



Division of Criminal Investigation

FY 2021

Report regarding

Activities of the Division

Iowa Code 99D.14(2)e and 99F.10(4)f

Prepared by

David B. Jobes, Assistant Director
Special Enforcement Operations Bureau

Report filed with the Joint Appropriations Subcommittee on the Justice System:

- **Secretary of the Senate W. Charles Smithson**
 - **Senator Julian B. Garrett, Chair**
 - **Senator Todd E. Taylor, Ranking Member**

- **Chief Clerk of the House Meghan Nelson**
 - **Representative Gary Worthan, Chair**
 - **Representative Brian Meyer, Ranking Member**

As required in Iowa Code sections 99D.14(2)e and 99F.10(4)f, the Department of Public Safety, Division of Criminal Investigation (DCI) is filing this report with the co-chair persons and ranking members of the joint appropriations subcommittee on the justice system and concurrently with the legislative services agency. The report is intended to outline the activities of the Division during the previous fiscal year for each racetrack enclosure, excursion gambling boat, and gambling structure.

During the three-year Gaming Reorganization process that began in FY2014, the DCI staffing levels were adjusted through the elimination of all but two Special Agent 1 positions. Prior to the reorganization process, each of the Riverboat Gaming facilities was authorized two Special Agent 2 positions and either four or five Special Agent 1 positions, depending upon the patron capacity of the facility. In addition, each of the track facilities was authorized three Special Agent 2 positions and no Special Agent 1 positions. At the conclusion of the reorganization process, all licensed facilities were authorized three Special Agent 2 positions with a total of two remaining Special Agent 1 staff members who are authorized to remain until they retire or otherwise leave the position they occupied at the end of the reorganization process. During FY2019, one of the remaining Special Agent 1 positions was eliminated due to the retirement of that Special Agent 1.

As a result of the changes in authorized staff, the DCI made significant changes to the priorities of the Agents. The remaining three Special Agents at each facility work primarily day hours and spend less time on front-line law enforcement responsibilities, such as responding to minor incidents and offenses. Instead, the Special Agents are focusing their time on the background work related to the companies and individuals licensed to operate in the casino gaming industry in Iowa, violations of chapters 99D and 99F, and financial crimes with a nexus to a gaming facility such as theft, fraud, or money laundering.

During the 88th General Assembly, the Legislature passed SF 617, which Governor Reynolds signed into law on May 13, 2019. SF 617 expanded the scope of regulated gambling activities in Iowa by allowing sports wagering connected to Iowa casinos and fantasy sports contests by licensed entities. The addition of sports wagering to the Iowa market has proven popular, as evidenced by the increased number of sports wagering operators connected to Iowa licensed casinos. Throughout FY2021, DCI Special Agents continued work on numerous background investigations related to sports wagering companies seeking licensure in Iowa.

At the conclusion of FY2020, the DCI also filed a comprehensive report detailing a review of the number of special agents permitted for each facility and the activities of such agents as required in Iowa Code sections 99D.14(2)f and 99F.10(4)g. This report is available on the State of Iowa legislative website at the following link:

In compiling the activity statistics for this report, the DCI analyzed logged activities of the Special Agents assigned to each of the nineteen licensed facilities operating in Iowa. Those results were condensed into nine categories of activities with the following statewide totals identified for fiscal year 2021:

- **419 Fraud/Theft Investigations**
 - Includes forgery, theft, unlawful use of a driver license, falsifying an IRGC license application, counterfeit currency or chips, and other fraudulent acts.
- **32 Money Laundering Investigations**
- **55 Narcotics Investigations**
 - Includes both felony and misdemeanor narcotics related investigations
- **25 Cheating Investigations**
- **1,573 Background Investigations**
 - Includes Class A (key personnel), Class D (corporate), Class C, Class O, Lottery backgrounds and personnel backgrounds for the DCI.
- **7,819 Surveillance Approvals**
 - Includes verification of individual camera coverage and overall system functionality in addition to a full system audit conducted annually at each location
- **7,684 Regulatory Inspections/Reviews**
 - Includes card inspections, dice inspections, roulette inspections, pit stand inspections, table cover inspections, soft drop observations, soft count observations, jockey run inspections, starting gate and box inspections and barn/kennel inspections.
- **3,440 Other Incidents**
 - Includes all other criminal investigations, arrests and calls for service or assistance.
- **357 SAR Reports***
 - Includes a Special Agent review of Casino Suspicious Activity Reports in relation to Title 31 Bank Secrecy Act compliance
- **3,578 CTR Reports***
 - Includes a Special Agent review of Casino Currency Transaction Reports in relation to Title 31 Bank Secrecy Act compliance

*Due to the confidentiality of BSA filings, a facility level statistic is not included

A table detailing a facility level examination of these activities is included on the following page.



**Iowa Department of Public Safety
Division of Criminal Investigation
Special Enforcement Operations Bureau
Activity Report
July 1, 2020 – June 30, 2021**



CASINO	Fraud/Theft Investigations	Money Laundering	Backgrounds	Surveillance Approvals	Regulatory Inspections/Reviews	Narcotics Investigations	Cheating	Other Incidents
HARD ROCK Sioux City	61	0	148	121	184	18	1	429
GRAND FALLS Larchwood	9	0	128	111	614	7	3	89
WILD ROSE Emmetsburg	1	0	29	101	62	2	1	36
WILD ROSE Jefferson	2	0	40	108	634	2	0	43
HARRAH'S Co. Bluffs	27	5	23	252	515	2	9	296
AMERISTAR Co. Bluffs	214	0	143	1306	851	0	0	300
HORSESHOE Co. Bluffs	8	10	65	430	207	10	1	390
LAKESIDE Osceola	0	0	60	164	74	0	0	24
PRAIRIE MEADOWS Altoona	23	1	232	1066	220	0	0	129
DIAMOND JO WORTH Northwood	7	0	75	531	59	2	0	116
Q CASINO Dubuque	0	6	53	66	207	1	0	56
CASINO QUEEN Marquette	0	0	30	564	166	1	0	41
DIAMOND JO Dubuque	17	8	67	616	76	2	7	243
WILD ROSE Clinton	10	0	44	744	271	2	0	155
RHYTHM CITY Davenport	21	0	129	324	858	5	0	526
ISLE OF CAPRI Bettendorf	0	0	24	115	1492	0	0	89
RIVERSIDE Riverside	4	0	147	603	882	0	0	226
CATFISH BEND Burlington	4	1	39	260	522	0	0	132
ISLE OF CAPRI Waterloo	11	1	97	337	41	1	3	120
TOTALS	419	32	1,573	7,819	7,935	55	25	3,440