441—25.6(331) Intensive mental health services. The purpose of intensive mental health services is to provide a continuum of services and supports to adults with complex mental health and multi-occurring conditions who need a high level of intensive and specialized support to attain stability in health, housing, and employment and to work toward recovery.

- **25.6(1)** Access centers. The purpose of an access center is to serve adults experiencing a mental health or substance use crisis who are not in need of an inpatient psychiatric level of care and who do not have alternative, safe, effective services immediately available.
- a. Regional coordination. Each region shall designate at least one access center provider and ensure that access center services are available to the residents of the region consistent with subrule 25.4(9).
- (1) Regions shall work collaboratively to develop a minimum of six access centers strategically located throughout the state, with the support of the medical assistance program.
  - (2) Access centers may be shared by two or more regions.
- (3) Each region shall establish methods to provide for reimbursement of a region when a non-Medicaid-eligible resident of another region utilizes an access center or other non-Medicaid-covered services located in that region.
  - b. Access center standards. A designated access center shall meet all of the following criteria:
  - (1) An access center shall have no residential facility-based setting with more than 16 beds.
- (2) An access center provider shall be accredited to provide crisis stabilization residential services pursuant to 441—Chapter 24.
- (3) An access center provider shall be licensed to provide subacute mental health services as described in rule 441—77.56(249A).
- (4) An access center provider shall be licensed as a substance abuse treatment program pursuant to Iowa Code chapter 125 or have a cooperative agreement with and immediate access to licensed substance abuse treatment services or medical care that incorporates withdrawal management.
- (5) An access center shall provide services on a no reject, no eject basis to individuals who meet service eligibility criteria.
- (6) An access center shall accept and serve eligible individuals who are court-ordered to participate in mental health or substance use disorder treatment.
- (7) An access center shall provide all required services listed in 25.6(1)"d" in a coordinated manner. An access center may provide coordinated services in one or more locations.
- c. Eligibility for access center services. To be eligible to receive access center services, an individual shall meet all of the following criteria:
- (1) The individual is an adult in need of screening, assessment, services or treatment related to a mental health or substance use crisis.
- (2) The individual shows no obvious signs of illness or injury indicating a need for immediate medical attention.
  - (3) The individual has not been determined to need acute inpatient psychiatric hospitalization.
- d. Access center services. An access center shall provide or arrange for the provision of all of the following:
- (1) Immediate intake assessment and screening that includes but is not limited to mental and physical health conditions, suicide risk, brain injury, and substance use. A crisis evaluation that includes all required screenings may serve as an intake assessment.
- (2) Comprehensive person-centered mental health assessments by appropriately licensed or credentialed professionals, as indicated by the intake assessment.
- (3) Comprehensive person-centered substance use disorder assessments by appropriately licensed or credentialed professionals, as indicated by the intake assessment.
  - (4) Peer support services, as indicated by a comprehensive assessment.
  - (5) Mental health treatment, as indicated by a comprehensive assessment.
  - (6) Substance use treatment, as indicated by a comprehensive assessment.
  - (7) Physical health care services as indicated by a health screening.
  - (8) Care coordination.

(9) Service navigation and linkage to needed services including housing, employment, shelter services, intellectual and developmental disability services, and brain injury services, with warm handoffs to other service providers.

- **25.6(2)** Assertive community treatment (ACT) services. The purpose of assertive community treatment is to serve adults with the most severe and persistent mental illness conditions and functional impairments. ACT services provide a set of comprehensive, integrated, intensive outpatient services delivered by a multidisciplinary team under the supervision of a psychiatrist, an advanced registered nurse practitioner, or a physician assistant under the supervision of a psychiatrist. An ACT program shall designate a staff member to be responsible for administration of the program and with the authority to sign documents and receive payments on behalf of the program.
- a. Regional coordination. Each region shall designate at least one ACT provider and ensure that ACT services are available to the residents of the region consistent with subrule 25.4(9). Regions may work collaboratively with other regions when an ACT team is serving more than one region.
- (1) Each region shall determine the number and size of ACT teams needed to serve the ACT-eligible population in that region.
- (2) Each region shall verify that all ACT programs operating in the region have periodic fidelity reviews consistent with evidence-based practice standards published by the Substance Abuse and Mental Health Services Administration (SAMHSA). Each ACT program shall have a fidelity review, including a peer review, on the following schedule:
  - 1. Within the first 12 months of operation.
  - 2. Annually during each of the second and third years of operation.
- 3. Biennially thereafter for teams with satisfactory fidelity reviews. Teams with unsatisfactory reviews shall be reviewed again after one year.

Results of the ACT team fidelity reviews shall be included in the region's annual report.

- b. ACT team composition. Each ACT team shall include a minimum of six members and must include a member qualified to fill each of the eight following roles. One team member may fill more than one role if all other qualifications are met.
- (1) A psychiatrist, an advanced registered nurse practitioner, or a physician assistant under the supervision of a psychiatrist who is board-certified or eligible for board certification.
  - (2) A team leader.
  - (3) A registered nurse.
  - (4) A mental health professional.
  - (5) A substance abuse treatment provider.
  - (6) A community support specialist.
  - (7) A peer support specialist.
  - (8) An employment specialist.
  - c. Staff qualifications. ACT team members shall meet the following qualifications:
  - (1) Psychiatrist. A psychiatrist on the team shall be a person who meets all of the following criteria:
  - 1. Is a doctor of medicine (M.D.) or a doctor of osteopathy (D.O.).
  - 2. Is licensed in Iowa pursuant to 653—Chapter 9.
- 3. Is certified or is eligible to be certified as a psychiatrist by the American Board of Medical Specialties' Board of Psychiatry and Neurology or by the American Osteopathic Board of Neurology and Psychiatry.
  - 4. Has experience working with persons with severe and persistent mental illness.
  - 5. Provides a minimum of 16 hours per week of psychiatrist time for every 50 ACT clients.
- (2) Advanced registered nurse practitioner. An advanced registered nurse practitioner on the team shall be a person who meets all of the following criteria:
  - 1. Is licensed pursuant to 655—Chapter 7.
  - 2. Has a mental health certification.
  - 3. Has experience working with persons with severe and persistent mental illness.
- 4. Provides a minimum of 16 hours per week of advanced registered nurse practitioner time for every 50 ACT clients.

(3) Physician assistant. A physician assistant on the team shall be a person who meets all of the following criteria:

- 1. Is licensed pursuant to 645—Chapter 326.
- 2. Has experience working with persons with severe and persistent mental illness.
- 3. Is practicing under the supervision of a psychiatrist who is board-certified or eligible for board certification.
  - 4. Provides a minimum of 16 hours per week of physician assistant time for every 50 ACT clients.
  - (4) Team leader. A team leader shall be a person on the team who meets all of the following criteria:
- 1. Has a master's degree in a mental health field, including but not limited to nursing, social work, mental health counseling, psychiatric rehabilitation, or psychology.
  - 2. Is actively involved in direct contact with individuals being served by the team.
- 3. Is a full-time staff member whose responsibilities are limited to the ACT team and who serves as the clinical and administrative supervisor of the team.
- (5) Registered nurse. A registered nurse on the team shall be a person who meets all of the following criteria:
  - 1. Is licensed as a registered nurse pursuant to 655—Chapter 3.
  - 2. Has experience working with persons with severe and persistent mental illness.
- (6) Mental health professional. A mental health professional on the team shall be a person who meets all of the following criteria:
- 1. Is a mental health counselor or marital and family therapist licensed pursuant to 645—Chapter 31; a social worker licensed as a master or independent social worker pursuant to 645—Chapter 280; or an occupational therapist licensed pursuant to 645—Chapter 206.
  - 2. Has experience working with persons with severe and persistent mental illness.
- (7) Substance abuse treatment professional. A substance abuse treatment professional on the team shall be a person who meets all of the following criteria:
  - 1. Is an appropriately credentialed counselor pursuant to 641—subparagraph 155.21(8) "b" (1).
  - 2. Has at least three years of experience working with persons with substance use disorders.
- (8) Community support specialist. A community support specialist on the team shall be a person who meets all of the following criteria:
- 1. Has a bachelor's degree with at least 30 semester hours or equivalent quarter hours in a human services field, including but not limited to sociology, social work, counseling, psychology, or human services.
  - 2. Has experience working with persons with severe and persistent mental illness.
- (9) Peer support specialist. A peer support specialist on the team shall be a person who meets all of the following criteria:
  - 1. Has been diagnosed with a severe and persistent mental illness.
- 2. Has met all requirements of the Appalachian Consulting Group Peer Support Training Model by no later than six months after the date of hire.
- (10) Employment specialist. An employment specialist on the team shall be a person who meets all of the following criteria:
  - 1. Has experience working with persons with severe and persistent mental illness.
  - 2. Meets one of the following:
- Has a bachelor's degree with at least 30 semester hours or equivalent quarter hours in a human services field, including but not limited to sociology, social work, counseling, or psychology, and completes at least 12 hours of employment services training within six months of the date of hire.
- Has a high school diploma or equivalent, has at least one year of specialized vocational training or supervised experience in vocational and related services, including but not limited to supported employment, job coaching, supported community living, or habilitation, and completes at least 12 hours of employment services training within six months of the date of hire.
- (11) Psychologist. A psychologist on the team shall be a person who meets all of the following criteria:
  - 1. Is licensed pursuant to 645—Chapter 240.

- 2. Has experience working with persons with a severe and persistent mental illness.
- d. ACT provider standards. Organizations seeking regional designation as an ACT provider shall meet the following criteria at initial application and annually thereafter. A designated ACT provider shall:
- (1) Develop and maintain written ACT-specific admission policies and procedures, including but not limited to a gradual rate of admission and program eligibility requirements.
- (2) Develop and maintain written ACT-specific discharge policies and procedures. Discharge criteria shall include but are not limited to the following:
- 1. An individual reaches individually established goals for discharge, and the individual and program staff mutually agree to the termination of services; or
- 2. An individual requests discharge, demonstrates the ability to function in all major role areas without ongoing assistance from the program and without significant relapse when services are withdrawn, and the program staff agree to the termination of services; or
- 3. An individual moves outside the geographic area of the team's responsibility. In such cases, the team shall arrange for transfer of responsibility for mental health services to an ACT program or another provider wherever the individual is relocating, and the team shall maintain contact with the individual until the service transfer is implemented; or
- 4. An individual declines or refuses services and requests discharge despite the team's best efforts to develop an acceptable treatment plan with the individual.
  - (3) Documentation of discharges. Documentation shall include:
  - 1. The reason(s) for discharge as stated by both the individual and the team.
  - 2. A summary of the individual's biopsychosocial status at the time of discharge.
- 3. A written final evaluation summary of the individual's progress toward the goals in the treatment plan.
  - 4. A plan developed in conjunction with the individual for follow-up treatment after discharge.
  - 5. The signature of each of the following:
  - The individual, or documentation of why the individual's signature was not obtained.
  - The service coordinator.
  - The team leader.
- The psychiatrist, advanced registered nurse practitioner, or physician assistant under the supervision of a board-certified psychiatrist.
  - e. ACT team standards. All designated ACT teams shall:
  - (1) Participate in all of the individual's mental health services.
  - (2) Ensure that services for the psychiatric needs of the individual are available 24 hours a day.
- (3) Develop a specific treatment plan based on the assessment of needs and including goals and actions to address the individual's medical, social, educational, and other needs.
- (4) Make referrals to services and related activities to assist the individual with the individual's assessed needs.
- (5) Monitor and perform follow-up activities necessary to ensure that the treatment plan is carried out and that the individual has access to necessary services. Activities may include monitoring contacts with providers, family members, natural supports, and others.
- (6) Hold team meetings at least four times a week to facilitate ACT services and briefly review the status of the individual with other members of the team.
- (7) Have the capacity to provide multiple contacts a week with individuals experiencing severe symptoms, trying a new medication, experiencing a health problem or serious life event, trying to go back to school or starting a new job, making changes in a living situation or employment, or having significant ongoing problems in daily living. All members of the team share responsibility for addressing the needs of all individuals. The number of team contacts per individual served shall average at least three per week per individual when calculated across all individuals served by the team. Contacts may be weekly, daily, or more frequent. The frequency of contacts is determined by the needs of the individual.
- (8) Have the capacity to rapidly increase service intensity to an individual when the individual's status requires it or the individual requests it.

(9) Ensure that treatment, rehabilitation, and support activities are available 24 hours a day, 7 days a week, 365 days a year, including nights, weekends, and holidays. If there are insufficient numbers of staff to operate an after-hours on-call system, staff shall provide crisis response during regular work hours and arrange coverage for all other hours through a reliable crisis response service.

- (10) Provide no more than 20 percent of service contacts in office-based settings.
- f. Staff-to-client ratio. ACT teams shall maintain a ratio of at least one full-time or full-time equivalent staff person to every ten individuals served. The ACT team staff-to-client ratios do not include the psychiatrist, advanced nurse practitioner, or physician assistant practicing under the supervision of a psychiatrist.
- g. Eligibility criteria for ACT services. To be eligible to receive ACT services, the individual shall meet all of the following criteria:
  - (1) Is at least 17 years of age.
- (2) Has a severe and persistent mental illness or complex mental health symptomology. Individuals with a primary diagnosis of substance use disorder, developmental disability, personality disorder, or organic disorder are not eligible for ACT services.
- (3) Is in need of a consistent team of professionals and multiple mental health and support services to live independently in the community and reduce hospitalizations, as evidenced by one or both of the following:
- 1. A pattern of repeated treatment failures during the previous 12 months, including at least two psychiatric hospitalizations or psychiatric care delivered at least twice in an emergency department, at an access center, or by a mobile crisis team; or
- 2. The need for multiple or combined mental health and basic living supports to prevent the need for a more intrusive level of care.
- (4) Presents a reasonable likelihood that ACT services will lead to specific, observable improvements in the individual's functioning and assist the individual in achieving or maintaining independent community living. Specifically, the individual:
  - 1. Is medically stable;
  - 2. Does not require a level of care that includes more intensive medical monitoring;
  - 3. Presents a low risk to self, others, or property, with treatment and support; and
- 4. Lives independently in the community or demonstrates a capacity and desire to live independently in the community.
  - *h.* ACT services. ACT teams shall provide the following services:
  - (1) Initial assessment and treatment planning.
- 1. An assessment of the individual shall be completed within 30 days of admission that includes psychiatric history, medical history, educational history, employment, substance use, problems with activities of daily living, social interests, and family relationships.
- 2. An individualized written treatment plan shall be developed based on the assessment. The treatment plan shall identify the necessary psychiatric rehabilitation treatment and support services, including all of the following:
  - Treatment objectives and outcomes.
  - The expected frequency and duration of each service.
  - The location where the services will be provided.
  - A crisis plan.
  - The schedule for updates of the treatment plan.
  - (2) Evaluation and medication management.
- 1. The evaluation portion of ACT services consists of a comprehensive mental health evaluation and assessment of the individual by a psychiatrist, advanced registered nurse practitioner, or physician assistant.
- 2. Medication management consists of the prescription and management of medication by a psychiatrist, advanced registered nurse practitioner, or physician assistant in response to the individual's complaints and symptoms. A psychiatric registered nurse assists in this management by making contact with the individual regarding medications and their effect on the individual's complaints and symptoms.

(3) Integrated therapy and counseling for mental health and substance abuse. Integrated therapy and counseling consists of direct counseling for treatment of mental health and substance abuse symptoms by a psychiatrist, licensed mental health professional, advanced registered nurse practitioner, physician assistant, or substance abuse specialist. Individual counseling may be provided by other team members under the supervision of a psychiatrist or licensed mental health practitioner.

- (4) Skill teaching. Skill teaching consists of side-by-side demonstration and observation of daily living activities by any team member.
- (5) Community support. Community support may be provided by any team member and consists of the following activities focused on recovery and rehabilitation:
- 1. Personal and home skills training to assist the individual to develop and maintain skills for self-direction and coping with the living situation.
- 2. Community skills training to assist the individual in maintaining a positive level of participation in the community through development of socialization skills and personal coping skills.
- (6) Medication monitoring. Medication monitoring services shall be provided by a psychiatric nurse and other team members under the supervision of a psychiatrist or psychiatric nurse and consists of:
- 1. Monitoring the individual's day-to-day functioning, medication compliance, and access to medications; and
  - 2. Ensuring that the individual keeps appointments.
- (7) Case management for treatment and service plan coordination. Case management consists of the development of an individualized treatment and service plan, including personalized goals and outcomes, to address the individual's medical symptoms and remedial functional impairments. Case management includes:
  - 1. Assessments, referrals, follow-up, and monitoring.
- 2. Assisting the individual in gaining access to necessary medical, social, educational, and other services.
- 3. Assessing the individual to determine service needs by collecting relevant historical information through records and other information from relevant professionals and natural supports.
- (8) Crisis response. Crisis response consists of direct assessment and treatment of the individual's urgent or crisis symptoms in the community by any team member, as appropriate.
- (9) Work-related services. Work-related services may be provided by any team member. Services consist of assisting the individual in managing mental health symptoms as they relate to job performance and may include:
- 1. Collaborating with the individual to look for job situations of the individual's choice and creating strategies to manage situations that cause symptoms to increase.
- 2. Assisting the individual to develop or enhance skills to obtain a work placement, such as individual work-related behavioral management.
  - 3. Providing supports to maintain employment, such as crisis intervention related to employment.
  - 4. Teaching communication, problem-solving, and safety skills.
  - 5. Teaching personal skills, such as time management and appropriate grooming for employment.
- (10) Peer support services. Peer support services are provided by a peer support specialist and include, but are not limited to, education and information, individual advocacy, and crisis response.
- (11) Support services. All team members are responsible for providing support services. Services consist of assisting the individual in obtaining the basic necessities of daily life, including but not limited to:
  - 1. Medical and dental services.
  - 2. Safe, clean, and affordable housing.
  - 3. Financial support.
  - 4. Benefits counseling.
  - 5. Social services.
  - 6. Transportation.
  - 7. Legal advocacy and representation.

(12) Education, support, and consultation to family members and other major supports of individuals. All team members are responsible for providing education, support, and consultation to family members and other major supports of individuals with the agreement or consent of the individual. Services include but are not limited to:

- 1. Individualized psychoeducation about the individual's illness and the role of the family and other significant people in the therapeutic process.
- 2. Intervention to restore contact, resolve conflicts, and maintain relationships with family or other significant people or both.
- 3. Ongoing communication and collaboration, face-to-face and by telephone, between the ACT team and the family.
- 4. Introduction and referral to family self-help programs and advocacy organizations that promote recovery.
- 5. Assistance to obtain necessary services for individuals with children, including but not limited to:
  - Individual supportive counseling.
  - Parenting training.
  - Service coordination.
  - Services to help the individual throughout pregnancy and the birth of a child.
- Services to help the individual fulfill parenting responsibilities and coordinate services for the child or children.
- Services to help the individual restore relationships with children who are not in the individual's custody.
- **25.6(3)** *Mobile response.* The purpose of mobile response is to provide short-term individualized crisis stabilization, following a crisis screening or assessment, that is designed to restore the individual to a prior functional level. Mobile response services shall be provided as described in rule 441—24.36(225C).
- **25.6(4)** 23-hour observation and holding. The purpose of 23-hour observation and holding is to provide up to 23 hours of care for adults in a safe and secure, medically staffed treatment environment. Twenty-three-hour observation and holding shall be provided as described in rule 441—24.37(225C).
- **25.6(5)** Crisis stabilization community-based services. The purpose of crisis stabilization community-based services is to provide short-term services designed to de-escalate a crisis situation and stabilize an individual following a mental health crisis in the setting where the individual lives, works, or recreates. Crisis stabilization community-based services shall be provided as described in rule 441—24.38(225C).
- **25.6(6)** Crisis stabilization residential services. The purpose of crisis stabilization residential services is to provide a short-term alternative living arrangement in a setting of no more than 16 beds that is designed to de-escalate a crisis situation and stabilize an individual following a mental health crisis. Crisis stabilization residential services shall be provided as described in rule 441—24.39(225C).
- **25.6(7)** Subacute mental health services. The purpose of subacute mental health services is to provide a comprehensive set of wraparound services to adults who have had or are at imminent risk of having acute or crisis mental health symptoms.
- a. Regional coordination. Each region shall designate at least one subacute mental health service provider and ensure that subacute mental health services are available to the residents of the region consistent with subrule 25.4(4).
  - b. Subacute mental health services standards.
- (1) Subacute mental health services in a facility-based setting shall be provided as described in Iowa Code chapter 135G and 481—Chapter 71.
- (2) Subacute mental health services in a community-based setting are the same as assertive community treatment (ACT) services provided as described in subrule 25.6(2).
- **25.6(8)** *Intensive residential services.* The purpose of intensive residential services is to serve adults with the most intensive severe and persistent mental illness conditions who have functional impairments and may also have multi-occurring conditions. Intensive residential services provide intensive 24-hour

supervision, behavioral health services, and other supportive services in a community-based residential setting.

- a. Regional coordination. Each region shall designate at least one intensive residential services provider and ensure that intensive residential services are available to the residents of the region consistent with subrule 25.4(9).
- (1) Regions shall work collaboratively to develop intensive residential services strategically located throughout the state with the capacity to serve a minimum of 120 individuals, with the support of the medical assistance program.
  - (2) Intensive residential services may be shared by two or more regions.
- (3) Each region shall establish methods to provide for reimbursement of a region when the non-Medicaid-eligible resident of another region utilizes intensive residential services or other non-Medicaid-covered services located in that region.
- (4) Regional reimbursement rates for non-Medicaid individuals receiving intensive residential services shall be negotiated by the region and the provider and shall be no less than the minimum Medicaid rate.
- b. Intensive residential services standards. An organization that seeks regional designation as an intensive residential service provider shall meet the following criteria at initial application and annually thereafter. A designated intensive residential service provider shall:
- (1) Be enrolled as an HCBS 1915(i) habilitation provider or an HCBS 1915(c) intellectual disability waiver supported community living provider and in good standing with Iowa Medicaid.
  - (2) Provide staffing 24 hours a day, 7 days a week, 365 days a year.
- (3) Maintain a minimum staffing ratio of one staff to every two and one-half residents. Staffing ratios shall be responsive to the needs of the individuals served.
  - (4) Ensure that all staff members have the following minimum qualifications:
- 1. One year of experience working with individuals with a mental illness or multi-occurring conditions.
  - 2. A high school diploma or equivalent.
- (5) Ensure that within the first year of employment, staff members complete 48 hours of competency-based training in mental health and multi-occurring conditions. During each consecutive year of employment, staff members shall complete 24 hours of competency-based training in mental health and multi-occurring conditions. Staff training shall include, but is not limited to, the following:
  - 1. Applied behavioral analysis.
  - 2. Autism spectrum disorders, diagnoses, symptomology and treatment.
  - 3. Brain injury diagnoses, symptomology and treatment.
- 4. Crisis management and de-escalation and mental health diagnoses, symptomology and treatment.
  - 5. Motivational interviewing.
  - 6. Psychiatric medications.
  - 7. Substance use disorders and treatment.
  - 8. Other diagnoses or conditions present in the population served.
- (6) Provide coordination with the individual's clinical mental health and physical health treatment, and other services and supports.
- (7) Provide clinical oversight by a mental health professional. The mental health professional shall review and consult on all behavioral health services provided to the individual, and any other plans developed for the individual, including but not limited to service plans, behavior intervention plans, crisis intervention plans, emergency plans, cognitive rehabilitation plans, or physical rehabilitation plans.
- (8) Have a written cooperative agreement with an outpatient mental health provider and ensure that individuals have timely access to outpatient mental health services, including but not limited to ACT.
- (9) Be licensed as a substance abuse treatment program pursuant to Iowa Code chapter 125 or have a written cooperative agreement with and timely access to licensed substance abuse treatment services for those individuals with a demonstrated need.
  - (10) Accept and serve eligible individuals who are court-ordered to intensive residential services.

- (11) Provide services to eligible individuals on a no reject, no eject basis.
- (12) If funded through HCBS and not licensed as a residential care facility, serve no more than five individuals at a site.
  - (13) Be located in a neighborhood setting to maximize community integration and natural supports.
- (14) Demonstrate specialization in serving individuals with an SPMI or multi-occurring conditions and serve individuals with similar conditions in the same site.
- c. Eligibility criteria for admission to intensive residential services. To be eligible to receive intensive residential services, an individual shall meet all of the following criteria:
- (1) The individual is an adult with a diagnosis of a severe and persistent mental illness or multi-occurring conditions.
- (2) The individual has had a standardized functional assessment and screening for multi-occurring conditions completed 30 days or less prior to application for intensive residential services, and the functional assessment and screening demonstrates that the individual:
- 1. Has a diagnosis that meets the criteria of severe and persistent mental illness as defined in rule 441—25.1(331);
- 2. Has three or more areas of significant impairment in activities of daily living or instrumental activities of daily living;
- 3. Is in need of 24-hour supervised and monitored treatment to maintain or improve functioning and avoid relapse that would require a higher level of treatment;
- 4. Has exhibited a lack of progress or regression after an adequate trial of active treatment at a less intensive level of care;
- 5. Is at risk of significant functional deterioration if intensive residential services are not received or continued; and
  - 6. Meets one or more of the following:
- Has a record of three or more psychiatric hospitalizations in the 12 months preceding application for intensive residential services.
- Has a record of more than 30 medically unnecessary psychiatric hospital days in the 12 months preceding application for intensive residential services.
- Has a record of more than 90 psychiatric hospital days in the 12 months preceding application for intensive residential services.
- Has a record of three or more emergency room visits related to a psychiatric diagnosis in the 12 months preceding application for intensive residential services.
  - Is residing in a state resource center and has an SPMI.
  - Is being served out of state due to the unavailability of medically necessary services in Iowa.
  - Has an SPMI and is scheduled for release from a correctional facility or a county jail.
- Is homeless or precariously housed.

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