IAC Ch 83, p.1

**441—83.82 (249A)** Eligibility. To be eligible for brain injury waiver services a consumer must meet eligibility criteria and be determined to need a service allowable under the program.

83.82(1) Eligibility criteria. All of the following criteria must be met. The person must:

- a. Have a diagnosis of brain injury.
- b. Be eligible for Medicaid under SSI, SSI-related, FMAP, or FMAP-related coverage groups or be eligible under the special income level (300 percent) coverage group consistent with a level of care in a medical institution.
  - c. Be at least one month of age.
  - d. Be a U.S. citizen and Iowa resident.
  - e. Rescinded IAB 7/11/01, effective 7/1/01.
- f. Be determined by the IME medical services unit as in need of intermediate care facility for persons with an intellectual disability (ICF/ID), skilled nursing, or ICF level of care.
- g. Be assessed by the IME medical services unit as able to live in a home- or community-based setting where all medically necessary service needs can be met within the scope of this waiver.
  - h. At a minimum, receive a waiver service each quarter in addition to case management.
  - *i.* Choose HCBS.
  - j. To be eligible for interim medical monitoring and treatment services the consumer must be:
  - (1) Under the age of 21;
- (2) Currently receiving home health agency services under rule 441—78.9(249A) and require medical assessment, medical monitoring, and regular medical intervention or intervention in a medical emergency during those services. (The home health aide services for which the consumer is eligible must be maximized before the consumer accesses interim medical monitoring and treatment.);
  - (3) Residing in the consumer's family home or foster family home; and
  - (4) In need of interim medical monitoring and treatment as ordered by a physician.
  - k. Receive services in a community, not an institutional, setting.
- *l.* Be assigned a state payment slot within the yearly total approved by the Centers for Medicare and Medicaid Services.
- m. For the consumer choices option as set forth in rule 441—subrule 78.43(15), not be living in a residential care facility.
  - *n*. For individual supported employment and long-term job coaching services:
  - (1) Be at least 16 years of age.
  - (2) The services must not be available to the member through one of the following:
- 1. Special education and related services as defined in the Individuals with Disabilities Education Act (20 U.S.C. 1401 et seq.); or
  - 2. A program funded under Section 110 of the Rehabilitation Act of 1973 (29 U.S.C. 730).
  - (3) Not reside in a medical institution.
- (4) Have documented in the waiver service plan a goal to achieve or to sustain individual employment and an expectation that this service will result in this outcome.
  - o. For small-group supported employment services:
  - (1) Be at least 16 years of age.
  - (2) The services must not be available to the member through one of the following:
- 1. Special education and related services as defined in the Individuals with Disabilities Education Act (20 U.S.C. 1401 et seq.); or
  - 2. A program funded under Section 110 of the Rehabilitation Act of 1973 (29 U.S.C. 730).

Ch 83, p.2

(3) Have documented in the waiver service plan a goal to achieve or to sustain individual employment.

- (4) Have documented in the waiver service plan that the choice to receive individual supported employment services was offered and explained in a manner sufficient to ensure informed choice, after which the choice to receive small-group supported employment services was made.
  - (5) Not reside in a medical institution.
  - p. For prevocational services:
  - (1) Be at least 16 years of age.
  - (2) The services must not be available to the member through one of the following:
- 1. Special education and related services as defined in the Individuals with Disabilities Education Act (20 U.S.C. 1401 et seq.); or
  - 2. A program funded under Section 110 of the Rehabilitation Act of 1973 (29 U.S.C. 730).
- (3) Have documented in the waiver service plan a goal to achieve or to sustain individual employment and an expectation that this service will result in community employment.
- (4) Have documented in the waiver service plan that the choice to receive individual supported employment services was offered and explained in a manner sufficient to ensure informed choice, after which the choice to receive prevocational services was made.

## **83.82(2)** *Need for services.*

- a. The applicant shall have a service plan approved by the department that is developed by the certified case manager for this waiver as identified by the county of residence. This must be completed before services provision and annually thereafter. The case manager shall establish the interdisciplinary team for the applicant and, with the team, identify the applicant's need for service based on the applicant's needs and desires as well as the availability and appropriateness of services using the following criteria:
- (1) The assessment shall be based, in part, on information provided to the IME medical services unit.
- (2) Service plans must be developed to reflect use of all appropriate nonwaiver Medicaid state services so as not to replace or duplicate those services.
- (3) Service plans for applicants aged 20 or under which include supported community living services beyond intermittent shall not be approved until a home health provider has made a request to cover the service through all nonwaiver Medicaid services.
- (4) Service plans for applicants aged 20 or under which include supported community living services beyond intermittent must be approved (signed and dated) by the designee of the bureau of long-term care. The Medicaid case manager must request in writing more than intermittent supported community living with a summary of services and service costs, and submit a written justification with the service plan. The rationale must contain sufficient information for the bureau's designee to make a decision regarding the need for supported community living beyond intermittent.
- b. Interim medical monitoring and treatment services must be needed because all usual caregivers are unavailable to provide care due to one of the following circumstances:
- (1) Employment. Interim medical monitoring and treatment services are to be received only during hours of employment.
- (2) Academic or vocational training. Interim medical monitoring and treatment services provided while a usual caregiver participates in postsecondary education or vocational training shall be limited to 24 periods of no more than 30 days each per caregiver as documented by the service worker. Time spent

IAC Ch 83, p.3

in high school completion, adult basic education, GED, or English as a second language does not count toward the limit.

- (3) Absence from the home due to hospitalization, treatment for physical or mental illness, or death of the usual caregiver. Interim medical monitoring and treatment services under this subparagraph are limited to a maximum of 30 days.
  - (4) Search for employment.
- 1. Care during job search shall be limited to only those hours the usual caregiver is actually looking for employment, including travel time.
- 2. Interim medical monitoring and treatment services may be provided under this paragraph only during the execution of one job search plan of up to 30 working days in a 12-month period, approved by the department service worker or targeted case manager pursuant to 441—subparagraph 170.2(2) "b" (5).
- 3. Documentation of job search contacts shall be furnished to the department service worker or targeted case manager.
- c. The consumer shall access, if a child, all other services for which the person is eligible and which are appropriate to meet the person's needs as a precondition of eligibility for the HCBS BI waiver.
- d. The total cost of brain injury waiver services, excluding the cost of case management and home and vehicle modifications, shall not exceed \$3,013.08 per month.
- **83.82(3)** *HCBS brain injury (BI) waiver program limit for persons requiring the ICF/MR level of care.* Rescinded IAB 7/11/01, effective 7/1/01.

**83.82(4)** *Securing a state payment slot.* 

- a. The county department office shall enter all waiver applications into the individualized services information system (ISIS) to determine if a payment slot is available for all new applicants for the HCBS BI waiver program.
- (1) For applicants not currently receiving Medicaid, the county department office shall make the entry by the end of the fifth working day after receipt of a completed Form 470-2927 or 470-2927(S), Health Services Application, or within five working days after receipt of disability determination, whichever is later.
- (2) For current Medicaid members, the county department office shall make the entry by the end of the fifth working day after receipt of a written request signed and dated by the waiver applicant.
- b. If no payment slot is available, the department shall enter the applicant on a waiting list according to the following:
- (1) Applicants not currently eligible for Medicaid shall be entered on the waiting list on the basis of the date a completed Form 470-2927 or 470-2927(S), Health Services Application, is received by the department or upon receipt of disability determination, whichever is later. Applicants currently eligible for Medicaid shall be added to the waiting list on the basis of the date the applicant requests HCBS BI program services.
- (2) In the event that more than one application is received at one time, applicants shall be entered on the waiting list on the basis of the month of birth, January being month one and the lowest number.
- c. Persons who do not fall within the available slots shall have their applications rejected but their names shall be maintained on the waiting list. As slots become available, persons shall be selected from the waiting list to maintain the number of approved persons on the program based on their order on the waiting list.

Ch 83, p.4

[ ARC 0191C , IAB 7/11/12, effective 7/1/12; ARC 0306C , IAB 9/5/12, effective 11/1/12; ARC 0359C , IAB 10/3/12, effective 12/1/12; ARC 0548C , IAB 1/9/13, effective 1/1/13; ARC 0665C , IAB 4/3/13, effective 6/1/13; ARC 0842C , IAB 7/24/13, effective 7/1/13; ARC 1056C , IAB 10/2/13, effective 11/6/13; ARC 1445C , IAB 4/30/14, effective 7/1/14; ARC 2471C , IAB 3/30/16, effective 5/4/16; ARC 2848C , IAB 12/7/16, effective 11/15/16; ARC 2936C , IAB 2/1/17, effective 3/8/17]