IAC Ch 11, p.1

## 655—11.3(17A,22,147,152,272C) Personally identifiable information.

11.3(1) Collection of personally identifiable information. This board is authorized to collect information, some of which is personally identifiable. The nature and extent of the personally identifiable information collected by the board, the legal authority for the collection of that information and a description of the means of storage are found in this section.

- 11.3(2) *Personally identifiable information*. The board maintains the following systems of records which may contain personally identifiable information:
- a. Rule making. Rule-making records may contain information about individuals making written or oral comments or proposed rules. This information is collected pursuant to Iowa Code section 17A.4. This information is not retrieved by individual identifier, and is not stored in an automated data processing system.
- b. Board records. Agendas, minutes and materials presented to all board members in preparation for board meetings are available from the Executive Director, except those records concerning closed sessions which are exempt from disclosure under Iowa Code section 21.5(4).
- c. Publications. News releases, annual reports, project reports, board newsletters, etc. are available from the office of the Executive Director.

Board news releases, annual reports, project reports, and newsletters may contain personally identifiable information about board staff or members of the board or committees. This information is not retrieved by individual identifier and is not stored on an automated data processing system.

d. Disciplinary reports. This information is available from the chief health professions investigator in the board office. These reports contain personally identifiable information about nurses who have had action taken by the board against their licenses. This information is retrieved by individual identifier and some of the information is stored on an automated data processing system. Some is stored as hard copy or microfilmed documents. This information is matched or compared with personally identifiable information in other record systems.

This information is dispersed pursuant to Iowa Code sections 272C.4 and 272C.6.

- e. Declaratory rulings. Records may contain information about individuals making the requests for declaratory rulings or comments from other individuals concerning the rulings. This information is collected pursuant to Iowa Code section 17A.9. This information is retrieved by the individual requesting the ruling or topic and is not stored on an automated data processing system.
  - f. Licensing.
  - (1) Records pertaining to licensure by examination may include:
- 1. Transcripts from nursing education programs. This information is collected pursuant to Iowa Code section 152.7.
- 2. Application for licensure by examination. This information is collected pursuant to Iowa Code sections 147.8 and 147.29.
- 3. Application for licensure by endorsement. This information is collected pursuant to Iowa Code section 147.44.
- 4. Birth certificates (part of previous requirement for licensure; no longer required). This information is collected pursuant to Iowa Code section 147.3.
  - 5. References.
  - 6. Past felony record. This information is collected pursuant to Iowa Code section 272C.15.
  - 7. Examination scores. This information is collected pursuant to Iowa Code section 152.7.
- 8. High school graduation or equivalency. This information is collected pursuant to Iowa Code section 152.7.
- 9. Certification for advanced registered nurse practice. This information is collected pursuant to Iowa Code section 152.1(2) "d."
  - (2) Records pertaining to licensure by endorsement may include:
- 1. Transcripts from nursing education programs. This information is collected pursuant to Iowa Code section 152.7.
- 2. Application for licensure by endorsement. This information is collected pursuant to Iowa Code section 152.8.

Ch 11, p.2

3. Birth certificates (part of previous requirement; no longer required). This information is collected pursuant to Iowa Code section 147.3.

- 4. Past felony record. This information is collected pursuant to Iowa Code section 272C.15.
- 5. Examination scores. This information is collected pursuant to Iowa Code section 152.7.
- 6. Disciplinary action taken by other boards of nursing. This information is collected pursuant to Iowa Code section 147.52.
- 7. High school graduation or equivalency. This information is collected pursuant to Iowa Code section 152.7.
- 8. Verification of licensure by another board of nursing. This information is collected pursuant to Iowa Code section 152.8.
  - (3) Licensure by renewal, reinstatement and reactivation.
  - 1. Applications. This information is collected pursuant to Iowa Code sections 147.10 and 147.11.
  - 2. Past felony record. This information is collected pursuant to Iowa Code section 272C.15.
- 3. Continuing education records. This information is collected pursuant to Iowa Code section 272C.2.
- 11.3(3) Retrieval of personally identifiable information. Personally identifiable information is retrieved by individual identifier and some of this information is stored in an automated data processing system. Some is stored as hard copy or microfilmed documents. All record systems maintained by the board and which contain personally identifiable information permit the comparison of personally identifiable information in one record system with personally identifiable information in another system.
- 11.3(4) Board procedures for requesting information. After July 1, 1988, the board shall notify persons supplying information requested by the agency of the use that will be made of the information, which persons outside the department might routinely be provided this information, which parts of the information requested are required and which are optional, and the consequences of failing to provide the information requested. This notification shall either appear on the form used to collect the information, or on a separate sheet accompanying the form.

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