December 10, 2018

W. Charles Smithson
Secretary of Senate
State Capitol
LOCAL

Carmine Boal
Chief Clerk of the House
State Capitol
LOCAL

Dear Ms. Boal and Mr. Smithson:

Enclosed please find copies of reports to the General Assembly relative to the Annual Report of the Healthy and Well Kids in Iowa (hawk-i) Board.

This report was prepared pursuant to the directive contained in Iowa Code 5141.

Please feel free to contact me if you need additional information.

Sincerely,

Mikki Stier
Deputy Director

MS/ar

Enclosure

cc: Kim Reynolds, Governor
Iowa Department of Human Services

Annual Report of the hawk-i Board to The Governor, General Assembly, and Council on Human Services

December 2018
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Executive Summary

This is the State Fiscal Year 2018 (SFY18) (July 1, 2017 to June 30, 2018) Annual Report for the Healthy and Well Kids in Iowa (hawk-i) program.

The number of children enrolled in the program increased in SFY 18 by 8,339 for the hawk-i program and by 455 for the hawk-i Dental Only program. Outreach activities continue to increase awareness of the program to help assure that low-income children in Iowa get the health care they need either through Medicaid or the hawk-i program.

Managed Care Organizations

All hawk-i members had a choice of two Managed Care Organizations (MCOs) for health care coverage in SFY18. These MCOs were Amerigroup Iowa, Inc., (Amerigroup) and UnitedHealthcare Plan of the River Valley, Inc. (UnitedHealthcare). Dental coverage was provided through Delta Dental of Iowa.

Reauthorization of the program

The federal funding for the Children’s Health Insurance Program (CHIP), which in Iowa is the hawk-i program and the Medicaid Expansion for children, was reauthorized for 10 years in January 2018.

Introduction

Iowa Code Section 514I.5 (g) directs the hawk-i Board to submit an annual report to the Governor, General Assembly, and Council on Human Services concerning the Board’s activities, findings, and recommendations. This report has been developed for the purposes of the above referenced Iowa Code section.

Program Description

Title XXI of the Social Security Act enables states to provide health care coverage to uninsured, targeted low-income children. In Iowa, targeted low-income children are those children covered by a Medicaid Expansion, a separate program called Healthy and Well Kids in Iowa (hawk-i), and the hawk-i Dental-Only Program which was implemented on March 1, 2010. (See Attachment 2 Organization of the hawk-i program).

Effective January 1, 2014, the countable income levels were changed based on the introduction of the Modified Adjustable Gross Income (MAGI) methodology in accordance with the Affordable Care Act. This change aligns financial eligibility rules across all insurance affordability programs; creates a seamless and coordinated system
of eligibility and enrollment; and maintains eligibility of low-income populations, especially children.

The Medicaid Expansion component covers children ages 6 to 18 years of age whose countable family income is between 122 and 167 percent of the Federal Poverty Level (FPL) and infants 0 to 1 year of age whose countable family income is between 240 and 375 percent of the FPL. The **hawk-i** program provides healthcare coverage to children under the age of 19 whose countable family income is less than or equal to 302 percent of the FPL, who are not eligible for Medicaid and who are not covered under a group health plan or other health insurance. The **hawk-i** Dental-Only Program covers children who meet the financial requirements of the **hawk-i** program but are not eligible because they have health insurance. The Dental-Only program and the dental coverage with **hawk-i** provide preventive and restorative dental care services as well as medically-necessary orthodontia. (See Attachment 2 Iowa’s Health Care Programs for Non-Disabled Children).

See Attachment Two: Organization of the **hawk-i** program.

**Federal History**

Congress established the Children’s Health Insurance Program (CHIP) with the passage of the Balanced Budget Act of 1997, which authorized $40 billion for the program through Federal Fiscal Year (FFY) 2007. Under the program, a federal block grant was awarded to states to provide health care coverage to children of families with income above Medicaid eligibility levels.

On February 4, 2009, President Obama signed the Children’s Health Insurance Program Reauthorization Act of 2009 (CHIPRA), Public Law 111-3, into law. The CHIPRA legislation reauthorized CHIP for four and a half years through FFY 2013 and authorized approximately $44 billion in new funding for the program.

The Patient Protection and Affordable Care Act (ACA) was signed into law on March 23, 2010, and continues CHIP programs through September 30, 2019. Federal funding is authorized through September 30, 2017. The ACA has resulted in substantial changes to the program. Noteworthy changes include a single streamlined application as part of the enrollment process and switching to the MAGI methodology to determine family income. ACA also prohibits states from reducing current eligibility standards, referred to as maintenance of effort (MOE), until September 30, 2019.

**Reauthorization of CHIP**

On January 22, 2018, Congress passed a Continuing Resolution (CR) called the HEALTHY KIDS Act that approved funding for CHIP for six years. Another CR was then passed on February 8, 2018, the ACCESS Act, that approved funding for four more years. CHIP funding is now authorized through Federal Fiscal Year (FFY) 2027 (September 30, 2027).
Other CHIP provisions in the HEALTHY KIDS Act and the ACCESS Act included:

- **CHIP match rate.** The federal match for CHIP (known as e-FMAP or enhanced federal medical assistance percentage), was increased by 23 percentage points by the Affordable Care Act. This increase is also known as a “bump”. The HEALTHY KIDS Act continued this bump through FFY19. The “bump” decreases to 11.5 percentage points in FFY 2020 and then returns to the e-FMAP for future years).

- **Maintenance of Effort.** Maintenance of effort (MOE) is the provision that states are required to maintain eligibility standards, methodologies and procedures the same. This remains in effect until FFY2027. Some states may change their eligibility beginning October 1, 2019, if their eligibility is above 300 percent of the federal poverty level (FPL). Iowa is currently at 302 percent, so may have the option of moving to 300 percent FPL.

**Iowa’s CHIP Program**

CHIP is a federal program operated by the state, financed with federal and state funds at a match rate of approximately 94 cents to $1.00. CHIP was enacted to cover uninsured children whose family income is above the income limits for Medicaid. As noted previously, Iowa’s CHIP program has three components:

- **Medicaid Expansion** (Implemented 1998) – Provides health and dental services to infants 0 to 1 year of age and qualified children ages 6 – 19 through the state’s Medicaid program at the enhanced federal matching rate. The children covered have income that is higher than regular Medicaid but lower than the income criteria for the **hawk-i** program.

- **hawk-i** (Implemented 1999) – Qualified children are covered through contracts with MCOs and a dental plan to deliver a full array of health and dental services. The **hawk-i** program covers preventive care (immunizations), primary care, hospital and emergency care, chiropractic care, vision, skilled nursing care, dental care, medically necessary orthodontia, and behavioral care including substance abuse and mental health treatment. The coverage package is similar to a comprehensive commercial health and dental insurance plan. The children covered are those with family income higher than the Medicaid Expansion program, and below 302 percent of the Federal Poverty Level (FPL).

- **Transition to MCO** (Implemented April 2016) – Most Medicaid members, including those enrolled in the **hawk-i** program, were transitioned to a managed care program, and receive health coverage through an MCO.

- **Dental-Only Program** (Implemented 2010) - The **hawk-i** Dental-Only Program provides preventive and restorative dental care services as well as medically necessary orthodontia to children with income under 302 percent of
the FPL that do not qualify for healthcare benefits under hawk-i because they have health insurance.

Key Characteristics of the hawk-i Program

The department pays monthly capitation premiums to MCOs and hawk-i program benefits are provided in the same manner as for commercial beneficiaries. The covered services under hawk-i are different from regular Medicaid and are approximately equivalent to the benefit package of the state’s largest employee plan available at the beginning of the program.

Within the hawk-i program (effective January 1, 2014), families with income over 181 percent of the FPL pay a monthly premium of $10 - $20 per child with a maximum of $40 based on family income. Premiums have not been increased since the program’s implementation and Iowa’s monthly premium compared to established federal poverty levels are consistently lower than most other states charging a monthly enrollee premium. In June 2018, 63 percent of enrolled hawk-i families paid a monthly premium and 37 percent paid no monthly premium amount.

According to the SFY2018 hawk-i enrollee satisfaction survey conducted by the third party administrator, 84 percent of respondents reported that the monthly premium was affordable while only four percent responded that the premium was not affordable.
The department contracts with a third party administrator for all aspects of application processing, eligibility determination, customer service, management of information systems, premium billing and collection, and health and dental plan enrollment. State staff provides policy guidance, contract management, and general program oversight.

Enrollment in Iowa’s CHIP program has been instrumental in providing coverage to thousands of uninsured children since 1998 and Iowa has historically been among the top five states with the lowest uninsured rate among children.

*See Attachment Two: History of Participation.*

**Budget**

**Federal Funding History**

The CHIP program is authorized and funded through Title XXI of the Social Security Act. The program is capped with a fixed annual appropriation established by the legislation authorizing the program. Since implementation in 1997, state CHIP programs across the nation have provided healthcare coverage to millions of uninsured children.

From the initial total annual appropriation, every state was provided an allotment for the year based on a statutory formula established in the original legislation. Prior to FFY05, states were allocated federal funding based on the estimated number of uninsured children in the state estimated to be eligible for the program. In FFY06, the allocation formula was based on 50 percent of the number of low-income children for a fiscal year and 50 percent of the number of low-income uninsured children defined in the three
most recent population surveys of the Bureau of Census, with an adjustment for duplication.

States were allowed three years to spend each year’s original allotment. At the end of the three-year period, any unused funds were redistributed to other states. States receiving redistributed funds had one year to spend them. Unused funds remaining at the end of the year were returned to the U.S. Treasury.

With the passage of CHIPRA in 2009, the annual allotment formula was revised to more accurately reflect projected state and program spending. The new allotment formula for each of the 50 states and the District of Columbia was determined as 110 percent of the highest of the following three amounts:

- Total federal payments under Title XXI to the state for FFY08, multiplied by an “allotment increase factor” for FFY09;
- FFY08 CHIP allotment multiplied by the “allotment increase factor” for FFY09; or
- The projected FFY09 payments under Title XXI as determined on the basis of the February 2009 estimates submitted and certified by states no later than March 31, 2009.

CHIPRA allowed states to maintain the three-year availability of funds for FFY98-FFY08 allotments but changed to two-year availability of funds for allotments beginning with FFY09. Additionally, unexpended allotments for FFY07 and subsequent years were redistributed to states that were projected to have funding shortfalls after considering all available allotments and contingency fund payments.

Section 2104(m) (2) (A) (ii) of CHIPRA added a “rebasing” process in determining the FFY11 allotments. This requirement meant that the state payments, rather than their allotments, for FFY10 must be considered in calculating the FFY11 allotments. Specifically, the FFY11 allotments are determined by multiplying the increase factor for FFY11 by the sum of:

- Federal payments made from states available allotments in FFY10;
- Amounts provided as redistributed allotments in FFY10 to the state; and
- Federal payments attributable to any contingency fund payments made to the state for FFY10 determined under Section 2104(n) of the Act.

Rebasing occurred in FFY13 using the allotments and expenditures from FFY12.

**State Funding for SFY18:**

The total original appropriation of state funds for SFY18 was: $8,518,452

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9
**Enrollment**

As of June 30, 2018, 72,900 children were enrolled in Iowa’s CHIP program. Of the total number enrolled,
- 17,761 (29 percent) were enrolled in Medicaid Expansion (M-CHIP),
- 51,323 (66 percent) in **hawk-i**, and
- 3,816 (5 percent) in the **hawk-i** Dental-Only program.

It is projected that by June 30, 2019, the total number of children enrolled in CHIP will reach 74,026.

**Quality**

With the transition to the MCOs, the MCOs are responsible for developing a quality management/quality improvement program to improve quality outcomes for Medicaid and **hawk-i** members. The MCOs are also to report on quality measures such as well-child visits, adolescent well-child visits, diabetes management, etc. The Department monitors the quality measures to ensure that children, as well as adults, are receiving needed medical care. These reports can be found at [https://dhs.iowa.gov/ime/about/performance-data/MC-quarterly-reports](https://dhs.iowa.gov/ime/about/performance-data/MC-quarterly-reports)
Provider Network Access

The Department reviews the provider networks of the two MCOs on a monthly basis to ensure that there is adequate access to all Medicaid and CHIP members. Assessment of the provider networks includes reviewing the number of primary care providers, specialists, and hospitals.

Outreach – Four Required Focus Areas

Successful collaboration continues between the Iowa DHS, Iowa Department of Public Health (IDPH), and the hawk-i Board of Directors. Local agency hawk-i Outreach Coordinators provide presumptive eligibility determinations for children and teens, which allows access to Medicaid covered medical, dental, and pharmacy services until a formal Medicaid eligibility or hawk-i eligibility determination is made. Designated hawk-i Outreach Coordinators are established in each local Child Health contract agency. Outreach Coordinators continued to provide critical outreach to communities in each of four required focus areas:

- Schools
- Faith-based communities
- Diverse ethnic populations
- Medical/Dental providers

Outreach to Schools

Providing outreach to schools at both the local and statewide level continues to be important in reaching uninsured, eligible children. Local coordinators from across the state work directly with school nurses as one method of finding these children. All local Outreach Coordinators have built relationships with school nurses to ensure uninsured children are connected to coverage. Many local Outreach Coordinators attend kindergarten round-ups and school registrations to talk directly to families about healthcare coverage, and some are able to complete presumptive eligibility determinations on the spot so the children walk away with coverage. In some communities, Outreach Coordinators also work with guidance counselors, coaches, or teachers in order to reach uninsured children. The state hawk-i Outreach Coordinator attended the 2018 Iowa School Nurse Organization Conference in the spring to talk to school nurses about hawk-i and provide updated information about the program.

Several agencies work directly with their School-Based Sealant programs to provide hawk-i information to children whose parents request information on the release form. This is an effective way to identify uninsured children who may be eligible for hawk-i or Medicaid.
Outreach to the Faith-based Community

Local hawk-i Outreach Coordinators have established long-term relationships within their service areas and the faith-based organizations. Outreach Coordinators collaborate and partner with their local ministerial associations and churches across Iowa to promote the hawk-i program. Many local agencies provide hawk-i materials to faith-based organizations through email list-serves and mass mailings. Building relationships with the leadership of faith-based organizations allow the outreach coordinators to provide hawk-i materials to members and establishes the coordinators as a trusted resource for families in need.

Outreach to Medical and Dental Providers

Outreach Coordinators provide direct outreach to Iowa’s medical and dental providers to educate them about the hawk-i program. There is a continued emphasis on engaging hospitals, medical clinics, dental offices, and pharmacists across the state and asking these trusted community leaders to talk to families about the hawk-i program. Since January 2014, hospitals and other provider types have had the ability to become Qualified Entities to provide presumptive eligibility for children and other populations. All local hawk-i outreach coordinators work with medical providers to encourage them to become Qualified Entities or to establish a referral system to ensure uninsured children are able to access healthcare coverage.

Outreach to Diverse Ethnic Populations

Outreach Coordinators continue to partner with and provide outreach to multicultural and diverse populations across Iowa. Outreach continues to be conducted at local and statewide ethnic health fairs, conferences, festivals, ethnic radio stations, and numerous other events that target ethnic populations. Local Outreach Coordinators are offered culturally competent resources and information throughout the year to help in their local outreach efforts. These resources are usually print resources, face-to-face training, and webinars.

Additional Outreach Activities

Local hawk-i Outreach Coordinators focus on many different areas outside of the four required focus areas. They have a strong understanding of their community needs and have developed partnerships to ensure families in their service area are aware of the hawk-i program. They also work closely with other professionals who know which families need healthcare coverage and other services. Below are examples of additional outreach activities:

- Many of the Maternal Child and Adolescent Health agencies work closely with Child Care Nurse Consultants in their services area. They are Registered Nurses who provide on-site consultation, training and technical assistance to early childhood care and education providers. Child care and
early education businesses may use CCNC’s for health programs, the health of personnel and specific health or safety issues.

- Many Outreach Coordinators work with insurance agents to identify children who need affordable healthcare coverage. They provide training and updated information and accept referrals from insurance agents.

- Outreach Coordinators attend health fairs and community events to promote the hawk-i program and increase awareness. The outreach coordinators are always working on new and innovative ways to bring families to their booth to talk to them about hawk-i, such as unique promotional items and fun activities for children.

- All Outreach Coordinators are encouraged to work closely with their I-Smile™ Coordinator to promote the hawk-i Dental Only program. I-Smile™ Coordinators provide care coordination for children who need dental care. They frequently work with local dental offices and schools to find children who need dental care. They provide hawk-i Dental Only information to families in need of dental coverage who may qualify for hawk-i.

- Outreach coordinators also utilize social media to promote the hawk-i program. They work with IDPH State Outreach Coordinator in working with their own content or get ideas from other agencies or websites e.g. InsureKidsNow.gov.

- Every spring the hawk-i Outreach Coordinators meet regionally to discuss outreach activities occurring in their region and gain new ideas for further outreach. This past fall, all 23 hawk-i Outreach Coordinators met together to listen and learn from an expert in working with immigrants and refugees. This discussion sparked great conversation as many outreach coordinators are on the front lines working with different populations.

- The Iowa Department of Public Health (IDPH) State Coordinator exhibited hawk-i information at several conferences this past year; including the Iowa School Nurse Organization’s Conference, Pediatric Nursing Conference and Preventing Childhood Injury Conference, Conference, the 2018 Fall Seminar for Maternal, Child and Adolescent Health agencies and for the first time speak to an audience of the Iowa Primary Care Association’s annual conference on the hawk-i program.

See Attachment Two: Referral Sources/Outreach Points.
Presumptive Eligibility

Iowa Code 514I.5(e) requires the DHS to utilize presumptive eligibility when determining a child’s eligibility for the medical assistance program. Effective March 1, 2010, Iowa implemented presumptive Medicaid eligibility for children under age 19.

Within the presumptive eligibility program, only qualified entities can enroll applicants into the program. A qualified entity is defined in 42 CFR 435.1101 and qualified entities must be determined by the DHS to be capable of making presumptive eligibility determinations. Based on other states’ experience implementing presumptive eligibility, certification of qualified entities was initially limited to a select number of hawk-i outreach coordinators.

To date, Iowa has gradually expanded qualified entities and continues to add qualified entities in provider categories including: Head Start programs, WIC clinics, physicians, rural health clinics, general hospitals, federally qualified health centers (FQHC), local and area education agencies, maternal health centers, and birthing centers. As of July 30, 2018, there were 220 qualified entities (individuals, hospitals and agencies) that have been authorized to sign up children for the presumptive eligibility program. In SFY18, a total of 7,261 children were approved for presumptive eligibility.

All presumptive eligibility applications are also automatically forwarded from the qualified entity to the DHS for a determination of ongoing Medicaid coverage or hawk-i.

See Attachment Three: Presumptive eligibility for Medicaid and hawk-i program design.

Participating Managed Care Organizations and Dental Plans

During SFY18, families in all 99 counties had a choice of two Managed Care Organizations (MCOs): Amerigroup Iowa Inc. and UnitedHealthcare Plan of the River Valley, Inc.

There is one dental plan, Delta Dental of Iowa that participated in hawk-i in SFY18.

MCO and Dental Plan Capitation Rates

For SFY18, the monthly capitation rate for the Amerigroup was $128.97 and UnitedHealthcare Plan was $127.70 per member per month. The rate for the dental plan was $22.99 per member per month.

The above rate was paid each month to the plans for each child enrolled with the plan, regardless of whether or not the enrolled child utilizes services.

See Attachment Four: History of Per Member Per Month Capitation Rate.
Board of Directors

Membership

The hawk-i Board is comprised of four public members, the Directors of Education and Public Health, and the Insurance Commissioner or designees. There are four ex-officio legislative members, two from the House and two from the Senate.

See Attachment Five: Healthy and Well Kids in Iowa (hawk-i) Board Members.

Board Activities and Milestones

Iowa Code Section 514I.5 (1) requires the hawk-i Board to meet no less than six and no more than twelve times per calendar year. The Board generally meets the third Monday of every other month; meeting agenda and minutes are available on the hawk-i program web site at https://dhs.iowa.gov/hawk-i/hawk-i-board.
Attachment One

- Federal Funding and Expenditure History
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6 $0 of the FFY03 allotment that remains unspent added to redistribution pool
7 $0 of the FFY04 allotment that remains unspent added to redistribution pool
8 $4,363,257 of the FFY07 supplemental that remains unspent reverts to treasury
9 $7,066,402 of the FFY08 supplemental that remains unspent reverts to treasury
10 Iowa received $31,197,684 additional dollars in FY09 due to the CHIPRA legislation
11 Total federal dollars spent do NOT include the OIG adjustment. This adjustment will be done 1st qtr FFY11
12 Iowa received $29,517,883 as a contingency fund
13 The balance carryforward from FFY2011 is from the contingency fund payment. Contingency funds are not always expended for CHIP related activities.
14 $24,652,065 of the carryforward amount from FFY12 is contingency funds
15 $12,039,162 of the carryforward amount from FFY13 is contingency funds
16 $8,775,391 of the carryforward amount from FFY14 is contingency funds
17 $149,001,388 is the draft allotment projected for Iowa
Attachment Two

- SFY 18 Budget - Final
- SFY 19 Budget – Preliminary
CHIP Program Budget SFY 2018 Final

<table>
<thead>
<tr>
<th>FY18 Appropriation</th>
<th>$ 8,518,452</th>
</tr>
</thead>
<tbody>
<tr>
<td>Amount of <strong>hawk-i</strong> Trust Fund dollars added to appropriation</td>
<td>$ 155,153</td>
</tr>
<tr>
<td>FY18 De-Appropriation</td>
<td>$(100,000)</td>
</tr>
<tr>
<td>Total state appropriation for FY18</td>
<td>$ 8,573,605</td>
</tr>
<tr>
<td>Federal Revenues Budgeted</td>
<td>$108,554,262</td>
</tr>
<tr>
<td>*Other Revenues Budgeted</td>
<td>$ 7,877,550</td>
</tr>
<tr>
<td>Total</td>
<td>$124,823,800</td>
</tr>
</tbody>
</table>

| State dollars spent YTD | $ 7,984,172 |
| Federal Revenue earned YTD | $110,203,835 |
| Other revenues YTD | $ 10,219,029 |
| Total Revenues YTD | $120,422,864 |

* other revenues include rebates and recoveries, client premium payments and **hawk-i** trust fund interest

| State Dollars |
|----------------|----------------|
| **Budget Category** | **Projected Expenditures** | **YTD Expenditures** |
| Medicaid Expansion | $ 2,056,782 | $2,152,627 |
| **hawk-i** premiums (includes up to 300% FPL group) | $ 5,140,864 | $5,616,664 |
| Supplemental Dental | $ 76,729 |incl. above |
| Processing Medicaid claims / AG fees | $ 126,552 | $ 28,747 |
| Outreach | $ 31,800 | $ 40,202 |
| **hawk-i** administration | $ 400,443 | $290,101 |
| Earned interest from **hawk-i** fund | $ | $(144,168) |
| Withhold | $ 77,928 | $ 0 * |
| Totals | $ 7,911,098 | $7,984,172 |

* included in **hawk-i** premium totals
CHIP Program Budget – Preliminary
SFY 2019 – Preliminary

<table>
<thead>
<tr>
<th>FY19 Appropriation</th>
<th>$7,064,057</th>
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</thead>
<tbody>
<tr>
<td>Amount of hawk-i Trust Fund dollars added to appropriation</td>
<td>$589,433</td>
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<tr>
<td>Possible Outreach and PERM dollars from Medicaid</td>
<td>$0</td>
</tr>
<tr>
<td>Total state appropriation for FY18</td>
<td>$7,653,490</td>
</tr>
</tbody>
</table>

| Federal Revenues Budgeted | $124,294,708 |
| *Other Revenues Budgeted | $9,118,141 |
| Total | $141,066,339 |

State dollars spent YTD $0

Federal Revenue earned YTD $0

Other revenues YTD $0

Total Revenues YTD $0

* other revenues include rebates and recoveries, client premium payments and hawk-i trust fund interest

<table>
<thead>
<tr>
<th>Budget Category</th>
<th>Projected Expenditures</th>
<th>YTD Expenditures</th>
</tr>
</thead>
<tbody>
<tr>
<td>Medicaid Expansion</td>
<td>$2,336,933</td>
<td>$0</td>
</tr>
<tr>
<td><em>hawk-i</em> premiums (includes up to 300% FPL group)</td>
<td>$4,885,033</td>
<td>$0</td>
</tr>
<tr>
<td>Supplemental Dental</td>
<td>$63,322</td>
<td>$0</td>
</tr>
<tr>
<td>Processing Medicaid claims / AG fees</td>
<td>$25,264</td>
<td>$0</td>
</tr>
<tr>
<td>Outreach</td>
<td>$26,500</td>
<td>$0</td>
</tr>
<tr>
<td><em>hawk-i</em> administration</td>
<td>$255,036</td>
<td>$0</td>
</tr>
<tr>
<td>Earned interest from <em>hawk-i</em> fund</td>
<td>$0</td>
<td></td>
</tr>
<tr>
<td>Withhold</td>
<td>$263,235</td>
<td>$0</td>
</tr>
<tr>
<td>Totals</td>
<td>$7,855,323</td>
<td>$0</td>
</tr>
</tbody>
</table>
Attachment Three

- Organization of the *hawk-i* program
- Referral Sources – Outreach Points
Organization of the *hawk-i* Program

- U.S. Department of Health & Human Services
  - *hawk-i* Board
  - Telligen *Clinical Advisory Committee Support*
  - O U T R E A C H (IDPH)
  - Enrollee
  - Third Party Administrator (TPA) MAXIMUS
    - MCO UnitedHealthcare Plan of the River Valley, Inc.
    - MCO Amerigroup Iowa, Inc.
    - PLAN Delta Dental Plan of Iowa Dental-Only
Referral Sources/ Outreach Points

Any entity that is accessed by children or their families is potentially an outreach point where applications and information about the hawk-i program could be available. In addition to local DHS offices, schools, daycare centers, WIC sites, etc., other potential sources through which information could be provided may include organizations that deal with children (Girl Scouts, Boy Scouts, Little League, Big Brothers and Sisters, YMCA, etc.) and places frequented by children and their families (churches, fast food restaurants, roller skating rinks, & toy stores).

Functions of the outreach points:

The function of the outreach points includes, but is not limited to:
1. Disseminate information about the program.
2. Assist with the application process if able.

Healthy and Well Kids in Iowa (hawk-i) Board

The function of the hawk-i Board includes, but is not limited to:
1. Adopt administrative rules developed by DHS.
2. Establish criteria for contracts and approve contracts.
3. Approve enrollee benefit package.
4. Define regions of the state.
5. Select a health assessment plan.
6. Solicit public input about the hawk-i program.
7. Establish and consult with the clinical advisory committee/advisory committee on children with special health care needs.
8. Make recommendations to the Governor and General Assembly on ways to improve the program.

Department of Human Services (DHS)

The function of DHS includes, but is not limited to:
1. Work with the hawk-i Board to develop policy for the program.
2. Oversee administration of the program.
3. Administer the contracts with the TPA, MCOs, Dental Plan, IDPH and Telligen.
4. Administer the State Plan.
5. Coordinate with the TPA when individuals applying for the hawk-i program may be Medicaid eligible and when Medicaid eligible recipients lose eligibility.
6. Provide statistical data and reports to CMS.
Clinical Advisory Committee

The Clinical Advisory Committee is made up of health care professionals who advise the hawk-i Board on issues around coverage and benefits.

Third Party Administrator (TPA)

The functions of the TPA include, but may not be limited to:

1. Receive renewal applications and determine eligibility for the program.
2. Staff a 1-800 number to answer questions about the program and assist in the application process.
3. Coordinate with DHS when it appears an applicant may qualify for Medicaid.
4. Determine the amount of family cost sharing.
5. Bill and collect cost sharing.
6. Assist the family in choosing a MCO plan.
7. Notify the MCO of enrollment.
8. Provide customer service functions to the enrollees.
9. Provide statistical data to DHS.
10. Calculate and refer overpayments to DIA.

MCO and Dental Plans

The functions of the MCO and dental plans are to:

1. Provide services to the enrollee in accordance with their contract.
2. Issue insurance cards
3. Process and pay claims
4. Provide statistical and encounter data.
Attachment Four

- History of Participation
# History of Participation

Enrollment as of June 30th of the Fiscal Year

<table>
<thead>
<tr>
<th>SFY</th>
<th>Total Children on Medicaid</th>
<th>Expanded Medicaid*</th>
<th>*hawk-i (began 1/1/99)</th>
<th>Dental Only (began 3/1/10)</th>
</tr>
</thead>
<tbody>
<tr>
<td>SFY99</td>
<td>91,737</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>SFY00</td>
<td>104,156</td>
<td>7,891</td>
<td>2,104</td>
<td></td>
</tr>
<tr>
<td>SFY01</td>
<td>106,058</td>
<td>8,477</td>
<td>5,911</td>
<td></td>
</tr>
<tr>
<td>SFY02</td>
<td>126,370</td>
<td>11,316</td>
<td>10,273</td>
<td></td>
</tr>
<tr>
<td>SFY03</td>
<td>140,599</td>
<td>12,526</td>
<td>13,847</td>
<td></td>
</tr>
<tr>
<td>SFY04</td>
<td>152,228</td>
<td>13,751</td>
<td>15,644</td>
<td></td>
</tr>
<tr>
<td>SFY05</td>
<td>164,047</td>
<td>14,764</td>
<td>17,523</td>
<td></td>
</tr>
<tr>
<td>SFY06</td>
<td>171,727</td>
<td>15,497</td>
<td>20,412</td>
<td></td>
</tr>
<tr>
<td>SFY07</td>
<td>179,967</td>
<td>16,140</td>
<td>20,775</td>
<td></td>
</tr>
<tr>
<td>SFY08</td>
<td>181,515</td>
<td>16,071</td>
<td>21,877</td>
<td></td>
</tr>
<tr>
<td>SFY09</td>
<td>190,054</td>
<td>17,044</td>
<td>22,458</td>
<td></td>
</tr>
<tr>
<td>SFY10</td>
<td>219,476</td>
<td>22,300</td>
<td>22,300</td>
<td></td>
</tr>
<tr>
<td>SFY11</td>
<td>236,864</td>
<td>22,757</td>
<td>28,584</td>
<td>2,172</td>
</tr>
<tr>
<td>SFY12</td>
<td>245,924</td>
<td>23,634</td>
<td>33,509</td>
<td>3,369</td>
</tr>
<tr>
<td>SFY 13</td>
<td>253,199</td>
<td>24,996</td>
<td>36,255</td>
<td>4,100</td>
</tr>
<tr>
<td>SFY 14</td>
<td>256,818</td>
<td>25,444</td>
<td>38,156</td>
<td>4,315</td>
</tr>
<tr>
<td>SFY 15</td>
<td>258,628</td>
<td>27,078</td>
<td>38,263</td>
<td>3,127</td>
</tr>
<tr>
<td>SFY16</td>
<td>267,780</td>
<td>24,845</td>
<td>37,155</td>
<td>3,342</td>
</tr>
<tr>
<td>SFY17</td>
<td>272,535</td>
<td>16,075</td>
<td>42,984</td>
<td>3,361</td>
</tr>
<tr>
<td>SFY18</td>
<td>274,699</td>
<td>17,761</td>
<td>51,323</td>
<td>3,816</td>
</tr>
</tbody>
</table>

Total Medicaid growth from SFY99 to present = 182,962
Total *hawk-i* enrollment growth from SFY99 to present = 51,323
Total Dental-Only growth from SFY10 to present = 3,816
Total children enrollment growth = 238,101

*Expanded Medicaid number is included in "Total Children on Medicaid"
Attachment Five

- Iowa’s Health Care Programs for Non-Disabled Children
Iowa’s Health Care Programs for Non-Disabled Children
MAGI Income Conversion Adjustment

Key

- **hawk-i**
  - (Title XXI Funded)

- Medicaid Expansion
  - (Title XXI Funded)

- Medicaid
  - (Title XIX Funded)

**Medicaid**

- **302% FPL (dental only)**
- **302% FPL (full coverage)**

** hawk-i**

- **167% FPL**
  - (Children 1 – 5)

**Medicaid Expansion**

- **122% FPL**
  - (Children 6 – 18)

- **133% FPL**
  - (Children 19 – 20)

- **240% FPL**
  - Infants (under one)
Attachment Six

- Presumptive Eligibility for Medicaid
Presumptive Eligibility for Medicaid

Point of Entry

Qualified Provider
Processes presumptive application to establish
- Income eligibility
- Citizenship status
- Previous presumptive period

Is child presumptively eligible?

Child enrolled in Medicaid during presumptive period.
If declared income is:
- Within Medicaid limits – fund with T-19
- Within M-CHIP limits – fund with T-21
- Within hawk-i limits – fund with T-21*

Is child eligible for Medicaid or Medicaid Expansion?

Deny application

NO

YES

Enroll in Medicaid for 12 months or until age 19

YES

Refer to **hawk-i**
Continue presumptive Medicaid benefits

Is child potentially eligible for **hawk-i**?

NO

YES

Enroll in **hawk-i** for 12 months or until age 19

NO

Is child eligible for **hawk-i**?

* Medicaid services exceeding **hawk-i** benefits package are paid with CHIP administrative funds
Attachment Seven

- History of Per Member Per Month Capitation Payment
## History of Per Member Per Month Capitation Rate

<table>
<thead>
<tr>
<th>Plan</th>
<th>Rate</th>
<th>Federal Share</th>
<th>State Share</th>
<th>Increase</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>SFY 18</strong></td>
<td>Federal Match 93.94%, State Match 6.06%</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Amerigroup Iowa Inc.</td>
<td>$128.97</td>
<td>$121.15</td>
<td>$7.82</td>
<td></td>
</tr>
<tr>
<td>United Healthcare Plan of the River Valley, Inc.</td>
<td>$127.70</td>
<td>$119.96</td>
<td>$7.74</td>
<td></td>
</tr>
<tr>
<td>Delta Dental of Iowa</td>
<td>22.99</td>
<td>21.60</td>
<td>1.39</td>
<td>0.0%</td>
</tr>
<tr>
<td><strong>SFY 17</strong></td>
<td>Federal Match 92.40%, State Match 7.60%</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Amerigroup Iowa, AmeriHealth Caritas and United Healthcare Plan of the River Valley, Inc.</td>
<td>$152.57</td>
<td>$130.90</td>
<td>$21.67</td>
<td>NA</td>
</tr>
<tr>
<td>Delta Dental of Iowa</td>
<td>22.99</td>
<td>19.73</td>
<td>3.26</td>
<td>0.0%</td>
</tr>
<tr>
<td><strong>SFY16</strong></td>
<td>Federal Match 85.80%, State Match 14.20%</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>UnitedHealthcare Plan of the River Valley, Inc. 7-1-15 to 3-31-15</td>
<td>$202.75</td>
<td>$173.96</td>
<td>$28.79</td>
<td>3.87%</td>
</tr>
<tr>
<td>Wellmark Health Plan of Iowa 7-1-15 to 12-31-15</td>
<td>$208.22</td>
<td>$178.65</td>
<td>$29.57</td>
<td>0.0%</td>
</tr>
<tr>
<td>Delta Dental of Iowa</td>
<td>$22.99</td>
<td>19.73</td>
<td>3.26</td>
<td>0.0%</td>
</tr>
<tr>
<td>Amerigroup Iowa, AmeriHealth Caritas and United Healthcare Plan of the River Valley, Inc. 4-1-16 to 6-30-16</td>
<td>$152.57</td>
<td>$130.90</td>
<td>$21.67</td>
<td>NA</td>
</tr>
<tr>
<td><strong>SFY15</strong></td>
<td>Federal Match 69.30%, State Match 29.45%</td>
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<td></td>
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<tr>
<td>UnitedHealthcare Plan of the River Valley, Inc.</td>
<td>$195.20</td>
<td>$135.27</td>
<td>$59.93</td>
<td>3.46%</td>
</tr>
<tr>
<td>Wellmark Health Plan of Iowa</td>
<td>$208.22</td>
<td>$144.30</td>
<td>$63.92</td>
<td>4.38%</td>
</tr>
<tr>
<td>Delta Dental of Iowa</td>
<td>22.99</td>
<td>15.93</td>
<td>7.06</td>
<td>0.0%</td>
</tr>
<tr>
<td><strong>SFY14</strong></td>
<td>Federal Match 70.55%, State Match 28.45%</td>
<td></td>
<td></td>
<td></td>
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<tr>
<td>UnitedHealthcare Plan of the River Valley, Inc.</td>
<td>$188.67</td>
<td>$130.22</td>
<td>$58.45</td>
<td>3.9%</td>
</tr>
<tr>
<td>Wellmark Health Plan of Iowa</td>
<td>$199.48</td>
<td>$140.73</td>
<td>$58.75</td>
<td>4.3%</td>
</tr>
<tr>
<td>Delta Dental of Iowa</td>
<td>22.99</td>
<td>16.22</td>
<td>6.77</td>
<td>1.0%</td>
</tr>
<tr>
<td><strong>SFY13</strong></td>
<td>Federal Match 71.71%, State Match 28.29%</td>
<td></td>
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<tr>
<td>UnitedHealthcare Plan of the River Valley, Inc.</td>
<td>$181.59</td>
<td>$130.22</td>
<td>$51.37</td>
<td>1.5%</td>
</tr>
<tr>
<td>Wellmark Health Plan of Iowa</td>
<td>$191.26</td>
<td>$137.15</td>
<td>$54.11</td>
<td>5.5%</td>
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<tr>
<td>Delta Dental of Iowa</td>
<td>22.76</td>
<td>16.32</td>
<td>6.20</td>
<td>1.0%</td>
</tr>
</tbody>
</table>
Attachment Eight

- Healthy and Well Kids in Iowa (hawk-i) Board Members
Board Members
as of June 30, 2018

Eric Kohlsdorf, Chair
Jim Donoghue, Vice Chair

PUBLIC MEMBERS:

Ronda Eick Term ends 4/30/2020
4034 Jill Drive
Waterloo, IA 50701
319-277-2141
Ronda.eick@westernhome.org

Dr. Kaaren Vargas Term ends 4/30/19
320 Auburn Hills Drive
Coralville, IA 52241
319-621-6326
kvargas@corridorkidsdentistry.com

STATUTORY MEMBERS:

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601 Locust Street - 4th Floor
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515-725.1220
doug.ommen@iid.iowa.gov

Commissioner Ommen designee:
Angela Burke Boston
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Ryan Wise, Director
Iowa Department of Education
Grimes State Office Building, 2nd Floor
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ryan.wise@iowa.gov

Director Wise’s designee:
Jim Donoghue
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Jim.Donoghue@iowa.gov

Gerd Clabaugh, Director
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Director Clabaugh’s designee:
Bob Russell
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bob.russell@idph.iowa.gov
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Representative Shannon Lundgren
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Peosta IA 52068

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