

**441—9.1(17A,22) Statement of policy, purpose and scope of chapter.**

**9.1(1)** The purpose of this chapter is to facilitate public access to open records. It also seeks to facilitate department determinations with respect to the handling of confidential records and the implementation of the fair information practices Act. The department is committed to the policies set forth in Iowa Code chapter 22; department staff shall cooperate with members of the public in implementing the provisions of that chapter.

These rules also implement the federal Health Insurance Portability and Accountability Act (HIPAA) regulations at 45 CFR Parts 160 and 164 as amended to March 26, 2013. These rules set forth the standards the department must meet to protect the privacy of protected health information. The department is a hybrid entity for purposes of HIPAA. The rules on protected health information apply only to those parts of the department that are considered part of the covered entity.

**9.1(2)** This chapter does not:

- a.* Require the agency to index or retrieve records which contain information about individuals by that person's name or other personal identifier.
- b.* Make available to the general public records which would otherwise not be available under the public records law, Iowa Code chapter 22.
- c.* Govern the maintenance or disclosure of, notification of, or access to records in the possession of the agency which are governed by the rules of another agency.
- d.* Apply to grantees, including local governments or subdivisions thereof, administering state-funded programs.
- e.* Make available records compiled by the agency in reasonable anticipation of court litigation or formal administrative proceedings. The availability of such records to the general public or to any subject individual or party to such litigation or proceedings shall be governed by applicable constitutional principles, statutes, rules of discovery, evidentiary privileges, and applicable rules of the agency.
- f.* Require the agency to create, compare or procure a record solely for the purpose of making it available.

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