

CHAPTER 13  
PUBLIC RECORDS AND FAIR INFORMATION PRACTICES

Chapter rescission date pursuant to Iowa Code section 17A.7: 4/23/30

**265—13.1(16,22) Definitions.** As used in this chapter:

*“Authority”* means the Iowa finance authority created in Iowa Code section 16.1A.

*“Confidential records”* means records, as identified in Iowa Code section 22.7 or any other provision of law, that are not disclosed to members of the public unless otherwise ordered by a court, by the custodian of the records, or by another person duly authorized to release the records. A record may be partially or wholly confidential.

*“Custodian”* means a lawful custodian as defined in Iowa Code section 22.1.

*“Open record”* means a record other than a confidential record.

*“Personally identifiable information”* means information about or pertaining to an individual in a record that identifies the individual and that is contained in a record system.

*“Record”* means the whole or a part of a “public record” as defined in Iowa Code section 22.1 that is owned by or is in the physical possession of the authority.

*“Record system”* means any group of records under the control of the authority from which a record may be retrieved by a personal identifier such as the name of an individual, number, symbol, or other unique retriever assigned to an individual.

*“Request for confidential treatment”* means a request, made pursuant to rule 265—13.4(16,22), for the authority to treat a record as a confidential record and withhold such record from public inspection.

[ARC 9031C, IAB 3/19/25, effective 4/23/25]

**265—13.2(16,22) Requests for access to authority records.** Rescinded by 2026 Iowa Acts, Senate File 2463, section 4, effective July 1, 2026.

**265—13.3(16,22) Access to confidential records.** Rescinded by 2026 Iowa Acts, Senate File 2463, section 4, effective July 1, 2026.

**265—13.4(16,22) Requests for confidential treatment.** Rescinded by 2026 Iowa Acts, Senate File 2463, section 4, effective July 1, 2026.

**265—13.5(16,22) Additions, dissents or objections.** Rescinded by 2026 Iowa Acts, Senate File 2463, section 4, effective July 1, 2026.

**265—13.6(16,22) Notices to suppliers of information.** Rescinded by 2026 Iowa Acts, Senate File 2463, section 4, effective July 1, 2026.

**265—13.7(16,22) Disclosure of records—consent.** Rescinded by 2026 Iowa Acts, Senate File 2463, section 4, effective July 1, 2026.

**265—13.8(16,22) Availability of records.** Rescinded by 2026 Iowa Acts, Senate File 2463, section 4, effective July 1, 2026.

**265—13.9(16,22) Personally identifiable information.**

**13.9(1)** The authority collects personally identifiable information pursuant to Iowa Code chapter 16, federal statutes and regulations governing federal programs and the issuance of debt by the authority, and other applicable laws. Personally identifiable information is stored by electronic and physical methods. The authority’s record systems do not match, collate or compare personally identifiable information in each system with personally identifiable information contained in other record systems. The authority’s record systems can collect, maintain and retrieve information by personal identifiers, including names, mailing addresses, and email addresses. This rule describes the nature and extent of personally identifiable

information that is collected, maintained and retrieved by the authority by personal identifier in record systems.

**13.9(2) *Program records.*** Records of persons or organizations participating in the authority's programs are collected by the authority. These records may contain information about individuals collected pursuant to specific federal or state statutes or regulations. Personally identifiable information, such as name, address, social security number and telephone number, may be included in these records when the applicant is an individual. Portions of program records may be confidential pursuant to Iowa Code section 22.7 or other applicable law.

**13.9(3) *Correspondence.*** Records of correspondence from persons outside the authority or sent to persons outside the authority may contain personally identifiable information provided by the sender or recipient of such correspondence. Portions of correspondence may be confidential pursuant to Iowa Code section 22.7 or other applicable law.

**13.9(4) *Litigation files.*** The authority maintains records regarding litigation or anticipated litigation, which includes judicial and administrative proceedings. These records contain materials that are confidential as attorney work product and attorney-client communications pursuant to Iowa Code sections 22.7(4), 622.10 and 622.11; Iowa Rule of Civil Procedure 1.503(3); Federal Rule of Civil Procedure 26(b)(3); the rules of evidence; the Code of Professional Responsibility; and case law. Some materials are confidential under other applicable provisions of law or as directed by a court order.

**13.9(5) *Personnel files.*** The authority maintains files containing information about employees, families and dependents, and applicants for positions with the authority. Portions of personnel files may be confidential pursuant to Iowa Code section 22.7(11).

**13.9(6) *Bond records.*** The authority maintains records related to bonds as provided in Iowa Code section 76.10. Such records may be confidential pursuant to Iowa Code section 22.7(17).

[ARC 9031C, IAB 3/19/25, effective 4/23/25]

**265—13.10(16,22) Other groups of records.** This rule describes groups of records maintained by the authority other than record systems. These records are routinely available to the public. All or portions of such records may contain confidential information pursuant to Iowa Code section 22.7 or other applicable law. The records are stored by electronic and physical methods.

**13.10(1) *Rulemaking records.*** Rulemaking records may contain information about individuals making written or oral comments on proposed rules. This information is collected pursuant to Iowa Code section 17A.4.

**13.10(2) *Meeting records.*** Agendas, minutes and materials presented to boards and other bodies associated with the authority are available from the authority, except those records concerning closed sessions that are exempt from disclosure under Iowa Code section 21.5(4) or that are otherwise confidential by law. Authority meeting records contain information about people who participate in meetings. The information is collected pursuant to Iowa Code section 21.3. This information is not retrieved by individual identifier.

**13.10(3) *Publications.*** News releases, annual reports, project reports, newsletters, and related documents are available from the authority. Authority news releases, project reports, and newsletters may contain information about individuals, including authority staff. This information is not retrieved by individual identifier.

**13.10(4) *Statistical reports.*** Periodic reports for various authority programs are available from the authority. Statistical reports do not contain personally identifiable information.

**13.10(5) *Published materials.*** The authority uses many legal and technical publications in its work. The public may inspect these publications upon request. Some of these materials may be protected by copyright law.

**13.10(6) *Policy manuals.*** The authority employees' manual, containing procedures describing the authority's regulations and practices, is available. Policy manuals do not contain information about individuals.

**13.10(7) *Other records.*** All other records that are not exempt from disclosure by law are available from the authority.

[ARC 9031C, IAB 3/19/25, effective 4/23/25]

**265—13.11(16,22) Applicability.** Rescinded by 2026 Iowa Acts, Senate File 2463, section 4, effective July 1, 2026.

These rules are intended to implement Iowa Code chapters 16 and 22.

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