UTILITIES DIVISION[199] Former Commerce Commission[250] renamed Utilities Division[199] under the "umbrella" of Commerce Department[181] by 1986 Iowa Acts, Senate File 2175, section 740.

CHAPTER 1

ORGANIZATION AND OPERATION

1.1(17A,474)	Purpose
1.2(17A,474)	Scope of rules
1.3(17A,474,476)	Waivers
1.4(17A,474)	Duties of the board
1.5(17A,474)	Organization
1.6(68B)	Consent for the sale or lease of goods and services
1.7	Reserved
1.8(17A,474)	Matters applicable to all proceedings
1.9(22)	Public information and inspection of records

CHAPTER 2

Reserved

CHAPTER 3

RULEMAKING

Purpose and scope
Initial stakeholder input
Petition for adoption of rules
Commencement of proceedings
Rulemaking oral presentation
Review of rules

CHAPTER 4

DECLARATORY ORDERS

- 4.1(17A) Petition for declaratory order
- Intervention 4.2(17A)
- 4.3(17A) Briefs
- 4.4(17A) Service and filing of petitions
- 4.5(17A) Informal meeting
- 4.6(17A) Refusal to issue order
- 4.7(17A) Effect of a declaratory order

CHAPTER 5

Reserved

CHAPTER 6

COMPLAINT PROCEDURES

- 6.1(476) General inquiries
- 6.2(476) Informal complaint procedures
- Processing the informal complaint 6.3(476)
- Proposed resolution of an informal complaint 6.4(476)
- 6.5(476) Initiating formal complaint proceedings
- 6.6(476) Applicable procedures
- 6.7(476) Record
- 6.8(476) Special procedures for complaints alleging unauthorized changes in telecommunications services

CHAPTER 7 RACTICE AND PROCEDUR

	PRACTICE AND PROCEDURE	
7.1(17A,474,476)	Scope and applicability	
7.2(17A,476)	Definitions	
7.3(17A,476)	Presiding officers	
7.4(17A,474,476)	General information	
7.5(17A,476)	Time requirements	
7.6(17A,476)	Electronic proceedings	
7.7(17A,476)	Electronic information	
7.8(17A,476)	Delivery of notice of hearing	
7.9(17A,476)	Pleadings and answers	
7.10(17A,476)	Prefiled testimony and exhibits	
7.11(17A,476)	Documentary evidence in books and materials	
7.12(17A,476)	Motions	
7.13(17A,476)	Intervention	
7.14(17A,476)	Consolidation and severance	
7.15(17A,476)	Discovery	
7.16(17A,476)	Subpoenas	
7.17(17A,476)	Prehearing or scheduling conference	
7.18(17A,476)	Settlements	
7.19(17A,476)	Stipulations	
7.20(17A,476)	Investigations	
7.21(17A,476)	Withdrawals	
7.22(17A,476)	Ex parte communication	
7.23(17A,476)	Hearings	
7.24(17A,476)	Reopening record	
7.25(17A,476)	Interlocutory appeals	
7.26(17A,476)	Appeals to board from a proposed decision of a presiding officer	
7.27(17A,476)	Rehearing and reconsideration	
7.28(17A,476)	Stay of agency decision	
7.29(17A,476)	Emergency adjudicative proceedings	

CHAPTER 8

CIVIL PENALTIES

- 8.1(476,476A,478,479,479B) Civil penalty
- 8.2(476,476A,478,479,479B) Procedure
- 8.3(476,476A,478,479,479B) Penalties assessed
- 8.4(476,476A,478,479,479B) Payment of penalty
- 8.5(476,476A,478,479,479B) Rate-regulated utilities

CHAPTER 9

RESTORATION OF AGRICULTURAL LANDS DURING AND AFTER PIPELINE CONSTRUCTION

- 9.1(479,479B) General information
- 9.2(479,479B) Filing of land restoration plans
- 9.3(479,479B) Procedure for review of plan
- 9.4(479,479B) Staking and clearing of agricultural land
- 9.5(479,479B) Restoration of agricultural lands
- 9.6(479,479B) Designation of a pipeline company point of contact for landowner inquiries or claims
- 9.7(479,479B) Separate agreements
- 9.8(479,479B) Notice of violation and halting construction

9.9(479,479B)	Enforcement
9.10(479,479B)	Project completion
9.11(479,479B)	Document submittal

INTRASTATE GAS PIPELINES AND UNDERGROUND GAS STORAGE

- 10.1(479) General information
- 10.2(479) Informational meetings
- 10.3(479) Petition for permit
- 10.4(479) Notice of hearing
- 10.5(479) Objections
- 10.6(479) Hearing
- 10.7(479) Pipeline permit
- 10.8(479) Renewal permits
- 10.9(479) Amendment of permits
- 10.10(479) Fees and expenses
- 10.11(479) Inspections
- 10.12(479) Standards for construction, operation and maintenance
- 10.13(479) Crossings of highways, railroads, and rivers
- 10.14(479) Transmission line factors
- 10.15(479) Reports to federal agencies
- 10.16(479) Reportable changes to pipelines under permit
- 10.17(479) Sale or transfer of permit
- 10.18(479) Termination of petition for pipeline permit proceedings
- 10.19(479) Gathering line filing requirements

CHAPTER 11

ELECTRIC LINES

- 11.1(478) General information
- 11.2(478) Definitions
- 11.3(478) Route selection
- 11.4(478) Informational meetings
- 11.5(478) Petition for a new franchise
- 11.6(478) Petition for an amendment to a franchise
- 11.7(478) Petition for the abbreviated franchise process
- 11.8(478) Petition for extension of franchise
- 11.9(478) Additional requirements
- 11.10(478) Notices
- 11.11(478) Common and joint use
- 11.12(478) Termination of franchise petition proceedings
- 11.13(478) Fees and expenses
- 11.14(478) Federally registered planning authority transmission projects

CHAPTER 12

Reserved

CHAPTER 13

HAZARDOUS LIQUID PIPELINES AND UNDERGROUND STORAGE

- 13.1(479B) General information
- 13.2(479B) Informational meetings
- 13.3(479B) Petition for permit
- 13.4(479B) Notice of hearing
- 13.5(479B) Objections

13.6(479B)	Hearing
13.7(479B)	Pipeline permit
13.8(479B)	Renewal permits
13.9(479B)	Amendment of permits
13.10(479B)	Fees and expenses
13.11(479B)	Land restoration
13.12(479B)	Crossings of highways, railroads, and rivers
13.13(479B)	Reportable changes to pipelines under permit
13.14(479B)	Sale or transfer of permit
13.15(479B)	Reports to federal agencies
13.16(479B)	Termination of petition for pipeline permit proceedings

ELECTRONIC FILING

14.1(17A,476)	Purpose
14.2(17A,476)	Scope and applicability of electronic filing requirement
14.3(17A,476)	Definitions
14.4(17A,476)	Exceptions; number of paper copies required
14.5(17A,476)	Electronic filing procedures and required formats
14.6(17A,476)	Registration
14.7(17A,476)	Electronic file
14.8(17A,476)	Paper copies required
14.9(17A,476)	When electronic filings can be made; official filing date
14.10(17A,476)	Notice of system unavailability
14.11(17A,476)	Technical difficulties
14.12(17A,476)	Documents containing confidential material
14.13(17A,476)	Signatures
14.14(17A,476)	Original documents
14.15(17A,476)	Transcripts
14.16(17A,476)	Electronic service

UTILITIES AND TRANSPORTATION DIVISIONS

CHAPTER 15

COGENERATION AND SMALL POWER PRODUCTION

15.1(476)	Definitions
15.2(476)	Scope
15.3(476)	Information to board
15.4(476)	Rate-regulated electric utility obligations under this chapter regarding qualifying facilities
15.5(476)	Rates for purchases from qualifying facilities by rate-regulated electric utilities
15.6(476)	Rates for sales to qualifying facilities and AEP facilities by rate-regulated utilities
15.7(476)	Additional services to be provided to qualifying facilities and AEP facilities by rate-regulated electric utilities
15.8(476)	Interconnection costs
15.9(476)	System emergencies
15.10(476)	Standards for interconnection, safety, and operating reliability
15.11(476)	Additional rate-regulated utility obligations regarding AEP facilities
15.12 to 15.16	Reserved
15.17(476)	Alternate energy purchase programs
15.18(476B)	Certification of eligibility for wind energy tax credits under Iowa Code chapter 476B

15.19(476C)	Certification of eligibility for wind energy and renewable energy tax credits under
	Iowa Code chapter 476C
15.20(476B)	Applications for wind energy tax credits under Iowa Code chapter 476B

15.21(476C) Applications for renewable energy tax credits under Iowa Code chapter 476C

15.22(476) Small wind innovation zones

CHAPTER 16

ACCOUNTING

- 16.1(476)Accounting—general information16.2(476)Uniform systems of accounts—electric
- 16.3(476) Uniform systems of accounts—gas
- 16.4(476) Uniform systems of accounts—water
- 16.5(476) Uniform systems of accounts—telephone
- 16.6 Reserved
- 16.7(476) Filing of promotional practices
- 16.8(476) Compiling advertisements and expenses
- 16.9(476) Postemployment benefits other than pensions

CHAPTER 17

ASSESSMENTS

17.1(475A,476,546	5) Purpose
17.2(475A,476)	Definitions
17.3(476)	Expenses to be included in direct assessments
17.4(476)	Direct assessments under Iowa Code section 476.10
17.5(476)	Reporting of operating revenues
17.6(475A,476)	Compilation and billing of assessment
17.7(476)	Funding of Iowa energy center and center for global and regional environmental research
17.8(476)	Assessments under Iowa Code section 476.95B
17.9(477C)	Assessments of expenses for dual party relay service program and equipment distribution program
17.10(475A,476)	Objection procedures
17.11(476,477C)	Refunds

CHAPTER 18

UTILITY RECORDS

18.1(476)	Definitions	
18.1(476)	Definitions	

- 18.2(476) Location of records
- 18.3(476) Availability of records
- 18.4(476) Electric utilities other than rural electric cooperatives
- 18.5(476) Rural electric cooperatives
- 18.6(476) Gas utilities
- 18.7(476) Water, sanitary sewage, and storm water drainage utilities

CHAPTER 19

SERVICE SUPPLIED BY GAS UTILITIES

- 19.1(476) General information
- 19.2(476) Records, reports, and tariffs
- 19.3(476) General service requirements
- 19.4(476) Customer relations
- 19.5(476) Engineering practice
- 19.6(476) Metering
- 19.7(476) Standards of quality of service

19.8(476)	Safety
19.9	Reserved

- 10.10(476) Durphagad ang adjust
- 19.10(476) Purchased gas adjustment (PGA)
- 19.11(476)Periodic review of gas procurement practices19.12(476)File 311
- 19.12(476)Flexible rates
- 19.13(476)Transportation service
- 19.14(476) Certification of competitive natural gas providers and aggregators
- 19.15(476) Customer contribution fund
- 19.16(476) Reserve margin
- 19.17(476) Incident notification and reports
- 19.18(476) Capital infrastructure investment automatic adjustment mechanism

SERVICE SUPPLIED BY RATE-REGULATED ELECTRIC UTILITIES

- 20.2(476) Records, reports, and tariffs
- 20.3(476) General service requirements
- 20.4(476) Customer relations
- 20.5(476) Engineering practice
- 20.6(476) Metering
- 20.7(476) Standards of quality of service
- 20.8(476) Safety
- 20.9(476) Electric energy automatic adjustment
- 20.10(476) Ratemaking standards
- 20.11(476) Customer notification of peaks in electric energy demand
- 20.12 Reserved
- 20.13(476) Periodic electric energy supply and cost review [476.6(16)]
- 20.14(476) Flexible rates
- 20.15(476) Customer contribution fund
- 20.16(476) Exterior flood lighting
- 20.17(476) Ratemaking treatment of emission allowances
- 20.18(476,478) Service reliability requirements for electric utilities
- 20.19(476,478) Notification of outages
- 20.20(476) Electric vehicle charging service
- 20.21(476) Transmission cost adjustment (TCA)

CHAPTER 21

SERVICE SUPPLIED BY WATER, SANITARY SEWAGE, AND STORM WATER DRAINAGE UTILITIES

DIVISION I

GENERAL PROVISIONS

- 21.1(476) Application of rules
- 21.2(476) Records and reports for water, sanitary sewage, and storm water drainage utilities

DIVISION II WATER UTILITIES

- 21.3(476) General water service requirements
- 21.4(476) Customer relations for water service
- 21.5(476) Engineering practice for water service
- 21.6(476) Meter testing for water service
- 21.7(476) Standards of quality of water service
- 21.8(476) Applications for water costs for fire protection services
- 21.9(476) Incident reports regarding water service

21.10(476)	Separate books for acquired water service assets
	DIVISION III SANITARY SEWAGE UTILITIES
21.11(476)	General sanitary sewage disposal service requirements
21.12(476)	Customer relations for sanitary sewage disposal service
21.13(476)	Engineering practice for sanitary sewage disposal service
21.14(476)	Meter testing for sanitary sewage disposal service
21.15(476)	Standards of quality of sanitary sewage disposal service
21.16(476)	Incident reports regarding sanitary sewage disposal service
21.17(476)	Separate books for acquired sanitary sewage disposal service assets
	DIVISION IV

STORM WATER DRAINAGE UTILITIES

- 21.18(476) Standards of quality of storm water drainage service Customer relations for storm water drainage service 21.19(476)
- Incident reports regarding storm water drainage service
- 21.20(476)
- 21.21(476) Separate books for acquired storm water drainage service assets

CHAPTER 22

REGULATION OF TELECOMMUNICATIONS SERVICE

- General information 22.1(476)
- Tariffs 22.2(476)
- 22.3(476) Customer complaints
- 22.4(476) Intrastate access charge application, tariff procedures, and rates
- 22.5(476) Interexchange telecommunications service provider service and access
- Alternative operator services 22.6(476)
- Service territories 22.7(476)
- 22.8(476) Registration of telecommunications service providers
- 22.9(476) Unauthorized changes in telecommunications service

CHAPTER 23

ANNUAL REPORT

- 23.1(476) General information
- 23.2(476) Annual report requirements

CHAPTER 24

LOCATION AND CONSTRUCTION OF ELECTRIC POWER **GENERATING FACILITIES**

- 24.1(476A) Authority, purpose, and policy
- 24.2(476A) Definitions
- 24.3(476A) Form of application, place of filing
- Application for a certificate—contents 24.4(476A)
- Initial board review: Application acceptance 24.5(476A)
- 24.6(476A) Procedural schedule
- 24.7(476A) Informational meeting
- 24.8(476A) Hearing procedure
- 24.9(476A) Separate hearings on separate issues
- 24.10(476A) Certification decision
- 24.11(476A) Site preparation
- Issuance of a certificate 24.12(476A)
- Exemptions from certification application; application for amendment for 24.13(476A) certificate: Contents
- Assessment of costs 24.14(476A)
- 24.15(476A) Waiver

IOWA ELECTRICAL SAFETY CODE

- 25.2(476,476A,478) Iowa electrical safety code defined
- 25.3(476,478) Inspection and maintenance plans
- 25.4(476,478) Correction of problems found during inspections and pole attachment procedures
- 25.5(476,478) Accident reports

CHAPTER 26

RATE CASES, TARIFFS, AND RATE REGULATION ELECTION PRACTICE AND PROCEDURE

26.1(17A,476) Scope 26.2(17A,476) Definitions 26.3(17A,476) Tariffs required 26.4(17A,476) General rate increase applications filed pursuant to Iowa Code section 476.6 Compliance filings and tariffs 26.5(17A,476) 26.6(17A,476) Subsequent proceeding in rate case proceedings based upon a future test year 26.7(476) Rate case expense 26.8(476) Procedural schedule in Iowa Code section 476.6 proceedings Consumer comment meetings in Iowa Code section 476.6 general rate case 26.9(17A,476) proceedings Switching from a future test year to a historic test year 26.10(476) 26.11(476) Rate proceedings for small utilities 26.12(17A,476) Applications pursuant to Iowa Code section 476.6 that are not general rate increase applications Rate investigation pursuant to Iowa Code section 476.3 26.13(17A,476) 26.14(17A,476) Applications pursuant to Iowa Code section 476.7 26.15(17A,476) Proposal of settlements 26.16(476) Rate regulation electric cooperative corporations and associations

CHAPTER 27

REGULATION OF ELECTRIC COOPERATIVES AND MUNICIPAL ELECTRIC UTILITIES UNDER IOWA CODE CHAPTER 476

- 27.1(476) General information
- 27.2(476) Assigned area of service and maps
- 27.3(476) Customer relations
- 27.4(476) Disconnection of service
- 27.5(476) Engineering practice
- 27.6(476) Metering
- 27.7(476) Standards of quality of service
- 27.8(476) Safety
- 27.9(476) Customer contribution fund
- 27.10(476,478) Service reliability requirements for electric utilities
- 27.11(476,478) Notification of outages
- 27.12(476) Electric vehicle charging service
- 27.13(476) Exterior flood lighting

CHAPTER 28

Reserved

CHAPTER 29

MANAGEMENT EFFICIENCY EVALUATION

- 29.1(476) Policy and purpose
- 29.2(476) Efficiency considered in a complaint or rate case proceeding

- 29.3(476) Management efficiency evaluation
- 29.4(476) Rewards and penalties

RENEWABLE ENERGY PERCENTAGE VERIFICATION

- 30.1(476) General information
- 30.2(476) Process for verification
- 30.3(476) Reasonableness and prudence of REC retirement
- 30.4(476) Renewable energy claims

CHAPTER 31

ACCESS TO AFFILIATE RECORDS, REQUIREMENTS FOR ANNUAL FILINGS, AND ASSET AND SERVICE TRANSFERS

- 31.1(476) Applicability and definition of terms
- 31.2(476) Availability of records
- 31.3(476) Annual filing
- 31.4 Reserved
- 31.5(476) Verified copies and confidential treatment
- 31.6(476) Comparable information
- 31.7(476) Standards for costing service transfers between regulated operations and nonregulated affiliates
- 31.8(476) Standards for costing asset transfers between regulated operations and non-regulated affiliates valued at less than \$2 million
- 31.9(476) Waivers

CHAPTER 32

REORGANIZATION

- 32.1(476) Applicability and definition of terms
- 32.2(476) Substantial part of a public utility's assets
- 32.3(476) Declaratory orders
- 32.4(476) Proposal for reorganization—filing requirements
- 32.5(476) Effective date
- 32.6(476) Insufficient filing
- 32.7(476) Additional information authorized
- 32.8(476) Waivers
- 32.9(476) Procedural matters
- 32.10(388) Approval of appraiser for municipal utilities

CHAPTER 33

NONUTILITY SERVICES—RECORDKEEPING

AND COST ALLOCATIONS

- 33.1(476)Applicability
- 33.2(476)Definitions
- 33.3(476)Availability of records
- 33.4(476) Costing methodology
- 33.5(476) Cost allocation manuals
- 33.6(476) Standards for costing service transfers within a regulated subsidiary or utility
- 33.7(476) Standards for costing asset transfers within a regulated subsidiary or utility

CHAPTER 34

NONUTILITY SERVICE

- 34.1(476) Statement of purpose
- 34.2(476) Definitions

34.3(476)	Charges	permitted
0		p • • • • • • • • •

34.4(476) Procedures for utilization of billing and collection system

CHAPTER 35

ENERGY EFFICIENCY AND DEMAND RESPONSE PLANNING AND REPORTING FOR

NATURAL GAS AND ELECTRIC UTILITIES REQUIRED TO BE RATE-REGULATED

- 35.1(476)Authority and purpose
- 35.2(476) Definitions
- 35.3(476) Energy efficiency and demand response plan filing
- 35.4(476) Assessment of potential and collaboration
- 35.5(476) Energy efficiency and demand response plan requirements
- 35.6(476) Contested case proceeding
- 35.7(476) Exemptions from participation
- 35.8(476) Annual reporting requirements
- 35.9(476) Energy efficiency and demand response cost recovery
- 35.10(476) Modification of an approved plan
- 35.11(476) Prudence review
- 35.12(476) New structure energy conservation standards

CHAPTER 36

Reserved

CHAPTER 37

EQUIPMENT DISTRIBUTION PROGRAM

- 37.1(477C) Purpose
- 37.2(477C) Program structure
- 37.3(477C) Equipment
- 37.4(477C) Application process and eligibility
- 37.5(477C) Voucher system
- 37.6(477C) Complaints

CHAPTER 38

LOCAL EXCHANGE COMPETITION

- 38.1(476) General information
- 38.2 Reserved
- 38.3(476) Interconnection requirements
- 38.4(476) Unbundled facilities, services, features, functions, and capabilities
- 38.5(476) Cost standards
- 38.6(476) Terminating access charge complaints
- 38.7(476) Mediation and arbitration

CHAPTER 39

UNIVERSAL SERVICE

- 39.1(476) Authority and purpose
- 39.2(476) Definition of terms
- 39.3(476) Applying for designation as an eligible telecommunications carrier
- 39.4(476) Lifeline-only applicants
- 39.5(476) Service area
- 39.6(476) Universal service support for low-income consumers (Lifeline program and Tribal Link Up program)
- 39.7(476) Schedule of filings
- 39.8(476) Relinquishment of ETC designation

CHAPTER 40 COMPETITIVE BIDDING PROCESS

- 40.1(476) General information
- 40.2(476) Competitive resource acquisition procedure
- 40.3(476) Utility-build or lease cost estimates
- 40.4(476) Utility affiliate bids
- 40.5(476) Request for proposals (RFP)
- 40.6(476) Complaints

CHAPTER 41

RATEMAKING PRINCIPLES PROCEEDING

- 41.1(476) Definitions
- 41.2(476) Applicability and purpose
- 41.3(476) Application for predetermined ratemaking principles; contents
- 41.4(476) Coincident filing
- 41.5(476) Acquisition of a water, sanitary sewage, or storm water utility
- 41.6(476) Waiver

CHAPTER 42

CROSSING OF RAILROAD RIGHTS-OF-WAY

- 42.1(476) Definitions
- 42.2(476) Applicability and purpose
- 42.3(476) General notice and specification exhibit requirements and payment of fee
- 42.4(476) Emergency notice and repairs
- 42.5(476) Relocation of public utility facilities
- 42.6(476) Engineering standards for electric and communications lines
- 42.7(476) Engineering standards for pipelines
- 42.8(476) Liability
- 42.9(476) Insurance
- 42.10(476) Removal of equipment
- 42.11(476) Assignment
- 42.12(476) Prohibition against mechanic's liens
- 42.13(476) Taxes
- 42.14(476) Protection of signal systems
- 42.15(476) Safety regulations
- 42.16(476) Recording
- 42.17(17A,476) Complaints and petitions for relief—general information
- 42.18(17A,476) Filing of complaint or petition
- 42.19(17A,476) Presiding officer
- 42.20(17A,476) Answer
- 42.21(17A,476) Parties and appearances
- 42.22(17A,476) Procedural order and notice of hearing
- 42.23(17A,476) Discovery
- 42.24(17A,476) Hearing procedures
- 42.25(17A,476) Decision

CHAPTER 43

Reserved

CHAPTER 44 CERTIFICATES OF FRANCHISE AUTHORITY FOR CABLE AND VIDEO SERVICE

44.1(17A,476,477A)	Authority and purpose
44.2(17A,476,477A)	Definitions
44.3(17A,476,477A)	Certificate of franchise authority
44.4(17A,476,477A)	Notice to municipality and incumbent cable provider
44.5(17A,476,477A)	Conversion of municipal franchise by incumbent cable provider
44.6(17A,476,477A)	Revocation of certificates, termination of service, reinstatement of previously terminated municipal franchises
44.7(17A,476,477A)	Renewal of certificate of franchise authority
44.8(17A,476,477A)	Assessment of board costs

CHAPTER 45

ELECTRIC INTERCONNECTION OF DISTRIBUTED GENERATION FACILITIES

- 45.1(476) Definitions
- 45.2(476) Scope
- 45.3(476) Technical standards
- 45.4(476) Interconnection requests
- 45.5(476) General requirements
- 45.6(476) Lab-certified equipment
- 45.7(476) Determining the review level
- 45.8(476) Level 1 expedited review
- 45.9(476) Level 2 expedited review
- 45.10(476) Level 3 expedited review
- 45.11(476) Level 4 review
- 45.12(476) Disputes
- 45.13(476) Records and reports