

## UTILITIES DIVISION[199]

Former Commerce Commission[250] renamed Utilities Division[199]  
under the “umbrella” of Commerce Department[181] by 1986 Iowa Acts, Senate File 2175, section 740.

### CHAPTER 1

#### ORGANIZATION AND OPERATION

1.1(17A,474)	Purpose
1.2(17A,474)	Scope of rules
1.3(17A,474,476)	Waivers
1.4(17A,474)	Duties of the board
1.5(17A,474)	Organization
1.6(68B)	Consent for the sale or lease of goods and services
1.7	Reserved
1.8(17A,474)	Matters applicable to all proceedings
1.9(22)	Public information and inspection of records

### CHAPTER 2

#### FORMS

2.1(17A,474)	Documents filed with the utilities board
2.2(17A,474)	Forms

### CHAPTER 3

#### RULE MAKING

3.1(17A,474)	Purpose and scope
3.2(17A,474)	Initial stakeholder input
3.3(17A,474)	Petition for adoption of rules
3.4(17A,474)	Commencement of proceedings
3.5 and 3.6	Reserved
3.7(17A,474)	Requests for oral presentation
3.8(17A,474)	Rule-making oral presentation
3.9(17A,474)	Rule-making decisions
3.10(17A,474)	Regulatory analysis
3.11(17A,474)	Review of rules

### CHAPTER 4

#### DECLARATORY ORDERS

4.1(17A)	Petition for declaratory order
4.2	Reserved
4.3(17A)	Intervention
4.4(17A)	Briefs
4.5	Reserved
4.6(17A)	Service and filing of petitions and other documents
4.7(17A)	Informal meeting
4.8	Reserved
4.9(17A)	Refusal to issue order
4.10 and 4.11	Reserved
4.12(17A)	Effect of a declaratory order

### CHAPTER 5

#### PROCEDURE FOR DETERMINING THE COMPETITIVENESS OF A COMMUNICATIONS SERVICE OR FACILITY

5.1(476)	Purpose
5.2(476)	Petition

- 5.3(476) Docketing
- 5.4(476) Comments
- 5.5(476) Formal proceeding
- 5.6(476) Decision
- 5.7(476) Extent of deregulation

CHAPTER 6  
COMPLAINT PROCEDURES

- 6.1(476) General inquiries
- 6.2(476) Informal complaint procedures
- 6.3(476) Processing the informal complaint
- 6.4(476) Proposed resolution of an informal complaint
- 6.5(476) Initiating formal complaint proceedings
- 6.6(476) Applicable procedures
- 6.7(476) Record
- 6.8(476) Special procedures for complaints alleging unauthorized changes in telecommunications services

CHAPTER 7  
PRACTICE AND PROCEDURE

- 7.1(17A,474,476) Scope and applicability
- 7.2(17A,476) Definitions
- 7.3(17A,476) Presiding officers
- 7.4(17A,474,476) General information
- 7.5(17A,476) Time requirements
- 7.6(17A,476) Telephone proceedings
- 7.7(17A,476) Electronic information
- 7.8(17A,476) Delivery of notice of hearing
- 7.9(17A,476) Pleadings and answers
- 7.10(17A,476) Prefiled testimony and exhibits
- 7.11(17A,476) Documentary evidence in books and materials
- 7.12(17A,476) Motions
- 7.13(17A,476) Intervention
- 7.14(17A,476) Consolidation and severance
- 7.15(17A,476) Discovery
- 7.16(17A,476) Subpoenas
- 7.17(17A,476) Prehearing conference
- 7.18(17A,476) Settlements
- 7.19(17A,476) Stipulations
- 7.20(17A,476) Investigations
- 7.21(17A,476) Withdrawals
- 7.22(17A,476) Ex parte communication
- 7.23(17A,476) Hearings
- 7.24(17A,476) Reopening record
- 7.25(17A,476) Interlocutory appeals
- 7.26(17A,476) Appeals to board from a proposed decision of a presiding officer
- 7.27(17A,476) Rehearing and reconsideration
- 7.28(17A,476) Stay of agency decision
- 7.29(17A,476) Emergency adjudicative proceedings

CHAPTER 8  
CIVIL PENALTIES

- 8.1(476,476A,478,479,479B) Civil penalty
- 8.2(476,476A,478,479,479B) Procedure
- 8.3(476,476A,478,479,479B) Penalties assessed
- 8.4(476,476A,478,479,479B) Payment of penalty
- 8.5(476,476A,478,479,479B) Rate-regulated utilities

CHAPTER 9  
RESTORATION OF AGRICULTURAL LANDS  
DURING AND AFTER PIPELINE CONSTRUCTION

- 9.1(479,479B) General information
- 9.2(479,479B) Filing of land restoration plans
- 9.3(479,479B) Procedure for review of plan
- 9.4(479,479B) Restoration of agricultural lands
- 9.5(479,479B) Designation of a pipeline company point of contact for landowner inquiries or claims
- 9.6(479,479B) Separate agreements
- 9.7(479,479B) Enforcement

CHAPTER 10  
INTRASTATE GAS AND UNDERGROUND GAS STORAGE

- 10.1(479) General information
- 10.2(479) Petition for permit
- 10.3(479) Informational meetings
- 10.4(479) Notice of hearing
- 10.5(479) Objections
- 10.6(479) Hearing
- 10.7(479) Pipeline permit
- 10.8(479) Renewal permits
- 10.9(479) Amendment of permits
- 10.10(479) Fees and expenses
- 10.11(479) Inspections
- 10.12(479) Standards for construction, operation and maintenance
- 10.13 Reserved
- 10.14(479) Crossings of highways, railroads, and rivers
- 10.15 Reserved
- 10.16(479) When a permit is required
- 10.17(479) Reports to federal agencies
- 10.18(479) Reportable changes to pipelines under permit
- 10.19(479) Sale or transfer of permit

CHAPTER 11  
ELECTRIC LINES

- 11.1(478) General information
- 11.2(478) Forms of petition for franchise, extension, or amendment of franchise
- 11.3(478) Additional filing instructions
- 11.4(478) Informational meetings
- 11.5(478) Notices
- 11.6(478) Common and joint use
- 11.7(478) Termination of franchise petition proceedings
- 11.8(478) Fees and expenses

CHAPTER 12  
INTERSTATE NATURAL GAS PIPELINES  
AND UNDERGROUND STORAGE

12.1(479A)	Authority
12.2(479A)	Inspections
12.3 to 12.5	Reserved
12.6(479A)	Incident reporting

CHAPTER 13  
HAZARDOUS LIQUID PIPELINES AND UNDERGROUND STORAGE

13.1(479B)	General information
13.2(479B)	Petition for permit
13.3(479B)	Informational meetings
13.4(479B)	Notice of hearing
13.5(479B)	Objections
13.6(479B)	Hearing
13.7(479B)	Pipeline permit
13.8(479B)	Renewal permits
13.9(479B)	Amendment of permits
13.10(479B)	Fees and expenses
13.11	Reserved
13.12(479B)	Land restoration
13.13	Reserved
13.14(479B)	Crossings of highways, railroads, and rivers
13.15 to 13.17	Reserved
13.18(479B)	Reportable changes to pipelines under permit
13.19(479B)	Sale or transfer of permit

CHAPTER 14  
ELECTRONIC FILING

14.1(17A,476)	Purpose
14.2(17A,476)	Scope and applicability of electronic filing requirement
14.3(17A,476)	Definitions
14.4(17A,476)	Exceptions; number of paper copies required
14.5(17A,476)	Electronic filing procedures and required formats
14.6(17A,476)	Registration
14.7(17A,476)	Electronic file
14.8(17A,476)	Paper copies required
14.9(17A,476)	When electronic filings can be made; official filing date
14.10(17A,476)	Notice of system unavailability
14.11(17A,476)	Technical difficulties
14.12(17A,476)	Documents containing confidential material
14.13(17A,476)	Signatures
14.14(17A,476)	Original documents
14.15(17A,476)	Transcripts
14.16(17A,476)	Electronic service

*UTILITIES AND  
TRANSPORTATION DIVISIONS*

CHAPTER 15  
COGENERATION AND SMALL POWER PRODUCTION

15.1(476)	Definitions
15.2(476)	Scope

15.3(476)	Information to board
15.4(476)	Rate-regulated electric utility obligations under this chapter regarding qualifying facilities
15.5(476)	Rates for purchases from qualifying facilities by rate-regulated electric utilities
15.6(476)	Rates for sales to qualifying facilities and AEP facilities by rate-regulated utilities
15.7(476)	Additional services to be provided to qualifying facilities and AEP facilities by rate-regulated electric utilities
15.8(476)	Interconnection costs
15.9(476)	System emergencies
15.10(476)	Standards for interconnection, safety, and operating reliability
15.11(476)	Additional rate-regulated utility obligations regarding AEP facilities
15.12 to 15.16	Reserved
15.17(476)	Alternate energy purchase programs
15.18(476B)	Certification of eligibility for wind energy tax credits under Iowa Code chapter 476B
15.19(476C)	Certification of eligibility for wind energy and renewable energy tax credits under Iowa Code chapter 476C
15.20(476B)	Applications for wind energy tax credits under Iowa Code chapter 476B
15.21(476C)	Applications for renewable energy tax credits under Iowa Code chapter 476C
15.22(476)	Small wind innovation zones

#### CHAPTER 16 ACCOUNTING

16.1(476)	Accounting—general information
16.2(476)	Uniform systems of accounts—electric
16.3(476)	Uniform systems of accounts—gas
16.4(476)	Uniform systems of accounts—water
16.5(476)	Uniform systems of accounts—telephone
16.6	Reserved
16.7(476)	Filing of promotional practices
16.8(476)	Compiling advertisements and expenses
16.9(476)	Postemployment benefits other than pensions

#### CHAPTER 17 ASSESSMENTS

17.1(475A,476,546)	Purpose
17.2(475A,476)	Definitions
17.3(476)	Expenses to be included in direct assessments
17.4(476)	Direct assessments under Iowa Code section 476.10
17.5(476)	Reporting of operating revenues
17.6(475A,476)	Compilation and billing of assessment
17.7(476)	Funding of Iowa energy center and center for global and regional environmental research
17.8(476)	Assessments under Iowa Code section 476.95B
17.9(477C)	Assessments of expenses for dual party relay service program and equipment distribution program
17.10(475A,476)	Objection procedures
17.11(476,477C)	Refunds

#### CHAPTER 18 UTILITY RECORDS

18.1(476)	Definitions
18.2(476)	Location of records

18.3(476)	Availability of records
18.4(476)	Electric utilities other than rural electric cooperatives
18.5(476)	Rural electric cooperatives
18.6(476)	Gas utilities
18.7(476)	Water utilities

## CHAPTER 19

## SERVICE SUPPLIED BY GAS UTILITIES

19.1(476)	General information
19.2(476)	Records, reports, and tariffs
19.3(476)	General service requirements
19.4(476)	Customer relations
19.5(476)	Engineering practice
19.6(476)	Metering
19.7(476)	Standards of quality of service
19.8(476)	Safety
19.9	Reserved
19.10(476)	Purchased gas adjustment (PGA)
19.11(476)	Periodic review of gas procurement practices
19.12(476)	Flexible rates
19.13(476)	Transportation service
19.14(476)	Certification of competitive natural gas providers and aggregators
19.15(476)	Customer contribution fund
19.16(476)	Reserve margin
19.17(476)	Incident notification and reports
19.18(476)	Capital infrastructure investment automatic adjustment mechanism

## CHAPTER 20

## SERVICE SUPPLIED BY ELECTRIC UTILITIES

20.1(476)	General information
20.2(476)	Records, reports, and tariffs
20.3(476)	General service requirements
20.4(476)	Customer relations
20.5(476)	Engineering practice
20.6(476)	Metering
20.7(476)	Standards of quality of service
20.8(476)	Safety
20.9(476)	Electric energy sliding scale or automatic adjustment
20.10(476)	Ratemaking standards
20.11(476)	Customer notification of peaks in electric energy demand
20.12	Reserved
20.13(476)	Periodic electric energy supply and cost review [476.6(16)]
20.14(476)	Flexible rates
20.15(476)	Customer contribution fund
20.16	Reserved
20.17(476)	Ratemaking treatment of emission allowances
20.18(476,478)	Service reliability requirements for electric utilities
20.19(476,478)	Notification of outages
20.20(476)	Electric vehicle charging service

## CHAPTER 21

## SERVICE SUPPLIED BY WATER UTILITIES

21.1(476)	Application of rules
21.2(476)	Records and reports
21.3(476)	General service requirements
21.4(476)	Customer relations
21.5(476)	Engineering practice
21.6(476)	Meter testing
21.7(476)	Standards of quality of service
21.8(476)	Applications for water costs for fire protection services
21.9(476)	Incident reports

## CHAPTER 22

## SERVICE SUPPLIED BY TELEPHONE UTILITIES

22.1(476)	General information
22.2(476)	Records and reports
22.3(476)	General service requirements
22.4(476)	Customer relations
22.5	Reserved
22.6(476)	Standards of quality of service
22.7(476)	Protective measures
22.8 to 22.11	Reserved
22.12(476)	Content of wholesale tariff filings proposing rate changes
22.13	Reserved
22.14(476)	Intrastate access charge application, tariff procedures, and rates
22.15(476)	Interexchange utility service and access
22.16(476)	Discontinuance of service
22.17(476)	Resale of service
22.18	Reserved
22.19(476)	Alternative operator services
22.20(476)	Service territories
22.21 and 22.22	Reserved
22.23(476)	Unauthorized changes in telephone service
22.24(476)	Applications for numbering resources

## CHAPTER 23

## ANNUAL REPORT

23.1(476)	General information
23.2(476)	Annual report requirements

## CHAPTER 24

LOCATION AND CONSTRUCTION OF ELECTRIC POWER  
GENERATING FACILITIES

24.1(476A)	Authority, purpose, and policy
24.2(476A)	Definitions
24.3(476A)	Form of application, place of filing
24.4(476A)	Application for a certificate—contents
24.5(476A)	Initial board review: Application acceptance
24.6(476A)	Procedural schedule
24.7(476A)	Informational meeting
24.8(476A)	Hearing procedure
24.9(476A)	Separate hearings on separate issues
24.10(476A)	Certification decision

- 24.11(476A) Site preparation
- 24.12(476A) Issuance of a certificate
- 24.13(476A) Exemptions from certification application; application for amendment for certificate: Contents
- 24.14(476A) Assessment of costs
- 24.15(476A) Waiver

## CHAPTER 25

## IOWA ELECTRICAL SAFETY CODE

- 25.1(476,476A,478) General information
- 25.2(476,476A,478) Iowa electrical safety code defined
- 25.3(476,478) Inspection and maintenance plans
- 25.4(476,478) Correction of problems found during inspections and pole attachment procedures
- 25.5(476,478) Accident reports

## CHAPTER 26

## RATE CASES, TARIFFS, AND

## RATE REGULATION ELECTION PRACTICE AND PROCEDURE

- 26.1(17A,476) Scope and applicability
- 26.2(17A,476) Defective filings
- 26.3(17A,476) Proposal of settlements
- 26.4(476) Rate case expense
- 26.5(476) Applications and petitions
- 26.6(476) Answers
- 26.7(476) Rate investigation
- 26.8(476) Procedural schedule in Iowa Code sections 476.3 and 476.6 proceedings
- 26.9(476) Consumer comment hearing in docketed rate case of an investor-owned utility company
- 26.10(476) Appeal from administrative law judge's decision
- 26.11(476) Consideration of current information in rate regulatory proceedings
- 26.12(476) Rate regulation election—electric cooperative corporations and associations

## CHAPTERS 27 and 28

Reserved

## CHAPTER 29

## MANAGEMENT EFFICIENCY EVALUATION

- 29.1(476) Policy and purpose
- 29.2(476) Efficiency considered in a complaint or rate case proceeding
- 29.3(476) Management efficiency evaluation
- 29.4(476) Rewards and penalties

## CHAPTER 30

## RENEWABLE ENERGY PERCENTAGE VERIFICATION

- 30.1(476) General information
- 30.2(476) Process for verification
- 30.3(476) Reasonableness and prudence of REC retirement
- 30.4(476) Renewable energy claims

## CHAPTER 31

ACCESS TO AFFILIATE RECORDS, REQUIREMENTS FOR ANNUAL FILINGS,  
AND ASSET AND SERVICE TRANSFERS

- 31.1(476) Applicability and definition of terms
- 31.2(476) Availability of records



- 31.3(476) Annual filing
- 31.4 Reserved
- 31.5(476) Verified copies and confidential treatment
- 31.6(476) Comparable information
- 31.7(476) Standards for costing service transfers between regulated operations and nonregulated affiliates
- 31.8(476) Standards for costing asset transfers between regulated operations and non-regulated affiliates valued at less than \$2 million
- 31.9(476) Waivers

#### CHAPTER 32 REORGANIZATION

- 32.1(476) Applicability and definition of terms
- 32.2(476) Substantial part of a public utility's assets
- 32.3(476) Declaratory orders
- 32.4(476) Proposal for reorganization—filing requirements
- 32.5(476) Effective date
- 32.6(476) Insufficient filing
- 32.7(476) Additional information authorized
- 32.8(476) Waivers
- 32.9(476) Procedural matters
- 32.10(388) Approval of appraiser for municipal utilities

#### CHAPTER 33 NONUTILITY ACTIVITIES—RECORD KEEPING AND COST ALLOCATIONS

- 33.1(476) Applicability
- 33.2(476) Definitions
- 33.3(476) Availability of records
- 33.4(476) Costing methodology
- 33.5(476) Cost allocation manuals
- 33.6(476) Standards for costing service transfers within a regulated subsidiary or utility
- 33.7(476) Standards for costing asset transfers within a regulated subsidiary or utility

#### CHAPTER 34 NONUTILITY SERVICE

- 34.1(476) Statement of purpose
- 34.2(476) Definition—nonutility service
- 34.3(476) Definition—systematic marketing effort
- 34.4(476) Engaged primarily in providing the same competitive nonutility services in the area—defined
- 34.5(476) Charges permitted
- 34.6(476) Procedures for utilization of billing and collection system
- 34.7(476) Complaints

#### CHAPTER 35 ENERGY EFFICIENCY AND DEMAND RESPONSE PLANNING AND REPORTING FOR NATURAL GAS AND ELECTRIC UTILITIES REQUIRED TO BE RATE-REGULATED

- 35.1(476) Authority and purpose
- 35.2(476) Definitions
- 35.3(476) Energy efficiency and demand response plan filing
- 35.4(476) Assessment of potential and collaboration
- 35.5(476) Energy efficiency and demand response plan requirements

35.6(476)	Contested case proceeding
35.7(476)	Exemptions from participation
35.8(476)	Annual reporting requirements
35.9(476)	Energy efficiency and demand response cost recovery
35.10(476)	Modification of an approved plan
35.11(476)	Prudence review
35.12(476)	New structure energy conservation standards

## CHAPTER 36

Reserved

## CHAPTER 37

## EQUIPMENT DISTRIBUTION PROGRAM

37.1(477C)	Purpose
37.2(477C)	Program structure
37.3(477C)	Equipment
37.4(477C)	Application process and eligibility
37.5(477C)	Voucher system
37.6(477C)	Complaints

## CHAPTER 38

## LOCAL EXCHANGE COMPETITION

38.1(476)	General information
38.2	Reserved
38.3(476)	Interconnection requirements
38.4(476)	Unbundled facilities, services, features, functions, and capabilities
38.5(476)	Cost standards
38.6(476)	Terminating access charge complaints
38.7(476)	Mediation and arbitration

## CHAPTER 39

## UNIVERSAL SERVICE

39.1(476)	Authority and purpose
39.2(476)	Definition of terms
39.3(476)	Applying for designation as an eligible telecommunications carrier
39.4(476)	Lifeline-only applicants
39.5(476)	Service area
39.6(476)	Universal service support for low-income consumers (Lifeline program and Tribal Link Up program)
39.7(476)	Schedule of filings
39.8(476)	Relinquishment of ETC designation

## CHAPTER 40

## COMPETITIVE BIDDING PROCESS

40.1(476)	General information
40.2(476)	Competitive resource acquisition procedure
40.3(476)	Utility-build or lease cost estimates
40.4(476)	Utility affiliate bids
40.5(476)	Request for proposals (RFP)
40.6(476)	Complaints

## CHAPTER 41

Reserved

CHAPTER 42  
CROSSING OF RAILROAD RIGHTS-OF-WAY

42.1(476)	Definitions
42.2(476)	Applicability and purpose
42.3(476)	General notice and specification exhibit requirements and payment of fee
42.4(476)	Emergency notice and repairs
42.5(476)	Relocation of public utility facilities
42.6(476)	Engineering standards for electric and communications lines
42.7(476)	Engineering standards for pipelines
42.8(476)	Liability
42.9(476)	Insurance
42.10(476)	Removal of equipment
42.11(476)	Assignment
42.12(476)	Prohibition against mechanic's liens
42.13(476)	Taxes
42.14(476)	Protection of signal systems
42.15(476)	Safety regulations
42.16(476)	Recording
42.17(17A,476)	Complaints and petitions for relief—general information
42.18(17A,476)	Filing of complaint or petition
42.19(17A,476)	Presiding officer
42.20(17A,476)	Answer
42.21(17A,476)	Parties and appearances
42.22(17A,476)	Procedural order and notice of hearing
42.23(17A,476)	Discovery
42.24(17A,476)	Hearing procedures
42.25(17A,476)	Decision

CHAPTER 43  
Reserved

CHAPTER 44  
CERTIFICATES OF FRANCHISE AUTHORITY FOR  
CABLE AND VIDEO SERVICE

44.1(17A,476,477A)	Authority and purpose
44.2(17A,476,477A)	Definitions
44.3(17A,476,477A)	Certificate of franchise authority
44.4(17A,476,477A)	Notice to municipality and incumbent cable provider
44.5(17A,476,477A)	Conversion of municipal franchise by incumbent cable provider
44.6(17A,476,477A)	Revocation of certificates, termination of service, reinstatement of previously terminated municipal franchises
44.7(17A,476,477A)	Renewal of certificate of franchise authority
44.8(17A,476,477A)	Assessment of board costs

CHAPTER 45  
ELECTRIC INTERCONNECTION OF DISTRIBUTED GENERATION FACILITIES

45.1(476)	Definitions
45.2(476)	Scope
45.3(476)	Technical standards
45.4(476)	Interconnection requests
45.5(476)	General requirements
45.6(476)	Lab-certified equipment
45.7(476)	Determining the review level

45.8(476)	Level 1 expedited review
45.9(476)	Level 2 expedited review
45.10(476)	Level 3 expedited review
45.11(476)	Level 4 review
45.12(476)	Disputes
45.13(476)	Records and reports