

2-3-2015

HOUSE ETHICS

COPY Rule 17

HE 7

governing lobbyists, or chapter 68B of the Code to any person who is subject to the authority of the ethics committee. Requests shall contain one or more specific questions and shall relate either to future conduct or be stated in the hypothetical. A request for an advisory opinion shall not specifically name any individual or contain any other specific identifying information, unless the request relates to the requester's own conduct. However, any request may contain information which identifies the kind of individual who may be affected by the subject matter of the request. Examples of this latter kind of identifying information may include references to conduct of a category of individuals, such as but not limited to conduct of legislators, legislative staff, or lobbyists.

c. Confidentiality of formal requests and opinions. Requests for formal opinions are not confidential and any deliberations of the committee regarding a request for a formal opinion shall be public. Opinions issued in response to requests for formal opinions are not confidential, shall be in writing, and shall be placed on file in the office of the chief clerk of the house. Persons requesting formal opinions shall personally receive a copy of the written formal opinion that is issued in response to the request.

17. PERSONAL FINANCIAL DISCLOSURE FORM. The following form shall be used for disclosure of economic interests under these rules and section 68B.35 of the Code:

STATEMENT OF ECONOMIC INTERESTS

Name: ROMERO DIANE W.
 (Last) (First) (Middle Initial)

Address: 301 Maple Street, Apt. 309
 (Street Address, Apt.#/P.O. Box)
50014-6176
 (City) (State) (Zip)

Phone: (Home) 515-231-2454 (Business) 515-231-2454

This form is due each year on or before February 15. The reporting period is the most recently completed calendar year. An amended form shall be filed if a change in business, occupation, or profession reported in Division I of the form has occurred. The amended form shall include the date the change took effect and must be filed within thirty days of the first day of the change in employment or engagement necessitating the amended form. If the date of the change occurs between January 1 and February 15, the change shall be included in the filing due February 15.

In completing Division III of this form, if your percentage of ownership of an asset is less than 100 percent, multiply your percentage of ownership by the total revenue produced to determine if you have reached the \$1,000 threshold.

Do not report income received by your spouse or other family members.

In completing this form, if insufficient space is provided for your answer, you may attach additional information/answers on full-size sheets of paper.

Division I. Business, Occupation, or Profession.

List each business, occupation, or profession in which you are engaged, the nature of the business if not evident, and your position or job title. No income threshold or time requirement applies.

Examples:

If you are employed by an individual, state the name of the individual employer, the nature of the business, and your position.

Copy Rule 17

HE 8

EIGHTY-SIXTH GENERAL ASSEMBLY

2-3-2015

If you are self-employed and are not incorporated or are not doing business under a particular business name, state that you are self-employed, the nature of the business, and your position.

If you own your own corporation, are employed by a corporation, or are doing business under a particular business name, state the name and nature of the business or corporation and your position.

- 1 Durham Yellow School Bus - Attendant
- 2 7
- 3 _____
- 4 _____
- 5 _____
- 6 _____

Division II. Commissions from Sales of Goods or Services to Political Subdivisions.

This part is to be completed only by Legislators. If you received income in the form of a commission from the sale of goods or services to a political subdivision, state the name of the purchasing political subdivision. The amount of commission earned is not required to be listed.

- 1 _____
- 2 _____
- 3 _____
- 4 _____
- 5 _____
- 6 _____

Division III. Sources of Gross Income.

In each one of the following categories list each source which produces more than \$1,000 in annual gross income, if the revenue produced by the source was subject to federal or state income taxes last year. List the nature or type of each company, business, financial institution, corporation, partnership, or other entity which produces more than \$1,000 of annual gross income. Neither the amount of income produced nor value of the holding is required to be listed in any of the items.

A. Securities: State the nature of the business of any company in which you hold stock, bonds, or other pecuniary interests that generate more than \$1,000 in annual gross income. Income generated by multiple holdings in a single company are deemed received from a single source.

- _____
- _____
- _____
- _____

B. Instruments of Financial Institutions: State the types of institutions in which you hold financial instruments, such as certificates of deposit, savings accounts, etc., that produce annual gross income in excess of \$1,000, e.g., banks, savings and loans, or credit unions.

- _____
- _____
- _____
- _____

2-3-2016

HOUSE ETHICS

Copy
Rule 17

HE 9

C. Trusts: State the nature or type of any trust from which you receive more than \$1,000 of gross income annually.

D. Real Estate: State the general nature of real estate interests that generate more than \$1,000 of gross income annually, e.g., residential leasehold interest or farm leasehold interest. The size or location of the property interest is not required to be listed.

E. Retirement Systems: State the name of each pension plan or other corporation or company that pays you more than \$1,000 annually in retirement benefits.

F. Other Income Categories Specified in State and Federal Income Tax Regulations.

(Signature of Filer)

(Date)