeals 15 M 10 M % of Claims Universe 5 M **Prior Authorizations** 2.57% Grievances 0.01% 720,803 2,852 2,585 Appeals 0.01%

	SFY22 Q1	SFY22 Q2	SFY22 Q3	SFY22 Q4	Average	Total
Claim Counts - All Paid & Denied	7.1 M	7.4 M	7.7 M	7.4 M	7.4 M	29.7 M
Non-Pharmacy	4.2 M	4.5 M	4.4 M	4.4 M	4.4 M	17.5 M
Pharmacy	2.9 M	3.0 M	3.3 M	3.0 M	3.0 M	12.2 M
Prior Authorization Summary	171,159	169,391	186,524	193,729	180,201	720,803
Non-Pharmacy - All PAs Submitted	127,869	124,736	134,628	142,964	132,549	530,197
Pharmacy - All PAs Submitted	43,290	44,655	51,896	50,765	47,652	190,606
Grievances & Appeals Summary						
Standard Grievances	587	720	784	761	713	2,852
Standard Appeals	701	574	558	752	646	2,585

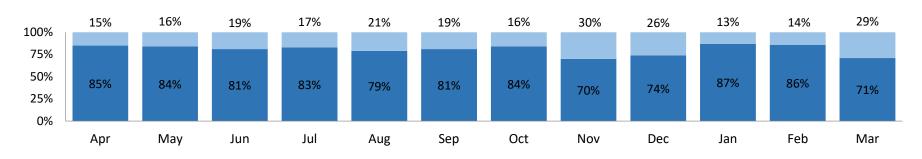
9.81 Million						Ameri
YTD Claims Paid & Denied						An Anthem Cor
	Jul-21	Aug-21	Sep-21	Oct-21	Nov-21	Dec-21
All Claims						
Paid	678,917	788,575	765,102	759,097	836,841	731,569
Denied	70,298	64,685	63,511	67,837	76,822	73,911
Suspended	205,369	198,484	234,018	211,699	135,711	146,910
Clean Claims Processed						
in 30-days (Requirement 90%)	98%	97%	95%	98%	98%	99%
in 45-days (Requirement 95%)	100%	98%	98%	99%	100%	100%
Average Days to Pay	8	9	9	8	8	7
Provider Adjustment Requests &	100%	100%	100%	100%	100%	100%
Errors Reprocessed in 30-days						

	Jan-22	Feb-22	Mar-22	Apr-22	May-22	Jun-22
All Claims						
Paid	665,312	679,880	814,592	720,421	819,395	781,608
Denied	55,954	56,519	64,117	50,975	58,023	63,333
Suspended	166,459	179,885	184,199	189,166	137,951	205,419
Clean Claims Processed						
in 30-days (Requirement 90%)	99%	100%	100%	100%	99%	100%
in 45-days (Requirement 95%)	100%	100%	100%	100%	100%	100%
Average Days to Pay	7	6	7	7	7	7
Provider Adjustment Requests &	100%	100%	100%	100%	100%	100%
Errors Reprocessed in 30-days						

## **Claims Summary (Non-Pharmacy)**



#### Suspended Claims "Run Out" Status (90-day lag)



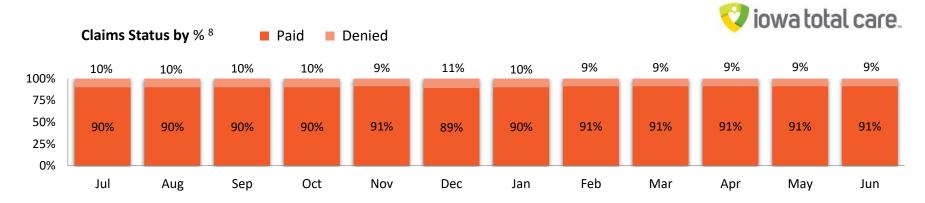
	%	Top 10 Reasons for Claims Denials (Non-Pharmacy) - June 2022
1.	15%	Duplicate claim service
2.	13%	Expenses incurred after coverage terminated
3.	12%	Service not payable per managed care contract
4.	10%	Charge exceeds fee schedule/maximum allowable or contracted/legislated fee arrangement
5.	7%	The impact of prior payer(s) adjudication including payments and/or adjustments
6.	4%	Claim/service lacks information or has submission/billing error(s) - primary payer information required
7.	4%	Precertification/authorization/notification absent
8.	4%	Attachment/Other Documentation Required
9.	4%	The time limit for filing has expired
10.	3%	Procedure code is inconsistent with modifier used or required modifier is missing

7.67 Million							
YTD Claims Paid & Denied	Jul-21	Aug-21	Sep-21	Oct-21	Nov-21	Dec-21	
All Claims							
Paid	492,557	587,021	522,786	556,353	513,597	653,235	
Denied	54,886	64,088	56,243	59,015	49,865	82,359	
Suspended	137,184	80,348	109,535	152,045	164,284	113,057	
Clean Claims Processed <sup>8</sup>							
in 30-days (Requirement 90%)	97%	98%	99%	100%	99%	99%	
in 45-days (Requirement 95%)	98%	99%	99%	100%	100%	99%	
Average Days to Pay <sup>8</sup>	10	8	8	8	8	9	
Provider Adjustment Requests &	98%	98%	99%	98%	99%	99%	
Errors Reprocessed in 30-days							

	Jan-22	Feb-22	Mar-22	Apr-22	May-22	Jun-22
All Claims						
Paid	512,284	613,547	743,756	601,176	556,175	587,114
Denied	54,397	60,707	73,268	59,981	56,290	59,730
Suspended	173,001	166,354	131,789	162,188	183,954	127,262
Clean Claims Processed <sup>8</sup>						
in 30-days (Requirement 90%)	96%	98%	98%	98%	98%	98%
in 45-days (Requirement 95%)	99%	100%	100%	100%	99%	99%
Average Days to Pay <sup>8</sup>	9	11	8	9	11	10
Provider Adjustment Requests &	99%	97%	99%	98%	94%	90%
Errors Reprocessed in 30-days						



## **Claims Summary (Non-Pharmacy)**



#### Suspended Claims "Run Out" Status (90-day lag)

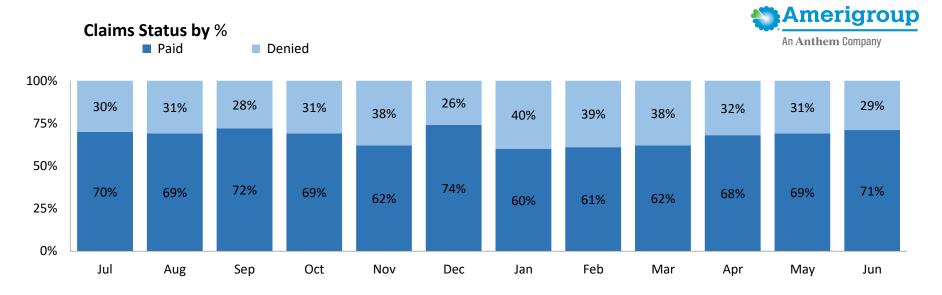


	%	Top 10 Reasons for Claims Denials (Non-Pharmacy) - June 2022
1.	15%	Duplicate claim service
2.	9%	Service can not be combined with other service on same day
3.	9%	Bill primary insurer first; resubmit with explanation of benefits (EOB)
4.	6%	The time frame for filing a claim reconsideration has expired
5.	5%	Service is not covered
6.	5%	No authorization on file that matches service(s) billed
7.	4%	ACE claim level return to provider Diagnosis code incorrectly coded per ICD10 manual
8.	3%	Void Adjustment
9.	3%	Diagnosis code incorrectly coded per ICD10 manual
10.	2%	Referring Provider not registered with IA DHHS/IA Medicaid

6.95 Million						Americ An Anthem Cor
YTD Claims Paid & Denied	Jul-21	Aug-21	Sep-21	Oct-21	Nov-21	Dec-21
All Claims						
Paid	350,374	352,465	450,425	361,379	274,372	535,002
Denied	153,326	160,093	171,275	162,262	168,290	186,455
Clean Claims Processed						
in 30-days (Requirement 90%)	100%	100%	100%	100%	100%	100%
in 45-days (Requirement 95%)	100%	100%	100%	100%	100%	100%
Average Days to Pay	11	11	12	11	10	11

	Jan-22	Feb-22	Mar-22	Apr-22	May-22	Jun-22
All Claims						
Paid	392,224	363,757	414,328	363,560	366,186	446,919
Denied	264,164	236,324	258,981	169,000	166,472	178,971
Clean Claims Processed						
in 30-days (Requirement 90%)	100%	100%	100%	100%	100%	100%
in 45-days (Requirement 95%)	100%	100%	100%	100%	100%	100%
Average Days to Pay	11	10	11	11	11	11

## **Claims Summary (Pharmacy)**



	%	Top 10 Reasons for Claims Denials (Pharmacy) - June 2022
1.	38%	Refill too soon
2.	16%	Prior authorization required
3.	13%	Submit bill to other processor or primary payer
4.	9%	National Drug Code (NDC) not covered
5.	6%	Plan limitations exceeded
6.	4%	M/I other payer reject code
7.	3%	M/I processor control number
8.	2%	Pharmacy not enrolled in State Medicaid program
9.	1%	Filled after coverage terminated
10.	1%	Prescriber is not enrolled in State Medicaid program

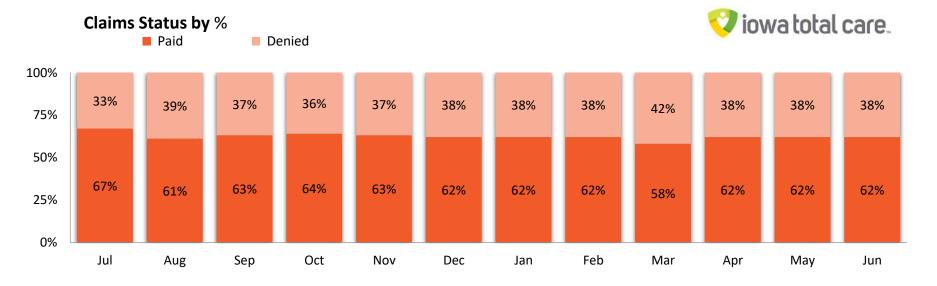
5.26 Million YTD Claims Paid & Denied



	Jul-21	Aug-21	Sep-21	Oct-21	Nov-21	Dec-21
All Claims						
Paid	265,579	272,886	272,034	267,441	271,031	274,826
Denied	133,719	171,904	156,384	152,668	158,074	167,079
Clean Claims Processed						
in 30-days (Requirement 90%)	100%	100%	100%	100%	100%	100%
in 45-days (Requirement 95%)	100%	100%	100%	100%	100%	100%
Average Days to Pay	3	10	10	10	10	10

	Jan-22	Feb-22	Mar-22	Apr-22	May-22	Jun-22
All Claims						
Paid	277,450	258,828	286,757	271,629	280,090	274,424
Denied	171,930	161,425	204,995	169,873	169,765	169,970
Clean Claims Processed						
in 30-days (Requirement 90%)	100%	100%	100%	100%	100%	100%
in 45-days (Requirement 95%)	100%	100%	100%	100%	100%	100%
Average Days to Pay	10	10	10	10	10	10

## **Claims Summary (Pharmacy)**



	%	Top 10 Reasons for Claims Denials (Pharmacy) - June 2022
1.	26%	Refill too soon
2.	12%	Prior authorization required
3.	9%	National Drug Code (NDC) not covered
4.	5%	Submit bill to other processor or primary payer
5.	5%	Plan limitations exceeded
6.	3%	Product not covered - non-participating manufacturer
7.	2%	Drug Utilization Review (DUR) reject error
8.	2%	Discrepancy - other coverage code & other payer amount paid
9.	1%	Pharmacy not enrolled in State Medicaid program
10.	1%	Drug not covered for patient age

## **Prior Authorization Summary (Non-Pharmacy)**

344,536

Jul-21	Aug-21	Sep-21	Oct-21	Nov-21	Dec-21
17,052	17,526	17,564	17,554	16,408	16,723
1,274	1,303	1,247	1,235	1,219	1,147
1	0	0	0	1	0
4	4	4	4	4	4
100%	100%	100%	99%	99%	100%
98%	98%	99%	99%	99%	99%
	17,052 1,274 1 4 100%	17,052 17,526   1,274 1,303   1 0   4 4   100% 100%	17,052   17,526   17,564     1,274   1,303   1,247     1   0   0     4   4   4     100%   100%   100%	$\begin{array}{c c c c c c c c c c c c c c c c c c c $	$\begin{array}{c c c c c c c c c c c c c c c c c c c $

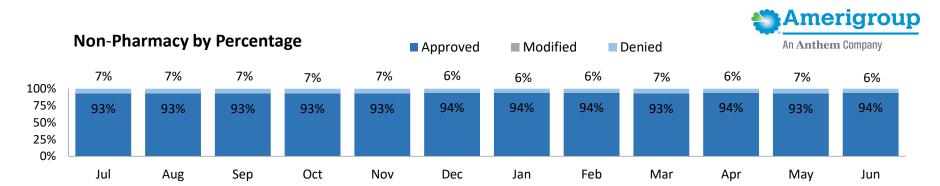
	Jan-22	Feb-22	Mar-22	Apr-22	May-22	Jun-22
Standard Prior Authorizations (PAs)						
Approved	17,048	18,341	21,756	21,221	19,966	20,727
Denied	1,125	1,204	1,592	1,436	1,414	1,386
Modified	0	0	0	0	0	0
Average Days to Process	3	4	5	5	5	5
Standard PAs Completed						
in 14-days (Requirement 99%)	100%	100%	100%	100%	100%	100%
Expedited PAs Completed in 72-hours (Requirement 99%)	100%	100%	100%	100%	100%	100%

<sup>6</sup> Totals capture all standard non-pharmacy and pharmacy PA counts. In addition to approved, denied, or modified the submitted totals will also include PA's received, but not yet processed.

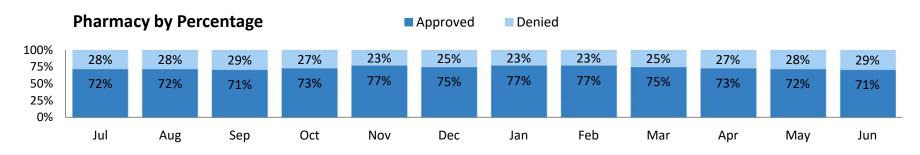
MCO Annual Report - SFY22 (July 2021 - June 2022)

Amerigroup

## **Prior Authorization Summary (Non-Pharmacy & Pharmacy)**



16 0%	6,198 2,356 <mark>99.9%</mark> Feb-22	5,980 2,402 99.9%	6,239 2,301 100.0%	7,452 2,249 100.0%	6,264 2,140 99.9%
16 0%	2,356 99.9%	2,402 99.9%	2,301 100.0%	2,249 100.0%	2,140
0%	99.9%	99.9%	100.0%	100.0%	
					99.9%
-22	Ech 22				
	reb-zz	Mar-22	Apr-22	May-22	Jun-22
88	7,104	7,300	6,384	6,503	6,516
06	2,139	2,410	2,376	2,507	2,613
9%	99.9%	100.0%	100.0%	100.0%	99.9%
	88 06 9%	06 2,139	06 2,139 2,410	06 2,139 2,410 2,376	06 2,139 2,410 2,376 2,507



## **Prior Authorization Summary (Non-Pharmacy)**

<b>376,267</b> II PAs Submitted YTD <sup>6</sup>					😯 iowa total			
IT PAS Submitted YTD	Jul-21	Aug-21	Sep-21	Oct-21	Nov-21	Dec-21		
Standard Prior Authorizations (PAs)								
Approved	21,629	24,009	23,141	24,286	22,373	22,039		
Denied	1,061	1,283	1,062	1,104	1,024	991		
Modified	0	0	0	0	0	0		
Average Days to Process	5	4	5	4	4	4		
Standard PAs Completed								
in 14-days (Requirement 99%)	100%	100%	100%	100%	100%	100%		
Expedited PAs Completed	100%	100%	99%	100%	100%	100%		
in 72-hours (Requirement 99%)								

	Jan-22	Feb-22	Mar-22	Apr-22	May-22	Jun-22
Standard Prior Authorizations (PAs)						
Approved	20,672	22,797	26,428	25,364	24,417	25,482
Denied	982	973	1,048	1,259	1,237	1,280
Modified	0	0	0	0	0	0
Average Days to Process	4	4	4	3	2	2
Standard PAs Completed						
in 14-days (Requirement 99%)	100%	100%	100%	100%	100%	100%
Expedited PAs Completed in 72-hours (Requirement 99%)	100%	100%	100%	100%	100%	100%

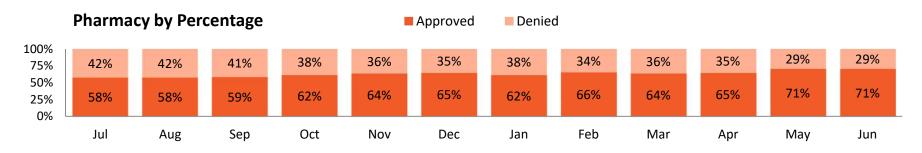
<sup>6</sup> Totals capture all standard non-pharmacy and pharmacy PA counts. In addition to approved, denied, or modified the submitted totals will also include PA's received, but not yet processed.

MCO Annual Report - SFY22 (July 2021 - June 2022)

## **Prior Authorization Summary (Non-Pharmacy & Pharmacy)**



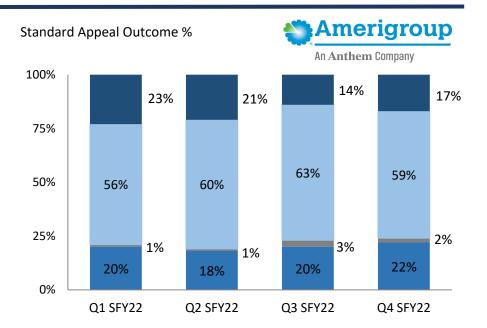
Pharmacy	Jul-21	Aug-21	Sep-21	Oct-21	Nov-21	Dec-21
Prior Authorizations						
Approved	3,144	3,400	3,150	3,047	3,572	3,460
Denied	2,242	2,452	2,153	1,835	2,042	1,867
PAs Completed - 100% in 24hrs	99.8%	99.3%	99.9%	99.9%	99.8%	100.0%
	Jan-22	Feb-22	Mar-22	Apr-22	May-22	Jun-22
Prior Authorizations						
Approved	3,588	4,512	5,341	4,695	4,884	4,960
Denied	2,155	2,278	3,020	2,506	1,948	2,062
PAs Completed - 100% in 24hrs	100%	99.9%	100%	100.0%	99.9%	99.9%



## **Grievances and Appeals**



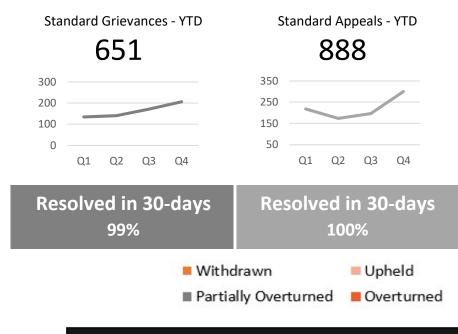
	%	Top 10 Reasons for Grievances <sup>7</sup> - June
1.	34%	Voluntary disenrollment
2.	24%	Provider balance billed
3.	9%	Provider Dissatisfaction
4.	6%	Treatment Dissatisfaction
5.	4%	Transportation - No Show
6.	3%	Transportation Delay
7.	3%	Transportation - Driver no-show
8.	3%	Access to Case Management
9.	3%	Poor Customer Service
10.	3%	Transportation - Unsafe Driving



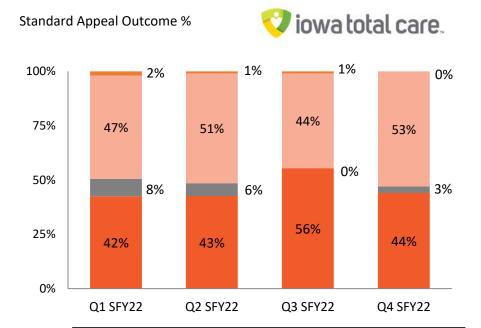
%	Top 10 Reasons for Appeals <sup>7</sup> - June
35%	Pharmacy - Non Injectable
24%	DME
13%	Outpatient Services - Medical
12%	Radiology
8%	Pharmacy - Injectable
6%	Inpatient - Medical
5%	Surgery BH - Op Service
3%	Pain Management
3%	BH - Op Service
2%	Personal Care Services - Self

<sup>7</sup> Top 10 reasons for grievances and appeals includes both standard and expedited counts. All percentages listed are based on quarterly totals.

## **Grievances and Appeals**



	%	Top 10 Reasons for Grievances 7- June
1.	18%	Provider Not in Network
2.	11%	Unhappy with Benefits
3.	9%	Transportation - Driver no-show
4.	8%	Lack of Caring/Concern
5.	7%	Transportation - Missed Appointment
6.	6%	General Complaint Vendor
7.	4%	Case Management Complaint
8.	4%	Transportation - Late appointment
9.	3%	Provider
10.	3%	Benefit Concern

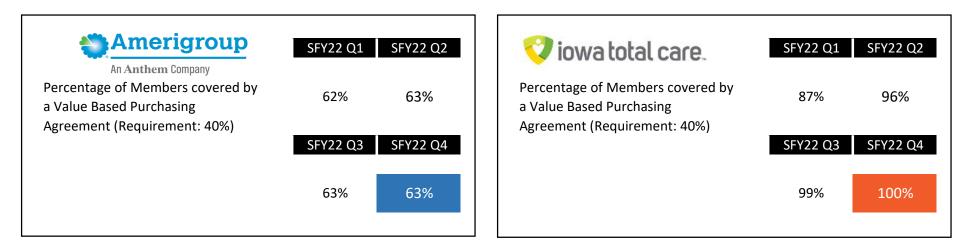


%	Top 10 Reasons for Appeals <sup>7</sup> - June
30%	RX - Does Not Meet Prior AuthGuidelines
6%	Therapy - Speech Therapy
6%	Other - Mental Health Service
5%	DME - Other
4%	Injections - Epidural Injections
4%	Therapy - Occupational Therapy
3%	Outpatient - Procedure
3%	DME - Blood Glucose Monitor
3%	Diagnostic - MRI
2%	DME - Wheelchair

<sup>7</sup> Top 10 reasons for grievances and appeals includes both standard and expedited counts. All percentages listed are based on quarterly totals.

#### Value Based Purchasing (VBP) Agreement

Value Based Purchasing (VBP) Agreement: An agreement that holds health care providers accountable for both the cost and quality of care they provide by providing payment to improved performance.



#### Top 5 - Value Added Services (VAS)

Value Added Services (VAS) are optional benefits provided by the MCOs outside of the standard Medicaid benefit package. MCOs use value added services as an incentive to attract members to their plan. A complete listing by each MCO can also be found here:

https://dhs.iowa.gov/sites/default/files/Comm504.pdf

Amerigroup An Anthem Company	SFY22 Q1	SFY22 Q2
Healthy Rewards	3,613	3,800
Taking Care of Baby and Me	2,310	2,513
Community Resource Link	2,046	1,170
SafeLink Mobile Phone	558	845
Dental Hygiene Kit	414	480

Amerigroup An Anthem Company	SFY22 Q3	SFY22 Q4
Healthy Rewards	8,502	3,295
Taking Care of Baby and Me	2,829	2,661
Community Resource Link	1,140	1,242
SafeLink Mobile Phone	1,222	928
Breast Pump	474	543

SFY22 Q1	SFY22 Q2
759	14,683
14,419	12,136
1,431	1,416
834	1,017
406	462
	759 14,419 1,431 834

💙 iowa total care.	SFY22 Q3	SFY22 Q4
My Health Pays Program	8,719	7,400
Start Smart for Your Baby	1,638	1,638
Mobile App	1,072	1,148
The Flu Program	6,011	885
Breast Pump	553	564

Inpatient Admissions per 1,000 Members per Month (90-day lag)

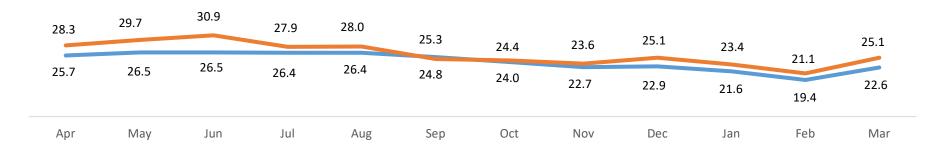




#### All Cause Readmissions within 30-days (90-day lag)<sup>8</sup>

13.8%	14.6%	14.9%	13.7%	12.2%	11.0%	12.8%	13.3%	12.3%	12.8%	11.5%	12.3%
11.0%	11.0%	12.8%	12.0%	10.5%	9.0%	10.0%	12.3%	12.0%	11.1%	10.3%	9.2%
Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Jan	Feb	Mar

#### Adult Non-Emergent Use Per 1,000 ED Visits (90-day lag)<sup>9</sup>



<sup>8</sup> This measure requires 12 months of continuous enrollment with the MCO. Q2 SFY2021 is the first quarter that ITC is reporting data.

<sup>9</sup> Effective January 1, 2020, the list of emergent diagnosis codes used to determine this measure was updated.

## Amerigroup

An Anthem Company	SFY20 Q4	SFY21 Q1	SFY21 Q2	SFY21 Q3	SFY21 Q4	SFY22 Q1	SFY22 Q2	SFY22 Q3
Member Enrollment	223,742	228,223	231,588	235,816	236,807	237,586	237,998	239,362
Infancy < 1	11,082	11,020	10,159	10,208	9,176	9,827	9,842	9,778
Early Childhood 1 - 4	46,773	46,976	47,354	47,404	47,242	47,425	46,275	46,510
Middle Childhood 5 - 11	77,497	78,663	79,742	80,518	80,950	81,514	81,778	81,881
Adolescence 12 - 21	88,390	91,564	94,333	97,686	99,439	98,820	100,103	101,193
Well Child Exams (Preventive Visits)	29,958	59,780	41,104	39,279	36,804	59,597	39,572	37,091
Infancy < 1	10,935	11,496	11,231	11,844	11,392	11,307	11,043	11,533
Early Childhood 1 - 4	10,483	15,485	12,242	12,642	11,986	14,077	11,242	11,573
Middle Childhood 5 - 11	4,865	15,528	9,351	7,507	7,078	15,916	8,865	7,029
Adolescence 12 - 21	3,675	17,271	8,280	7,286	6,348	18,297	8,422	6,956
Lead Screenings	3,629	5,244	4,279	4,509	4,651	5,050	3,445	3,899
Infancy < 1	77	103	90	97	136	129	77	111
Early Childhood 1 - 4	3,346	4,460	3,834	4,050	4,174	4,184	3,059	3,396
Middle Childhood 5 - 11	190	647	309	333	295	676	269	334
Adolescence 12 - 21	16	34	46	29	46	61	40	58
Hearing Screenings	1,328	1,942	1,649	1,835	1,779	2,009	2,200	2,466
Infancy < 1	116	131	111	132	140	147	172	177
Early Childhood 1 - 4	646	790	754	799	810	926	1,111	1,267
Middle Childhood 5 - 11	404	690	541	588	556	647	660	758
Adolescence 12 - 21	162	331	243	316	273	289	257	264
Vision Screenings	708	1,753	914	1,517	1,565	2,332	1,871	1,993
Infancy < 1	11	12	10	19	34	32	47	55
Early Childhood 1 - 4	378	599	376	898	865	944	854	1,056
Middle Childhood 5 - 11	216	652	352	425	452	849	626	567
Adolescence 12 - 21	103	490	176	175	214	507	344	315



An Anthem Company	SFY20 Q4	SFY21 Q1	SFY21 Q2	SFY21 Q3	SFY21 Q4	SFY22 Q1	SFY22 Q2	SFY22 Q3
Vaccination Totals	44,636	79,511	91,582	59,215	63,672	80,402	80,580	57,417
DTaP (Diphtheria, Tetanus, Pertussis)	9,080	11,994	10,223	10,237	9,377	11,091	9,220	9,543
Influenza (FLU)	814	11,074	40,027	8,961	778	5,787	31,194	9,801
HepA (Hepatitis A)	4,378	6,410	5,029	4,790	4,497	5,222	4,027	4,246
HepB (Hepatitis B)	4,370 860	1,011	957	1,003	882	937	878	939
Haemophilus Influenza Type B (Hib)	5,248	5,534	5,364	5,371	5,007	4,956	4,786	5,160
Human Papillomavirus (HPV)	1,787	6,953	3,234	2,901	2,653	6,338	2,656	2,452
Meningococcal ACWY (MenACWY)	1,518	7,056	3,132	2,365	2,476	7,309	2,000	1,986
Meningococcal B - (MenB)	605	2,517	1,442	1,108	994	2,500	1,216	988
MMR (Measles, Mumps, Rubella)	3,619	5,970	4,397	3,860	3,682	5,701	3,687	3,656
Pneumococcal (PCV13)	7,806	7,953	7,811	3,800 8,014	7,423	7,220	7,090	7,717
Pneumococcal (PPSV23)	37	65	7,811	67	56	50	57	57
Polio (IPV)	128	323	297	236	225	333	239	218
RV (Rotavirus)	4,816	4,739	4,946	5,138	4,811	4,498	4,696	4,975
Tetanus and diphtheria (Td)	44	51	38	33	31	43	29	26
TDAP (Tetanus, Diphtheria, Pertussis)	1,571	5,097	2,236	1,844	2,171	4,935	1,949	1,638
Varicella Virus Vaccine (VAR)	2,325	2,764	2,369	2,134	1,984	2,312	1,899	2,006
Pfizer COVID-19 Vaccine Dose 1	0	0	2	693	8,359	5,595	2,003	826
Pfizer COVID-19 Vaccine Dose 2	0	0	0	109	6,830	5,097	1,903	886
Moderna COVID-19 Vaccine Dose 1	0	0	7	251	610	244	209	131
Moderna COVID-19 Vaccine Dose 2	0	0	0	87	617	174	102	117
Janssen COVID-19 Vaccine Single-Dose	0	0	0	13	209	60	26	49

*Note* : Beginning SFY 2022, all MCO Children Summary data was submitted with a 90-day lag to account for claims runout.

## MCO Children Summary

😯 iowa total care.

	SFY20 Q4	SFY21 Q1	SFY21 Q2	SFY21 Q3	SFY21 Q4	SFY22 Q1	SFY22 Q2	SFY22 Q3
Member Enrollment	147,722	152,472	154,855	158,103	158,536	159,797	162,215	165,207
Infancy < 1	10,164	10,058	9,615	9,409	8,480	8,899	9,062	9,262
Early Childhood 1 - 4	28,862	30,256	30,738	31,562	31,936	32,520	32,560	33,643
Middle Childhood 5 - 11	50,530	51,634	52,334	52,767	52,915	53,211	54,062	54,686
Adolescence 12 - 21	58,166	60,524	62,168	64,365	65,205	65,167	66,531	67,616
Well Child Exams (Preventive Visits)	22,277	44,048	32,551	31,357	29,353	47,481	35,030	32,931
Infancy < 1	10,728	11,568	11,412	11,555	11,207	11,776	11,829	12,181
Early Childhood 1 - 4	5,777	9,769	8,367	9,368	8,590	11,818	9,811	10,294
Middle Childhood 5 - 11	3,278	10,772	6,795	5,305	5,017	11,025	7,064	5,306
Adolescence 12 - 21	2,494	11,939	5,977	5,129	4,539	12,862	6,326	5,150
Lead Screenings	2,092	3,843	3,119	3,521	3,612	4,757	3,264	3,929
Infancy < 1	55	72	72	88	139	172	123	143
Early Childhood 1 - 4	1,864	3,284	2,794	3,123	3,182	4,026	2,847	3,455
Middle Childhood 5 - 11	144	464	231	282	268	513	258	271
Adolescence 12 - 21	29	23	22	28	23	46	36	60
Hearing Screenings	799	1,248	1,108	1,232	1,226	1,250	1,377	1,709
Infancy < 1	107	116	82	125	121	128	172	169
Early Childhood 1 - 4	350	451	437	537	506	569	664	461
Middle Childhood 5 - 11	247	460	403	404	409	374	388	908
Adolescence 12 - 21	95	221	186	166	190	179	153	171
Vision Screenings	438	1,135	711	1,095	1,075	1,704	1,450	1,334
Infancy < 1	19	17	22	19	30	48	40	41
Early Childhood 1 - 4	245	406	306	691	587	729	732	704
Middle Childhood 5 - 11	133	377	255	289	349	575	491	367
Adolescence 12 - 21	41	335	128	96	109	352	187	222

## 💙 iowa total care.

	SFY20 Q4	SFY21 Q1	SFY21 Q2	SFY21 Q3	SFY21 Q4	SFY22 Q1	SFY22 Q2	SFY22 Q3
Vaccination Totals	28,114	56,011	62,820	43,656	39,098	62,550	64,089	49,205
DTaP (Diphtheria, Tetanus, Pertussis)	6,702	9,100	8,206	8,257	7,726	9,059	8,050	8,578
Influenza (FLU)	596	7,111	26,104	6,588	691	3,860	22,087	7,569
HepA (Hepatitis A)	2,274	4,360	3,264	3,718	3,312	4,389	3,328	3,670
HepB (Hepatitis B)	772	978	872	918	780	824	769	958
Haemophilus Influenza Type B (Hib)	1,234	1,365	1,410	1,396	1,285	4,485	4,399	4,656
Human Papillomavirus (HPV)	1,223	4,791	2,223	2,002	1,794	4,369	1,911	1,651
Meningococcal ACWY (MenACWY)	1,008	4,902	2,101	1,530	1,544	4,877	1,853	1,317
Meningococcal B - (MenB)	0	1,767	921	762	618	1,542	801	648
MMR (Measles, Mumps, Rubella)	1,843	4,304	3,115	3,062	2,765	4,198	3,088	3,043
Pneumococcal (PCV13)	5,868	6,871	6,595	7,005	6,439	6,557	6,455	7,139
Pneumococcal (PPSV23)	0	49	55	47	33	68	66	47
Polio (IPV)	139	320	237	231	142	279	204	276
RV (Rotavirus)	4,255	4,472	4,354	4,527	4,256	4,180	4,338	4,721
Tetanus and diphtheria (Td)	26	34	15	17	19	29	35	42
TDAP (Tetanus, Diphtheria, Pertussis)	1,114	3,493	1,551	1,269	1,417	3,423	1,430	1,207
Varicella Virus Vaccine (VAR)	1,060	2,094	1,791	1,917	1,718	1,979	1,729	1,851
Pfizer COVID-19 Vaccine Dose 1	0	0	2	204	2,165	4,205	1,642	804
Pfizer COVID-19 Vaccine Dose 2	0	0	0	47	1,845	3,807	1,593	848
Moderna COVID-19 Vaccine Dose 1	0	0	4	110	254	213	181	83
Moderna COVID-19 Vaccine Dose 2	0	0	0	38	247	158	94	75
Janssen COVID-19 Vaccine Single-Dose	0	0	0	11	48	49	36	22

*Note* : Beginning SFY 2022, all MCO Children Summary data was submitted with a 90-day lag to account for claims runout.

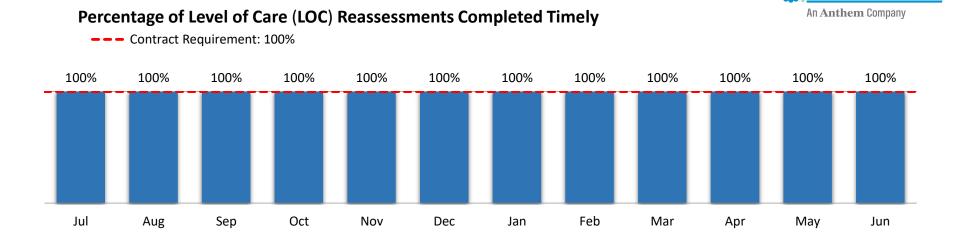
Average Number of Contacts	SFY22 Q1	SFY22 Q2	SFY22 Q3	SFY22 Q4
Per Month				
by Care Coordinators	0.8	0.8	0.8	2.2
by Case Managers	1.2	1.1	1.1	1.0
"Members to" Ratios				
Members to Care Coordinators	27	20	15	16
HCBS Members to Case Managers	68	72	56	62

There are no current MCO contract standards for ratios of members to care coordinators or community based case managers. However, MCO contracts do state that members are to be visited in their residence face-toface by their care coordinator at least quarterly with an interval of at least 60 days between visits.

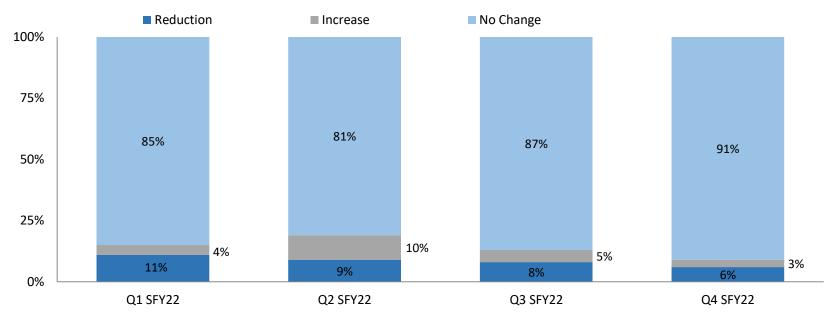
#### Iowa Participant Experience Survey (IPES)

Waiver members re	porting	SFY22 Q1	SFY22 Q2	SFY22 Q3	SFY22 Q4
They were part of	I don't know	0.0%	0.0%	0.4%	0.0%
service planning.	No	0.0%	0.0%	0.0%	0.0%
	Sometimes	0.0%	0.0%	0.0%	0.0%
	Yes	100.0%	100.0%	99.6%	100.0%
They feel safe where	I don't know	0.0%	0.0%	0.0%	0.0%
they live.	No	0.0%	0.0%	0.0%	0.0%
	Sometimes	0.0%	0.0%	0.0%	0.0%
	Yes	100.0%	100.0%	100.0%	100.0%
Their services make	I don't know	0.5%	0.5%	0.8%	1.0%
their lives better.	No	0.0%	0.0%	0.0%	0.0%
	Sometimes	0.0%	0.0%	0.4%	0.5%
	Yes	99.5%	99.5%	98.8%	98.5%





#### **Waiver Service Plan Outcomes**



Amerigroup

Average Number of Contacts	SFY22 Q1	SFY22 Q2	SFY22 Q3	SFY22 Q4
Per Month				
by Care Coordinators	0.8	1.0	1.0	1.0
by Case Managers	1.0	1.0	1.0	1.0
"Members to" Ratios				
Members to Care Coordinators	49	50	57	50
HCBS Members to Case Managers	44	41	40	41

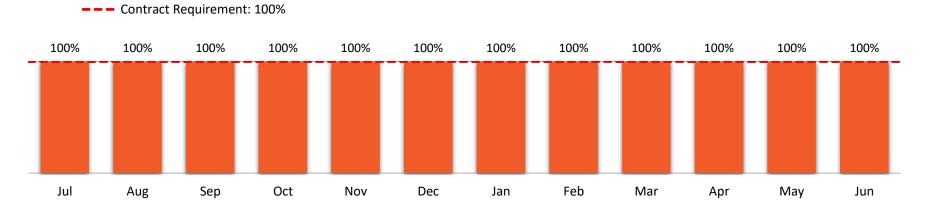
There are no current MCO contract standards for ratios of members to care coordinators or community based case managers. However, MCO contracts do state that members are to be visited in their residence face-toface by their care coordinator at least quarterly with an interval of at least 60 days between visits.

#### Iowa Participant Experience Survey (IPES)

Waiver members re	SFY22 Q1	SFY22 Q2	SFY22 Q3	SFY22 Q4	
They were part of	I don't know	0.4%	0.7%	0.0%	1.9%
service planning.	No	0.8%	1.4%	2.6%	6.4%
	Sometimes	0.0%	1.1%	0.8%	3.4%
	Yes	98.9%	96.7%	95.9%	88.4%
They feel safe where	I don't know	0.0%	0.0%	0.0%	0.0%
they live.	No	0.8%	0.7%	0.8%	2.2%
	Sometimes	1.1%	1.5%	1.5%	4.5%
	Yes	98.1%	97.8%	97.4%	93.3%
Their services make	I don't know	0.4%	0.4%	0.0%	0.4%
their lives better.	No	0.4%	2.2%	1.5%	3.4%
	Sometimes	0.4%	2.2%	1.9%	3.4%
	Yes	98.9%	95.2%	96.2%	92.9%

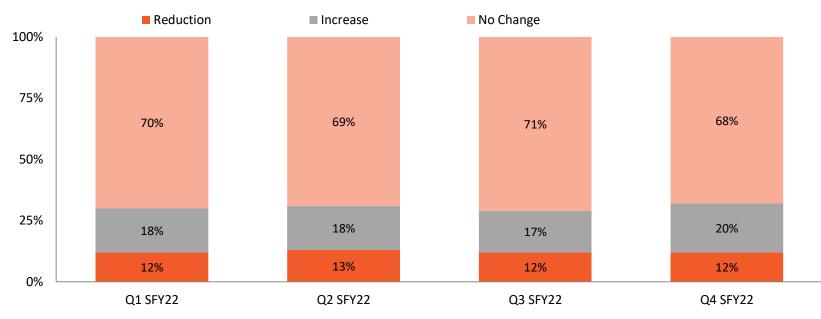






#### Percentage of Level of Care (LOC) Reassessments Completed Timely

#### **Waiver Service Plan Outcomes**



Home- and Community-Based Services (HCBS) programs are available for eligible members with disabilities or older lowans that would otherwise require care in a medical institution. The following information captures the Top 5 services used by members with active waiver service plans.

#### **Top 5 Waiver Services**

- by Member Usage	SFY22 Q1	SFY22 Q2
AIDS/HIV - Unique Service Plans	20	19
Home Delivered Meals	14	13
CDAC (individual) by 15 minute units	0	4
CDAC (agency) by 15 minute units	0	2
Brain Injury (BI) Waivers	808	794
Financial Management Services	241	243
Supported Community Living (by unit)	187	185
Personal Emergency Response	162	161
Respite (by 15 minute units)	166	155
Supported Community Living (daily)	110	106
Children's Mental Health (CMH)	763	756
Respite (by 15 minute units)	408	400
Family and Community Support	223	205
Respite (Hos/NF) - 15 minute units	214	193
Respite (Resident Camp) by units	11	9
Home Delivered Meals	3	3
Elderly Waivers	4,581	4,487
Home Delivered Meals	2,884	2,854
Personal Emergency Response	2,895	2,844
CDAC (agency) by 15 minute units	392	409
Assisted Living Services	372	368
Personal Emergency Response (install)	302	291

Amerigroup	SFY22 Q1	SFY22 Q2
in a mericine company		
Habilitation (Hab)	4,346	4,238
Home-based Habilitation	3,921	3,816
Long Term Job Coaching	440	431
Day Habilitation (units by day)	402	401
Individual Supported Employment	181	197
Day Habilitation (by 15 minute units)	151	151
Health & Disability (HD)	1,375	1,340
Financial Management Services	385	391
Respite (by 15 minute units)	358	355
Personal Emergency Response	314	305
Home Delivered Meals	303	294
Respite (Hos/NF) - 15 minute units	73	68
Intellectual Disability (ID)	7,033	6,969
Supported Community Living (by unit)	1,810	1,786
Supported Community Living (RCF)	1,411	1,463
Financial Management Services	1,423	1,436
Day Habilitation (units by day)	1,448	1,432
Supported Community Living (daily)	1,207	1,171
Physical Disability (PD)	657	622
Personal Emergency Response	345	343
CDAC (agency) by 15 minute units	57	53
CDAC (individual) by 15 minute units	46	47
Home Delivered Meals	39	38
Financial Management Services	37	38

#### **Top 5 Waiver Services**

- by Member Usage	SFY22 Q3	SFY22 Q4
AIDS/HIV - Unique Service Plans	21	22
Home Delivered Meals	14	14
CDAC (agency) by 15 minute units	2	2
Financial Management Services	1	1
Brain Injury (BI) Waivers	786	769
Financial Management Services	241	226
Supported Community Living (by unit)	182	193
Respite (by 15 minute units)	157	164
Personal Emergency Response	165	160
Supported Community Living (daily)	109	109
Children's Mental Health (CMH)	739	783
Respite (by 15 minute units)	416	418
Respite (Hos/NF) - 15 minute units	198	216
Family and Community Support	200	185
Respite (Resident Camp) by units	10	19
Home Modification	2	3
Elderly Waivers	4,349	4,342
Home Delivered Meals	2,765	2,742
Personal Emergency Response	2,798	2,741
CDAC (agency) by 15 minute units	390	478
Assisted Living Services	334	330
Personal Emergency Response (install)	285	301

#### Amerigroup SFY22 Q3 SFY22 Q4 An Anthem Company Habilitation (Hab) 4,233 4,201 Home-based Habilitation 3,681 3,448 Long Term Job Coaching 412 406 Day Habilitation (units by day) 380 354 Individual Supported Employment 112 141 Day Habilitation (by 15 minute units) 129 138 1,345 Health & Disability (HD) 1,326 Respite (by 15 minute units) 352 377 **Financial Management Services** 376 363 Personal Emergency Response 311 314 Home Delivered Meals 290 296 CDAC (individual) by 15 minute units 48 62 Intellectual Disability (ID) 6,951 6,923 Supported Community Living (by unit) 1,775 1,794 Supported Community Living (RCF) 1,458 1,489 Day Habilitation (units by day) 1,386 1,378 **Financial Management Services** 1,431 1,343 Supported Community Living (daily) 1,133 1,183 **Physical Disability (PD)** 606 601 Personal Emergency Response 326 327 CDAC (agency) by 15 minute units 79 84 CDAC (individual) by 15 minute units 77 63 **Financial Management Services** 30 35 Personal Emergency Response (install) 27 24

All eligible members receive service coordination and a customized individual service plan. For additional information on the HCBS waiver program including a full list of available services reference our dedicated webpage: http://dhs.iowa.gov/ime/members/medicaid-a-to-z/hcbs/waivers.

#### **Top 5 Waiver Services**

- by Member Usage

#### SFY22 Q1 SFY22 Q2

AIDS/HIV - Unique Service Plans	9	9
Home Delivered Meals	7	7
CDAC (individual) by 15 minute units	4	3
CDAC (agency) by 15 minute units	1	1
Homemaker (by 15 minute units)	1	1
Personal Emergency Response	1	1
Brain Injury (BI) Waivers	526	520
Supported Community Living (by unit)	218	225
Respite (by 15 minute units)	137	134
Personal Emergency Response	131	132
Supported Community Living (daily)	103	119
Transportation (1-way trip)	81	88
Children's Mental Health (CMH)	326	327
Respite (by 15 minute units)	189	189
Respite (Hos/NF) - 15 minute units	127	124
Family and Community Support	97	96
Mental Health Service	39	38
Respite (Resident Camp) by units	2	1
Elderly Waivers	3,237	3,277
Home Delivered Meals	2,462	2,514
Personal Emergency Response	2,464	2,490
CDAC (agency) by 15 minute units	1,307	1,352
Homemaker (by 15 minute units)	812	801
CDAC (individual) by 15 minute units	649	670

## 💙 iowa total care.

SFY22 Q1 SFY22 Q2

Habilitation (Hab)	2,300	2,356
Home-based Habilitation	1,951	1,993
Day Habilitation (by 15 minute units)	296	333
Day Habilitation (units by day)	249	286
Long Term Job Coaching	274	278
Individual Supported Employment	148	145
Health & Disability (HD)	616	594
Respite (by 15 minute units)	277	280
Home Delivered Meals	175	174
Personal Emergency Response	168	171
CDAC (agency) by 15 minute units	113	119
CDAC (individual) by 15 minute units	110	103
Intellectual Disability (ID)	4,494	4,479
Supported Community Living (by unit)	1,856	1,811
Day Habilitation (by 15 minute units)	1,466	1,660
Day Habilitation (units by day)	1,669	1,653
Supported Community Living (RCF)	1,330	1,312
Respite (by 15 minute units)	1,022	1,014
Physical Disability (PD)	363	358
Personal Emergency Response	209	194
CDAC (agency) by 15 minute units	167	170
CDAC (individual) by 15 minute units	125	121
Transportation (1-way trip)	41	37
Personal Emergency Response (install)	18	17

## Long Term Services - Waiver Service Plan Participation

#### **Top 5 Waiver Services**

- by Member Usage

#### SFY22 Q3 SFY22 Q4

AIDS/HIV - Unique Service Plans	7	8
Home Delivered Meals	8	8
CDAC (individual) by 15 minute units	2	1
CDAC (agency) by 15 minute units	1	1
Homemaker (by 15 minute units)	1	1
Brain Injury (BI) Waivers	514	515
Supported Community Living (by unit)	222	216
Personal Emergency Response	132	139
Respite (by 15 minute units)	130	125
Supported Community Living (daily)	124	122
Transportation (1-way trip)	87	93
Children's Mental Health (CMH)	328	374
Respite (by 15 minute units)	192	215
Respite (Hos/NF) - 15 minute units	127	145
Family and Community Support	106	106
Mental Health Service	40	42
Respite (Resident Camp) by units	8	12
Elderly Waivers	3,257	3,277
Personal Emergency Response	2,542	2,542
Home Delivered Meals	2,513	2,477
CDAC (agency) by 15 minute units	1,353	1,303
Homemaker (by 15 minute units)	757	708
CDAC (individual) by 15 minute units	659	648



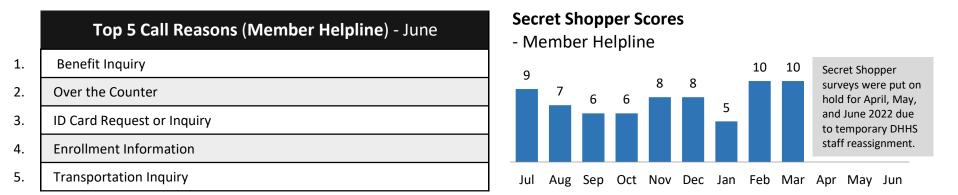
# 💙 iowa total care.

SFY22 Q4 SFY22 Q3

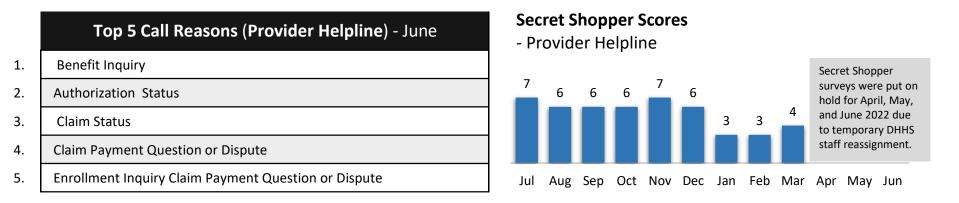
Habilitation (Hab)	2,364	2,371
Home-based Habilitation	1,966	1,954
Day Habilitation (by 15 minute units)	343	329
Day Habilitation (units by day)	296	277
Long Term Job Coaching	285	273
Individual Supported Employment	135	126
Health & Disability (HD)	593	590
Respite (by 15 minute units)	276	276
Personal Emergency Response	159	152
Home Delivered Meals	158	149
CDAC (agency) by 15 minute units	112	100
CDAC (individual) by 15 minute units	101	95
Intellectual Disability (ID)	4,466	4,435
Supported Community Living (by unit)	1,823	1,751
Day Habilitation (by 15 minute units)	1,736	1,693
Day Habilitation (units by day)	1,623	1,559
Supported Community Living (RCF)	1,284	1,214
Respite (by 15 minute units)	1,019	965
Physical Disability (PD)	375	384
Personal Emergency Response	196	213
CDAC (agency) by 15 minute units	155	161
CDAC (individual) by 15 minute units	126	114
Transportation (1-way trip)	40	41
Personal Emergency Response (install)	22	26

|--|

An Anthem Company	Jul-21	Aug-21	Sep-21	Oct-21	Nov-21	Dec-21
Member Helpline						
Service Level (Requirement 80%)	91.84%	88.86%	94.88%	93.23%	97.18%	96.90%
Abandonment Rate - Must be 5% or less	1.16%	1.08%	0.54%	0.43%	0.95%	2.13%
Member Pharmacy Helpline						
Service Level (Requirement 80%)	91.66%	89.11%	92.63%	94.29%	92.81%	98.19%
Abandonment Rate - Must be 5% or less	0.51%	0.26%	0.55%	0.66%	0.34%	0.00%
Provider Helpline						
Service Level (Requirement 80%)	85.32%	80.98%	89.92%	86.83%	95.44%	96.69%
Abandonment Rate - Must be 5% or less	0.76%	0.78%	0.37%	0.56%	1.20%	1.78%
Provider Pharmacy Helpline						
Service Level (Requirement 80%)	93.50%	92.17%	93.63%	96.42%	96.82%	95.91%
Abandonment Rate - Must be 5% or less	0.10%	0.05%	0.00%	0.36%	0.10%	0.65%
Non-Emergency Medical Transportation (NEMT)						
Service Level (Requirement 80%)	90.41%	79.64%	33.04%	47.70%	82.38%	93.32%
Abandonment Rate - Must be 5% or less	1.53%	2.13%	16.33%	6.56%	1.59%	2.43%



An Anthem Company	Jan-22	Feb-22	Mar-22	Apr-22	May-22	Jun-22
Member Helpline						
Service Level (Requirement 80%)	96.47%	95.95%	97.07%	96.39%	97.30%	93.13%
Abandonment Rate - Must be 5% or less	0.50%	1.01%	0.65%	0.37%	0.30%	0.59%
Member Pharmacy Helpline						
Service Level (Requirement 80%)	99.35%	98.64%	99.02%	99.81%	99.60%	99.14%
Abandonment Rate - Must be 5% or less	0.00%	0.00%	0.18%	0.00%	0.00%	0.09%
Provider Helpline						
Service Level (Requirement 80%)	95.18%	92.42%	95.50%	94.07%	95.40%	90.20%
Abandonment Rate - Must be 5% or less	0.32%	1.31%	0.52%	0.55%	0.33%	0.46%
Provider Pharmacy Helpline						
Service Level (Requirement 80%)	97.50%	97.54%	95.93%	96.68%	96.12%	95.09%
Abandonment Rate - Must be 5% or less	0.04%	0.41%	0.19%	0.28%	0.31%	0.05%
Non-Emergency Medical Transportation (NEMT)						
Service Level (Requirement 80%)	86.51%	86.73%	89.25%	80.81%	83.46%	90.23%
Abandonment Rate - Must be 5% or less	1.68%	2.16%	1.84%	2.69%	2.98%	1.14%



### **Call Center Performance Metrics**

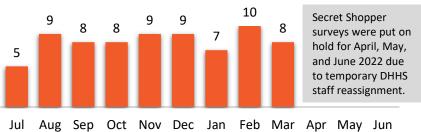
- 💙 i	owa	total	care.

	Jul-21	Aug-21	Sep-21	Oct-21	Nov-21	Dec-21
Member Helpline						
Service Level (Requirement 80%)	82.81%	82.99%	84.44%	84.41%	86.71%	89.12%
Abandonment Rate - Must be 5% or less	3.80%	3.96%	4.45%	3.84%	3.34%	2.48%
Member Pharmacy Helpline						
Service Level (Requirement 80%)	89.58%	93.23%	82.64%	88.75%	92.71%	94.16%
Abandonment Rate - Must be 5% or less	5.85%	2.77%	5.06%	2.83%	2.40%	1.42%
Provider Helpline						
Service Level (Requirement 80%)	84.35%	81.79%	86.48%	86.24%	85.69%	84.15%
Abandonment Rate - Must be 5% or less	2.84%	3.24%	3.70%	2.78%	2.74%	3.50%
Provider Pharmacy Helpline						
Service Level (Requirement 80%)	87.19%	93.23%	94.11%	96.76%	93.69%	89.08%
Abandonment Rate - Must be 5% or less	0.20%	0.00%	0.38%	0.46%	0.81%	0.42%
Non-Emergency Medical Transportation (NEMT)						
Service Level (Requirement 80%)	93.37%	81.09%	29.41%	45.36%	81.76%	93.95%
Abandonment Rate - Must be 5% or less	1.28%	1.95%	16.04%	4.83%	0.99%	0.95%

	Top 5 Call Reasons (Member Helpline) - June
1.	Benefits and Eligibility for Member
2.	Coordination Of Benefits for Member
3.	Update PCP/PPG for Member
4.	Member Rewards for Member
5.	Order ID card

### Secret Shopper Scores

- Member Helpline



### **Call Center Performance Metrics**

0	iowa	total	care.
	10110	cocac	CUI C.

	Jan-22	Feb-22	Mar-22	Apr-22	May-22	Jun-22
Member Helpline						
Service Level (Requirement 80%)	84.25%	85.39%	85.26%	83.54%	86.62%	87.92%
Abandonment Rate - Must be 5% or less	2.58%	2.65%	4.17%	4.21%	4.29%	4.29%
Member Pharmacy Helpline						
Service Level (Requirement 80%)	93.40%	87.70%	87.77%	85.60%	86.80%	86.80%
Abandonment Rate - Must be 5% or less	1.10%	1.20%	2.18%	0.90%	1.00%	1.30%
Provider Helpline						
Service Level (Requirement 80%)	87.80%	82.90%	82.30%	80.50%	85.30%	87.60%
Abandonment Rate - Must be 5% or less	4.00%	2.27%	3.15%	1.90%	1.42%	1.20%
Provider Pharmacy Helpline						
Service Level (Requirement 80%)	87.50%	95.10%	95.00%	96.72%	97.53%	98.81%
Abandonment Rate - Must be 5% or less	1.33%	0.90%	0.99%	0.22%	0.33%	0.05%
Non-Emergency Medical Transportation (NEMT)						
Service Level (Requirement 80%)	86.30%	87.09%	89.84%	79.85%	84.84%	91.36%
Abandonment Rate - Must be 5% or less	1.03%	1.58%	1.54%	2.08%	2.16%	0.83%

	Top 5 Call Reasons (Provider Helpline) - June		r <b>et S</b> ovid	
1.	Coordination Of Benefits for Provider	10		9
2.	Benefits and Eligibility for Provider		7	
3.	Claims Inquiry			
4.	Provider Outreach for Provider			
5.	View Authorization for Provider	Jul	Aug	Sep



**Primary Care Providers (PCP)** SFY22 Q2 SFY22 Q3 SFY22 Q1 SFY22 Q4 Adults PCP 6,589 6,688 6,768 6,893 **Provider Count** 228,637 231,146 230,958 237,584 Members with Access Average Distance (Miles) 1.8 1.8 1.8 1.8 Pediatric PCP **Provider Count** 6,621 6,924 6,719 6,798 213,136 212,453 214,637 214,390 Members with Access Average Distance (Miles) 2.0 1.9 1.9 1.9

### Specialty Care &

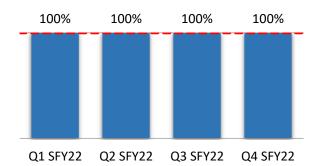
Behavioral Health (BH)	SFY22 Q1	SFY22 Q2	SFY22 Q3	SFY22 Q4
OB/GYN Adult				
Provider Count	401	405	409	423
Members with Access	148,670	150,083	150,019	154,186
Average Distance (Miles)	5.6	5.6	5.5	5.5
Outpatient - Behavioral Health				
Provider Count	4,305	4,456	4,503	4,543
Members with Access	441,773	443,599	445,595	451,974
Average Distance (Miles)	2.3	2.2	2.2	2.2
Inpatient - Behavioral Health				
Provider Count	50	51	51	51
Rural Members				
Members with Access	180,629	181,008	181,707	184,359
Average Distance (Miles)	21.4	18.5	18.3	21.0
Urban Members				
Members with Access	261,144	262,591	263,888	267,615
Average Distance (Miles)	5.8	5.8	5.8	5.8



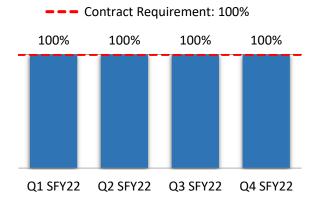
### **Adult PCP - Standards**

30 minutes or 30 miles

--- Contract Requirement: 100%



#### **Pediatric PCP - Standards** 30 minutes or 30 miles



#### Link to Geo Access Reports:

https://dhs.iowa.gov/ime/about/ performance-data-geoaccess **Primary Care Providers (PCP)** SFY22 Q2 SFY22 Q3 SFY22 Q1 SFY22 Q4 Adults PCP 9,894 9,894 9,894 **Provider Count** 9,894 175,634 180,087 186,041 189,029 Members with Access Average Distance (Miles) 2.0 2.0 2.0 2.0 **Pediatric PCP** Provider Count 10,658 10,658 10,658 10,658 141,050 143,484 146,338 147,665 Members with Access 2.1 2.1 2.1 Average Distance (Miles) 2.1

### Specialty Care &

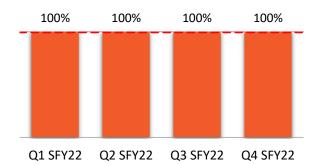
Behavioral Health (BH)	SFY22 Q1	SFY22 Q2	SFY22 Q3	SFY22 Q4
OB/GYN Adult				
Provider Count	1,298	1,298	1,298	1,298
Members with Access	115,394	118,135	121,417	123,122
Average Distance (Miles)	5.4	5.4	5.3	5.4
Outpatient - Behavioral Health				
Provider Count	9,688	9,688	9,688	9,688
Members with Access	316,684	323,571	332,379	336,694
Average Distance (Miles)	2.4	2.4	2.4	2.5
Inpatient - Behavioral Health				
Provider Count	36	36	36	36
Rural Members				
Members with Access	226,908	231,823	238,027	241,452
Average Distance (Miles)	24.6	24.5	24.5	24.5
Urban Members				
Members with Access	89,776	91,748	94,352	95,242
Average Distance (Miles)	8.4	8.4	8.4	8.4



Adult PCP - Standards

30 minutes or 30 miles

--- Contract Requirement: 100%



Pediatric PCP - Standards

30 minutes or 30 miles



#### Link to Geo Access Reports:

https://dhs.iowa.gov/ime/about/ performance-data-geoaccess

## **MCO Program Integrity**

Program integrity (PI) encompasses a number of activities to ensure appropriate billing and payment. The main strategy for eliminating fraud, waste and abuse is to use state-of-the art technology to eliminate inappropriate claims before they are processed. This pre-edit process is done through sophisticated billing systems, which have a series of edits that reject inaccurate or duplicate claims. Increased program integrity activities will be reported over time as more claims experience is accumulated by the MCOs, medical record reviews are completed, and investigations are closed.

The billing process generates the core information for program integrity activities. Claims payment and claims history provide information leading to the identification of potential fraud, waste, and abuse. Therefore MCO investigations, overpayment recovery, and referrals to the Medicaid Fraud Control Unit (MCFU) listed in this chart would be considered pending until final determinations are made.

#### **Total SFY22 Investigations Opened**



37 YTD Cases Referred to MCFU

Amerigroup
------------

. .

.

An Anthem Company	SFY22 Q1	SFY22 Q2	SFY22 Q3	SFY22 Q4	Average	Total
Investigations opened	28	31	44	25	32	128
Overpayments identified	14	25	28	10	19	77
Member concerns referred to IME	2	5	0	4	3	11
Cases referred to the Medicaid Fraud Control Unit (MCFU)	6	4	3	2	4	15

V iowa total care.	SFY22 Q1	SFY22 Q2	SFY22 Q3	SFY22 Q4	Average	Total
Investigations opened	15	12	16	18	15	61
Overpayments identified	12	17	9	6	11	44
Member concerns referred to IME	10	5	6	4	6	25
Cases referred to the Medicaid Fraud Control Unit (MCFU)	16	3	3	0	6	22

### National Committee for Quality Assurance (NCQA) - 2022 Health Plan Ratings

The National Committee for Quality Assurance (NCQA) is a privately owned entity that rates more than 1,000 health insurance plans each year based on quality measures (HEDIS<sup>® 10</sup>) and member experience survey scores (CAHPS<sup>® 11</sup>). Every September, NCQA publishes the results of their findings in a "Report Card" that uses a star rating system for easy comparison across health plans.

NCQA's Health Plan Ratings 2022 - Medicaid HMO: https://reportcards.ncqa.org/health-plans?pg=1&filter-plan=Medicaid



<sup>10</sup> HEDIS<sup>®</sup> is a registered trademark of the National Committee for Quality Assurance (NCQA)

<sup>11</sup> CAHPS<sup>®</sup> is a registered trademark of the Agency for Healthcare Research and Quality (AHRQ)

<sup>12</sup> The overall rating is the weighted average of all measures, not just the averages of the three listed composites sections (Patient Experience, Prevention, Treatment)



The Health Effectiveness Data and Information Set (HEDIS) uses evidence-based measurement and specifications developed by the National Committee for Quality Assurance (NCQA) to benchmark health plan performance. HEDIS is one of health care's most widely used performance improvement tools. The data published below follows the guidance of Section 1139 by focusing on the areas of prenatal care, behavioral health, adult health, and children's health.

Amerigroup	Measu 2020	re Year <sup>13</sup> 2021		💙 iowa total care.	Measu 2020	re Year <sup>13</sup> 2021
Prenatal and Postpartum Care (PPC	2)		Pre	natal and Postpartum Care (PP	C)	
Timeliness of Prenatal Care	78.1%	81.5%	Tir	meliness of Prenatal Care	69.6%	75.4%
Timeliness of Postpartum Care	68.9%	76.9%	Tir	meliness of Postpartum Care	72.5%	76.4%
Follow-Up After Hospitalization for Mental Illness (FUH)				ow-Up After Hospitalization for ntal Illness (FUH)		
7-Day Follow-Up (Total)	48.8%	57.6%	7-	Day Follow-Up (Total)	30.7%	45.1%
30-Day Follow-Up (Total)	69.4%	75.5%	30	-Day Follow-Up (Total)	50.9%	66.0%
Initiation and Engagement of AOD A Dependence Treatment (IET)	Abuse or			iation and Engagement of AOD pendence Treatment (IET)	Abuse or	
Initiation of AOD (Total)	70.0%	74.6%	Ini	itiation of AOD (Total)	76.2%	47.3%
Engagement of AOD (Total)	26.2%	27.8%	En	gagement of AOD (Total)	28.4%	16.9%

<sup>13</sup> Measure Year 2021 (data collected between Jan 1, 2021 and Dec 31, 2021).

## Healthcare Effectiveness Data and Information Set (HEDIS)

Amerigroup An Anthem Company	Meası 2020	ure Year 2021	Viewa total careMeasure Year20202021
Adult-Specific Measures			Adult-Specific Measures
Persistence of Beta-Blocker Treatment After a Heart Attack (PBH)	78.3%	81.2%	Persistence of Beta-Blocker67.8%73.9%Treatment After a Heart Attack (PBH)
Use of Imaging Studies for Low Back Pain (LBP)	71.0%	70.5%	Use of Imaging Studies for 69.5% 68.7% Low Back Pain (LBP)
Child-Specific Measures			Child-Specific Measures
Appropriate Treatment for Children With Upper Respiratory Infection (URI)	88.5%	92.3%	Appropriate Treatment for Children89.1%92.9%With Upper Respiratory Infection (URI)
Appropriate Testing for Children With Pharyngitis (CWP)	83.0%	81.4%	Appropriate Testing for Children82.8%81.6%With Pharyngitis (CWP)
Use of First Line Psychosocial Care for Children and Adolescents on Antipsychotics (APP) - Total	59.0%	62.7%	Use of First Line Psychosocial Care 59.2% 64.5% for Children and Adolescents on Antipsychotics (APP) - Total

Reference the following NCQA web pages for detailed descriptions of each HEDIS measure and their national Medicaid HMO results:

- PPC: https://www.ncqa.org/hedis/measures/Prenatal-and-Postpartum-Care-PPC/
- FUH: https://www.ncqa.org/hedis/measures/Follow-Up-After-Hospitalization-For-Mental-Illness/
- IET: https://www.ncqa.org/hedis/measures/Initiation-And-Engagement-Of-Alcohol-And-Other-Drug-Abuse-Or-Dependence-Treatment/
- PBH: <u>https://www.ncqa.org/hedis/measures/Persistence-Of-Beta-Blocker-Treatment-After-A-Heart-Attack/</u>
- LBP: https://www.ncqa.org/hedis/measures/Use-Of-Imaging-Studies-For-Low-Back-Pain/
- URI: https://www.ncqa.org/hedis/measures/Appropriate-Treatment-For-Children-With-Upper-Respiratory-Infection/
- CWP: https://www.ncqa.org/hedis/measures/Appropriate-Testing-For-Children-With-Pharyngitis/
- APP: https://www.ncqa.org/hedis/measures/Use-Of-First-Line-Psychosocial-Care-For-Children-And-Adolescents-On-Anti-Psychotics/

All Other/HEDIS Measures and Technical Resources: <u>https://www.ncqa.org/hedis/measures/</u>

The Consumer Assessment of Healthcare Providers and Systems (CAHPS) uses evidence-based measurement and survey delivery specifications to benchmark health plan performance in this area. The data published in this report include composite scores of the following domains: getting needed care, getting care quickly, how well doctors communicate, and customer service.

<b>Amerigroup</b>	Survey	Year <sup>14</sup>
An Anthem Company	2020	2021
Composite: Getting Needed Care		
Never or Sometimes	11.9%	14.0%
Usually	22.3%	31.0%
Always - "Top Box Score"	65.8%	55.0%
Composite: Getting Care Quickly		
Never or Sometimes	15.3%	14.2%
Usually	18.5%	27.6%
Always - "Top Box Score"	66.2%	58.2%
Composite: How Well Doctors Comr	nunicate	
Never or Sometimes	4.2%	6.3%
Usually	19.9%	16.2%
Always - "Top Box Score"	75.9%	77.6%
Health Plan Information & Custome	r Service	
Never or Sometimes	10.7%	11.4%
Usually	19.3%	25.7%
Always - "Top Box Score"	70.0%	62.9%

<sup>14</sup> Survey Year 2021 (data collected between Jan 1, 2021 and Dec 31, 2021).

💙 iowa total care.	Survey	Year <sup>14</sup>
	2020	2021
Composite: Getting Needed Care		
Never or Sometimes	11.2%	13.5%
Usually	26.8%	27.7%
Always - "Top Box Score"	62.0%	58.8%
Composite: Getting Care Quickly		
Never or Sometimes	10.7%	11.9%
Usually	18.3%	29.4%
Always - "Top Box Score"	71.0%	58.7%
Composite: How Well Doctors Comm	unicate	
Never or Sometimes	3.7%	7.8%
Usually	13.3%	15.9%
Always - "Top Box Score"	83.0%	76.3%
Health Plan Information & Customer	Service	
Never or Sometimes	8.2%	3.0%
Usually	19.9%	23.0%
Always - "Top Box Score"	71.9%	74.0%

The acronym "CAHPS" is a registered trademark of the Healthcare Research and Quality (AHRQ) agency. AHRQ is a Federal agency that collects, trends, and maintains a vast CAHPS database which can be used to compare national and regional "Top Box Scores".

CAHPS® Health Plan Survey Database > Top Box Results: https://datatools.ahrq.gov/cahps

Amerigroup	Survey Year <sup>14</sup>		💙 iowa total care.	Survey Year <sup>14</sup>	
An Anthem Company	2020	2021		2020	2021
Composite: Getting Needed Care			Composite: Getting Needed Care		
Never or Sometimes	13.5%	9.9%	Never or Sometimes	11.1%	13.9%
Usually	20.3%	19.3%	Usually	21.0%	20.5%
Always - "Top Box Score"	66.2%	70.8%	Always - "Top Box Score"	67.9%	65.5%
Composite: Getting Care Quickly			Composite: Getting Care Quickly		
Never or Sometimes	12.3%	8.3%	Never or Sometimes	7.7%	10.1%
Usually	13.4%	16.3%	Usually	12.3%	10.2%
Always - "Top Box Score"	74.3%	75.4%	Always - "Top Box Score"	80.0%	79.7%
Composite: How Well Doctors Con	nmunicate		Composite: How Well Doctors Co	mmunicate	
Never or Sometimes	5.9%	3.5%	Never or Sometimes	3.6%	4.8%
Usually	12.3%	10.5%	Usually	14.2%	9.0%
Always - "Top Box Score"	81.8%	86.0%	Always - "Top Box Score"	82.2%	86.2%
Health Plan Information & Customer Service			Health Plan Information & Customer Service		
Never or Sometimes	11.0%	5.6%	Never or Sometimes	13.8%	14.4%
Usually	18.5%	21.0%	Usually	18.1%	18.1%
Always - "Top Box Score"	70.5%	73.4%	Always - "Top Box Score"	68.1%	67.6%

<sup>14</sup> Survey Year 2021 (data collected between Jan 1, 2021 and Dec 31, 2021).

States with Medicaid managed care delivery systems are required to annually provide an assessment of each MCO's strengths and weaknesses related to quality, timeliness, and access. To meet this requirement, the Iowa Department of Human Services (DHS) has contracted with **Health Services Advisory Group**, **Inc**. (**HSAG**), as its external quality review organization (EQRO) to perform the assessment and produce this annual report.

All public facing assessments, known as the External Quality Review (EQR) Technical Reports, must be posted on-line each year by April 30. See DHS website for all historical assessments: https://dhs.iowa.gov/ime/about/performance-data/annualreports.

#### **HEDIS & CAHPS Specific EQR Reports:**

HSAG has included HEDIS rates and analysis within the EQR Technical Reports starting in CY2019, and CAHPS rates and analysis starting in CY2020.

CY 2022 EQR Technical Report: Due April 30, 2023 CY 2021 EQR Technical Report: https://dhs.iowa.gov/sites/default/files/IA2021\_EQR-TR\_Report\_F1.pdf?042620222013 CY 2020 EQR Technical Report: https://dhs.iowa.gov/sites/default/files/2020\_EQR.pdf?042120211935 CY 2019 EQR Technical Report: https://dhs.iowa.gov/sites/default/files/2019\_EQR.pdf?043020201227



### **Appendix: Glossary**

**Abandonment Rate**: Percentage of unanswered calls abandoned by the caller after 30 seconds of the call entering the queue. (E.g., caller hangs up before speaking to anyone after waiting more than 30 seconds in a queue.)

#### Administrative Loss Ratio (ALR): See Financial Ratios

Adult Day Care: An organized program of supportive care in a group environment. The care is provided to members who need a degree of supervision and assistance on a regular or intermittent basis in a day care setting.

**All Cause Readmissions**: This measure looks at the rate of provider visits within 30 days of discharge from an acute care hospital per 1,000 discharges among beneficiaries assigned.

AIDS/HIV Waiver: A HCBS waiver that offers services for those who have been diagnosed with AIDS or HIV.

Appeal: An appeal is a request for a review of an adverse benefit determination. Actions that a member may choose to appeal:

- Denial of or limits on a service.
- Reduction or termination of a service that had been authorized.
- Denial in whole or in part of payment for a service.
- Failure to provide services in a timely manner.
- Failure of the MCO to act within required time-frames.
- For a resident of a rural area with only one MCO, the denial of services outside the network

A member or a member's authorized representative (e.g., provider or lawyer) may file an appeal directly with the MCO (a.k.a. first level review) or with the department (HHS). If filed with the MCO, the MCO has 30-days to try and resolve. If the member and/or provider is not happy with the outcome of the first level review, they may request a State Fair Hearing. See https://dhs.iowa.gov/appeals

**Brain Injury** (**BI**) **Waiver**: A HCBS waiver that offers services for those who have been diagnosed with a brain injury due to an accident or an illness.

**Capitation Expenditures**: Medicaid payments the Department makes on a monthly basis to the MCOs for member health coverage. MCOs are paid a set amount for each enrolled person assigned to that MCO, regardless of whether services are used that month. Capitated rate payments vary depending on the member's eligibility.

• Adjustments: Monetary only payments/adjustments that can occur within the paid month for same month or prior months. Example: Program Integrity requests recoupments/adjustments based on their data pulls (date of death, incarceration based on DOC file, etc.). Those requests would process through MMIS and would either make the pay-out amounts higher or lower depending on if they were recoupments or adjustments.

Capitation Expenditures (continued...):

· Current: Payments that occur within the paid month for same month

• **Retro**: Monthly mass adjustment processes look at the last 12 months and adjust capitation claims based on any eligibility changes (gender, DOB, MCO removal, Cap group changes) the member had in that timeframe. Capitation would either be adjusted or recouped and that would make the pay-out amounts higher or lower depending on if they were recoupments or adjustments.

Care Coordinator: A person who helps manage the health of members with chronic health conditions.

Case Manager: See Community Based Case Management (CBCM)

**Centers for Medicare and Medicaid Service (CMS)**: A federal agency that administers the Medicare program and works in partnership with state governments to administer Medicaid standards.

**Children's Mental Health** (**CMH**) **Waiver**: A HCBS waiver that offers services for children up to age 18, who have been diagnosed with a serious emotional disturbance.

**Children's Health Insurance Program** (**CHIP**): A federal program administered by state governments to provide health care coverage for children and families whose income is too high to qualify for Medicaid, but too low to afford individual or work-provided health care.

Claims: What providers submit to the MCOs or the Department in order to receive payment for services rendered.

- Paid: Claim is received and the provider is reimbursed for the service rendered
- Denied: Claim is received and services are not covered benefits, duplicate, or other substantial issues that prevent payment
- Suspended: Pending internal review for medical necessity and/or additional information must be submitted for processing
- Run Out: Additional time for providers to submit claims for services rendered
- Provider Adjustment Requests and Errors Reprocessed:
  - o Claims where the provider may request a reopening to fix clerical errors or billing errors
  - o Claims identified by the MCOs as erroneously paid or denied which are corrected

**Clean Claims**: The claim is on the appropriate form, identifies the service provider that provided service sufficiently to verify, if necessary, affiliation status, patient status and includes any identifying numbers and service codes necessary for processing.

**Community**: A natural setting where people live, learn, work, and socialize.

**Community Based Case Management** (**CBCM**): Helps LTSS members manage complex health care needs. It includes planning, facilitating and advocating to meet the member's needs. It promotes high quality care and cost effective outcomes. CBCMs make sure that the member's care plan is carried out. They make updates to the care plan as needed.

**Consumer Directed Attendant Care** (**CDAC**): Helps people do things that they normally would for themselves if they were able. CDAC services may include unskilled tasks such as bathing, grocery shopping, household chores or skilled tasks such as medication management, tube feeding, recording vital signs. CDAC providers are available through an agency or from an individual such as a family member, friend, or neighbor that meets eligibility requirements.

Denied Claims: See Claims

Department of Human Services (DHS): See Health and Human Services (HHS)

**Disabled**: Group descriptions include: Age Blind Disabled (ABD), Residential Care Facility (RFC), Nursing Facility (NF), Hospice, Skilled Nursing Facility (SNF), Intermediate Care Facility (ICF), State Mental Health Hospital, and Children in Psychiatric Mental Institutions (PMIC).

**Disenrollment**: Refers to members who have chosen to change their enrollment with one MCO to an alternate MCO.

Dual: Members who have both Medicare and Medicaid benefits.

**Durable Medical Equipment (DME)**: Reusable medical equipment for use in the home. It is rented or owned by the member and ordered by a provider.

Elderly Waiver: A HCBS waiver that offers services for elderly persons. An applicant must be at least 65 years of age.

**Financial Ratios**: The Affordable Care Act requires insurance companies to spend at least 80% or 85% of premium dollars on medical care. In lowa, the Medical Loss Ratio (MLR) for MCOs is contractually set at 88%.

- Administrative Loss Ratio (ALR): The percent of capitated rate payments an MCO spends on administrative costs.
- **Medical Loss Ratio** (**MLR**): The percent of capitated rate payments an MCO spends on claims and expenses that improve health care quality of Medicaid members.
- Underwriting Ratio (UR): If total expenses exceed capitated rate payments, an underwriting loss occurs. If total capitated rate payments exceed total expenses, an underwriting profit occurs.

**Grievance**: Members have the right to file a grievance with their MCO. A grievance is an expression of dissatisfaction about any matter other than a decision. The member, the member's representative or provider who is acting on their behalf and has the member's written consent may file a grievance. The grievance must be filed within 30 calendar days from the date the matter occurred. Examples include but are not limited to:

- Member is unhappy with the quality of your care
- Doctor who the member wants to see is not in the MCO's network
- Member is not able to receive culturally competent care
- Member got a bill from a provider for a service that should be covered by the MCO

Grievance (continued...):

- Rights and dignity
- · Member is commended changes in policies and services
- Any other access to care issues

Habilitation (Hab) Services: A program that provides HCBS for lowans with the functional impairments typically associated with chronic mental illnesses.

**Health & Disability (HD) Waiver**: A HCBS waiver that offers services for those persons who are blind or disabled. An applicant must be less than 65 years of age for this waiver.

Healthy and Well Kids in Iowa (Hawki): In Iowa, CHIP is offered through the Hawki program. Hawki offers health coverage, through a MCO, for uninsured children of working families. A family who qualifies for Hawki may have to pay a monthly premium.

**Health and Human Services (HHS)**: On June 14, 2022, House File 2578 was signed by Iowa Governor Reynolds, creating a Department of Health and Human Services by merging Public Health (IDPH) and Human Services (DHS) into one, single, department.

Home Delivered Meals: Meals that are prepared outside of the member's home and delivered to the member.

**Homemaker Services**: Services provided when the member lives alone or when the person who usually performs these functions for the member needs assistance. Homemaker service is limited to essential shopping, limited house cleaning, and meal preparation.

Home and Community Based Services (HCBS): Types of person-centered care delivered in the home and community. A variety of health and human services can be provided. HCBS programs address the needs of people with functional limitations who need assistance with everyday activities, like getting dressed or bathing. HCBS are often designed to enable people to stay in their homes, rather than moving to a facility for care.

Inpatient Admissions: A member has formally been admitted to a hospital to receive care.

Intellectual Disability (ID) Waiver: A HCBS waiver that offers services for persons who have been diagnosed with an intellectual disability.

Intermediate Care Facilities for the Intellectually Disabled (ICF/ID): The ICF/IID benefit is an optional Medicaid benefit. The Social Security Act created this benefit to fund "institutions" (4 or more beds) for individuals with intellectual disabilities, and specifies that these institutions must provide "active treatment," as defined by the Secretary. Currently, all 50 States have at least one ICF/IID facility. This program serves over 100,000 individuals with intellectual disabilities and other related conditions. Most have other disabilities as well as intellectual disabilities. Many of the individuals are non-ambulatory, have seizure disorders, behavior problems, mental illness, visual or hearing impairments, or a combination of the above. All must qualify for Medicaid assistance financially.

**Iowa Health and Wellness Plan (IHAWP)**: The Iowa Health and Wellness Plan covers Iowans, ages 19-64, with incomes up to and including 133 percent of the Federal Poverty Level (FPL). The plan provides a comprehensive benefit package and is part of Iowa's implementation of the Affordable Care Act or Medicaid expansion.

Iowa Insurance Division (IID): The state regulator which supervises all insurance business transacted in the state of Iowa.

Iowa Medicaid: The division of Health and Human Services (HHS) that administers the Iowa Medicaid Program.

**Iowa Participant Experience Survey** (**IPES**): A survey tool developed for use with HCBS programs that asks members about the services they receive, and where the service is provided.

Level of Care (LOC): Members asking for HCBS waivers or facility care must meet Level of Care criteria. These must be consistent with people living in a care facility such as a nursing facility. Level of Care is determined by an assessment approved by DHS.

Long Term Services and Supports (LTSS): Medical and/or personal care and supportive services needed by individuals who have lost some capacity to perform activities of daily living, such as bathing, dressing, eating, transfers, and toileting, and/or activities that are essential to daily living, such as housework, preparing meals, taking medications, shopping, and managing money.

**M-CHIP**: Refers to Medicaid CHIP, or Medicaid expansion. M-CHIP provides coverage to children ages 6-18 whose family income is between 122 and 167 percent of the Federal Poverty Level (FPL), and infants whose family income is between 240 and 375 percent of the FPL.

**Managed Care Organization** (**MCO**): A health plan contracted with DHS to provide Iowa Medicaid members with comprehensive health care services, including physical health, behavioral health, and LTSS.

**Medicaid**: Provides medically necessary health care coverage for financially needy adults, children, parents with children, people with disabilities, elderly people and pregnant women. Also known as Title XIX under the Social Security Act.

Medicaid Expansion: See Iowa Health and Wellness Plan (IHAWP) and/or M-CHIP

**Medicaid Fraud Control Unit (MFCU)**: A division within the Iowa Department of Inspections & Appeals whose primary goal is to prevent abuse of taxpayer resources through professional investigation of criminal activity. MFCU staffs experienced criminal investigators, auditors, and attorneys to achieve this goal.

Medical Loss Ratio (MLR): See Financial Ratios

**Mental Health Institute** (**MHI**): Provide short term psychiatric treatment and care for severe symptoms of mental illness. Iowa has two MHIs located in **Cherokee** and **Independence**. The services at each MHI vary.

Monthly Capitation Expenditures: See Capitation Expenditures

Nursing Facility (NF): Provide 24-hour care for individuals who need nursing or skilled nursing care.

**Non-Emergent Use**: Illnesses or injuries that are generally not life-threatening and do not need immediate treatment at an Emergency Department.

**Non-Emergency Medical Transportation** (**NEMT**): Services are for members with full Medicaid benefits, who need travel reimbursement or a ride to get to their medical appointments.

**Physical Disability (PD) Waiver**: A HCBS waiver that offers services for persons who are physically disabled. An applicant must be at least 18 years of age, but less than 65 years of age.

**Prior Authorization** (**PA**): Some services or prescriptions require approval from the MCO for them to be covered. This must be done before the member gets that service or fills that prescription. Prior Authorizations for pharmaceuticals are becoming more complex and may require more specific data for approval.

**Primary Care Provider** (**PCP**): A physician, a physician assistant or nurse practitioner, who directly provides or coordinates member health care services. A PCP is the main provider the member will see for checkups, health concerns, health screenings, and specialist referrals.

**Program Integrity** (PI): Program Integrity (PI) is charged with reducing fraud, waste and abuse in the Iowa Medicaid program.

Provider Adjustment Requests and Errors Reprocessed: See Claims

**Provider Network Access**: Each MCO has a network of providers across Iowa who their members may see for care. Members don't need to call their MCO before seeing one of these providers. Before getting services from providers, members should show their ID card to ensure they are in the MCO network. There may be times when a member needs to get services outside of the MCO network. If a needed and covered service is not available in-network, it may be covered out-of-network at no greater cost to the member than if provided in-network.

**Psychiatric Medical Institute for Children** (**PMIC**): Institutions which provide more than 24-hours of continuous care involving long-term psychiatric services to three or more children in residence. The expected periods of stay for diagnosis and evaluation are fourteen days or more and for treatment the expected period of stay is 90-days or more.

Reported Reserves: Refer to an MCO's ability to pay their bills and the amount of cash they have on hand to do so.

Service Level (SL): In relation to call centers, service level is defined as the percentage of calls answered within a predefined amount of time.

**Service Plan**: Plan of services for HCBS members. A member's service plan is based on the member's needs and goals. It is created by the member and their interdisciplinary team to meet HCBS Waiver criteria.

#### Skilled Nursing Care: See Nursing Facility

Suspended Claims: See Claims

Temporary Assistance for Needy Families (TANF) Adult and Child: A program to help needy families achieve self-sufficiency.

**Third-Party Liability (TPL) Recovered**: Third party payments include recoveries from health insurance coverage, settlements or court awards for casualty/tort (accident) claims, product liability claims (global settlements), medical malpractice, worker's compensation claims, etc. This means all other available TPL resources must meet their legal obligation to pay claims for the care of an individual eligible for Medicaid. By law, Medicaid is generally the payer of last resort, meaning that Medicaid only pays claims for covered items and services if there are no other liable payers.

#### Underwriting Ratio (UR): See Financial Ratios

Value Added Services (VAS): Optional benefits provided by the MCOs outside of the standard Medicaid benefit package. MCOs use value added services as an incentive to attract members to their plan. The following VAS examples, captured from each MCO's handbook, provide a description of their most active services offered. A complete listing by each MCO can also be found here: https://dhs.iowa.gov/sites/default/files/Comm504.pdf

• Taking Care of Baby and Me® (AGP): It's very important to see your primary care provider (PCP), obstetrician or gynecologist (OB/GYN) for care when you're pregnant. This kind of care is called prenatal care. It can help you have a healthy baby. Prenatal care is always important even if you've already had a baby. With our program, members receive health information and rewards for getting prenatal and postpartum care.

• **My Health Pays** (**ITC**): This program rewards members who engage in healthy behaviors with predetermined nominal dollar amounts. Members who complete plan determined healthy behaviors will receive a reloadable Visa card. This Visa card can only be used at participating retailers, such as Walmart and for additional options such as transportation, utilities, phone bills, education costs, child care and rent. This card does not allow for the purchase of tobacco, firearms, or alcohol. In addition to this, members may utilize this card for medical cost share. Should a member incur a copay for a non-emergent emergency department visit, they may use the card to pay for this copay.

**Value Based Purchasing (VBP) Agreement**: An agreement that holds health care providers accountable for both the cost and quality of care they provide by providing payment to improved performance.

**Waivers**: See Home and Community Based Services (HCBS) or reference by individual waiver descriptions (Elderly, Physical Disability, Health and Disability, AIDS/HIV, Brian Injury, Intellectual Disability, or Children's Mental Health)

#### Waiver Service Plan: See Service Plan

### Appendix: Oversight Entities - Healthy and Well Kids in Iowa (Hawki) Board

The Hawki Board is a group of people and directors of other state agencies who are appointed by the Governor or who are members of the Legislature. The Hawki Board was established to provide direction to the Iowa Department of Human Services on the development, implementation, and ongoing administration of the Hawki program. The Hawki Board is required by law to meet at least six times per year and usually meets on the third Monday of every other month. Anyone may attend and observe a Board meeting. During the meeting, there is time for the public to make comments and ask questions.

See DHS website for all future and historical meeting information: https://dhs.iowa.gov/hawki/hawkiboard

#### Hawki Board of Directors Member List

Public Members MaryNelle Trefz, Chair Mary Scieszinski, Vice Chair Shawn Garrington Mike Stopulos

#### Statutory Members Iowa Insurance Division Doug Ommen - Commissioner Angela Burke Boston - Designee

Iowa Department of Education Dr. Ann Lebo - Director Jim Donoghue - Designee

**Iowa Department of Public Health** Kelly Garcia - Interim Director Angie Doyle Scar - Designee Department of Human Services (DHS) Staff Elizabeth (Liz) Matney - Iowa Medicaid Director

Legislative Members - Ex Officio Senator Nate Boulton Senator Mark Costello Representative Shannon Lundgren



### Appendix: Oversight Entities - Medical Assistance Advisory Council (MAAC)

The purpose of the Medical Assistance Advisory Council (MAAC) is to "Advise the Director about health and medical care services under the medical assistance program." The Council is mandated by federal law and further established in Iowa Code. MAAC meets quarterly.

See DHS website for all future and historical meeting information: https://dhs.iowa.gov/ime/about/advisory\_groups/maac

#### **MAAC Council Member List**

#### Co-Chairpersons

Angela Doyle-Scar, Public Health Jason Haglund, Public Member

Voting Members: Public Representatives John Dooley, Public Member Dee Sandquist, Public Member Amy Shriver, Public Member Marcie Strouse, Public Member

Voting Members: Professional and Business Entities Brett Barker, Iowa Pharmacy Association Erin Cubit, Iowa Hospital Association Brandon Hagen, Iowa Health Care Association Shelly Chandler, Iowa Association of Community Providers Dennis Tibben, Iowa Medical Society

#### Members of the General Assembly

Senator Bolkcom Senator Mark Costello Representative John Forbes Representative Ann Meyer

#### **Other Statutory Members**

VACANT, Des Moines University-Osteopathic Medical Center Angela Van Pelt, Iowa Department of Aging Cynthia Pedersen, Long-Term Care Ombudsman Jennifer Harbison, University of Iowa College of Medicine Angela Doyle Scar, Iowa Department of Public Health Mary Nelle Trefz, Hawki Board

#### **Professional and Business Entities**

Anthony Carroll, AARP Doug Cunningham, the ARC of Iowa Kristie Oliver, Coalition for Family and Children's Services in Iowa Wendy Gray, Free Clinics of Iowa David Carlyle, Iowa Academy of Family Physicians Patricia Hildebrand, Iowa Academy of Nutrition and Dietetics Maria Jordan, Iowa Adult Day Services Association Dan Royer, Iowa Alliance in Home Care Helen Royer, Iowa Hearing Association Cheryll Jones, Iowa Association of Nurse Practitioners Edward Friedmann, Iowa Association of Rural Health Clinics Di Findley, Iowa CareGivers **Continued**... MAAC Council Member List continued...

**Professional and Business Entities** Flora Schmidt, Iowa Behavioral Health Association Marianka Pille, Iowa Chapter of the American Academy of Pediatrics Denise Rathman, Iowa Chapter of the National Association of Social Workers Molly Lopez, Iowa Chiropractic Society Josh Carpenter, Iowa Dental Association Laurie Traetow, Iowa Dental Association Brooke Lovelace, Iowa Developmental Disabilities Council Bill Kallestad, Iowa Developmental Disabilities Council Sue Whitty, Iowa Nurses Association Sherry Buske, Iowa Nurse Practitioner Society Steve Bowen, Iowa Occupational Therapy Association Gary Ellis, Iowa Optometric Association VACANT, Iowa Osteopathic Medical Association Kate Walton, Iowa Physical Therapy Association Kevin Kruse, Iowa Podiatric Medical Society Erica Shannon, Iowa Primary Care Association Sara Stramel Brewer, Iowa Psychiatric Society Dave Beeman, Iowa Psychological Association Barbara Nebel, Iowa Speech-Language-Hearing Association Deb Eckerman Slack, Iowa State Association of Counties Matt Blake, Leading Age Iowa Matt Flatt, Midwest Association for Medical Equipment Services Peggy Huppert, National Alliance on Mental Illness Kay Vanags, Iowa Association of Area Agencies on Aging Lynn Boes, Iowa Nurses Association Marc Doobay, Iowa Physician Assistant Society Cindy Baddeloo, Iowa Health Care Association/Iowa Center for Assisted Living VACANT, Opticians Association of Iowa Kady Reese, Iowa Medical Society Susan Horras, Iowa Hospital Association

There is created within the Department of Human Services a council on human services which shall act in a policymaking and advisory capacity on matters within the jurisdiction of the department. The council shall consist of seven voting members appointed by the governor subject to confirmation by the senate. Appointments shall be made on the basis of interest in public affairs, good judgement, and knowledge and ability in the field of human services. Appointments shall be made to provide a diversity of interest and point of view in the membership and without regard to religious opinions or affiliations. The voting members of the council shall serve for six-year staggered terms.

See DHS website for all future and historical meeting information: https://dhs.iowa.gov/about/dhs-council

#### **Council on Human Services Member List**

#### Iowa Council on Human Services Members

Rebecca Peterson, Clive - Chair Kimberly Kudej, Swisher - Vice Chair Sam Wallace, Des Moines Skylar Mayberry-Mayes, Des Moines John (Jack) Willey, Maquoketa Kay Fisk, Mt. Vernon, IA Monika Jindal, Tiffin, IA Legislative Members - Ex Officio Senator Amanda Ragan Senator Mark Costello Representative Joel Fry Representative Timi Brown-Powers The Iowa Mental Health and Disability Services (MHDS) Commission is the state policy-making body for the provision of services to persons with mental illness, intellectual disabilities or other developmental disabilities, or brain injury. It is authorized by Section 225C.5 of the Code of Iowa.

The Commission currently consists of eighteen voting members appointed by the Governor and confirmed by a two-thirds vote of the Senate. Commission members are appointed on the basis of interest and experience in the fields of mental health, intellectual disabilities or other developmental disabilities, and brain injury, and to ensure adequate representation from persons with disabilities and individuals who have knowledge concerning disability services. The Commission is required to meet at least four times year. Meetings are open to the public.

See MHDS Commission website for all future and historical meeting information: https://dhs.iowa.gov/about/mhds-advisory-groups/commission

#### **MHDS Commission Member List**

#### Voting Members:

Sarah Berndt, CPC AdministratorSenator Jeff Edler,Teresa Daubitz, Service Advocate (Unity Point)Representative DeSue Gehling, Provider of Children's MHDD ServicesSenator Sarah TroJanee Harvey, DHS Director's NomineeRepresentative LirDon Kass, County SupervisorJune Klein-Bacon, Advocate – Brain InjuryJack Seward, County SupervisorJeff Sorensen, County SupervisorCory Turner, DHS Director's NomineeDr. Kenneth Wayne, VeteransRussell Wood, Regional AdministratorRichard Whitaker, Community Mental Health Center (Vera French)Lorrie Young, Substance Abuse Service Provider; Behavioral Health AssociationBetsy Akin , Parent or Guardian of an Individual Residing at a State Resource CenterDiane Brecht, ID/DD Providers – Iowa Association of Community Providers

#### **Non-Voting Members:**

Senator Jeff Edler, Senate Majority Leader Representative Dennis Bush, Speaker of the House Senator Sarah Trone Garriott, Senate Minority Leader Representative Lindsay James, House Minority Leader

## Appendix: Oversight Entities - Office of the State Long-Term Care Ombudsman (OSLTCO)

The Office of the State Long-Term Care Ombudsman (OSLTCO) is an independent entity of the **lowa Department on Aging**. Its mission is to protect the health, safety, welfare, and rights of individuals residing in long-term care by investigating complaints, seeking resolutions to problems, and providing advocacy with the goal of enhancing quality of life and care.

Operating within the Long-Term Care Ombudsman is a specific **Managed Care Ombudsman Program** (**MCOP**). The MCOP advocates for the rights and needs of Medicaid managed care members in Iowa who live or receive care in a health care facility, assisted living program or elder group home, as well as members enrolled in one of Medicaid's seven home and community-based services (HCBS) waiver programs (AIDS/HIV, Brain Injury, Children's Mental Health, Elderly, Health and Disability, Intellectual Disability and Physical Disability).

Unlike other oversite entities the MCOP does not hold public board or council meetings; however, the program does publish reports and executive summaries highlighting high-level complaint case data received from managed care members (e.g., opened cases by complaint type: access to services, member rights, etc.). See https://iowaaging.gov/state-long-term-care-ombudsman/managed-care-ombudsman-program

#### For more information, contact:

Managed Care Ombudsman 510 E 12th St., Ste. 2 Des Moines, IA 50319 (866) 236-1430 ManagedCareOmbudsman@iowa.gov