

645—4.11(272C) Audit of continuing education report. After each educational biennium, the board may audit licensees to review compliance with continuing education requirements.

4.11(1) The board may audit a percentage of its licensees and may, at its discretion, determine to audit a licensee. A licensee whose license renewal application is submitted during the grace period may be subject to a continuing education audit.

4.11(2) The licensee shall provide the following information to the board for auditing purposes:

a. Individual certificate of completion issued to the licensee or evidence of successful completion of the course from the course sponsor. These documents must contain the course date, title, contact hours, sponsor and licensee's name.

b. As requested, the licensee must provide to the board additional information to ensure compliance with continuing education requirements within 30 days of the date of the letter requesting the additional information. Extension of time may be granted on an individual basis.

4.11(3) For auditing purposes, all licensees must retain the information identified in paragraph 4.11(2) "a" for two years after the biennium has ended.

4.11(4) Information identified in paragraph 4.11(2) "a" must be submitted within one month after the date of notification of the audit. Extension of time may be granted on an individual basis.

4.11(5) If the submitted materials are incomplete or unsatisfactory, the licensee may be given the opportunity to submit make-up credit to cover the deficit found through the audit if the board determines that the deficiency was the result of good-faith conduct on the part of the licensee. The deadline for receipt of the documentation for this make-up credit is 120 days from the date of mailing to the address of record at the board office.

4.11(6) Failure to notify the board of a current mailing address will not absolve the licensee from the audit requirement, and an audit must be completed before license renewal.