TITLE I GENERAL DEPARTMENTAL PROCEDURES

CHAPTER 1

DEPARTMENTAL ORGANIZATION AND PROCEDURES

[Prior to 3/20/91, Corrections Department[291]]

201—1.1(904) Title I definitions.

"Department" means the department of corrections.

"Deputy director" means the administrator who is appointed by the director and is responsible for an operational division within the department of corrections. The four operational divisions are administration, institutional operations, community-based corrections, and prison industries.

"Director" means the director of the department of corrections.

"Plan of compliance" means a schedule of action to be followed in correcting statute or rule deficiencies cited by the department of corrections.

"Public official" means any officer of the federal government, any state or a political subdivision thereof, receiving a salary or per diem, if elected or appointed or whether serving full-time or part-time. [ARC 3929C, IAB 8/1/18, effective 9/5/18]

201—1.2(904) Mission and function. The department of corrections is mandated by Iowa Code chapter 904 and consists of a policy board, a director and four operational divisions.

The mission of the department of corrections is creating opportunities for safer communities.

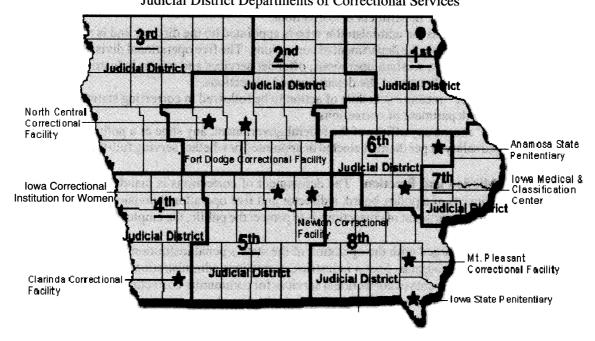
The department is charged with the operation of the state's penal institutions, judicial district department of corrections programs, prison industries, corrections administration, and contracting with the judicial district departments of correctional services for community correctional services. It is further charged with accreditation and funding of community-based corrections programs, including but not limited to pretrial release, presentence investigation, probation, parole, residential facilities, work release centers and other duties provided for by law.

[ARC 3929C, IAB 8/1/18, effective 9/5/18]

201-1.3(904) Offices.

- **1.3(1)** Central office. The central office for the department of corrections is located in the Jessie Parker State Office Building in the Capitol Complex in Des Moines. Its mailing address is the Department of Corrections, Jessie Parker State Office Building, 510 E. 12th Street, Des Moines, Iowa 50319; telephone (515)725-5701. Office hours are 8 a.m. to 4:30 p.m., Monday through Friday, excluding holidays.
- **1.3(2)** *Division offices*. The central offices of the divisions of the department are also located in the Jessie Parker State Office Building.
- **1.3(3)** Contact information. Contact information for correctional institutions and judicial district departments of correctional services and other offices located outside the principal headquarters is listed below and may also be found on the department's website, which is listed in rule 201—1.4(904). The following map provides a general overview of judicial district department of correctional services and correctional institution locations.

Department of Corrections Institutions and Judicial District Departments of Correctional Services



Anamosa State Penitentiary

406 North High Street

Anamosa, IA 52205

Coral Ridge Avenue

Coralville, IA 52241

(319)462-3504

(319)462-4962 Fax

(319)626-2391

(319)626-2141 Fax

 Mt. Pleasant Correctional Facility
 Iowa State Penitentiary

 1200 East Washington
 2111 330th Avenue, P.O. Box 316

 Mt. Pleasant, IA 52641
 Fort Madison, IA 52627

 (319)385-9511
 (319)372-5432

 (319)385-8828 Fax
 (319)372-6967 Fax

 Newton Correctional Facility
 Fort Dodge Correctional Facility

 307 S. 60th Avenue W, P.O. Box 218
 1550 L Street

 Newton, IA 50208
 Fort Dodge, IA 50501-5767

 (641)792-7552
 (515)574-4700

 (641)791-1683 Fax
 (515)574-4707 Fax

North Central Correctional Facility

313 Lanedale

420 Mill Street SW

Rockwell City, IA 50579

(712)297-7521

(515)967-4236

(712)297-7875 Fax

(515)967-5347 Fax

Clarinda Correctional Facility

2000 N. 16th Street

Clarinda, IA 51362

(712)542-5634/5635 (712)542-4844 Fax

Second Judicial District, DCS

509 Main Street, Suite 200 Ames, IA 50010

(515)232-1511

(515)232-9453 Fax

Fourth Judicial District, DCS

810 South Tenth Street

Council Bluffs, IA 51501

(712)325-4943

(712)325-0312 Fax

Sixth Judicial District, DCS

951 29th Ave. SW

Cedar Rapids, IA 52404

(319)398-3675

(319)398-3684 Fax

Eighth Judicial District, DCS

1805 West Jefferson, P.O. Box 1060

Fairfield, IA 52556-1060

(641)472-4242

(641)472-9966 Fax

[ARC 3929C, IAB 8/1/18, effective 9/5/18]

First Judicial District, DCS

314 East Sixth Street, P.O. Box 4030

Waterloo, IA 50704-4030

(319)236-9626

(319)291-3947 Fax

Third Judicial District, DCS

515 Water Street

Sioux City, IA 51103

(712)252-0590

(712)252-0634 Fax

Fifth Judicial District, DCS

1000 Washington Street

Des Moines, IA 50314

(515)242-6611

(515)242-6656 Fax

Seventh Judicial District, DCS

605 Main Street

Davenport, IA 52803-5244

(563)322-7986

(563)324-2063 Fax

201—1.4(904) Internet website. The department's Internet home page is located at <u>www.doc.iowa.gov</u>. [ARC 3929C, IAB 8/1/18, effective 9/5/18]

201—1.5(904) Method by which and location where the public may obtain information or make submissions or reports.

- **1.5(1)** Persons wishing to obtain information from or report information to the department may contact any of the offices listed in rule 201—1.3(904) or found on the department's website.
- **1.5(2)** Persons wishing to make submissions to the department may do so by delivering or forwarding information to the principal offices of the affected division or, if the subject matter is relevant to a specific prison or judicial district department of correctional services, the nearest office as listed in rule 201—1.3(904) or found on the department's website.
- **201—1.6(904) Board of corrections.** The director of the department has, by statute, the advice and counsel of the board of corrections. This seven-member board is appointed by the governor with confirmation by the senate, and its powers and duties are policymaking and advisory with respect to the services and programs operated by the department.

- **1.6(1)** A quorum shall consist of two-thirds of the membership appointed and qualified to vote.
- **1.6(2)** When a quorum is present, a position is carried by a majority of the qualified members of the board.
- **1.6(3)** Copies of administrative rules and other materials considered are made a part of the minutes by reference.
 - **1.6(4)** Copies of the minutes are kept on file in the director's office.
 - 1.6(5) At each meeting the board shall set the date and location of the next meeting.
 - a. Notice of the meetings shall be given pursuant to Iowa Code chapter 21.
- b. When it is determined by the chairperson of the board that an emergency meeting is required, the communications media shall be notified as far in advance of the meeting as time allows. The nature of the emergency shall be stated in the minutes.
- c. Persons wishing to appear before the board shall submit their request to the department office not less than ten days prior to the meeting. Presentations may be made at the discretion of the chairperson and only upon matters appearing on the agenda.
- d. Persons wishing to submit written material should do so at least ten days in advance of the scheduled meeting to ensure that board members have adequate time to receive and evaluate the material.
 - **1.6(6)** In cases not covered by these rules, Robert's Rules of Order shall govern.
- **1.6(7)** The chairperson may appoint committees of the board as necessary to conduct the business of the board. Committee meetings shall comply with Iowa Code chapter 21.
 - **1.6(8)** The board shall:
- a. Oversee the work of the department as defined in Iowa Code section 904.105 and, for this purpose, the board shall have access at any time to all books, papers, documents and records of the department.
- b. Report to the governor and the general assembly recommendations regarding the activities of the department when necessary.

 [ARC 3929C, IAB 8/1/18, effective 9/5/18]
- **201—1.7(904) Director.** The governor appoints the director of the department of corrections. The director is responsible for the daily administration of the department. The operations are performed by four divisions consisting of institutional operations, community-based corrections (CBC), administration, and prison industries. The deputy directors of these divisions report to the director of the department.

In addition to the deputy directors, the general counsel/inspector general, the director of media and public relations, the director of research/recidivism reduction, and the medical services director report to the director of the department.

- **1.7(1)** The appointment and qualifications of the director are mandated in Iowa Code section 904.107.
 - 1.7(2) Duties of the director are prescribed in Iowa Code section 904.108.
- 1.7(3) The director shall have the authority to place on notice intended administrative action pursuant to Iowa Code chapter 17A by approval of the board of corrections. A report listing all rules placed under notice during the previous month shall be submitted to the board of corrections at its regular meeting for review. The board of corrections shall review and shall adopt rules following the period of public comment.

[ARC 3929C, IAB 8/1/18, effective 9/5/18]

201—1.8(904) Organization of the department.

- **1.8(1)** The deputy director of institutional operations is responsible for all institutions and facilities. These descriptions are in no way binding on the director's authority to transfer incarcerated individuals between institutions.
 - a. Deputy director of institutional operations:
 - (1) The Iowa state penitentiary, Fort Madison.
 - (2) The Anamosa state penitentiary, Anamosa.
 - (3) The Iowa medical and classification center, Coralville.

- (4) Mount Pleasant correctional facility, Mount Pleasant.
- (5) The Iowa correctional institution for women, Mitchellville.
- (6) The Clarinda correctional facility, Clarinda.
- (7) The north central correctional facility, Rockwell City.
- (8) The Newton correctional facility and the Newton correctional release center, Newton.
- (9) The Fort Dodge correctional facility, Fort Dodge.
- b. Reserved.

1.8(2) The deputy director of CBC:

- a. Is responsible for supervising and coordinating of Code of Iowa requirements as they apply to the judicial district department of correctional services for all eight judicial districts to include:
 - (1) The first judicial district department of correctional services.
 - (2) The second judicial district department of correctional services.
 - (3) The third judicial district department of correctional services.
 - (4) The fourth judicial district department of correctional services.
 - (5) The fifth judicial district department of correctional services.
 - (6) The sixth judicial district department of correctional services.
 - (7) The seventh judicial district department of correctional services.
 - (8) The eighth judicial district department of correctional services.
- b. Is responsible for providing assistance and support to the judicial district departments of correctional services and for periodic review and accreditation of these programs. The following services shall be provided in addition to parole and work release:
 - (1) Pretrial interviews.
 - (2) Pretrial supervision.
 - (3) Presentence investigation.
 - (4) Probation.
 - (5) Residential services.
 - (6) Community service sentencing.
 - (7) OWI facilities.
- c. Is responsible for programming for and treatment of incarcerated individuals to include the following:
 - (1) Interstate compact administration.
 - (2) Substance abuse treatment services.
 - (3) Cognitive learning.
 - (4) Batterers' education programs.
 - (5) Sex offender treatment.
 - (6) Preemployment programs.
 - **1.8(3)** The fiscal manager for the division of administration shall be responsible for the following:
 - a. Budget development and control.
 - b. Personnel.
 - c. Accountable government oversight.
 - d. Planning and development.
 - e. General administrative support.
 - f. Fiscal policy and procedures.
 - g. Engineering.
 - h. Data processing.
 - **1.8(4)** The deputy director for prison industries shall be responsible for the following:
 - a. The manufacturing/service/purchasing operations.
- b. The activities and programs of the sales manager and territorial sales staff of Iowa prison industries.
- c. The budget, income and expense forecasts and financial record keeping/reporting required to operate Iowa prison industries as a self-supporting activity.
 - d. Private sector employment.

- e. Farms.
- f. Centralized canteen.
- g. State and federal surplus.
- **1.8(5)** The director of research/recidivism reduction shall be responsible for the following:
- a. Learning center.
- b. Research department.
- **1.8(6)** The general counsel/inspector general shall be responsible for the following:
- a. Legal services.
- b. Coordination of court orders.
- c. Investigations.
- d. EEO/AA.
- e. Administrative law judges.
- f. Jail inspections.
- g. Legislative program.
- Administrative rules.
- **1.8(7)** The director of media and public relations shall be responsible for the following:
- a. Providing public information to constituency groups and the media.
- b. Facilitating internal communications in the department.
- **1.8(8)** The medical services director shall be responsible for the following:
- a. Institutional medical services.
- b. Central pharmacy.

[ARC 3929C, IAB 8/1/18, effective 9/5/18]

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These rules are intended to implement Iowa Code sections 904.101 to 904.108.

[Filed emergency 9/9/83—published 9/28/83, effective 10/1/83]

[Filed 11/18/83, Notice 9/28/83—published 12/7/83, effective 1/11/84]

[Filed emergency 1/27/84—published 2/15/84, effective 1/27/84]

[Filed 5/4/84, Notice 2/15/84—published 5/23/84, effective 6/27/84 and 7/1/84]

[Filed 7/24/84, Notice 5/23/84—published 8/15/84, effective 9/19/84]

[Filed 4/4/85, Notice 10/24/84—published 4/24/85, effective 5/29/85]

[Filed 9/20/85, Notice 7/31/85—published 10/9/85, effective 11/13/85]

[Filed emergency 2/20/91—published 3/20/91, effective 2/20/91]

[Filed 4/17/07, Notice 2/14/07—published 5/9/07, effective 6/13/07]
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[Filed ARC 3929C (Notice ARC 3806C, IAB 5/23/18), IAB 8/1/18, effective 9/5/18]