

**Governing Iowa's public  
universities and special schools**

University of Iowa  
Iowa State University  
University of Northern Iowa  
Iowa School for the Deaf  
Iowa Braille and Sight Saving School  
Lakeside Laboratory Regents Resource Center  
Western Iowa Regents Resource Center




Michael J. Richards, MD, President, *West Des Moines*  
Patty Cownie, President Pro Tem, *Des Moines*  
David R. Barker, PhD, *Iowa City*  
Sherry Bates, *Scranton*  
Nancy Boettger, *Harlan*  
Milt Dakovich, *Waterloo*  
Nancy Dunkel, *Dyersville*  
Zackery Leist, *Clarion*  
Jim Lindenmayer, PhD, *Ottumwa*

Mark J. Braun, Executive Director

**MEMORANDUM**

**TO:** Glen Dickinson, Legislative Services Agency  
Dave Roederer, Department of Management

**FROM:** Mark J. Braun 

**SUBJECT:** Gifts and Grants Reports

**DATE:** October 28, 2019

Pursuant to Iowa Code §8.44, enclosed for your information are copies of the September 2019 gifts and grants reports from the Regent institutions.

Enclosures (5)  
cc: Robin Madison, LSA

Award During Fiscal Year: 2020

**Summary by Source (Sponsor)**

| Category               | Source                     | Sep Award Amount    | Fiscal YTD Award Amount |
|------------------------|----------------------------|---------------------|-------------------------|
| Federal                | DOC-Commerce               | \$172,218           | \$530,590               |
|                        | DOD-Defense                | \$198,334           | \$1,256,979             |
|                        | DOE-Energy                 | \$6,130,906         | \$16,186,107            |
|                        | DOI-Interior               | \$95,000            | \$95,000                |
|                        | DOL-Labor                  | \$159,480           | \$159,480               |
|                        | DOT-Transportation         | \$450,000           | \$1,483,859             |
|                        | ED-Education               | \$0                 | \$890,501               |
|                        | HHS-Health/Hmn Svcs        | \$2,287,712         | \$6,568,845             |
|                        | NASA-Natl Aero/Space       | \$0                 | \$283,064               |
|                        | NSF-Natl Science Fdn       | \$2,376,589         | \$17,004,840            |
|                        | Other-Other Federal        | \$1,777,161         | \$2,494,461             |
|                        | USDA-Agriculture           | \$3,460,577         | \$14,121,546            |
|                        | <b>Total</b>               |                     | <b>\$17,107,977</b>     |
| Non-Federal            | Business & Corporations    | \$3,827,888         | \$10,598,085            |
|                        | Foundations & Associations | \$1,042,149         | \$4,720,940             |
|                        | Individual                 | \$14,244,558        | \$21,821,197            |
|                        | Other                      | \$0                 | \$876,121               |
|                        | State & Local Governments  | \$2,765,629         | \$10,504,691            |
|                        | Universities & Colleges    | \$1,884,961         | \$4,522,658             |
| <b>Total</b>           |                            | <b>\$23,765,185</b> | <b>\$53,043,692</b>     |
| <b>Overall - Total</b> |                            | <b>\$40,873,162</b> | <b>\$114,118,964</b>    |

**Summary by Purpose (Activity)**

| Activity Type              | Sep Award Amount    | Fiscal YTD Award Amount |
|----------------------------|---------------------|-------------------------|
| Academic Support           | \$3,267,032         | \$4,096,755             |
| Institutional Support      | \$1,892,997         | \$6,617,762             |
| Instruction                | \$154,512           | \$2,805,668             |
| Operations & Maintenance   | \$1,645,810         | \$3,773,784             |
| Public Service             | \$1,939,720         | \$6,105,853             |
| Research                   | \$22,502,349        | \$75,995,250            |
| Scholarships & Fellowships | \$9,470,742         | \$14,723,892            |
| <b>Overall - Total</b>     | <b>\$40,873,162</b> | <b>\$114,118,964</b>    |

# UNIVERSITY OF IOWA FUNDING WATCH- September 2019

## UI Grants, Contracts, Gifts, and Clinical Trials by Source and Purpose

| Source                                | Sep-19              | July 2019 through September 2019 |
|---------------------------------------|---------------------|----------------------------------|
| <b>Federal</b>                        |                     |                                  |
| Department of Agriculture             | \$225,000           | \$225,000                        |
| Department of Commerce                | \$0                 | \$62,313                         |
| Department of Defense                 | \$2,018,091         | \$6,103,094                      |
| Department of Education               | \$474,861           | \$3,480,848                      |
| Department of Energy                  | \$359,658           | \$1,891,795                      |
| Department of Health & Human Services | \$24,197,755        | \$76,313,436                     |
| Department of Interior                | \$21,900            | \$87,653                         |
| Department of Transportation          | \$0                 | \$0                              |
| Environmental Protection Agency       | \$0                 | \$1,835,976                      |
| NASA                                  | \$1,129,787         | \$2,222,367                      |
| National Science Foundation           | \$978,780           | \$3,782,639                      |
| Other                                 | \$517,280           | \$1,793,865                      |
| <b>Total Federal</b>                  | <b>\$29,923,112</b> | <b>\$97,798,985</b>              |
| <b>Non-Federal</b>                    |                     |                                  |
| Business & Corporations               | \$6,476,076         | \$14,055,611                     |
| Foundations & Associations            | \$1,212,759         | \$5,820,256                      |
| Individuals                           | \$0                 | \$0                              |
| State & Local Governments             | \$4,743,429         | \$12,866,220                     |
| Hospitals & Other Universities        | \$2,500,807         | \$12,438,139                     |
| Other                                 | \$0                 | \$27,130                         |
| <b>Total Non-Federal</b>              | <b>\$14,933,071</b> | <b>\$45,207,356</b>              |
| <b>Source Grand Total</b>             | <b>\$44,856,182</b> | <b>\$143,006,341</b>             |

| Purpose                        | Sep-19              | July 2019 through September 2019 |
|--------------------------------|---------------------|----------------------------------|
| Academic Support/Miscellaneous | \$5,499,638         | \$18,645,685                     |
| Equipment                      | \$25,165            | \$480,485                        |
| Fellowships & Scholarships     | \$2,453,004         | \$9,440,864                      |
| Public Service                 | \$482,723           | \$2,623,656                      |
| Research                       | \$36,395,652        | \$109,209,234                    |
| Student Financial Aid          | \$0                 | \$2,606,416                      |
| <b>Purpose Grand Total</b>     | <b>\$44,856,182</b> | <b>\$143,006,341</b>             |

**UNIVERSITY OF NORTHERN IOWA**

**Summary of Gifts, Grants, and Contracts  
Awarded During the Month Ending  
09/30/2019**

**SOURCE**

**Federal**

|                                  | Current Month       | Total Fiscal Year to Date |
|----------------------------------|---------------------|---------------------------|
| Dept. of Agriculture             |                     |                           |
| Dept. of Commerce                |                     |                           |
| Dept. of Defense                 | 160,804.00          | 161,490.55                |
| Dept. of Education               | 968,686.00          | 13,879,147.00             |
| Dept. of Energy                  | 105,360.00          | 105,360.00                |
| Dept. of Health & Human Services |                     |                           |
| Dept. of Interior                |                     |                           |
| Dept. of Justice                 |                     |                           |
| Dept. of Transportation          |                     |                           |
| Environmental Protection Agency  |                     |                           |
| NASA                             |                     |                           |
| Natl. Science Foundation         |                     |                           |
| Other                            | 698,678.00          | 798,678.00                |
|                                  | <b>1,933,528.00</b> | <b>14,944,675.55</b>      |

**Non-Federal**

|                                       | Current Month       | Total Fiscal Year to Date |
|---------------------------------------|---------------------|---------------------------|
| Business and Corporations             | 62,992.92           | 124,025.92                |
| Foundations and Associations          | 99,348.00           | 416,096.73                |
| Individuals                           | 80,012.00           | 124,263.56                |
| State & Local Government/Universities | 1,250,669.76        | 9,005,111.76              |
| Other                                 |                     |                           |
|                                       | <b>1,493,022.68</b> | <b>9,669,497.97</b>       |
| <b>Grand Total</b>                    | <b>3,426,550.68</b> | <b>24,614,173.52</b>      |

**PURPOSE**

|                               | Current Month       |                     |                     | Total Fiscal Year to Date |
|-------------------------------|---------------------|---------------------|---------------------|---------------------------|
|                               | Federal             | Non-Fed             | Total               |                           |
| Academic Support/Misc         | 968,886.00          | 723,742.00          | 1,692,628.00        | 2,066,000.79              |
| Equipment                     |                     |                     |                     |                           |
| Fellowships & Scholarships    |                     |                     |                     |                           |
| Plant Operation & Maintenance |                     |                     |                     |                           |
| Public Service                | 859,282.00          | 736,701.68          | 1,595,983.68        | 9,018,927.73              |
| Research                      | 105,360.00          | 32,579.00           | 137,939.00          | 618,784.00                |
| Student Services              |                     |                     |                     |                           |
| Student Financial Aid         |                     |                     |                     | 12,910,461.00             |
| <b>Grand Total</b>            | <b>1,933,528.00</b> | <b>1,493,022.68</b> | <b>3,426,550.68</b> | <b>24,614,173.52</b>      |

**Iowa Braille and Sight Saving School  
 Gifts, Grants & Contracts Reporting  
 As of September 30, 2019**

| <b>SOURCE</b>                    | <b>Month Ending<br/>9/30/2019</b> | <b>FYTD as of<br/>9/30/2019</b> |
|----------------------------------|-----------------------------------|---------------------------------|
| <b><u>Federal</u></b>            |                                   |                                 |
| Dept. of Agriculture             | 0.00                              | 0.00                            |
| Dept. of Commerce                | 0.00                              | 0.00                            |
| Dept. of Defense                 | 0.00                              | 0.00                            |
| Dept. of Education               | 0.00                              | 0.00                            |
| Dept. of Energy                  | 0.00                              | 0.00                            |
| Dept. of Health & Human Services | 0.00                              | 0.00                            |
| Dept. of Interior                | 0.00                              | 0.00                            |
| Dept. of Transportation          | 0.00                              | 0.00                            |
| Environmental Protection Agency  | 0.00                              | 0.00                            |
| NASA                             | 0.00                              | 0.00                            |
| Natl Science Foundation          | 0.00                              | 0.00                            |
| Other                            | 0.00                              | 0.00                            |
| <b>Total Federal</b>             | <b>0.00</b>                       | <b>0.00</b>                     |
| <b><u>Non-Federal</u></b>        |                                   |                                 |
| Business and Corporations        | 0.00                              | 0.00                            |
| Foundations and Associations     | 0.00                              | 0.00                            |
| Individuals                      | 0.00                              | 0.00                            |
| State & Local Gov't/Universities | 93,116.23                         | 93,116.23                       |
| Other                            | 0.00                              | 0.00                            |
| <b>Total Non-Federal</b>         | <b>93,116.23</b>                  | <b>93,116.23</b>                |
| <b>Grand Total</b>               | <b>93,116.23</b>                  | <b>93,116.23</b>                |

| <b>PURPOSE</b>               |                  |                  |
|------------------------------|------------------|------------------|
| Academic Support             | 93,116.23        | 93,116.23        |
| Equipment                    | 0.00             | 0.00             |
| Fellowships and Scholarships | 0.00             | 0.00             |
| Public Service               | 0.00             | 0.00             |
| Research                     | 0.00             | 0.00             |
| Student Financial Aid        | 0.00             | 0.00             |
| <b>Grand Total</b>           | <b>93,116.23</b> | <b>93,116.23</b> |

**IOWA SCHOOL FOR THE DEAF**  
**Report of Gifts, Grants and Contracts**  
**As of: September 30, 2019**

| <b>SOURCE</b>                    | <b><u>Current Month</u></b> | <b><u>Total Fiscal Year<br/>to Date</u></b> |
|----------------------------------|-----------------------------|---|
| <i><u>Federal</u></i>            |                             |   |
| Dept. of Agriculture             | \$0.00                      | \$0.00                                      |
| Dept. of Commerce                | \$0.00                      | \$0.00                                      |
| Dept. of Defense                 | \$0.00                      | \$0.00                                      |
| Dept. of Education               | \$52,856.95                 | \$52,856.95                                 |
| Dept. of Energy                  | \$0.00                      | \$0.00                                      |
| Dept. of Health & Human Services | \$0.00                      | \$0.00                                      |
| Dept. of Interior                | \$0.00                      | \$0.00                                      |
| Dept. of Transportation          | \$0.00                      | \$0.00                                      |
| Environmental Protection Agency  | \$0.00                      | \$0.00                                      |
| NASA                             | \$0.00                      | \$0.00                                      |
| Natl. Science Foundation         | \$0.00                      | \$0.00                                      |
| Other: Dept. of Education        | \$0.00                      | \$0.00                                      |
| <br>Total Federal                | <hr/> \$52,856.95           | <hr/> \$52,856.95                           |
| <br><i><u>Non-Federal</u></i>    |                             |   |
| Business and Corporations        | \$0.00                      | \$0.00                                      |
| Foundations and Associations     | \$0.00                      | \$0.00                                      |
| Individuals                      | \$0.00                      | \$0.00                                      |
| State & Local Gov./Universities  | \$0.00                      | \$0.00                                      |
| Other                            | \$0.00                      | \$2,500.00                                  |
| Total Non-Federal                | <hr/> \$0.00                | <hr/> \$2,500.00                            |
| <br>Grand Total                  | <hr/> \$52,856.95           | <hr/> \$55,356.95                           |
| <br><b>PURPOSE</b>               |                             |   |
| Academic Support/Misc.           | \$52,856.95                 | \$55,356.95                                 |
| Equipment                        | \$0.00                      | \$0.00                                      |
| Fellowships and Scholarships     | \$0.00                      | \$0.00                                      |
| Public Service                   | \$0.00                      | \$0.00                                      |
| Research                         | \$0.00                      | \$0.00                                      |
| Student Financial Aid            | \$0.00                      | \$0.00                                      |
| <br>Grand Total                  | <hr/> \$52,856.95           | <hr/> \$55,356.95                           |