

CHAPTER 114
IOWA INNOVATION COUNCIL

261—114.1(15) Authority. The authority for establishing rules governing the Iowa innovation council under this chapter is provided in Iowa Code section 15.117A.

[ARC 8850B, IAB 6/16/10, effective 7/1/10; ARC 9061B, IAB 9/8/10, effective 10/13/10; ARC 0611C, IAB 2/20/13, effective 3/27/13]

261—114.2(15) Purpose. The purpose of the Iowa innovation council is to advise the authority on the development and implementation of public policies that enhance innovation and entrepreneurship in the targeted industries.

[ARC 8850B, IAB 6/16/10, effective 7/1/10; ARC 9061B, IAB 9/8/10, effective 10/13/10; ARC 0611C, IAB 2/20/13, effective 3/27/13]

261—114.3(15) Definitions.

“*Authority*” means the economic development authority created in Iowa Code section 15.105.

“*Board*” means the members of the economic development authority appointed by the governor and in whom the powers of the authority are vested pursuant to Iowa Code section 15.105.

“*Chief technology officer*” means the person appointed pursuant to Iowa Code section 15.117. The chief technology officer serves as chairperson of the council pursuant to Iowa Code section 15.117A.

“*Committee*” means the technology commercialization committee established by the board pursuant to 261—Chapter 1.

“*Council*” means the Iowa innovation council established by Iowa Code section 15.117A.

“*Director*” means the director of the authority or the director’s designee.

“*Targeted industry*” means the industries of advanced manufacturing, bioscience, and information technology. Alternative and renewable energy is considered a sector within the advanced manufacturing and bioscience industries.

“*Vice chairperson*” means the voting member elected to serve as the council vice chairperson for a one-year term.

[ARC 8850B, IAB 6/16/10, effective 7/1/10; ARC 9061B, IAB 9/8/10, effective 10/13/10; ARC 0611C, IAB 2/20/13, effective 3/27/13]

261—114.4(15) Iowa innovation council funding. The authority shall provide assistance to the council with staff and administrative support. The authority may expend moneys allocated to the innovation and commercialization fund in order to provide such support. The council shall not have the authority to expend moneys or resources or to execute contracts. The authority may accept grant funds on behalf of the council, but the council shall not provide any form of financial assistance awards. Authority for and approval of all financial expenditures and contracts for the council shall be granted solely by the director on behalf of the authority.

[ARC 8850B, IAB 6/16/10, effective 7/1/10; ARC 9061B, IAB 9/8/10, effective 10/13/10; ARC 0611C, IAB 2/20/13, effective 3/27/13]

261—114.5(15) Council membership.

114.5(1) The council shall consist of the following members:

a. Twenty voting members selected by the board to serve staggered, two-year terms beginning and ending as provided in Iowa Code section 69.19. Members to be selected shall include the following representatives:

(1) Seven shall be representatives from businesses in the targeted industries; and

(2) Thirteen shall be individuals who serve on the technology commercialization committee, or other committees of the board, and who have expertise with the targeted industries.

(3) Ten of the 20 members selected pursuant to subparagraphs (1) and (2) of paragraph “*a*” shall be executives actively engaged in the management of a business in a targeted industry.

b. Nine voting members as set forth below:

(1) One member, selected by the governor, who also serves on the Iowa capital investment board created in Iowa Code section 15E.63.

- (2) The director of the authority, or the director's designee.
- (3) The chief technology officer appointed pursuant to Iowa Code section 15.117, who shall serve as chairperson of the council.
- (4) The director of the department of workforce development, or the director's designee.
- (5) The president of the state university of Iowa, or the president's designee.
- (6) The president of Iowa state university of science and technology, or the president's designee.
- (7) The president of the university of northern Iowa, or the president's designee.
- (8) Two community college presidents from geographically diverse areas of the state, selected by the Iowa association of community college trustees.

c. Four members of the general assembly serving two-year terms in a nonvoting, *ex officio* capacity, with two from the senate and two from the house of representatives and not more than one member from each chamber being from the same political party. The two senators shall be designated one member each by the president of the senate after consultation with the majority leader of the senate, and by the minority leader of the senate. The two representatives shall be designated one member each by the speaker of the house of representatives after consultation with the majority leader of the house of representatives, and by the minority leader of the house of representatives.

114.5(2) To be eligible to serve as a designee, a person must have sufficient authority to make decisions on behalf of the organization being represented. A designee shall not permit a substitute to attend council meetings on the designee's behalf.

114.5(3) A vacancy on the council shall be filled in the same manner as the original selection and shall be for the remainder of the term.

[ARC 8850B, IAB 6/16/10, effective 7/1/10; ARC 9061B, IAB 9/8/10, effective 10/13/10; ARC 0611C, IAB 2/20/13, effective 3/27/13]

261—114.6(15) Responsibilities and deliverables.

114.6(1) The purpose of the council is to advise the authority on the development and implementation of public policies that enhance innovation and entrepreneurship in the targeted industries. Such advice may include evaluating Iowa's competitive position in the global economy; reviewing the technology typically utilized in the state's manufacturing sector; assessing the state's overall scientific research capacity; keeping abreast of the latest scientific research and technological breakthroughs and offering guidance as to their impact on public policy; recommending strategies that foster innovation, increase new business formation, and otherwise promote economic growth in the targeted industries; and offering guidance about future developments in the targeted industries.

114.6(2) The council shall do the following:

a. Prepare a report of the expenditures of moneys appropriated and allocated to the authority for certain programs authorized pursuant to 2009 Iowa Code Supplement sections 15.411 as amended by 2010 Iowa Acts, House File 2076, and 15.412 relating to the development and commercialization of businesses in the targeted industries.

b. Prepare a summary of the activities of the technology commercialization committee and the Iowa innovation council.

c. Create a comprehensive strategic plan for implementing specific strategies that foster innovation, increase new business formation, and promote economic growth.

d. Review existing programs that relate to the targeted industries and suggest changes to improve efficiency and effectiveness.

e. Conduct industry research and prepare reports for the general assembly, the governor, the authority, and other policy-making bodies within state government.

f. Act as a forum where issues affecting the research community, the targeted industries, and policy makers can be discussed and addressed.

[ARC 8850B, IAB 6/16/10, effective 7/1/10; ARC 9061B, IAB 9/8/10, effective 10/13/10; ARC 0611C, IAB 2/20/13, effective 3/27/13]

261—114.7(15) Executive committee. In order to effectively carry out the responsibilities of the council, an executive committee within the council shall be formed.

114.7(1) Membership. The executive committee shall include the chief technology officer, vice chairperson of the council, the director of the authority, and four board-appointed members of the council who also serve on the technology commercialization committee in order to:

- a. Solicit individuals to become council members;
- b. Review vacancies and resignations;
- c. Review all nominees and application materials and recommend nominees to the council to recommend to the board for appointment;
- d. Nominate one of the voting members to serve as vice chairperson;
- e. Approve the formation of work groups, appoint work group members and leaders, review activities of the work groups, and report to the council to ensure the coordination of activity of work groups;
- f. Record the official proceedings for the council;
- g. Act on behalf of the council between council meetings, as directed by the council;
- h. Issue reports on behalf of the council, as directed by the council;
- i. Meet with the chief technology officer to discuss the overall management of the business of the council; and
- j. Review potential conflicts of interest on the part of any member of the council.

114.7(2) Quorum; authority. A majority of the members of the executive committee constitutes a quorum. A majority vote of the quorum is required to approve actions of the executive committee. The executive committee shall not have the authority to bind the council to its decisions or recommendations but merely the authority to recommend action to the council or to take action as directed by the council. [ARC 8850B, IAB 6/16/10, effective 7/1/10; ARC 9061B, IAB 9/8/10, effective 10/13/10; ARC 0611C, IAB 2/20/13, effective 3/27/13]

261—114.8(15) Application and review process for board-appointed council members. The council shall review application materials for board-appointed nominees identified by the executive committee and shall recommend to the board for appointment those nominees who the council believes will add value to and further the purposes of the council.

[ARC 8850B, IAB 6/16/10, effective 7/1/10; ARC 9061B, IAB 9/8/10, effective 10/13/10; ARC 0611C, IAB 2/20/13, effective 3/27/13]

261—114.9(15) Voting. A majority of the members of the council constitutes a quorum. A majority vote of the quorum is required to approve actions of the council, including recommendations.

[ARC 8850B, IAB 6/16/10, effective 7/1/10; ARC 9061B, IAB 9/8/10, effective 10/13/10; ARC 0611C, IAB 2/20/13, effective 3/27/13]

261—114.10(15) Meetings and commitment of time. The chief technology officer shall be responsible for convening meetings of the council and is expected to convene at least four regular meetings of the council, within any period of 12 consecutive calendar months, beginning on July 1 or January 1, including at least one annual meeting. The annual meeting of the council shall be convened in January at a convenient location in Des Moines. The chief technology officer shall not convene a meeting of the council unless the director of the authority, or the director's designee, is present at the meeting.

[ARC 8850B, IAB 6/16/10, effective 7/1/10; ARC 9061B, IAB 9/8/10, effective 10/13/10; ARC 0611C, IAB 2/20/13, effective 3/27/13]

261—114.11(15) Nonattendance.

114.11(1) Any member serving on the council shall be deemed to have submitted a resignation to the council if either of the following events occurs.

- a. The member does not attend two or more consecutive regular meetings of the council.
- b. The member attends less than one-half of the regular council meetings within any period of 12 calendar months beginning on July 1 or January 1.

114.11(2) The requirements of this rule shall supersede the attendance requirements described in Iowa Code section 69.15 only to the extent that statutory construction pursuant to Iowa Code chapter 4 allows.

[ARC 8850B, IAB 6/16/10, effective 7/1/10; ARC 9061B, IAB 9/8/10, effective 10/13/10; ARC 0611C, IAB 2/20/13, effective 3/27/13]

261—114.12(15) Council work groups.

114.12(1) The council shall establish work groups, both standing and temporary, to assist in the execution of responsibilities of the council and to expand the intellectual capacity of the council. Work groups shall be directed by a work group leader. Work groups shall encourage diversity of talent, the size and geographic location of businesses in the targeted industries, and invite a wider assembly of corporate and university executives, scientists, financial executives, venture investors, and experienced entrepreneurs from across the state.

114.12(2) To be eligible to serve as a work group leader, a nominee must be one of the eligible voting members of the council. The executive committee shall review and approve the formation of proposed work groups and approve proposed work group members and leaders. The chief technology officer and vice chairperson shall serve as ex officio members of all work groups established by the council.

[ARC 8850B, IAB 6/16/10, effective 7/1/10; ARC 9061B, IAB 9/8/10, effective 10/13/10; ARC 0611C, IAB 2/20/13, effective 3/27/13]

261—114.13(15) Reporting. The executive committee shall review, comment on, and formally submit any and all reports on behalf of the council. The chief technology officer is designated by the board as the signing officer for certain documents. The chief technology officer is authorized to sign correspondence, applications, reports, or other nonfinancial documents produced by the council. The chief technology officer shall serve as a key spokesperson for the council and be responsible for coordinating the communication of information requested by the authority in sufficient detail to permit the authority to prepare any reports that may be required by the authority, the board, the general assembly or the governor's office.

[ARC 8850B, IAB 6/16/10, effective 7/1/10; ARC 9061B, IAB 9/8/10, effective 10/13/10; ARC 0611C, IAB 2/20/13, effective 3/27/13]

These rules are intended to implement Iowa Code section 15.117A.

[Filed Emergency ARC 8850B, IAB 6/16/10, effective 7/1/10]

[Filed ARC 9061B (Notice ARC 8851B, IAB 6/16/10), IAB 9/8/10, effective 10/13/10]

[Filed ARC 0611C (Notice ARC 0408C, IAB 10/17/12), IAB 2/20/13, effective 3/27/13]