

Iowa Department of Corrections FY2020 Annual Report

Offender Success is our goal

Reentry is our process

Evidence Based Practices are our tools

Staff is our most important asset



The seal of the State of Iowa Department of Corrections is centered in the background. It features a classical building with columns and a pediment, flanked by two figures holding a banner that reads "INSTITUTIONS AND COMMUNITY". The outer ring of the seal contains the text "STATE OF IOWA" at the top and "DEPARTMENT OF CORRECTIONS" at the bottom.

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Message from Director Beth Skinner, PhD



To Those Reading this Report,

The Fiscal Year of 2020 could best be summarized with one word: unprecedented. We began the fiscal year looking towards the many projects, policies, and processes that would lay the groundwork for transformational changes leading the department into the 2020's. While it is my intention to see each of the major initiatives that this department was working towards see implementation, there is no denying the fact that the focus of every employee of the department shifted this past spring with the arrival of the COVID-19 pandemic.

It would be easy to fill this and several more pages listing the major departmental changes that have occurred during the second half of the 2020 fiscal year. However, those curious about the changes that took place have ample resources to learn about them from other sources. This includes the press conference I spoke at with Governor Reynolds early in the pandemic, the list of changes to departmental policy outlined on our public website, or by reading any of the many news articles published about the department, with our contribution, over the past year.

Instead of listing all of these enormous changes and challenges this last year has brought to this department, I would instead like to convey this thought to those reading this report: your Iowa Department of Corrections has been tested this past year. And through this test, the results to me are very clear: Iowa can be proud that it has one of the most resilient correctional systems in the nation. There is no doubt in my mind that the men and women that work for this department are willing and able to handle any and all challenges they have been and will be faced with.

Under the most "normal" of times, walking into a job inside a correctional facility requires a certain level of fortitude and commitment. Working in these environments can be taxing and there is always an inherent level of ever-present risk. A level of vigilance is a prerequisite. However, when the world is facing a historic pandemic and your place of work becomes the one place where viruses spread at nearly unbelievable speeds, the job takes on a whole new requirement of bravery.

In Fiscal Year 2020, a challenge was issued to the men and women of the Iowa Department of Corrections. They have risen to the occasion, and I am proud of each and every one of our staff members that have shown up, and done their duty. There is no doubt in my mind that the people that work for this department will continue this long tradition of doing their duty, no matter what challenges lie ahead. I am proud and humbled to have been able to play a part in this department's rising to this unprecedented occasion.

Thank you,

A handwritten signature in blue ink, appearing to read "B.S.", with a long horizontal stroke extending to the right.

Dr. Beth Skinner, Director

Message from the Board of Corrections



(L to R) : Back: Larry Kudej, Richard LaMere - (Chair), Webster Kranto, and Mark DeJong
Front: Rebecca Williams - (Vice Chair), Dr. Mary Chapman, Dr. Lisa Hill

Due to the continuing COVID-19 pandemic, many of the 2020 Board of Corrections Meetings were conducted publicly via Zoom and simultaneously live streamed on the DOC YouTube channel.

For additional information regarding any Board of Corrections Meeting, please visit:

<https://doc.iowa.gov/about-us/board-corrections>

To view previous livestreams, visit the DOC YouTube channel:

<https://www.youtube.com/channel/UCItY2PABjitQpT4Op2w3kTw>

On behalf of the Iowa Board of Corrections that served during FY2020, we submit this letter to those reviewing the department's annual report.

FY2020 brought challenges to every Iowan's way of life and additional challenges to those that serve in Iowa's prisons and Community Based Corrections (CBC) districts. Through all the challenges and changes, the leaders of the Iowa Department of Corrections and the District Directors of the CBCs displayed a calm confidence that should serve as an example for future generations of correctional leaders to come.

Upon the learning of the inevitability of the pandemic reaching Iowa, leaders and staff from across the system took action, rather than waiting for problems to arrive. They updated old plans, made new plans, and implemented systematic changes in mere days, not weeks or months. When the spread of the virus breached their walls, they were prepared. They learned along the way, and were quick to adapt. Above all, they did everything within their power to protect their staff, their incarcerated population, their clients, and the many Iowa communities that their work affects.

Though all of the challenges they faced, departmental leadership made sure to keep our board, created to ensure a certain level of citizen oversight, apprised of any and all developments rounding out the fiscal year, and beyond. They didn't always have good news to share, but they shared the news none-the-less, and also made clear their plans to adapt and overcome their challenges going forward.

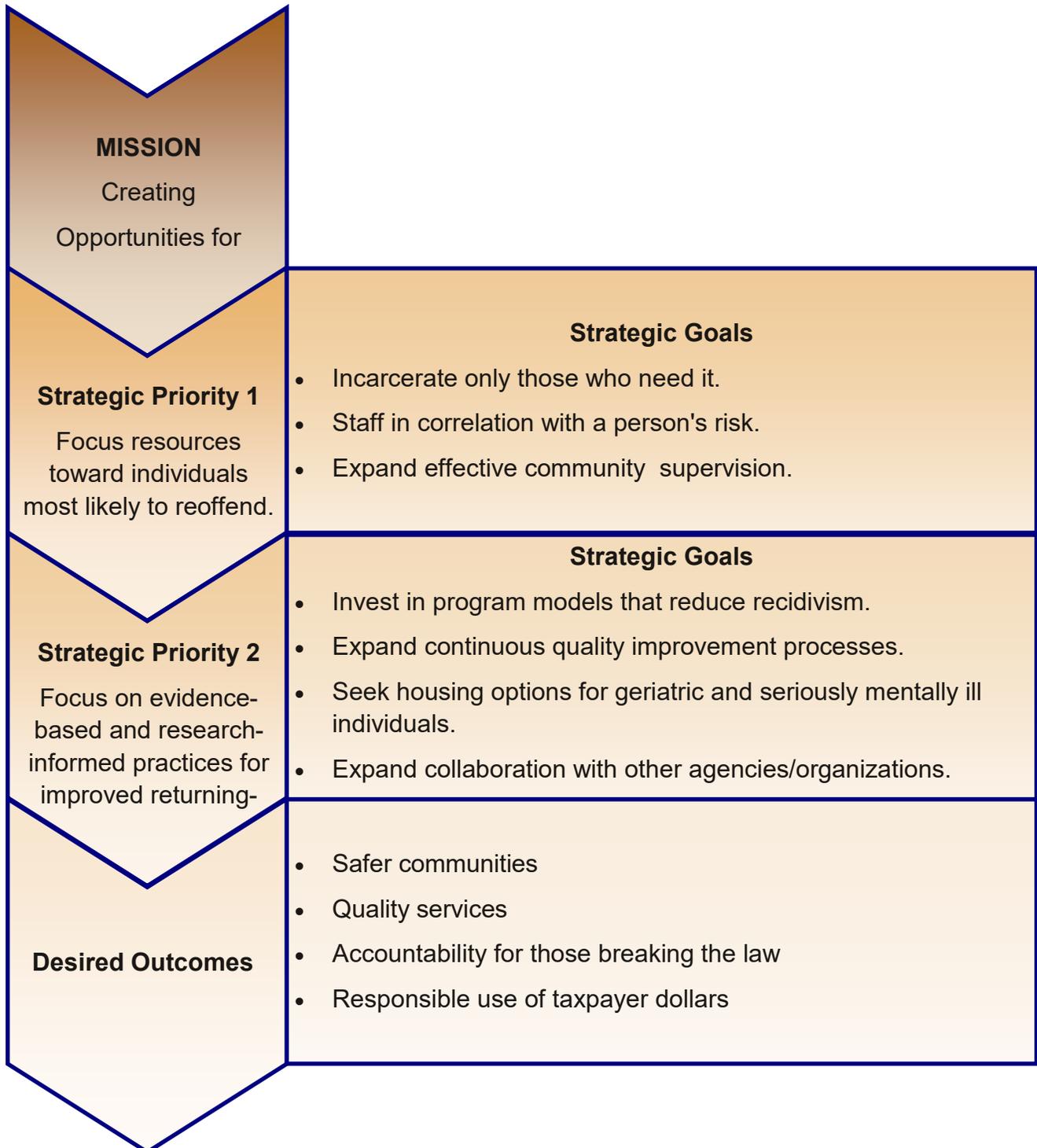
We appreciate the work of the men and women that serve the cause of corrections in Iowa. And we believe that the strength, compassion, and resilience of this department and the CBCs is something that all Iowans can take pride in.

Richard LaMere
Chair

Rebecca Williams
Vice-Chair

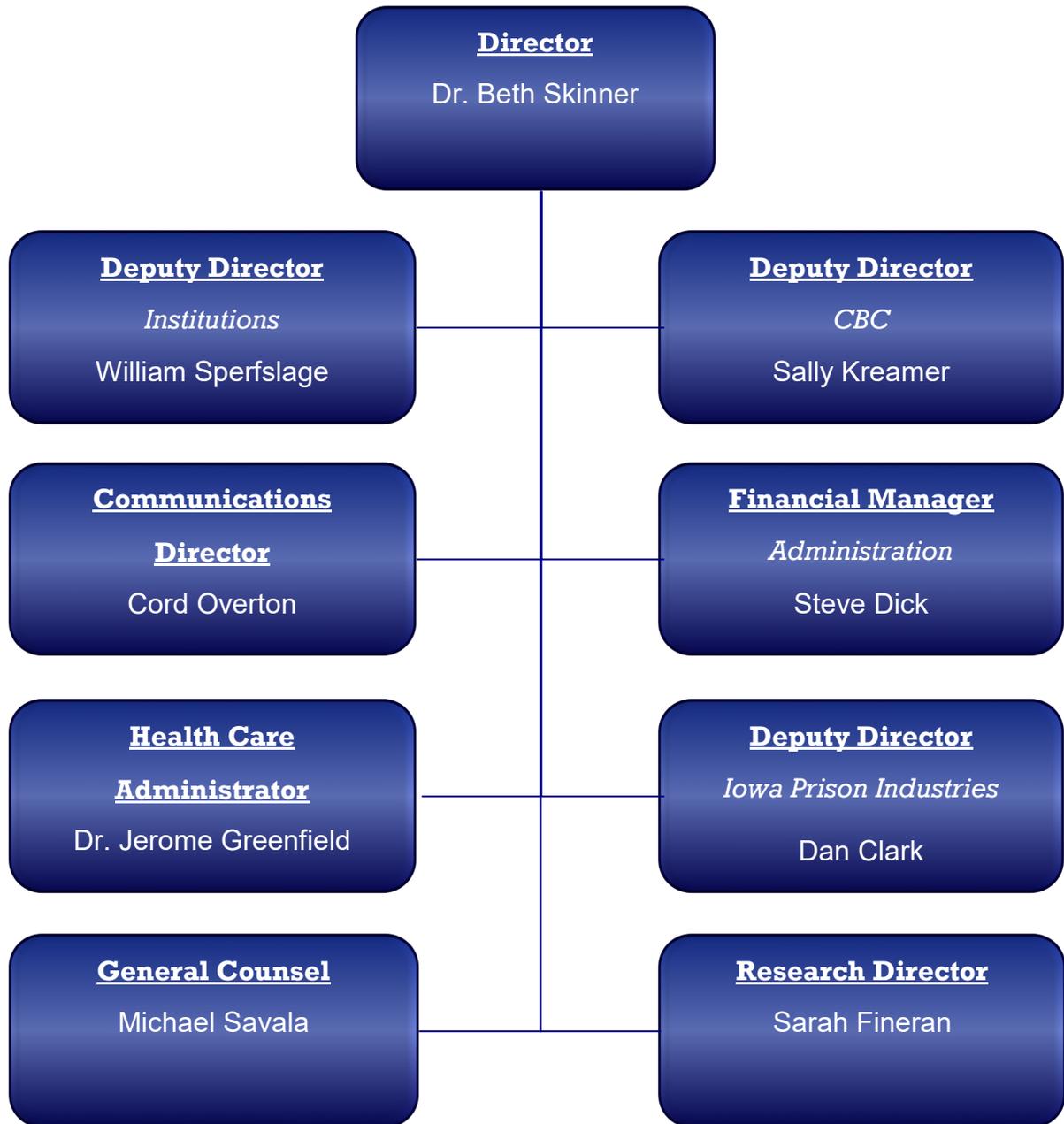


Agency Strategic Plan





Iowa Department of Corrections—Central Office





Agency Accomplishments

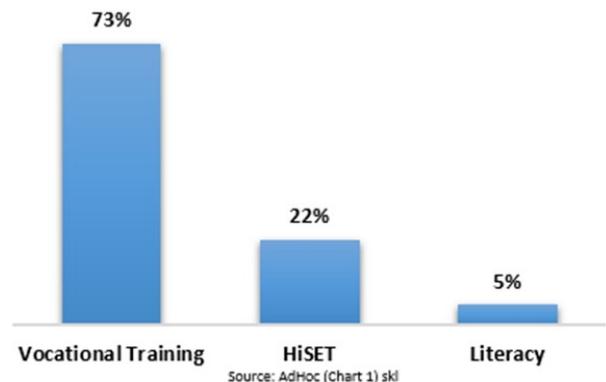
Iowa Corrections—Educational Opportunities

The mission of the Correctional Education is to provide incarcerated individuals with educational opportunities and skills necessary to function successfully and responsibly in society upon their release. With over 90% of inmates re-entering their communities, quality educational opportunities are essential in obtaining job skills that lead to employment that provide a sustainable living wage. The Iowa Department of Corrections is committed to its mission of creating opportunities for safer communities.

Due to COVID-19, Correctional Educators continue to teach remotely as much as possible. Mostly all (7 of the 9) are currently coordinating education packets with facilities supervisors due to restricted movement. All facilities shut down in March along with their Community College Partners. Some returned to facilities utilizing PPE and practicing social distancing, which means smaller class sizes due to space capacity. Students are requesting more opportunities to improve their academic skills and are eagerly anticipating when they may return to learning. Through these difficult times, education staff as well as Community Colleges remain committed in providing educational services to the Individual Incarcerated. Teachers have all expressed how they miss the face-to-face interactions with students. The pandemic has greatly impacted the number of successful High School Equivalency Completions, Literacy progress and vocational training. But, teachers are very appreciative of safety measures at all locations.

The Iowa Department of Corrections contracts with state community colleges to provide quality education and vocational programs in the state prison system. During FY2020 there were 238 incarcerated individuals who received their high school equivalency diploma (Hi Set); 60 incarcerated individuals completed literacy programs; and 437 incarcerated individuals completed vocational training.

Iowa Dept. of Corrections FY2020
Correctional Education Program
Completions



Post-Secondary Educational Offerings in Correctional Facilities

As part of the Second Chance Pell Experimental Sites Initiative, the DOC continued their partnership with ICCC, Grinnell College and the University of Iowa to offer credit-bearing college courses. Courses are offered at Newton Correctional Facility, Iowa Correctional and Classification Center, Fort Dodge Correctional Facility and North Central Correctional Facility.



Agency Accomplishments

Apprenticeship Program



27 Registered Occupations

- | | |
|-------------------|---------------------------------|
| Baker | Job Printer |
| Cabinet Maker | Landscape Management Tech |
| Carpenter | Maintenance Repairer |
| Computer Operator | Material Coordinator |
| Cook | |
| Electrician | Metal Fabricator |
| Housekeeper | Office Manager / Admin Services |
| IT Specialist | Painter |

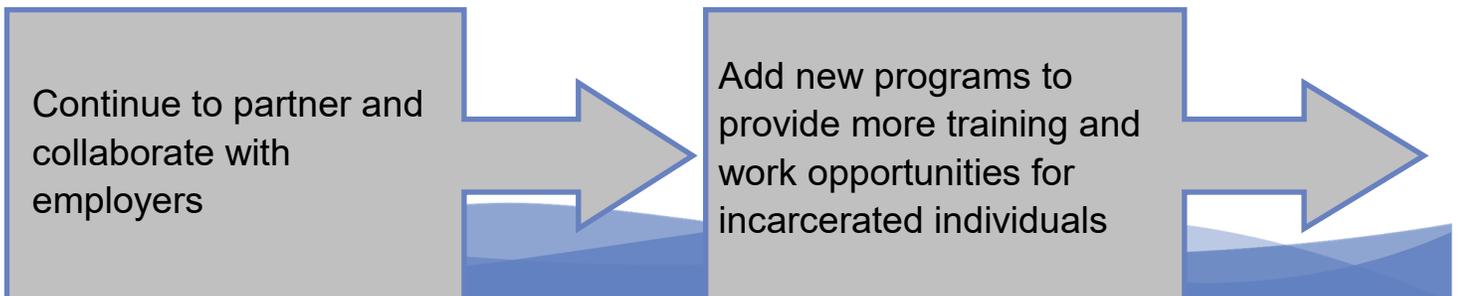
275
Active Apprentices

280
Completed
Apprentices

Future Programs

- Dental Assistant
- Machine Operator

Future Goals





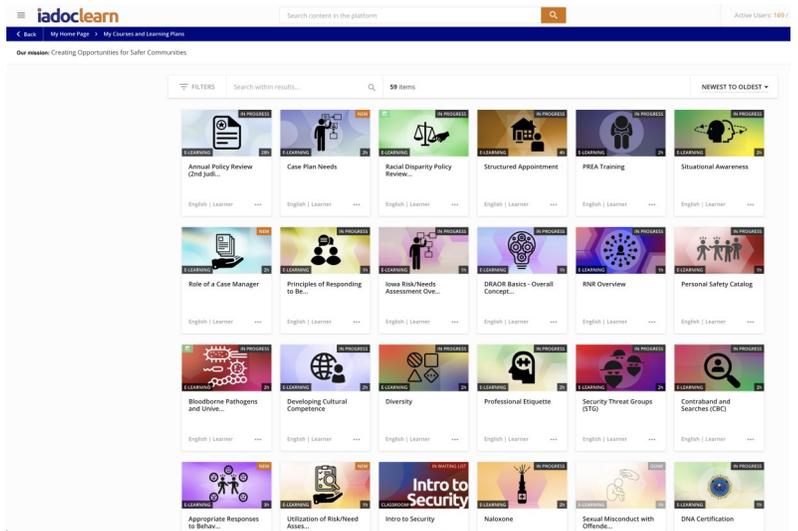
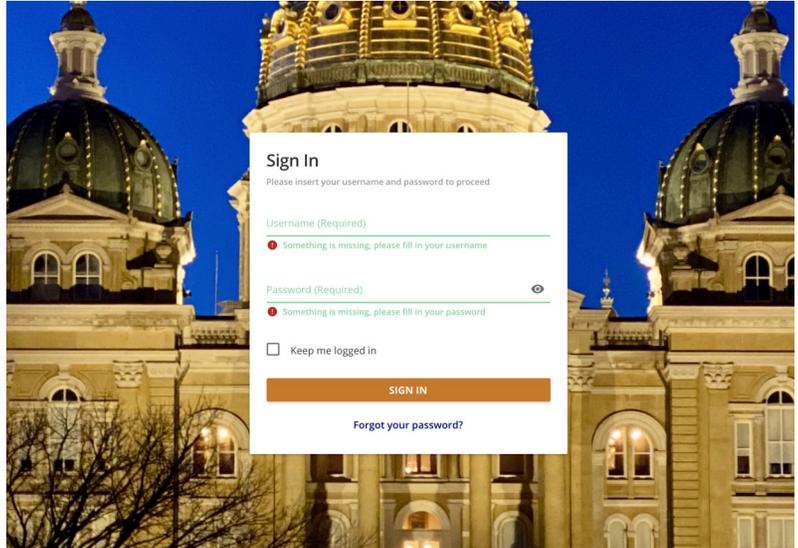
Agency Accomplishments

idoclearn—Learning Management System (LMS)

The Iowa Department of Corrections employs an evidence-based system for training and program implementation and has experienced success. Director Beth Skinner’s vision is to build upon existing strengths and successes and continue to close the gaps in our learning and development and continuous quality improvement processes. Our goal is to provide professional learning opportunities that are grounded in day-to-day activities and employee practices that are designed to enhance employee job-specific competencies and practice with the goal of reducing recidivism, increasing public safety, improving job satisfaction among employees, and improving the overall climate within each institution.

After completing a needs-based assessment, the Iowa Department of Corrections has implemented a new Learning and Development plan that was designed with all IDOC training needs in mind. Based on the assessment results, evidence-based methods are being used to design and deliver professional learning opportunities. Our new Learning Management System allows us to deliver quality training in several different modalities that include online, face to face, blended, instructor-led and observation and skills-based checklists.

Our Learning Management System provides different learning experiences to engage and meet our learners' needs. It also allows learners to visualize and track their progress. This new platform will allow the Learning Center to better track course outcomes to learner performance and data. We will also track overall course feedback and satisfaction and use the data to continue to close the gaps we have in our training and improve performance toward reaching IDOC goals. We will also be able to see and celebrate our successes! We have plans to create professional learning paths for employee personal and professional growth that include authentic, rigorous, and job-embedded learning experiences and assessment, which allow DOC employees, in collaboration with leadership, to take ownership of their learning needs and support DOC strategic initiatives. It is important that the IDOC create and nurture a culture in which core competencies, learning outcomes, and assessments engage staff as partners in their learning.





Agency Accomplishments

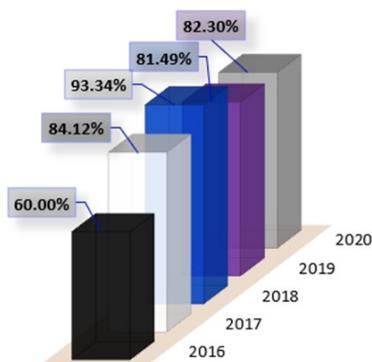
CQI—Continuous Quality Improvement

The IDOC is committed to implementing continuous quality improvement (CQI) measures within our risk assessments and practices. We utilize risk assessments in order to measure an individual's criminal risk factors and identify specific needs that when addressed will reduce the likelihood of future criminal activity. With the use of evidence based practices and appropriate interventions, case managers are able to allocate and assign specific resources in order to target and reduce an individual's identified risk and address their criminogenic needs. This provides assistance in establishing effective case management strategies and guiding a case manager in making well-informed decisions that will assist an individual's rehabilitation and promote prosocial change.

The department is also committed to adhering to the Risk, Need, Responsivity (RNR) principle as it provides a basic roadmap to better understand the individuals that enter into our services. The RNR Principle is effective at identifying who is at risk, when they are at risk, what drives their risk, and how to effectively respond to their risk. Within our department, we follow the RNR principles of effective interventions by ensuring we utilize validated risk tools as well as providing ongoing training and perform CQI measures around these assessments.

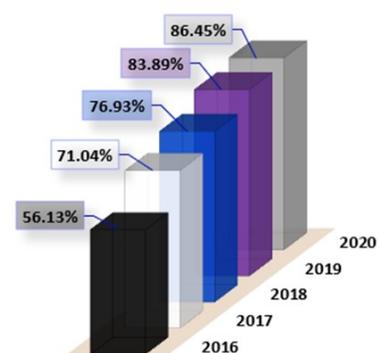
The Iowa Violence and Victimization Instrument (IVVI) is the risk tool utilized within the institutions and the Iowa Risk Revised (IRR) is utilized within community based corrections.

RISK ASSESSMENT PROFICIENCY - INSTITUTIONS



The risk assessment proficiency for Institutions went from 81.49% in 2019 to 82.30% in 2020. The risk assessment proficiency for Community-Based Corrections went from 83.89% in 2019 to 86.45% in 2020. In order for assessments and practices to reach their potential and improve overall outcomes, the IDOC continues to promote the use of evidence based practices and strategies utilizing CQI.

RISK ASSESSMENT PROFICIENCY - DISTRICTS



CQI goals for the upcoming year include:

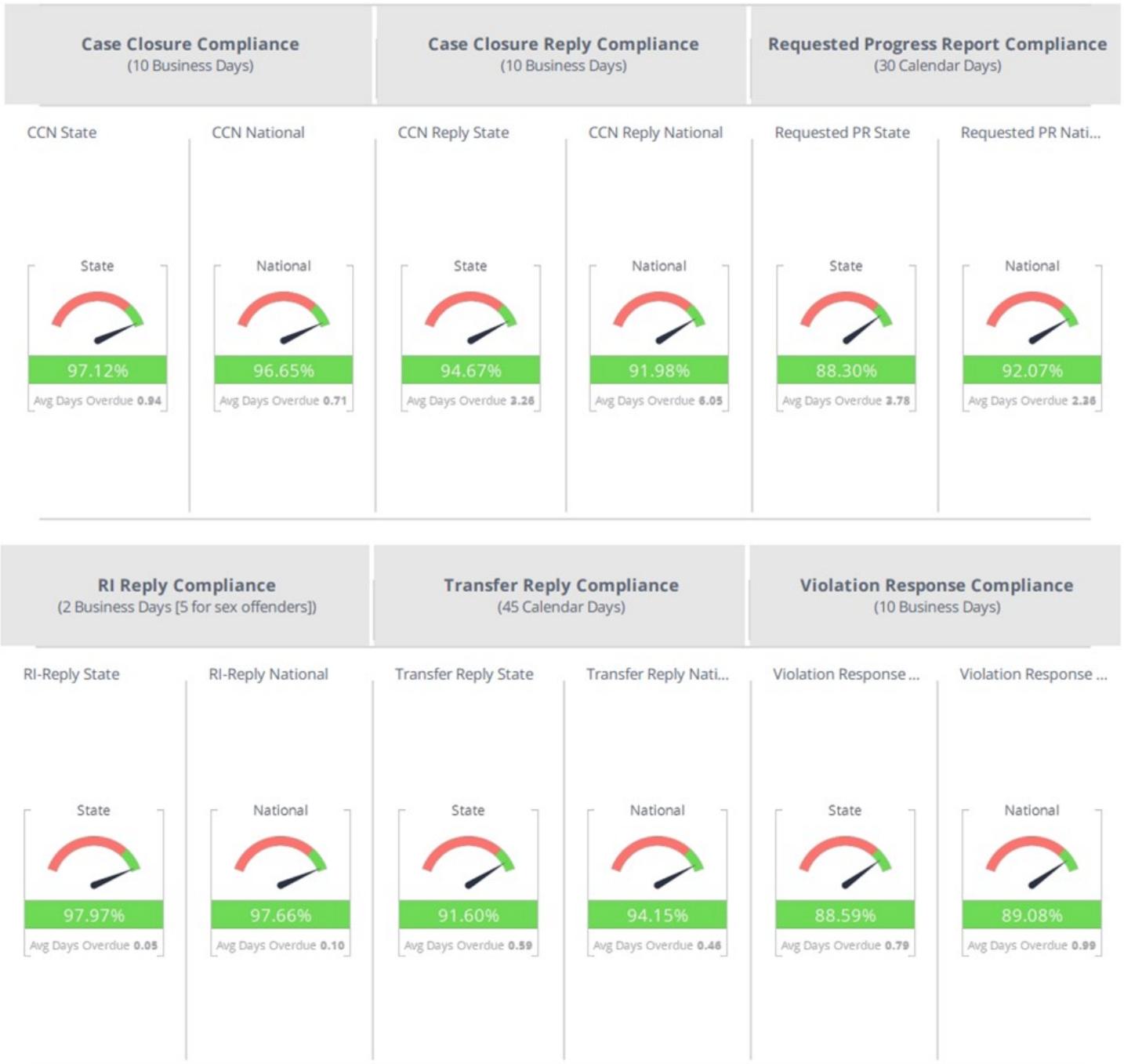
- Continue to ensure that department programs and practices are delivered with fidelity.
- Continue to measure competency and proficiency levels within our risk assessment tools (IVVI, IRR, DRAOR), case management strategies (Case Management training), quality assurance techniques (Case Reviews), and intervention programs (IOWA Tool).
- Provide training on the values and principles of CQI and the impact CQI has when applied to the IDOC initiatives such as risk assessments (IRR, IVVI, DRAOR), case management, and interventions (IOWA Tool).
- Utilize new learning platforms to deliver CQI materials and training needs statewide.



Agency Accomplishments

Interstate Compact

In FY2020, Iowa was in compliance with the threshold standards imposed by the Interstate Commission of the Adult Offender Supervision, scoring above the national average on several parameters.





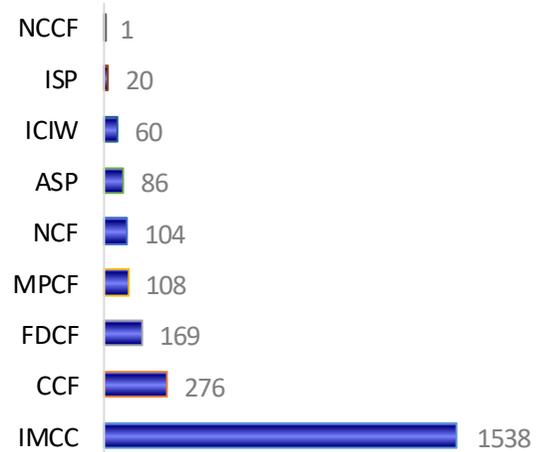
Agency Accomplishments

COVID-19 Response

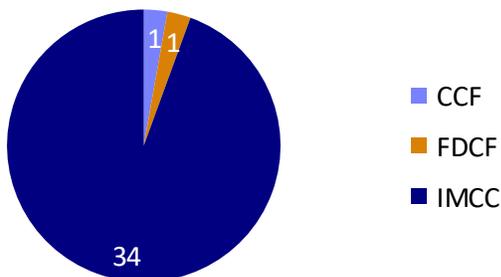
Iowa began to realize the impact of the Novel Coronavirus-19 early in 2020. The Iowa Department of Corrections immediately began preparations for the possibility of outbreaks that would impact Community Based Corrections as well as the prison staff and population. Testing became available through the State Hygienic Lab. By the end of FY2020, a total of 2,362 tests had been administered on the incarcerated population.

The first positive cases were identified at the Iowa Medical and Classification Center (IMCC) in April 2020. Many were recently received transfers from county jails and as a result, intake was temporarily suspended to contain further outbreak. By the end of FY2020, a total of 36 cases were identified in the incarcerated population.

FY2020 COVID-19 Tests Conducted on Incarcerated Individuals



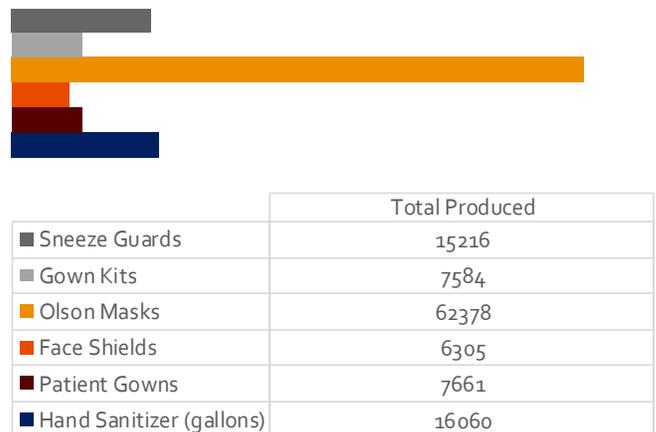
FY2020 Positive COVID-19 Cases



The DOC also worked with the Board of Parole to release eligible incarcerated individuals in order to reduce the prison population in the event there were outbreaks. By the end of FY2020 the prison population had decreased by approximately 900.

Iowa Prison Industries recognized the need for additional personal protective equipment (PPE) including face masks, sneeze shields, face shields, medical gowns and hand sanitizer. They were able to switch operations from normal productions to generate PPE, which was distributed around the Department as well as to the Tier 1 agencies such as the Iowa Veteran's Home, as well as to the stockpile at the State Emergency Operations Center.

PPE Production



Community Based Corrections



First District



Second District



Third District



Fourth District



Fifth District



Sixth District



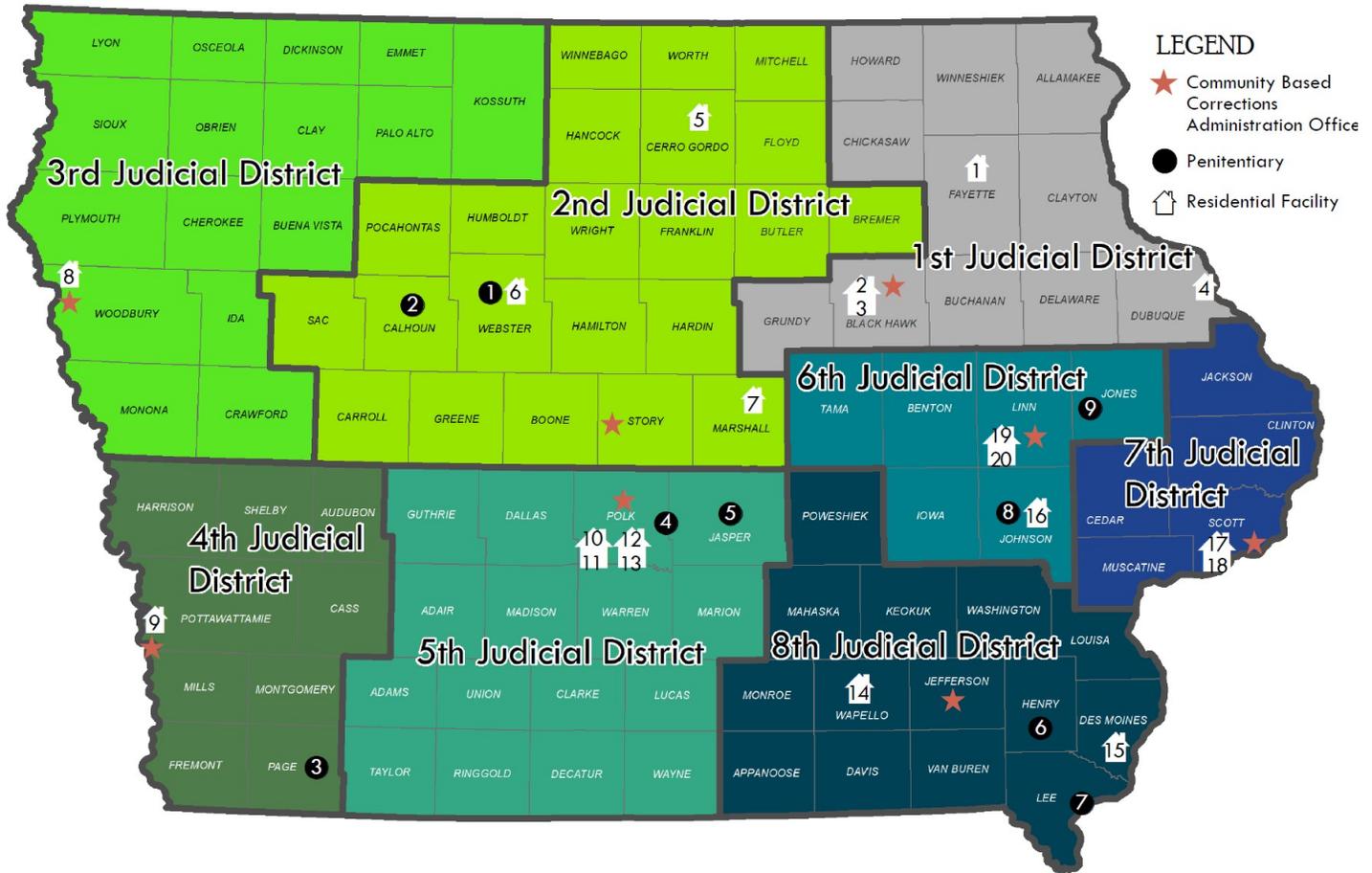
Seventh District



Eighth District

Iowa Department of Corrections

Community-Based Corrections Administration Offices and Counties Served



First Judicial District
 Ken Kolthoff, Director
 314 East 6th Street
 Waterloo, IA 50704
 319/236-9626
 Fax: 319/291-3947

Second Judicial District
 Amanda Milligan, Director
 111 N. Sherman
 Ames, IA 50010
 515/232-1810
 Fax: 515/232-9453

Third Judicial District
 Maureen Hansen, Director
 515 Water Street
 Sioux City, IA 51103
 712/252-0590
 Fax: 712/252-0634

Fourth Judicial District
 Kip Shanks, Director
 801 South 10th Street
 Council Bluffs, IA 51501
 712/325-4943
 Fax: 712/325-0312

Fifth Judicial District
 Jerry Evans, Director
 1000 Washington Avenue
 Des Moines, IA 50314
 515/242-6611
 Fax: 515/242-6656

Sixth Judicial District
 Bruce Vander Sanden, Director
 951 29th Avenue SW
 Cedar Rapids, IA 52404
 319/398-3675
 Fax: 319/398-3684

Seventh Judicial District
 Waylyn McCulloh, Director
 605 Main Street
 Davenport, IA 52803
 563/322-7986
 Fax: 563/324-2063

Eighth Judicial District
 Dan Fell, Director
 1805 West Jefferson
 Fairfield, IA 52556
 641/472-4242
 Fax: 641/472-9966

- 1 West Union Resi Facility
- 2 Waterloo Works Release Facility
- 3 Waterloo Women's Center for Change
- 4 Dubuque Residential Facility
- 5 Beje Clark Resi Facility (Mason City)
- 6 Fort Dodge Resi Correctional Center
- 7 Marshalltown Resi Correctional Center

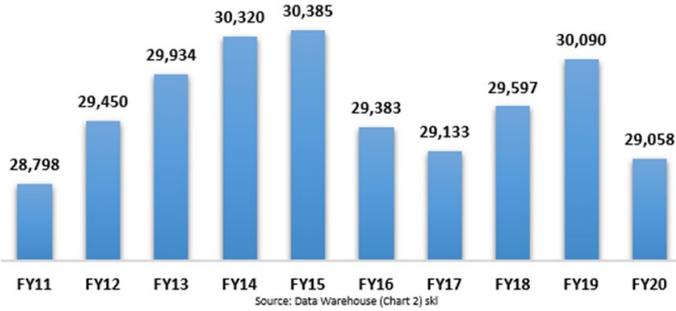
- 8 Sioux City Resi Treatment Facility
- 9 Council Bluffs Resi Correctional Facility x2
- 10 Des Moines Work Release Center
- 11 Fort Des Moines OWI
- 12 Fort Des Moines Resi Facility
- 13 Des Moines Women's Facility
- 14 Ottumwa Resi Facility

- 15 Burlington Resi Facility
- 16 Hope House (Coralville)
- 17 Davenport Resi Facility
- 18 Davenport Work Release/OWI Center
- 19 Gerald R. Hinzman/Larry Nelson Centers (Cedar Rapids)
- 20 ANCHOR Center (Cedar Rapids)

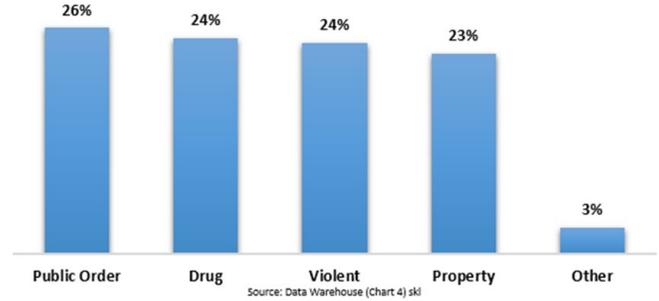


CBC Population

Community Based Corrections
Population - End of Fiscal Year



Offense Types FY2020
Community Based Offenders Served



FIELD SERVICES

Supervision Status	Active at Start 7/1/19	New Admits	Closures	Active at End 6/30/2020	Offenders Served
CCUSO Release with Supervision 229A.9A	3	3	1	5	6
Federal		1		1	1
Interstate Compact Parole	301	223	181	352	524
Interstate Compact Probation	1,059	678	689	1,064	1,737
No Correctional Supervision Status	14	69	85	7	83
OVI Continuum	5	24	5	9	29
Parole	4,045	3965	2,993	5,320	8,010
Pretrial Release With Supervision	2,392	7257	5,323	2,666	9,649
Probation	19,646	12776	13,779	26,200	32,422
Special Sentence	1,069	235	216	1,348	1,304
Statewide Total	28,534	25,231	23,272	36,972	53,765

Source: Data Warehouse (Chart 3A) skl

RESIDENTIAL

Supervision Status	Active At Start 7/1/19	New Admits	Closures	Active At End 6/30/2020	Offenders Served
CCUSO Release with Supervision 229A.9A	1	2	3		3
Federal	161	584	493	153	745
Interstate Compact Parole	2	2			4
Interstate Compact Probation		12	1		12
Jail (Designated Site)	5	63	64		68
OVI Continuum	108	252	338	37	360
Parole	34	200	147	46	234
Pretrial Release With Supervision	3	18	8		21
Probation	577	1,486	1,103	288	2,063
Special Sentence	70	271	219	63	341
Work Release	526	1,895	1,540	323	2,421
Statewide Total	1,487	4,785	3,916	910	6,272

Source: Data Warehouse (Chart 3B) skl

Iowa Prisons



Anamosa State Penitentiary, Anamosa



Clarinda Correctional Facility, Clarinda



Iowa Correctional Institution for Women, Mitchellville



Iowa State Penitentiary, Fort Madison



Fort Dodge Correctional Facility, Fort Dodge



Newton Correctional Facility, Newton



Mt Pleasant Correctional Facility, Mt. Pleasant



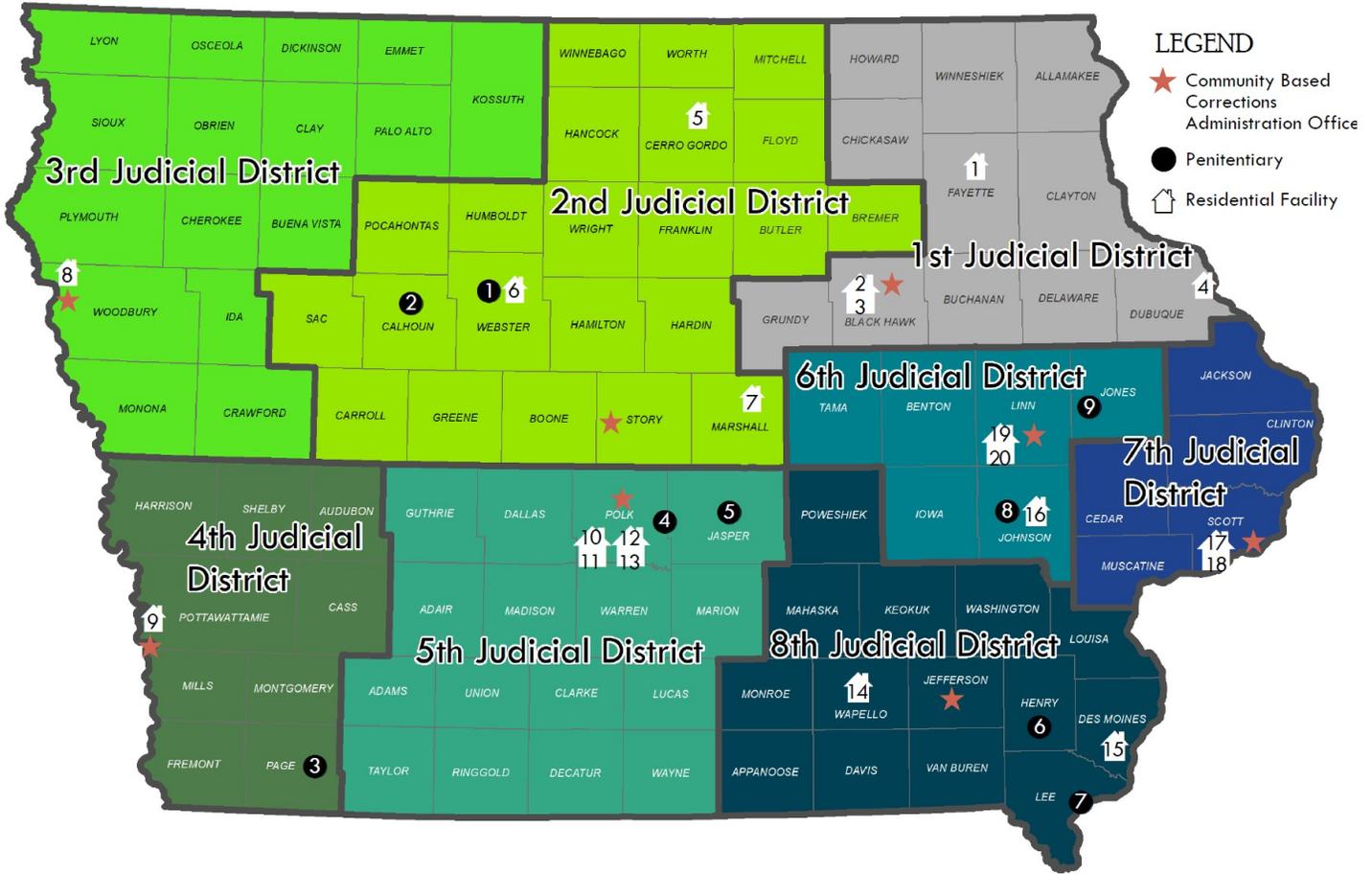
North Central Correctional Facility, Rockwell City



Iowa Medical and Classification Center, Coralville

Iowa Department of Corrections

Iowa Prisons



1 Fort Dodge Correctional Facility
 Warden Bob Johnson
 1550 L Street
 Fort Dodge, Iowa 50501
 (515) 574-4700

2 North Central Correctional Facility
 Warden Bob Johnson
 313 Lanedale
 Rockwell City, Iowa 50579-7464
 (712) 297-7521

3 Clarinda Correctional Facility
 Warden Steve Weis
 2000 North 16th Street
 Clarinda, Iowa 51632
 (712) 542-5634

4 Iowa Correctional Institution for Women
 Warden Sheryl Dahm
 300 Elm Avenue SW
 Mitchellville, Iowa 50169
 (515) 967-4236

5 Newton Correctional Facility
 Warden Kris Weitzell
 307 South 60th Avenue, W
 Newton, Iowa 50208
 (641) 792-7552

5 Mount Pleasant Correctional Facility
 Warden Jay Nelson
 1200 East Washington Street
 Mount Pleasant, Iowa 52641
 (319) 385-9511

7 Iowa State Penitentiary
 Warden Randy Gibbs
 3 John Bennett Drive
 Fort Madison, Iowa 52627
 (319) 372-5432

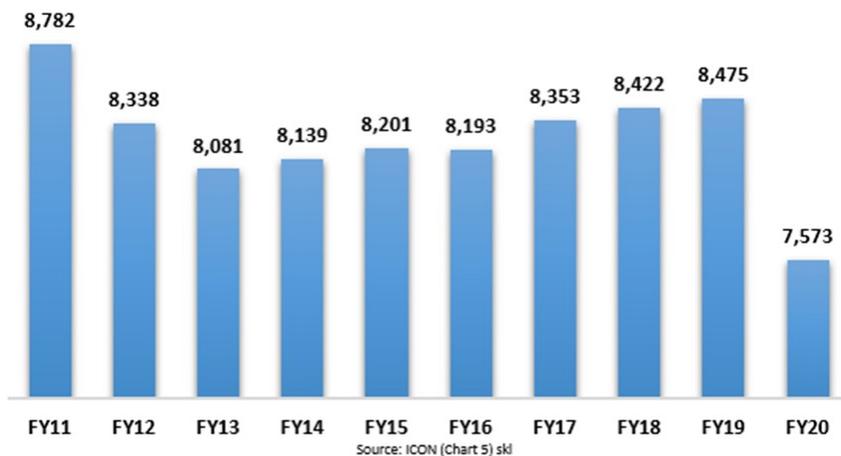
8 Iowa Medical and Classification Center
 Warden Mike Heinricy
 2700 Coral Ridge Avenue
 Coralville, Iowa 52241
 (319) 626-2391

9 Anamosa State Penitentiary
 Warden Jeremy Larson
 406 North High Street
 Anamosa, Iowa 52205
 (319) 462-3504

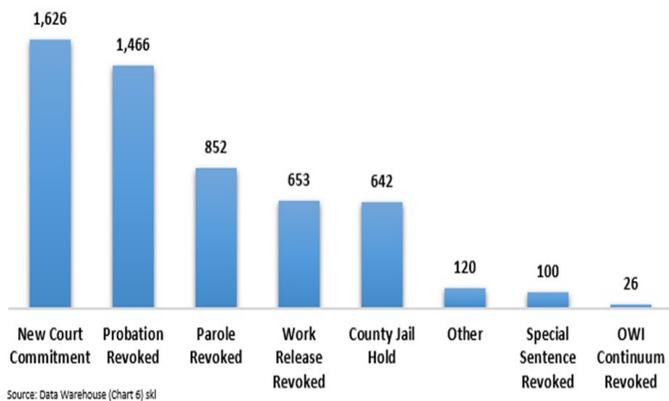


Prison Population

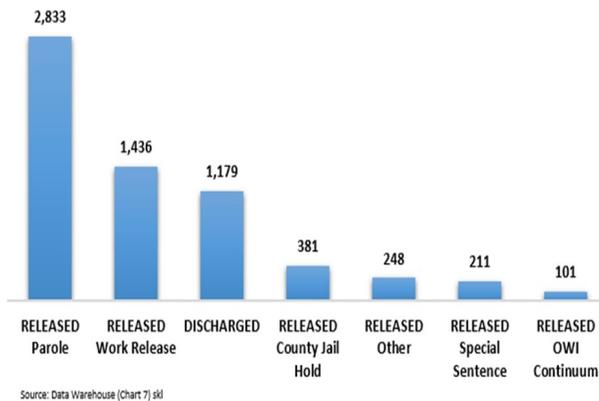
Prison Population - End of Fiscal Year



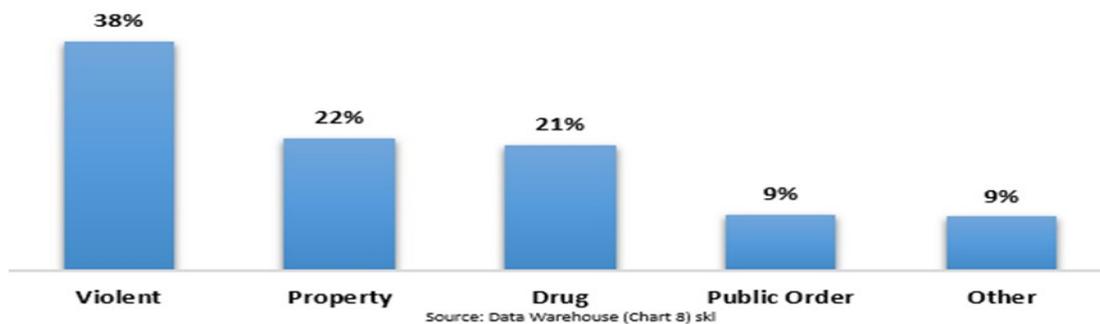
Types of Prison Admissions FY2020



Types of Prison Releases FY2020



Offense Types FY2020
Prison Offenders Served





Performance Measure Report

Performance													
Performance Measure	Target	Actual	Performance Comments and Analysis										
Core Function: Offender supervision, custody and treatment													
49-001 Number of Escapes (Prison and Residential)	0	Prison - 1 CBC - 910	<p>Prison Escapes</p> <table border="1"> <tr><th>Fiscal Year</th><th>Escapes</th></tr> <tr><td>FY17</td><td>0</td></tr> <tr><td>FY18</td><td>0</td></tr> <tr><td>FY19</td><td>2</td></tr> <tr><td>FY20</td><td>1</td></tr> </table>	Fiscal Year	Escapes	FY17	0	FY18	0	FY19	2	FY20	1
			Fiscal Year	Escapes									
FY17	0												
FY18	0												
FY19	2												
FY20	1												
<p>Residential Escapes</p> <table border="1"> <tr><th>Fiscal Year</th><th>Escapes</th></tr> <tr><td>FY17</td><td>855</td></tr> <tr><td>FY18</td><td>860</td></tr> <tr><td>FY19</td><td>969</td></tr> <tr><td>FY20</td><td>910</td></tr> </table>	Fiscal Year	Escapes	FY17	855	FY18	860	FY19	969	FY20	910			
Fiscal Year	Escapes												
FY17	855												
FY18	860												
FY19	969												
FY20	910												
49-005 Number of Disturbances or Serious Incidents by Inmates (Prison)	0	3	<p>Disturbances or Serious Incident by Inmates</p> <table border="1"> <tr><th>Fiscal Year</th><th>Incidents</th></tr> <tr><td>FY17</td><td>3</td></tr> <tr><td>FY18</td><td>3</td></tr> <tr><td>FY19</td><td>2</td></tr> <tr><td>FY20</td><td>3</td></tr> </table>	Fiscal Year	Incidents	FY17	3	FY18	3	FY19	2	FY20	3
Fiscal Year	Incidents												
FY17	3												
FY18	3												
FY19	2												
FY20	3												
49-006 Number of Serious Injuries Caused to Staff (Prison)	0	13	<p>Serious Injuries Caused to Staff</p> <table border="1"> <tr><th>Fiscal Year</th><th>Injuries</th></tr> <tr><td>FY17</td><td>10</td></tr> <tr><td>FY18</td><td>7</td></tr> <tr><td>FY19</td><td>7</td></tr> <tr><td>FY19</td><td>13</td></tr> </table>	Fiscal Year	Injuries	FY17	10	FY18	7	FY19	7	FY19	13
Fiscal Year	Injuries												
FY17	10												
FY18	7												
FY19	7												
FY19	13												



Performance Measure Report—Continued

Performance														
Performance Measure	Target	Actual	Performance Comments and Analysis											
Service, Product or Activity: Risk Identification														
49100-002 Percent Inmates with Custody Assignment Per Risk Assessment Score (Prison)	90%	91%		Target met in FY2020.										
49100-004 Percent Iowa Risk Assessments Completed (CBC)	100%	100%		Target met in FY2020.										
49100-005 Percent Required custody Classifications (Prison)	100%	100%		No change from FY19.										
Service, Product or Activity: Risk Management														
49200-001 Recommendation Rate for Release to Parole Board (Prison)	85%	57%		<p>Recommendation Rate for Release by Parole Board</p> <table border="1"> <caption>Recommendation Rate for Release by Parole Board</caption> <thead> <tr> <th>Fiscal Year</th> <th>Rate</th> </tr> </thead> <tbody> <tr> <td>FY17</td> <td>46%</td> </tr> <tr> <td>FY18</td> <td>53%</td> </tr> <tr> <td>FY19</td> <td>54%</td> </tr> <tr> <td>FY20</td> <td>57%</td> </tr> </tbody> </table>	Fiscal Year	Rate	FY17	46%	FY18	53%	FY19	54%	FY20	57%
Fiscal Year	Rate													
FY17	46%													
FY18	53%													
FY19	54%													
FY20	57%													
49200-003 Number of Community Service Hours Provided (Prison)	175,000	106,671		There was a decrease of 70,367 hours from those provided in FY19.										



Performance Measure Report—Continued

Performance				
Performance Measure	Target	Actual	Performance Comments and Analysis	
Service, Product or Activity: Basic Life Care				
49400-002 Number of Life Safety Violations (Prison, CBC and Central Office)	0	802		Safety violations were up from 767 in FY19.
49400-003 Number of Serious Injuries, Accidents or Offender Deaths (Prison, CBC, Central Office and IPI)	0	307		There was an increase of 37 incidents from the previous fiscal year.
49400-004 Number of Occurrences of Sexual Violence (Prison, CBC and Central Office)	0	55		FY20 doubled from 27 in FY19.
Service, Product or Activity: Capital Projects				
Service, Product or Activity: Pharmaceuticals, Overtime and Leased Farmland				
67200-001 Percent of Dollars Spent on Institution Pharmaceuticals (Prison)	3%	3%		No change from FY19.
67200-003 Correctional officer Overtime as a percentage of Payroll	2%	2%		No change from FY19.

Return Rate to Prison





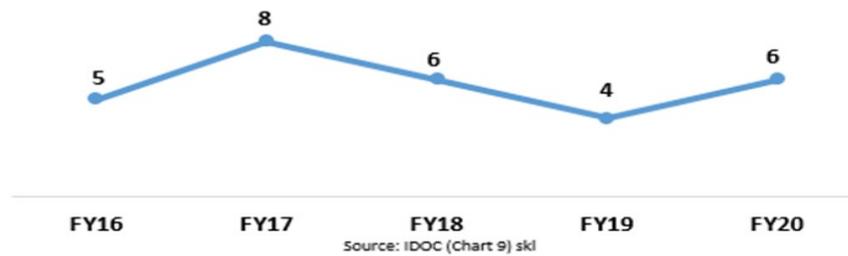
Performance Measure Highlights

Implementing the Prison Rape Elimination Act (PREA)

Why this is important: It's about offender safety. PREA is a federal statute focused on sexual assault and victimization in juvenile facilities, prisons, jails, lockups, and other detention facilities. The goal of PREA is to prevent, detect, and respond to sexual abuser in detention and correctional facilities.

What we're doing about this: All DOC institutions have completed and passed PREA audits that ensure compliance with PREA Standards. Audits are conducted every three years to ensure compliance.

Prison: Substantiated Offender on Offender Non-Consensual Sexual Acts



Prison Suicides

Why this is important: It's about providing basic life care for individuals in custody, and intervening effectively to prevent them from harming themselves.

What we're doing about this: Staff training is key to prevention. Recognizing the warning signs of someone contemplating suicide, and intervening in an emergency situation are essential. Staff training goes beyond these basics to include recognition of and effective communication with individuals who have mental health issues. Regular screening for mental illness and provision of appropriate levels of care ranging from acute units to integration within the general inmate population is also important in reducing suicide incidents.

Prison Suicides



Department Totals

Iowa Department of Corrections
 FY 2020
 Financial Status Reports
 Through the Period Ending May 2020

	Department Revised Budget	Actual Revenue and Expenditures	Encumbrances	Actual + Encumbrances	Percent (Actual of Budget)
FTE Positions					
Correctional Officer	1,374.00				
Total Staffing	2,578.08				
Resources Available					
04B Balance Brought Forward	2,049,137	2,049,137.23	-	2,049,137.23	1.00
05A Appropriation	293,570,355	293,570,355.00	-	293,570,355.00	1.00
— Appropriation Transfer	540,000	20,000.00	-	20,000.00	0.04
— Legislative Adjustments	(63,095)	(63,095.00)	-	(63,095.00)	1.00
201R Federal Support	1	-	-	-	-
202R Local Governments	264,000	277,601.88	-	277,601.88	1.05
204R Intra State Receipts	675,017	759,847.68	-	759,847.68	1.13
205R Reimbursement from Other Agencies	3,306	-	-	-	-
234R Transfers - Other Agencies	303,171	552,059.77	-	552,059.77	1.82
301R Interest	-	-	-	-	-
401R Fees, Licenses & Permits	534,041	669,859.97	-	669,859.97	1.25
501R Refunds & Reimbursements	1,022,795	1,047,483.46	-	1,047,483.46	1.02
602R Sale of Equipment & Salvage	105	-	-	-	-
603R Rents & Leases	784,075	686,823.64	-	686,823.64	0.88
604R Agricultural Sales	-	-	-	-	-
606R Other Sales & Services	-	-	-	-	-
701R Unearned Receipts	-	-	-	-	-
Total Resources Available	299,682,908	299,570,073.63		299,570,073.63	1.00
Funds Expended and Encumbered					
101 Personal Services-Salaries	234,737,554	228,912,211.19	-	228,912,211.19	0.98
202 Personal Travel (In State)	151,809	228,114.30	-	228,114.30	1.50
203 State Vehicle Operation	591,079	626,364.24	-	626,364.24	1.06
204 Depreciation	10,288	382,310.11	-	382,310.11	37.16
205 Personal Travel (Out of State)	21,668	36,417.94	-	36,417.94	1.68
301 Office Supplies	199,722	258,961.87	-	258,961.87	1.30
302 Facility Maintenance Supplies	1,631,287	2,087,791.03	-	2,087,791.03	1.28
303 Equipment Maintenance Supplies	871,755	867,203.06	-	867,203.06	0.99
304 Professional & Scientific Supplies	885,492	1,363,123.89	-	1,363,123.89	1.54
306 Housing & Subsistence Supplies	2,263,554	2,712,332.73	-	2,712,332.73	1.20
307 Ag,Conservation & Horticulture Supply	40,715	69,925.43	-	69,925.43	1.72
308 Other Supplies	572,334	804,161.05	-	804,161.05	1.41
309 Printing & Binding	125	-	-	-	-
310 Drugs & Biologicals	8,906,426	8,826,374.00	-	8,826,374.00	0.99
311 Food	10,800,404	12,050,945.11	-	12,050,945.11	1.12
312 Uniforms & Related Items	1,534,276	1,558,302.28	-	1,558,302.28	1.02
313 Postage	48,493	43,497.15	-	43,497.15	0.90
401 Communications	595,630	602,366.14	-	602,366.14	1.01
402 Rentals	97,512	184,215.45	-	184,215.45	1.89
403 Utilities	9,976,744	9,602,509.40	-	9,602,509.40	0.96
405 Professional & Scientific Services	2,344,822	2,272,593.79	-	2,272,593.79	0.97
406 Outside Services	6,197,003	6,326,487.77	-	6,326,487.77	1.02
407 Intra-State Transfers	256	-	-	-	-
408 Advertising & Publicity	22,102	33,073.95	-	33,073.95	1.50
409 Outside Repairs/Service	1,273,673	1,469,143.37	-	1,469,143.37	1.15
412 Auditor of State Reimbursements	-	-	-	-	-
414 Reimbursement to Other Agencies	6,278,726	5,467,492.67	-	5,467,492.67	0.87
416 ITD Reimbursements	1,444,953	1,633,397.03	-	1,633,397.03	1.13
417 Worker's Compensation	-	-	-	-	-
418 IT Outside Services	1,992,706	1,996,198.81	-	1,996,198.81	1.00
419 Intra Agency Reimbursement	-	-	-	-	-
433 Transfers - Auditor of State	1,200	1,121.25	-	1,121.25	0.93
434 Transfers - Other Agencies Services	154,621	157,724.71	-	157,724.71	1.02
501 Equipment	1,702,229	2,217,396.94	-	2,217,396.94	1.30
502 Office Equipment	14,945	8,248.38	-	8,248.38	0.55
503 Equipment - Non-Inventory	187,043	601,507.46	-	601,507.46	3.22
510 IT Equipment	863,858	1,058,989.02	-	1,058,989.02	1.23
601 Claims	301	300.00	-	300.00	1.00
602 Other Expense & Obligations	3,207,156	3,657,945.08	-	3,657,945.08	1.14
604 Securities	-	6,233.18	-	6,233.18	-
701 Licenses	12,327	9,921.00	-	9,921.00	0.80
702 Fees	115	60.00	-	60.00	0.52
705 Refunds-Other	-	-	-	-	-
901 Capitals	46,006	46,262.80	-	46,262.80	1.01
— Balance Carry Forward	-	1,257,236.85	-	1,257,236.85	-
— Reversion	-	131,613.20	-	131,613.20	-
Total Expenses and Encumbrances	299,682,908	299,570,073.63		299,570,073.63	1.00
Ending Balance					

Institution Totals

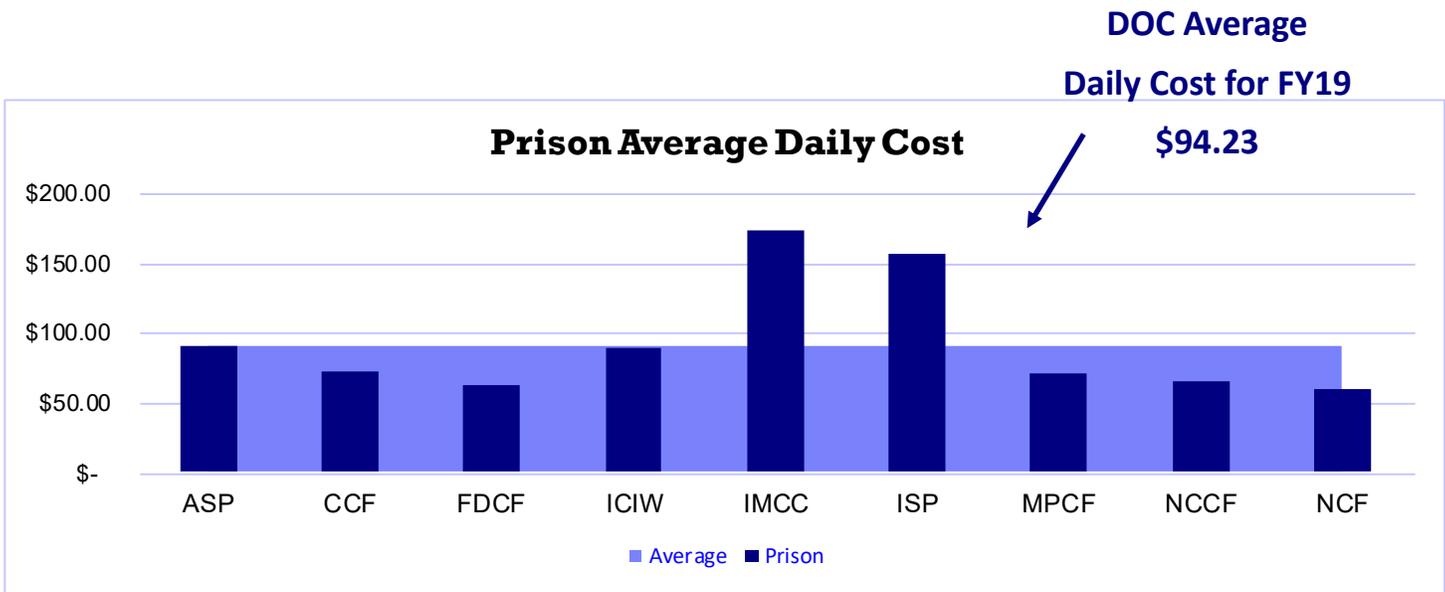
Iowa Department of Corrections
 FY 2020
 Financial Status Reports
 Through the Period Ending May 2020

	Department Revised Budget	Actual Revenues and Expenditures	Encumbrances	Actual + Encumbrances	Percent (Actual of Budget)
FTE Positions					
Correctional Officer	1,374.00				
Total Staffing	2,539.08				
Resources Available					
04B Balance Brought Forward	1,689,260	1,689,260.49	-	1,689,260.49	1.00
05A Appropriation	278,155,119	278,155,119.00	-	278,155,119.00	1.00
— Appropriation Transfer	560,499	90,499.00	-	90,499.00	0.16
— Legislative Adjustments	3,236,796	3,236,796.00	-	3,236,796.00	1.00
201R Federal Support	1	-	-	-	-
202R Local Governments	264,000	277,601.88	-	277,601.88	1.05
204R Intra State Receipts	17	-	-	-	-
205R Reimbursement from Other Agencies	3,306	-	-	-	-
234R Transfers - Other Agencies	303,171	501,418.70	-	501,418.70	1.65
301R Interest	-	-	-	-	-
401R Fees, Licenses & Permits	534,041	669,859.97	-	669,859.97	1.25
501R Refunds & Reimbursements	972,795	1,004,329.71	-	1,004,329.71	1.03
602R Sale of Equipment & Salvage	105	-	-	-	-
603R Rents & Leases	784,075	686,823.64	-	686,823.64	0.88
604R Agricultural Sales	-	-	-	-	-
606R Other Sales & Services	-	-	-	-	-
701R Unearned Receipts	-	-	-	-	-
Total Resources Available	286,503,185	286,311,708.39		286,311,708.39	1.00
Funds Expended and Encumbered					
101 Personal Services-Salaries	229,765,100	224,125,696.68	-	224,125,696.68	0.98
202 Personal Travel (In State)	137,058	218,128.91	-	218,128.91	1.59
203 State Vehicle Operation	567,100	607,154.90	-	607,154.90	1.07
204 Depreciation	10,287	382,310.11	-	382,310.11	37.16
205 Personal Travel (Out of State)	8,567	19,278.38	-	19,278.38	2.25
301 Office Supplies	188,419	241,040.23	-	241,040.23	1.28
302 Facility Maintenance Supplies	1,631,287	2,087,791.03	-	2,087,791.03	1.28
303 Equipment Maintenance Supplies	871,755	867,203.06	-	867,203.06	0.99
304 Professional & Scientific Supplies	885,492	1,363,123.89	-	1,363,123.89	1.54
306 Housing & Subsistence Supplies	2,263,554	2,712,332.73	-	2,712,332.73	1.20
307 Ag.Conservation & Horticulture Supply	40,715	69,925.43	-	69,925.43	1.72
308 Other Supplies	563,434	799,835.39	-	799,835.39	1.42
309 Printing & Binding	5	-	-	-	-
310 Drugs & Biologicals	8,908,426	8,826,374.00	-	8,826,374.00	0.99
311 Food	10,800,404	12,050,945.11	-	12,050,945.11	1.12
312 Uniforms & Related Items	1,534,276	1,558,302.28	-	1,558,302.28	1.02
313 Postage	45,211	39,633.62	-	39,633.62	0.88
401 Communications	511,268	499,317.37	-	499,317.37	0.98
402 Rentals	97,512	184,215.45	-	184,215.45	1.89
403 Utilities	9,976,744	9,602,509.40	-	9,602,509.40	0.96
405 Professional & Scientific Services	2,307,922	2,252,317.12	-	2,252,317.12	0.98
406 Outside Services	1,316,575	1,611,463.18	-	1,611,463.18	1.22
407 Intra-State Transfers	256	-	-	-	-
408 Advertising & Publicity	22,102	33,073.95	-	33,073.95	1.50
409 Outside Repairs/Service	1,273,673	1,469,143.37	-	1,469,143.37	1.15
412 Auditor of State Reimbursements	-	-	-	-	-
414 Reimbursement to Other Agencies	5,382,482	5,243,944.93	-	5,243,944.93	0.97
416 ITD Reimbursements	1,424,785	1,604,513.63	-	1,604,513.63	1.13
417 Worker's Compensation	-	-	-	-	-
418 IT Outside Services	205	3,450.00	-	3,450.00	16.83
419 Intra Agency Reimbursement	-	-	-	-	-
433 Transfers - Auditor of State	-	-	-	-	-
434 Transfers - Other Agencies Services	69,076	97,215.29	-	97,215.29	1.41
501 Equipment	1,642,229	2,217,396.94	-	2,217,396.94	1.35
502 Office Equipment	14,945	8,248.38	-	8,248.38	0.55
503 Equipment - Non-Inventory	187,043	590,721.49	-	590,721.49	3.16
510 IT Equipment	789,374	973,415.77	-	973,415.77	1.23
601 Claims	301	300.00	-	300.00	1.00
602 Other Expense & Obligations	3,207,156	3,657,739.46	-	3,657,739.46	1.14
604 Securities	-	-	-	-	-
701 Licenses	12,327	9,921.00	-	9,921.00	0.80
702 Fees	115	60.00	-	60.00	0.52
705 Refunds-Other	-	-	-	-	-
901 Capitals	46,006	46,262.80	-	46,262.80	1.01
— Balance Carry Forward	-	118,182.27	-	118,182.27	—
— Reversion	-	119,220.84	-	119,220.84	—
Total Expense and Encumbrances	286,503,185	286,311,708.39		286,311,708.39	1.00
Ending Balance					

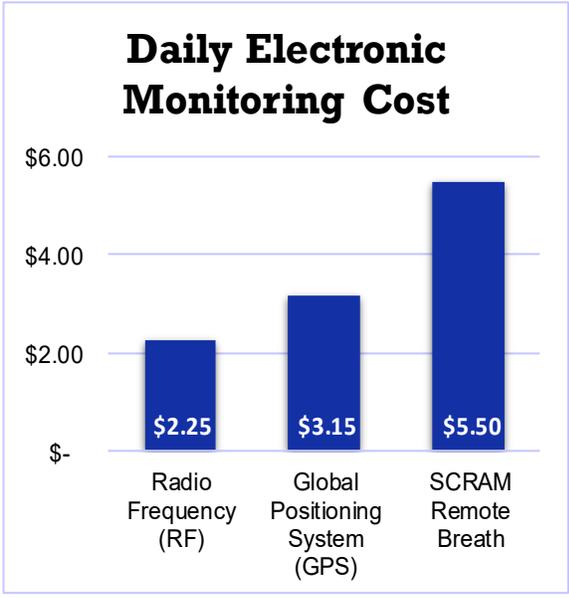
CBC Totals

Iowa Department of Corrections
 FY 2020 Financial Status Reports
 Through September 2020 - Hold Open

	Department Revised Budget	Actual Revenues and Expenditures	Encumbrances	Actual Revenues and Expenditures	Percent (Actual of Budget)
FTE Positions					
Residential Officer	285.47	285.47		298.62	104.61%
Total Staffing	1,113.28	1,113.28		1,119.11	100.52%
Resources Available					
04B Balance Brought Forward	5,996,761.00	6,064,550.33	-	6,064,550.33	101.13%
05A Appropriation	93,009,835.00	93,009,835.00	-	93,009,835.00	100.00%
--- Appropriation Transfer	-	-	-	-	---
--- Legislative Adjustments	746,537.00	746,537.00	-	746,537.00	100.00%
201R Federal Support	815,168.00	648,626.85	-	648,626.85	79.57%
202R Local Governments	948,108.00	985,054.78	-	985,054.78	103.90%
204R Intra State Receipts	150,000.00	150,000.00	-	150,000.00	100.00%
205R Reimbursement from Other Agencies	96,745.00	154,169.95	-	154,169.95	159.36%
234R Transfers - Other Agencies	-	-	-	-	---
301R Interest	98,700.00	86,361.25	-	86,361.25	87.50%
401R Fees, Licenses & Permits	5,791,976.00	5,443,176.27	-	5,443,176.27	93.98%
402R Tuition & Fees	-	-	-	-	---
501R Refunds & Reimbursements	13,562,675.00	13,088,633.02	-	13,088,633.02	96.50%
602R Sale of Equipment & Salvage	-	-	-	-	---
603R Rents & Leases	-	-	-	-	---
604R Agricultural Sales	-	-	-	-	---
606R Other Sales & Services	-	-	-	-	---
704R Other	318,000.00	368,649.93	-	368,649.93	115.93%
Total Resources Available	121,534,505.00	120,745,594.38		120,745,594.38	99.35%
Funds Expended					
101 Personal Services-Salaries	104,094,984.00	101,243,171.02	-	101,243,171.02	97.26%
202 Personal Travel (In State)	309,986.00	247,401.13	-	247,401.13	79.81%
203 State Vehicle Operation	302,516.00	268,592.45	-	268,592.45	88.79%
204 Depreciation	-	-	-	-	---
205 Personal Travel (Out of State)	44,170.00	36,700.30	-	36,700.30	83.09%
301 Office Supplies	294,874.00	273,213.89	-	273,213.89	92.65%
302 Facility Maintenance Supplies	211,150.00	207,105.93	-	207,105.93	98.08%
303 Equipment Maintenance Supplies	-	-	-	-	---
304 Professional & Scientific Supplies	344,215.00	267,624.79	-	267,624.79	77.75%
306 Housing & Subsistence Supplies	294,100.00	360,903.17	-	360,903.17	122.71%
307 Ag, Conservation & Horticulture Supply	-	-	-	-	---
308 Other Supplies	124,620.00	156,306.30	-	156,306.30	125.43%
309 Printing & Binding	-	-	-	-	---
310 Drugs & Biologicals	-	-	-	-	---
311 Food	1,944,008.00	1,839,856.10	-	1,839,856.10	94.64%
312 Uniforms & Related Items	4,200.00	8,196.79	-	8,196.79	195.16%
313 Postage	-	-	-	-	---
401 Communications	667,727.00	669,528.32	-	669,528.32	100.27%
402 Rentals	754,613.00	722,691.53	-	722,691.53	95.77%
403 Utilities	1,418,000.00	1,324,459.96	-	1,324,459.96	93.40%
405 Professional & Scientific Services	3,522,981.00	2,982,559.02	-	2,982,559.02	84.66%
406 Outside Services	377,953.00	471,984.64	-	471,984.64	124.88%
407 Intra-State Transfers	-	-	-	-	---
408 Advertising & Publicity	5,360.00	5,760.68	-	5,760.68	107.48%
409 Outside Repairs/Service	2,195,817.00	1,496,681.58	-	1,496,681.58	68.16%
412 Auditor of State Reimbursements	1,100.00	440.50	-	440.50	40.05%
414 Reimbursement to Other Agencies	410,426.00	492,059.17	-	492,059.17	119.89%
416 ITS Reimbursements	351,691.00	354,737.26	-	354,737.26	100.87%
417 Worker's Compensation	80,343.00	2,285.81	-	2,285.81	2.85%
418 IT Outside Services	-	-	-	-	---
434 Transfers - Other Agencies Services	250,000.00	-	-	-	0.00%
501 Equipment	420,000.00	45,375.11	-	45,375.11	10.80%
502 Office Equipment	32,850.00	95,714.14	-	95,714.14	291.37%
503 Equipment - Non-Inventory	375,770.00	322,487.30	-	322,487.30	85.82%
510 IT Equipment	1,202,419.00	914,001.64	-	914,001.64	76.01%
601 Claims	-	-	-	-	---
602 Other Expense & Obligations	545,443.00	452,464.34	-	452,464.34	82.95%
609 Bonds, Credit Union, Deferred Comp	-	-	-	-	---
705 Refunds-Other	-	-	-	-	---
901 Capitals	36,000.00	130,687.88	-	130,687.88	363.02%
91B Balance Carry Forward	917,189.00	5,352,603.63	-	5,352,603.63	583.59%
--- Reversion	-	-	-	-	---
Total Expenses	121,534,505.00	120,745,594.38		120,745,594.38	99.35%
Ending Balance					



This represents an increase of \$4.12 average daily cost (4.6%) from FY2019. It is notable that the DOC appropriation increased by 2.17% in FY2020. Following population reduction due to COVID-19 the average daily population decreased by 2.29% during FY2020. Both factors had an overall impact on the prison average daily cost.





Iowa Corrections Offender Network (ICON)

Intelligence System



"ICON View"
54,933 Inquires
FY2002

Investigative System



"Critical Incident Reporting"
48,231 Incidents Since 2009

Offender Funds Administration



"Banking"
\$4.15M Restitution Collected FY20

Case Management



Managing Information For 553,110
Offenders Since 2000

Commissary Operations



4.8M Orders Processed
Since 2002

Offender Management

Food Service Management



8.81M Meals Served FY20

Secure Inmate Email



"OMail"
1.83M Messages Delivered FY20

23,899,640 Case Mgmt Generic Notes Entered Since 2000

11,753,240 Case Mgmt Documents Managed Since 2000

379,555 Case Mgmt Reports Generated Per Month

0 Minutes of FY20 Unplanned Downtime

214,085
New RX's FY20



Pharmacy Administration

Electronic Health Records



"Medical/Mental Health"
931,351 Medical Encounters FY20

Data Sharing Initiatives

Federal, State and Local Agencies

Courts (CJIS)
County Attorneys (CJIS)
DPS Sex Offender Registry (CJIS)
DPS Rapsheet Records (CJIS)
DPS LiveScan Fingerprints
Law Enforcement Officer Photo (CJIS)
NLETS National Law Enforcement (CJIS)

VINE Victim Notification
DHS Child Care Assistance
DHS Child Support Recovery
DHS Family Investment
DHS Iowa Food Assistance
DHS Medicaid Fraud
Board of Parole

National Corrections Reporting Program
Internal Revenue Service
Social Security Administration
N-DEX FBI National Data Exchange
Immigration Services
Data Warehouse
Statewide Crime Code Table (CJIS)



Iowa Corrections Offender Network (ICON)-Continued

Deployment Dates

1999 Offender Funds Administration (Banking)
 1999 Commissary Operations
 2000 Case Management – Community
 2002 Electronic Health Records
 2003 Pharmacy Administration
 2004 Case Management – Prisons
 2007 Food Service Management
 2008 Investigative System (CIRs)
 2009 Intelligence System (ICON View)
 2010 Secure Inmate Email (OMail)
 2012 Case Management – PDA Integration
 2013 Board of Parole Integration
 2017 ICON Dashboard

Active Offenders By Supervision

26,509 Probation
 7,903 Prison
 5,726 Parole
 2,994 Pretrial Release With Supervision
 1,655 Special Sentence
 1,105 Interstate Compact Probation
 603 Work Release
 354 Interstate Compact Parole
 279 Federal
 100 OWI Continuum
 28 Prison Safekeeper
 25 Prison Compact
 9 Other

Outside Federal, State and Local Agencies with System Access

Governor's Office
 Public Safety
 Human Services (Child Support Recovery Unit)
 Attorney General
 Board of Parole
 Local Sheriff and Police Departments
 Immigration and Customs Enforcement
 Federal Probation/Parole Offices
 Citizen's Aide/Ombudsman
 Criminal and Juvenile Justice Planning
 Inspection and Appeals
 Insurance Fraud Bureau
 Vocational Rehabilitation Services
 Workforce Development

<p>First Judicial District 314 East 6th Street Waterloo, Iowa 50704 (319) 236-9626</p>	<p>Third Judicial District 515 Water Street Sioux City, Iowa 51103 (712) 252-0590</p>	<p>Fifth Judicial District 1000 Washington Avenue Des Moines, Iowa 50314 (515) 242-6600</p>	<p>Seventh Judicial District 605 Main Street Davenport, Iowa 52803 (563) 322-7986</p>
<p>Second Judicial District 111 N. Sherman Ames, IA 50010 (515) 232-1810</p>	<p>Fourth Judicial District 801 South 10th Street Council Bluffs, IA 51501 (712) 325-4943</p>	<p>Sixth Judicial District 951 29th Avenue SW Cedar Rapids, Iowa 52404 (319) 398-3675</p>	<p>Eighth Judicial District 1805 West Jefferson Fairfield, Iowa 52556 (641) 472-4242</p>

2020 ANNUAL REPORT

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DOC Web Address: www.doc.iowa.gov

*Annual Report prepared by:
Cord Overton 515-725-5707
Johana Herdrich 515-725-0027*

Mission:

**Creating Opportunities
for Safer Communities**



<p>Anamosa State Penitentiary 406 North High Street Anamosa, Iowa 52205 (319) 462-3504</p>	<p>Iowa Correctional Institution for Women 300 Elm Avenue SW Mitchellville, Iowa 50169 (515) 967-4236</p>	<p>Iowa State Penitentiary 3 John Bennett Drive Fort Madison, Iowa 52627 (319) 372-5432</p>
<p>Clarinda Correctional Facility 2000 North 16th Street Clarinda, Iowa 51632 (712) 542-5634</p>	<p>Iowa Medical and Classification Center 2700 Coral Ridge Avenue Coralville, Iowa 52241 (319) 626-2391</p>	<p>Newton Correctional Facility 307 South 60th Avenue, W Newton, Iowa 50208 (641) 792-7552</p>
<p>Fort Dodge Correctional Facility 1550 L Street Fort Dodge, Iowa 50501 (515) 574-4700</p>	<p>Mount Pleasant Correctional Facility 1200 East Washington Street Mount Pleasant, Iowa 52641 (319) 385-9511</p>	<p>North Central Correctional Facility 313 Lanedale Rockwell City, Iowa 50579-7464 (712) 297-7521</p>